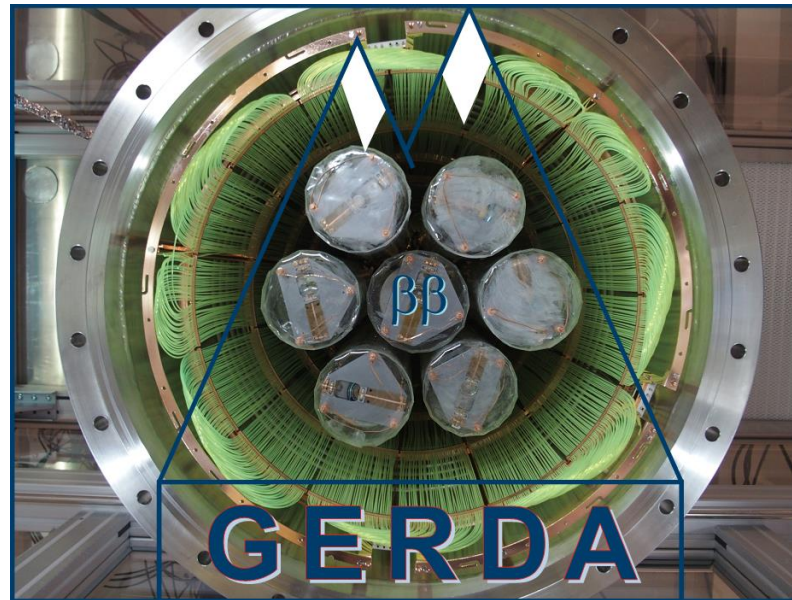




JOINT INSTITUTE
FOR NUCLEAR RESEARCH



Проект **GERDA** (“G&M”): поиск безнейтринного двойного бета распада ^{76}Ge



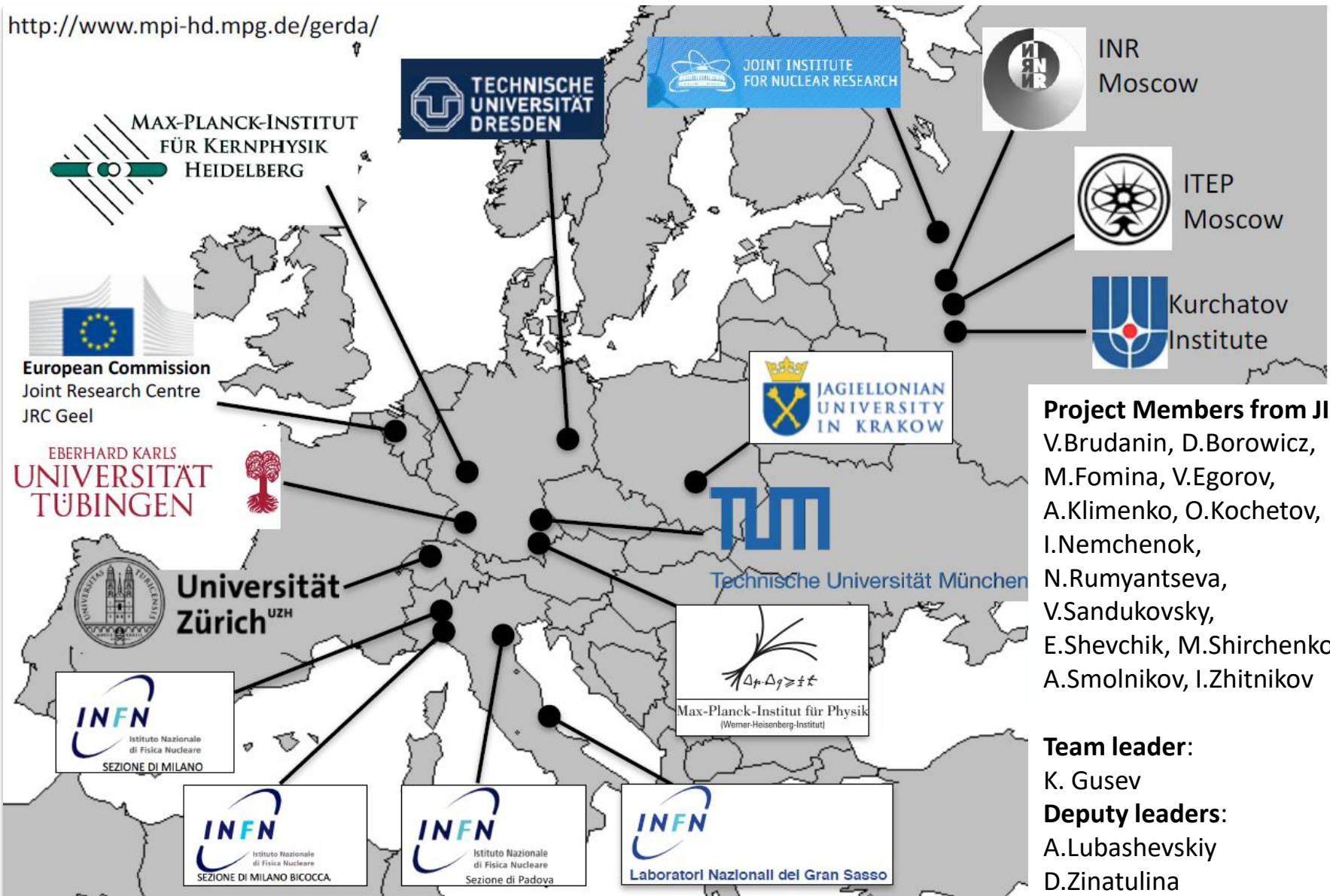
Константин Гусев

НТС 2017-11 | ЛЯП ОИЯИ | 05 декабря 2017

GERDA Collaboration



<http://www.mpi-hd.mpg.de/gerda/>



Project Members from JINR:

V.Brudanin, D.Borowicz,
M.Fomina, V.Egorov,
A.Klimenko, O.Kochetov,
I.Nemchenok,
N.Rumyantseva,
V.Sandukovsky,
E.Shevchik, M.Shirchenko,
A.Smolnikov, I.Zhitnikov

Team leader:

K. Gusev

Deputy leaders:

