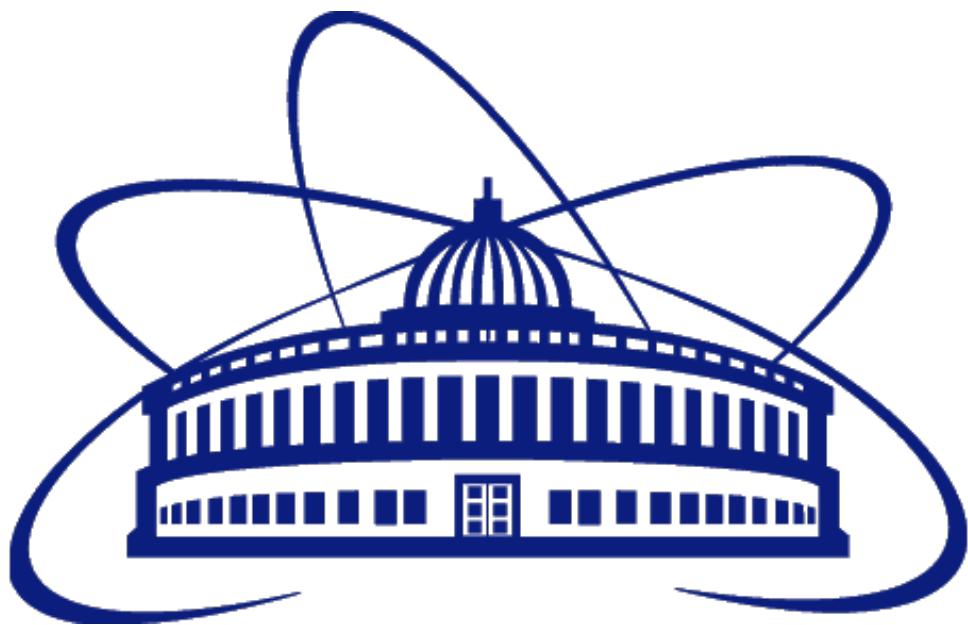


# Rethinking $\alpha$ -RuCl<sub>3</sub>



Pavel Maksimov<sup>1</sup> and Alexander Chernyshev<sup>2</sup>

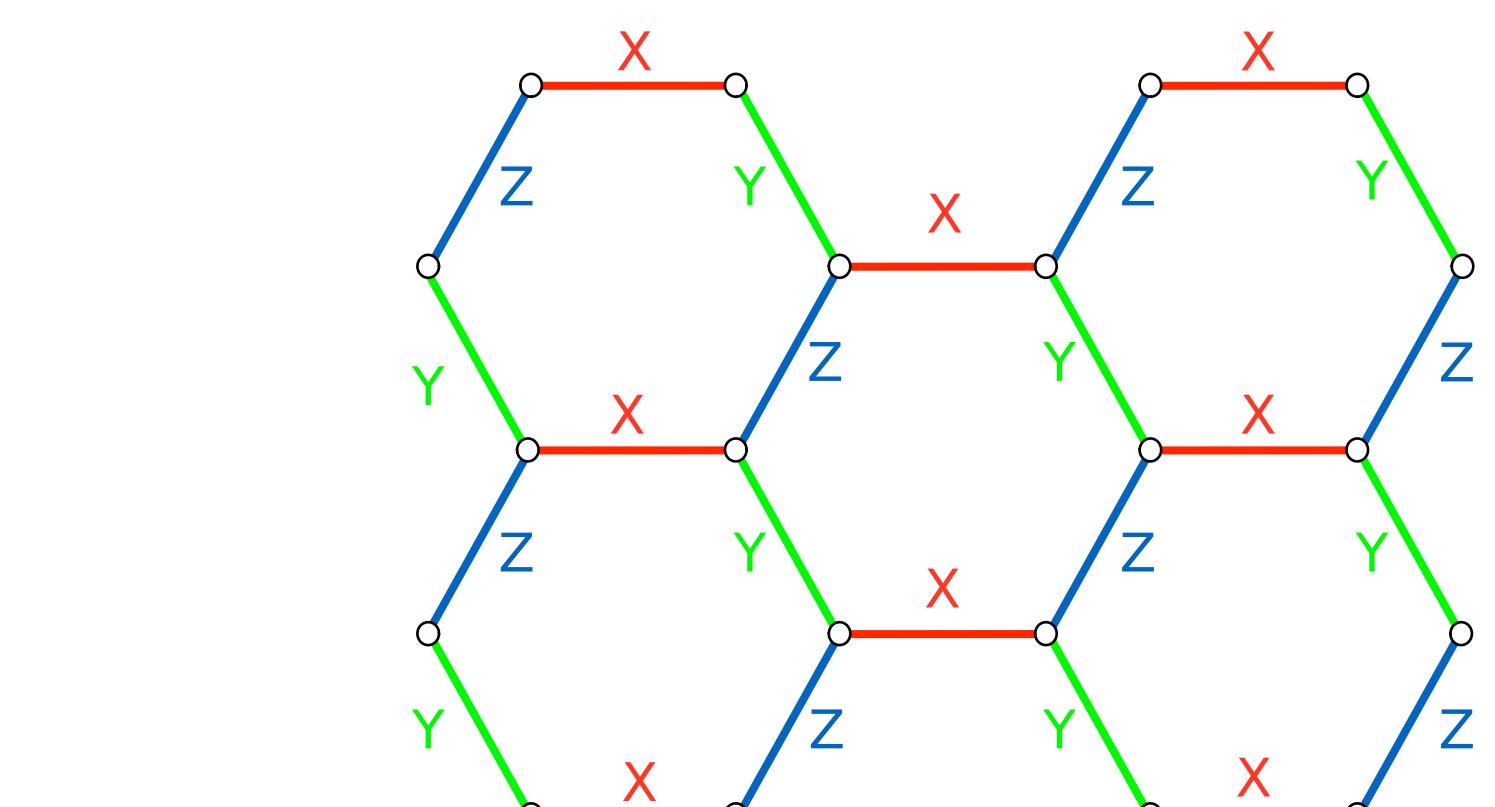


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<sup>2</sup>Department of Physics and Astronomy, University of California, Irvine, California 92697,  
USA

# Honeycomb lattice Kitaev model

$$\mathcal{H} = K \sum_{\langle ij \rangle^\gamma} S_i^\gamma S_j^\gamma = K \sum_{\langle ij \rangle^x} S_i^x S_j^x + K \sum_{\langle ij \rangle^y} S_i^y S_j^y + K \sum_{\langle ij \rangle^z} S_i^z S_j^z$$



