# Introduction to the Standard Model

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Introduction to the Standard Model, 12-14.07.21

# Selection of recommended books

- Peskin & Schröder Quantum Field Theory
- Srednicki Quantum Field Theory
- Sheng & Li Gauge theory of elementary particle physics
- Schwartz Quantum Field Theory and the Standard Model
- Weinberg The Quantum Theory of Fields
- Griffith Introduction to Elementary Particles
- Halzen & Martin Quarks and Leptons

Feel free to ask me for other resources if you are in need.

# Outline

I. Basic introduction and concepts

- Symmetries
- Quantum Electrodynamics (QED)
- Spontaneous symmetry breaking
- II. A model of leptons
  - Non-Abelian gauge theories
  - Electro-weak unification
- III. The three generation Standard Model
  - Flavor structure and CP violation
  - Puzzles and problems of the Standard Model

# Introduction

# Four fundamental forces



#### Electromagnetic

atoms, crystals, tables, walls, radio, x-ray, sound, γ-decays

Weak β-decays, parity violation, CP violation, neutrino interactions, solar energy

Major achievement of the Standard Model (SM): Electro-weak unification

# Fundamental matter

#### **Standard Model of Elementary Particles**



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# Fundamental concepts

The SM is a relativistic quantum field theory (QFT).



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Symmetry / invariance		conservation law
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spatial translations	$\leftrightarrow$	conservation of momentum
rotational invariance	$\leftrightarrow$	angular momentum conservation
gauge invariance	$\leftrightarrow$	conservation of "charge"

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Examples: 3-vector is 3-dim. representation of $SO(3)$ .					

spin-1/2 "spinor" is 2-dim. representation of SU(2).

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Examples: 3-vector is 3-dim. representation of $SO(3)$ . spin-1/2 "spinor" is 2-dim. representation of $SU(2)$ .					
SM gauge symmetry is $SU(3)_{color} \otimes SU(2)_{left} \otimes U(1)_{hypercharge}$					

Objects: elementary quantum fields (particles); charged under these symmetries.

Invariance under full Poincaré group of 3 + 1-dim. space-time

- translations (space and time)
- rotations (space)
- boosts

Lorentz group 
$$SO(1,3)$$
  
 $\eta^{\mu\nu} = \pm \operatorname{diag}(+,-,-,-)$ 

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Representations of the Lorentz / Poincaré group are classified by their **mass** and **spin**. (Wigner, '39)  $(J^{PC}$  clasification of states.)

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$$\mathfrak{sl}(1,3) \cong \mathfrak{sl}(2,\mathbb{C}) \cong \mathfrak{su}(2) \oplus \mathfrak{su}(2)$$

(this makes life very easy, because this behaves spimply like the well known "spin"  $\times$  "spin")

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Label of representation	common name	typical symbol
(0, 0)	scalar" (invariant)	$\phi$
(1/2, 0)	"left-handed Weyl spinor"	$\psi_L$
(0, 1/2)	"right-handed Weyl spinor"	$\psi_R$
(1/2, 1/2)	"vector"	$A_{\mu}$
$(1/2,0)\oplus (0,1/2)$	"Dirac spinor" (composite!)	$\Psi$

## Important things to note

We work in natural units, i.e. set

$$\hbar = c = 1 \; .$$

Thus, dimensions work out as

$$[E] = [p] = [m] = \frac{1}{[L]} = \frac{1}{[t]} = \text{GeV}$$
.

To restore units use dimensional analysis and

 $\hbar\,c\approx 200\,{\rm MeV}\,{\rm fm}$  .

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#### Disclaimer for the whole lecture

Given the scope of this lecture we will to a large extent skip the subjects of:

- gauge-fixing / ghosts ,
- renormalization ,
- quantum (loop) corrections , ... and many more details...

So be aware that our discussion will be largely **superficial**, noting that an accurate formulation of quantum field theory, renormalization, the treatment of spontaneously broken gauge symmetries, *etc.* requires much more care.

# Dirac Theory / Quantum Electrodynamics (QED)

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QED is the protoype of a quantum field theory (QFT). For any (Q)FT (schematically):

 $\text{Partition function } \mathcal{Z} = \int \mathcal{D}\phi \; \mathrm{e}^{\mathrm{i}S} \quad \text{with} \quad S = \int \mathrm{d}^4x \; \mathcal{L}(\phi, \partial_\mu \phi) \; .$ 

Stationary action  $S \Leftrightarrow$  equations of motion

$$\partial_{\mu}\left(rac{\partial\,\mathcal{L}}{\partial\,(\partial_{\mu}\phi)}
ight) - rac{\partial\,\mathcal{L}}{\partial\phi} = 0 \; .$$

(Euler-Lagrange equations)

Consider Dirac fermion  $\Psi(x)$  charged under global symmetry.

Transformation under *global* U(1) ransformation:

 $\Psi(x) \mapsto e^{iq} \Psi(x)$ .

Symmetry and Lorentz invariant Lagrangian ( $m \in \mathbb{R}$  w.l.o.g.):

$$\mathcal{L} = \mathrm{i}\overline{\Psi}\gamma^{\mu}\partial_{\mu}\Psi - m\,\overline{\Psi}\Psi \,,$$

Gamma matrices  $\mathbb{C}^{4\times 4}$ , with  $\{\gamma^{\mu}, \gamma^{\nu}\} = 2\eta^{\mu\nu}\mathbb{1}$ . In "chiral" / "Weyl" basis:

$$\gamma^{\mu} := \begin{pmatrix} 0 & \sigma^{\mu} \\ \overline{\sigma}^{\mu} & 0 \end{pmatrix}, \quad \text{with} \quad \sigma^{\mu} = (\mathbb{1}, \sigma^{i}), \ \overline{\sigma}^{\mu} = (\mathbb{1}, -\sigma^{i}),$$
  
and Pauli matrices  $\sigma^{i=1,2,3}$ .

