Vorticity and Polarization in Heavy-Ion Collisions

in the framework of MPD PWG3



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Physics Working Groups

PWG1 Global observables

- Total event multiplicity
- Total event energy
- Centrality determination
- Total cross-section
 measurement
- Vertex determination
- Event plane measurement at all rapidities
- Spectator measurement

PWG2

Spectra of light flavor and hypernuclei

- Light flavor spectra
- Hyperons and hypernuclei
- Total particle yields and yield ratios
- Kinematic and chemical properties of the event
- Mapping QCD Phase diagram

PWG3 Correlations and Fluctuations

- Collective flow for hadrons
- Vorticity, Λ polarization
- E-by-E fluctuation of multiplicity, momentum and conserved quantities
- Femtoscopy
- Forward-Backward corr.
- Jet-like correlations

Interest of polarization

Very sensitive test for dynamics

Maximally vortical fluid (Nature publication by STAR)

Suitable for NICA energy range



Single Spin Asymmetry

- Parity conservation normal to scattering plane
- Interference LS coupling: S (r x p) -> S (k x p)~ S n

T conservation – absorptive phases

Λ-polarisation

- Self-analyzing in weak decay
- Directly related to s-quarks polarization: complementary probe of strangeness
- Widely explored in hadronic processes
- Disappearance-probe of QCD matter formation (Hoyer; Jacob, Rafelsky: '87): Randomization – smearing – no direction normal to the scattering plane

Global polarization

- Global polarization normal to REACTION plane
- Predictions (Z.-T.Liang et al.): large orbital angular momentum -> large polarization
- Search by STAR (Selyuzhenkov et al.'07) : polarization NOT found at % level!
- Maybe due to locality of LS coupling while large orbital angular momentum is distributed
- How to transform rotation to spin?

One might compare the prediction below with the right panel figures

Raw 0.02 **Λ STAR Preliminary** ۵. $\overline{\Lambda}$ STAR Preliminary 0.015 O. Rogachevsky, A. Sorin, O. Teryaev Chiral vortaic effect and neutron asymmetries in heavy-ion collisions PHYSICAL REVIEW C 82, 054910 (2010) Au+Au 20-50% 0.01 0.005 One would expect that polarization is proportional to the anomalously induced axial current [7] -0.00510² $j_A^{\mu} \sim \mu^2 \left(1 - \frac{2\mu n}{3(\epsilon + P)} \right) \epsilon^{\mu\nu\lambda\rho} V_{\nu} \partial_{\lambda} V_{\rho},$ 10 √s_{NN} (GeV) nly. (6)All corrected 0.08 where n and ϵ are the corresponding charge and energy **Λ STAR Preliminary** densities and P is the pressure. Therefore, the μ dependence ٩ $\overline{\Lambda}$ STAR Preliminary of polarization must be stronger than that of the CVE, leading 0.06 to the effect's increasing rapidly with decreasing energy. Au+Au 20-50% This option may be explored in the framework of the 0.04 program of polarization studies at the NICA [17] performed at collision points as well as within the low-energy scan program at the RHIC. 0.02 10² 10 (GeV)

Further studies:QGSM

1) Vorticity and polarization in baryon-rich matter By Mircea Baznat, Konstantin Gudima, Alexander Sorin, Oleg Teryaev. 10.22323/1.311.0024. PoS CPOD2017 (2018) 024. 2) Hyperons polarization in heavy-ion collisions By Mircea Baznat, Konstantin Gudima, Alexander Sorin, Oleg Tervaev. 10.1051/epiconf/201713801008. EPJ Web Conf. 138 (2017) 01008. 3) Hyperon polarization in heavy-ion collisions and holographic gravitational anomaly By Mircea Baznat, Konstantin Gudima, Alexander Sorin, Oleg Teryaev. arXiv:1701.00923 [nucl-th]. 10.1103/PhysRevC.97.041902. Phys.Rev. C97 (2018) no.4, 041902. 4) Polarization in heavy-ion collisions: magnetic field and vorticity By M., Baznat, K. Gudima, G. Prokhorov, A. Sorin, O. Teryaev, V. Zakharov. 10.1088/1742-6596/938/1/012063. J.Phys.Conf.Ser. 938 (2017) no.1, 012063. 5) Chaotic vortical flows and their manifestations By M. Baznat, K. Gudima, A. Sorin, O. Teryaev. 10.1051/epiconf/201612602030. EPJ Web Conf. 126 (2016) 02030. 6) Hydrodynamic helicity and strange hyperon polarization in heavy-ion collisions By M. Baznat, K. Gudima, A. Sorin, O. Teryaev. 10.1088/1742-6596/668/1/012084. J.Phys.Conf.Ser. 668 (2016) no.1, 012084. 7) Femto-vortex sheets and hyperon polarization in heavy-ion collisions By Mircea I. Baznat, Konstantin K. Gudima, Alexander S. Sorin, O.V. Teryaev. arXiv:1507.04652 [nucl-th]. 10.1103/PhysRevC.93.031902. Phys.Rev. C93 (2016) no.3, 031902. 8) Helicity separation in Heavy-Ion Collisions By Mircea Baznat, Konstantin Gudima, Alexander Sorin, Oleg Teryaev. arXiv:1301.7003 [nucl-th]. 10.1103/PhysRevC.88.061901. Phys.Rev. C88 (2013) no.6, 061901.

