



Stony Brook University

## Recent spin results from PHENIX

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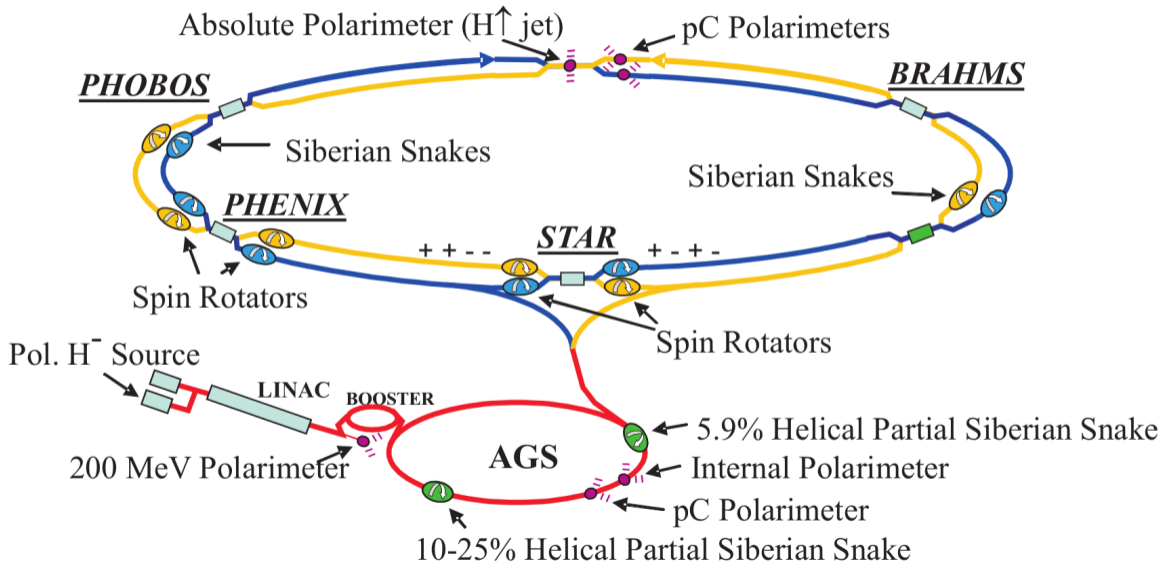
**Spin Physics Detector Collaboration Meeting 2021**

June 9, 2021

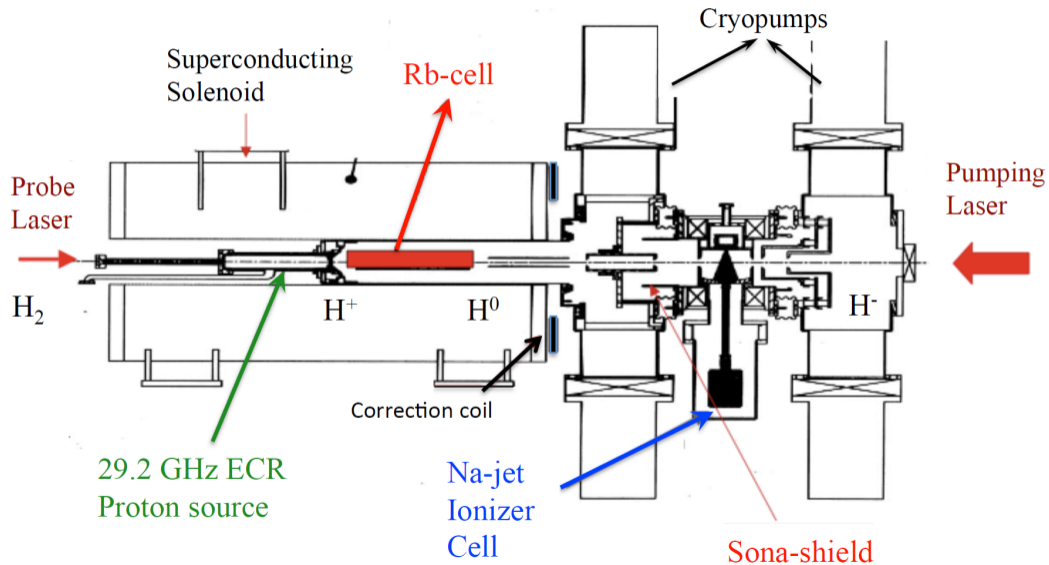


1. Experimental setup
2. Longitudinal double spin asymmetry  $A_{LL}$ 
  - Direct photon
  - Jet
  - Charged pion
3. Transverse single spin asymmetry  $A_N$ 
  - Direct photon
  - $\pi^0$  and  $\eta$
  - Forward neutron
  - $J/\psi$
  - Preliminary  $A_N$  results
4. Summary