 $\text{Definitions:} \ \overline{\Psi} := \Psi^\dagger \, \gamma^0, \quad \gamma^5 := \mathrm{i} \gamma^0 \gamma^1 \gamma^2 \gamma^3 = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}.$ 

Conserved  $U(1) \Rightarrow$  conserved charge q, with conserved current

$$j^{\mu}(x) = \overline{\Psi} \gamma^{\mu} \Psi$$
, with  $\partial_{\mu} j^{\mu} = 0$ .

QED is the theory of a Dirac fermion  $\Psi(x)$  charged under *local* U(1). Transformation under *local* U(1) gauge transformation:

$$\Psi(x) \mapsto e^{i\alpha(x)}\Psi(x)$$
 and  $A_{\mu}(x) \mapsto A_{\mu}(x) + \frac{1}{e}\partial_{\mu}\alpha(x)$ .

The corresponding gauge and Lorentz invariant Lagrangian:

$$\mathcal{L}_{\text{QED}} = \mathrm{i}\overline{\Psi}\gamma^{\mu}D_{\mu}\Psi - m\,\overline{\Psi}\Psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu}$$

Here:

$$D_{\mu} := \partial_{\mu} - ieA_{\mu}(x)$$
 and  $F_{\mu\nu} := \partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu}$ 

Euler-Lagrange equation of motion for  $A_{\mu}$ 

$$\partial_{\mu}F^{\mu\nu} = ej^{\nu}$$
, and  $\partial_{\mu}\tilde{F}^{\mu\nu} \equiv \frac{1}{2}\partial_{\mu}\varepsilon^{\mu\nu\rho\sigma}F_{\rho\sigma} = 0$ .  
(Maxwell's equations!)

Euler-Lagrange equation of motion for  $\overline{\Psi}$ :

$$\overline{\Psi} \left( \mathrm{i} \gamma^{\mu} \partial_{\mu} - m 
ight) \Psi = -e A_{\mu} \overline{\Psi} \gamma^{\mu} \Psi$$
 .  
(Dirac equation)

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$$-\mathrm{i}e\overline{\Psi}\left(\gamma^{\mu}F_{1}(q^{2}) - \frac{[\gamma^{\mu}, \gamma^{\nu}]q_{\nu}F_{2}(q^{2})}{4m}\right)$$
$$a_{e} = F_{2}(q^{2} \to 0) = \frac{\alpha}{2\pi} + \dots$$
$$\vec{\omega}_{s} = g_{e}\frac{e}{2m}\vec{B} \equiv (a_{e} + 1)\frac{e}{m}\vec{B}$$

$$e A_{\mu}$$

Ψ

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$$e\overline{\Psi}\left(\gamma^{\mu}F_{1}(q^{2}) - \frac{[\gamma^{\mu}, \gamma^{\nu}]q_{\nu}F_{2}(q^{2})}{4m}\right)\Psi$$
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 $\begin{array}{l} a_e^{\rm th} = 1.159\,652\,182\,032(13)(12)(720)\times 10^{-3} \\ a_e^{\rm exp} = 1.159\,652\,180\,91(26)\times 10^{-3} \end{array}$ 





Recall: Dirac fermion is composite  $(1/2, 0) \oplus (0, 1/2)!$ 

Let's expose this more clearly:  $\Psi(x) := \begin{pmatrix} \psi_L(x) \\ \psi_R(x) \end{pmatrix}$ .

 $\sim$  can rewrite Dirac equation as two coupled equations:

$$\begin{split} \psi^{\dagger}_R \, \mathrm{i} \sigma^\mu \partial_\mu \, \psi_R \; = \; m \, \psi^{\dagger}_R \psi_L \; , \\ \psi^{\dagger}_L \, \mathrm{i} \overline{\sigma}^\mu \partial_\mu \, \psi_L \; = \; m \, \psi^{\dagger}_L \psi_R \; . \end{split}$$

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The independent equations for  $\psi_{L,R}$  are coupled only by the *Dirac-mass* term

$$\xrightarrow{p} \qquad m \,\overline{\Psi} \Psi = m \,\psi_R^{\dagger} \psi_L + m \,\psi_L^{\dagger} \psi_R \,. \qquad \xrightarrow{\bullet} \times$$

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$$- m \overline{\Psi} \Psi = m \psi_R^{\dagger} \psi_L + m \psi_L^{\dagger} \psi_R .$$

Including the vector-like electron-photon vertex

$$-eA_{\mu}\overline{\Psi}\gamma^{\mu}\Psi = -eA_{\mu}\left(\psi_{R}^{\dagger}\sigma^{\mu}\psi_{R}+\psi_{L}^{\dagger}\overline{\sigma}^{\mu}\psi_{L}\right)$$
.

Note: U(1) acts vector-like( $\equiv$ same on left and right) on the fermions

$$\psi_{L,R}(x) \mapsto \mathrm{e}^{\mathrm{i}\alpha(x)}\psi_{L,R}(x)$$
.

 $\Rightarrow$  QED automatically conserves parity transformation " $\psi_L \leftrightarrow \psi_R$ ".



Nobel prize Feynman, Schwinger, Tomonaga 1965



Important general lessons

- Conservation of the (electric) current tied to global symmetry. Gauge principle:
  - Gauge invariance dictates interactions and (partially) the degrees of freedom.
  - Gauge invariance strictly prohibits mass for  $A_{\mu}$  (photon).
  - Observables are gauge invariant.
  - Gauge "symmetry" should be viewed as a redundancy: States related by gauge transformations are physically indistinguishable.
  - (not shown): Gauge invariance holds up in the quantum theory ("anomaly freedom").

# Spontaneous symmetry breaking

#### (in "scalar QED" or "Abelian Higgs model")

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Spontaneous symmetry breaking (SSB) "SSB": System (Lagrangian) has a symmetry, but present state (typically ground state, or vacuum) is not symmetric.

A very common phenomenon in nature.



SSB for gauge theories is the worst name ever invented because symmetry is actually not broken but just *non-linearly* realized.

