

Gluon structure of nucleons and nuclei from lattice QCD

Phiala Shanahan, MIT

Image Credit: 2018 EIC User's Group Meeting



Massachusetts
Institute of
Technology

The gluon structure of the nucleon

How much do gluons contribute to the proton's

- Momentum
- Spin

- Mass
- D-term

[see Keh-Fei Liu's talk]

The gluon structure of the nucleon

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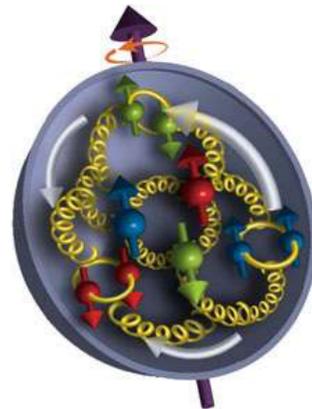
- Momentum
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[see Keh-Fei Liu's talk]

What is the 3D gluon distribution of a proton

- PDFs
- GPDs
- TMDs
- Pressure distribution

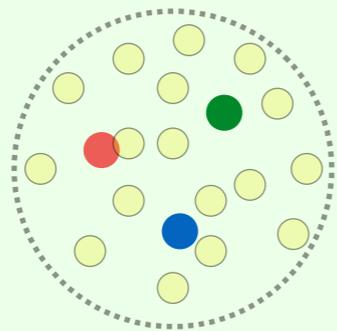
1



The gluon structure of the nucleon

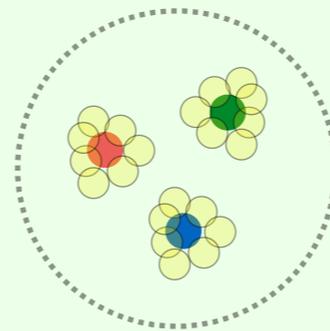
How does the gluon radius of a proton compare to the quark/charge radius?

MIT Bag Model



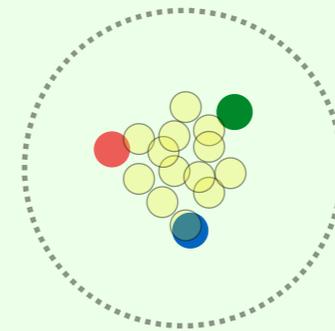
gluon radius $>$ charge radius

Constituent Quark Model



gluon radius \sim charge radius

Lattice QCD with heavy quarks



gluon radius $<$ charge radius

Charge radius:

slope of electric form factor with respect to momentum transfer



Gluon radius:

[see Maxim Polyakov's talk]

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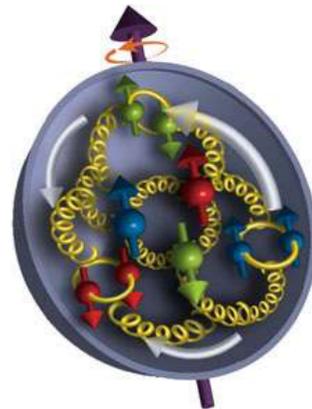
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[see Keh-Fei Liu's talk]

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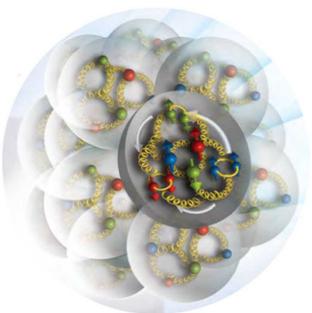
- PDFs
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2

How is the gluon structure of a proton modified in a nucleus

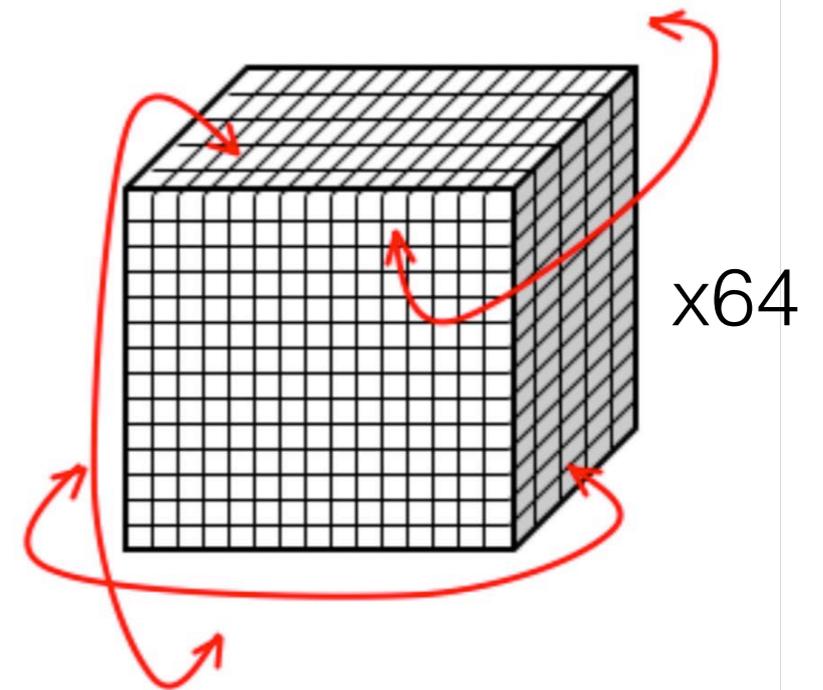
- Gluon 'EMC' effect
- Exotic glue



Lattice QCD

Numerical first-principles approach to non-perturbative QCD

- Euclidean space-time
 - Finite lattice spacing a
 - Volume $L^3 \times T \approx 32^3 \times 64$
- Some calculations use larger-than-physical quark masses (cheaper)



Approximate the QCD path integral by **Monte Carlo**

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}A \mathcal{D}\bar{\psi} \mathcal{D}\psi \mathcal{O}[A, \bar{\psi}\psi] e^{-S[A, \bar{\psi}\psi]} \rightarrow \langle \mathcal{O} \rangle \simeq \frac{1}{N_{\text{conf}}} \sum_i^{N_{\text{conf}}} \mathcal{O}([U^i])$$

with field configurations U^i distributed according to $e^{-S[U]}$

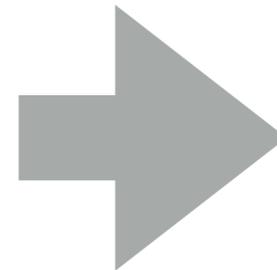
Lattice QCD

Numerical first-principles approach to non-perturbative QCD

INPUT

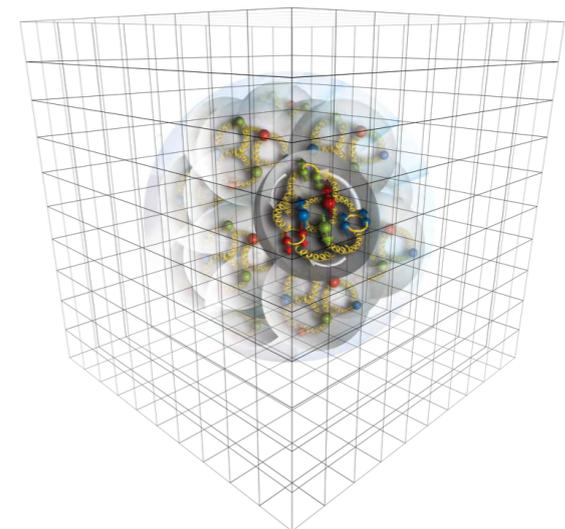
Lattice QCD action has same free parameters as QCD: quark masses, α_S

- Fix quark masses by matching to measured hadron masses, e.g., π, K, D_s, B_s for u, d, s, c, b
- One experimental input to fix lattice spacing in GeV (and also α_S), e.g., $2S-1S$ splitting in Y , or f_π or Ω mass



OUTPUT

Calculations of all other quantities are QCD predictions



Energy Momentum Tensor

- Generalised form factors encode e.g., Energy-Momentum Tensor
- Matrix elements of traceless gluon EMT for spin-half nucleon:

$$\langle p', s' | G_{\{\mu\alpha}^a G_{\nu\}}^{a\alpha} | p, s \rangle = \bar{U}(p', s') \left(A_g(t) \gamma_{\{\mu} P_{\nu\}} + B_g(t) \frac{i P_{\{\mu} \sigma_{\nu\} \rho} \Delta^\rho}{2M_N} + D_g(t) \frac{\Delta_{\{\mu} \Delta_{\nu\}}}{4M_N} \right) U(p, s)$$

← Gluon field-strength tensor
← Generalised gluon form factors
→ $\Delta_\mu = p'_\mu - p_\mu$ $P_\mu = (p_\mu + p'_\mu)/2$ $t = \Delta^2$

- Sum rules of gluon and quark GFFs in forward limit

- Momentum fraction $A_a(0) = \langle x \rangle_a \quad \longrightarrow \quad \sum_{a=q,g} A_a(0) = 1$
- Spin $J_a(t) = \frac{1}{2}(A_a(t) + B_a(t)) \quad \longrightarrow \quad \sum_{a=q,g} J_a(0) = \frac{1}{2}$

- D-terms $D_a(0)$ unknown but equally fundamental! [see Maxim Polyakov's talk]

- $D_a(t)$ GFFs encodes pressure and shear distributions

Generalised Parton Distributions

- Quark GPDs: constraints from JLab, HERA, COMPASS, by DVCS, DVMP, future improvements from JLab 12GeV, EIC
- Gluon GPDs: almost unknown from experiment, future constraints central goal of EIC

Leading twist nucleon gluon GPDs:

$$\int_{-\infty}^{\infty} \frac{d\lambda}{2\pi} e^{i\lambda x} \langle p', s' | G_a^{\{\mu\alpha}(-\frac{\lambda}{2}n) \left[\mathcal{U}_{[-\frac{\lambda}{2}n, \frac{\lambda}{2}n]}^{(A)} \right]_{ab} G_{b\alpha}^{\nu\}}(\frac{\lambda}{2}n) | p, s \rangle$$

Gluon field-strength tensor

$$= \frac{1}{2} \left(H_g(x, \xi, t) \bar{U}(p', s') P^{\{\mu\gamma\nu\}} U(p, s) + E_g(x, \xi, t) \bar{U}(p', s') \frac{P^{\{\mu i\sigma\nu\}\alpha} \Delta_\alpha}{2M} U(p, s) \right) + \dots,$$

GPDs(Bjorken x, skewness, mom transfer)

$$\begin{aligned}
 \Delta_\mu &= p'_\mu - p_\mu \\
 P_\mu &= (p_\mu + p'_\mu)/2 \\
 t &= \Delta^2 \\
 n^2 &= 0
 \end{aligned}$$

- Moments of GPDs: Generalised Form Factors (GFFs)

e.g.,
$$\int_0^1 dx H_g(x, \xi, t) = A_g(t) + \xi^2 D_g(t), \quad \int_0^1 dx E_g(x, \xi, t) = B_g(t) - \xi^2 D_g(t)$$

D-term from JLab DVCS

Experimental determination of DVCS D-term and extraction of proton pressure distribution

[Burkert, Elouadrhiri, Girod, Nature 557, 396 (2018)]

$$s(r) = -\frac{r}{2} \frac{d}{dr} \frac{1}{r} \frac{d}{dr} \tilde{D}(r), \quad p(r) = \frac{1}{3} \frac{1}{r^2} \frac{d}{dr} r^2 \frac{d}{dr} \tilde{D}(r)$$

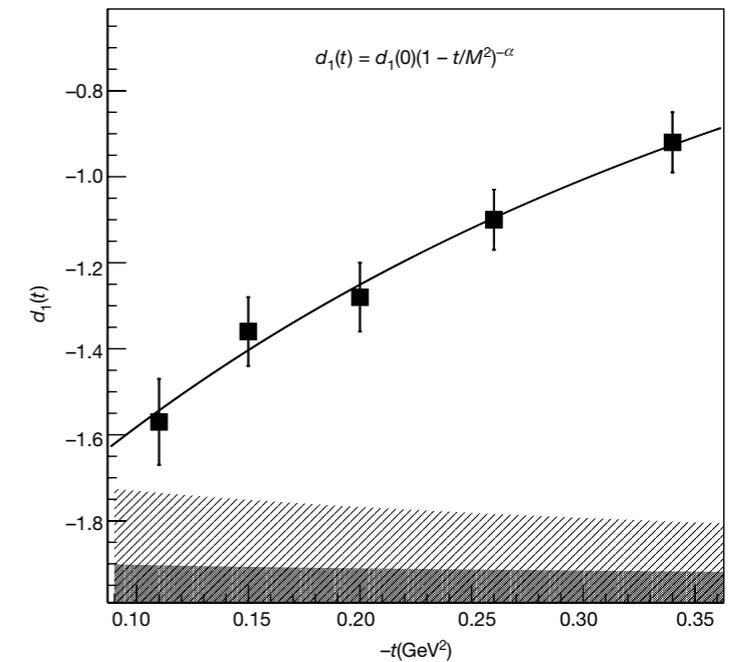
- Peak pressure near centre $\sim 10^{35}$ Pascal, greater than pressure estimated for neutron stars
- Key assumptions: gluon D-term same as quark term, tripole form factor model, $D_u(t, \mu) = D_d(t, \mu)$

EXP + LQCD

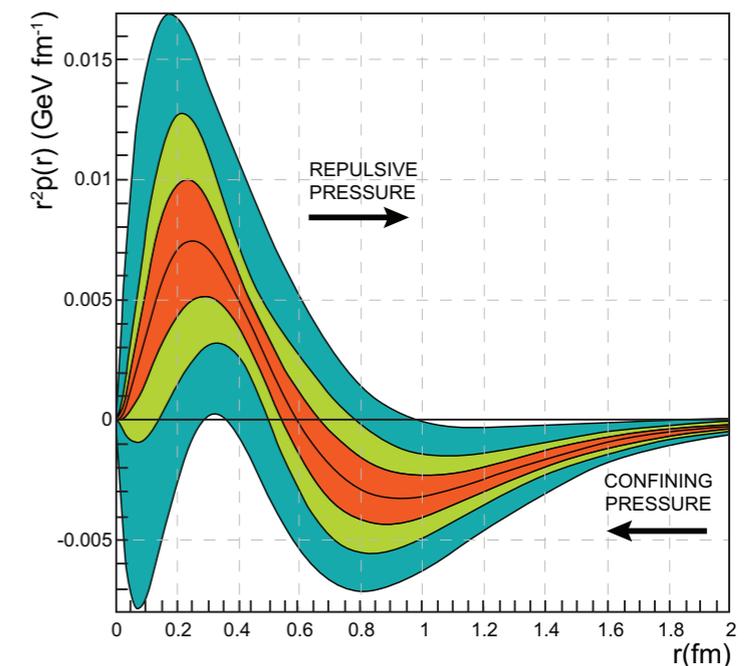
first complete pressure determination

[Shanahan, Detmold PRL 122 072003 (2019)]