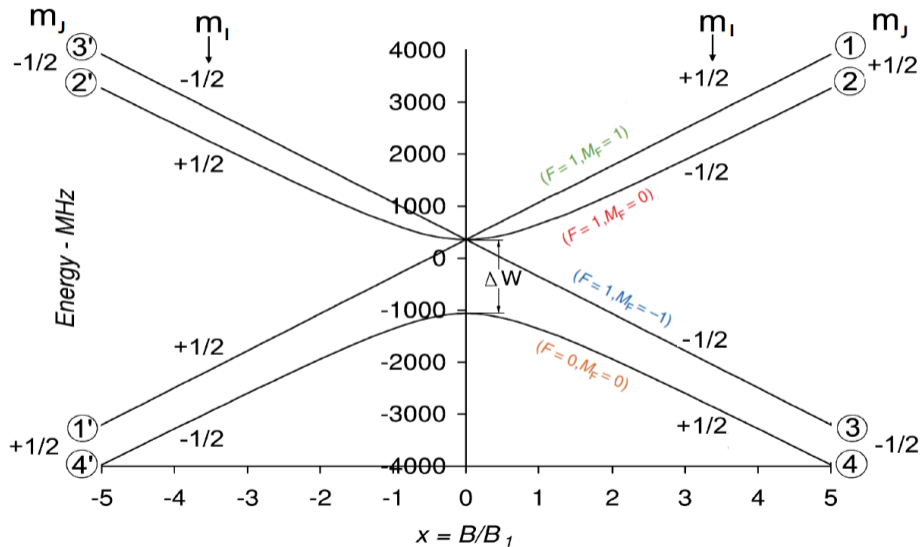
# Polarized protons at RHIC



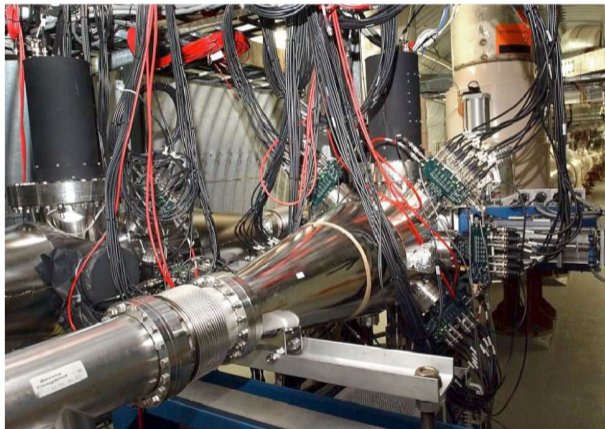
# Optically Pumped Polarized Ion Source



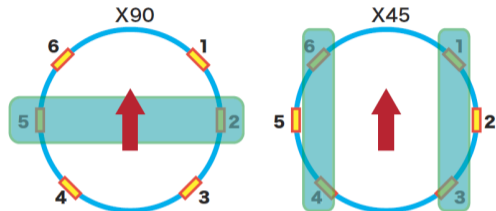
# Sona transition



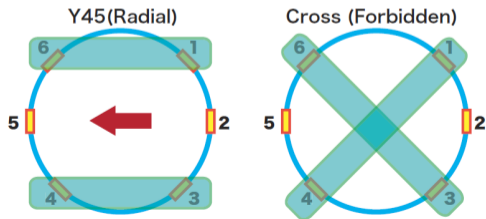
# p-C polarimeters



## Physics Asymmetry



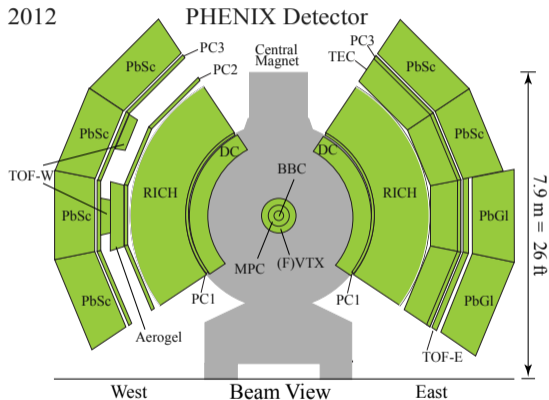
## False Asymmetry



# PHENIX detector



- $|\eta| < 0.35$  and  $\pi$  coverage for  $\phi$ .
- EMCal: primary detector for photons.
- EMCal trigger: select high energy particles.
- DC: measure charged particles.
- PC3: track matching.
- RICH: PID from Čerenkov light.