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# Example: Scalar QED

Consider complex scalar field charged under  $\mathrm{U}(1)$  gauge

 $\phi(x) \mapsto e^{i\alpha(x)}\phi(x)$  and  $A_{\mu}(x) \mapsto A_{\mu}(x) + \frac{1}{e}\partial_{\mu}\alpha(x)$ .

Invariant Lagrangian density:

$$\mathcal{L}_{sQED} = (D_{\mu}\phi)^* (D^{\mu}\phi) - V(\phi) - \frac{1}{4}F_{\mu\nu}F^{\mu\nu}$$

with potential  $(\mu^2 > 0, \lambda > 0)$ 

$$V(\phi) = -\mu^2 |\phi|^2 + \frac{\lambda}{2} |\phi|^4$$
.

Equations of motion have classical solution,

 $\langle 0|\phi(x)|0
angle=const.=:v\;,$  "vacuum expectation value" (VEV)

that minimizes the potential energy.



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# Example: Scalar QED

Thus, it makes sense to expand theory around  $\langle \phi(x) \rangle = v$ :

$$\phi(x) = e^{i\xi(x)/v} \left(v + \sigma(x)\right) .$$

Expanding the Lagrangian, there are terms

This is indicative of the fact that SSB generates a mass term for the gauge boson

$$m_A = \sqrt{2}e v$$
.

We can pick a gauge to eliminate  $\xi(x)$  from the theory. In this gauge (called "unitary gauge") the "wanna-be Goldstone" mode  $\xi(x)$  becomes the *longitudinal* mode of the, then massive, gauge boson.

This is at the heart of the Brout-Englert-Higgs mechnanism. You will show this in the *exercises*.
# Spontaneous symmetry breaking (SSB)

For continuous global symmetries:

Each spontaneously broken symmetry generator implies massless scalar degree of freedom (Nambu-Goldstone boson).



Nobel prize Nambu 2008



SSB can happen but the Goldstone bosons are unphysical degrees of freedom.

Via the so-called Brout-Englert-Higgs mechanism the "wanna-be" Goldstone bosons provide a consistent way to generate masses for gauge boson without spoiling gauge invariance.



Nobel prize Englert and Higgs 2013



# **Non-Abelian gauge theories**

U(1) is a group "generated" by charge  $q, U \in U(1)$  with  $U = e^{iq\alpha}$ .

Instead of exponentiating numbers, we can exponentiate matrices!

generators = matrices.

Example: SU(n). "Unitary":  $U^{\dagger}U = \mathbb{1}$ . "Special": det U = 1.

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Example: SU(*n*). "Unitary":  $U^{\dagger}U = 1$ . "Special": det U = 1. *All* matrices in SU(*n*) can be written as

$$U = e^{iT^a \alpha^a}$$
  $(a = 1, ..., n^2 - 1)$ .

The fixed matrices  $[T^a]_{ij}$  are called *generators* and they *can* be chosen traceless and hermitean. (the dimensions of ij decide dimension of representation r)

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The fixed matrices  $[T^a]_{ij}$  are called *generators* and they *can* be chosen traceless and hermitean. (the dimensions of ij decide dimension of representation r) For n = 2, well known SU(2) with a = 1, 2, 3 and the generators  $T^a$  being the Pauli matrices:

$$T^{1} = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \qquad T^{2} = \frac{1}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \qquad T^{3} = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

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In general, the generators do *not commute*=*non-Abelian*:

$$\left[\mathbf{T}^a, \mathbf{T}^b\right] = \mathbf{i} f^{abc} \mathbf{T}^c \; .$$

 $f^{abc}$  are called the structure constants. For  $\mathrm{SU}(2)$ :  $f^{abc}=\varepsilon^{abc}$  .

Non-Abelian gauge theories – Yang-Mills theories Exactly as in the case of U(1), we can also demand invariance under local SU(n):

$$\Psi(x) \mapsto U(x)\Psi(x) \equiv e^{iT^a \alpha^a(x)}\Psi(x) .$$

The gauge covariant derivative then is given by

$$\left[D_{\mu}\right]_{ij} := \mathbb{1}_{ij}\partial_{\mu} - \mathrm{i}gA_{\mu}(x)^{a}\mathrm{T}^{a}_{ij}$$

The field strength tensor then is given by

$$ig F_{\mu\nu} := ig F^a_{\mu\nu} T^a := [D_\mu, D_\nu] ,$$

and spelled out

$$F^a_{\mu\nu} = \left[\partial_\mu A^a_\nu - \partial_\nu A^a_\mu - g f^a_{bc} A^b_\mu A^c_\nu\right]$$

The gauge-kinetic term is

$$\mathcal{L}_{\rm YM} = -\frac{1}{4} F^a_{\mu\nu} F^{\mu\nu,a}$$



Nobel prize 't Hooft and Veltman 1999 (for renormalization of spontaneously broken NA gauge theories)



# A model of leptons: Electroweak unification

# Consider now a theory of fermions, gauge bosons and scalars with gauge symmetry

 $SU(2)_L \times U(1)_Y$ 

"left"  $\times$  "hypercharge" .

Fields:

$$L = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}, \ e_R, \ H = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}$$

	L	$e_R$	H
Lorentz	(1/2, 0)	(0, 1/2)	(0, 0)
$\boldsymbol{r} \; [\mathrm{SU}(2)_{\mathrm{L}}]$	<b>2</b>	1	<b>2</b>
$q_{\rm Y} \left[ {\rm U}(1)_{\rm Y} \right]$	-1/2	-1	1/2

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					Lorentz	(1/2, 0)	(0, 1/2)	(0, 0)
_	$(\nu_L)$		$\left(\phi^{+}\right)$		$\boldsymbol{r} \; [\mathrm{SU}(2)_{\mathrm{L}}]$	<b>2</b>	1	<b>2</b>
L =	er -	$, e_R, H =$	$\binom{1}{\phi^0}$	•	$q_{\rm Y} \left[ {\rm U}(1)_{\rm Y} \right]$	-1/2	-1	1/2
	$\langle c_L \rangle$		$\langle \psi \rangle$					

Note: All fermions we introduce are chiral Weyl spinors, but we use a trick to write them in terms of Dirac fermions

$$\Psi_L := P_L \Psi = \frac{1}{2} (\mathbb{1} - \gamma^5) \Psi = \begin{pmatrix} \mathbb{1} & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} = \begin{pmatrix} \psi_L \\ 0 \end{pmatrix} .$$

Crucial: chiral charge assignment for fermions

⇔ P violation in SM is "explicit and maximal", (not spontaneous!)