A.Lubashevskiy

D.Zinatulina

GERmanium Detector Array

Features

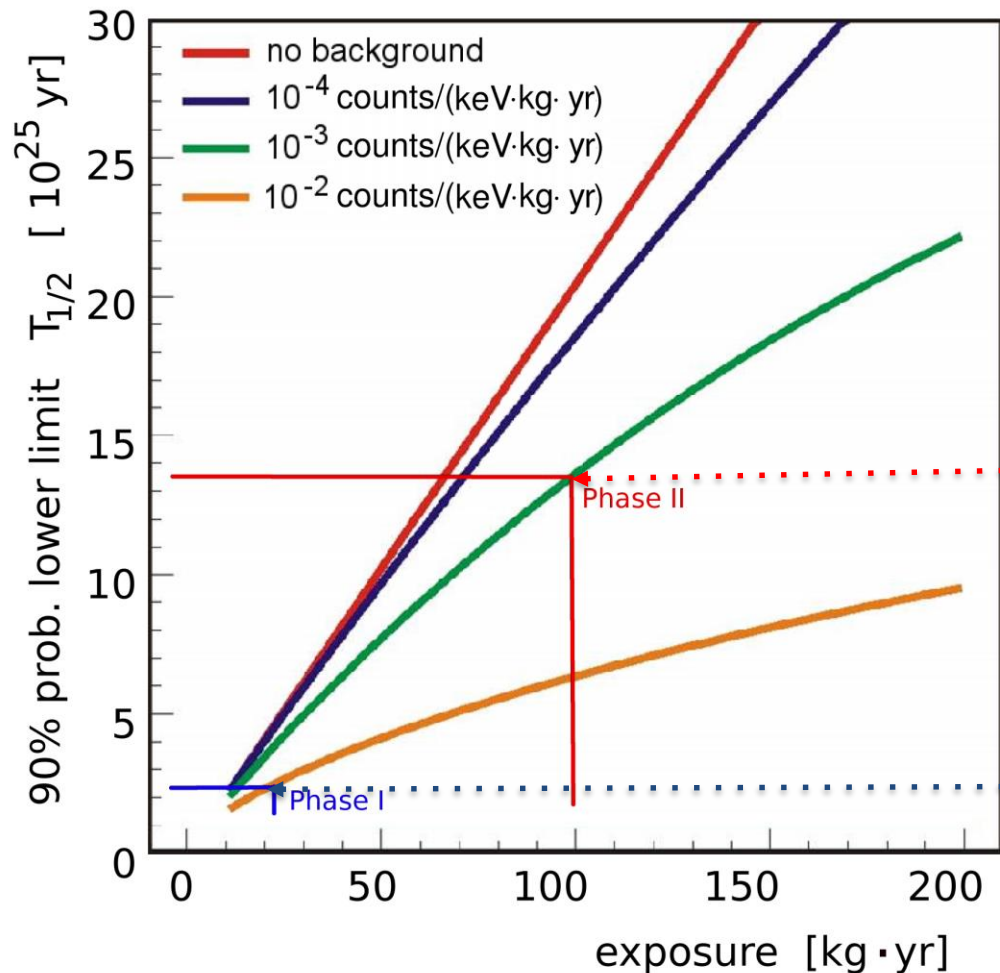


- ✓ Ge detectors enriched in ^{76}Ge
- ✓ very good energy resolution
 $\sim 0.1\%$ at $Q_{\beta\beta}$
- ✓ high detection efficiency
source = detector
- ✓ Ultra low background
 - ✓ deep underground location
(LNGS, Italy, 3500 m.w.e)
 - ✓ carefull assay of materials
 - ✓ passive and active shields
 - ✓ **bare Ge** detectors in liquid argon (LAr)
first time ever!
 - ✓ pulse shape discrimination (**PSD**)
+ **active** LAr veto (in Phase II)



GERDA

Phases and sensitivity



Phase II (Dec 2015 – ongoing):
Add new BEGe detectors (20 kg)
BI ≈ 0.001 cts / (keV kg yr)
Sensitivity after 100 kg yr

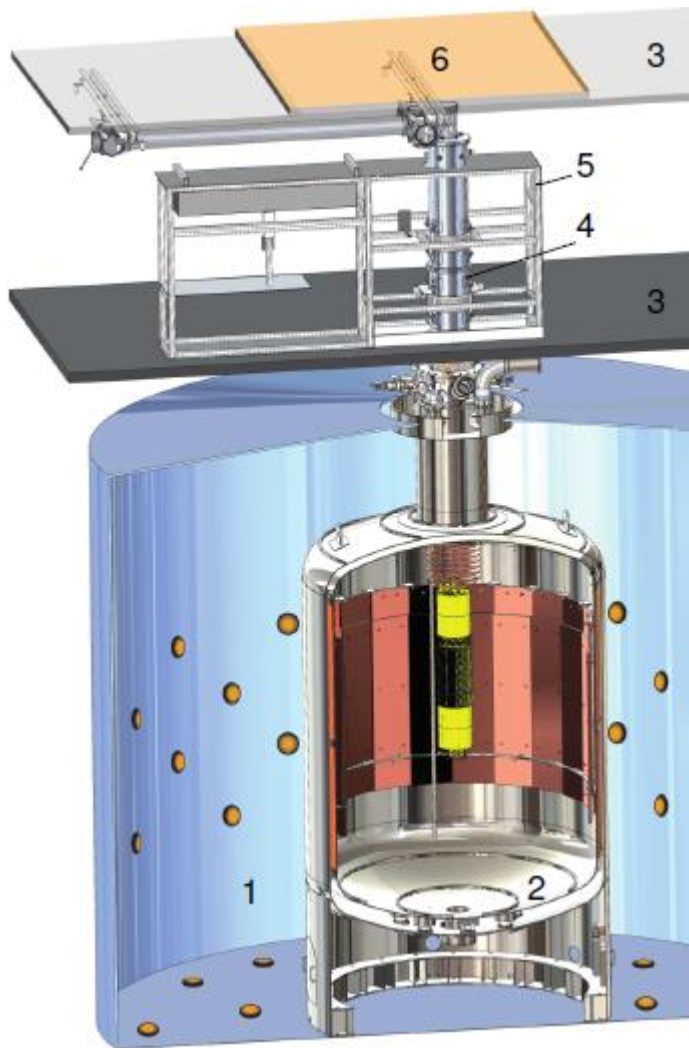
Phase I (Nov 2011 – May 2013):
Use refurbished HdM & IGEX (18 kg)
BI ≈ 0.01 cts / (keV kg yr)
Sensitivity after 20 kg yr

$$T_{1/2}^{0\nu} > 2.1 \times 10^{25} \text{ yr (90\% CL)}$$

(PRL 111 (2013) 122503)

GERDA Phase II

Started in December 2015



LAr veto



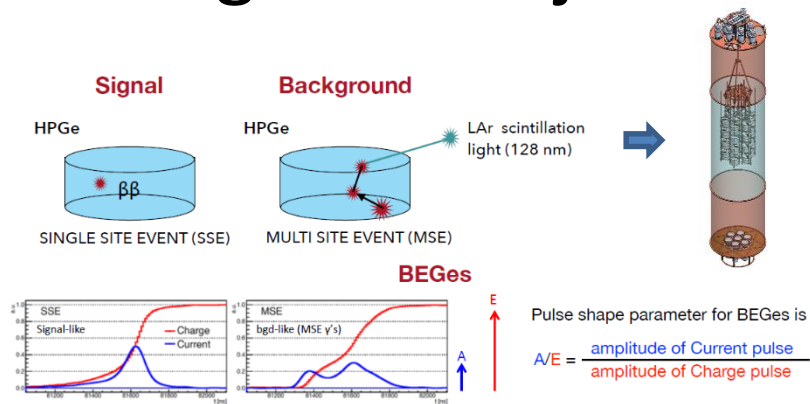
HPGe detectors array



All 40 detectors and LAr veto work

GERDA

Background rejection



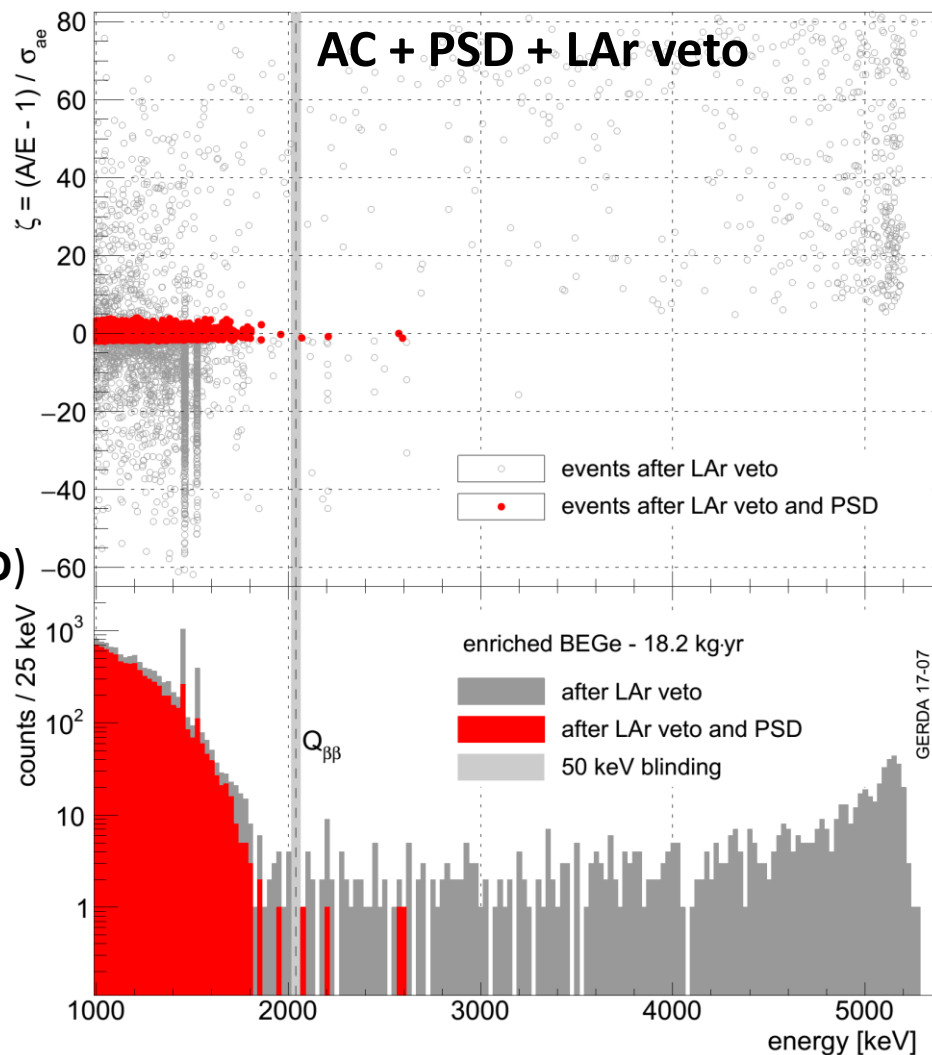
Background:

Multi-site energy deposition:

- 1 HPGe – Pulse Shape Discrimination (**PSD**)
- > 1 HPGe – anti-coincidence (**AC**)
- HPGe + LAr – **LAr veto**

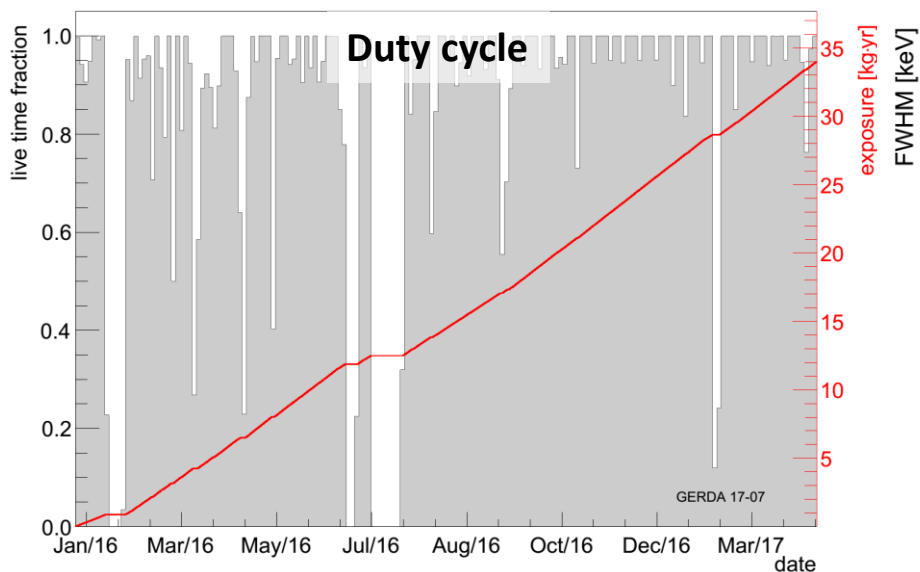
Surface events – **PSD**

- ✓ PSD and LAr **complementary**
- ✓ All α -s cut by **PSD!**

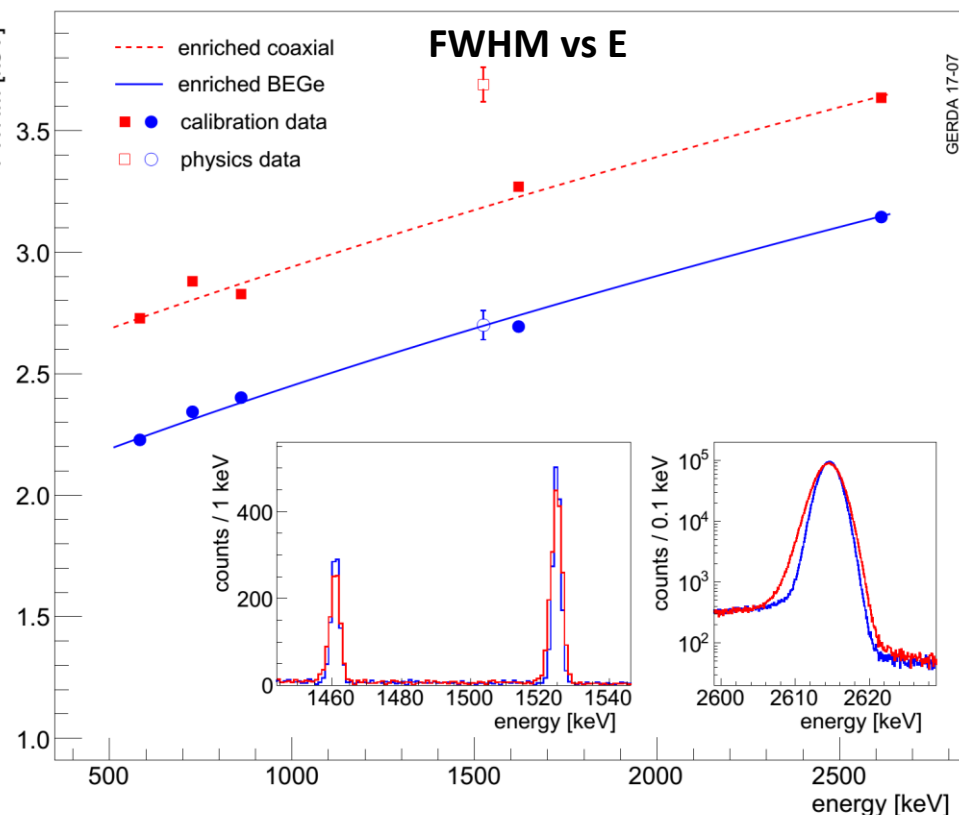


GERDA

Performance



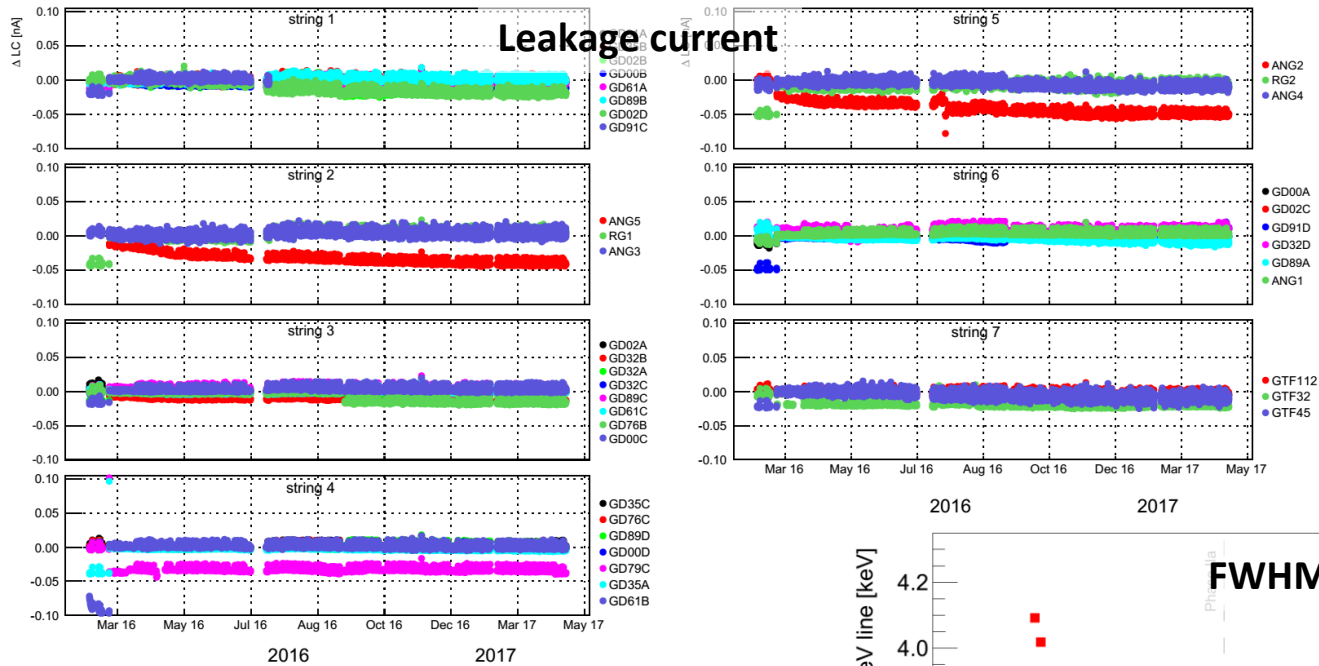
- Dec 2015 to April 2017, **93%** duty cycle
- Weekly calibrations with ^{228}Th sources
- Energy reconstruction with ZAC filter
(Eur. Phys. J. C 75 (2015) 255)
- Final resolution corrected for $^{40/42}\text{K}$ lines in physics data