DVCS (quark) D-term



Radial pressure distribution

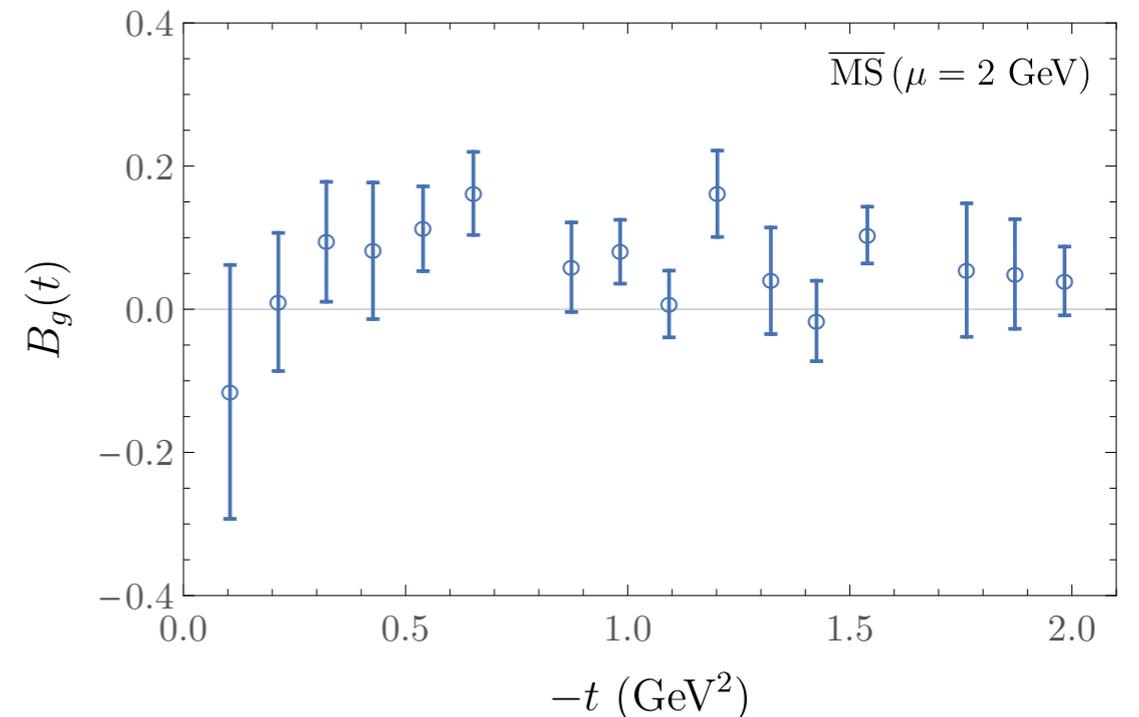
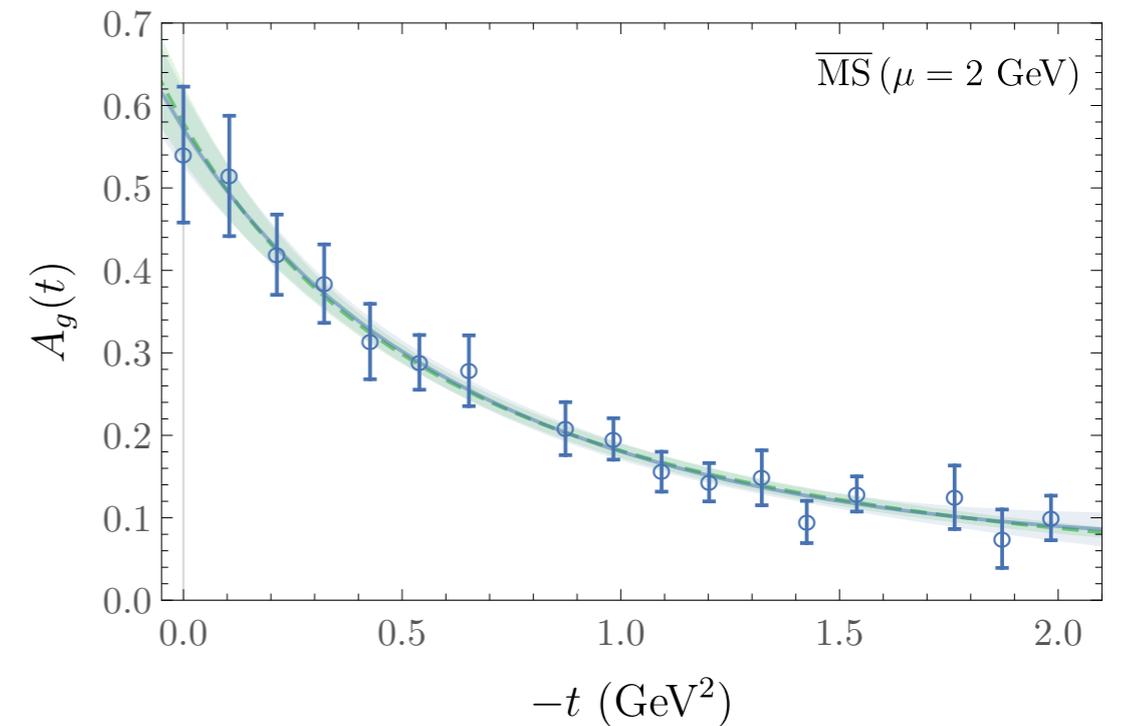
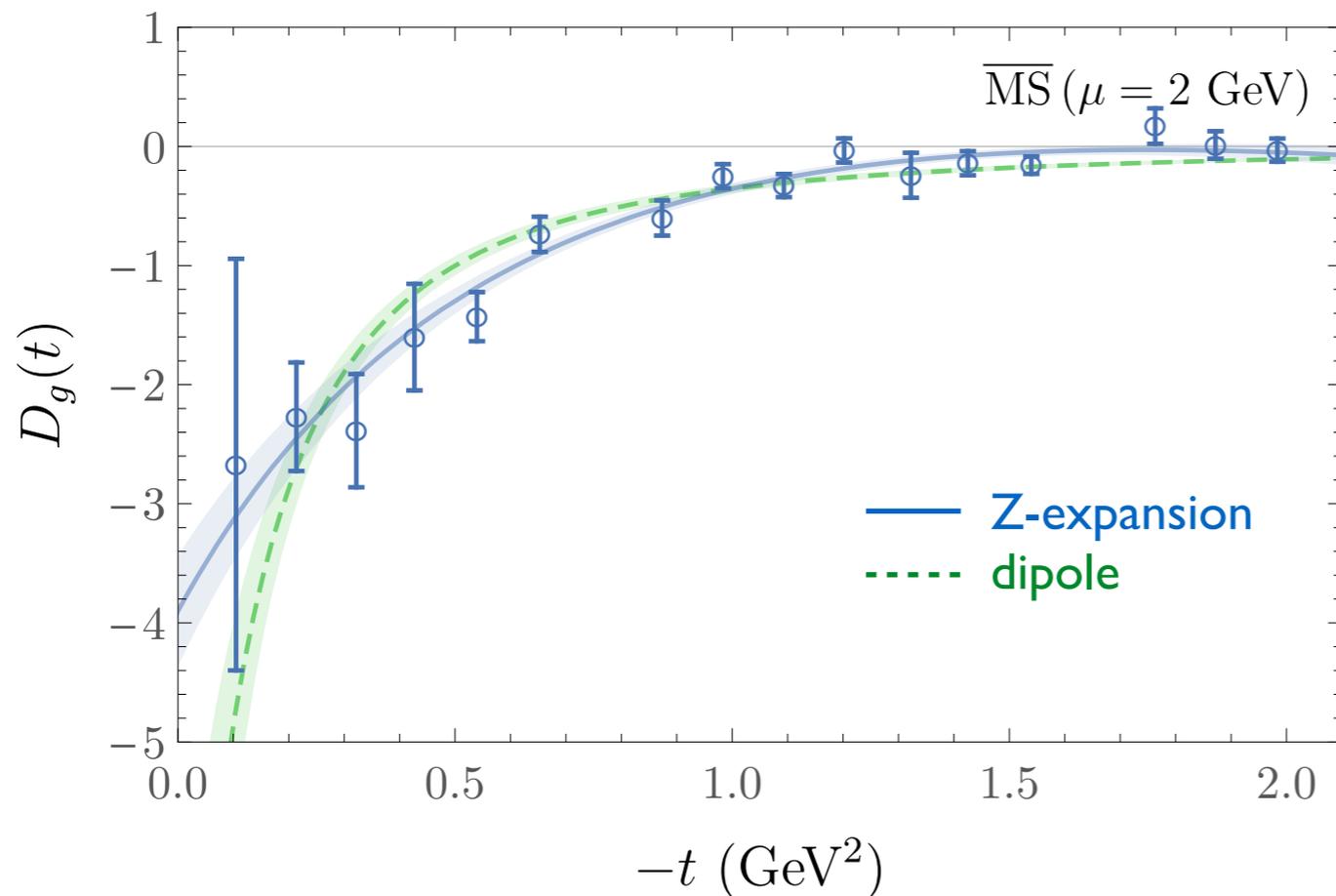


LQCD nucleon GFFs

LQCD results for nucleon gluon GFFs

$m_\pi \sim 450$ MeV

Dipole-like fall-off with momentum transfer



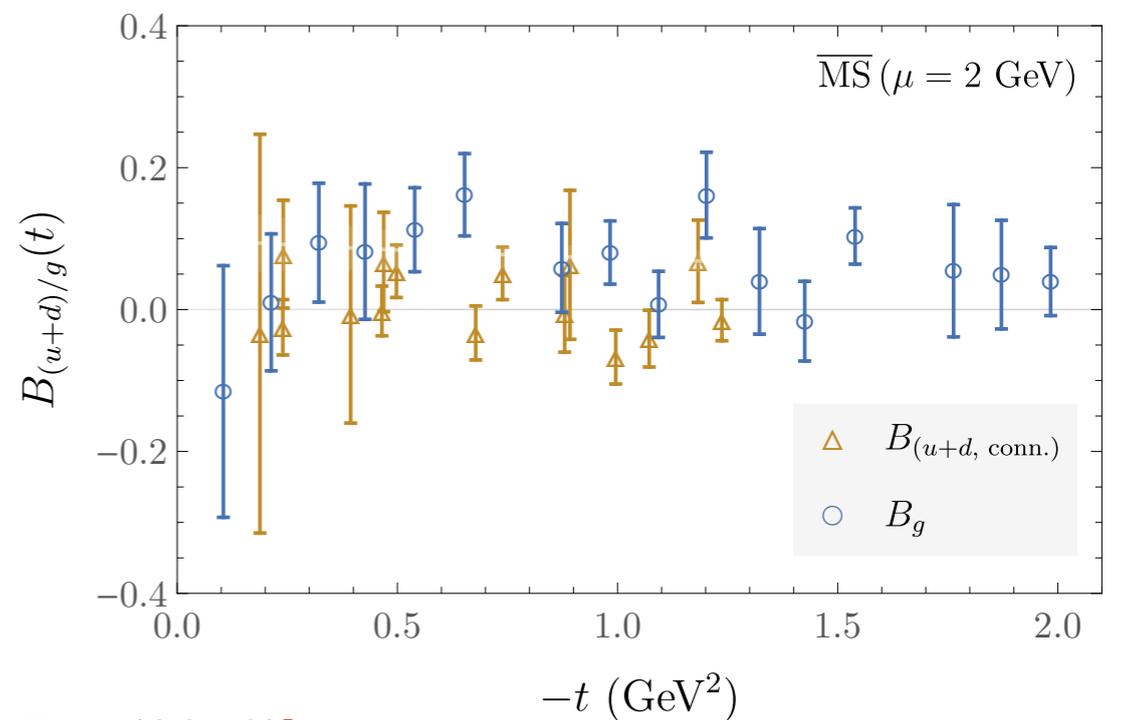
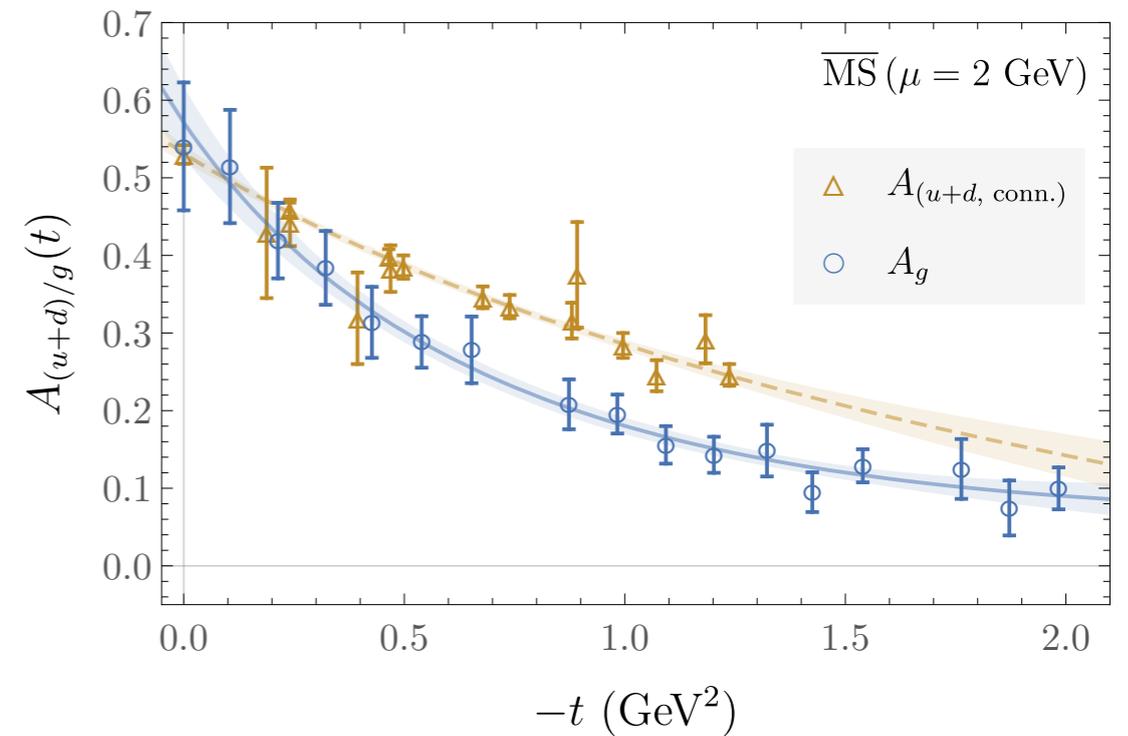
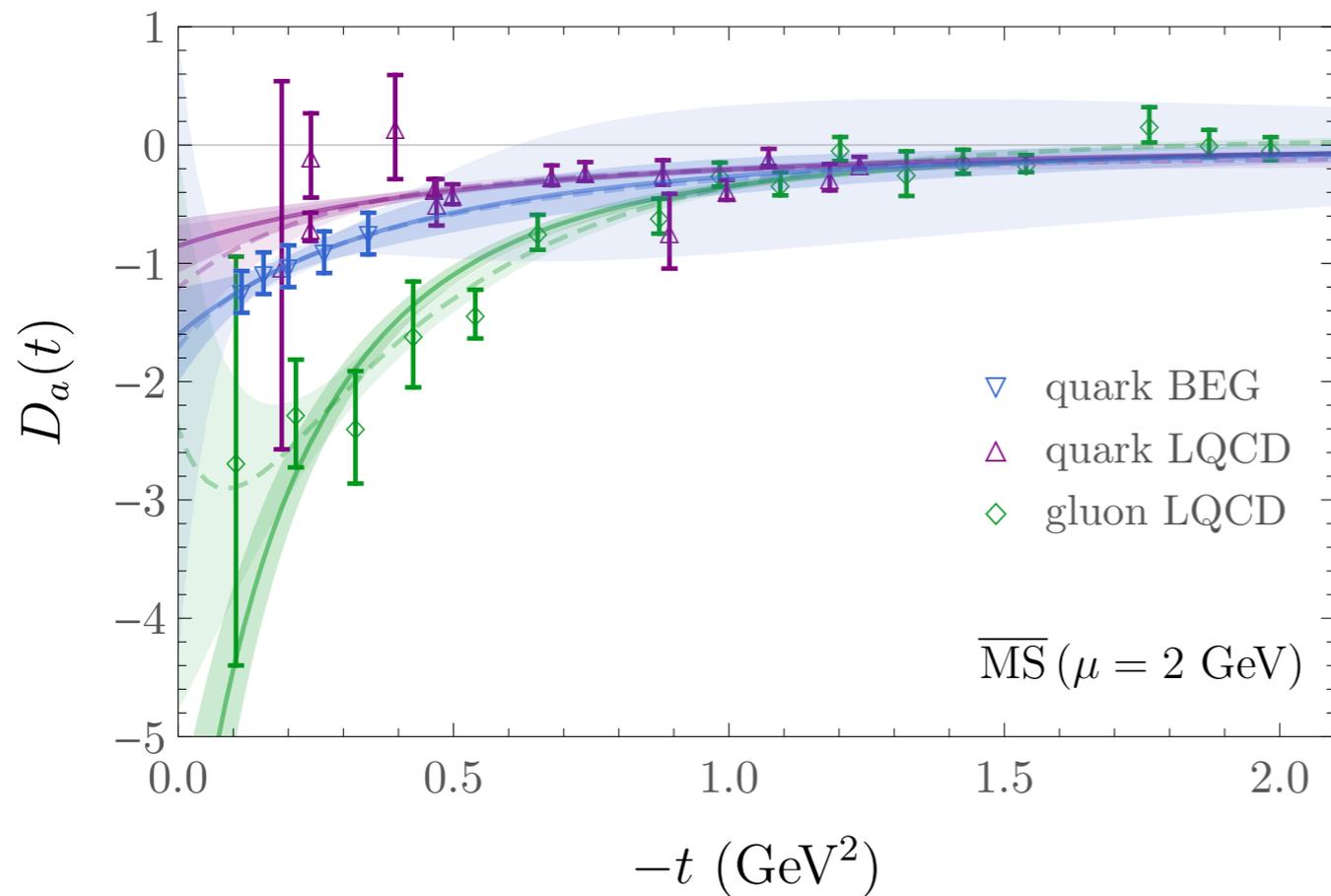
[Shanahan, Detmold, PRL122, 072003 (2019) PRD99, 014511 (2019)]

