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PHYSICS OF ELEMENTARY PARTICLES  
AND ATOMIC NUCLEI. THEORY

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## Monte-Carlo Generator of Heavy Ion Collisions DCM-SMM

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**Abstract**—The new monte-carlo generator of heavy ion collisions, DCM-SMM, based on Dubna Cascade Model (DCM-QGSM) and Statistical Multifragmentation Model (SMM) is described. The model aimed to generate particle–nucleus and nucleus–nucleus collisions at a wide range of energy was created to provide the computer simulation support to new experimental facilities BMN and MPD at the accelerator complex NICA. It can simulate the production of both light particles and nuclear fragments and hyperfragments on the event by event basis.

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### INTRODUCTION

Modern experiments at heavy ion facilities require simulation at all stages of their planning, construction and functioning. The important role in this process belongs Monte-Carlo models and their computer codes of nuclear collisions. Monte-Carlo simulation is very effective tool for optimizing the detector elements, debugging the event reconstruction algorithms, predicting the efficiency, calculating the signal-to-background ratio, determining the best criteria for selecting events. In data analysis, on the other hand, the model must provide, first of all, with a good background for (un)expected effects including (as much as possible) all mechanisms describing the properties of products of reactions and the various effects understandable in the framework of modern theories. Study of the properties of strongly interacting matter in heavy ion collisions is the main task of the current and future experiments over the world. The theory of strong interactions, QCD, predicts that the nuclear matter may convert in such collisions into a new, QGP state. It is necessary to have reliable models and codes including a wide variety of heavy ion related effects ranging from particle production, hypernuclei formation and multifragmentation to correlations and collective flow. To study the possibilities of forming new states in a hot and dense nuclear matter the new experimental facilities BMN and MPD at the new heavy ion collider NICA are being created. Needless to say that these experiments require reliable transport generators. To meet these requirements the new transport model DCM-SMM, Dubna Cascade—Statistical Multifragmentation Model, for simulation of products

of reactions in heavy ion collisions in the energy range from hundred MeV to hundred GeV is created. The basic components of the DCM-SMM are the Dubna Cascade Model (DCM) [1, 2], the Quark-Gluon String Model (QGSM) [3–6] and the Statistical Multifragmentation Model (SMM) [7]. New physics phenomena are implemented in the model: extended coalescence, multifragmentation, hyperfragments production, vorticity of nuclear matter and Lambda polarization. Accordingly, the paper is organized as follows: Section 1 starts with a brief description of components of the model DCM and QGSM. New coalescence model of formation of light and medium clusters and hyperon clusters from secondary particles in a wide rapidity interval is described in Section 2. Section 3 is devoted to light and intermediate mass fragment production by excited residual nuclei. Analysis of possibility of forming a nuclear vorticity field in non-central heavy ion collisions resulting in a global polarization of lambda-hyperon is given in Section 4. Section 5 demonstrates comparison of the model with experimental data. In Section 6 we discuss the results and further improvements of the model. The brief guide for running the program code with an example is given in Appendix.

### 1. DUBNA CASCADE MODEL AND QUARK GLUON STRING MODEL, DCM-QGSM

One of the first models designed to describe the dynamics of energetic heavy-ion collisions was the intra-nuclear cascade model developed in Dubna [2]. The Dubna Cascade Model, DCM, is based on the

Monte-Carlo solution of a set of the Boltzmann–Uehling–Uhlenbeck relativistic kinetic equations with the collision terms, including cascade-cascade interactions. The modified non-Markovian relativistic kinetic equation, having a structure close to the Boltzmann–Uehling–Uhlenbeck kinetic equation, but accounting for the finite formation time of newly created hadrons, is used for simulations of relativistic nuclear collisions. Particle-nucleus and nucleus-nucleus collisions are treated as noncoherent superposition of binary interactions. For particle energies below 1 GeV it is sufficient to consider only nucleons, pions and deltas. The model includes a proper description of meson and baryon dynamics for particle production and absorption processes. The black disk approximation is adopted as criterion of interaction. It means that two hadrons can interact both elastically and inelastically if the distance  $d$  between them is smaller than  $\sqrt{\sigma/\pi}$ , where  $\sigma$  is the total cross section. Tables of the experimentally available information, such as hadron cross sections, resonance widths and decay modes, are implemented in the model. The model includes the concept of formation time which is defined by uncertainty principle  $\tau_f \sim \hbar/m_\perp$ . Formation time,  $\tau_f$ , in turn, defines the length of hadron formation,  $l_f = \tau_f(p/m_\perp)$ . For all produced particles the appropriate formation time taken by a reasonable agreement with experimental data. Nuclei are generated as Fermi gas of nucleons with Wood–Saxon density distribution

$$\rho(r) = \rho_0 / (1 + \exp[(r - r_0)/a]), \quad (1)$$

with

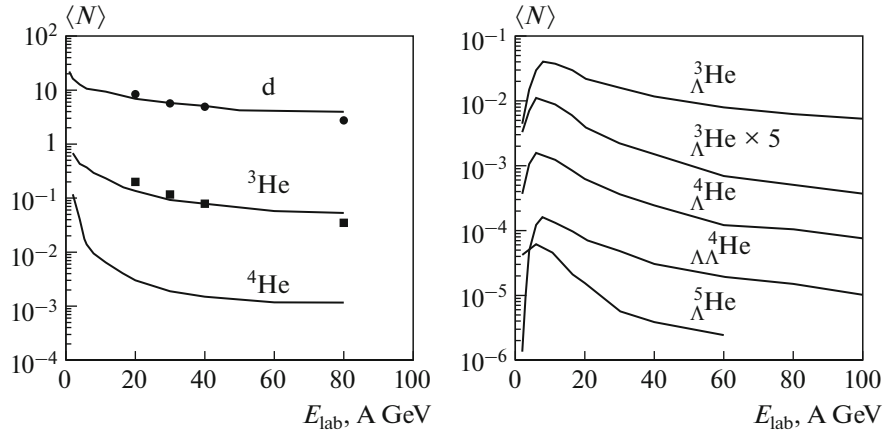
$$r_0 = 1.19A^{1/3} + 1.61A^{-1/3} \text{ fm}, \quad a = 0.54 \text{ fm}. \quad (2)$$

To take the Fermi motion of nucleons into account a Fermi momentum  $p$  is generated for each nucleon uniformly distributed in the range  $0 < p < p_F$ , where  $p_F$  is the maximum Fermi nucleon momentum. Fermi distribution of nucleon momenta provides Pauli blocking factors for scattered nucleons. The nuclear potential is treated dynamically, i.e., for the initial state it is determined using the Thomas-Fermi approximation, but later on its depth is changed according to the number of knocked-out nucleons. This allows one to account for nuclear binding.

DCM is a universal intranuclear cascade model to describe lepton, hadron and nucleus-nucleus interactions. Cascade particles produced in primary binary interactions then passage through both the target and projectile nuclei producing in turn new secondary particles. The model includes interactions of cascade particles with each other, as well. It uses experimental cross sections for these elementary interactions to simulate angular and energy distributions of cascade particles, also considering the Pauli exclusion principle. Cascade particles are traced until their energy

decreases due to elementary collisions to a value equal or below the cutoff energy of 1 MeV (plus the Coulomb barrier, for protons) above the Fermi level, when they are considered to be absorbed by the target/bombarding nucleus, increasing its excitation energy. When all of the cascade particles escape from or are absorbed by the target and bombarding nuclei, the fast stage of the reaction is ceased. Usually the residual nucleus (RN) produced after the completion of the intranuclear cascade is considered as thermalized many-body system. However, the system of Fermi particles formed just after the cascade may be out of equilibrium. In the course of the expansion and equilibration such a system may emit preequilibrium particles [8]. As a result, the excitation energy and the nucleon content of the primary RN may differ considerably from the corresponding values for the thermalized system at freeze-out. The subsequent relaxation of a residual nucleus at the equilibrium evaporation/fission stage is described by using the generalized (sequential) evaporation model [9]. Development of DCM is described in papers [3–6].

To make the DCM code applicable at higher energies (up to hundreds GeV/nucleon), it was merged with the Quark-Gluon String Model (QGSM). QGSM simulating elementary hadron collisions at the energies higher than about 5 GeV describes binary collisions in the framework of independent quark-gluon strings quasiclassical approximation [3–5, 10]. In this treatment, collisions of hadrons lead to the formation of the strings between quark and antiquark and quark and diquark. The production of new particles occurs via fragmentation of specific colored objects, strings. Strings are uniformly stretched, with constant string tension  $\kappa \approx 1 \text{ GeV/fm}$ , between the quarks, diquarks, and their antistates. The excited strings then fragment into pieces via the Schwinger-like mechanism, and the produced hadrons are uniformly distributed in the rapidity space. Hadron production is treated in the framework of Dual Parton Model [11, 12] which assumes that the main contribution to particle production is due to soft processes composed of elastic, diffractive and non-diffractive interactions. In the QGSM, the leading edges of stretched strings are replaced by energetic hadrons; this corresponds to minimal inclusion of quark dynamics. This means that the basic kinetic equations will be written in terms of hadronic states. However, the quark properties are used for specifying the initial hadron-hadron states and for describing the passage of strings through nuclear matter with subsequent hadronization by introducing the concept of hadron formation time. Due to the uncertainty principle newly produced particles can interact further only after a certain formation time. However, hadrons containing the valence quarks can interact immediately with the reduced cross section  $\sigma = \sigma_{qN}$ .



**Fig. 1.** Mean multiplicities of light fragments and hyperfragments formed due to the coalescence mechanism at the AGS and NA49 energy range compared with NA49 data [16] on deuteron and  ${}^3\text{He}$ .

The model is based on the  $1/N_c$  expansion of the amplitude for binary processes where  $N_c$  is the number of quark colours. Different terms of the  $1/N_c$  expansion correspond to different diagrams which are classified according to their topological properties. Every diagram defines how many strings are created in a hadronic collision and which quark-antiquark or quark-diquark pairs form these strings. The relative contributions of different diagrams can be estimated within Regge theory, and all QGSM parameters for hadron-hadron collisions were fixed from the analysis of experimental data. The break-up of strings via creation of quark-antiquark and diquark-antidiquark pairs is described by the Field-Feynman method [13], using phenomenological functions for the fragmentation of quarks, antiquarks and diquarks into hadrons. The QGSM takes into account the lowest SU(3) multiplets in mesonic, baryonic and antibaryonic sectors, so interactions between almost 70 hadron species are treated on the same footing. Particles produced by the model are given by Table in Appendix.