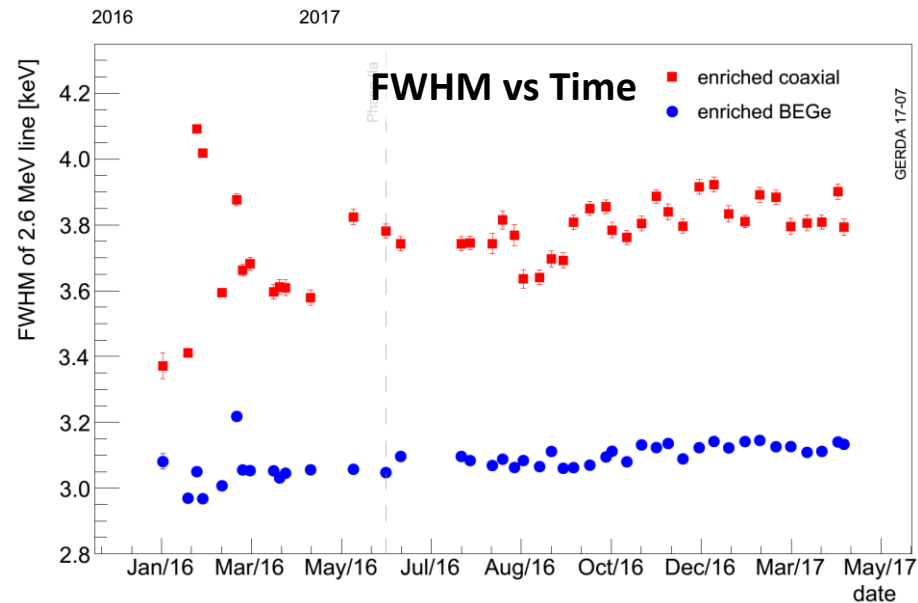
FWHM at $Q_{\beta\beta}$:
Coaxial: 3.90(7) keV
BEGe: 2.93(6) keV

GERDA

Performance

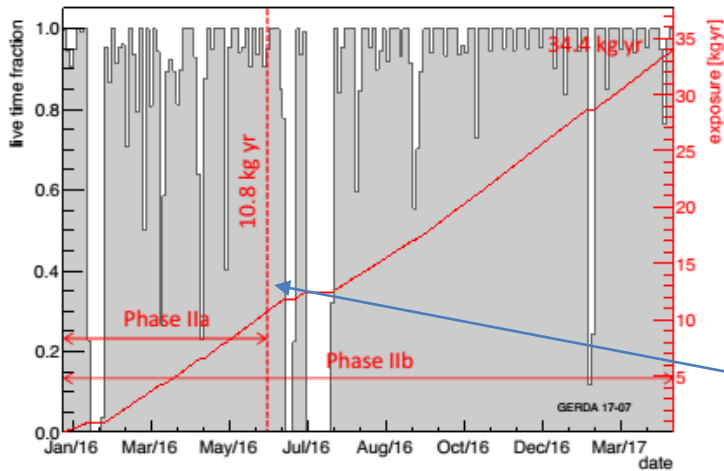


- ✓ Leakage current of detectors – no increase
- ✓ LAr veto performance – stays unchanged
- ✓ FWHM of HPGe diodes – stable



GERDA

Phase II 2016 results



ARTICLE

Nature 544 (2017) 47

doi:10.1038/nature21717

Background-free search for neutrinoless double- β decay of ^{76}Ge with GERDA

The GERDA Collaboration*

Phase IIa exposure:
Coaxials: 5 kg yr
BEGe: 5.8 kg yr



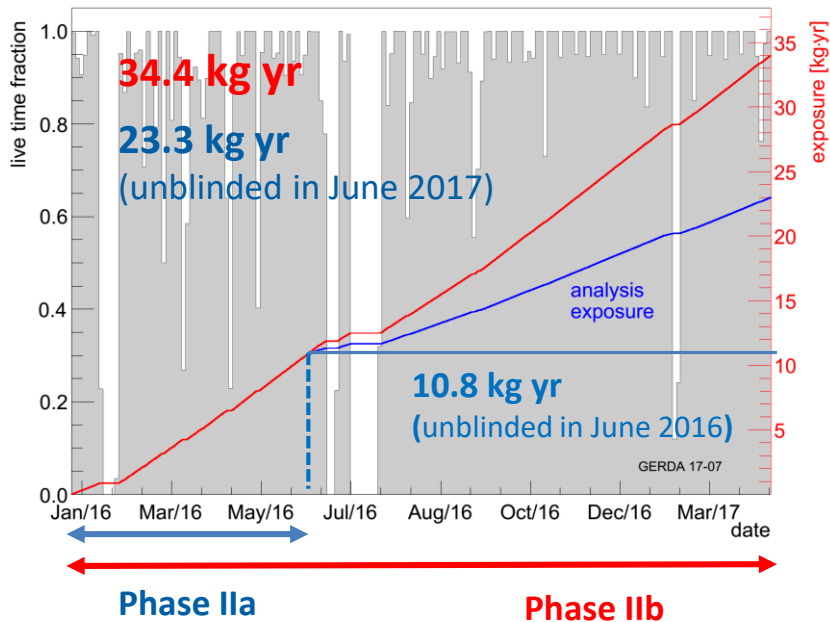
- New $T_{1/2}^{0\nu\beta\beta}$ limit from Phase I & Phase IIa data:
 - ✓ Sensitivity: $T_{1/2}^{0\nu} > 4 \times 10^{25}\text{yr}$ (90% CL)
 - ✓ Limit: $T_{1/2}^{0\nu} > 5.3 \times 10^{25}\text{yr}$ (90% CL)
- Background index (BI) for BEGe: $0.7^{+1.1}_{-0.5} \times 10^{-3}\text{cts}/(\text{keV kg yr})$

< 1 count in ROI up to design exposure (100 kg yr)

background free!

GERDA

Data taking status 2017



• Data taking is ongoing

- Phase II exposure increased by **x3** with respect to Nature paper (**Phase IIa**)
- **Valid** exposure accumulated **34.4 kg yr** up to Apr 15th (**Phase IIb**)
 - 18.2 kg·yr of BEGe data
 - 16.2 kg·yr of Coaxial data
- About **10 more kg·yr** already available (Apr-Nov)

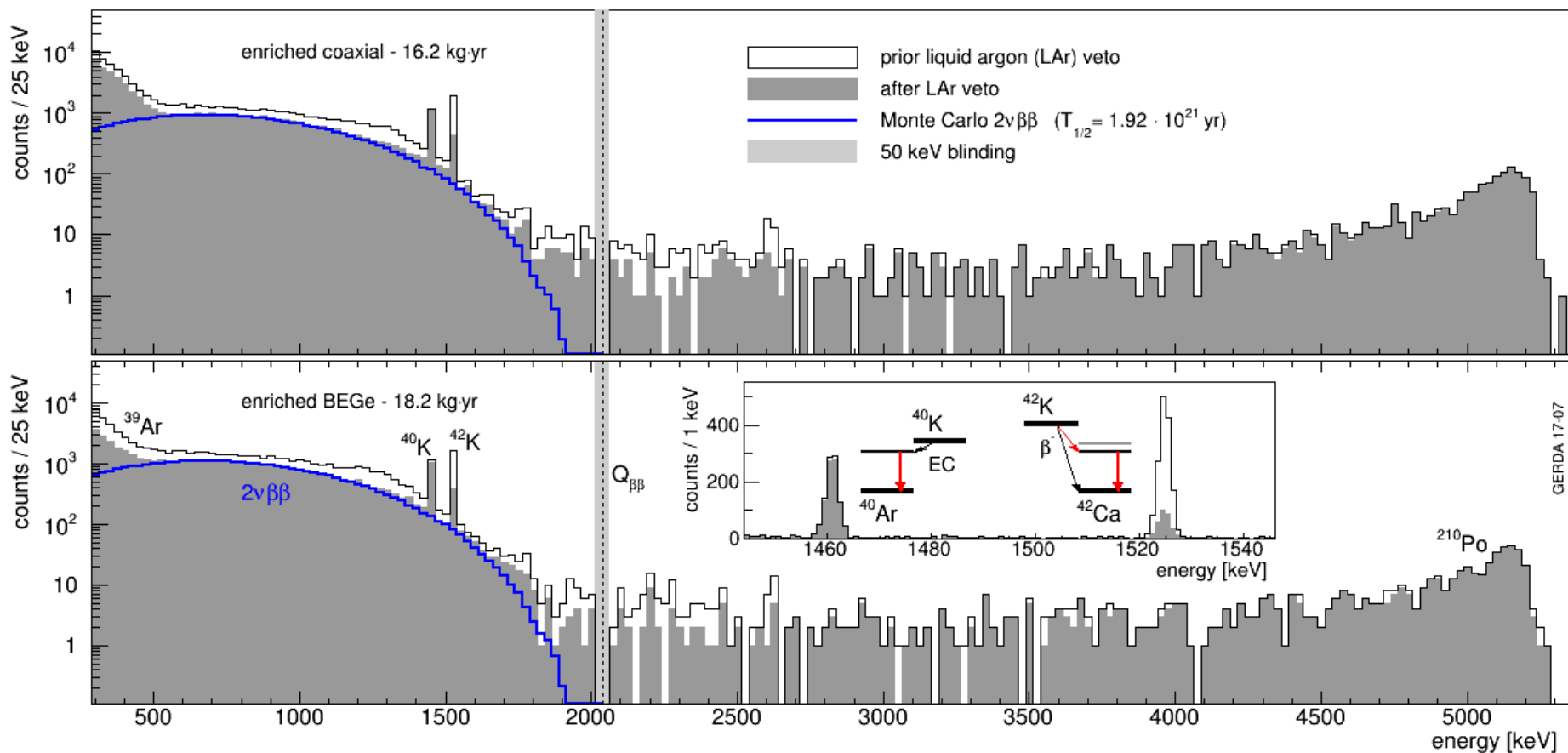
- Only **BEGe** dataset unblinded (**12.4 kg yr**)
- New coaxial data (11.2 kg yr) still blinded:
 - **Background** similar to Phase IIa
 - Can be **improved further** by better rejection of **α -s** from the **groove**
 - Work on better α cut is ongoing
- Total unblinded exposure: **23.3 kg yr**