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Nucleon D-term GFFs from LQCD

EXP + LQCD

first complete pressure determination

[Shanahan, Detmold PRL 122 072003 (2019)]

Key assumptions in pressure extraction from DVCS

- Gluon D-term same as quark term in magnitude and shape

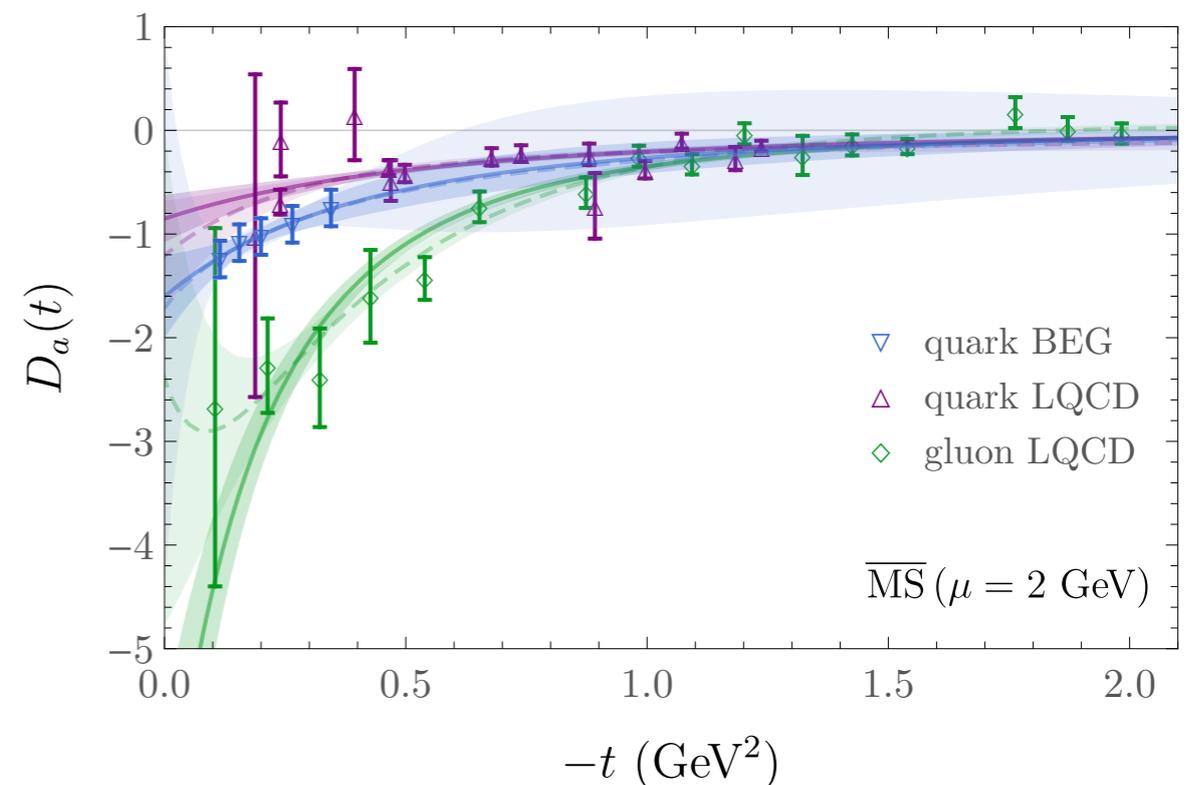
Factor of ~2 difference in magnitude, somewhat different t -dependence

- Tripole form factor model

LQCD results consistent with ansatz, but more general form is less well constrained

- Isovector quark D-term vanishes

$D_{u-d}(t) \sim 0$ from other LQCD studies



Gluon GFFs: [Shanahan, Detmold, PRD 99, 014511](#)

Quark GFFs: [P. Hägler et al. \(LHPC\), PRD77, 094502 \(2008\)](#)

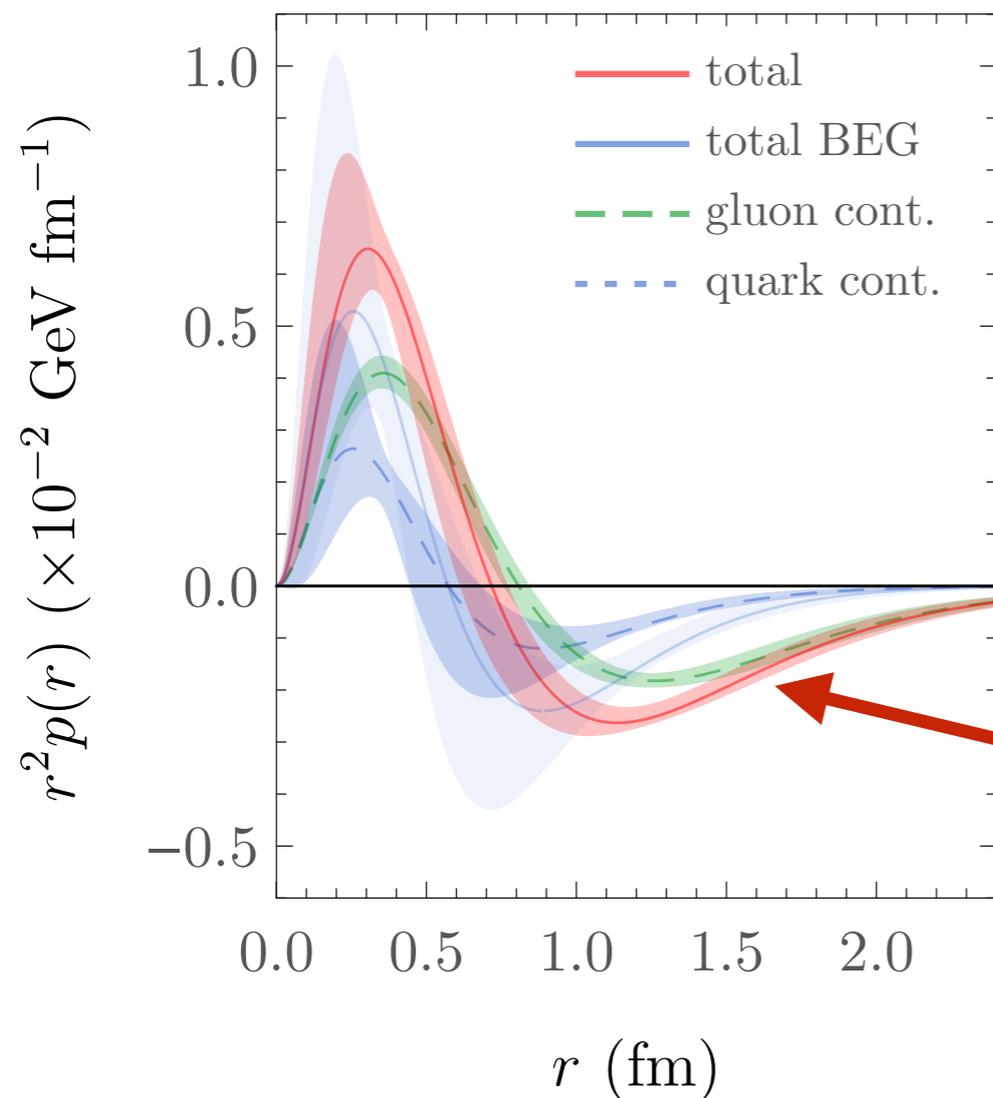
Expt quark GFFs (BEG): [Burkert et al, Nature 557, 396 \(2018\)](#)

Proton pressure from LQCD

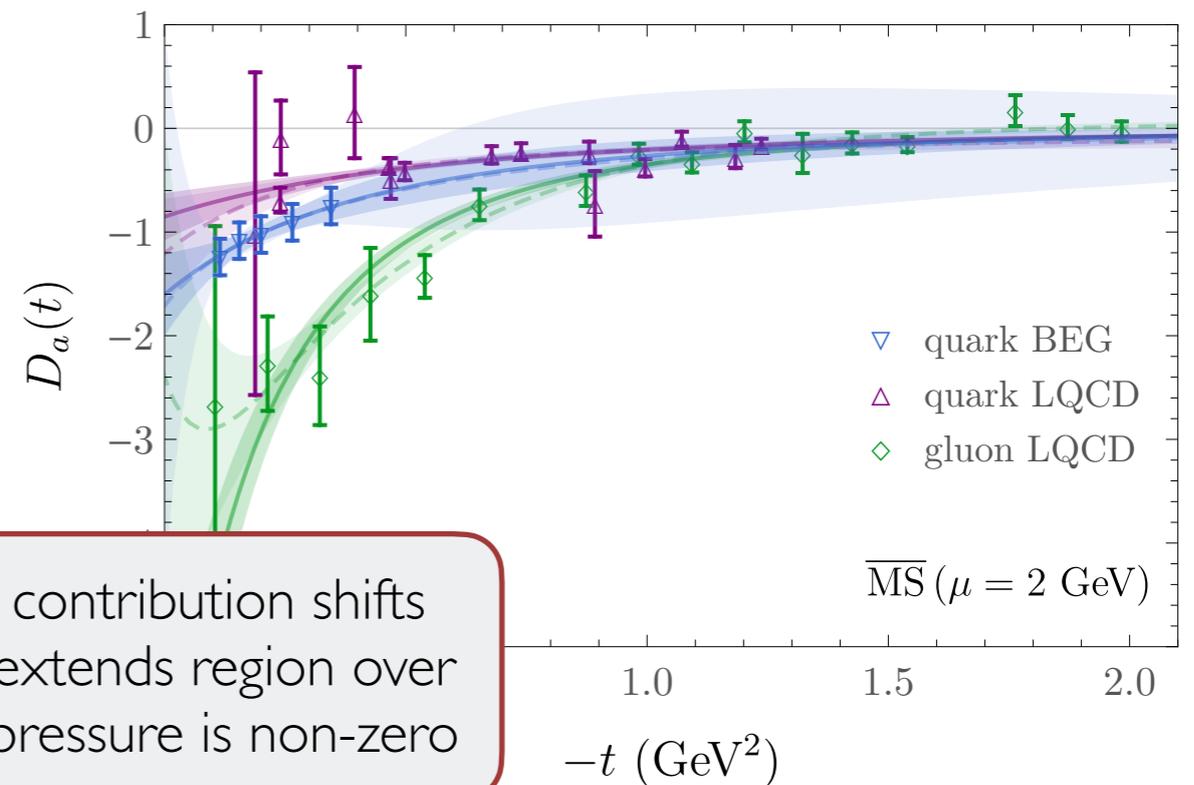
EXP + LQCD

first complete pressure determination

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gluon contribution shifts peaks, extends region over which pressure is non-zero



