







JINR neutrino programme. Daya Bay and JUNO: precision measurements with reactor neutrinos

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January 16, 2017

1 Neutrino physics and DLNP neutrino programme

2 Daya Bay

3 JUNO

Neutrino mixing





Weak and mass eigenstates differ:

 $|
u_{lpha}\rangle = \sum U_{lpha i}^{*} |
u_{i}\rangle$ lpha - flavor statesi - mass states

Pontecorvo-Maki-Nakagawa-Sakata (PMNS) mixing matrix:

• $\theta_{23} \approx 45^{\circ}$ established through atmospheric and accelerator experiments:

possibly maximal.

• $\theta_{12} \approx 12^{\circ}$ established through solar experiments and KamLAND:

large but not maximal.

• $\theta_{13} \approx 8^{\circ}$ established by reactor and accelerator experiments:

Daya Bay, RENO, Double CHOOZ, T2K and MINOS.

Neutrino mass





Mixing parametrized by three mixing angles: $\theta_{12}, \theta_{23}, \theta_{13}.$

Neutrino mass

- Neutrinos are massive
- Neutrino mass has not been measured
- $\sum m_{
 u} \lesssim 1 \, {
 m eV}$ (cosmology)
- $\blacksquare \ m_e < 2.2 \, \text{eV} \tag{direct}$
- $\langle m_{\beta\beta} \rangle < 0.25 \, \text{eV}$ $(0 \nu \beta \beta)$

Mass splitting

From oscillation experiments:

- $\Delta m^2_{21} = (7.53 \pm 0.18) \times 10^{-5} \, \mathrm{eV}^2$
- $\left|\Delta m_{32}^2\right| = (2.42 \pm 0.06) \times 10^{-3} \, {\rm eV}^2$
- $\blacksquare \left| \Delta m_{32}^2 \right| / \Delta m_{21}^2 \sim 32$

Mass hierarchy

Which neutrino is the lightest one: ν_1 or ν_3 ?

Open neutrino questions

- Lightest neutrino mass.
- Neutrino mass hierarchy (MH)?
- Is there CP-violation? δ_{CP} value?
- θ_{23} octant?
- Dirac or Majorana? $0\nu\beta\beta$?
- Unitarity of neutrino mixing matrix? Sterile neutrinos?
- Non-standard interactions (NSI)? Lorentz violation?
- Origin of UHE neutrinos.
- Relic neutrinos.
- Diffuse Supernova neutrinos.
- Solar CNO neutrinos.
- Others...



 \hookrightarrow probably, non-maximal.



DLNP neutrino program.



$0\nu\beta\beta$: Dirac or Majorana?

SuperNEMO

GERDA

Astrophysical, atmospheric, solar and geo- neutrinos

- BAIKAL GVD: Astrophysical and atmospheric neutrino. θ_{23} , Δm_{32}^2 . Rich potential.
- BOREXINO: Solar, geo-neutrino, matter effects, θ_{12} , Δm_{21}^2 , rare processes.

Accelerator (anti)neutrinos

- **NO** ν A: Neutrino mass hierarchy. Δm_{32}^2 , θ_{23} .
- OPERA: ν_{τ} appearance. θ_{23} , Δm_{32}^2 .

Reactor and β -decay antineutrinos

- DANSS: Sterile neutrino, reactor antineutrino spectrum, reactor monitoring.
- **GEMMA-2**: μ_{ν} anomalous neutrino magnetic moment.
- *v*GEN: Coherent Neutrino Germanium Nucleus Elastic Scattering.
- SOX (post BOREXINO): Radioactive source. Sterile neutrino search.
- **Daya Bay**: θ_{13} , Δm_{32}^2 , sterile neutrino, reactor flux measurement.
- **JUNO**: Mass hierarchy, precise θ_{12} , Δm_{21}^2 , Δm_{32}^2 ; SN neutrinos, geo-neutrinos.