Note: *chiral* charge assigments  $\Rightarrow$  **no** possible fermion mass terms

$$\psi_R^{\dagger}\psi_L$$
,  $\psi_L^{\dagger}\psi_R$ ,  $\psi_L\psi_L$ ,  $\psi_R\psi_R$ .

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H

 $e_{R}$ 

Electroweak Lagrangian:

$$\mathcal{L}_{\rm EW} = \mathcal{L}_{\rm kinetic} + \mathcal{L}_{\rm gauge} + \mathcal{L}_{\rm Higgs} + \mathcal{L}_{\rm Yukawa} \; .$$

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$$\mathcal{L}_{\rm EW} = \mathcal{L}_{\rm kinetic} + \mathcal{L}_{\rm gauge} + \mathcal{L}_{\rm Higgs} + \mathcal{L}_{\rm Yukawa}$$

with

$$\begin{aligned} \mathcal{L}_{\text{kinetic}} &= \mathrm{i}\,\overline{L}\gamma^{\mu}D_{\mu}L + \mathrm{i}\,\overline{e}_{R}\gamma^{\mu}D_{\mu}e_{R} + (D_{\mu}H)^{\dagger}(D^{\mu}H) ,\\ \mathcal{L}_{\text{gauge}} &= -\frac{1}{4}\,B_{\mu\nu}\,B^{\mu\nu} - \frac{1}{4}\,W^{a}_{\mu\nu}\,W^{\mu\nu,a} ,\\ \mathcal{L}_{\text{Higgs}} &= \mu^{2}\,H^{\dagger}H - \frac{\lambda}{2}\left(H^{\dagger}H\right)^{2} , \qquad (\mu^{2} > 0, \lambda > 0)\\ \mathcal{L}_{\text{Yukawa}} &= -y_{e}\,\overline{L}\,H\,e_{R} + \text{h.c.} , \qquad (y_{e} \in \mathbb{R}, w.l.o.g.) \end{aligned}$$

Z= -±F..F~ + iFD++ ₩ + K,3,3,5,0+h.c + |Dp|<sup>2</sup>-V(Ø)

Electroweak Lagrangian:

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Gauge covariant derivatives (depends on charges of resp. field)

$$D_{\mu} = \left(\partial_{\mu} - \mathrm{i}g'q_{\mathrm{Y}}B_{\mu}\right)\mathbb{1} - \mathrm{i}g\mathrm{T}^{a}W_{\mu}^{a}$$

Potential is set up for spontaneous symmetry breaking.

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J=-+EF

+*+*;3,,4;Ø+h.; +|DØ|²-V(Ø)

$$H = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \varphi_2 + i \,\varphi_3 \\ \varphi_0 + i \,\varphi_1 \end{pmatrix} = \frac{1}{\sqrt{2}} e^{i \, T^a \,\xi^a(x)} \begin{pmatrix} 0 \\ h(x) \end{pmatrix}$$
$$V(H) = -\frac{\mu^2}{2} \left(\varphi_0^2 + \varphi_1^2 + \varphi_2^2 + \varphi_3^2\right) + \frac{\lambda}{8} \left(\varphi_0^2 + \varphi_1^2 + \varphi_2^2 + \varphi_3^2\right)^2 .$$

Note: Higgs potential is accidentially  $SU(2) \times SU(2) \cong SO(4)$  symmetric (custodial symmetry).

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Any VEV  $\langle H\rangle$  with strength  $|\langle H\rangle|=v/\sqrt{2}=\mu/\sqrt{\lambda}\neq 0$  breaks

$$\begin{array}{lll} \mathrm{SU}(2)_{\mathrm{L}} \times \mathrm{U}(1)_{\mathrm{Y}} & \xrightarrow{\langle H \rangle} & \mathrm{U}(1)_{\mathrm{em}} , \\ \mathrm{T}^{3} & + & Y & = & Q . \end{array}$$

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We can use SU(2) gauge rotation to absorb the Goldstone bosons  $\xi^{a=1,2,3}(x)$  ("unitary gauge") to make H look like

$$H(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0\\ v+h(x) \end{pmatrix}$$

Taking into account the VEV, mass eigenstates arise as

$$W^{\pm}_{\mu} = \frac{1}{\sqrt{2}} \left( W^{1}_{\mu} \pm \mathrm{i} \, W^{2}_{\mu} \right) , \qquad \begin{pmatrix} Z_{\mu} \\ A_{\mu} \end{pmatrix} = \begin{pmatrix} c_{W} & s_{W} \\ -s_{W} & c_{W} \end{pmatrix} \begin{pmatrix} W^{3}_{\mu} \\ B_{\mu} \end{pmatrix} ,$$

where

$$s_W \equiv \sin(\theta_W) = \frac{g'}{\sqrt{g^2 + g'^2}}$$
,  $c_W \equiv \cos(\theta_W) = \frac{g}{\sqrt{g^2 + g'^2}}$ .