GERDA

Spectra 2017



After LAr veto:

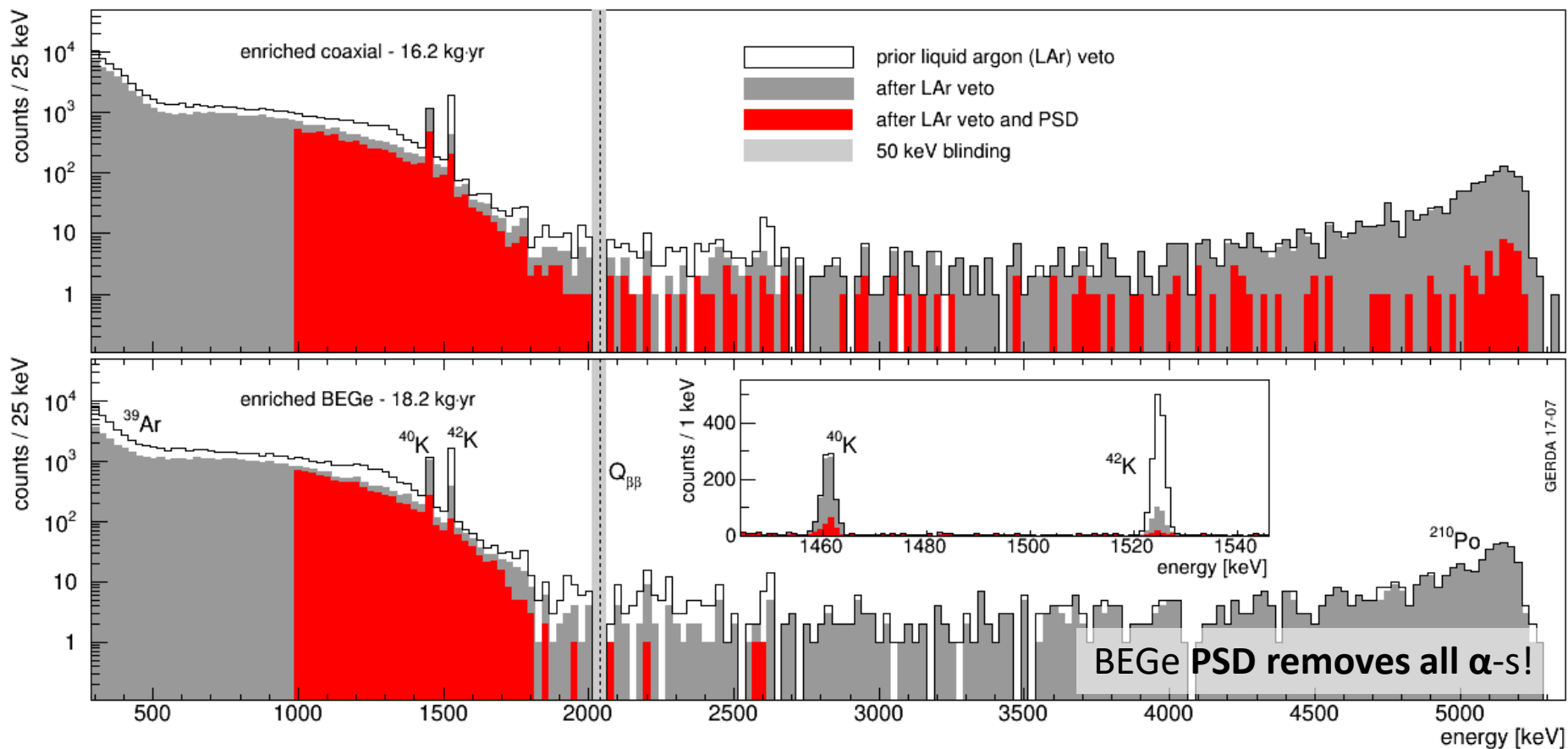


GERDA

Spectra 2017

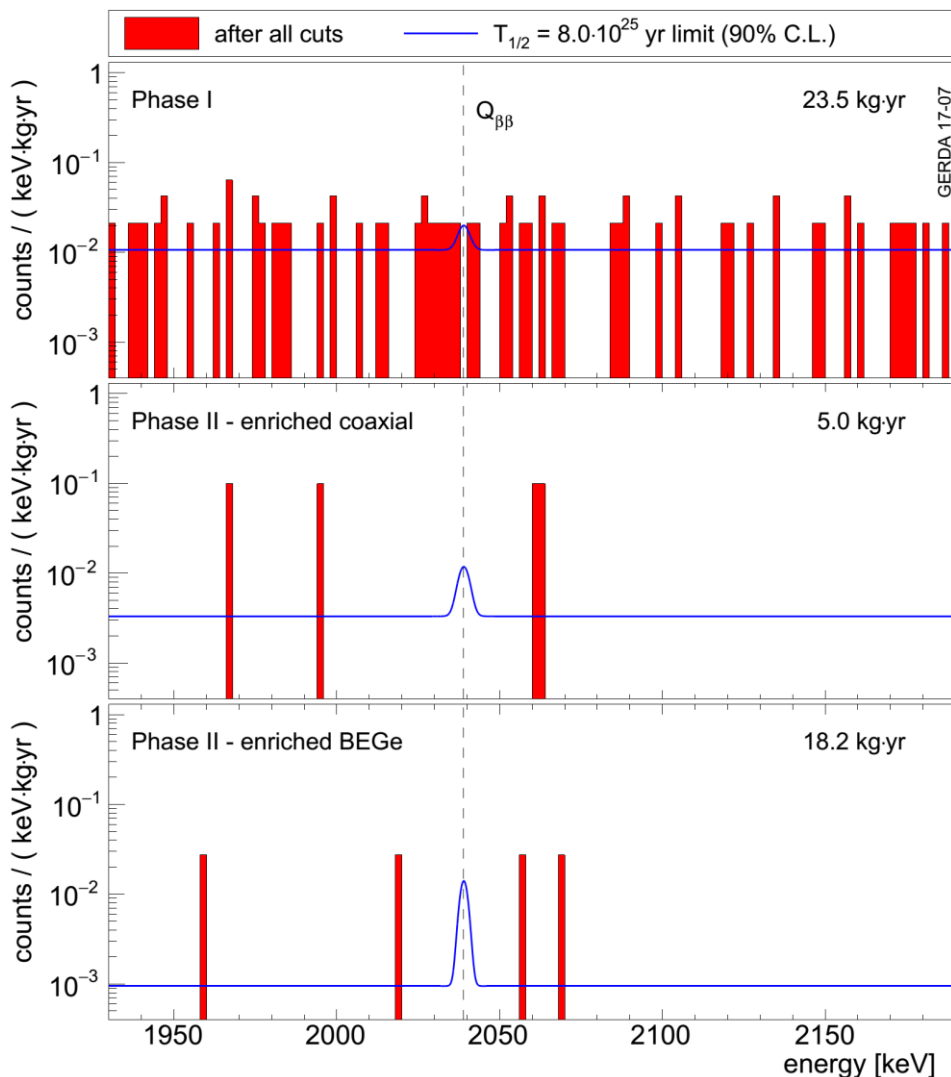


After LAr veto + PSD:



GERDA

Phase II 2017 result



Full exposure (46.7 kg yr)

	Exposure (kg yr)
Phase I (4 sets)	23.5
Phase IIa – coaxials	5.0
Phase IIb – BeGe	5.8 + 12.4 = 18.2

	Profile likelihood 2-side test stat.	Bayesian flat prior on cts
$0\nu\beta\beta$ cts best fit value (cts)	0	0
$T_{1/2}^{0\nu\beta\beta}$ lower limit ($\times 10^{25}$ yr)	> 8.0 (90% CL)	> 5.1 (90% CL)
$T_{1/2}^{0\nu\beta\beta}$ median sensitivity ($\times 10^{25}$ yr)	5.8 (90% CL)	4.5 (90% CL)

Best in $0\nu\beta\beta$ field!