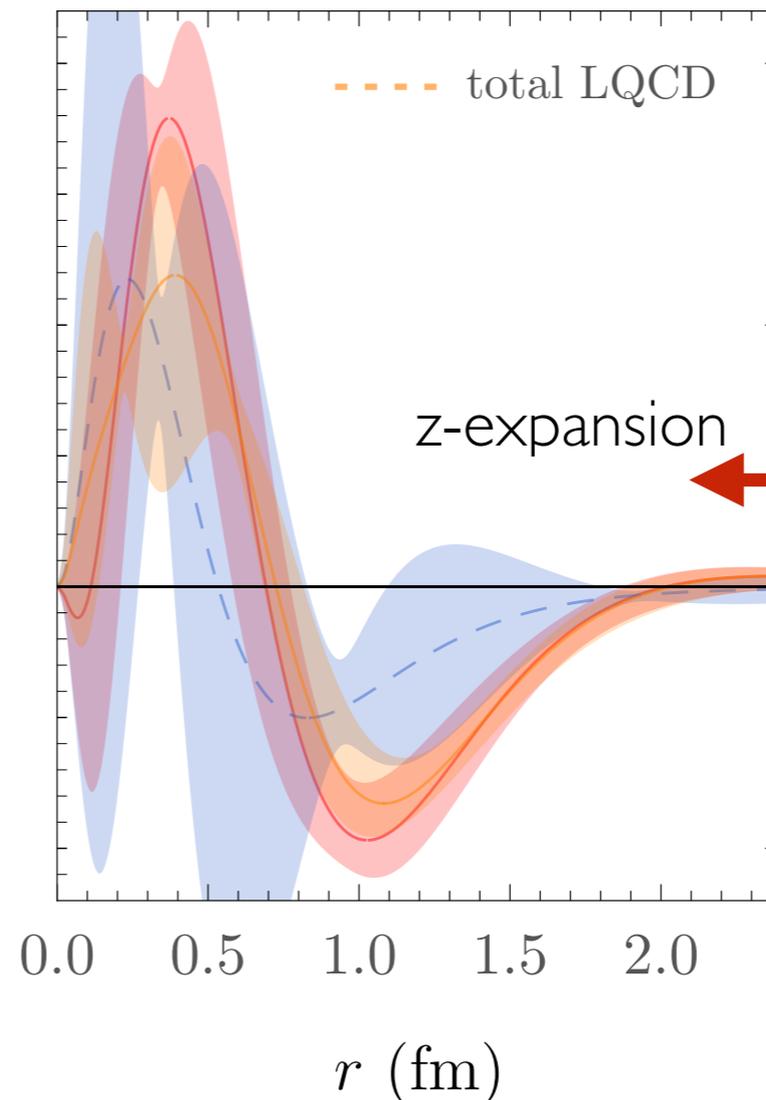
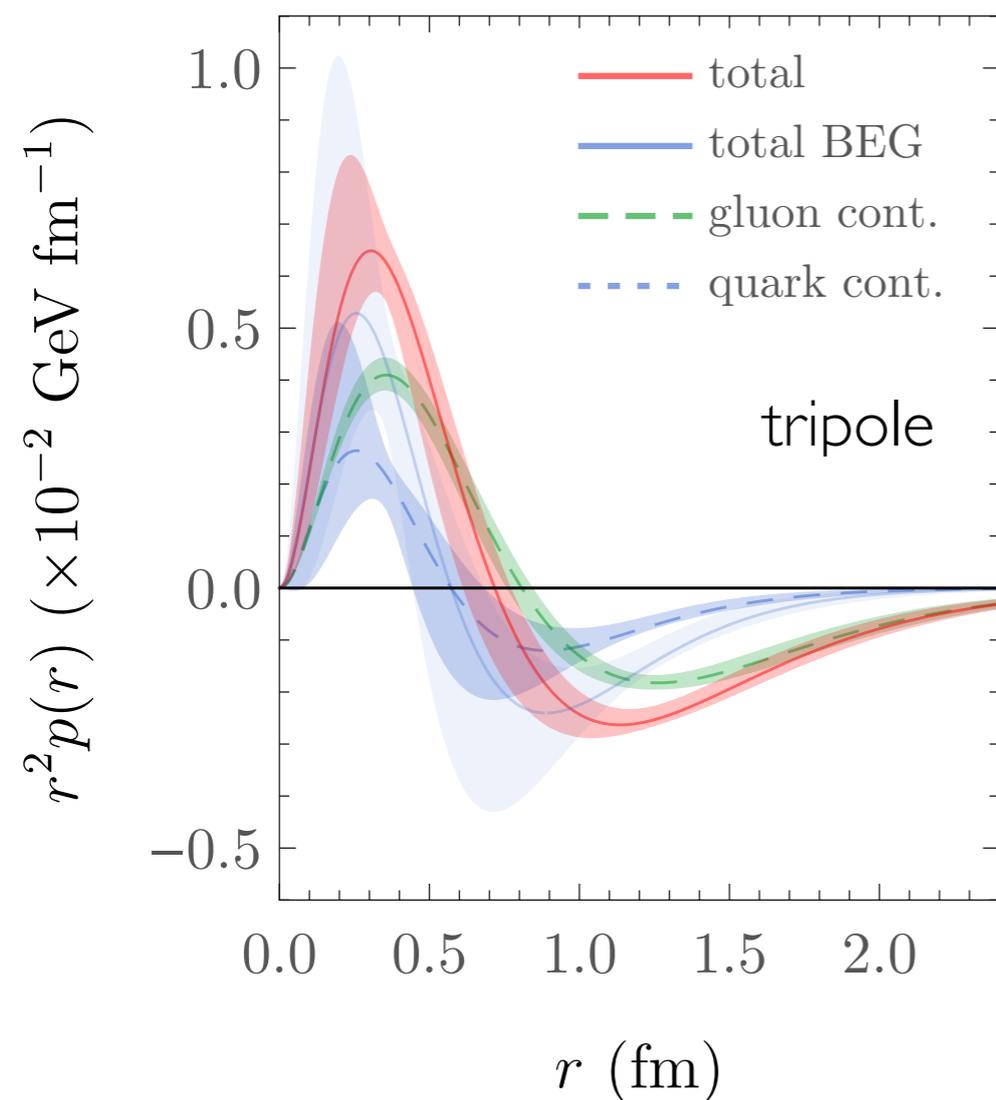
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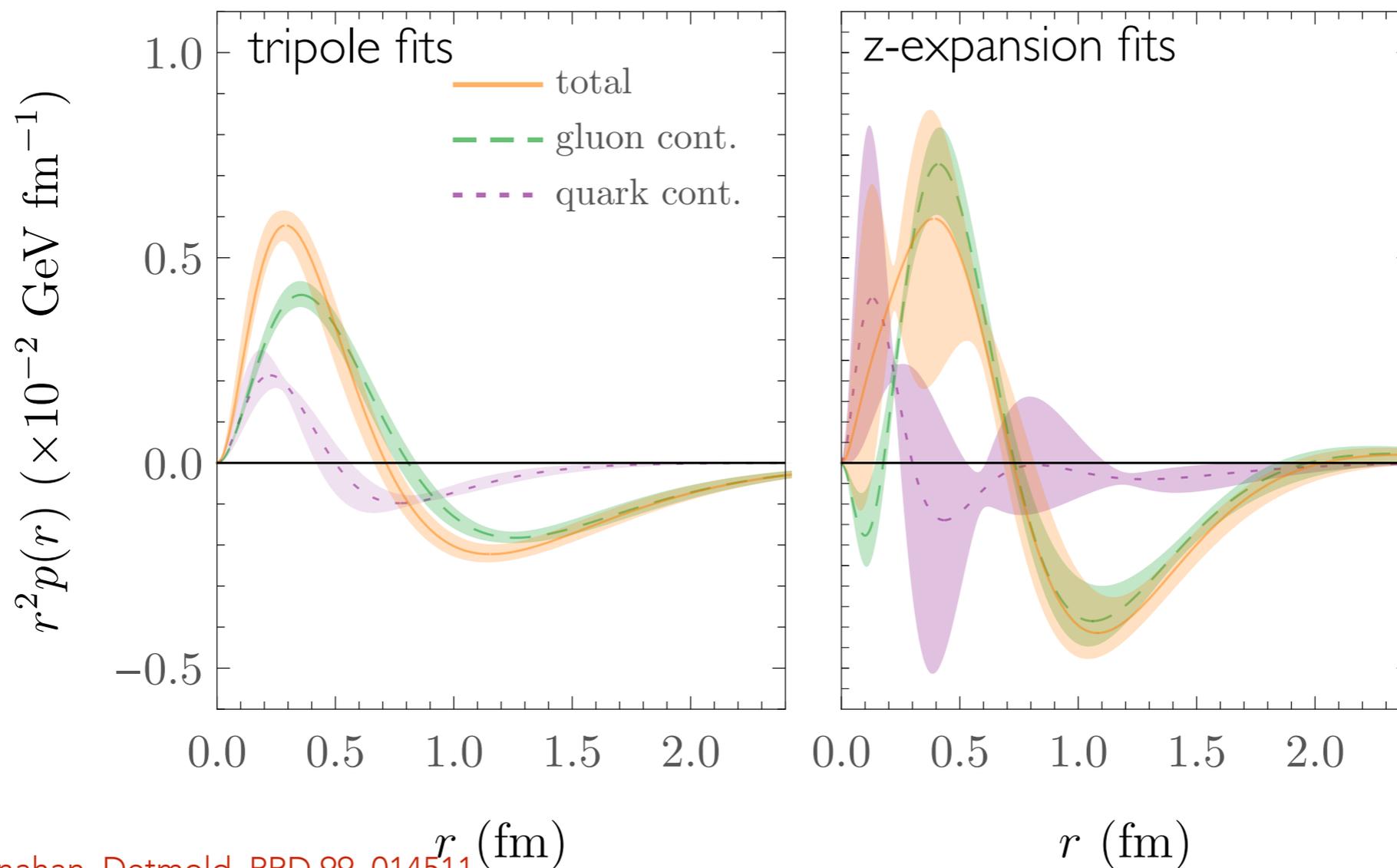


Significant model-dependence in Fourier transform of D-term form factor

Proton pressure from LQCD

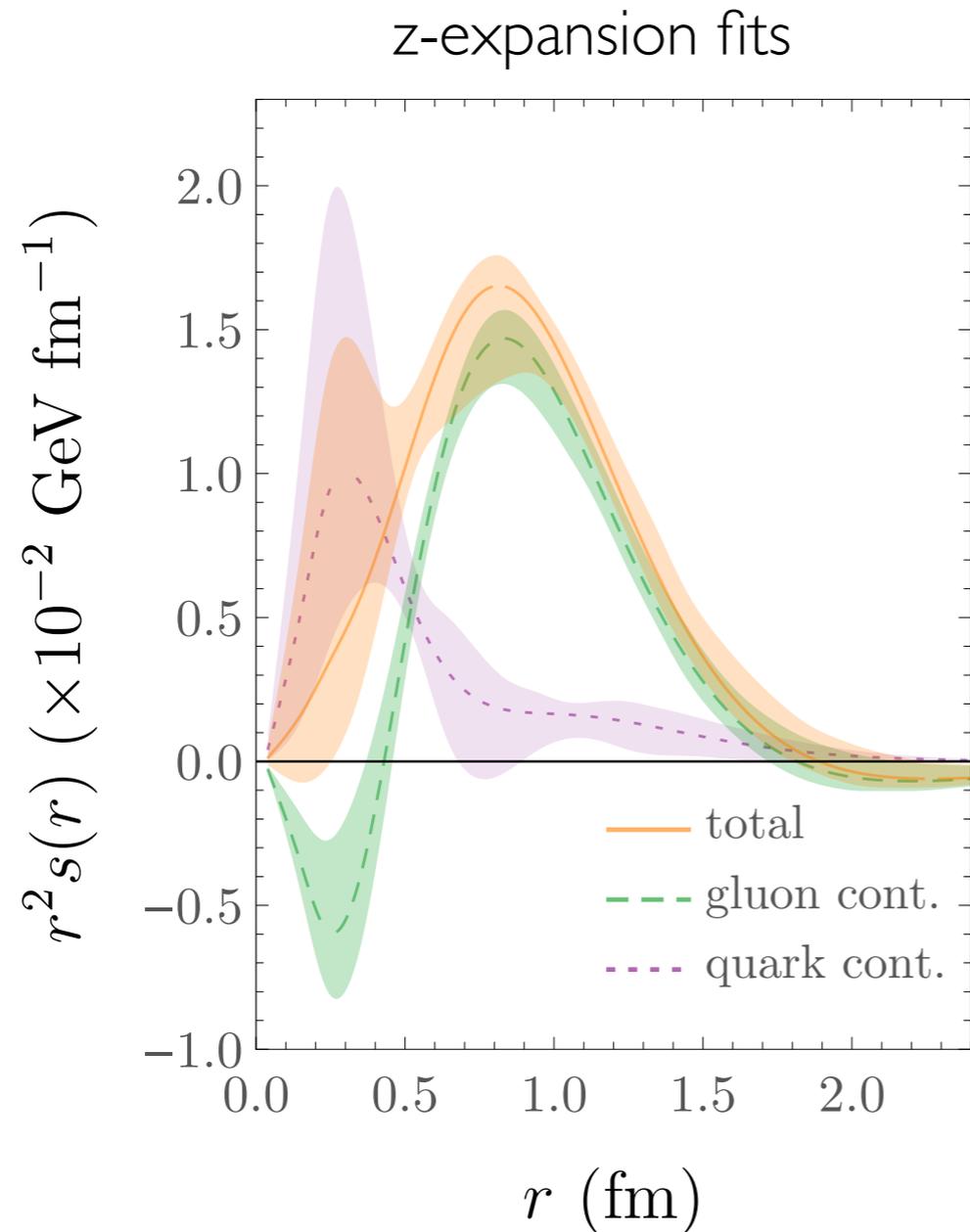
LQCD ONLY

pressure determination, $m_\pi \sim 450$ MeV



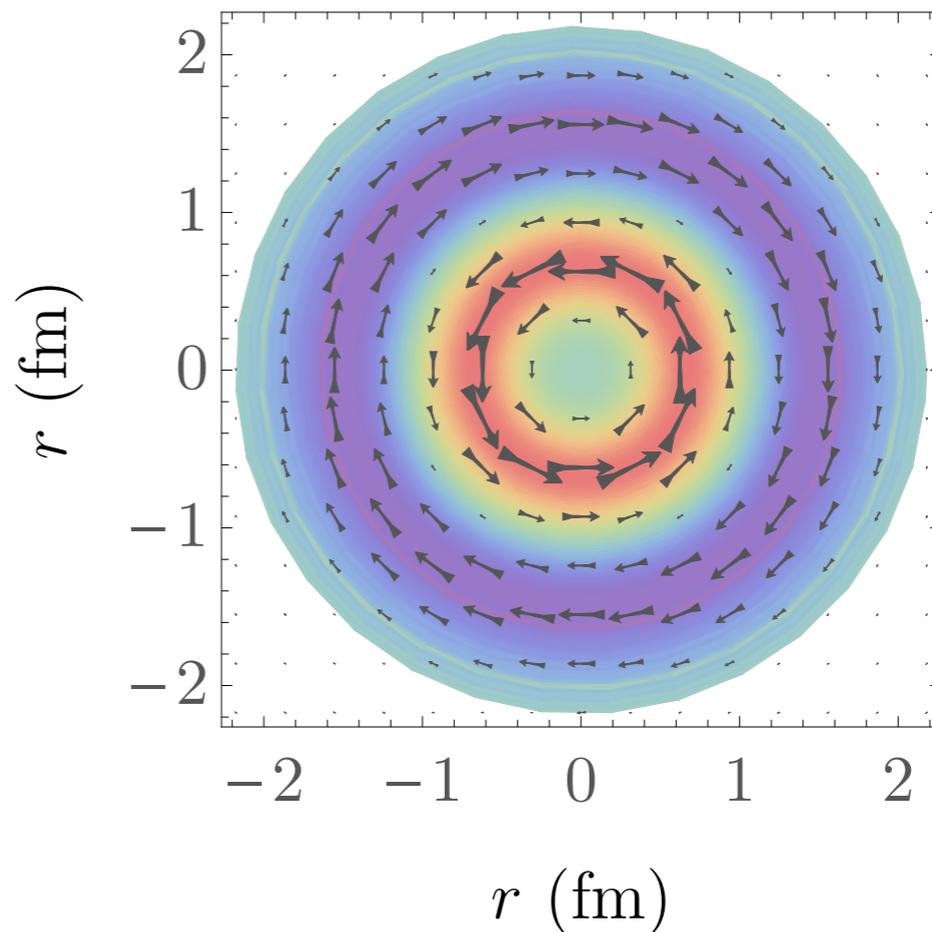
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Proton shear from LQCD