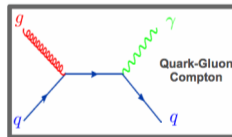
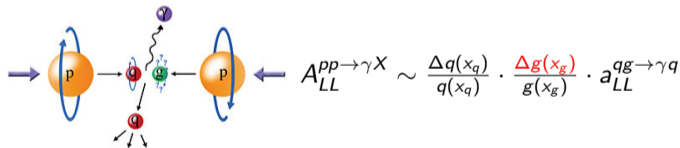
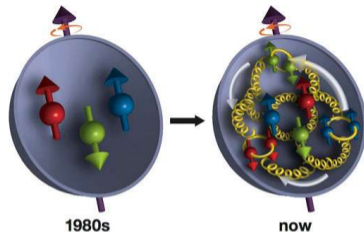
# Probing the gluon spin inside the proton



- The proton spin can be decomposed as

$$\frac{1}{2} = \frac{1}{2} \sum_q \Delta q + \Delta g + L_q + L_g$$

- Gluon spin  $\Delta g$  is important for the proton spin puzzle.

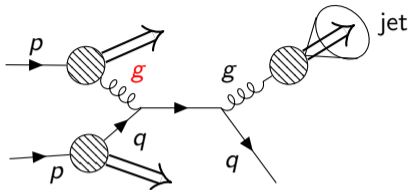


- $A_{LL} = \frac{\Delta\sigma}{\sigma} = \frac{\sigma_{++} - \sigma_{+-}}{\sigma_{++} + \sigma_{+-}}$

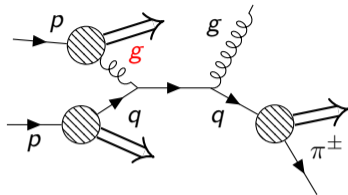
- Little fragmentation contributions to direct photon production.



# Jet and charged pion production

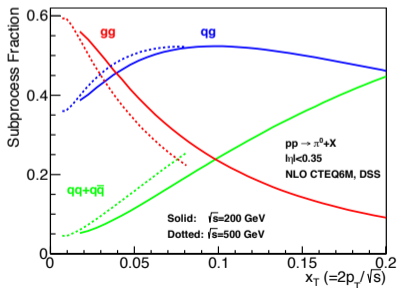


Jet production



Charged pion production

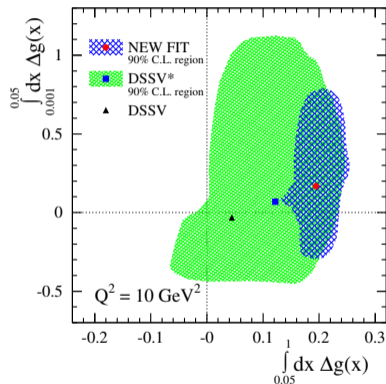
- Larger statistics: not suppressed by small QED coupling.
- $\pi^\pm$ : separate u and d quark.
- RHIC 200 GeV data probe  $0.05 < x < 0.2$ .
- RHIC 510 GeV data probe  $0.02 < x < 0.08$ .



# From $A_{LL}$ to $\Delta g$

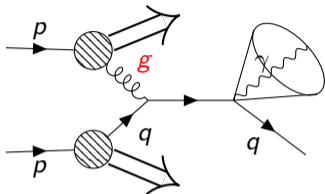


- Existing RHIC data mainly probe  $0.05 < x_g < 0.2$ .
- PHENIX  $\pi^0 A_{LL}$  at 510 GeV confirms a nonzero  $\Delta g$  and extend  $x_g$  to 0.01.
- STAR jet data clearly imply a polarization of gluons in this range.
- Results from  $\gamma$ , jet and  $\pi^\pm$  will add additional independent constraints on the  $\Delta g$ .