The VEV induces physical gauge boson masses

$$m_{W^{\pm}} = \frac{g v}{2} , \quad m_Z = \frac{g v}{2 c_W} , \quad m_A = 0 .$$

Other often used relations

$$e = \frac{g \, g'}{\sqrt{g^2 + g'^2}} \;, \quad \frac{g^2}{8 \, m_W^2} = \frac{G_{\rm F}}{\sqrt{2}} \;. \label{eq:e_e_e_e_e_e_e_e_e_e_e_e_e_e_e}$$



After SSB the Lagrangian contains gauge boson couplings

 $\begin{array}{ll} A_{\mu} \times e \, Q \, \left( \overline{e}_L \gamma^{\mu} e_L + \overline{e}_R \gamma^{\mu} e_R \right) & \equiv \, e \, A_{\mu} \, j_{\rm em}^{\mu} \, , \\ & \mbox{with} \quad Q := {\rm ev}({\rm T}^3) + Y \, . \\ & Z_{\mu} \times \frac{g}{c_W} \left( g_L \, \overline{e}_L \gamma^{\mu} e_L + g_R \, \overline{e}_R \gamma^{\mu} e_R \right) & \equiv \, \frac{g}{c_W} \, Z_{\mu} \, j_{\rm n.c.}^{\mu} \, , \\ & \mbox{with} \quad g_L := {\rm ev}({\rm T}^3) - Q \, s_W^2 \, , \qquad g_R := Q \, s_W^2 \, , \\ & W_{\mu}^+ \times \frac{g}{\sqrt{2}} \left( \overline{\nu}_L \gamma^{\mu} e_L \right) + {\rm h.c.} & \equiv \, \frac{g}{\sqrt{2}} \, W_{\mu}^+ \, j_{\rm c.c.}^{\mu} + {\rm h.c.} \, . \end{array}$ 

After SSB the Lagrangian contains gauge boson couplings

 $A_{\mu} \times e Q \; (\overline{e}_L \gamma^{\mu} e_L + \overline{e}_R \gamma^{\mu} e_R)$  $\equiv e A_{\mu} j^{\mu}_{om}$ , with  $Q := ev(T^3) + Y$ .  $Z_{\mu} imes rac{g}{c_W} \left( g_L \, \overline{e}_L \gamma^{\mu} e_L + g_R \, \overline{e}_R \gamma^{\mu} e_R 
ight) \qquad \equiv \ rac{g}{c_W} Z_{\mu} \, j^{\mu}_{\mathrm{n.c.}} \, ,$ with  $q_{I} := ev(T^3) - Q s_W^2$ ,  $q_{R} := Q s_W^2$ ,  $W^+_{\mu} \times \frac{g}{\sqrt{2}} \left( \overline{\nu}_L \gamma^{\mu} e_L \right) + \text{h.c.}$  $\equiv \frac{g}{\sqrt{2}} W^+_{\mu} j^{\mu}_{\text{c.c.}} + \text{h.c.}$ Historically important: for  $q^2 \ll m_{W,Z}^2$  $\rho := \frac{2 \mathcal{M}_{\text{c.c.}} \times j_{\text{n.c.}}^{\mu} j_{\mu}^{\text{n.c.}}}{\mathcal{M} \times j_{\mu}^{\mu} j_{\mu}^{\text{c.c.}}}$ Å z⁰  $=\frac{2\frac{g^2}{2}\frac{1}{m_W^2}}{\frac{g^2}{2}\frac{1}{2}}=\frac{m_Z^2 c_W^2}{m_W^2}=1$ ! INC

This is a consequence of custodial symmetry, i.e. the specific breaking of EWSB by  $H = 2_{1/2}$ .

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Fermion (Dirac) masses after SSB.

The VEV induces a Dirac mass for the charged lepton, and Higgs boson couplings proportional to mass/VEV

$$m_e = \frac{y_e v}{\sqrt{2}}, \qquad g_{he^+e^-} = \frac{y_e}{\sqrt{2}} = \frac{m_e}{v}$$

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Introduction to the Standard Model, 12-14.07.21

# Adding quarks

Quarks as chiral fermions, in fundamental representation 3 of  ${\rm SU}(3),$  the gauge group of Quantum Chromodynamics (QCD). Full gauge symmetry:

 ${\rm SU}(3)_{\rm c(olor)} \times {\rm SU}(2)_{\rm L} \times {\rm U}(1)_{\rm Y}$  .

Quark fields:		Q	$u_R$	$d_R$
	Lorentz	(1/2, 0)	(0, 1/2)	(0, 1/2)
$(u_L)$	$r  [\mathrm{SU}(3)_{\mathrm{c}}]$	3	3	3
$Q_L = \begin{pmatrix} d_L \end{pmatrix}, \ u_R, \ d_R$ .	$r  [\mathrm{SU}(2)_{\mathrm{L}}]$	<b>2</b>	1	1
$\langle u_L \rangle$	$q_{\rm Y} \left[ {\rm U}(1)_{\rm Y} \right]$	1/6	2/3	-1/3

 $-\mathcal{L}_{\text{Yuk.}} = y_u \overline{Q}_L^{\alpha} \tilde{H} u_R^{\alpha} + y_d \overline{Q}_L^{\alpha} H d_R^{\alpha} + \text{h.c.}$ 

(w.l.o.g.  $y_u, y_d \in \mathbb{R}$ )

 $\tilde{H} := i\sigma^2 H^*$  ( $\tilde{H}$  transforms as  $\mathbf{2}^* = \mathbf{2}$  of  $\mathrm{SU}(2)_{\mathrm{L}}$  but has opposite hypercharge -1/2).

 ${\rm SU}(3)$  is unbroken, but becomes strongly coupled at low energies to confine quarks into baryons and mesons  $\rightarrow$  QCD lecture.



Nobel prize Gross, Politzer, Wilczek 2004 (for discovery of asymptotic freedom in QCD)



#### Parity violation in Nature

Weak interaction violates parity (by construction).

$$W^+_{\mu} \times \frac{g}{\sqrt{2}} \left( \overline{\nu}_L P_L \gamma^{\mu} e_L \right) + \text{h.c.} \qquad P_L = \frac{1}{2} (\mathbb{1} - \gamma^5)$$

This describes experimental fact of "(V - A)" weak interactions.

$$\mathcal{L}_{\text{eff}} = \frac{G_F}{\sqrt{2}} \left( \overline{u} \gamma^{\mu} (\mathbb{1} - \gamma^5) d \right) \times \left( \overline{\nu} \gamma_{\mu} (\mathbb{1} - \gamma^5) e \right)$$

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For example: 1)  $\pi^+ (= |u\overline{d}\rangle) \longrightarrow e^+ + \nu_e$ 