## 2. COALESCENCE: LIGHT AND MEDIUM CLUSTER PRODUCTION

According to early version of DCM, after completion of the cascade stage of a reaction, the coalescence model is applied to “create” high-energy d, t,  ${}^3\text{He}$ , and  ${}^4\text{He}$  by final state interactions among emitted cascade nucleons [2, 14]. Energetic light fragments (LF) heavier than  ${}^4\text{He}$  may be emitted through three mechanisms: Fermi breakup, coalescence and multifragmentation. In the initial formulation [2] the coalescence model forms a deuteron from a proton and a neutron produced after the cascade stage of reaction if their relative momenta are within a sphere of radius  $p_c$ , comparable to the deuteron momentum. The same momentum criterion have been used to describe for-

mation of tritons,  ${}^3\text{He}$ , and  $\alpha$ -particles. In particular, the parameters  $p_c(\text{d}) = 90$ ,  $p_c(\text{t}) = 108$ ,  $p_c({}^3\text{He}) = 108$ , and  $p_c(\alpha) = 115$  (MeV/c) were adopted to reproduce the experimental data [2, 15]. We believe that the spatial coordinates of nucleons should be taken into account too after all cascade interactions have stopped. Here we assume that the coalescence criterion used to form the composite particles includes the proximity of nucleons both in the momentum and coordinate space in the system of a cluster. The coordinate coalescence parameters are determined by the relation  $r_c = \hbar/p_c$ , with the same values of  $p_c$ . This coalescence procedure was extended to consider the formations of known light hypernuclei [15], for example,  ${}^3\text{H}_\Lambda$ ,  ${}^4\text{H}_\Lambda$ ,  ${}^4\text{He}_\Lambda$ . As an approximation we use the same coalescence parameters for both conventional fragments and hyperfragments. Such a mechanism of light fragments and hyperfragment production will be dominating in the midrapidity zone of relativistic ion collisions and can be measured with modern detector facilities at NICA and Nuclotron. Yield of light (hyper)fragments in AuAu/PbPb collisions calculated according to coalescence mechanism is shown in Fig. 1. Although mean multiplicities of light fragments in the model more or less agree with data, the shapes of their rapidity distributions essentially differ. As can be seen on Fig. 2 calculated rapidity distributions of deuterons are concentrated near the center of mass of colliding nuclei. The same is for other light fragments (Fig. 3). Some of these deviations may come from nucleon spectra which are concentrated at mid-rapidity at AGS and lower NA49 energies (Figs. 9, 10). Another reason of this deviation could be collective effects in motion of nucleons which are not included presently in DCM. For example, it could be a hydrodynamical-like expansion of hot matter produced in the midrapidity region. In this case we expect to get a more broad nucleon distribution in the rapidity. As

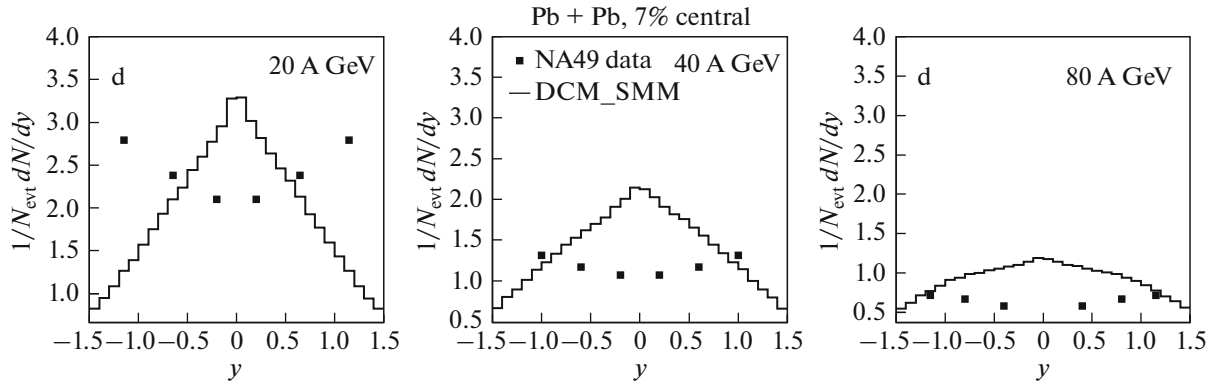


Fig. 2. Rapidity distributions of coalesced deuterons compared with NA49 data [16].

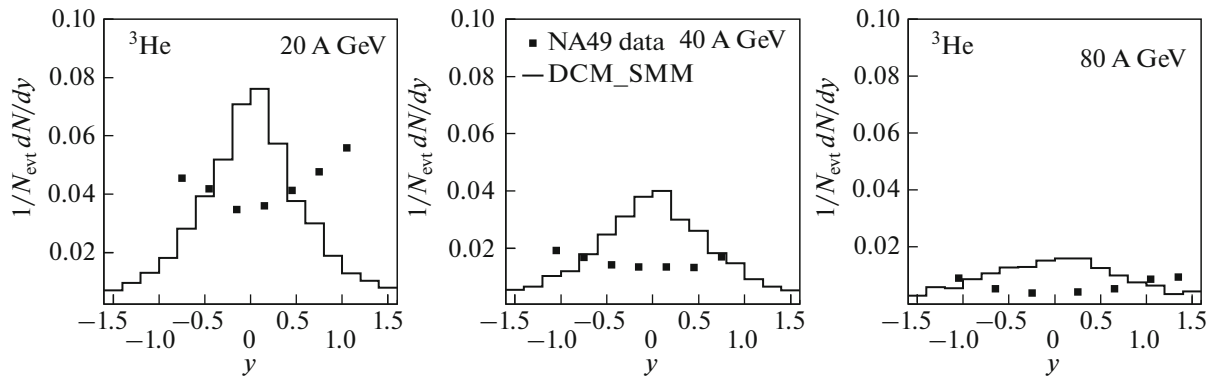


Fig. 3. Rapidity distributions of coalesced  $^3\text{He}$  compared with NA49 data [16].

well as the transverse momenta of baryons in this region will increase, and this would lead to a local decrease of the cluster formation within the coalescence picture at the midrapidity.

The important advantage of our coalescence procedure is that it gives a possibility to analyze the fragment formation on event by event basis, in particular, by taking into account the correlation with other particles produced during the cascade stage. This is impossible to perform in the case of the analytical formulation of the coalescence model which use the particle spectra integrated over many events. In future, we plan to adopt a new coalescence approach by considering the production of coalescent clusters of all sizes [17]. We believe it should also include the formation of exotic and nuclear/hypernuclear clusters in excited states with their following de-excitation.

### 3. NUCLEAR FRAGMENTS PRODUCTION

It is well known that highly-excited ( $\approx 5$ – $10$  MeV/n) residual nuclei (RN) are produced in inelastic nuclear reactions induced by intermediate- and high-energy particles and nuclei. In these reac-

tions one deals with several stages which differ by characteristic time scales and realized physical conditions. One can distinguish at least three stages: (1) the initial non-equilibrium stage leading to the production of an excited nuclear system; (2) the formation of fragments and break-up of the system into separate fragments; (3) farther de-excitation of hot fragments via evaporation/fission. The first stage is simulated by intranuclear cascade models. Disintegration of excited residues at the second stage can be described by a wide variety of models that have been proposed for nuclear multifragmentation. The existing models can be grouped into several categories: probabilistic, macroscopic, statistical models of different kinds, sequential evaporation, and many other models. The previous version of the model, DCM-QGSM includes a pre-equilibrium stage on which RN with the large excitation energy emits light fragments before transition to the thermalization stage. The excited thermalized RN decays then according to fission and/or sequential evaporation model.

Statistical approaches have proved to be very successful for description of fragment production in nuclear reactions. According to the statistical hypoth-

esis, initial dynamical interactions between nucleons lead to re-distribution of the available energy among many degrees of freedom, and the nuclear system evolves towards equilibrium. The most famous example of such an equilibrated nuclear source is the “compound nucleus” introduced by Niels Bohr in 1936 [18]. It was clearly seen in low-energy nuclear reactions leading to excitation energies of a few tens of MeV. It is remarkable that the statistical concept works also for nuclear reactions induced by particles and ions of intermediate and high energies, when nuclei break-up into many fragments (multifragmentation) [7]. In the framework of our combined code, DCM-SMM, fragment production is subdivided into three stages: (1) a dynamical stage leading to formation of equilibrated nuclear system, which is described by DCM, (2) disassembly of the system into individual primary fragments described by SMM, (3) de-excitation of hot primary fragments according to evaporation/fission models. If on the stage 2 we obtain the compound nucleus, then its disintegration takes place at the stage 3 as in the case of other hot fragments.

### 3.1. Formation and Break-Up of Thermalized Nuclear Residues

The DCM was the first model used for realistic calculations of ensembles of highly excited residual nuclei which undergo multifragmentation, see e.g. [19, 20]. Many dynamical models have also been used for dynamical simulations of ion reactions, and all models confirm that the character of the dynamical evolution changes after a few rescatterings of incident nucleons, when high energy particles (“participants”) leave the system. The time needed for equilibration and transition to the statistical description is estimated around or less than 100 fm/c for nuclear spectator matter. Parameters of the predicted equilibrated sources, i.e. their excitation energies, mass numbers and charges vary significantly depending on the impact parameter. However, the theoretical calculations and the analyses of experimental data gives evidences for the saturation of the spectator residues excitation energy and for an universal connection between sizes of the residues and their excitation energies [21–24].

### 3.2. Evolution from Sequential Decay to Simultaneous Break-Up

After dynamical formation of a thermalized source, its further evolution depends crucially on the excitation energy and mass number. The standard compound nucleus picture is valid only at low excitation energies when sequential evaporation of light particles and fission are the dominant decay channels [7, 25]. However, the concept of the compound nucleus cannot be applied at high excitation energies,  $E^* \geq 3$  MeV/n. In this case there will be not enough time for the residual nucleus to reach equilibrium between subsequent emissions,

since the time intervals between subsequent fragment emissions become very short, of order of a few tens of fm/c [26]. Moreover, the produced fragments will be in the vicinity of each other and, therefore, should interact strongly. Many theoretical calculations predict that the compound nucleus will be unstable at high temperatures, and a simultaneous break-up into many fragments is the only possible way for the evolution of highly-excited systems [27]. The rates of the particle emission calculated as for an isolated compound nucleus will not be reliable in this situation. There also exist several analyses of experimental data, which reject the binary decay mechanism of fragment production via sequential evaporation from a compound nucleus at high excitation energy [28–31]. On the other hand, the picture of a nearly simultaneous break-up in some freeze-out volume is justified in this case. Indeed, the time scales of less than 100 fm/c are extracted for multifragmentation reactions from experimental data [32, 33].

### 3.3. Statistical Multifragmentation Model

After completion of the cascade stage, when all produced particles leave the interaction zone, an excited RN is treated as thermalized. On the next stage such a thermalized many body system can emit multiple fragments that is described in the framework of the Statistical Multifragmentation Model (SMM) [7]. The reason is that this model was primary constructed for using after initial dynamical stage, and adjusted for this kind of hybrid Monte-Carlo calculations.

The model assumes statistical equilibrium of excited nuclear system with mass number  $A_0$ , charge  $Z_0$ , and excitation energy (above the ground state)  $E_0$  at a low-density freeze-out volume. This volume can be parameterized as  $V = V_0 + V_f$ , so the baryon density is  $\rho = A_0/V$ .  $V_0$  is the volume of the system at the normal nuclear density  $\rho_0 \approx 0.15$  fm<sup>-3</sup>.  $V_f$  is the so-called free volume available for translational motion of fragments. Note that the hypothesis of the statistical equilibrium, including the detail balance principle, suggests that the short-range strong nuclear forces is not responsible for the primary fragment formation beyond the freeze-out volume. The model considers all break-up channels (ensemble of partitions  $\{p\}$ ) composed of nucleons and excited fragments taking into account the conservation of baryon number, electric charge and energy. An important advantage of the SMM is that besides these break-up channels it includes also the compound nucleus channel, and takes into account competition between all channels. In this way the SMM includes the conventional evaporation and fission processes at low excitation energy, and provides natural generalization of the de-excitation process for high excitation energy.

In the model light nuclei with mass number  $A \leq 4$  and charge  $Z \leq 2$  are treated as elementary stable particles with masses and spins taken from the nuclear tables (“nuclear gas”). Only translational degrees of freedom of these particles contribute to the entropy of the system. Fragments with  $A > 4$  are treated as heated nuclear liquid drops. In this way one may study the nuclear liquid-gas coexistence in the freeze-out volume. Their individual free energies  $F_{AZ}$  are parameterized as a sum of the bulk, surface, Coulomb and symmetry energy contributions

$$F_{AZ} = F_{AZ}^B + F_{AZ}^S + E_{AZ}^C + E_{AZ}^{\text{sym}}. \quad (3)$$

The standard expressions for these terms are:  $F_{AZ}^B = (-W_0 - T^2/\epsilon_0)A$ , where  $T$  is the temperature, the parameter  $\epsilon_0$  is related to the level density, and  $W_0 = 16$  MeV is the binding energy of infinite nuclear matter;  $F_{AZ}^S = B_0 A^{2/3} \left( \frac{T_c^2 - T^2}{T_c^2 + T^2} \right)^{5/4}$ , where  $B_0$  MeV is the surface coefficient, and  $T_c$  MeV is the critical temperature of infinite nuclear matter;  $E_{AZ}^C = cZ^2/A^{1/3}$ , where  $c = (3/5)(e^2/r_0)(1 - (\rho/\rho_0)^{1/3})$  is the Coulomb parameter, with the charge unit  $e$  and  $r_0 = 1.17$  fm;  $E_{AZ}^{\text{sym}} = \gamma(A - 2Z)^2/A$ , where  $\gamma = 25$  MeV is the symmetry energy parameter. These parameters are those of the Bethe–Weizsäcker formula and correspond to the assumption of isolated fragments with normal density in the freeze-out configuration, an assumption found to be quite successful in many applications. It is to be expected, however, that in a more realistic treatment primary fragments will have to be considered not only excited but also expanded and still subject to a residual nuclear interaction between them. These effects can be accounted for in the fragment free energies by changing the corresponding liquid-drop parameters. The Coulomb interaction of fragments in the freeze-out volume is described within the Wigner–Seitz approximation (see [7] for details).