Tangential shear
vector field $4\pi r^2 T_{ij} e_j^\phi$

-0.02 -0.01 0 0.01 0.02 0.03



Gluon GFFs: [Shanahan, Detmold, PRD 99, 014511](#)

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Pion GFFs from LQCD

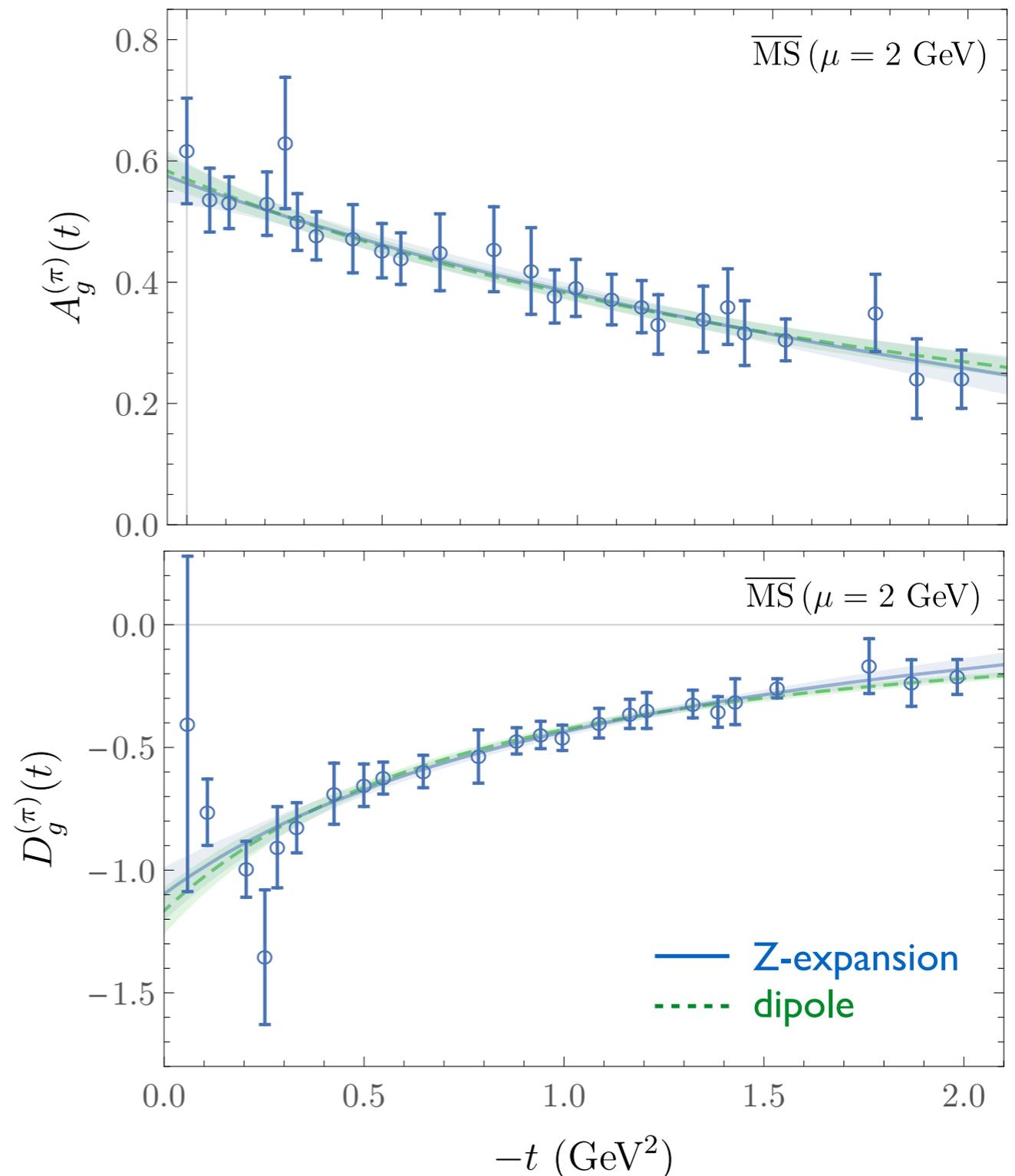
Pion gluon GFFs $m_\pi \sim 450$ MeV

- Dipole-like fall-off with momentum transfer

- Momentum fraction $A_a(0) = \langle x \rangle_a$

$$\longrightarrow \sum_{a=q,g} A_a(0) = 1$$

- D-terms $D_a(0)$ related to pressure and shear distributions



[Shanahan, Detmold,
PRD 99, 014511, PRL 122 072003 (2019)]

Pion GFFs from LQCD

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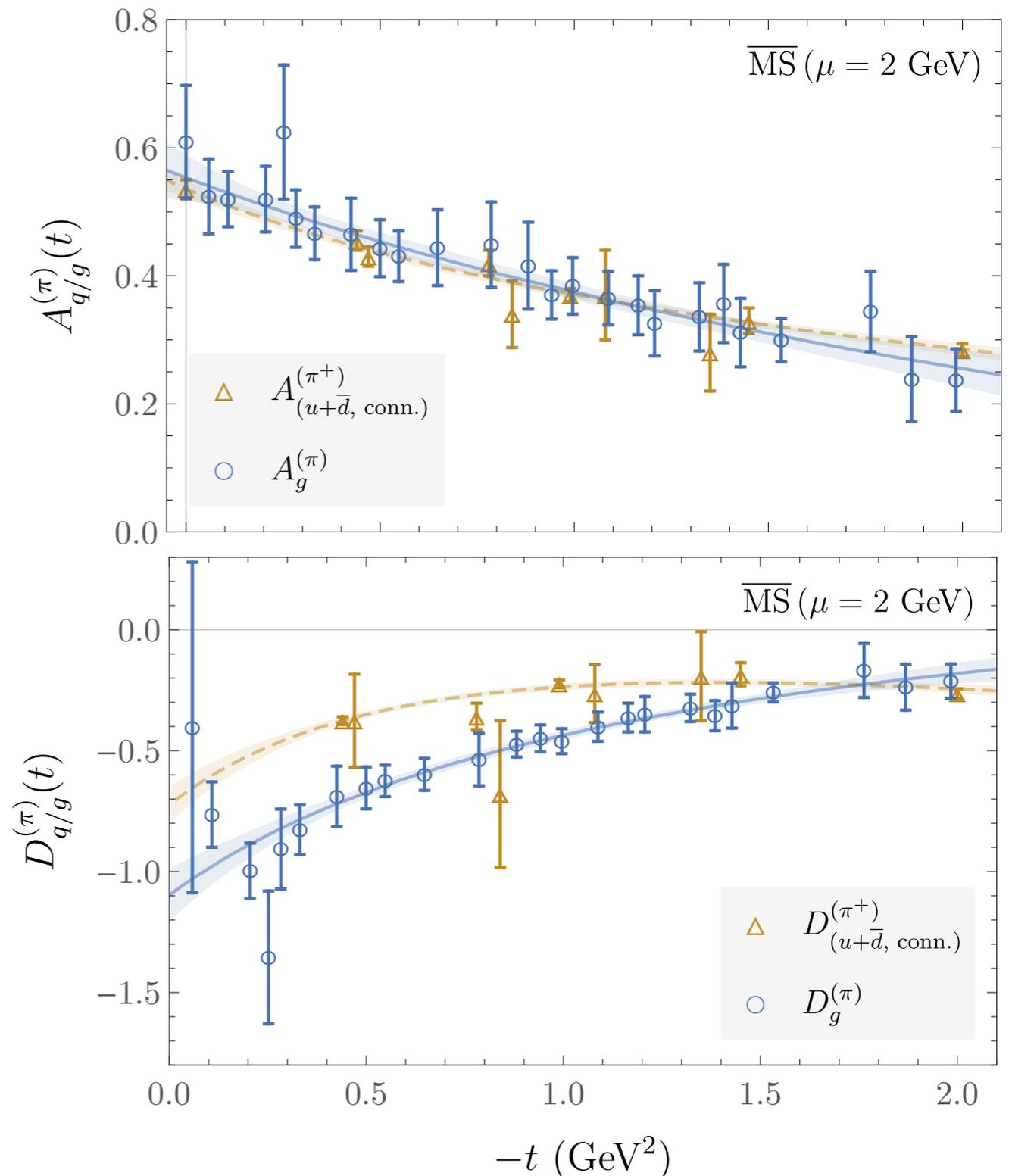
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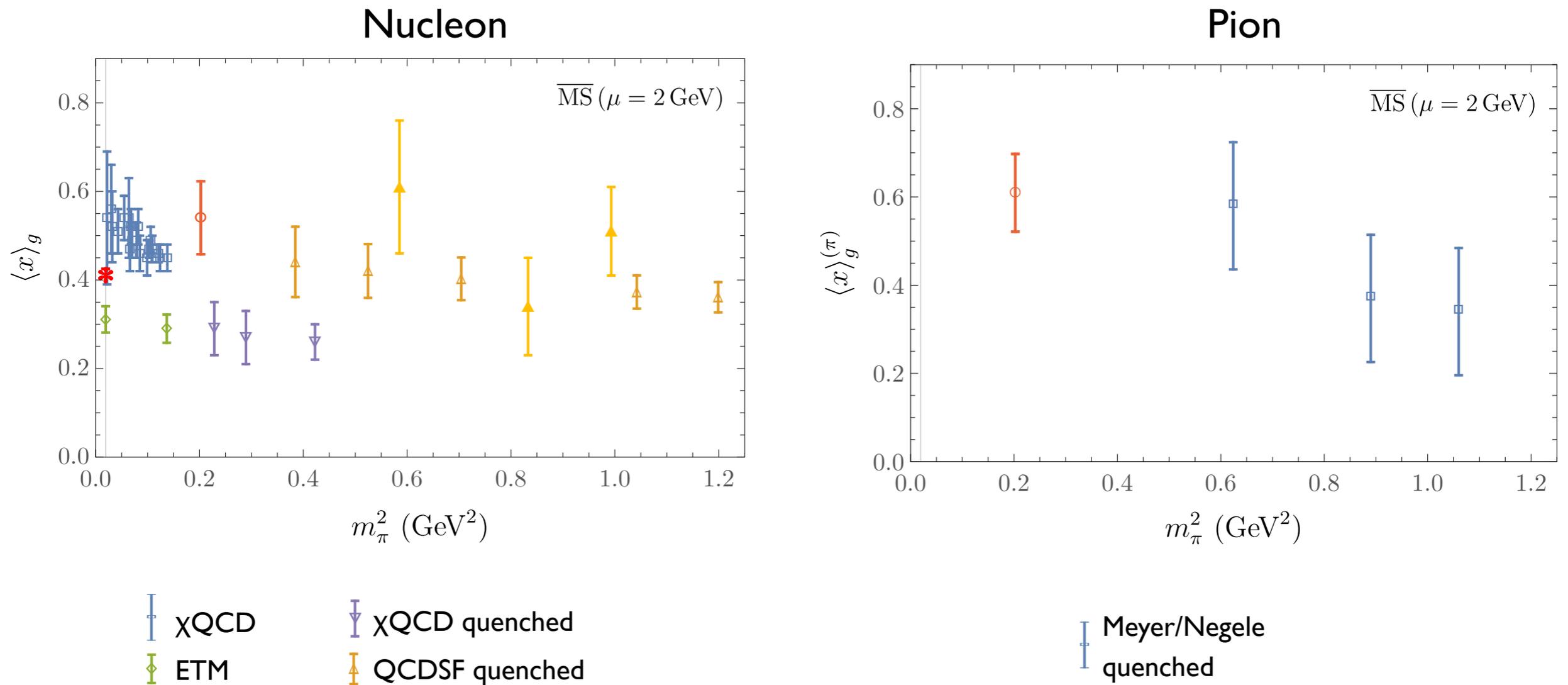
gluon: Shanahan, Detmold, PRD
99, 014511 (2019)