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For example: 1)  $\pi^+ (= |u\overline{d}\rangle) \longrightarrow e^+ + \nu_e$ 

$$\begin{array}{c} \mathbf{e} \\ \hline \mathbf{e} \\ \hline \mathbf{e} \\ \mathbf{e}^{+} = \frac{1}{2} \end{array} \xrightarrow{\mathbf{p}_{e^{+}}} \left( \begin{array}{c} \widehat{\mathbf{p}_{+}} \\ \widehat{\mathbf{p}_{+}} \end{array} \right) \xrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \xrightarrow{\mathbf{v}} \left( \begin{array}{c} \widehat{\mathbf{p}_{+}} \\ \widehat{\mathbf{p}_{v}} \end{array} \right) \xrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \xrightarrow{\mathbf{v}} \left( \begin{array}{c} \widehat{\mathbf{p}_{+}} \\ \widehat{\mathbf{p}_{v}} \end{array} \right) \xrightarrow{\mathbf{v}} \left( \begin{array}{c} \widehat{\mathbf{p}_{+}} \\ \widehat{\mathbf{p}_{v}} \end{array} \right) \xrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \xrightarrow{\mathbf{v}} \left( \begin{array}{c} \widehat{\mathbf{p}_{+}} \\ \widehat{\mathbf{p}_{v}} \end{array} \right) \xrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \xrightarrow{\mathbf{v}} \left( \begin{array}{c} \widehat{\mathbf{p}_{+}} \\ \widehat{\mathbf{p}_{v}} \end{array} \right) \xrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \xrightarrow{\mathbf{v}} \left( \begin{array}{c} \widehat{\mathbf{p}_{+}} \\ \widehat{\mathbf{p}_{v}} \end{array} \right) \xrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \xrightarrow{\mathbf{v}} \left( \begin{array}{c} \widehat{\mathbf{p}_{+}} \\ \widehat{\mathbf{p}_{v}} \end{array} \right) \xrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \xrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \xrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \xrightarrow{\mathbf{p}_{v}} \overrightarrow{\mathbf{p}_{v}} \overrightarrow$$



2) Famous '56 Wu experiment  ${}^{60}_{27}$ Co  $\rightarrow {}^{60}_{28}$ Ni\* +  $e^- + \overline{\nu}_e$ 

<u>\_</u>+









Nobel prize Lee and Yang 1957

## Anomaly freedom of the SM

A symmetry of the classical action S is not necessarily a symmetry of the full quantum theory. Quantum corrections may not obey the symmetry.

$$\begin{split} \mathcal{Z} & \xrightarrow{\mathrm{Sym.}} \int \mathcal{D}\Psi \mathcal{D}\overline{\Psi} \; \mathrm{e}^{\mathrm{i}\int \mathcal{A}} \; \mathrm{e}^{\mathrm{i}S[\Psi,\overline{\Psi}]} \; . \\ & \text{``Anomaly coefficient''} \; \mathcal{A} \\ \mathcal{A} & \propto \sum_{\mathrm{chiral \ fermions}} \mathrm{tr} \left( \mathrm{T}^a \left\{ \mathrm{T}^b, \mathrm{T}^c \right\} \right) \; . \end{split}$$



- For gauge symmetries: Anomalies *must* cancel for consistency.
- For global symmetries: a very different issue. Anomalies are important for phenomenology, e.g.  $\pi^0 \to \gamma\gamma$ .
- Anomalies are always associated with chiral Fermions. Anomaly freedom automatic for symmetries that act vector-like (e.g. QED, QCD).
- SM: Anomalies cancel within each generation. (exercises)

 $({\rm SU}(3)\times\dots),\,({\rm SU}(2)\times\dots),\,{\rm SU}(3)^3,\,{\rm SU}(2)^3,\,{\rm U}(1)\times{\rm SU}(2)^2,\,{\rm U}(1)\times{\rm SU}(3)^2,\,{\rm U}(1)^3.$ 

This unveils a very delicate balance of charges in the SM whose origin we do not understand.

# The three generation Standard Model

Andreas Trautner

Introduction to the Standard Model, 12-14.07.21

## Three generations

Empirically it is a fact that matter fermions come in three copies which are identical representations under all symmetries.



"Who ordered that?" (I.I. Rabi 1936)

Modelling: simply  $(Q_L, u_R, d_R, L, e_L)_{\pmb{i}}$  with i=1,2,3 .

Three "generations" or "families" of different "flavors".

$$\begin{split} -\mathscr{L}_{\mathrm{Yuk.}} \; = \; \overline{Q}^i \widetilde{H} \, y_u^{ij} \, u_{\mathrm{R}}^j + \overline{Q}^i H \, y_d^{ij} \, d_{\mathrm{R}}^j + \overline{L}^i H \, y_e^{ij} \, e_{\mathrm{R}}^j + \mathrm{h.c.} \; , \\ y_u, \; y_d, \; y_e \;\; \in \; \mathbb{C}^{3 \times 3} \; . \end{split}$$

Many new parameters and possibility of physical complex couplings! Naming scheme of the mass eigenstates:

Quarks			Leptons		
u(p)	c(harm)	t(op)	$ u_1$	$ u_2$	$ u_3$
d(own)	s(trange)	b(ottom)	e	$\mu$	au

#### Three generations

Use "bi-unitary diagonalization" (singular value decomposition) for general matrices:

$$y_f = V_L^f \lambda_f V_R^{f\dagger}$$
, where  $\lambda_f = \operatorname{diag}(\lambda_{f,i}, \dots) \in \mathbb{R}$ .