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Further studies: HSD/PHSD, UrQMD, 3-fluid hydro

- Vorticity and hyperon polarization at energies available at JINR Nuclotron-based Ion Collider fAcility By E.E. Kolomeitsev, V.D. Toneev, V. Voronyuk. arXiv:1801.07610 [nucl-th]. <u>10.1103/PhysRevC.97.064902</u>. Phys.Rev. C97 (2018) no.6, 064902.
- Vorticity and hydrodynamic helicity in heavy-ion collisions in the hadron-string dynamics model By Oleg Teryaev, Rahim Usubov. <u>10.1103/PhysRevC.92.014906</u>. Phys.Rev. C92 (2015) no.1, 014906.
- Aleksei Zinchenko, A.Sorin, O. Teryaev M. Baznat, to appear in DSPIN2019 Proceedings
- Different space-time freeze-out picture an explanation of different \$\Lambda\$ and \$\bar{\Lambda}\$ polarization? By O. Vitiuk, L.V. Bravina, E.E. Zabrodin. arXiv:1910.06292 [hep-ph].
- Vorticity and Particle Polarization in Relativistic Heavy-Ion Collisions By Yu B. Ivanov, V.D. Toneev, A.A. Soldatov. arXiv:1910.01332 [nucl-th].
- 2) Estimates of hyperon polarization in heavy-ion collisions at collision energies \$\sqrt{s_{NN}}=\$ 4--40 GeV By Yu B. Ivanov, V.D. Toneev, A.A. Soldatov. arXiv:1903.05455 [nucl-th]. <u>10.1103/PhysRevC.100.014908</u>. Phys.Rev. C100 (2019) no.1, 014908.
- Vortex rings in fragmentation regions in heavy-ion collisions at \$\sqrt{s_{NN}}=\$ 39 GeV By Yu B. Ivanov, A.A. Soldatov. arXiv:1803.01525 [nucl-th]. <u>10.1103/PhysRevC.97.044915</u>. Phys.Rev. C97 (2018) no.4, 044915.

The main problems to be discussed at the meetings

- Calculations and comparison of vorticity and emerging polarization in various hydro and kinetic models for various nuclei, energies, centralities, kinematical domains; bringing together various groups (in particular, at JINR)
- Simulations and reconstruction of global polarization at MPD
- Simulations of (P-even) tensor polarization for vector mesons (cf K₀* at ALICE)
- P-odd momentum correlations (handedness)
- Correlation of polarization with other collective effects (in particular, flows)

Main Topics

- Polarization in QCD: from nucleons to ions
- Parity conservation: normal to some plane
- Interference: twist-3 correlators vs LS coupling
- T- reversal: phases vs dissipation
- Fast rotation and acceleration: effective gravity
- Gravitational FFs: link between hadrons and medium: pressure, shear
- Experimental tests of nuclear/hadronic complementarity
- Conclusions

Phases and T-oddness

Clearly seen in relativistic approach:

 $\rho = \frac{1}{2}(\hat{p} + m)(1 + \hat{s}\gamma_5)$

Than: $d\sigma \sim Tr[\gamma_5....] \sim im\varepsilon_{sp_1p_2p_3}...$

Imaginary parts (loop amplitudes) are required to produce real observable.