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Neutrino oscillations experiments complementarity





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Reactor electron anti-neutrino disappearance





$$\begin{split} 1 - P_{\nu_e \to \nu_e} &\approx \frac{\sin^2 2\theta_{13} \sin^2 \Delta_{32}}{4} + \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} \\ \Delta_{jk} &= 1267 \cdot \frac{\Delta m_{jk}^2}{eV^2} \frac{L}{E} \left[\frac{\text{MeV}}{\text{km}} \right] \end{split}$$

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Antineutrino detection



3-zone antineutrino detector (AD):			
Inner zone	20 t	Gd-doped LS	
Middle zone	20 t	LS	
Outer zone	40 t	Mineral oil	
ν [¯] ν _α ^ν ^ε ^ν _α ^ν ^ν _α	۲. × E ₂	1 Mer	



Antineutrino detection







Antineutrino detection







Data periods and publications





 Days
 Sterile
 Reactor
 Wave packets

 217
 PRL[1407.7259]
 PRL[1508.04233]

 621
 PRL[1607.01177]

 621
 PRL[1607.01177]

- 2AD comparison
- Muon system
- Detector

NIM[1202.6181] NIM[1407.0275] NIM[1508.03943]



Daya Bay oscillation result





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Daya Bay oscillation result





Summary

- ✓ Absolute reactor antineutrino flux measurement: \sim 5% deficit.
- ✓ Reactor antineutrino spectrum shape measurement:

significant spectral distortion around 5 - 6 MeV.

- ✓ Stringent limits for sterile neutrinos for $2 \cdot 10^{-4}$ eV² < $\Delta m_{41}^2 \lesssim 2$ eV².
- ✓ First experimental constraint on neutrino wave-packet size:
- In SuperNova Early Warning System since end of 2014.
- More physics analyses under preparation:
 - Lorentz/CPT invariance
 - Muon modulation
 - Neutron yield
 - Combined analysis with RENO and Double-CHOOZ experiments.

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- Others...

New publications: 1603.03549 PRD

1607.01174 PRL

1607.01177 PRL 1607.05378 CPC

1608.01661 EPJC 1610.04802 PRD

stay tuned...

 $\sigma_{\rm x} > 10^{-11}$ cm at 95% C.L.



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Mass hierarchy

Which neutrino is the lightest one: ν_1 or ν_3 ?

Neutrino mass hierarchy on reactor experiments





- Picture: ideal energy resolution.
- Unique oscillation panorama at 53 km: \sim 20 oscillation cycles.
- < 1% precision on θ_{12} , Δm_{21}^2 , Δm_{32}^2 .
- Required energy resolution $\lesssim 3\%$.

Neutrino mass hierarchy on reactor experiments





- Picture: energy resolution 3%.
- Unique oscillation panorama at 53 km: \sim 20 oscillation cycles.
- < 1% precision on θ_{12} , Δm_{21}^2 , Δm_{32}^2 .
- **Required energy resolution** $\leq 3\%$.

Detector requirements



CDR: 1508.07166 Physics: 1507.05613

Energy resolution = photon collection (to some extent).

	KamLAND	JUNO	Factor
Target mass (kt)	1	20	20
Energy resolution $(\%/\sqrt{E})$	6	\lesssim 3	0.5
Light yield (p. e.)	250	1200	~5
PMT coverage	34 %	75%	~2.2

Solutions:

- Use 20", high QE (35%) PMTs
- Use 3" PMTs in between
- No Gd in scintillator
- Optimized fluor concentration
- Attenuation length > 20 m



JUNO detector



Challenges

- High QE PMT (~ 35%)
- Highly transparent LS
- Huge detector: 20 kt, Ø34.5 m
- 20k 20" PMTs
- 36k 3" PMTs





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Civil construction





JUNO schedule





JINR contribution



JINR group of JUNO experiment participates in several key tasks:

Powering JUNO:

PMT high voltage R&D

Muon veto:

Opera TT \longrightarrow precise μ detector

Earth Magnetic Field:

PMT protection R&D

PMT testing:

New PMT research lab at DLNP

Liquid scintillator:

purification methods and measurements

- Experiment sensitivity estimation
- MC and data analysis:
 - Hierarchy and oscillations
 - Solar and geo- neutrinos
 - Rare processes





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PMT lab





Summary





- JUNO physics program is competitive and complementary!
- Mass hierarchy determination (independent of δ_{CP} and θ₂₃).
- Probing PMNS matrix unitarity to $\sim 1\%$ level.
- Precise measurement of neutrino mixing parameters:

- Other physics topics:
 - Supernovae neutrino
 - Solar and geo- neutrino
 - Sterile neutrino
 - Atmospheric neutrino
 - Exotic searches
 - Proton decay
 - Others...