As is well known, the number of partitions of medium and heavy systems ( $A_0 \sim 100$ ) is enormous (see e.g. [34]). In order to take them into account the model uses few prescriptions. At small excitation energies the standard SMM code [7] uses a microcanonical treatment, however, taking into account a limited number of disintegration channels: as a rule, only partitions with total fragment multiplicity  $M \leq 3$  are considered. This is a very reasonable approximation at low temperature, when the compound nucleus and low-multiplicity channels dominate. Recently, a full microcanonical version of the SMM using the Markov Chain method was introduced [34, 36]. It can be used for exploring all partitions without limitation. However, it is a more time consuming approach, and it is used in special cases only [36].

Within the microcanonical ensemble the statistical weight of a partition  $p$  is calculated as

$$W_p \propto \exp S_p, \quad (4)$$

where  $S_p$  is the corresponding entropy, which depends on fragments in this partition, as well as on the excitation energy  $E_0$ , mass number  $A_0$ , charge  $Z_0$ , volume  $V$  of the system. In the standard treatment we follow a description which corresponds to approximate microcanonical ensemble. Namely, we introduce a temperature  $T_p$  characterising all final states in each partition  $p$ . It is determined from the energy balance equation taking into account the total excitation energy  $E_0$  [7]. In the following we determine  $S_p$  for the found  $T_p$  by using conventional thermodynamical relations. In the standard case, it can be written as

$$\begin{aligned} S_p = & \ln \left( \prod_{A,Z} g_{A,Z} \right) + \ln \left( \prod_{A,Z} A^{3/2} \right) \\ & - \ln(A_0^{3/2}) - \ln \left( \prod_{A,Z} n_{A,Z}! \right) + (M - 1) \\ & \times \ln(V_f / \lambda_{T_p}^3) + 1.5(M - 1) \\ & + \sum_{A,Z} \left( \frac{2T_p A}{\epsilon_0} - \frac{\partial F_{AZ}^S(T_p)}{\partial T_p} \right), \end{aligned}$$

where  $n_{A,Z}$  as the number of fragments with mass  $A$  and charge  $Z$  in the partition,  $g_{A,Z} = (2s_{A,Z} + 1)$  is the spin degeneracy factor,  $\lambda_{T_p} = (2\pi\hbar^2/m_N T_p)^{1/2}$  is the nucleon thermal wavelength ( $m_N \approx 939$  MeV is the average nucleon mass), and the summation is performed over all fragments of the partition  $p$ . We enumerate all considered partitions and select one of them according to its statistical weight by the Monte-Carlo method.

At high excitation energy the standard SMM code makes a transition to the grand-canonical ensemble [7], since the number of partitions with high probability becomes too large. In the grand canonical formulation, after integrating out translational degrees of freedom, one can write the mean multiplicity of nuclear fragments with  $A$  and  $Z$  as

$$\begin{aligned} \langle n_{A,Z} \rangle = & g_{A,Z} \frac{V_f}{\lambda_T^3} A^{3/2} \\ & \times \exp \left[ -\frac{1}{T} (F_{AZ}(T, V) - \mu A - \nu Z) \right]. \end{aligned} \quad (5)$$

Here the temperature  $T$  can be found from the total energy balance of the system by taking into account all possible fragments with  $A$  from 1 to  $A_0$  and with  $Z$  from 0 to  $Z_0$  [7]. The chemical potentials  $\mu$  and  $\nu$  are found from the mass and charge constraints:

$$\sum_{A,Z} \langle n_{A,Z} \rangle A = A_0, \quad \sum_{A,Z} \langle n_{A,Z} \rangle Z = Z_0.$$

In this case the grand canonical occupations  $\langle n_{A,Z} \rangle$  are used for Monte-Carlo sampling of the fragment

partitions [7]. These two methods of partition generation are carefully adjusted to provide a smooth transition from the low energy to the high energy regimes.

### 3.4. Propagation and De-Excitation of Hot Fragments

After the Monte-Carlo generation of a partition the temperature of the hot fragments, their excitation energy and momenta can be found from the energy and momentum balance. The Coulomb acceleration and propagation of fragments must be taken into account also. In order to evaluate it the fragments are placed randomly in the freeze-out volume  $V$  (without overlapping), and their positions are adjusted by taking into account that their Coulomb interaction energy must be equal to the value calculated in the Wigner-Seitz approximation. In the following we resolve the Hamilton equations for motion of fragment from these initial positions in their mutual Coulomb field. The energy and momentum balances are strictly respected during this dynamical propagation.

The secondary de-excitation of primary hot fragments includes several mechanisms. For light primary fragments (with  $A \leq 16$ ) produced in multifragmentation even a relatively small excitation energy may be comparable with their total binding energy. In this case we assume that the principal mechanism of de-excitation is the explosive decay of the excited nucleus into several smaller clusters (the Fermi break-up) [7, 35, 37]. In this decay the statistical weight of the channel  $p$  containing  $n$  particles with masses  $m_i$  ( $i = 1, \dots, n$ ) in volume  $V_p$  can be calculated in microcanonical approximation:

$$\Delta\Gamma_p \propto \frac{S}{G} \left( \frac{V_p}{(2\pi\hbar)^3} \right)^{n-1} \left( \frac{\prod_{i=1}^n m_i}{m_0} \right)^{3/2} \times \frac{(2\pi)^{\frac{3}{2}(n-1)}}{\Gamma\left(\frac{3}{2}(n-1)\right)} (E_{\text{kin}} - U_p^C)^{\frac{3}{2}n - \frac{5}{2}}, \quad (6)$$

where  $m_0 = \sum_{i=1}^n m_i$  is the mass of the decaying nucleus,  $S = \prod_{i=1}^n (2s_i + 1)$  is the degeneracy factor ( $s_i$  is the  $i$ th particle spin),  $G = \prod_{j=1}^k n_j!$  is the particle identity factor ( $n_j$  is the number of particles of kind  $j$ ).  $E_{\text{kin}}$  is the total kinetic energy of particles at infinity which can be found through the energy balance by taking into account the fragment excitation energy,  $U_p^C$  is the Coulomb barrier for this decay. We have slightly modified this model [37] by including fragment excited states stable with respect to the nucleon emission as well as some long-lived unstable nuclei.

The successive particle emission from hot primary fragments with  $A > 16$  is assumed to be their basic de-excitation mechanism, as in the case of the compound nucleus decay. Due to the high excitation energy of these fragments, the standard Weisskopf evaporation scheme was modified to take into account the heavier ejectiles up to  $^{18}\text{O}$ , besides light particles (nucleons,  $d$ ,  $t$ ,  $\alpha$ ), in ground and particle-stable excited states [37]. The width for the emission of a particle  $j$  from the compound nucleus ( $A, Z$ ) is given by:

$$\Gamma_j = \sum_{i=1}^n \int_0^{E_{AZ}^* - B_j - \epsilon_j^{(i)}} \frac{\mu_j g_j^{(i)}}{\pi^2 \hbar^3} \sigma_j(E) \times \frac{\rho_{A'Z'}(E_{AZ}^* - B_j - E)}{\rho_{AZ}(E_{AZ}^*)} E dE. \quad (7)$$

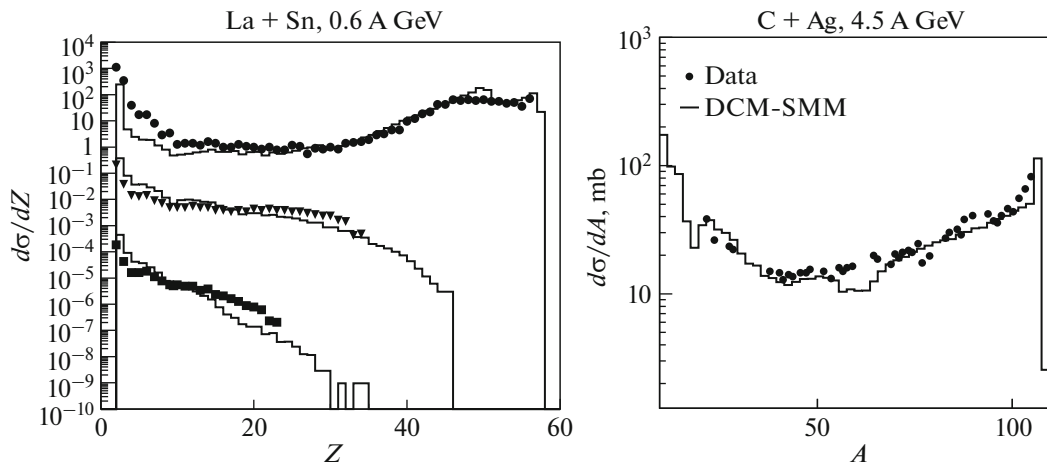
Here the sum is taken over the ground and all particle-stable excited states  $\epsilon_j^{(i)}$  ( $i = 0, 1, \dots, n$ ) of the fragment  $j$ ,  $g_j^{(i)} = (2s_j^{(i)} + 1)$  is the spin degeneracy factor of the  $i$ th excited state,  $\mu_j$  and  $B_j$  are corresponding reduced mass and separation energy,  $E_{AZ}^*$  is the excitation energy of the initial nucleus,  $E$  is the kinetic energy of an emitted particle in the centre-of-mass frame. In Eq. (7)  $\rho_{AZ}$  and  $\rho_{A'Z'}$  are the level densities of the initial ( $A, Z$ ) and final ( $A', Z'$ ) compound nuclei. The cross section  $\sigma_j(E)$  of the inverse reaction ( $A', Z'$ ) +  $j = (A, Z)$  was calculated using the optical model with nucleus-nucleus potential [37]. The evaporation process was simulated by the Monte Carlo method and the conservation of energy and momentum was strictly controlled in each emission step.

An important channel of de-excitation of heavy nuclei ( $A > 100$ ) is fission. This process competes with particle emission, and it is also simulated with the Monte-Carlo method. Following the Bohr-Wheeler statistical approach we assume that the partial width for the compound nucleus fission is proportional to the level density at the saddle point  $\rho_{sp}(E)$  [7, 25]:

$$\Gamma_f = \frac{1}{2\pi\rho_{AZ}(E_{AZ}^*)} \int_0^{E_{AZ}^* - B_f} \rho_{sp}(E_{AZ}^* - B_f - E) dE, \quad (8)$$

where  $B_f$  is the height of the fission barrier which is determined by the Myers-Swiatecki prescription. For approximation of  $\rho_{sp}$  we used the results of the extensive analysis of nuclear fissility and  $\Gamma_n/\Gamma_f$  branching ratios. Concerning masses, charges and energies of produced fission fragments see [7, 25] for details.

All these models for secondary de-excitation were tested by numerical comparisons with experimental data on decay of compound nuclei with excitation energies less than 2–3 MeV per nucleons. It is import-



**Fig. 4.** Left: Fragmentation of 0.6 A GeV projectile La nucleus. Data are from [23]. Right: Fragment mass distribution in CAg collisions at 4.6 A GeV/c. Data are from [45].

ant that after all stages the SMM provides event by event simulation of the whole break-up process and allows for direct comparison with experimental events.