PRL 113, 012001 (2014)

# Isolation cut for direct photon

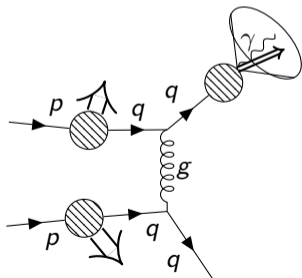


$$r_{cone} = \sqrt{(\delta\eta)^2 + (\delta\phi)^2} = 0.5$$

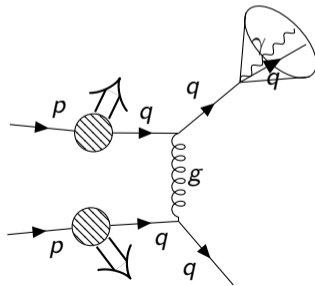
Isolation cut requirement:

$$\sum E_{in\ cone} < 0.1E_\gamma$$

Quark-gluon Compton scattering: Easy to pass isolation cut



Fragmentation:  
Hard to pass  
isolation cut

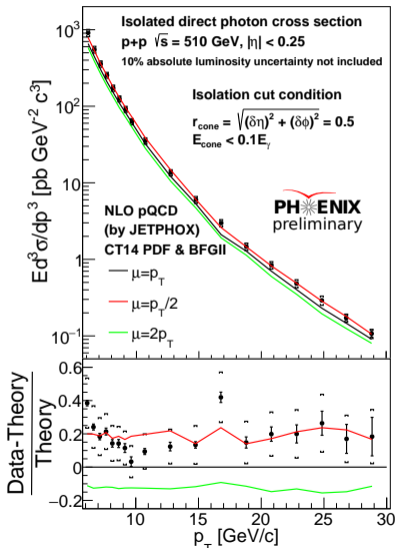
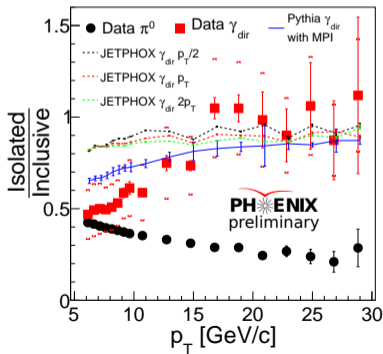


Bremsstrahlung:  
Hard to pass  
isolation cut



# Direct photon cross section

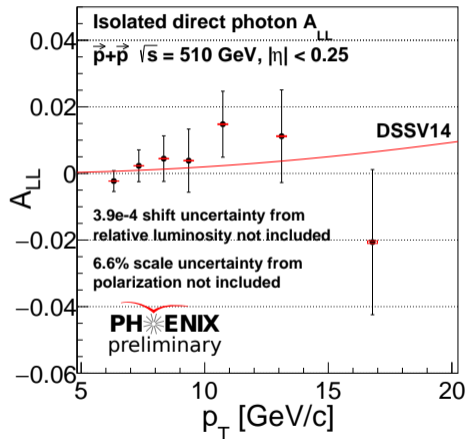
- Consistent with NLO pQCD.
- MPI and parton shower are important for inclusive direct photon production.
- Constrain unpolarized gluon PDF.



# Direct photon $A_{LL}$



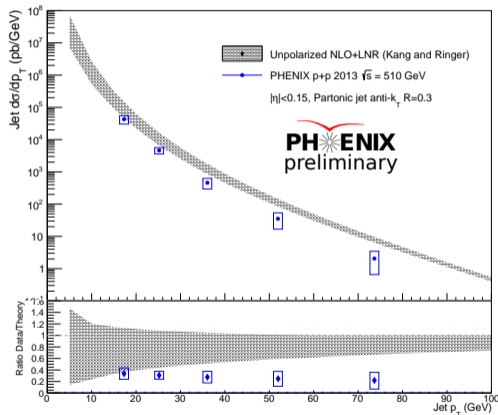
- Consistent with NLO DSSV14.
- Will be the first published direct photon  $A_{LL}$ .
- Constrain polarized gluon PDF  $\Delta g$ .