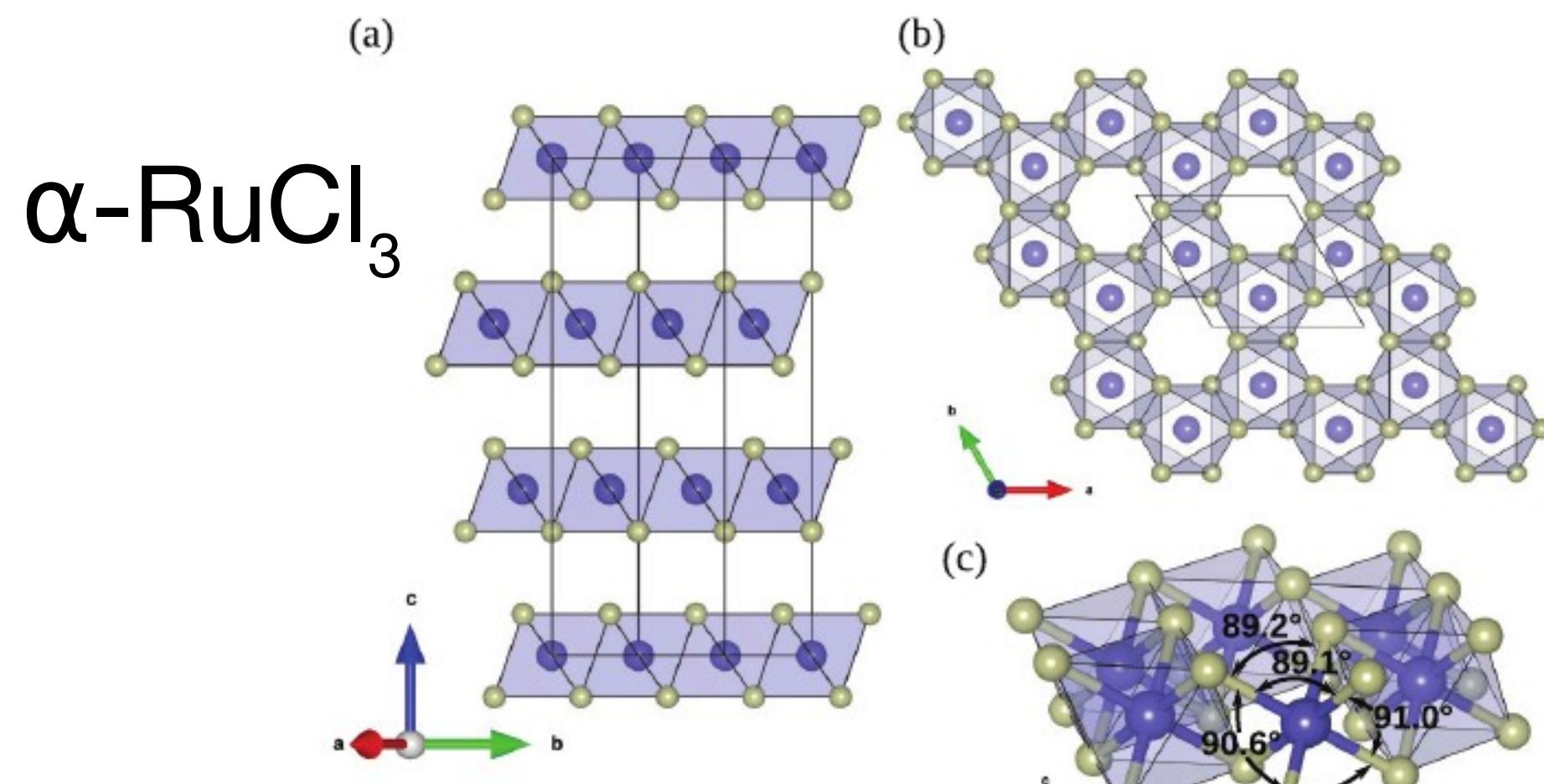
$$S^x = i b^x c, \quad S^y = i b^y c, \quad S^z = i b^z c$$

Majorana fermions:

$$c_j^2 = 1, \quad c_i c_j = -c_j c_i, \quad i \neq j$$

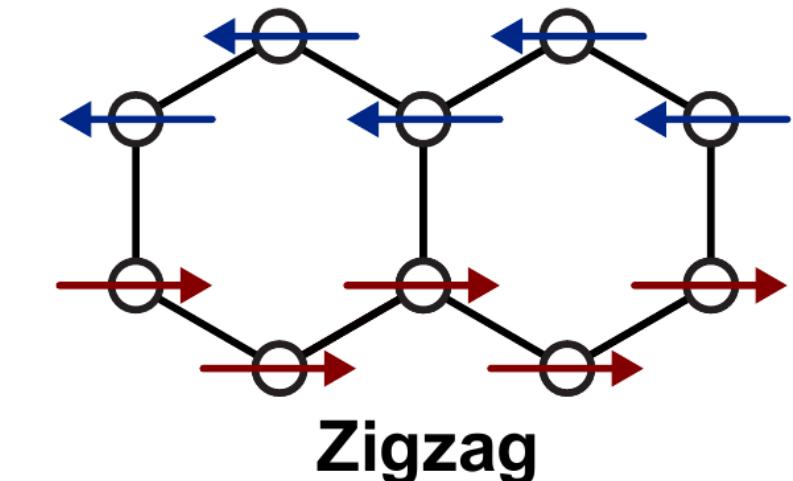
non-Abelian excitations in magnetic field!