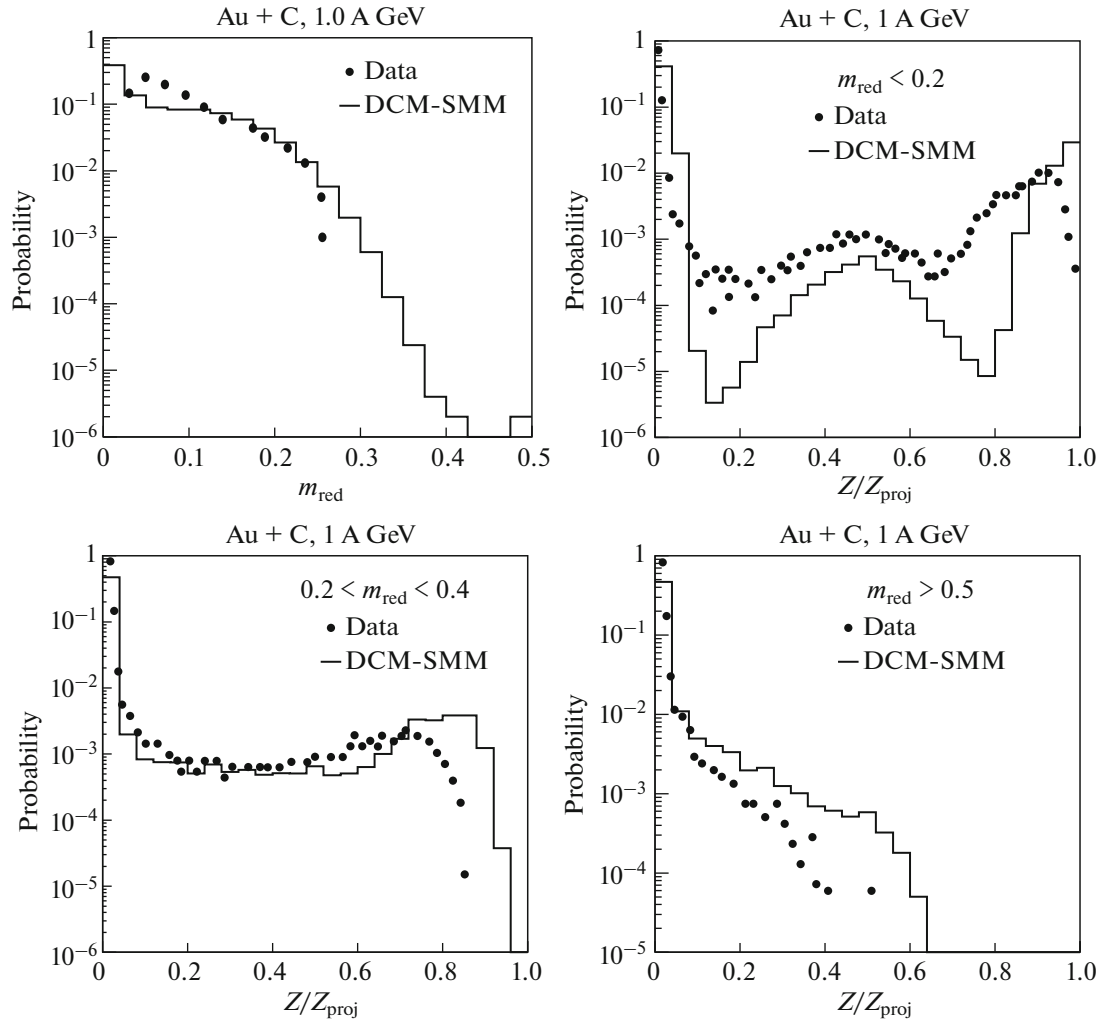
### 3.5. Experimental Verification of SMM and Prospects of the Statistical Approach

As was shown already in first publications [7, 19] the SMM gives very good description of experimental data in the case when fragments are emitted from equilibrated sources. Later on, many experimental groups have successfully applied SMM for interpretation of their data. There were convincing comparisons with experimental data in heavy ion collisions around Fermi-energy [26, 38–41]. In relativistic ion collisions the analyses have also demonstrated an excellent performance of SMM for description of the nuclear residues disintegration [21–23, 42, 43]. As well as for the reaction initiated by light relativistic projectiles on heavy nuclei [31, 44]. It was demonstrated, that SMM describes charge (mass) distributions of produced fragments and their evolution with excitation energy, isotope distributions, multiplicities of produced particles and fragments in events, charge distributions of first, second, third fragments in the system, correlation functions (charge, angle, velocity ones) of the fragments, fragment kinetic energy distributions. Simultaneously, this model reproduces global characteristics of the systems, such as caloric curves, critical indexes for the phase transition, different moments of the fragment charge distribution. In other words, the model can describe almost completely experimental events of fragmentation and multifragmentation. Charged fragments produced in heavy ion collisions in the energy range from hundreds MeV to few GeV in comparison with data are shown in Figs. 4, 5. In Fig. 4 the cross sections  $d\sigma/dZ$  for fragment production measured at ALADIN spectrometer was initiated by

600 A MeV  $^{124}\text{La}$  projectiles directed onto reaction target consisting of  $^{\text{nat}}\text{Sn}$  [23]. The data are sorted into two bins of the reduced bound charge  $Z_{\text{bound}} = Z \geq 2$ , where  $Z_0 = Z_{\text{proj}}$ . The right plot in this Figure shows the mass number distribution in reaction CAg with 4.5 A GeV/c carbon beam [45]. The multifragmentation of 1 A GeV Au incident on carbon together with data measured by EOS collaboration is demonstrated in Fig. 5. The charged fragment multiplicity is shown in top left plot in the form of reduced multiplicity distribution, where  $m_{\text{red}} = m/Z_{\text{projectile}}$ . Three other plots represent the fragment charge distributions for three reduced multiplicity intervals. We must note that in the comparisons in Figs. 4 and 5 with ALADIN and EOS data we did not take into account the experimental filters for particle at fragment detection, since it is unknown to us. For example, we expect that the extracted (in the calculations) intervals in  $m_{\text{red}}$  in Fig. 5 will be shifted to higher values after this correction. This effect and implementation of the trigger conditions will lead to the corresponding improvement of the fragment yields' comparison. More systematic comparison would require the collaboration with experimenters. However, the presented comparison shows that we correctly reproduce the main trends of fragment production within SMM. We have also found that using evaporation/fission processes only, GEM model, give us a qualitative disagreement with the data.

An important application of this statistical approach is related to the production of hypermatter and hypernuclei from the excited residues. These hyper-residues can be produced by the capture of strange particles during the cascade stage of the relativistic collisions [24, 47]. The extension of SMM into hypernuclear sector predicts the possibility to form many novel hypernuclei, including exotic ones and





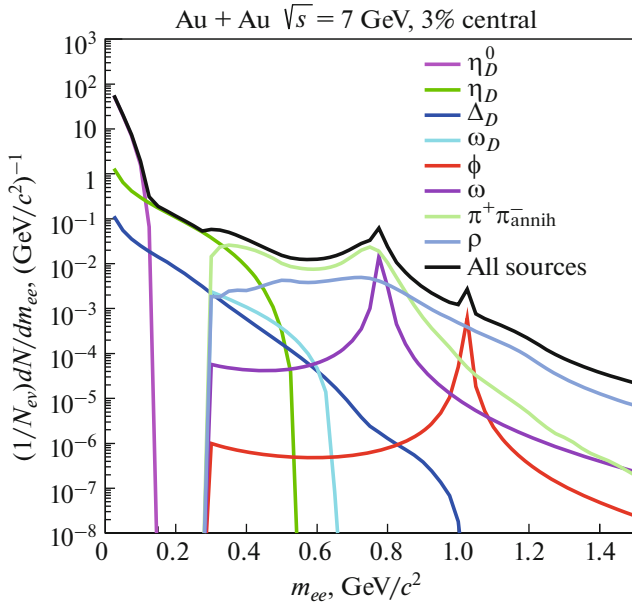
**Fig. 5.** Fragmentation of projectile Au in AuC collisions at 1 A GeV. Top left: Fragment yield versus reduced charged fragment multiplicity,  $m_{\text{red}} = m/Z_{\text{proj}}$ . Other three plots are fragment charge distributions of Au as a function of  $Z/Z_{\text{proj}}$  for three reduced multiplicity intervals. Data are from [46].

multi-strange nuclei, which are not possible to produce in other reactions [48–50]. There were realistic estimates of yields of the hypernuclei which can help in preparation of experiments [51]. Moreover, it was demonstrated that by measuring the statistically produced hypernuclei one can extract information about their properties, in particular, the binding energy [52]. The mechanism of the hyperon capture will be implemented in the next version of DCM-SMM.

#### 4. DILEPTONS PRODUCTION

Dileptons are a unique tool to study the properties of hot and dense matter created in nuclear collisions. They might serve as probes for the in-medium properties of vector mesons and the predicted restoration of chiral symmetry. Unlike hadrons, the lepton pairs produced in the nuclear fireball do not participate in the

strong interaction and therefore penetrate the strongly interacting medium with negligible final-state reactions. Thus we gain insight into all the different stages of a nuclear collision, from the first nucleon-nucleon interactions to the final freeze-out. But this also means that in experimental measurements we obtain time-integrated spectra only, stemming from a broad variety of sources. In consequence we need good models that help to understand the production mechanisms and their contribution to the total spectra. In particle-nucleus collisions transport models have been successful in describing the experimentally measured dilepton spectra. However, for a hot and dense environment as created in relativistic heavy-ion collisions it is supposed that medium effects play a crucial role for dilepton production. Measurements of emission of dielectrons in nucleus-nucleus collisions at wide range of collision energy revealed an enhancement of invari-



**Fig. 6.** Invariant mass distribution of  $e^+e^-$  pairs in AuAu collisions at  $\sqrt{s} = 7$  GeV/nucleon.

ant mass spectra of di-leptons yield in the interval 0.2–0.6 GeV. This enhancement was interpreted as in-medium modifications of hadronic resonances at high temperature and density resulting in strong broadening of the  $\rho$ -meson and/or its “mass-dropping”. These effects, in principle, can be implemented in transport models where all sources of dilepton emission are produced during the evolution of particle production in a nucleus-nucleus collision.

As a first step, the analysis of di-electron production in heavy ion collisions in the framework of the DCM-QGSM without any modifications of was performed in [53, 54]. In the current model (DCM-SMM) all important channels for the direct decay of vector mesons as well as for the Dalitz meson decays are considered. The direct decays of vector mesons  $\rho \rightarrow e^+e^-$ ,  $\omega \rightarrow e^+e^-$  and  $\phi \rightarrow e^+e^-$  are taken into account. The main channels of the Dalitz decay of hadrons which contribute to the dilepton yield are  $\pi^0 \rightarrow \gamma e^+e^-$ ,  $\eta \rightarrow \gamma e^+e^-$ ,  $\omega \rightarrow \pi^0 e^+e^-$  and  $\Delta \rightarrow Ne^+e^-$ . Additional sources of dilepton production are bremsstrahlung ( $pn \rightarrow pne^+e^-$ ) and annihilation ( $\pi^+\pi^- \rightarrow e^+e^-$ ) channels. An analysis of the time dependence of the dilepton creation rate for direct decay of vector mesons shows that the overwhelming part of dileptons is emitted from the compressed region and, therefore, should suffer some medium effect. Figure 6 demonstrates invariant mass distribution of  $e^+e^-$  pairs coming from different sources in AuAu collisions with effect of collisional widening of the width of  $\rho$  and  $\omega$  resonances in the model. We plan

to develop the model taking into account the modification of hadron properties in more details.

## 5. LAMBDA POLARIZATION AND VORTICITY

In non-central relativistic heavy ion collisions, a strong vorticity field is generated in the produced matter as a result of the large orbital angular momentum that is brought into the system. This vorticity field can lead to the polarization of particles of non-zero spin along the direction of the vorticity field due to their spin-orbit or spin-vorticity coupling. Measurements of the global spin polarization of  $\Lambda$  hyperons by the STAR Collaboration [55, 56] have confirmed the existence of the most vortical fluid ever known, with an average vorticity of more than  $10^{21} \text{ s}^{-1}$ .