# Jet cross section

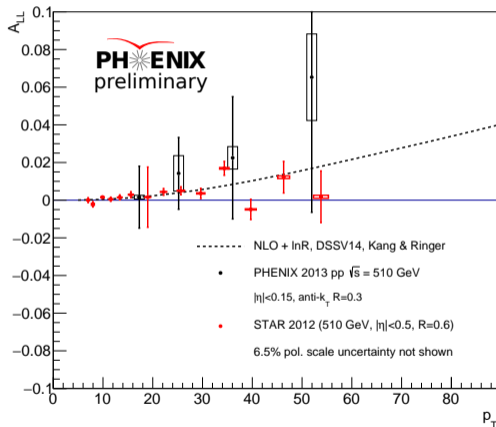


- Calculation from NLO +  $\ln(R)$  resummation overestimates data.
- The calculation is at partonic level: MPI and parton shower are important.
- Similar observation from CMS, for small R anti- $k_T$ .

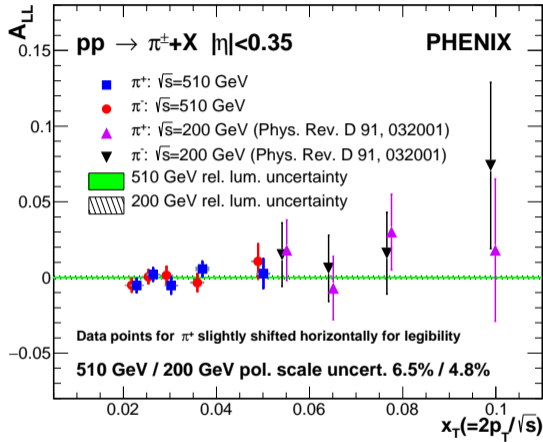
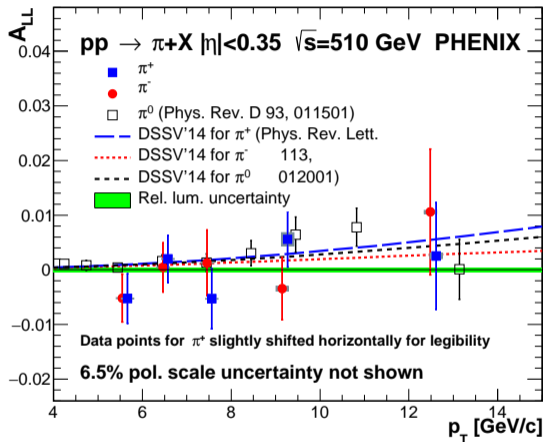




- Consistent with DSSV14 at NLO +  $\ln(R)$  resummation.
- Independent constraint on polarized gluon PDF  $\Delta g$ .
- Uncertainty are correlated due to unfolding.



# Charged pion $A_{LL}$

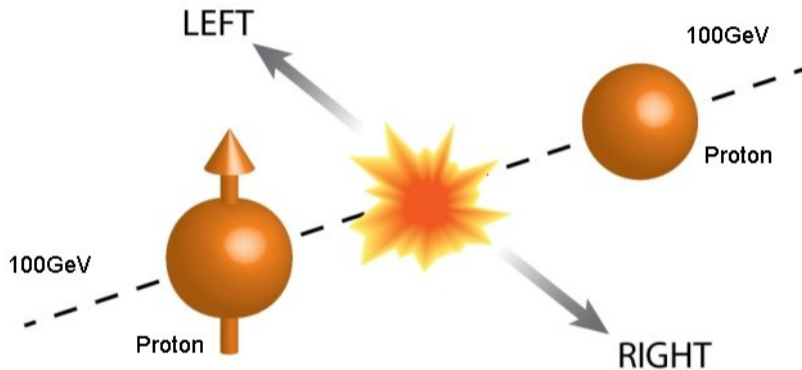


- PRD 102, 032001 (2020)
- Consistent with DSSV14.

- 510 GeV data probe low  $x$  range.
- Not enough statistics to decide  $\pi^\pm$  order.