 $\varepsilon_{abcd} \equiv \varepsilon^{\alpha\beta\gamma\delta} a_{\alpha} b_{\beta} c_{\gamma} d_{\delta}$ each index appears once: P- (compensate S) and T- odd.

However: no real T-violation: interchange $|i\rangle \rightarrow |f\rangle$ is the nontrivial operation in the case of nonzero phases of $< f|S|i\rangle^* = <i|S|f\rangle$.

SSA - either T-violation or the phases.

DIS - no phases ($Q^2 < 0$)- real T-violation.

Perturbative PHASES IN QCD

QCD factorization: where to borrow imaginary parts? Simplest way: from short distances - loops in partonic subprocess. Quarks elastic scattering (like q - e scattering in DIS):



Short+ large overlaptwist 3

- Quarks only from hadrons
- Various options for factorization shift of SH separation



- New option for SSA: Instead of 1-loop twist 2 Born twist 3 (quark-gluon correlator): Efremov, OT (85, Fermionic poles); Qiu, Sterman (91, GLUONIC poles -> Sivers function)
- Further shift to large distances T-odd fragmentation and (effective) distribution functions (Collins, Sivers)

Anomalous mechanism – polarization similar to CM(V)E

- 4-Velocity is also a GAUGE FIELD (V.I. Zakharov)
- No gauge invariance! $e_j A_\alpha J^\alpha \Rightarrow \mu_j V_\alpha J^\alpha$
- Triangle anomaly leads to polarization of quarks and hyperons (Rogachevsky, Sorin, OT '10)
- Analogous to anomalous gluon contribution to nucleon spin (Efremov,OT'88)
- Axial/trace anomaly ~ spin/mass puzzles (talk of O. Denisov)



Anomaly for polarization

Induced axial charge

$$c_V = \frac{\mu_s^2 + \mu_A^2}{2\pi^2} + \frac{T^2}{6}, \quad Q_5^s = N_c \int d^3x \, c_V \gamma^2 \epsilon^{ijk} v_i \partial_j v_k$$

- Neglect axial chemical potential
- T-dependent term- related to gravitational anomaly
- Lattice simulation: suppressed due to collective effects

Energy dependence

Coupling -> chemical potential

 $Q_5^s = \frac{N_c}{2\pi^2} \int d^3x \, \mu_s^2(x) \gamma^2 \epsilon^{ijk} v_i \partial_j v_k$

- Field -> velocity (observable: no Gauge Invariance)
- (Color) magnetic field strength -> vorticity;
- Axial charge <-> hydrodynamical helicity
- Rapid decrease with energy (cf Regge!)
- Large chemical potential: appropriate for NICA/FAIR energies

Microworld: where is the fastest possible rotation?

- Non-central heavy ion collisions (Angular velocity ~ c/Compton wavelength)
- ~25 orders of magnitude faster than Earth's rotation
- Differential rotation vorticity
- P-odd :May lead to various P-odd effects (e.g. handedness talk of A. Martynova)
- Calculation in kinetic quark gluon string model (DCM/QGSM) – Boltzmann type eqns + phenomenological string amplitudes): Baznat,Gudima,Sorin,OT, PRC'13,16,18



Vortex sheets (talks of Yu. Ivanov, Alexei Zinchenko)



From axial charge to polarization (and from quarks to confined hadrons) – Sorin,OT'16

 Analogy of matrix elements and classical averages

$$< p_n | j^0(0) | p_n > = 2p_n^0 Q_n \qquad < Q > \equiv \frac{\sum_{n=1}^N Q_n}{N} = \frac{\int d^3x \, j_{class}^0(x)}{N}$$

 Lorentz boost: compensated by the sign of helicity mirror structure (BGST'13; talk of Alexei Zinchhenko)

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$$\Pi^{\Lambda,lab} = \left(\Pi_0^{\Lambda,lab}, \Pi_x^{\Lambda,lab}, \Pi_y^{\Lambda,lab}, \Pi_z^{\Lambda,lab}\right) = \frac{\Pi_0^{\Lambda}}{m_{\Lambda}} (p_y, 0, p_0, 0)$$
$$< \Pi_0^{\Lambda} > = \frac{m_{\Lambda} \Pi_0^{\Lambda,lab}}{p_y} = < \frac{m_{\Lambda}}{N_{\Lambda} p_y} > Q_5^s \equiv < \frac{m_{\Lambda}}{N_{\Lambda} p_y} > \frac{N_c}{2\pi^2} \int d^3x \, \mu_s^2(x) \gamma^2 \epsilon^{ijk} v_i \partial_j v_k$$