There are several definitions of the vorticity used in the literature that are suitable for analyzing different aspects of the rotation effects. In the present study we consider two of them [58–60]. The first one is the relativistic kinematic vorticity

$$\omega_{\mu\nu} = \frac{1}{2}(\partial_\nu u_\mu - \partial_\mu u_\nu), \quad (9)$$

where  $u_\mu$  is a collective local four-velocity of the matter and the second one is so-called thermal vorticity

$$\varpi_{\mu\nu} = \frac{1}{2}(\partial_\nu \hat{\beta}_\mu - \partial_\mu \hat{\beta}_\nu), \quad (10)$$

where  $\hat{\beta}_\mu = \hbar\beta_\mu$  and  $\beta_\mu = u_\nu/T$  with  $T$  being the local temperature and  $\varpi$  is dimensionless.

These two methods are used to calculate the Lambda polarization of hyperons. The first one is anomalous mechanism of hyperon polarization related to kinematical vorticity and helicity. The polarization is related [58, 61] to the strange axial charge

$$Q_5^s = N_c \int d^3x c_v \gamma^2 \epsilon^{ijk} v_i \partial_j v_k. \quad (11)$$

$c_v$  is the chiral vorticity coefficient describing the axial vortical effect

$$c_v = \frac{\mu_s^2}{2\pi^2} + k \frac{T^2}{6}, \quad (12)$$

where the second term is temperature-dependent with adjustable parameter  $k$ . As a result the quark and hadronic observables are related, that is of special importance in the confined phase. For polarization we get the formula

$$\begin{aligned} \langle \Pi_0^\Lambda \rangle &= \frac{m_\Lambda \Pi_0^{\Lambda, \text{lab}}}{p_y} = \left\langle \frac{m_\Lambda}{N_\Lambda p_y} \right\rangle Q_5^s \\ &\equiv \left\langle \frac{m_\Lambda}{N_\Lambda p_y} \right\rangle \frac{N_c}{2\pi^2} \int d^3x \mu_s^2(x) \gamma^2 \epsilon^{ijk} v_i \partial_j v_k. \end{aligned} \quad (13)$$

In local thermal equilibrium, the ensemble average of the spin vector for spin-1/2 fermions with four-momentum  $p$  at space-time point  $x$  is obtained from the statistical-hydrodynamical model [62] as well as the Wigner function approach [63] and reads

$$S^\mu(x, p) = -\frac{1}{8m}(1 - n_F)\epsilon^{\mu\nu\rho\sigma}p_\nu\bar{\omega}_{\rho\sigma}(x), \quad (14)$$

where  $\bar{\omega}_{\mu\nu}$  is the thermal vorticity and  $\beta^\mu = u^\mu/T$  being the inverse-temperature four-velocity. In Eq. (14),  $m$  is the mass of the particle and  $n_F = 1/[1 + \exp(\beta p \mp \mu/T)]$  is the Fermi–Dirac distribution function for particles (–) and anti-particles (+).

The spin vector  $S^\mu(x, p)$  is defined in the center of mass (CM) frame of Au + Au collisions. In the STAR experiment, the  $\Lambda$  polarization is measured in the local rest frame of the  $\Lambda$  by its decay proton's momentum. The spin vector of  $\Lambda$  in its rest frame is denoted as  $S^{*\mu} = (0, \mathbf{S}^*)$  and is related to the same quantity in the CM frame by a Lorentz boost

$$\mathbf{S}^*(x, p) = \mathbf{S} - \frac{\mathbf{p} \cdot \mathbf{S}}{E_p(m + E_p)}\mathbf{p}. \quad (15)$$

By taking the average of  $\mathbf{S}^*$  over all  $\Lambda$  particles produced at the freeze-out stage in the hydrodynamic picture of heavy ion collisions, we obtain the average spin vector

$$\langle \mathbf{S}^* \rangle = \frac{1}{N} \sum_{i=1}^N \mathbf{S}^*(x_i, p_i), \quad (16)$$

where  $N$  is the number of  $\Lambda$ s in all events and  $i$  labels one individual  $\Lambda$ . The global  $\Lambda$  polarization in the STAR experiment is the projection of  $\langle \mathbf{S}^* \rangle$  onto the direction of global angular momentum in off-central collisions (normal to the reaction plane),

$$P = 2 \frac{\langle \mathbf{S}^* \rangle \cdot \mathbf{J}}{|\mathbf{J}|}, \quad (17)$$

where we have included a normalization factor ( $P$  is normalized to 1) and  $\mathbf{J}$  denotes the global orbital angular momentum of off-central collisions. In our calculations, some relations between kinetic and hydrodynamic description were considered. Calculations include spatial and temporal dependence of the strange chemical potential. In numerical simulations the space-time is decomposed on cells allowing to define velocity and vorticity in the model. To define the strange chemical potential (assuming that  $\Lambda$  polarization is carried by strange quark) we used the matching procedure of distribution functions to its (local) equilibrium values. We also determined in this way the values of temperature. Energy dependence of  $\Lambda$  polarization for three values of impact parameter together with STAR data is shown on Fig. 7.

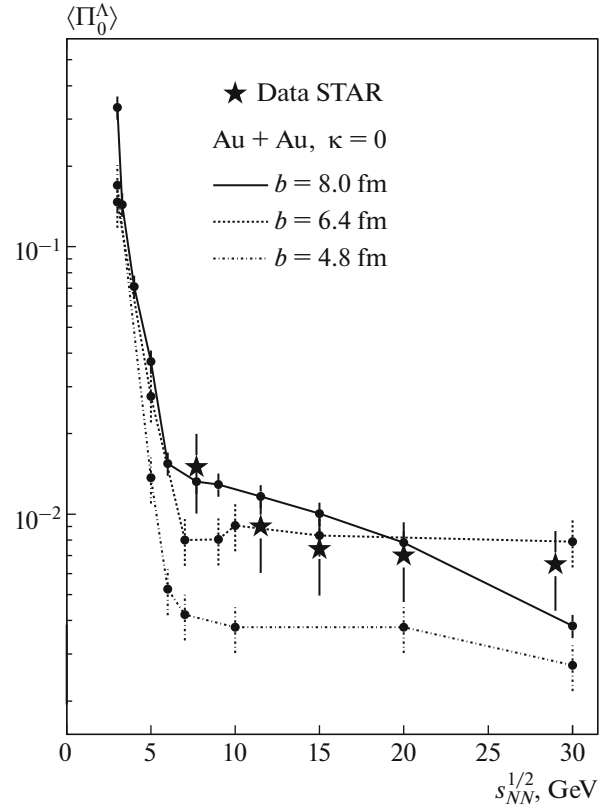


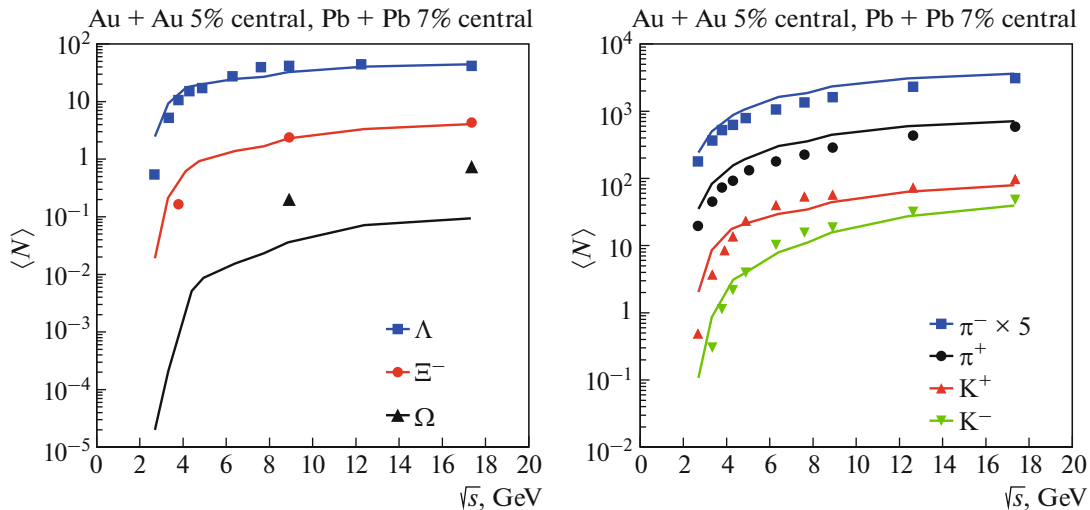
Fig. 7. Energy dependence of Lambda polarization in peripheral AuAu collisions for three values of impact parameter. Experimental data are from STAR [64].

## 6. NUMERICAL SIMULATION AND COMPARISON TO EXPERIMENTAL DATA

Results of simulations performed by the model is compared to the available experimental data and the calculations using UrQMD-3.4 at the NICA energy range. Before comparison we outline similarity and differences between the models, DCM-SMM and UrQMD-3.4 [65].

### 6.1. DCM-QGSM and URQMD: Similarity and Difference

As the first (fast) stage of a collision in the DCM-SMM is simulated by the DCM and QGSM we compare the DCM-QGSM to UrQMD. Both models are formulated as Monte-Carlo event generators allowing to perform a careful analysis of the measurable quantities by introducing all necessary experimental cuts. Both treat the production of new particles via formation and fragmentation of specific colored objects, strings. Strings are uniformly stretched, with constant string tension  $\kappa \approx 1$  GeV/fm, between the quarks, diquarks and their antistates. To describe hadron-nucleus ( $hA$ ) and nucleus-nucleus ( $A + A$ ) collisions



**Fig. 8.** Excitation function of particle multiplicities in Au + Au/Pb + Pb collisions from  $E_{\text{lab}} = 2\text{--}160$  A GeV. Full lines are DCM-SMM calculations. The corresponding data from experiments [71, 80–89] are depicted with symbols.

the momenta and positions of nucleons in the nuclei are generated according to the Fermi momentum distribution and the Wood–Saxon density distribution, respectively. Propagation of particles is governed by Hamilton equations of motion, and both models use the concept of hadronic cascade for the description of  $hA$  and  $A + A$  interactions.

The differences between the models arise on different stages of a string formation and fragmentation. The UrQMD belongs to the group of models based on classical FRITIOF model [66], while the DCM-QGSM uses the Gribov Reggeon field theory (RFT) [67, 68] that results in differences on the string formation step. The second stage represents string fragmentation. The fragmentation functions which determine the energy, momentum, and the type of the hadrons produced during the string decay, are different in the models. The third type of differences deals with the number and type of the stings produced in the collision. Due to the different mechanisms of string excitation and fragmentation, these numbers are also different for two microscopic models. Last but not least, the models do not use the same tables of hadrons. The last versions of UrQMD (starting with version 2.3) were modified by including a continuous spectrum of high resonance states that results in the improved description of transverse momentum spectra of particles in heavy ion collision. The UrQMD contains 55 baryon and 32 meson states together with their antistates, whereas the QGSM takes into account octet and decuplet baryons, and nonets of vector and pseudoscalar mesons, as well as their antiparticles. Detailed comparison of the models DCM-QGSM and UrQMD was done in the article [70]. We should note that essential shortcoming of the UrQMD, in comparison with the DCM-QGSM, is absence of the

mechanism forming a residual nucleus and its subsequent disintegration.