Backup slides...



Kaiping country of Jiangmen city



 Nuclear power plants Yang Jiang (17.4 GW_{th}) and Taishan (18.4 GW_{th}) are under construction.

JUNO collaboration





JUNO

- 398 scientists and engineers
- from 57 institutions

- from Asia, Europe and South America
- including 32 from Russia (23 from JINR)

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Daya Bay collaboration



Asia (23):

Beijing Normal Univ., CGNPG, CIAE, Chinese Univ. of Hong Kong, Chongqing Univ., Dongguan Polytech., ECUST, IHEP, NCEPU, NUDT, Nanjing Univ., Nankai Univ., National Chiao Tung Univ., National Taiwan Univ., National United Univ., Shandong Univ., Shanghai Jiao Tong Univ., Shenzhen Univ., Tsinghua Univ., USTC, Univ. of Hong Kong., Xi'an Jiaotong Univ., Zhongshan Univ.

Europe (2) and Sourth America (1):

Charles University, Joint Institute for Nuclear Research, Catholic Univ. of Chile.

North America (16)

Brookhaven Natl Lab, Illinois Institute of Technology, Iowa State, Lawrence Berkeley Natl Lab, Princeton, Rensselaer Polytech., Sienna College, Temple Univ., UC Berkeley, Univ. of Cincinnati, Univ. of Houston, UIUC, Univ. Wisconsin, Virginia Tech, William & Mary, Yale.

Daya Bay sensitivity



 $\sin^2 2\theta_{13}$ sensitivity projection:

 Δm_{ee}^2 sensitivity projection:



Expect reaching 3% sensitivity on both parameters after 2017.

Error budget





- sin² $2\theta_{13}$ uncertainty is dominated mostly by statistics and relative efficiency uncertainty.
- Δm_{32}^2 uncertainty is dominated by statistics and relative energy scale uncertainty.
- Statistics and systematics has almost equal impact on Δm_{32}^2 uncertainty.

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Best fit antineutrino spectrum





- Continuous best fit antineutrino spectrum obtained simultaneously with oscillation parameters is in good agreement with official result.
- The correlation between oscillations and spectral parameters is negligible.

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Параметризация спектра антинейтрино



Спектр $\overline{\nu}_e$ от каждого изотопа параметризуется кусочно-гладкой функцией:

$$\begin{split} S_{ij]}\left(E^{\nu}\right) &= n_j k_{ij} e^{-b_{ij} \left(E^{\nu} - E_j^{\nu}\right)}, \\ E_{\nu} &\in \left(E_j^{\nu}, E_{j+1}^{\nu}\right). \end{split}$$



- k_{ij} модельный спектр от изотопа *i* в E_i^{ν} .
- n_j коррелированная поправка для интервала j.
- *b_j* отношение наблюдаемого среднего спектра антинейтрино к ожидаемому:

$$n(E) = \frac{\langle S(E) \rangle_{\text{obs}}}{\langle S(E) \rangle_{\text{Huber+Mueller}}}.$$

Параметризация спектра антинейтрино



Спектр $\overline{\nu}_e$ от каждого изотопа параметризуется кусочно-гладкой функцией:

$$\begin{split} S_{ij]}\left(E^{\nu}\right) &= n_j k_{ij} e^{-b_{ij} \left(E^{\nu} - E_j^{\nu}\right)}, \\ E_{\nu} &\in \left(E_j^{\nu}, E_{j+1}^{\nu}\right). \end{split}$$