Λ vs Anti Λ

 Same (C-even) axial charge is distributed between smaller number of antihyperons





Where is phase?! Other approach to baryons in confined phase: vortices in pionic superfluid (V.I. Zakharov, OT'17)

 Pions may carry the axial current due to quantized vortices in pionic superfluid (Kirilin,Sadofyev,Zakharov'12)

$$\begin{aligned} \frac{\pi_0}{f_\pi} &= \mu \cdot t + \varphi(x_i) & \partial_i \varphi &= \mu v_i \\ T_{0i} &\sim \mu_5 \partial_i \tilde{\varphi}. & \lim_{q_i \to 0, \omega \equiv 0} \langle T_{0i}, T_{0k} \rangle &\sim \mu_5^2 \frac{q_i q_k}{q_i^2} \\ &= \frac{1}{4\pi^2 f_\pi^2} \epsilon^{\mu\nu\rho\sigma} (\partial_\nu \pi^0) (\partial_\rho \partial_\sigma \pi^0) \end{aligned}$$

 j_5^{μ}

 Suggestion: core of the vortex- baryonic degrees of freedom- polarization

Core of quantized vortex

 Constant circulation – velocity increases when core is approached



- Helium (v <v_{sound}) bounded by intermolecular distances
- Pions (v<c) -> (baryon) spin in the center

Baryon spin as radiative correction

- Kinematical requirement of spin appearance similar to "historical" arguments: v~c at Compton wavelength and v>>c at classical radius required for orbital momentum
- Baryons emerge as UV cutoff
- Transition to UV dissipation (counterpart of absorptive phases!?)

Anomaly vs TD (talk of G. Prokhorov)

Wigner function, Zubarev d.m. –
 induced axial current

 ^{α_μ} = ¹/_Tu^ν∂_νu_μ = ^{a_μ}/_T, w_μ = ¹/_{2T}ϵ_{μναβ}u^ν∂^αu^β = ^{ω_μ}/_T

$$\langle : j_{\mu}^{5} : \rangle = \left(\frac{1}{6} \left[T^{2} + \frac{a^{2} - \omega^{2}}{4\pi^{2}} \right] + \frac{\mu^{2}}{2\pi^{2}} \right) \omega_{\mu} + \frac{1}{12\pi^{2}} (\omega \cdot a) a_{\mu}$$

$$\langle : j_{\mu}^{5} : \rangle = 2\pi \operatorname{Im} \left[\left(\frac{1}{6} (T^{2} + \varphi^{2}) + \frac{\mu^{2}}{2\pi^{2}} \right) \varphi_{\mu} \right] \qquad \qquad \varphi_{\mu} = \frac{a_{\mu}}{2\pi} + \frac{i\omega_{\mu}}{2\pi}$$

- H+iE <-> ω + ia ("imaginary acceleration")
- Largest ever angular velocity and acceleration – effective gravity, Unruh radiation

Another manifestation of gravity in QCD: Gravitational Formfactors (talks of I. Anikin, O. Selyugin)

 $\langle p'|T^{\mu\nu}_{q,g}|p\rangle = \bar{u}(p') \Big[A_{q,g}(\Delta^2) \gamma^{(\mu} p^{\nu)} + B_{q,g}(\Delta^2) P^{(\mu} i \sigma^{\nu)\alpha} \Delta_{\alpha}/2M] u(p)$

Conservation laws - zero Anomalous Gravitomagnetic Moment : $\mu_G = J$ (g=2)

 $P_{q,g} = A_{q,g}(0) \qquad A_q(0) + A_g(0) = 1$

 $J_{q,g} = \frac{1}{2} \left[A_{q,g}(0) + B_{q,g}(0) \right] \qquad A_q(0) + B_q(0) + A_g(0) + B_g(0) = 1$