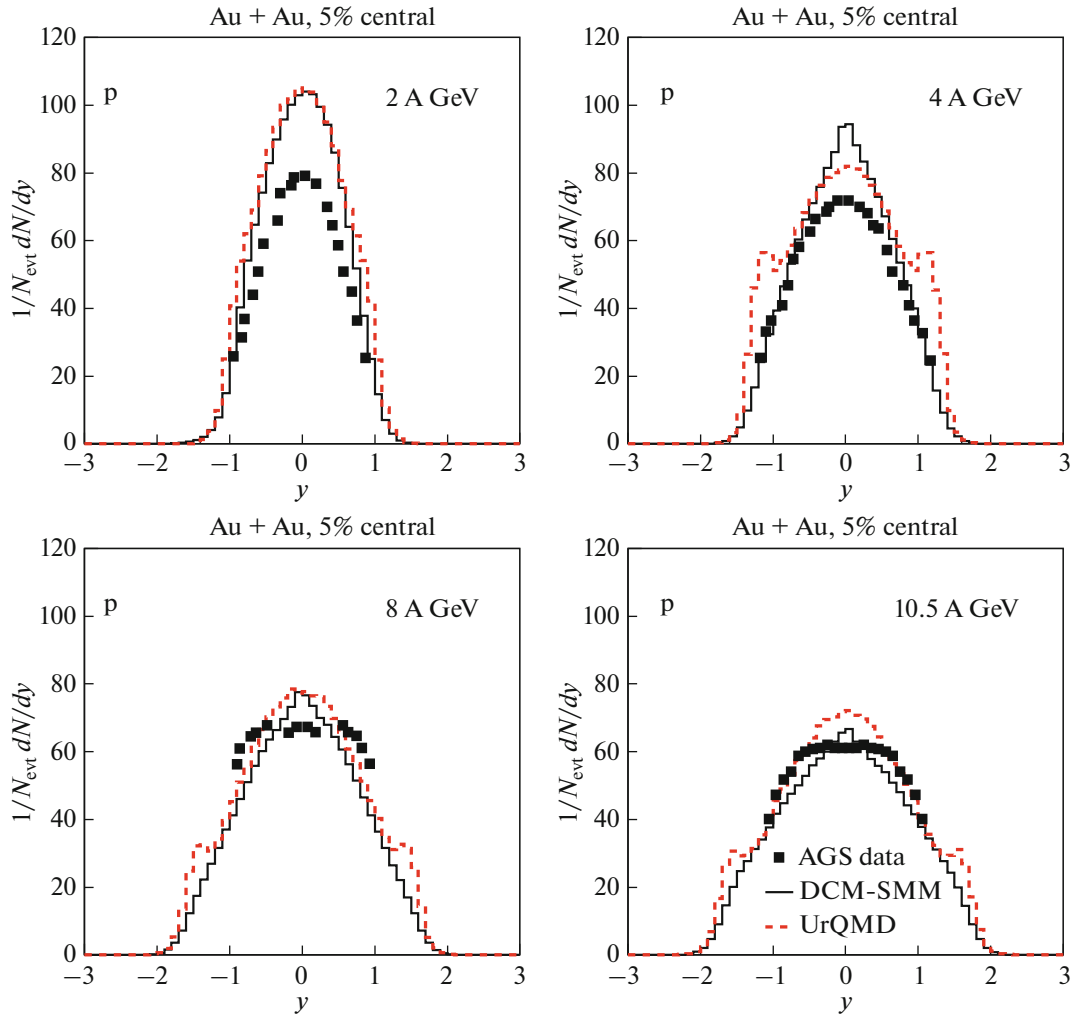
In the following subsections the results of DCM-SMM are compared to data measured in nucleus-nucleus collisions at NICA energy range. We have concentrated on bulk observables like multiplicities, particle spectra to demonstrate the relevance of the model. Particle yields and their spectra in nucleus-nucleus collisions are compared with UrQMD calculations, as well.

## 6.2. Nucleus–Nucleus Collisions

We focus on comparison of the model calculations to data measured in experiments performed at AGS and by collaboration NA49 at SPS which cover NICA energy range.

**6.2.1. Particle yield.** Figure 8 shows the excitation function of mean multiplicities for different particle species in central AuAu/PbPb collisions. The model overestimates the yield of pions at the whole energy range and underestimates kaon multiplicities at  $\sqrt{s} = 5\text{--}10$  GeV/n. In this energy range the enhanced yield of  $K^+$ -mesons and hyperons were observed by collaboration NA49. This enhancement not described by transport models can indicate specific modification of hadron properties and their interactions inside a dense/hot nuclear matter.

**6.2.2. Rapidity spectra.** We start with the rapidity spectra of net protons emitted in AuAu/PbPb collisions measured at AGS and SPS energies. These spectra reflect the baryon stopping that determines a part of the incident energy of colliding nuclei deposited into a produced fireball and hence into the production of secondary particles. Obviously, the number of col-



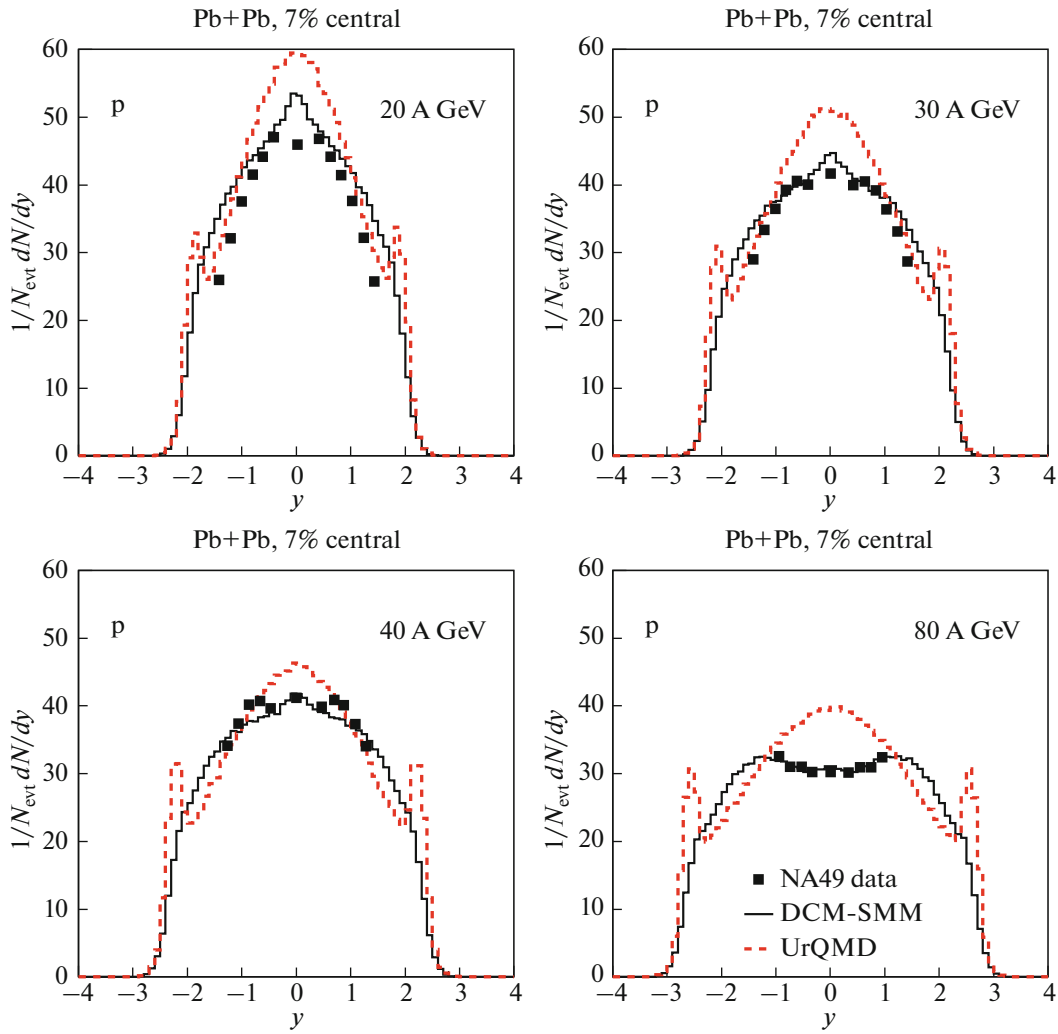
**Fig. 9.** Rapidity spectra of protons for AGS energies from central collisions of Au + Au (AGS). Experimental data are from [71–74]. Black and red histograms are DCM-SMM and UrQMD calculations, correspondingly.

lision per baryon increases with the mass number of the colliding nuclei, and hence the heaviest systems, such as Pb + Pb or Au + Au, are best suited for the creation of strongly stopped matter and high energy densities. A proper reproduction of the baryon stopping is extremely important for theoretical understanding of the dynamics of the nuclear collisions. Figures 9 and 10 show calculated proton rapidity distributions in central AuAu/PbPb collisions compared to data from AGS to SPS at the same values of centralities. Distributions calculated by both DCM-SMM and UrQMD at 2, 4, 8, and 10.5 A GeV (AGS), and 20, 30, 40, 80 A GeV (NA49) demonstrate more pronounced picks at mid-rapidity than the data. This enhancement can be interpreted as an energy transfer overestimation by the model in central heavy ion collisions at AGS and at lower SPS energies. By this reason the deuteron spectra formed on the coalescence stage replicate the shape of proton spectra (Fig. 1). There are additional picks on distributions given by UrQMD at projectile

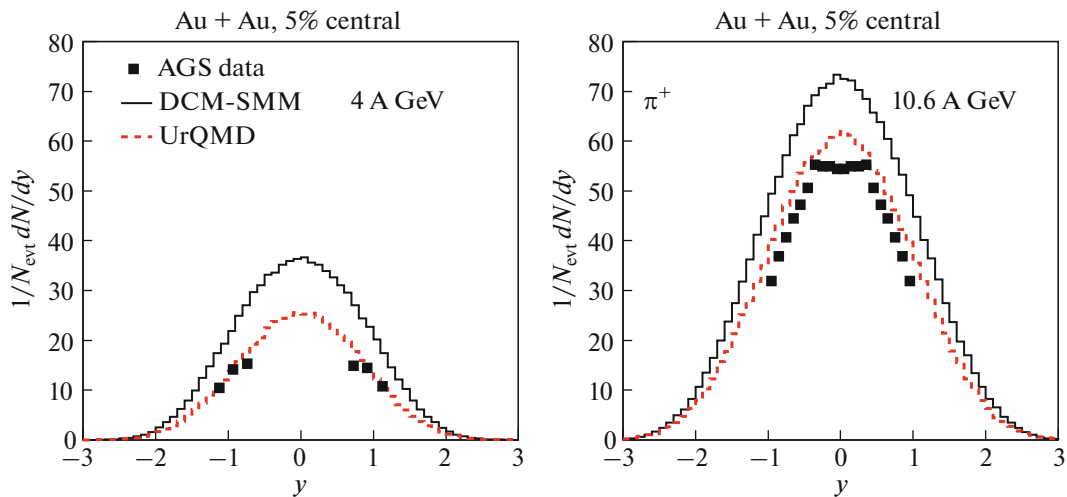
and target rapidities (positive/negative) since a residual nucleus is not formed after a collision stage in the model.

Since the bulk of produced particles are pions, their rapidity spectra depend on the energy deposited by nucleons in the course of collisions. Obviously, they are overestimated at mid-rapidity, as well, at the all AGS-SPS energy range (Figs. 11 and 12). This discrepancy, together with proton spectra, tells us that a hadronic transport model based on superposition of binary hadron-hadron collisions deviates from relevant description of central heavy ion collisions.