- k_{ij} модельный спектр от изотопа *i* в E_i^{ν} .
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$$n(E) = \frac{\langle S(E) \rangle_{\text{obs}}}{\langle S(E) \rangle_{\text{Huber+Mueller}}}.$$

Uncertainties summary



	Detector		
	Efficiency	Correlated	Uncorrelated
Target Protons		0.92%	0.03%
Flasher cut	99.98%	0.01%	0.01%
Prompt energy cut	99.8%	0.10%	0.01%
Delayed energy cut	92.7%	0.97%	0.08%
Capture time cut	98.7%	0.12%	0.01%
Multiplicity cut		0.02%	0.01%
Gd capture fraction	84.2%	0.95%	0.10%
Spill-in	104.9%	1.00%	0.02%
Livetime	100.0%	0.002%	0.01%
Combined	80.6%	1.93%	0.13%

Reactor			
Correlated		Uncorrelated	
Energy/fission	0.2%	Power	0.5%
$\overline{\nu}_{e}$ /fission	3%	Fission fraction	0.6%
		Spent fuel	0.3%
Combined	3%	Combined	0.8%

- Only uncorrelated uncertainties are relevant for Near/Far oscillation analysis.
- Largest systematics smaller than Far site statistics (~1%).

 Influence of uncorrelated reactor systematics is reduced by far/near measurement.

Antineutrino rates (621 days)





- More than 1M neutrino interactions
- Detected rate correlates with reactor flux expectations.
- Normalization is determined by data fit.

IBD selection criteria



Inverse beta decay:

- $\blacksquare \ \overline{\nu}_e + p \longrightarrow e^+ + n$
- $\blacksquare \sim 28 \ \mu s: \ n + Gd \longrightarrow Gd^* \longrightarrow Gd + \sum \gamma \ (8 \ \text{MeV})$

Selection:

- Reject spontaneous PMT light emission (99.98%).
- 2. Prompt energy (positron): 0.7 MeV $< E_p < 12$ MeV (99.88%).
- Delayed energy (neutron capture): 6 MeV < E_p < 12 MeV (90.9%).

- 4. Neutron capture time: $1 \ \mu s < \Delta t < 200 \ \mu s$ (98.6%).
- 5. Reject muons:
 - Water pool muons Nhits>12: 0.6 ms
 - AD muons with E>12 MeV: 1 ms
 - AD shower muon E>2.5 GeV: 1 s
- 6. Multiplicity: no other signal with E > 0.7 MeV in $\pm 200 \ \mu s$ of IBD



Side-by-side Comparison



1230 days, arXiv:1610.04802 \rightarrow PRD

- One of the most significant improvements was the reduction of the relative detection efficiency uncertainty from 0.2% to 0.13%.
- Side-by-side rates are consistent with expectations:



• $\sin^2 2\theta_{13}$ uncertainty is dominated by statistics and relative detection efficiency uncertainty.

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Calibration





Relative energy scale uncertainty for nGd analysis: 0.2%.



Muon veto system



Water pool:

- Shield against the external radioactivity and cosmogenic background.
- Cherenkov muon tracker.
- 288 8" PMTs in each Near Hall.
- 384 8" PMTs in each Far Hall.
- Outer water shield (1 m).
- Inner water shield (>2.5 m).
- 4-layer RPC veto:
 - Muon tracker.
 - 54 modules in each Near Hall.
 - 81 modules in the Far Hall.
- Goal efficiency 99.5% with uncertainty < 0.25%.



Experimental hall 1





Experimental hall 3





Inside the AD





Background summary



	Near Halls B/S, %	Far Hall B/S, %	Uncertainty	Estimation method
Accidentals	1.4	2.3	$\sim 1\%$	Calculated based on uncorrelated signals
⁹ Li/ ⁸ He	0.4	0.4	50%	Measured with after-muon events
Fast neutrons	0.1	0.1	50%	Measured with tagged muon events
²⁴¹ Am- ¹³ C	0.03	0.2	50%	MC, benchmarked with single γ and strong $^{241}\mathrm{Am}^{-13}\mathrm{C}$ source
${}^{13}C(\alpha, n){}^{16}O$	0.01	0.1	50%	Calculated from measured radioactivity
	Drompt energy [MeV]	2 4 6 8	10 12 14 16 Delayed enc	10 ⁴ 10 ³ 10 ² 10 10 10 rgy [MeV]

Far vs. near comparison



1230 days, arXiv:1610.04802, PRD



The observed **event rate deficit** and **relative spectrum distortion** are highly consistent with oscillation interpretation.