quark: Dirk Brömmel Ph.D.
thesis (2007) $m_\pi \sim 840$ MeV



Gluon momentum fraction

Gluon momentum fraction $A_a(0) = \langle x \rangle_a$



Very little pion-mass dependence within each set of calculations

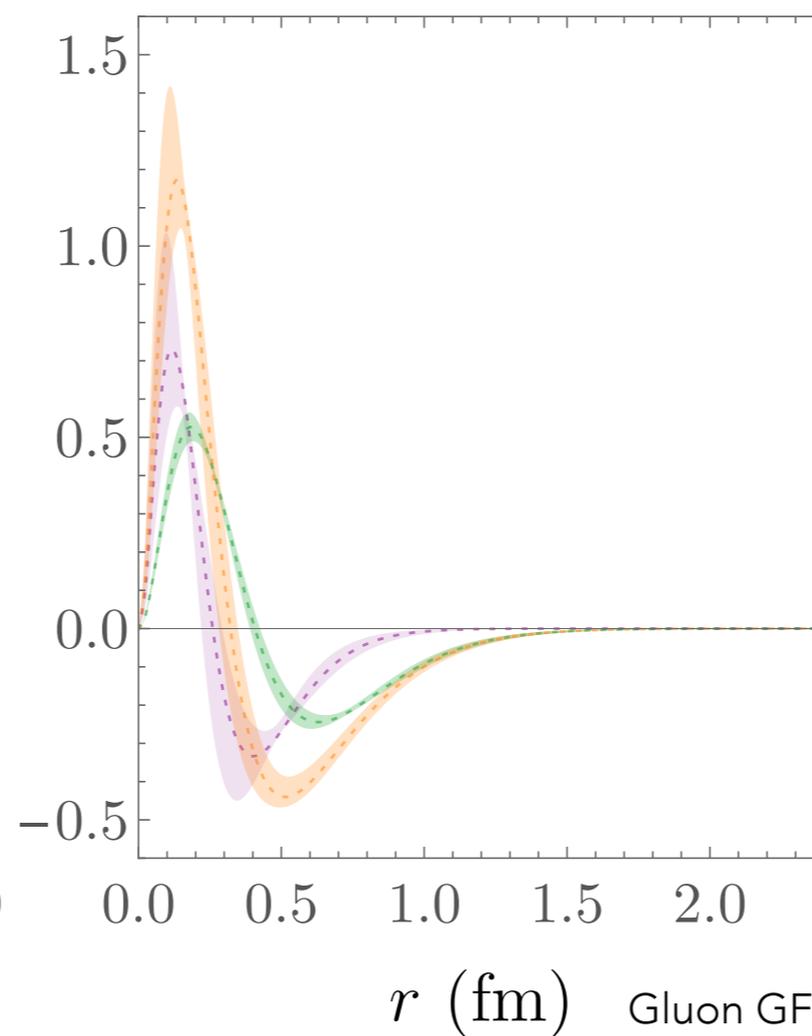
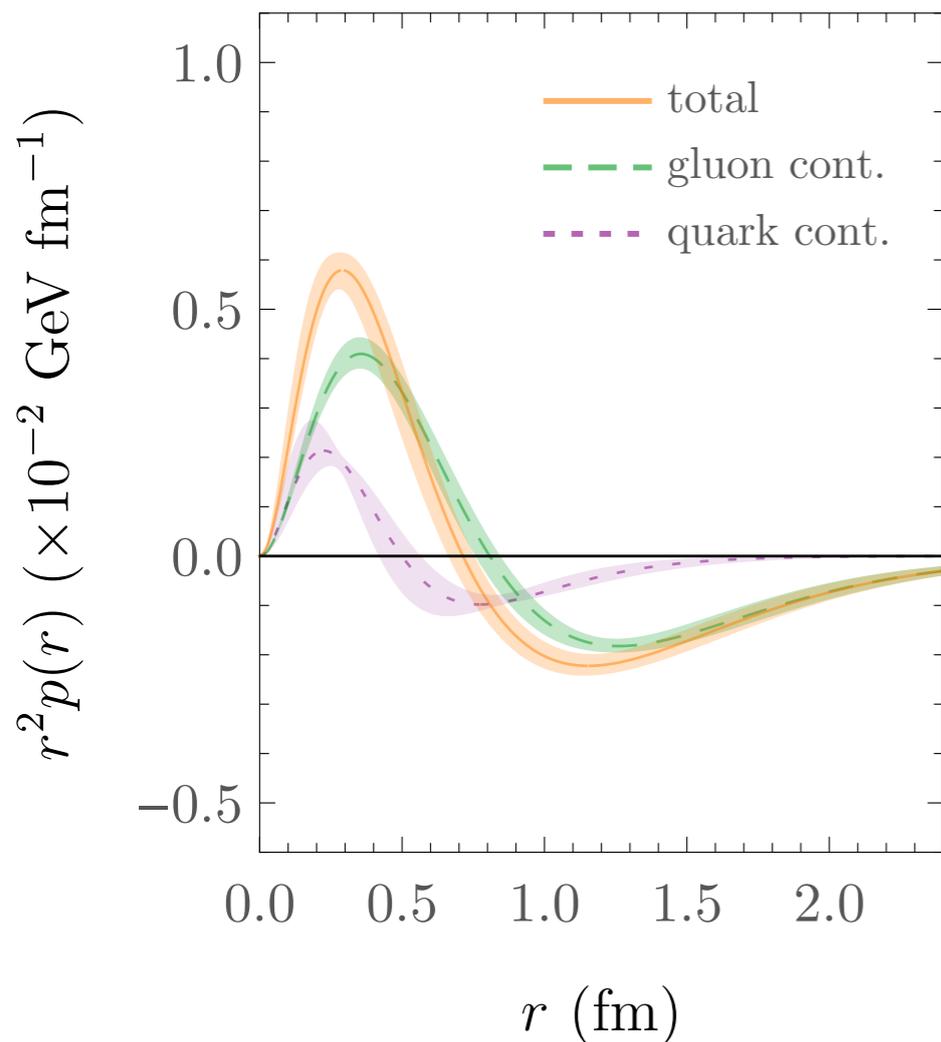
Next: pressure distribution in nuclei

Pressure in light nuclei
c.f. pressure in the nucleon?

New studies of ρ
and Δ coming soon!
[Pefkou, Hackett,
Shanahan]

Nucleon

Pion



Pion & Nucleon
quark and gluon
momentum fractions
consistent within
uncertainties, but
very different
pressure
distributions!

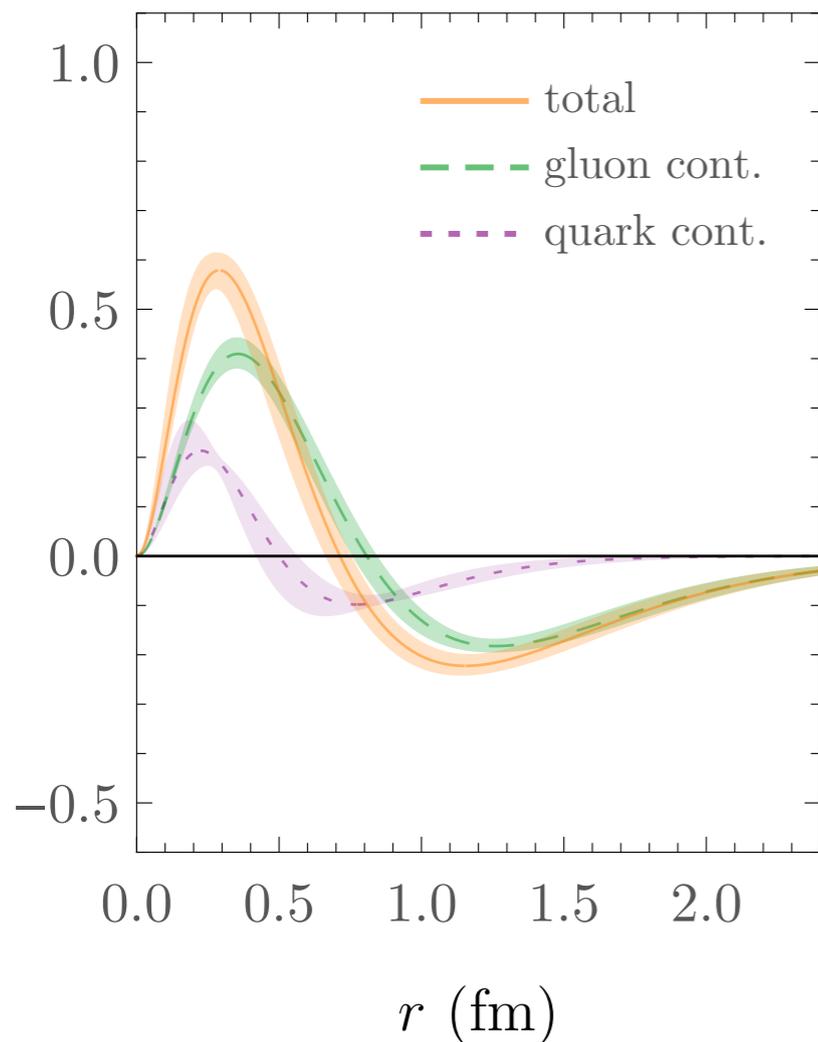
Gluon GFFs: [Shanahan, Detmold, PRD 99, 014511](#)

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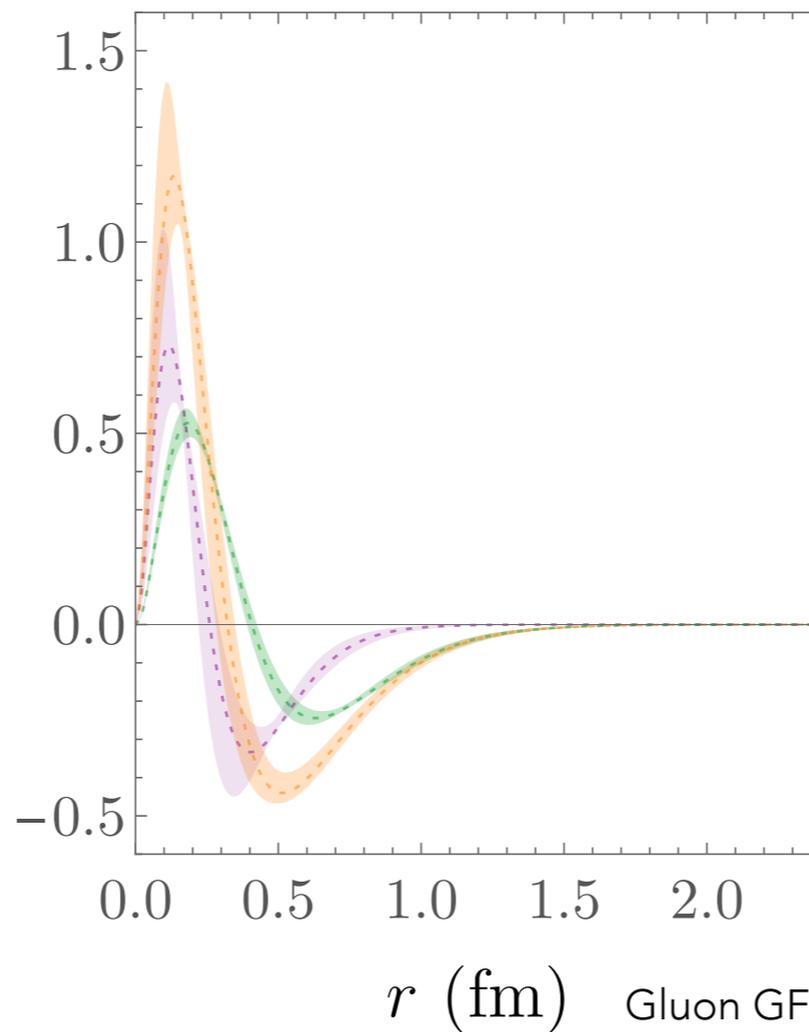
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Pressure in light nuclei
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Nucleon



Pion



Light nuclei



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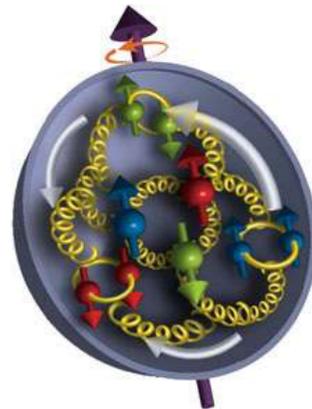
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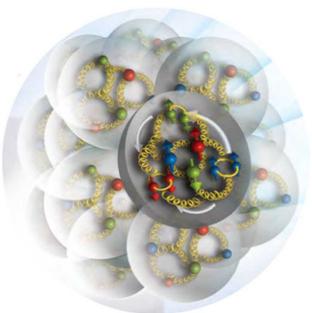
- PDFs
- GPDs
- TMDs
- Pressure distribution



2

How is the gluon structure of a proton modified in a nucleus

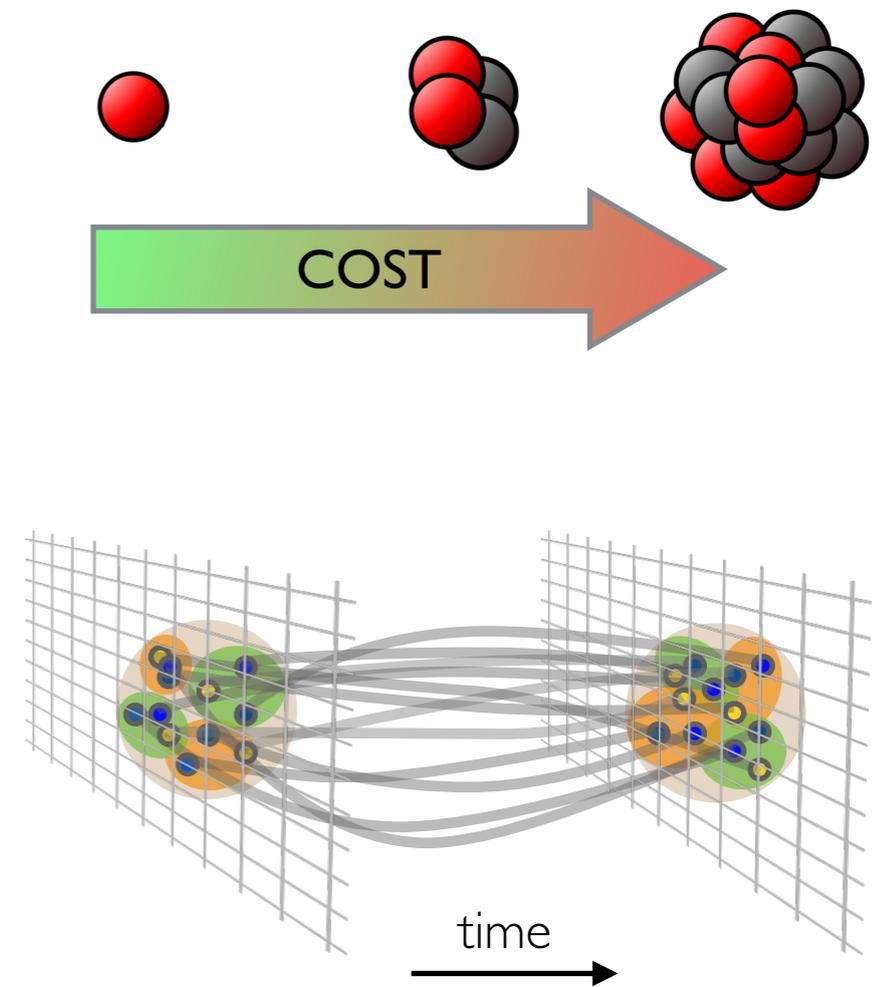
- Gluon 'EMC' effect
- Exotic glue



Nuclear physics from lattice QCD

Nuclei on the lattice is
HARD

- **Noise:**
Statistical uncertainty grows exponentially with number of nucleons
- **Complexity:**
Number of contractions grows factorially