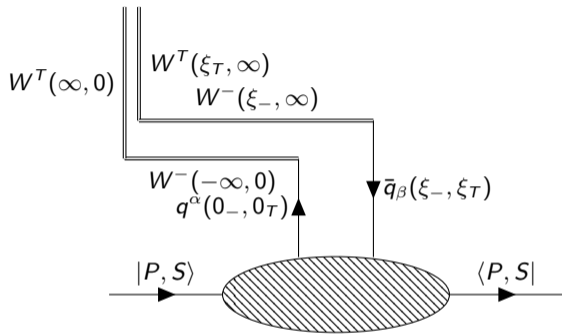
# Transverse Single Spin Asymmetry (TSSA)



$$A_N = \frac{\sigma^{\uparrow} - \sigma^{\downarrow}}{\sigma^{\uparrow} + \sigma^{\downarrow}}$$



# Origin of TSSA: TMD



When  $Q \gg k_T \gtrsim \Lambda_{QCD}$  :

$$\text{Quark correlation matrix } \Phi_\beta^\alpha(x, \mathbf{k}_T) \sim \langle P, S | \bar{q}_\beta(\xi_-, \xi_T) W^-(\xi_-, \infty) W^T(\xi_T, \infty) \times W^T(\infty, 0) W^-(\infty, 0) q^\alpha(0_-, 0_T) | P, S \rangle$$

Leading Twist TMDs

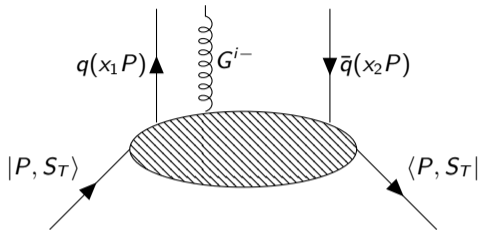


		Quark Polarization		
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Nucleon Polarization	1	$f_1 = \odot$		$h_1^\perp = \uparrow - \downarrow$ Boer-Mulders
	$S_L$		$g_{1L} = \rightarrow - \leftarrow$ Helicity	$h_{1L}^\perp = \rightarrow - \leftarrow$
	$S_T^i$ $S_T^j$	$f_{1T}^\perp = \uparrow - \downarrow$ Sivers	$g_{1T}^\perp = \rightarrow - \leftarrow$	$h_1 = \uparrow - \downarrow$ Transversity $h_{1T}^\perp = \rightarrow - \leftarrow$

$$\Gamma : \quad 1 \quad \gamma^5 \quad \gamma^i \gamma^5$$

$$\text{Tr}[\Gamma \gamma^+ \Phi(x, \mathbf{k}_T)] \rightarrow \text{TMD functions}$$

# Origin of TSSA: Collinear twist-3



When  $Q, k_T \gg \Lambda_{QCD}$  :

Collinear twist-3 function  $T(x_1, x_2) \sim$   
 $\langle P, S_T | \bar{q}(x_2 P) \gamma^+ \epsilon_{ij} G^{i-} S_T^j q(x_1 P) | P, S_T \rangle$

$$\begin{aligned} \Delta\sigma_{CO}(S_T) &= H \otimes f_{a/A(3)} \otimes f_{b/B(2)} \otimes D_{C/c(2)} \rightarrow \text{Sivers type} \\ &+ H' \otimes f_{a/A(2)} \otimes f_{b/B(3)} \otimes D_{C/c(2)} \rightarrow \text{Boer-Mulders type} \\ &+ H'' \otimes f_{a/A(2)} \otimes f_{b/B(2)} \otimes D_{C/c(3)} \rightarrow \text{Collins type} \end{aligned}$$

When  $Q \gg k_T \gg \Lambda_{QCD}$ , relation between TMD and collinear twist-3 :

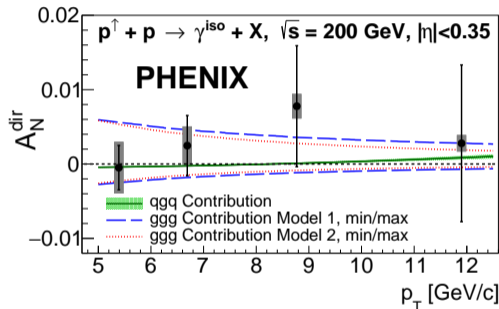
$$\int d^2\mathbf{k}_T (k_T^2/M_P) f_{1T}^\perp(x, \mathbf{k}_T) = T(x, x),$$