- May be extracted from high-energy experiments/NPQCD calculations
- Describe the partition of angular momentum between quarks and gluons and interaction with both classical and TeV external (its weakness does not enter) gravity
- Special interest: quadrupole FF is related to pressure (talk of P. Sznajder) another link between hadrons and QCD matter

The pressure distribution inside the proton

LETTER

V. D. Burkert¹*, L. Elouadrhiri¹ & F. X. Girod¹ 15 Repulsive 10 pressure r²p(r) (×10⁻² GeV fm⁻¹) 5 0 Confining pressure -5 0.2 0.4 0.6 0.8 1.2 1.6 0 1.0 1.4 1.8 2.0

r (fm)

Counterpart of Ji's SR: Equivalence Principle (OT'99)

- Interaction field vs metric deviation
- $M = \langle P' | J_q^{\mu} | P \rangle A_{\mu}(q) \qquad M = \frac{1}{2} \sum_{q,G} \langle P' | T_{q,G}^{\mu\nu} | P \rangle h_{\mu\nu}(q)$ Static limit
- $\langle P|J^{\mu}_{q}|P\rangle = 2e_{q}P^{\mu} \qquad \qquad \sum_{q,G} \langle P|T^{\mu\nu}_{i}|P\rangle = 2P^{\mu}P^{\nu} \\ h_{00} = 2\phi(x)$

$$M_0 = \langle P | J_q^{\mu} | P \rangle A_{\mu} = 2e_q M \phi(q) \qquad M_0 = \frac{1}{2} \sum_{q,G} \langle P | T_i^{\mu\nu} | P \rangle h_{\mu\nu} = 2M \cdot M \phi(q)$$

Mass as charge – equivalence principle

Gravitomagnetism

• Gravitomagnetic field (weak, except in gravity waves) – action on spin from $M = \frac{1}{2} \sum_{q,G} \langle P' | T_{q,G}^{\mu\nu} | P \rangle h_{\mu\nu}(q)$

$$\vec{H}_J = \frac{1}{2} rot \vec{g}; \ \vec{g}_i \equiv g_{0i}$$

spin dragging twice smaller than EM

- Lorentz force similar to EM case: factor $\frac{1}{2}$ cancelled with 2 from $h_{00} = 2\phi(x)$ Larmor frequency same as EM $\omega_J = \frac{\mu_G}{I}H_J = \frac{H_L}{2} = \omega_L \vec{H}_L = rot\vec{g}$
- Orbital and Spin momenta dragging the same -Equivalence principle

Equivalence principle

- Newtonian "Falling elevator" well known and checked (also for elementary particles)
- Post-Newtonian gravity action on SPIN known since 1962 (Kobzarev and Okun'; rederived from conservation laws -Kobzarev and V.I. Zakharov
- Anomalous gravitomagnetic (and electric-CP-odd) moment iz ZERO or
- Classical and QUANTUM rotators behave in the SAME way
- Earth rotation: practical role of quantum measurements: trivial if spin is just a vector
- Dirac equation: valid for arbitrary fields (Obukhov,Silenko,OT; talk of Yu. Obukhov)
- Gravitational analog of Ji's SR $\int dx x (\Sigma E_q + E_G) = 0!$

Generalization of Equivalence principle

 Various arguments: AGM ≈ 0 separately for quarks and gluons – most clear from the lattice (LHPC/SESAM)



Recent lattice study (M. Deka et al. Phys.Rev. D91 (2015) no.1, 014505)

Sum of u and d for Dirac (T1) and Pauli (T2) FFs





Extended Equivalence Principle=Exact EquiPartition

- In pQCD violated
- Reason in the case of ExEP- no smooth transition for zero fermion mass limit (Milton, 73)
- Conjecture (O.T., 2001 prior to lattice data) valid in NP QCD – zero quark mass limit is safe due to chiral symmetry breaking
- Gravityproof confinement? Nucleons are not broken even by black holes?
- Support by recent observation of smallness of ("hadronic cosmological constant") Cbar
- Actually used when pressure of quarks is extracted!