A. Kitaev (2006)



K. W. Plumb et al., Phys. Rev. B 90, 041112(R) (2014)

Long-range  
order at 7K



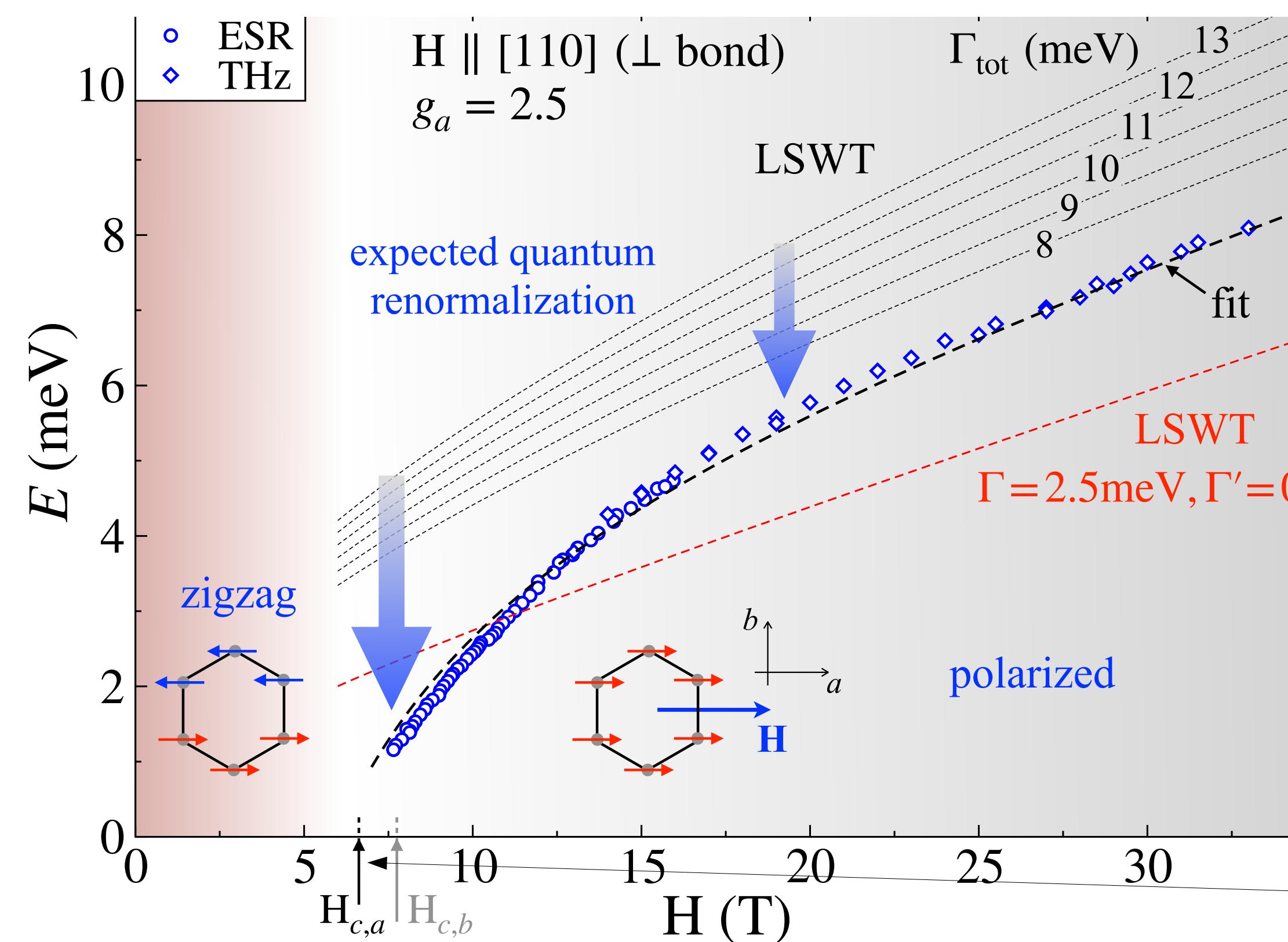
Extended Kitaev-Heisenberg model:

$$\begin{aligned} \mathcal{H} = & \sum_{\langle ij \rangle^\gamma} J \mathbf{S}_i \cdot \mathbf{S}_j + K S_i^\gamma S_j^\gamma + \Gamma \left( S_i^\alpha S_j^\beta + S_i^\beta S_j^\alpha \right) \\ & + \Gamma' \left( S_i^\gamma S_j^\alpha + S_i^\gamma S_j^\beta + S_i^\alpha S_j^\gamma + S_i^\beta S_j^\gamma \right) \end{aligned}$$

# Material parameters and polarized phase spectrum

Magnon at the BZ center:

$$\varepsilon_{\mathbf{k}=0} = \sqrt{g\mu_B H (g\mu_B H + 3S(\Gamma + 2\Gamma'))}$$

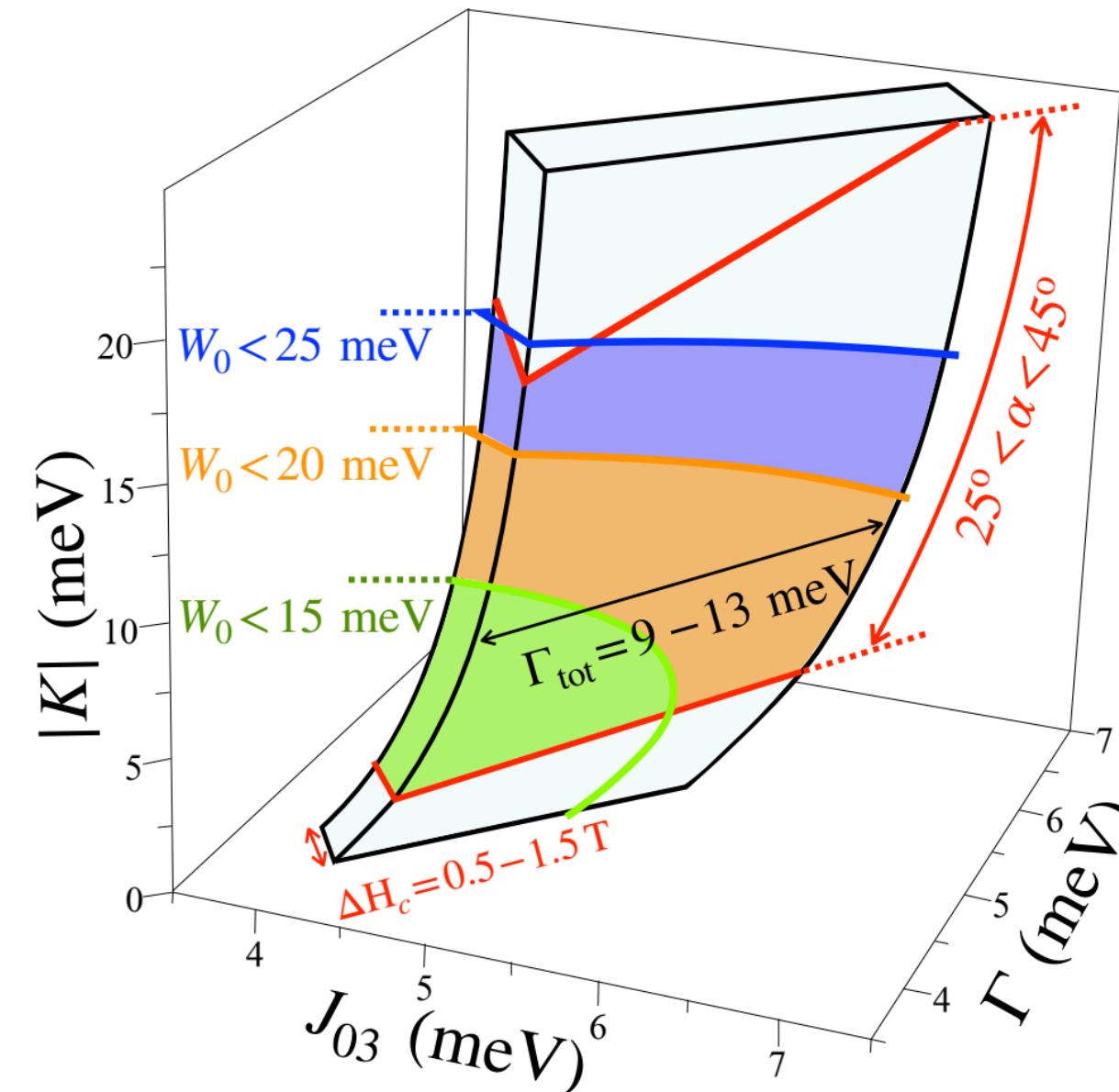
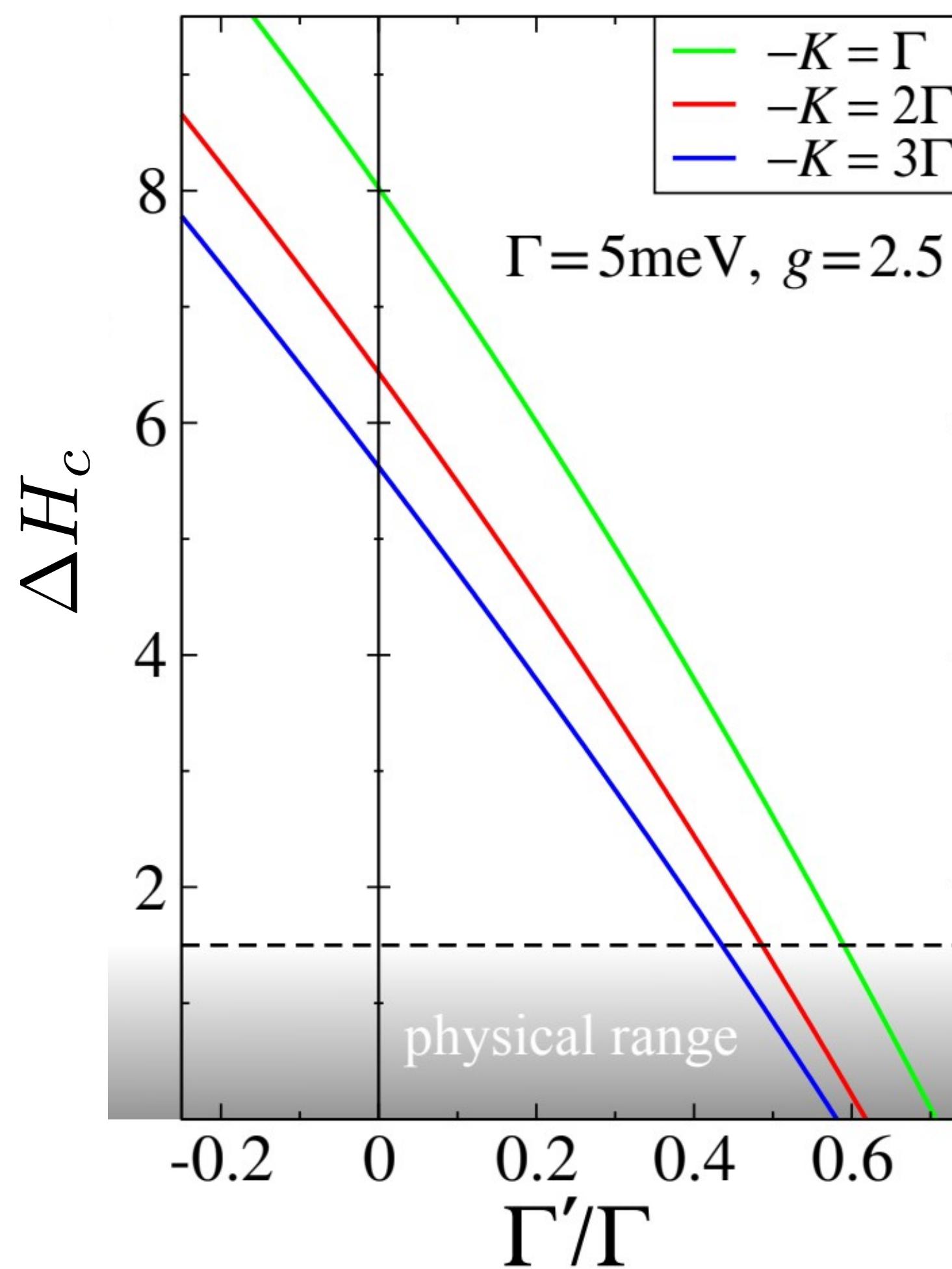