$$\Delta\sigma_{TMD}(S_T) = \Delta\sigma_{CO}(S_T) \text{ at leading } k_T/Q.$$

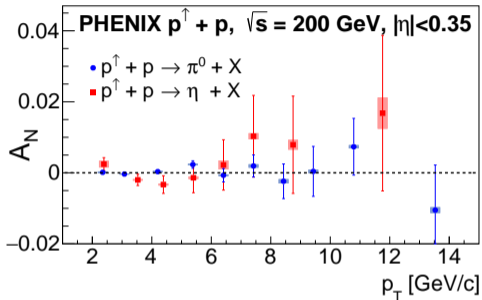
# Direct photon $A_N$



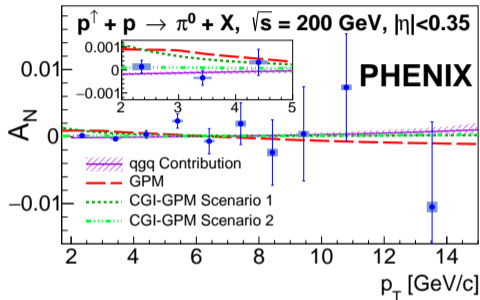
- First direct photon  $A_N$ .
- Measured  $A_N$  consistent with zero.
- Small contribution from qgq correlation.
- Clean extraction of tri-gluon ggg correlation.
- ggg model 1 and 2 have different gluon PDF.
- Constrain gluon spin-momentum correlations.



arXiv:2102.13585 (2021)



- PRD 103, 052009 (2021)
- Improved stat. uncertainty.
- Consistent with previous measurement and with zero.
- $A_N^{\pi^0}$  vs  $A_N^\eta$ : strangeness, isospin and mass.

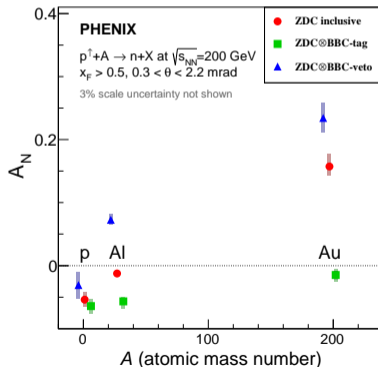
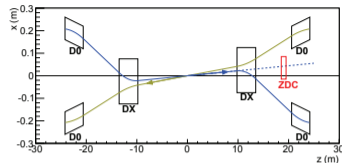


- Small qgq and constrain tri-gluon ggg.
- Siverts TMD PDF: GMP and CGI-GPM.
- CGI-GPM include initial- and final-interactions to reproduce Siverts sign change.
- Scenario 1 (2) maximize (minimize) open heavy flavor TSSA.

# Forward neutron $A_N$ in p+A



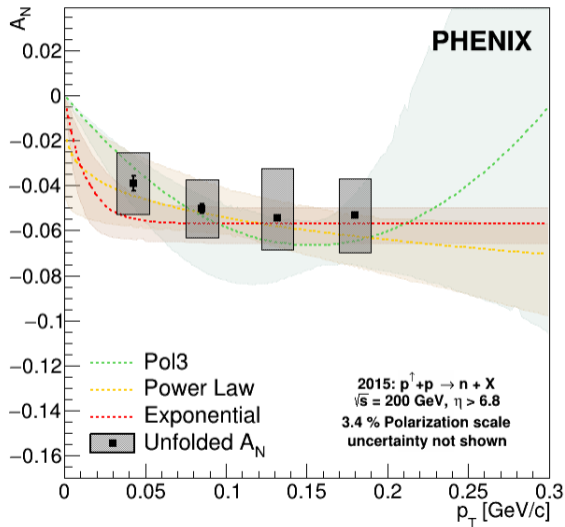
- Inclusive = BBC-tag( $N \cap S$ ) + BBC-veto( $\bar{N} \cap \bar{S}$ ) + ...
- Unexpected strong A dependence in inclusive and BBC-veto.
- Very different behavior in BBC-tag.
- BBC requirement or veto influence activity near detected neutron.
- Possible explanation: EM processes, which suppressed (enhanced) in BBC-tag (BBC-veto).
- Need further study.
- PRL 120, 022001 (2018)



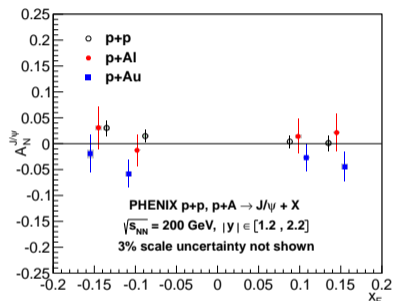
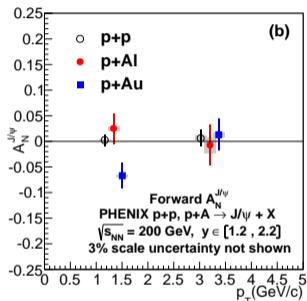
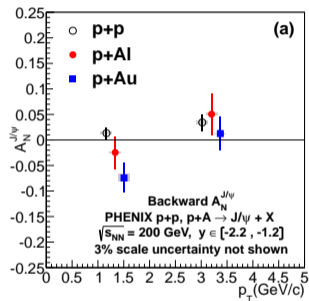
# Forward neutron $A_N$ in p+p