From hadrons to heavy ions via light nuclei: deuteron (Spin 1 in QC)D

- Tensor polarization in QCD: Frankfurt, Strikman (81), Efremov,OT (81)
- Spin ½: kinematically enhanced longitudinal polarization; transverse – power suppressed twist 3 (and higher: talk of A. Vladimirov)
- Spin 1: LL/TT related by tracelessness

SUM RULES

- We (A.V. Efremov,OT'81) derived zero sum rules:
- 1st moment: also in parton model by Close and Kumano (90)
- 2nd moment (forward analog of Ji's SR)
- Average shear (traceless tensor) force (compensated between quarks and gluons)
- Gravity and (Ex)EP (zero average shear separately for quarks and gluons) – OT'09

Manifestation of post-Newtonian (Ex)EP for spin 1 hadrons

• Tensor polarization coupling of EMT to spin in forward matrix elements inclusive processes $A_T = \frac{\sigma_+ + \sigma_- - 2\sigma_0}{3\overline{\sigma}}$.

$$\begin{split} \langle P, S | \bar{\psi}(0) \gamma^{\nu} D^{\nu_1} \dots D^{\nu_n} \psi(0) | P, S \rangle_{\mu^2} &= i^{-n} M^2 S^{\nu\nu_1} P^{\nu_2} \dots P\nu_n \int_0^1 C_q^T(x) x^n dx \\ \sum_q \langle P, S | T_i^{\mu\nu} | P, S \rangle_{\mu^2} &= 2 P^{\mu} P^{\nu} (1 - \delta(\mu^2)) + 2 M^2 S^{\mu\nu} \delta_1(\mu^2) \\ \langle P, S | T_q^{\mu\nu} | P, S \rangle_{\mu^2} &= 2 P^{\mu} P^{\nu} \delta(\mu^2) - 2 M^2 S^{\mu\nu} \delta_1(\mu^2) \end{split}$$

 $\int_{a}^{a} (x) x^{n} dx \quad (\text{AVE.OT}'91.93)$

$$\sum_{q} \int_{0}^{1} C_{i}^{T}(x) x dx = \delta_{1}(\mu^{2}) = 0 \text{ for ExEP}$$

HERMES – data on tensor spin structure function PRL 95, 242001 (2005)

- Isoscalar target proportional to the sum of u and d quarks – combination required by (Ex)EP
- Second moments compatible to zero better than the first one (collective glue << sea)



Where else to test?

- COMPASS/AMBER?
- EIC?
- DY@J-PARC: (Song,Kumano:1902.04712)
- However: ET'81-any hard process

$$f_{AI} \sim b_{1} = \frac{2 \int d\xi t_{AI}(\xi) \operatorname{sp}[\tilde{P}E(\xi, P)]}{3 \int d\xi t(\xi) \operatorname{sp}[\tilde{P}E(\xi, P)]} = \frac{2F_{AI}(x_{1}, x_{2})}{3F(x_{1}, x_{2})}$$

Suggestion: hadronic tensor SSA(OT'19)

Vector vs Tensor SSA

Vector: A = (d(+)-d(-))/(d(+)+d(-))

• Tensor: $A = (\sigma(+)+\sigma(-))/(\sigma(+)+\sigma(-)+\sigma(0))$

 Inclusive pion production: (T-odd) vector SSA may be also excluded by summing o(L)+ o(R)

Tensor polarized beams

 Opportunity: NICA@JINR with polarized hadronic beams: SPD (and MPD?)

 Polarized deuterons is easier to accelerate: no depolarizing resonances
 Good starting point!

DY, J/Ψ (+hadronic SSA)



Conclusions/Outlook

- Same ingredients in hadrons and heavy ions in different ways!
- Scattering plane -> Reaction plane
- Interference -> LS via anomaly
- Phases -> dissipation in core of vortices in pionic superfluid
- Strongest ever inertial effects (rotation and acceleration): role of gravity
- Gravitational Ffs of hadrons pressure and shear
- May be studied at NICA with tensor polarized beams



Properties of SSA

The same for the case of initial or final state polarization. Various possibilities to measure the effects: change sign of \vec{n} or \vec{P} : left-right or up-down asymmetry. Qualitative features of the asymmetry Transverse momentum required (to have \vec{n}) Transverse polarization (to maximize $(\vec{P}\vec{n})$) Interference of amplitudes IMAGINARY phase between amplitudes - absent in Born approximation

Polarization at NICA/MPD (A. Kechechyan)

QGSM Simulations and recovery accounting for MPD acceptance effects

AuAu (LAQGSM)