This allows us to diagonalize the mass (and Higgs coupling) terms by a basis change of the fermion fields in flavor space.

$$\begin{aligned} & u'_L \ = \ V_L^{u\dagger} \, u_L \,, \qquad d'_L \ = \ V_L^{d\dagger} \, d_L \,, \qquad \qquad L' \ = \ V_L^{e\dagger} \, L \,, \\ & u'_R \ = \ V_R^{u\dagger} \, u_R \,, \qquad d'_R \ = \ V_R^{d\dagger} \, d_R \,, \qquad \qquad e'_R \ = \ V_R^{e\dagger} \, e_R \,. \end{aligned}$$

This diagonalizes the mass and Higgs-coupling terms, but:

$$\frac{g}{\sqrt{2}}W^+_{\mu}\left(\overline{u}_L \gamma^{\mu} d_L\right) + \text{h.c.} = \frac{g}{\sqrt{2}}W^+_{\mu}\left(\overline{u}'_L \left[V_L^{u\dagger} V_L^d\right] \gamma^{\mu} d'_L\right) + \text{h.c.}.$$

Note: The Higgs and Z couplings are flavor diagonal.

 $\Rightarrow$  There are no "Flavor changing neutral currents" (FCNC's) in the Standard Model (at tree level!).

## Three generations

The *flavor changing* transitions between different generations of left-chiral fermions at the *W*-vertex

$$\frac{g}{\sqrt{2}}W_{\mu}^{+}\left(\overline{u}_{L}\left[V_{L}^{u\dagger}V_{L}^{d}\right]\gamma^{\mu}\ d_{L}\right) + \text{h.c.}.$$

We define the unitary Cabbibo-Kobayashi-Maskawa matrix

$$V_{\rm CKM} := V_L^{u\dagger} V_L^d$$

 $V_{\text{CKM}}$  can be parametrized by 4 parameters (exercises). "Standard": 3 angles+1 *complex* phase; Wolfenstein: 4  $\mathcal{O}(1)$  parameters  $\lambda$ , A,  $\rho$ ,  $\eta$ .

$$V_{\rm CKM} \equiv \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{td} \end{pmatrix} = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

Having *physical* complex phases requires *at least* three generations and implies the explicit violation of CP (matter anti-matter asymmetry).

Nobel prize Kobayashi and Maskawa 2008



#### CP Violation in the SM



Experimentally, CP violation is well established in K, B and D mesons.

Nobel prize Cronin and Fitch 1980





For example:  $BR(B^+ \rightarrow D^0K^+) \neq$   $BR(B^- \rightarrow \overline{D}^0K^-)$ . ... and many more

Quark flavor physics is precision science now! Ongoing: Search for CP violation in lepton sector.

#### FCNC's in the SM

In the SM there are no Flavor Changing Neutral Currents (FCNC's) at tree level.

However, via loops neutral flavor change

 $d \rightarrow d - type$  or  $u \rightarrow u - type$  can happen.

For example:  $K_{\text{long}} = |d\overline{s}\rangle \rightarrow \ell^+ \ell^-$  (and many others).







Hence, such processes are naturally very suppressed and therefore offer crucial tests of the SM.



John Ellis picture credit Claudia Marcelloni, CERN

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#### CP Violation in the strong interactions?

 $SU(3)_c$  gauge interactions allow for the presence of a so-called  $\theta$ -term

$$\mathscr{L}_{ heta} \;=\; heta \, rac{g^2}{32\pi^2} \, G^a_{\mu
u} \, \widetilde{G}^{\mu
u,a} \;.$$

This term is P and T odd, hence, also CP violating. The corresponding CP-odd basis invariant is

 $\overline{\theta} := \theta + \operatorname{arg} \det y_u y_d$ .

Thus, next to the Jarlskog invariant as source of CP violation from weak interactions (CKM), also strong interactions can violate CP. Unlike CP violation from weak interactions, which practically *always* comes with flavor violation, this type of CP violation has nothing to do with flavor changes.

Strong CP violation induces an electric dipole moment of the neutron

$$d_n \approx (1.5 \times 10^{-16} \, e \cdot \mathrm{cm}) \,\overline{\theta} \, .$$

The non-observation of  $d_n$  implies  $\overline{\theta} \lesssim 10^{-10}$ .

We don't understand why  $\overline{\theta}$  should be so small and this is called the strong CP problem.

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#### Total parameter count of the SM

"Classical" SM without neutrinos: 19

- Gauge sector:  $g, g', g_3$ ,
- Higgs sector: v, λ ,
- Flavor sector:
  - Masses:  $y_u, y_c, y_t; y_d, y_s, y_b; y_e, y_\mu, y_\tau$ ,
  - Mixings:  $\theta_{12}^q$ ,  $\theta_{13}^q$ ,  $\theta_{23}^q$ , (in Wolfenstein parametrization  $\lambda$ , A,  $\rho$ )
  - Phases:  $\delta_{\rm CKM}$ , (in Wolfenstein parametrization  $\eta$ )
  - Exotic:  $\overline{\theta}_{QCD}$ .

Including neutrinos with Dirac (Majorana) mass terms: +7(9)

- Neutrino flavor sector:
  - Masses:  $m_1, m_2, m_3$ ;
  - Mixings:  $\theta_{12}^{\ell}, \theta_{13}^{\ell}, \theta_{23}^{\ell},$
  - Phases:  $\delta_{\rm PMNS}$ , (+ $\phi_1, \phi_2$  for Majorana neutrinos)

## Global (accidental?) symmetries of the SM

There are also global symmetries in the SM that (unlike gauge 'symmetries') relate physically distinguishable states.

These global symmetries are "accidential". Either because they arise in the slipstream of gauge symmetries, or because parameters are "such and such".

- Custodial symmetry:  $SO(4) \xrightarrow{\langle H \rangle} SO(3) \Rightarrow \rho = 1$  (at tree level). Broken by g'.
- Nuclear isospin SU(2):  $n \leftrightarrow p$ , because  $m_u \approx m_d$ .
- Chiral symmetry of QCD  $\rm SU(3)_L \times SU(3)_R$  (explicitly(2x) and spontaneously broken)  $\to$  QCD lecture.