Independent nH oscillation analysis

621 days, arXiv:1603.03549, PRD

Key points:

- ✓ Additional statistics (+20 ton/AD)
- ✓ Largely independent systematics
- ✗ Lower delayed energy (∼2.2 MeV)
- X More accidentals
- × Loosely defined fiducial volume

nΗ

 $\sin^2 2\theta_{13} = 0.071 \pm 0.011$

nH+nGd

 $\sin^2 2\theta_{13} = 0.082 \pm 0.004$

- Observed significant rate deficit.
- Spectral distortion consistent with oscillations.
- Third world precise measurement after Daya Bay (nGd) and RENO (nGd).







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Absolute reactor antineutrino flux

621 days, arXiv:1607.05378→CPC

- Consistent between ADs
- Consistent with world average
- Supports reactor anomaly existence

Huber+Mueller

Data/prediction: 0.946 ± 0.020

ILL+Vogel

Data/prediction: 0.992 ± 0.021

Huber+Mueller (global)

Data/prediction: 0.943 ± 0.008 (exp) ± 0.023 (model)





Reactor antineutrino spectrum



Observed positron spectrum



- Bump feature around 5–6 MeV.
- Consistent with other experiments.
- Seen for both Huber+Mueller/ILL+Vogel.

Extracted antineutrino spectrum



Light sterile neutrino search

217 days, arXiv:1407.7259, PRL

- Sterile neutrino will cause spectral distortions at the near and far sites.
- Relative measurement independent of reactor related systematics.
- Result is consistent with 3-flavor oscillations.







Light sterile neutrino search

621 days, arXiv:1607.01174, PRL

- Sterile neutrino will cause spectral distortions at the near and far sites.
- Relative measurement independent of reactor related systematics.
- Result is consistent with 3-flavor oscillations.







Light sterile neutrino search with Bugey-3 and MINOS

621 days, arXiv:1607.01174, PRL

- Combining Daya Bay and Bugey-3 data strongly constrains Δm_{41}^2 and $\sin^2 2\theta_{41}$.
- Combining Daya Bay and Bugey-3 and MINOS data allows to constrain Δm_{41}^2 and sin² $2\theta_{41} \sin^2 2\theta_{42}$.
- Joint analysis strongly suggests that LSND results is not due to sterile neutrino.





Light sterile neutrino search with Bugey-3 and MINOS

621 days, arXiv:1607.01174, PRL

+MINOS, arXiv:1607.01177, PRL

- Combining Daya Bay and Bugey-3 data strongly constrains Δm_{41}^2 and $\sin^2 2\theta_{41}$.
- Combining Daya Bay and Bugey-3 and MINOS data allows to constrain Δm_{41}^2 and sin² 2 θ_{41} sin² 2 θ_{42} .
- Joint analysis strongly suggests that LSND results is not due to sterile neutrino.





Wave packet effects



621 days, arXiv:1608.01661→EPJC

The obtained limits read

 $2.38 \cdot 10^{-17} < \sigma_{\rm rel} < 0.23,$

taking into account the reactor/detector sizes:

 $10^{-11} ext{ cm } \lesssim \sigma_x \lesssim 2m.$

• These results ensure unbiased measurement of $\sin^2 2\theta_{13}$ and Δm_{32}^2 within the PW model.