- $p_T$  unfolding:
  - ▶ Different MC generators.
  - ▶ Different spin-dependent weight functions.
  - ▶ An empirical distribution of forward neutrons from one pion exchange.
- Very different asymmetries in ultraperipheral collisions in p+A.
  - ▶ Limited EM interactions in p+p.
- PRD 103, 032007 (2021)



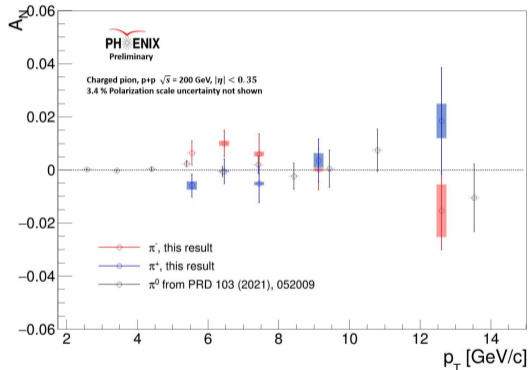
# $J/\psi$ $A_N$ in p+A



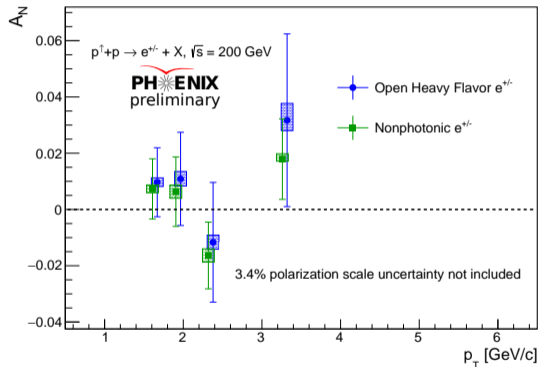
- Access to the spin-dependent gluon distribution and higher-twist correlation functions.
- Indication of EM interactions.
- PRD 98, 012006 (2018)



# Preliminary $A_N$ results



Charged pion



Heavy flavor



- Gluon spin is important for proton spin decomposition and the proton spin puzzle.
- Direct photon production have little fragmentation contributions.
- Jet and  $\pi^\pm$  production have larger statistics.
- $\pi^\pm$  measurement can separate u and d quark contributions.
- Contribute to future global analysis together with forward cluster and forward/central  $\eta$   $A_{LL}$ .
- TSSA measurements from direct photon,  $\pi^0$  and  $\eta$  are important to understand the qgq and ggg correlations in collinear twist-3 formalism as well as the TMD functions.
- The nuclear dependence in forward neutron  $A_N$  indicates possible EM interactions and needs further study.



# Backup



Reaction	Dom. partonic process	probes	LO Feynman diagram
$\vec{p}\vec{p} \rightarrow \pi + X$	$\vec{g}\vec{g} \rightarrow gg$ $\vec{q}\vec{q} \rightarrow qg$	$\Delta g$	
$\vec{p}\vec{p} \rightarrow \text{jet(s)} + X$	$\vec{g}\vec{g} \rightarrow gg$ $\vec{q}\vec{q} \rightarrow qg$	$\Delta g$	(as above)
$\vec{p}\vec{p} \rightarrow \gamma + X$ $\vec{p}\vec{p} \rightarrow \gamma + \text{jet} + X$ $\vec{p}\vec{p} \rightarrow \gamma\gamma + X$	$\vec{q}\vec{q} \rightarrow \gamma q$ $\vec{q}\vec{q} \rightarrow \gamma q$ $\vec{q}\vec{q} \rightarrow \gamma\gamma$	$\Delta g$ $\Delta g$ $\Delta q, \Delta \bar{q}$	
$\vec{p}\vec{p} \rightarrow DX, BX$	$\vec{g}\vec{g} \rightarrow c\bar{c}, b\bar{b}$	$\Delta g$	