Nobel prize Gell-Mann 1969



- Lepton family numbers  $U(1)_e$ ,  $U(1)_\mu$ ,  $U(1)_\tau$ . Broken by neutrino masses  $m_\nu \Rightarrow \mathsf{CLF}$  (e.g. $\mu \to e\gamma$ ) heavily suppressed.
  - Baryon(B)- and Lepton(L)-number symmetries  ${\rm U}(1)_{\rm B} \& {\rm U}(1)_{\rm L}.$  Broken by chiral anomaly with  ${\rm SU}(2)_{\rm L}.$

 $\mathrm{U}(1)_{\mathrm{B-L}}$  is conserved in presence of 3 right-handed neutrinos.

#### The experimental success of the SM



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#### The experimental success of the SM





SM is highly predictive and *shockingly* successfull.

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### Really, no deviations?

There will *always* be statistical fluctuations $\rightarrow$ deviations... ...significance is important!

For example: Long-standing  $(3-4)\sigma$  deviation in muon anomalous magnetic moment  $a_{\mu} \equiv \frac{1}{2}(g_{\mu}-2)$ .  $\frac{\delta a_{\ell}}{a_{\ell}} \sim \frac{m_{\ell}^2}{M_{\text{NDE}}^2}$ 

This is an *indirect* test of the whole SM (and NP).



Other increasinly significant deviations: Tests of "Lepton Flavor Universality" (LFU) (at LHCb/Belle(II)/Babar)  $B \to K\mu\overline{\mu}/B \to Ke\overline{e}, B \to D\tau\overline{\nu}/B \to D\ell\overline{\nu}, \dots$ 

### Ongoing tests of Higgs properties

Recall: Higgs couplings to fermions

$$g_{hff} = \frac{m_f}{v} \propto m_f \; .$$

Higgs self-interactions, induced by SSB

$$V(h) = \frac{m_h^2}{2}h^2 + \frac{\lambda}{2}vh^3 + \frac{\lambda}{8}h^4 + const.$$

$$v = \frac{\mu}{\lambda}$$
,  $m_h = 2\lambda v^2$ .

The cubic and quartic selfcouplings of the Higgs boson are predicted in the SM.

$$\kappa_3 := \lambda_{3h} / \lambda_{3h}^{\mathrm{SM}}$$





### RG Evolution to high scales



Figure 1: Left: SM RG evolution of the gauge couplings  $g_1 = \sqrt{5/3}g'$ ,  $g_2 = g$ ,  $g_3 = g_s$ , of the top and bottom Yukawa couplings  $(y_t, y_b)$ , and of the Higgs quartic coupling  $\lambda$ . All couplings are defined in the  $\overline{\text{MS}}$  scheme. The thickness indicates the  $\pm 1\sigma$  uncertainty. Right: RG evolution of  $\lambda$  varying  $M_t$ ,  $M_h$  and  $\alpha_s$  by  $\pm 3\sigma$ . [Degrassi et al] JHEP 08 (2012) 098]



Andreas Trautner

Introduction to the Standard Model, 12-14.07.21

### (Near?) Criticality of the SM



Figure 5: Regions of absolute stability, meta-stability and instability of the SM vacuum in the  $M_t$ - $M_h$  plane (upper left) and in the  $\lambda$ - $y_t$  plane, in terms of parameter renormalized at the Planck scale (upper right). Bottom: Zoom in the region of the preferred experimental range of  $M_h$  and  $M_t$  (the gray areas denote the allowed region at 1, 2, and 3 $\sigma$ ). The three boundary lines correspond to  $\alpha_s(M_Z) = 0.1184 \pm 0.0007$ , and the grading of the colors indicates the size of the theoretical error. The dotted contour-lines show the instability scale  $\Lambda$  in GeV assuming  $\alpha_s(M_Z) = 0.1184$ 

## Puzzles and problems of the Standard Model

• Neutrino masses.  $m_{\nu} = 0$  in the SM.  $\Rightarrow$  SM **must** be extended!

Nobel prize Kajita and McDonald 2015 ("for discovery of neutrino oscillations, which shows that neutrinos have mass")

Empirically  $\sum m_{
u} \lesssim 0.1 \, {
m eV}$ . Easily remedied, e.g. Dirac/Majorana mass for u:

$$\mathcal{L}_{m_{\nu}} = y_{\nu} \,\overline{L} \,\widetilde{H} \,\nu_R \,, \quad \text{or} \quad \mathcal{L}_{m_{\nu}} = \frac{1}{\Lambda} \,(LH)^{\mathrm{T}} \,(LH)$$

Exact mechanism for  $m_{\nu}$  still unclear.

#### $\longrightarrow$ see neutrino lectures

- Strong CP problem ("Why no CP violation in strong interactions?").
- Flavor puzzle ("Why hierachical masses and mixings? Why three generations?").
- Charge quantization ("Why is Hydrogen neutral?").
- Vacuum stability? U(1) Landau pole at high scale?
- Electroweak hierarchy problem ("why  $m_h \ll M_{\rm Pl}$ ").
- Baryon asymmetry of the Universe?
- What is Dark Matter?
- Computation of vacuum energies? (what is Dark Energy?)
- Unification with gravity?

# h h

### Beyond the Standard Model

Grand Unified Theories (GUTS)

 $SO(10) / SU(5) \supset SU(3) \times SU(2) \times U(1)$ .

 $\mathbf{16} = \mathbf{10} \oplus \mathbf{\overline{5}} \oplus \mathbf{1} = (\mathbf{3}, \mathbf{2})_{1/6} \oplus (\mathbf{\overline{3}}, \mathbf{1})_{-2/3} \oplus (\mathbf{1}, \mathbf{1})_1 \oplus (\mathbf{\overline{3}}, \mathbf{1})_{1/3} \oplus (\mathbf{1}, \mathbf{2})_{-1/2} \oplus (\mathbf{1}, \mathbf{1})_0$ 

- Would explain charge quantization and unification of gauge couplings!
- Predicts proton decay (not observed...).
- Unification of Higgs representations? 'Doublet-triplet splitting'.
- Supersymmetry  $Bosons \leftrightarrow Fermions$ .

• ... ... ...

### **Thank You!**

## **Backup slides**



[Image credit: Fred Jegerlehner]

### **Bibliography I**



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