Calculations possible for $A < 5$

NPLQCD effort in lattice QCD for nuclei

- Nuclei with $A < 5$
- QCD with unphysical quark masses

$m_\pi \sim 800$ MeV, $m_N \sim 1,600$ MeV

$m_\pi \sim 450$ MeV, $m_N \sim 1,200$ MeV

$m_\pi \sim 170$ MeV, $m_N \sim 950$ MeV

IN PROGRESS

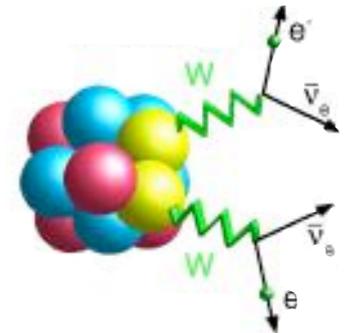
- First calculation of spectrum of light nuclei in 2013

Highlights of 2017-2020



- Proton-proton fusion and tritium β -decay

[PRL 119, 062002 (2017)]



- Double β -decay

[PRL 119, 062003 (2017), PRD 96, 054505 (2017)]

- Gluon structure of light nuclei

[PRD 96 094512 (2017)]

- Scalar, axial, tensor MEs

[PRL 120 152002 (2018)]

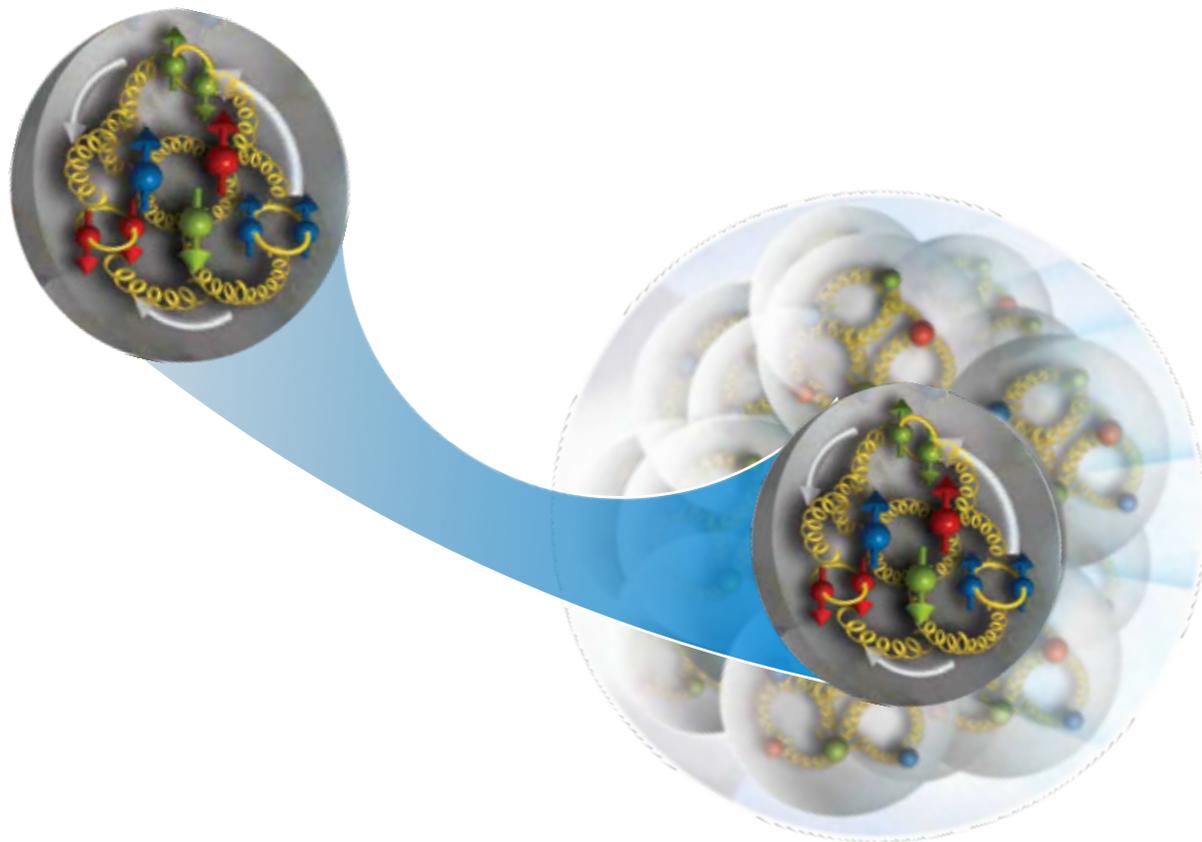
- Isovector EMC effect

[2009.05522]

Gluon structure of nuclei

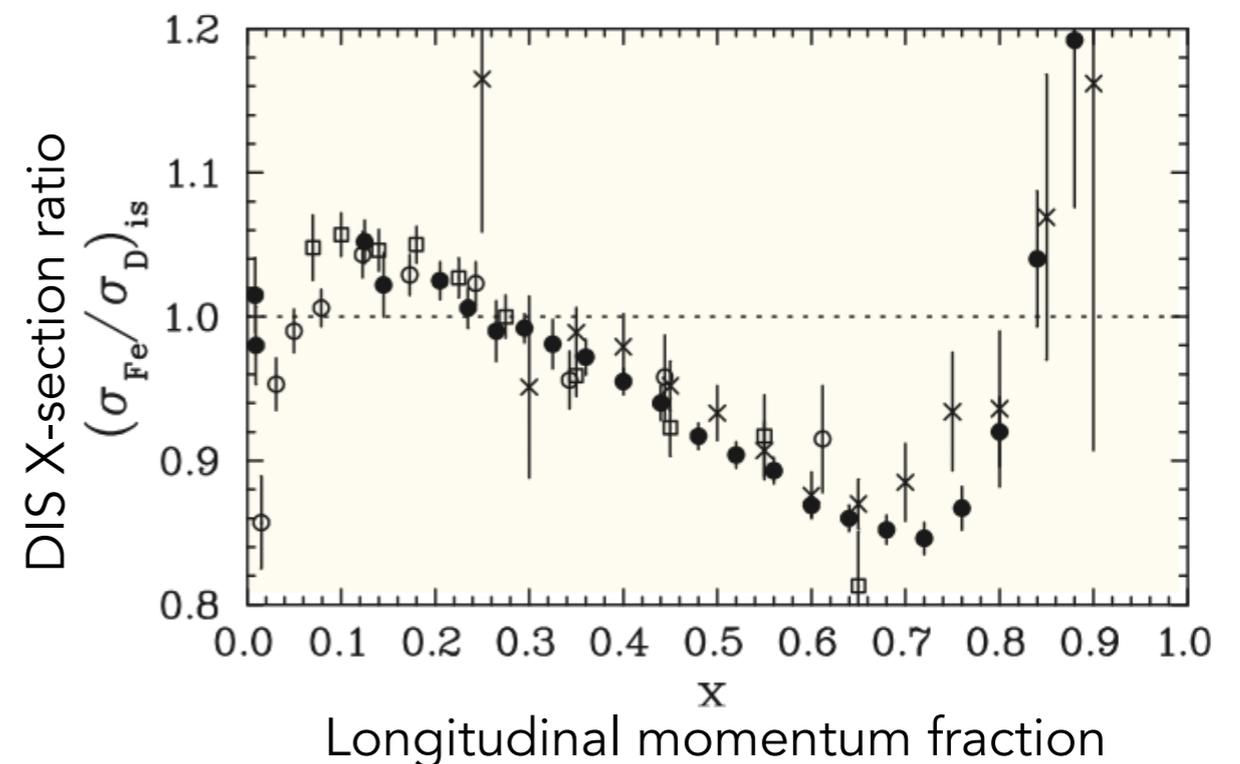
How does the gluon structure of a nucleon change in a nucleus?

Gluonic analogue of EMC effect?
[EMC: Aubert et al., 1983]



Ratio of structure function F_2 per nucleon for iron and deuterium

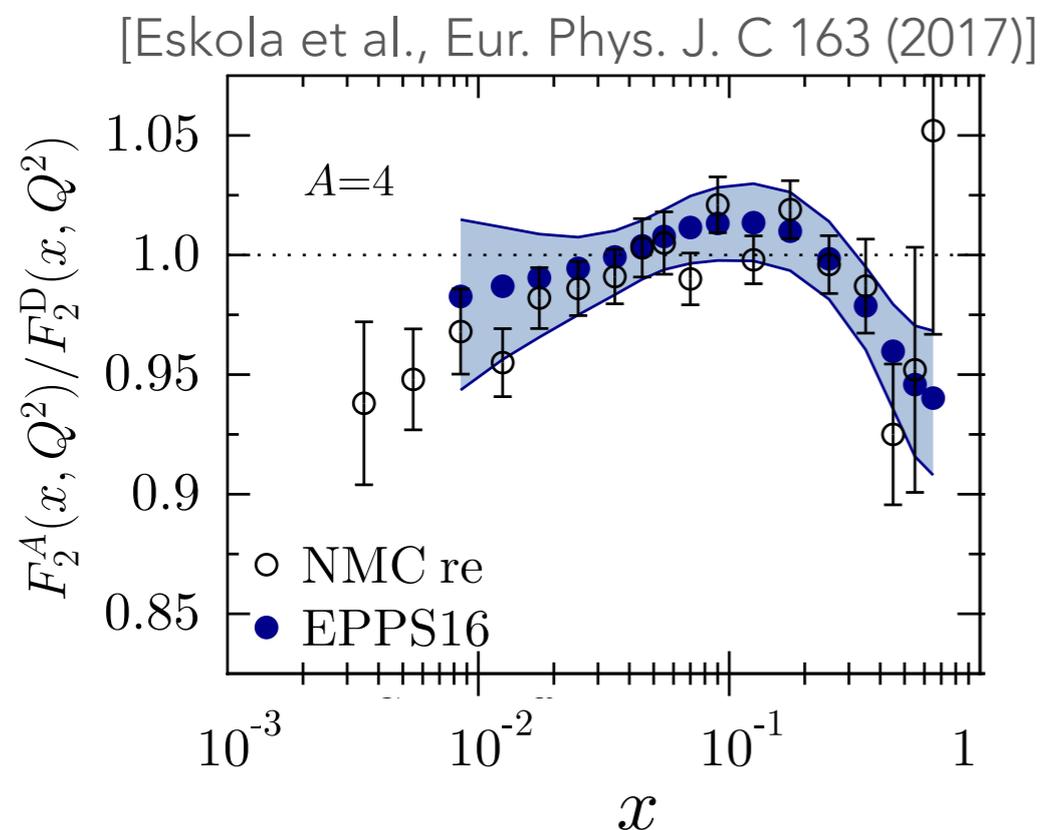
$$F_2(x, Q^2) = \sum_{q=u,d,s,\dots} x e_q^2 [q(x, Q^2) + \bar{q}(x, Q^2)]$$



EMC effects in Mellin moments

First investigation of EMC-type effects from LQCD:
Nuclear effects in Mellin moments of PDFs

- Calculable from local operators
- **BUT** EMC effects in moments are very small



Classic EMC effect is defined in F_2 :

$$F_2(x, Q^2) = \sum_{q=u,d,s,\dots} x e_q^2 [q(x, Q^2) + \bar{q}(x, Q^2)]$$

Number density of partons of flavour q

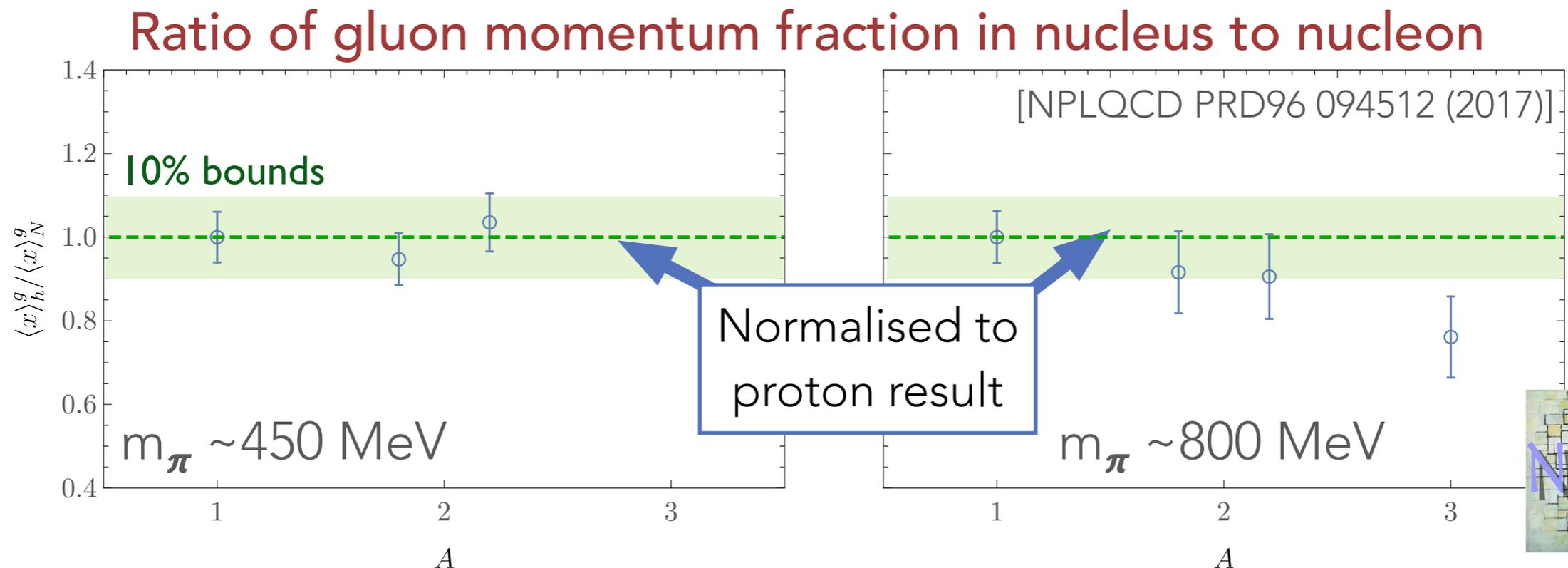
→ x-integrals of numerator and denominator $\int_0^1 dx x^n q(x, Q^2)$

Gluon momentum fraction of nuclei

Matrix elements of the spin-independent gluon operator in nucleon + light nuclei [NPLQCD PRD96 094512 (2017)]