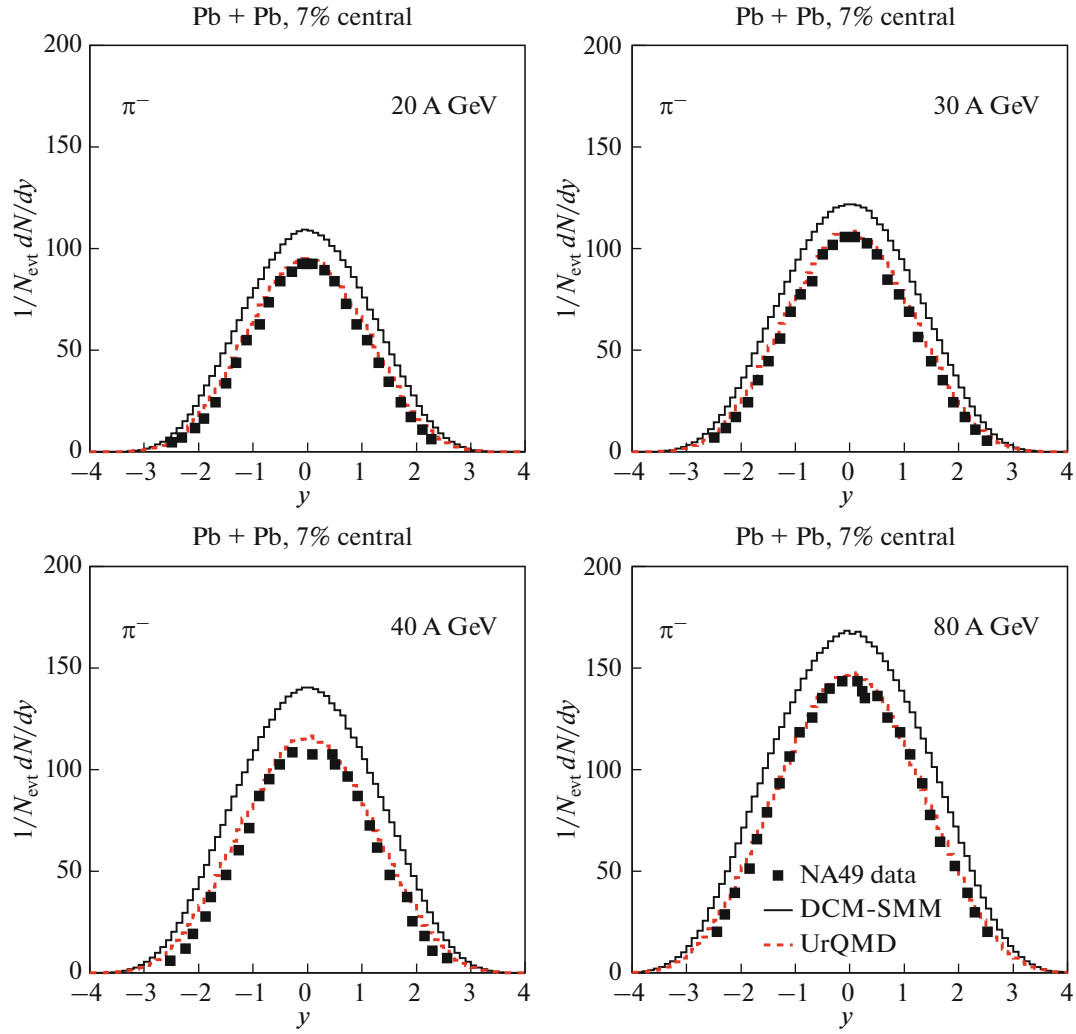
**6.2.3. Transverse mass spectra.** Figure 16 shows the transfer mass spectra of  $\pi^+$  and  $K^+$  in central Pb + Pb collisions compared with UrQMD and NA49 data. The spectra given by DCM-SMM are softer than those from UrQMD and NA49 data. The sources of the discrepancy are the following. Given the hadron properties simulated by the quark-gluon string model



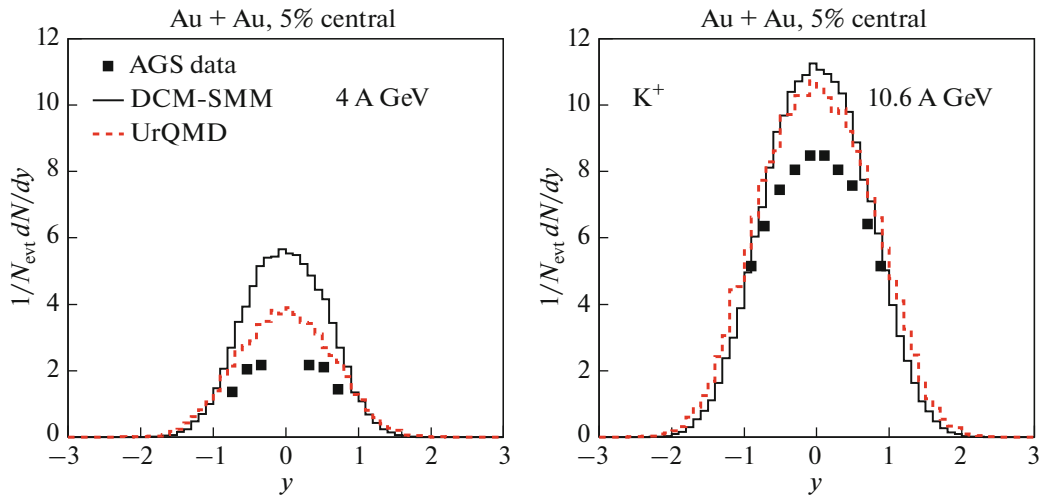
**Fig. 10.** Rapidity spectra of protons for SPS energies from central collisions of Pb + Pb (NA49). Experimental data are from the [75–79]. Black and red histograms are DCM-SMM and UrQMD calculations, correspondingly.



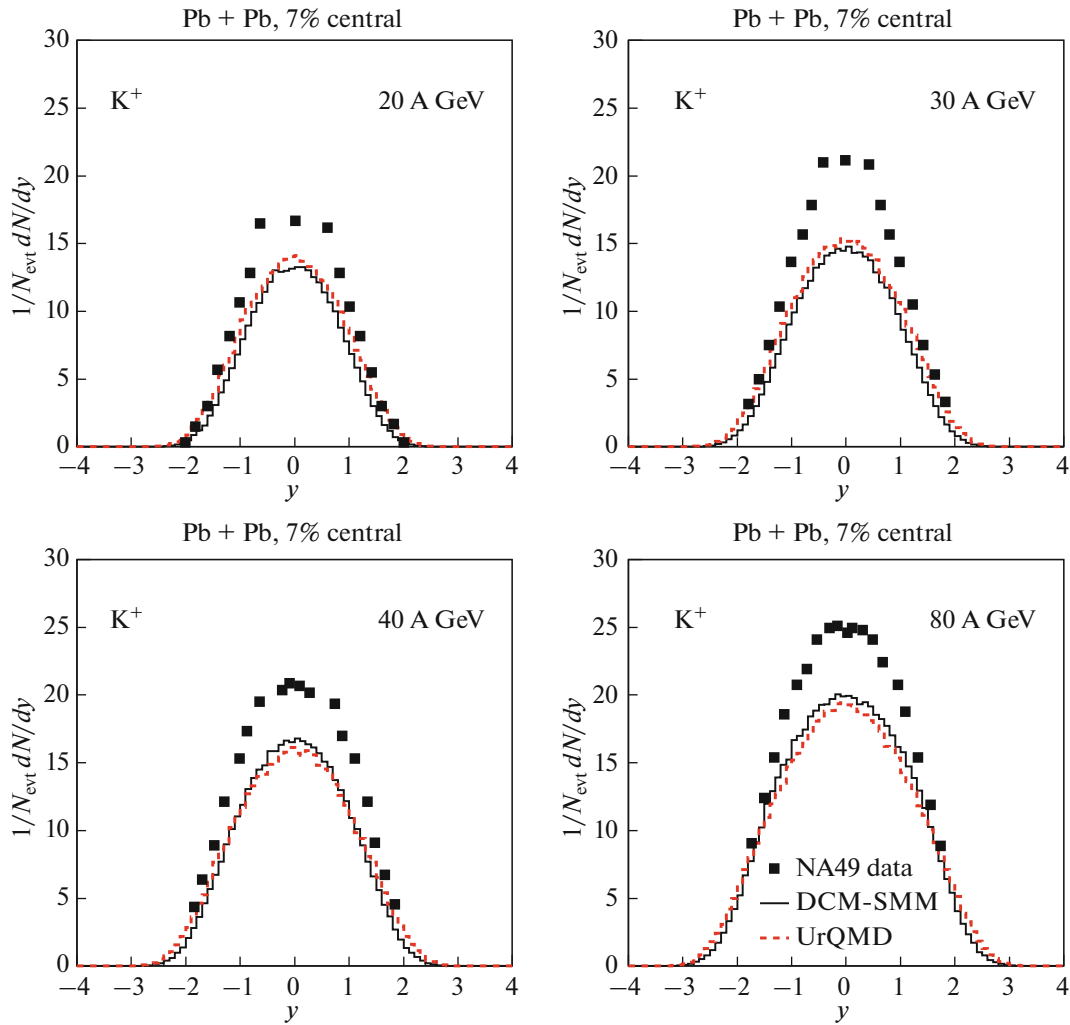
**Fig. 11.** Rapidity spectra of  $\pi^+$  in central Au + Au collisions in comparison to AGS data [71–74]. Histograms are DCM-SMM and UrQMD calculations.



**Fig. 12.** Rapidity spectra of pions for SPS energies from central Pb + Pb collisions in comparison to NA49 data [75–79]. Black and red histograms are DCM-SMM and UrQMD calculations, correspondingly.



**Fig. 13.** Rapidity spectra of  $K^+$  for AGS energies from central Au + Au collisions in comparison to AGS data [71–74]. Histograms are DCM-SMM and UrQMD calculations.



**Fig. 14.** Rapidity spectra of  $K^+$  for SPS energies from central Pb + Pb collisions in comparison to NA49 data [75–79]. Black and red histograms are DCM-SMM and UrQMD calculations, correspondingly.

are adjusted by comparison with experiments in pp-collisions this discrepancy, again, comes from modification of hadron properties and interactions in hot and dense nuclear matter. Agreement of the UrQMD with NA49 data was attained by extension of the model in version 2.3. To reproduce the experimentally measured high transverse mass values a modified treatment of a string decay with high mass resonances was introduced [90]. From our point of view such extension must be justified by a physical mechanism resulting in such hardening of transverse spectra.

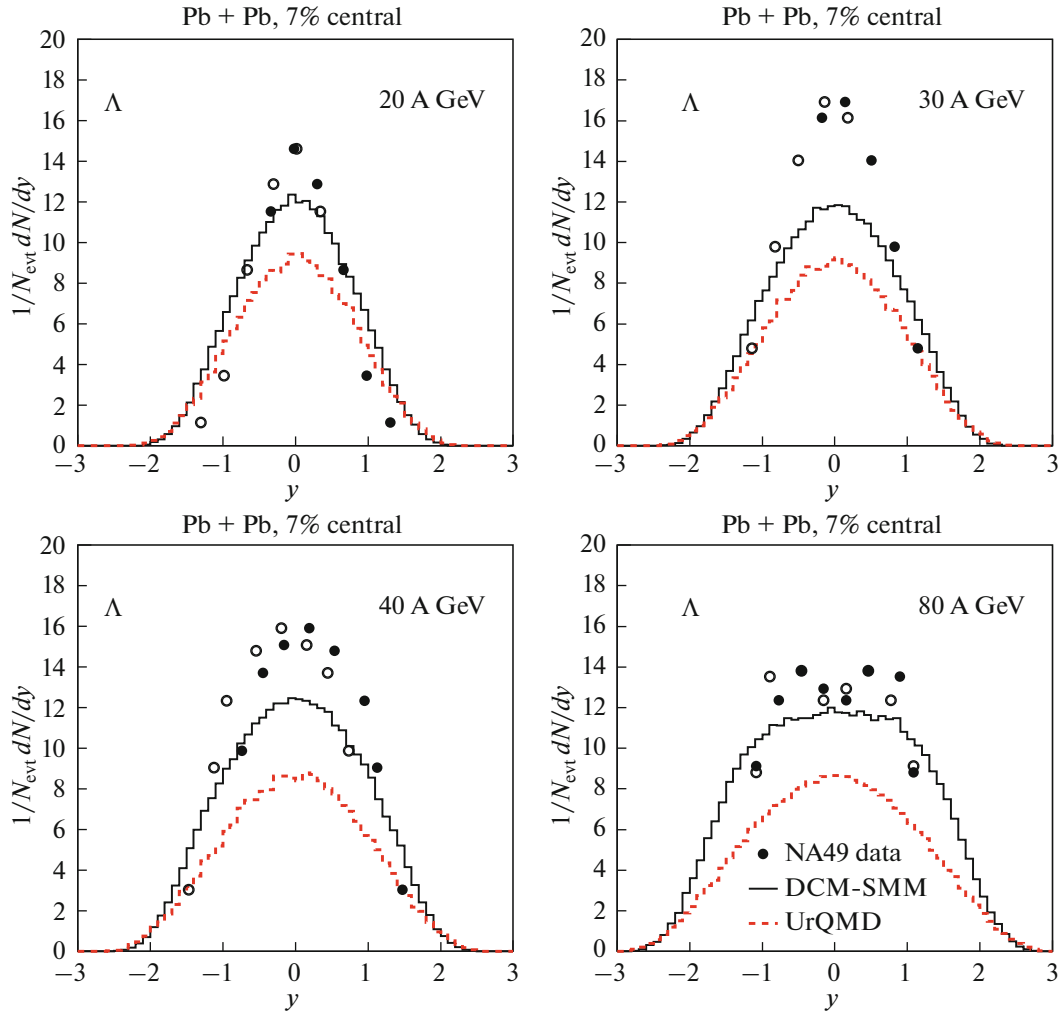
## 7. DISCUSSION AND SUMMARY

We presented the new Monte-Carlo generator which is a combination of the cascade part of the DCM-QGSM and the Statistical Multifragmentation Model, SMM. The SMM allows for natural extension of cascade-evaporation calculations for the fast multifragmentation processes. Its main assumption is that