Flashers identification





Flashers — PMTs spontaneously emitting light:

- $\blacksquare \sim 5\%$ of PMTs
- $\blacksquare \sim 5\%$ of the events
- Rejected based on the topology

$$\begin{split} & d_{max} = Q_{max}/Q_{sum} \\ & d_{quad} = Q_3/(Q_2 + Q_4) \\ & \mathsf{FID} = \log_{10}\left[\left(\frac{d_{quad}}{1}\right)^2 + \left(\frac{d_{max}}{0.45}\right)^2\right] < 0 \end{split}$$

AD liquids



Target mass:

- Target mass is measured during filling by the load cell with precision of ~ 3kg, 0.015%.
- Cross-checked by the Coriolis meters with precision of 0.1%.
- M_{target} = M_{fill} M_{overflow}



Liquid scintillator composition:

- LAB + Gd (0.1%) + PPO (3 g/L) + bis-MSB (15mg/L)
- One year 1-ton prototype monitoring on GdLS stability.

Liquids storage and filling:

- Fill each AD from all 5 storage tanks.
- Fill ADs in pairs.
- Recirculate storage tanks.

Trigger

Trigger criteria:

- Signal > 0.25 p. e.: ■ Nhit > 45.
 - Esum > 0.4 MeV.
- Water pool:
 - Nhit > 12.

Trigger efficiency:

- Measured from LED light and ⁶⁸Ge source.
- No measurable inefficiency above 0.7 MeV.
- Minimal $E_p \approx 0.95$ MeV.





Reactor flux expectation



$$S(E) = \frac{W_{\rm th}}{\sum_k f_k E_k} \sum_i f_i S_i(E)$$

Information provided by the NPP:

• W_i — thermal power.

f_i — relative isotope fission fraction.



Neutrino data:

- *E_i* energy released per fission:
 - V. Kopeikin, L. Mikaelyan, and V. Sinev, Phys. Atom. Nucl. 67, 1892 (2004).
- $S_i(E)$ antineutrino spectra per fission:
 - W. G. K. Schreckenbach, G. Colvin and F. von Feilitzsch, Phys. Lett. B160, 325 (1985).
 - A. F. von Feilitzsch and K. Schreckenbach, Phys. Lett. B118, 162 (1982).
 - A. A. Hahn et al., Phys. Lett. B218, 365 (1989).
 - P. Vogel, G. K. Schenter, F. M. Mann, and R. E. Schenter, Phys. Rev. C24, 1543 (1981).
 - T. Mueller et al., Phys. Rev. C83, 054615 (2011).
 - P. Huber, Phys. Rev. C84, 024617 (2011) [Erratum-ibid. 85, 029901(E) (2012)].

Backgrounds: accidentals



Accidental event — two independent signals accidentally satisfy event selection criteria.



- Calculated based on prompt and delayed rates.
- Cross-checks:
 - Prompt-delayed distance distribution.
 - Off-window coincidence.

Backgrounds: ⁹Li/⁸He





Figure

 Calculated by fitting the time-after-last-muon events distribution. Based on known half-life times:

• ⁹Li
$$\lambda = 178ms$$

• ⁸He
$$\lambda = 119ms$$

- Cross-checks:
 - Analyze muon samples with and without followed neutrons.

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Fast neutrons can produce recoil protons, which mimic prompt signal. Neutron capture itself is the delayed signal.



- Method I:
 - Collect events with 12 MeV < E_p < 100 MeV
 - Extrapolate the spectrum to the *E_p* < 12MeV</p>
- Method II:
 - Use water pool and RPC to determine the number of fast neutrons.





Backgrounds: ²⁴¹Am-¹³C and ¹³C(α , *n*)¹⁶O



Correlated background from $^{\rm 241}Am\text{-}^{\rm 13}C$ sources (ACU):

- Neutron inelastic scattering on ⁵⁶Fe + neutron capture on Fe/Cr/Mn/Ni.
- Estimated based on simulation.
- Cross checked with data.

Correlated ${}^{13}C(\alpha, n){}^{16}O$ background:

- ²³⁸U, ²³²Th, ²²⁷Ac and ²¹⁰Po α rates are measured.
- Neutron yield is calculated with MC.







Figure: Energy spectrum of the events near the top of ADs in the Far Hall.