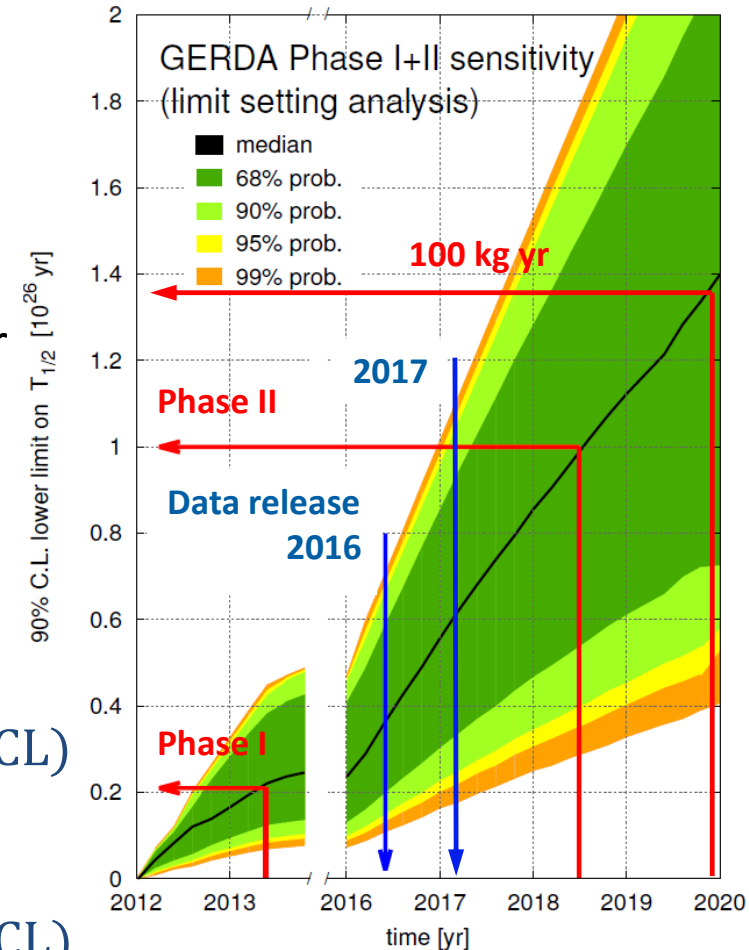
GERDA

First background free $0\nu\beta\beta$ search

- Phase II is successfully taking data since December 2015
- Background design goal reached:
 - ✓ BI in ROI for BEGe $\sim 10^{-3}$ counts/(keV kg yr)
 - ! best BI in ROI ever achieved!
- GERDA will stay background free up to 100 kg yr
- Sensitivity goal ($\sim 10^{26}$ yr):
 - ✓ should be reached in 2018
- New $T_{1/2}^{0\nu\beta\beta}$ limit from Phase I & Phase II data:

✓ Sensitivity: $T_{1/2}^{0\nu} > 5.8 \times 10^{25}$ yr (90% CL)
(best in the $0\nu\beta\beta$ field)

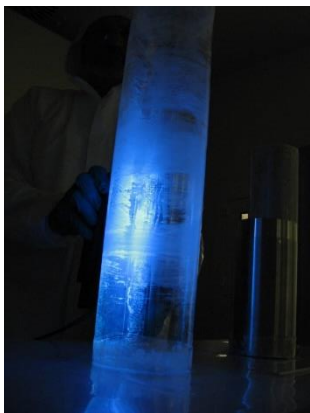
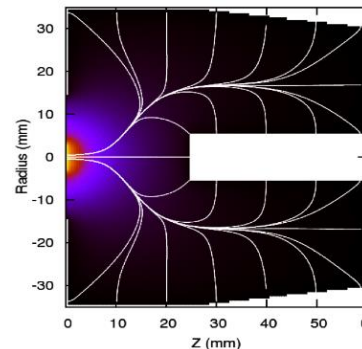
✓ Limit: $T_{1/2}^{0\nu} > 8.0 \times 10^{25}$ yr (90% CL)



GERDA

Next steps: possible upgrade

- **New detectors:**
 - ✓ Novel inverted coaxial detector
(massive as coaxial and PSD as BEGe)
 - ✓ Enriched material procured
 - ✓ Crystal production ongoing
 - ✓ Significant financial support from **JINR!!!**



Nylon mini-shrouds (MS)

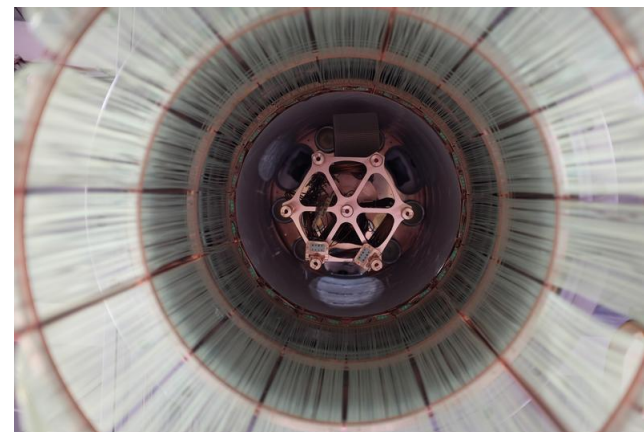
- ✓ JINR responsibility

Improved LAr veto:

- ✓ Production ongoing
- ✓ Common TUM and **JINR** effort

Cables:

- ✓ New cables (cleaner) are ready



All detectors have to be completely dismantled

Existing detectors, new detectors, new cables, nylon MSs, new LAr veto

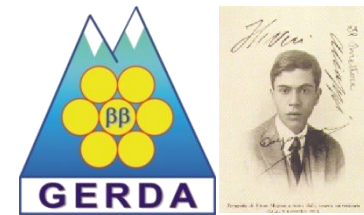
needs to be **integrated** and data taking has to be **restarted** ~ few months of work

Upgrade process will be **organized** by **JINR** team

G&M

GERDA & Majorana

GERDA



- Bare Ge-diodes array in LAr
- Shield: high-purity LAr/ H₂O

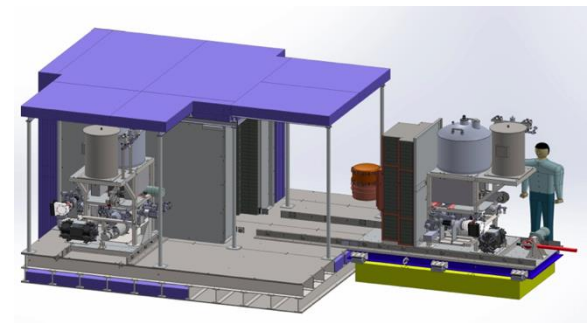
Phase I: 17 kg (HdM/IGEX)*

Phase II: 38 kg ⁷⁶Ge



LEGEND: 200 kg and 1000 kg ⁷⁶Ge

Majorana Demonstrator (MJD)



- Arrays of Ge-diodes in high purity electroformed Cu cryostats
- Shield: Pb, electroformed Cu