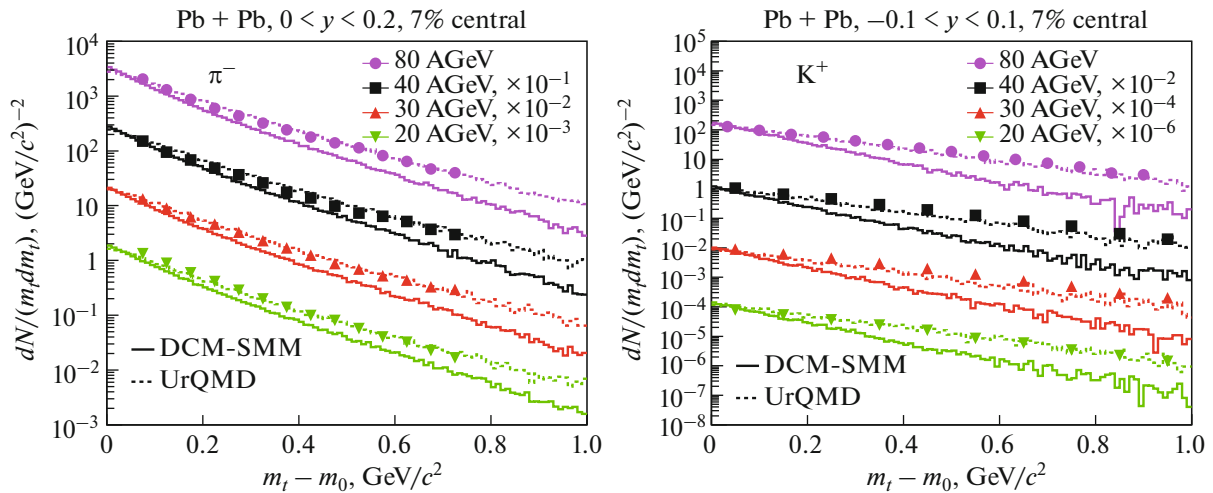
nuclear fragments are produced simultaneously in the explosive break-up of a thermalized nuclear system formed at the intermediate stage of a highly-dissipative nuclear reaction. It replaces preequilibrium and sequential evaporation parts of DCM-QGSM, which failure to describe intermediate mass fragment (IMF) production.

This combined model DCM-SMM is applied for simulation of heavy ion collisions at NICA energy range and compared with the data measured by the experiments at AGS and collaboration NA49. It satisfactorily reproduces bulk properties of produced hadrons and nuclear fragments in heavy ion collisions and could serve as a good instrument in the stage of preparation of a new experiment and preliminary analysis of measurements. Further development of the model is connected with (i) the improvement of description of transverse mass distributions by including heavier mass resonances, baryonic and mesonic; (ii) taking





**Fig. 15.** Rapidity spectra of Lambda for SPS energies from central Pb + Pb collisions in comparison to NA49 data [75–79]. Black and red histograms are DCM-SMM and UrQMD calculations, correspondingly.



**Fig. 16.** Transverse mass distributions of  $\pi^+$  and  $K^+$  in central Pb + Pb collisions at NA49 energies. The data are from NA49 [82–89].

**Table 1.** Particle Data Group (PDG) Monte Carlo particle identification numbers (corresponding antiparticles have negative sign)

Particle	PDC ID	Particle	PDC ID	Particle	PDC ID
$\gamma$	22	$K_L^0$	130	$\Sigma^+$	3222
$e^-$	11	$K_S^0$	310	$\Sigma^0$	3212
$\nu_e$	12	$K^0$	311	$\Sigma^-$	3112
$\mu^-$	13	$K^+$	321	$\Sigma^{*+}$	3224
$\nu_\mu$	14	$K^-$	-321	$\Sigma^{*0}$	3214
$\pi^0$	111	$K^{*0}$	313	$\Sigma^{*-}$	3114
$\pi^+$	211	$K^{*-}$	323	$\Xi^0$	3322
$\pi^-$	-211	p	2212	$\Xi^-$	3312
$\rho^0$	113	n	2112	$\Xi^{*0}$	3324
$\rho^+$	213	$\Delta^{++}$	2224	$\Xi^{*-}$	3314
$\rho^-$	-213	$\Delta^+$	2214	$\Omega^-$	3334
$\eta$	221	$\Delta^0$	2114		
$\nu'$	331	$\Delta^-$	1114		
$\omega$	223	$\Lambda$	3122		
$\phi$	333				

into account the dependence of coalescence parameters from rapidity of coalesced baryons; (iii) modification of hadron features on nuclear density.

## APPENDIX A

### RUNNING THE CODE

The DCM-SMM program is available as an executable binary file on UNIX/Linux platforms. A bash shell script file is provided to define the input parameters and run the program (see A.1.) The input parameters include number of jobs to run, number of events per job, projectile and target charges and atomic numbers, reference system (laboratory or equal velocity) and collision energy, impact parameter range. As a result of simulation two output files are created: \*.inf and \*.out, where “\*” stands for the output file name. The first one contains information about the input parameters as well as some additional information about the reaction, for example, geometric and inelastic cross sections, the number of projectile and target participants, and the parameters used in the simulation. The second file contains the characteristics of particles and nuclear fragments produced on event-by-event basis (see A.2.). Produced particles are identified by their lepton (LN), charge (EN), strange (SN) and baryonic (BN) numbers. Furthermore, they are assigned PDG identification codes, which are given in Table 1. Nuclear codes are given as 10-digit numbers  $\pm 10LZZZAAAI$ . For a (hyper)nucleus consisting of  $n_p$  protons,  $n_n$  neutrons and  $n_\Lambda$   $\Lambda$ 's,  $A = n_p + n_n + n_\Lambda$

gives the total baryon number,  $Z = n_p$  the total charge and  $L = n_\Lambda$  the total number of strange quarks. I gives the isomer level, with  $I = 0$  corresponding to the ground state and  $I > 0$  to excitations, see [4], where states denoted m, n, p, q translate to  $I = 1-4$ . As examples, the deuteron is 1000010020 and  $^{235}\text{U}$  is 1000922350 [16].

#### A.1. Input File

In order to run the simulation user writes the input parameters in the provided bash shell script file between lines “Begin Input parameters” and “End Input parameters”. The input parameters include

- name of output files,
- name of executable file,
- number of jobs to run,
- number of events per job,
- projectile and target charges and atomic numbers,
- reference system (laboratory or equal velocity),
- collision energy,
- impact parameter interval.

An example of user editable part of the script is given below. The script creates a directory with a name defined by a variable “basename” and generates intermediate input files for running the program within it.

```
# The basename is the name of the
# folder for the output files which will
# be created by this script in the
# directory the script is called.
```

```
# The basename will also be in front
# of every outpufile to easily recognize it
```

```
#
# BEGIN Input parameters
basename='AuAu_ss9_mb'
exename='dcm_smm.exe'
jobs_per_energy=1
events_per_job=1000
#
AP="197." # Projectile mass
AT="197." # Target mass
ZP="79." # Projectile charge
ZT="79." # Target charge
BMIN="0.0" # Minimum of impact
parameter (fraction, 0 to 1)
BMAX="1.0" # Maximum of impact
parameter (fraction, 0 to 1)
KSYS=2 # Observer system (1 - lab
sys, 2 - nucleon-nucleon cms)
E0="9.0" # Energy (GeV): KSYS=1 ->
E0=E_lab; KSYS=2 -> E0=sqrt(s)
#####
# END Input parameters
#
```

```
# Here the random seed is initial-
ized
seed="date +%s"
INPUTFILE=$basename
touch $INPUTFILE
read -d '' str3 <<- EOF
$basename.inf
$basename.out
$AP, $AT, $ZP, $ZT, 0.0, 0.940,
$E_coll, $N_events
$STAT
$BMIN, $BMAX, 1, $KSYS
#*****
*****
EOF
echo "$str3" > $INPUTFILE
```

*A.2. Output File \*.out for a Single Event*

The output file \*.out begins with a header giving information about the simulated collisions and brief description of the event structure followed by lists of particles generated in each event. The event header is a line containing an event number, number of particles after cascade and coalescence part of the simulation, impact parameter and its x and y components. The next line contains information about target residual nucleus: number of fragments it decayed on, atomic number, charge, strangeness, excitation energy and momentum components. Only the number of fragments could be used for further processing, the rest is for information only. The next lines in a number corresponding to that of the fragments are describing the respective fragments: charge, lepton number, strange-

ness, barion number, PDG ID,  $p_x$ ,  $p_y$ ,  $p_{zcm}$ ,  $p_{zlab}$ , and mass. These lines are followed by the same information about the projectile fragments and particles produced after cascade and coalescence stages of a reaction.

Results of DCM-SMM calculations of nuclear collisions of  $A1=197., Z1 = 79. + A2 = 197., Z2 = 79.$

at  $T0= 11.434(\text{sqrt}(s)= 5.003)$  GeV/nucleon in the collider (equal velocities=cms for  $A1=A2$ ) system

Characteristics of event:  
No. of event, number of produced particles after cascade and light clusters after coalescence stages, b, bx, by - impact parameter (fm)

Target residual nucleus:  
Number of fragments (it decays on), its atomic number, charge, strangeness, excit. energy and 3-momentum

Characteristics of fragments:  
charge, lepton number, strangeness, baryon number, PDGID,  $P(x), P(y), P(z), Plab(z)$ , mass

Projectile residual nucleus: the same as for target residual

Characteristics of produced particles after cascade and light clusters after coalescence stages: the same as for fragments

1	5	14.194	13.709	3.681					
5	194.	78.	-0.0.0154	0.1374	0.2630	450.4103			
0	0	0	1	2112	1.3901E-02	3.3045E-02	2.3014E+00	1.2286E+01	9.40000E-01
0	0	0	1	2112	-9.4771E-03	4.2047E-02	2.1817E+00	1.1695E+01	9.40000E-01
0	0	0	1	2112	5.3359E-02	3.1486E-02	2.2510E+00	1.2038E+01	9.40000E-01
0	0	0	1	2112	-1.4019E-03	-1.0408E-02	2.5417E+00	1.3481E+01	9.40000E-01
78	0	0	190	1000781900	8.1056E-02	1.6684E-01	4.4116E+02	2.3536E+03	1.78600E+02
	195.	79.	-0.0.0230	0.0102	0.0697	-452.0061			
0	0	0	1	2112	1.0937E-02	4.0639E-02	-2.3661E+00	-1.4645E-02	9.40000E-01
0	0	0	1	2112	-1.6699E-02	3.9166E-02	-2.2739E+00	2.0027E-02	9.40000E-01
0	0	0	1	2112	-1.1652E-02	-3.2099E-02	-2.3680E+00	-1.5650E-02	9.40000E-01
0	0	0	1	2112	-6.1822E-03	-1.3715E-02	-2.2678E+00	2.1564E-02	9.40000E-01
79	0	0	191	1000791910	3.3818E-02	3.5689E-02	-4.4274E+02	4.5704E-01	1.79540E+02
1	0	0	1	2212	2.9709E-01	-3.6733E-01	-2.1463E+00	-2.1463E+00	9.38280E-01
1	0	0	2	1000010020	1.4571E-01	4.1871E-01	4.6205E+00	4.6205E+00	1.87612E+00
0	0	0	1	2112	-6.6378E-02	-1.0530E-01	1.6096E+00	1.6096E+00	9.39570E-01
0	0	0	1	2112	-3.6069E-01	-4.2789E-01	-2.2049E+00	-2.2049E+00	9.39570E-01
-1	0	0	0	-211	-1.6605E-01	1.7860E-01	-1.2341E-01	-1.2341E-01	1.39570E-01

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