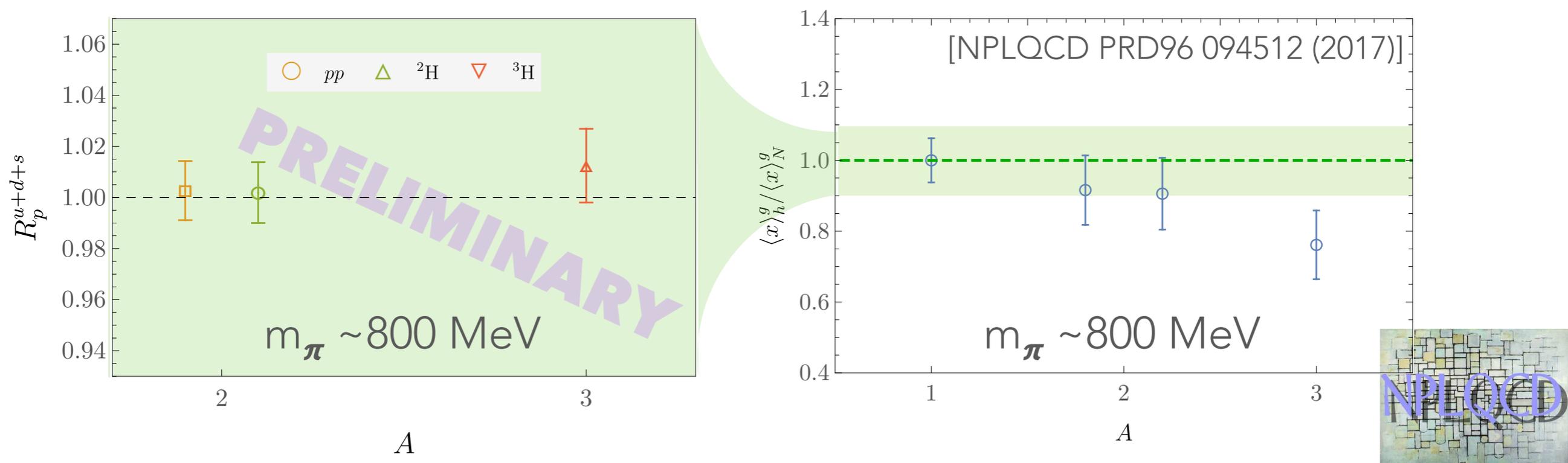
→ first determination of gluon momentum fraction of nuclei

- Constraints at $\sim 10\%$ level on EMC-effect in gluon momentum fraction
- Small mixing with quark EMT operators (neglected)
- **Sum rule constraint**



Momentum fractions of nuclei

- First determination of all components of momentum decomposition of light nuclei
- Small mixing between quark and gluon EMT operators neglected
- Constraint on either quark or gluon EMC in this quantity implies constraint on the other from sum rules:



Exotic glue in the deuteron

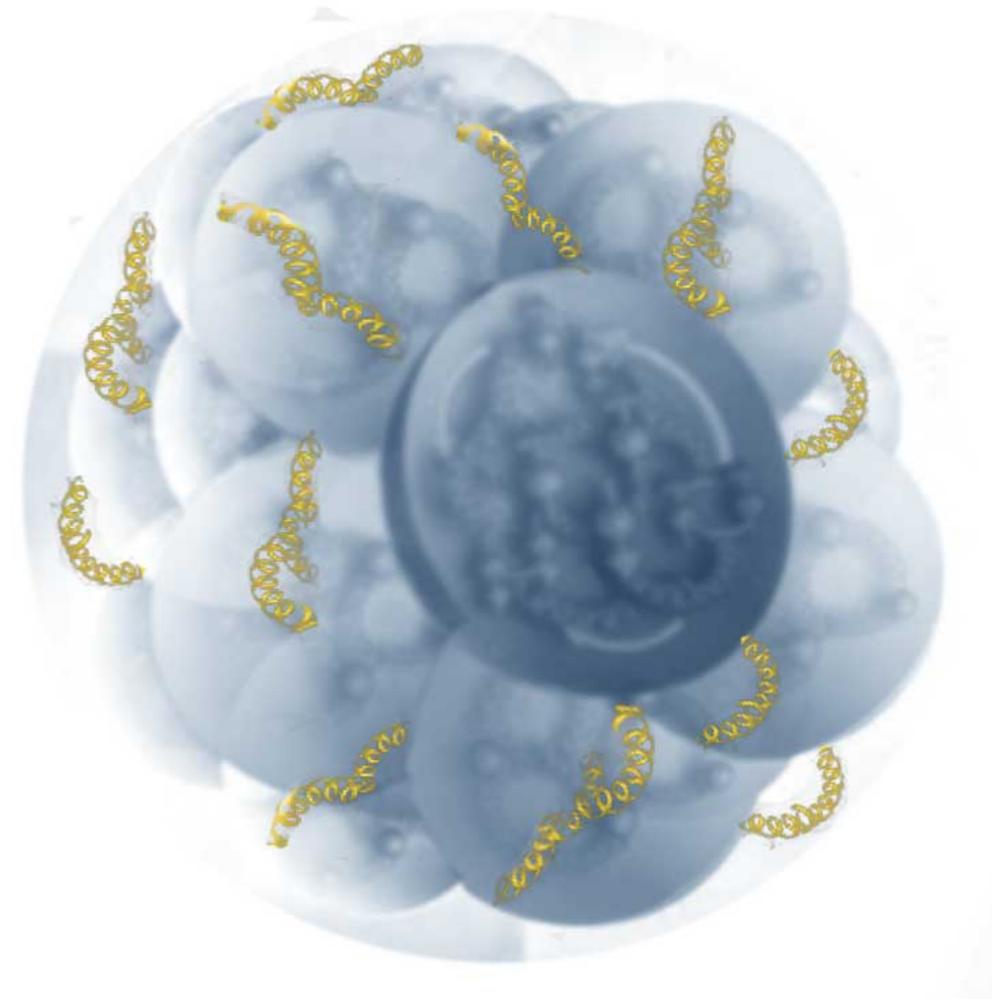
a "pure" EMC-type effect

Contributions to nuclear structure from gluons not associated with individual nucleons in nucleus

Exotic glue operator:

$$\text{nucleon } \langle p | \mathcal{O} | p \rangle = 0$$

$$\text{nucleus } \langle N, Z | \mathcal{O} | N, Z \rangle \neq 0$$

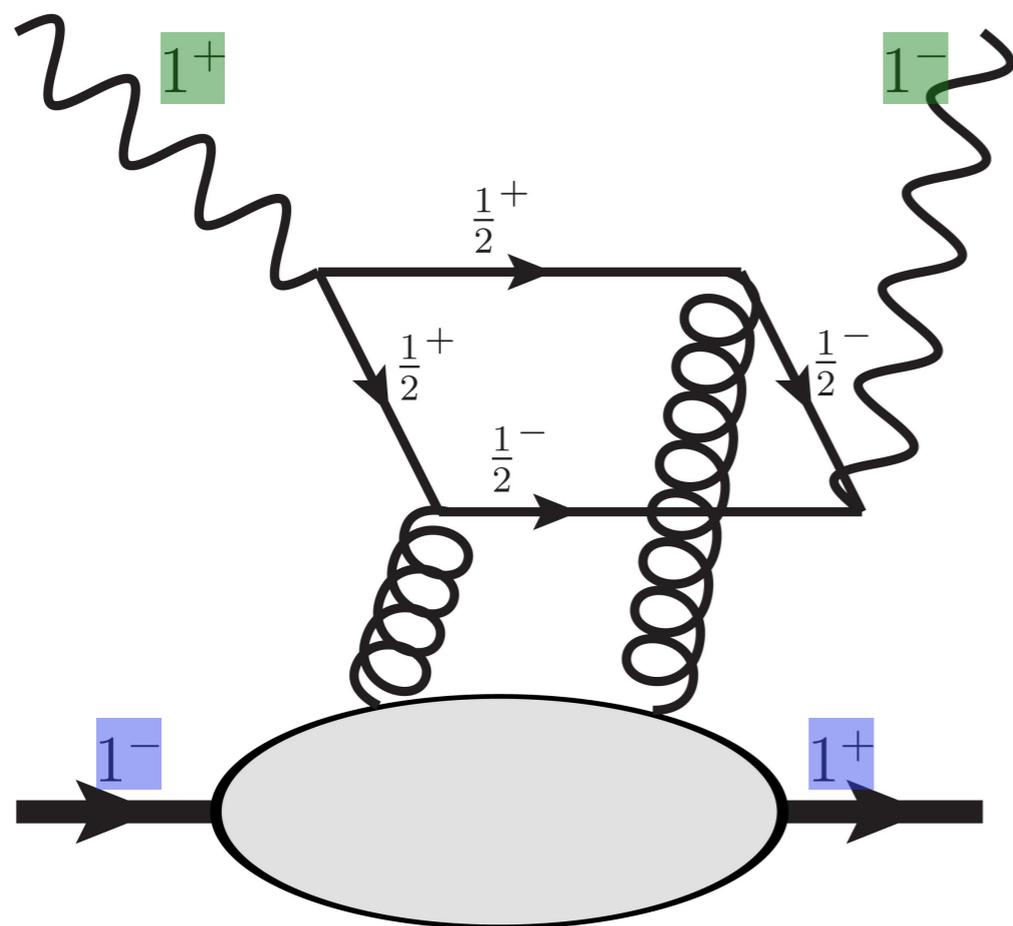


Jaffe and Manohar, "Nuclear Gluonometry"
Phys. Lett. B223 (1989) 218

Exotic glue in the deuteron

a "pure" EMC-type effect

Double helicity flip structure function $\Delta(x, Q^2)$:
changes both photon and target helicity by 2 units



- **Unambiguously gluonic:** no analogous quark PDF at twist-2
- Non-vanishing in forward limit for targets with $\text{spin} \geq 1$
- **Experimentally measurable** [see Shunzo Kumano's talk]
 - Unpolarised electron DIS on polarised target: JLab Lol 2015
 - Proton-deuteron Drell-Yan at FNAL
 - J/ψ production at NICA
- Moments calculable in LQCD

Exotic glue in the deuteron

a "pure" EMC-type effect

Double helicity flip structure function $\Delta(x, Q^2)$:
changes both photon and target helicity by 2 units

Parton model interpretation: gluonic transversity

$$\Delta(x, Q^2) = -\frac{\alpha_s(Q^2)}{2\pi} \text{Tr} Q^2 x^2 \int_x^1 \frac{dy}{y^3} [g_{\hat{x}}(y, Q^2) - g_{\hat{y}}(x, Q^2)]$$

$g_{\hat{x}, \hat{y}}(y, Q^2)$: probability of finding a gluon with momentum fraction y
linearly polarised in \hat{x} , \hat{y} direction

Exotic glue in the deuteron

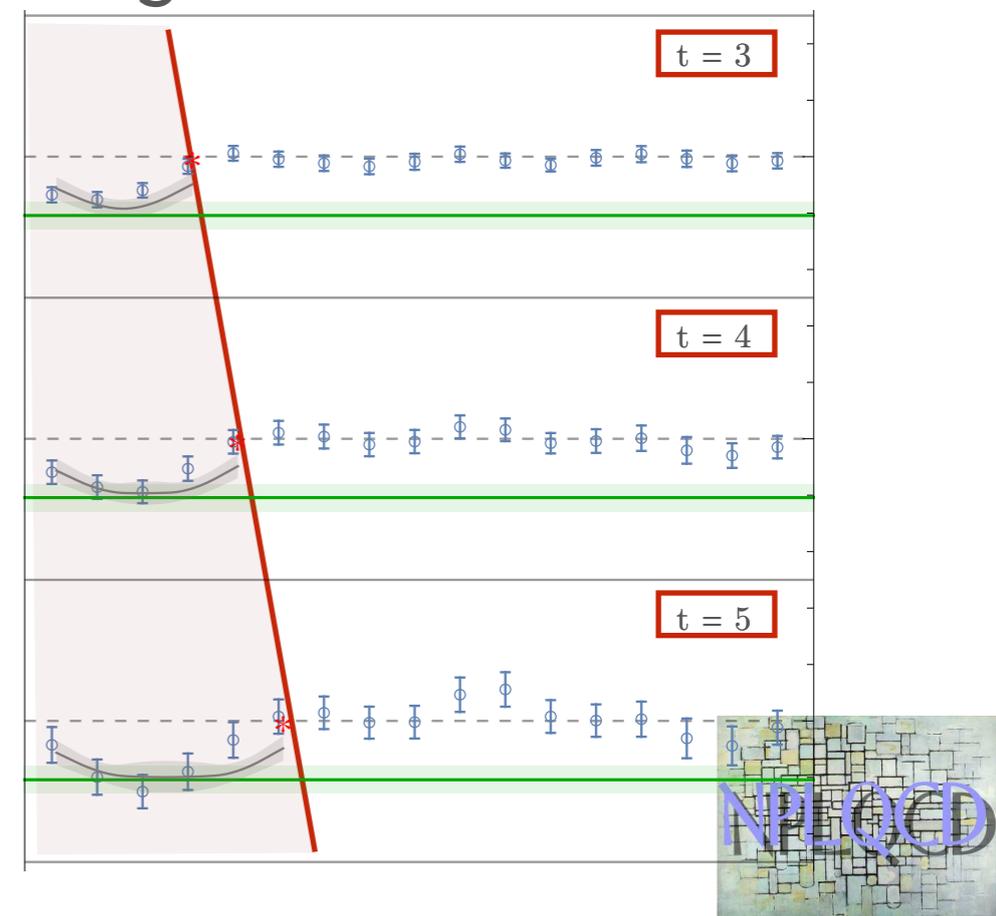
Contributions to nuclear structure from gluons not associated with individual nucleons in nucleus

$$\text{nucleon: } \langle p | \mathcal{O} | p \rangle = 0$$

$$\text{nucleus: } \langle N, Z | \mathcal{O} | N, Z \rangle \neq 0$$

- First moment of gluon transversity distribution in the deuteron [Jaffe, Manohar PLB223 (1989) 218]
- First evidence for non-nucleonic gluon contributions to nuclear structure: LQCD with $m_\pi \sim 800$ MeV [NPLQCD PRD96 (2017)]
- Magnitude relative to momentum fraction as expected from large- N_c

Signal in LQCD data



Gluon structure from LQCD

Future colliders will dramatically alter our knowledge of the gluonic structure of hadrons and nuclei

- Work towards a complete 3D picture of parton structure (moments, x-dependence of PDFs, GPDs, TMDs)
- First determination of gluon contributions to shear and pressure distributions in the proton
 - ❖ Supports analysis assumptions in recent experimental determination
 - ❖ Suggests target kinematics for future model-independent extractions at JLab12 and EIC
- Compare quark and gluon distributions in hadrons and nuclei

Lattice QCD calculations in hadrons and light nuclei will complement and extend understanding of fundamental structure of nature