30 kg ⁷⁶Ge



* – completed

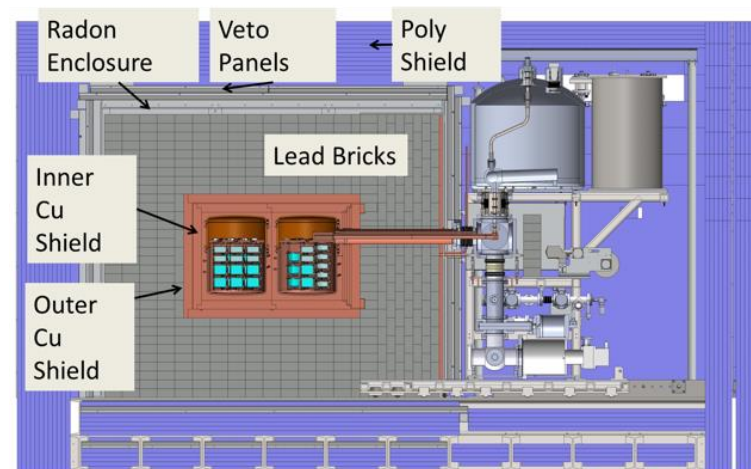
G&M

Majorana Demonstrator status



First results from Modules 1 and 2 in-shield

- ✓ Exposure: 1.39 kg yr
- ✓ Background index of 1.8×10^{-3} cnt/(keV kg yr)
- ✓ Analysis cuts are still being optimized
- ✓ 10x more exposure in hand – analysis ongoing



- The ^{76}Ge enriched point contact detectors developed by MAJORANA
 - ✓ best energy resolution (2.4 keV FWHM at 2039 keV) of any $\beta\beta$ -decay experiment.
 - ✓ provide excellent pulse shape discrimination reduction of backgrounds.
 - ✓ at low energies have sub-keV energy thresholds and excellent resolution allowing the DEMONSTRATOR to perform sensitive tests in this region for physics beyond the standard model (*PRL* **118**, 161801 (2017)).
- The DEMONSTRATOR's initial backgrounds and the GERDA Phase II backgrounds are the **lowest backgrounds** in the region of interest (ROI) achieved to date of **all** current or previous **$0\nu\beta\beta$** experiments.

G&M future → LEGEND



Large Enriched Germanium Experiment for Neutrinoless $\beta\beta$ Decay



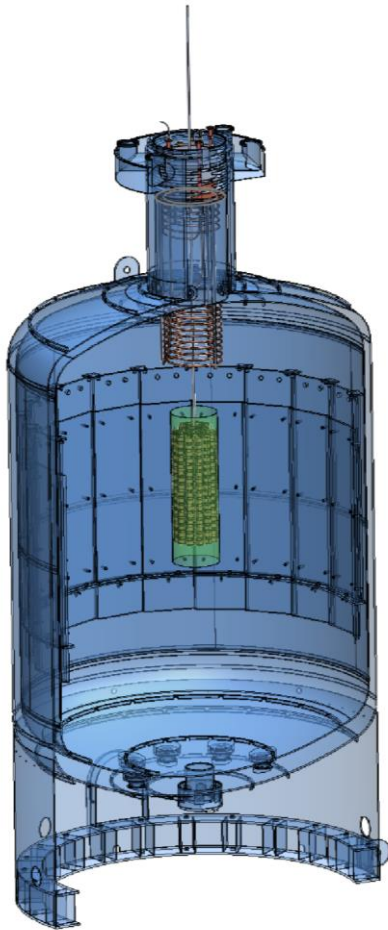
LEGEND mission:

“The collaboration aims to develop a phased, ^{76}Ge based double-beta decay experimental program with **discovery potential** at a half-life significantly close to 10^{28} years, using existing resources as appropriate to expedite physics results.”



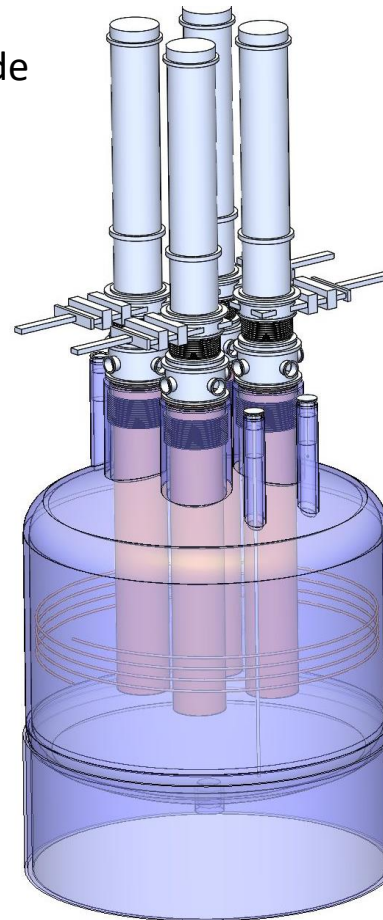
G&M future → LEGEND

Large Enriched Germanium Experiment for Neutrinoless $\beta\beta$ Decay



First stage:

- ✓ (up to) 200 kg in upgrade of existing GERDA infrastructure at LNGS
- ✓ bkg reduction by factor 3-5 w.r.t GERDA
- ✓ Sensitivity 10^{27} yr



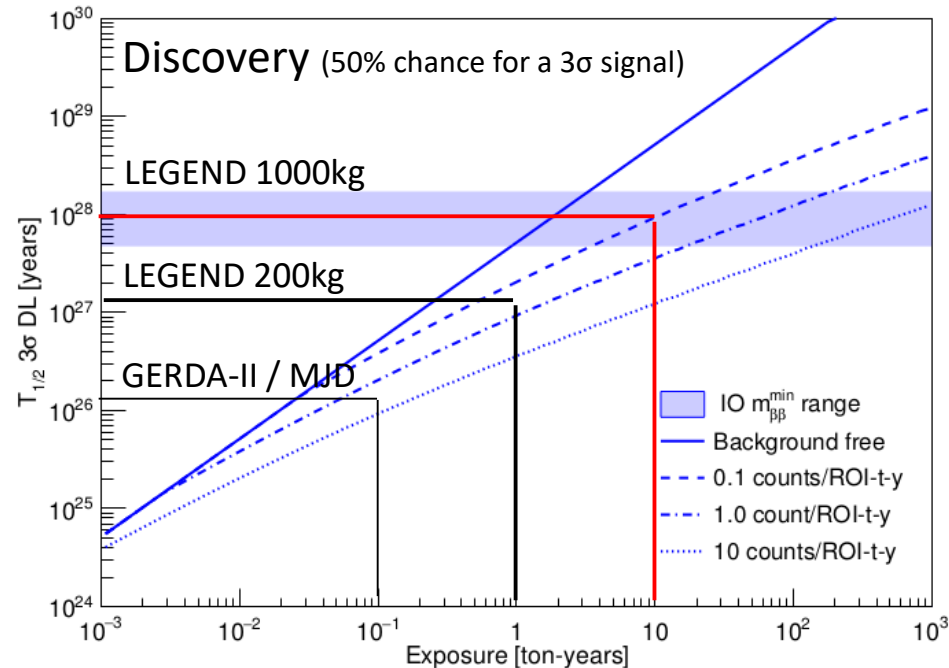
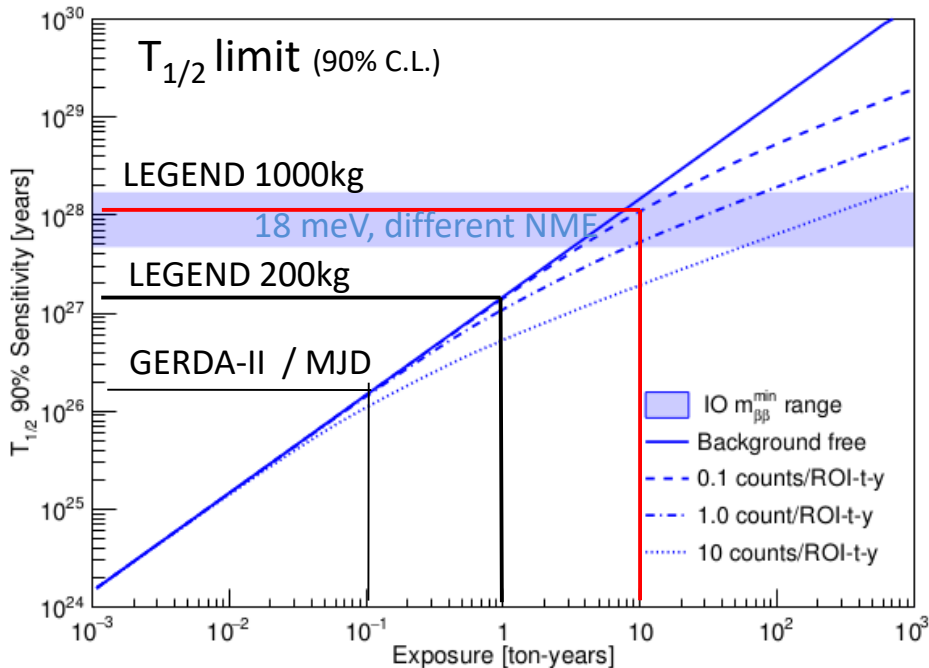
Subsequent stages:

- ✓ 1000 kg (staged)
- ✓ timeline connected to DOE down select process
- ✓ bkg factor 30 w.r.t GERDA
- ✓ Location tbd
- ✓ Sensitivity 10^{28} yr



G&M future → LEGEND

Sensitivity



- ✓ $T_{1/2}$ unknown, BSM → 'around corner'
- ✓ background reduction in steps → phased approach

- ✓ inputs: 60% efficiency (GERDA number)
- ✓ Background: GERDA/MJD ~ 3 cts/(FWHM t yr)
 - 200 kg ~ 0.6 cts/(FWHM t yr)
 - 1000 kg ~ 0.1 cts/(FWHM t yr)

N.B.: background-free operation is a prerequisite for a discovery

Project GERDA (“G&M”) → LEGEND



- ✓ Ultimate Goal: exposure of 10 t yr; background of 0.1 cnt / FWHM t yr.
- ✓ GERDA & Majorana are taking data in the “background free” regime.
- ✓ LEGEND is selecting the best technologies from GERDA and Majorana as well as contributions from other groups and experiments.
- ✓ Taking a **phased, stepwise implementation**; *e.g.* 200 → 500 → 1000 kg.
- ✓ Preparations for LEGEND 200 are underway. Possible start at the end of 2020.
- ✓ Baseline design for LEGEND 1000 established.
- ✓ Thanks to excellent energy resolution ^{76}Ge has a discovery potential at a half-life close to 10^{27} (LEGEND-200) and 10^{28} (LEGEND-1000) years respectively.

GERDA (“G&M”) at JINR



Name	Status	Responsibilities	FTE
K. Gusev	Project leader	Project coordination, Ge detectors, ultrapure materials	1.0
A. Lubashevskiy	Deputy leader	Data analysis, Ge detectors, ultrapure materials	0.4
D. Zinatulina	Deputy leader	Muon veto, Ge detectors	0.5
V. Brudanin	Participant	Head of the department, ⁷⁶ Ge procurement	0.1
D. Borowicz	Participant	Ge detectors	0.8
V. Egorov	Participant	Active veto systems	0.1
M. Fomina	Participant	Active veto systems	0.2
A. Klimenko	Participant	Analysis	0.5
O. Kochetov	Participant	Ultrapure materials, active veto systems	0.1
I. Nemchenok	Participant	Ultrapure materials, active veto systems	0.2
S. Nepochatich	Participant	Analysis, Ge detectors	1.0
N. Rumyantseva	Participant	Analysis, Ge detectors	0.7
V. Sandukovsky	Participant	Ge detectors	0.5
E. Shevchik	Participant	Active veto systems	0.2
M. Shirchenko	Participant	Active veto systems, Ge detectors	0.3
A. Smolnikov	Participant	Active veto systems, ultrapure materials, analysis	0.8
I. Zhitnikov	Participant	Active veto systems, Ge detectors	0.2
In total			7.6

GERDA (“G&M”) at JINR Plans



2018-2019:

The upgrade of the GERDA experiment by adding novel enriched detectors and exchanging of the existing liquid argon veto by improved version. Achieving of design sensitivity of 10^{26} years.

2019-2020:

Reaching of planned GERDA exposure of 100 kg yr. Preparation of the first phase of next generation experiment LEGEND (procurement of enriched ^{76}Ge , production and testing of new Ge detectors, R&D of low background materials and electronics).

2020-2021:

Completion of the GERDA experiment, publication of results. Modification of GERDA cryostat for LEGEND Phase I. Integration and start data taking of the LEGEND experiment.

GERDA (“G&M”) at JINR Financing (form N 26)



Expenditures, resources, financing sources		Costs (k\$) Resource requirements	Proposals of the Laboratory on the distribution of finances and resources			
			1 st year	2 nd year	3 rd year	
Expenditures	1. Production of the test stand for Ge detectors	40	30	10		
	2. R&D of ultrapure materials	30	10	10	10	
	3. Procurement of ⁷⁶ Ge detectors	150	50	50	50	
	4. R&D of low background electronics	30	10	10	10	
	5. R&D of active veto systems	30	10	10	10	
	6. Procurement of prototype detectors	60	60			
	Construction/repair of premises					
	Materials:					
	1. Enriched ⁷⁶ Ge	150	50	50	50	
	2. Scintillating materials	30	20	7	3	
	3. Chemicals for Ge detectors	5	2	2	1	
Required resources	Standard hour	Resources of – Laboratory design bureau; – JINR Experimental Workshop; – Laboratory experimental facilities division; – accelerator; – computer. Operating costs.				
Financing sources	Budgetary resources	Budget expenditures including foreign-currency resources.	621	274	181	166
	External resources	Contributions by collaborators. Grants. Contributions by sponsors. Contracts. Other financial resources, etc.	30	10	10	10

GERDA (“G&M”) at JINR

Financing (form N 29)

	Expenditure items	Full cost	1 st year	2 nd year	3 rd year
	Direct expenses for the Project				
1.	Accelerator, reactor	h			
2.	Computers	h			
3.	Computer connection	6 k\$	2	2	2
4.	Design bureau	standard hour			
5.	Experimental Workshop	standard hour			
6.	Materials	185 k\$	72	59	54
7.	Equipment	340 k\$	170	90	80
8.	Construction/repair of premises	k\$			
9.	Payments for agreement-based research	k\$			
10.	Travel allowance, including: a) non-rouble zone countries b) rouble zone countries c) protocol-based	90 k\$	30	30	30
	Total direct expenses	621	274	181	166

GERDA publications



1. «The GERDA experiment for the search of $0\nu\beta\beta$ decay in ^{76}Ge », Eur. Phys. J. C 73 (2013) 2330.
2. «Results on Neutrinoless Double- β Decay of ^{76}Ge from Phase I of the GERDA Experiment», Phys. Rev. Lett 111 (2013) 122503.
3. «Results on $\beta\beta$ decay with emission of two neutrinos or Majorons in ^{76}Ge from GERDA Phase I», Eur. Phys. J. C 75 (2015) 416.
4. « $2\nu\beta\beta$ decay of ^{76}Ge into excited states with GERDA Phase I», J. Phys. G: Nucl. Part. Phys. 42 (2015) 115201.
5. «The background in the $0\nu\beta\beta$ experiment GERDA», Eur. Phys. J. C 74 (2014) 2764.
6. «Pulse shape discrimination for GERDA Phase I data», Eur. Phys. J. C 73 (2013) 2583.
7. «Background-free search for neutrinoless double- β decay of ^{76}Ge with GERDA», **Nature** 544 (2017) 47.
8. «Mitigation of $^{42}\text{Ar}/^{42}\text{K}$ background for the GERDA Phase II experiment», submitted to Eur. Phys. J. C, preprint: <https://arxiv.org/abs/1708.00226>.
9. «LArGe: active background suppression using argon scintillation for the Gerda $0\nu\beta\beta$ -experiment», Eur. Phys. J. C 75 (2015) 506.
10. «The performance of the Muon Veto of the GERDA experiment», EPJC 76 (2016) 298.

JINR in GERDA



JINR in GERDA management:
K. Gusev – technical coordinator
A. Smolnikov – member of Editorial Board
A. Lubashevskiy – member of Speakers Bureau



Additional slides

Comparison experiments

		mass [kg]* (total/FV)	FWHM [keV]	background& [cnt/t yr FWHM]	$T_{1/2}$ limit sensitivity [10^{25} yr] after 4 yr	worst m_{ee} limit [meV] (lowest NME, g_A unquenched)	
Gerda II	Ge	35/27	3	5	15	190	running
MajoranaD	Ge	30/24	3	5	15	190	
EXO-200	Xe	170/80	88	220	6	240	
Kamland-Z	Xe	383/88 750/??	250	90 ?	6 50	240 85	design
Cuore	Te	600/206	5	230	9	210	
NEXT-100	Xe	100/80	17	30	6	240	
SNO+	Te	2340/260	190	60	17	160	
nEXO	Xe	5000/4300	58	5	600	24	future
Ge-200	Ge	200/155	3	1	100	75	
Ge-1000	Ge	1000/780	3	0.2	1000	24	

* total= element mass, FV= $0\nu\beta\beta$ isotope mass in fiducial volume (incl enrichment fraction)

& kg of $0\nu\beta\beta$ isotope in active volume and divided by $0\nu\beta\beta$ efficiency

Note: values are design numbers except for GERDA, EXO-200 and Kamland-Zen