Reference	Method	$K$	$\Gamma$	$\Gamma'$	$J$	$J_3$	$\Gamma + 2\Gamma'$	$J + 3J_3$
Banerjee et al. [22]	LSWT, INS fit	+7.0			-4.6			-4.6
	DFT+ $t/U$ , P3	-6.55	5.25	-0.95	-1.53		3.35	-1.53
	DFT+SOC+ $t/U$	-8.21	4.16	-0.93	-0.97		2.3	-0.97
	same+fixed lattice	-3.55	7.08	-0.54	-2.76		6.01	-2.76
	same+ $U$ +zigzag	+4.6	6.42	-0.04	-3.5		6.34	-3.5
Winter et al. [30]	DFT+ED, C2	-6.67	6.6	-0.87	-1.67	2.8	4.87	6.73
	same, P3	+7.6	8.4	+0.2	-5.5	2.3	8.8	+1.4
Yadav et al. [24]	Quantum chemistry	-5.6	-0.87		+1.2		-0.87	+1.2
Ran et al. [34]	LSWT, INS fit	-6.8	9.5				9.5	
	DFT+ $t/U$ , $U = 2.5\text{eV}$	-14.43	6.43		-2.23	2.07	6.43	+3.97
	same, $U = 3.0\text{eV}$	-12.23	4.83		-1.93	1.6	4.83	+2.87
Hou et al. [31]	same, $U = 3.5\text{eV}$	-10.67	3.8		-1.73	1.27	3.8	+2.07
	DFT+ $t/U$ , P3	-10.9	6.1		-0.3	0.03	6.1	-0.21
	same, C2	-5.5	7.6		+0.1	0.1	7.6	+0.4
Winter et al. [35]	<i>Ab initio</i> +INS fit	-5.0	2.5		-0.5	0.5	2.5	+1.0
Suzuki et al. [36]	ED, $C_p$ fit	-24.41	5.25	-0.95	-1.53		3.35	-1.53
Cookmeyer et al. [37]	thermal Hall fit	-5.0	2.5		-0.5	0.11	2.5	-0.16
Wu et al. [38]	LSWT, THz fit	-2.8	2.4		-0.35	0.34	2.4	+0.67
	same, $K > 0$	+1.15	2.92	+1.27	-0.95		5.45	-0.95
Ozel et al. [39]	same, $K < 0$	-3.5	2.35		+0.46		2.35	+0.46
	DFT+Wannier+ $t/U$	-14.3	9.8	-2.23	-1.4	0.97	5.33	+1.5
Sahasrabudhe et al. [42]	ED, Raman fit	-10.0	3.75		-0.75	0.75	3.75	1.5
Sears et al. [40]	Magnetization fit	-10.0	10.6	-0.9	-2.7		8.8	-2.7
Laurell et al. [41]	ED, $C_p$ fit	-15.1	10.1	-0.12	-1.3	0.9	9.86	+1.4
This work	range	[-19,-3.8]	[3.9,6.5]	[2.0,3.6]	[-6.5,-1.6]	[2.1,4.3]	[9.0,13.0]	[4.4,6.8]
	point 1	-4.8	4.08	2.5	-2.56	2.42	9.08	4.7
	point 2	-10.8	5.2	2.9	-4.0	3.26	11.0	5.78
	point 3	-14.8	6.12	3.28	-4.48	3.66	12.7	6.5

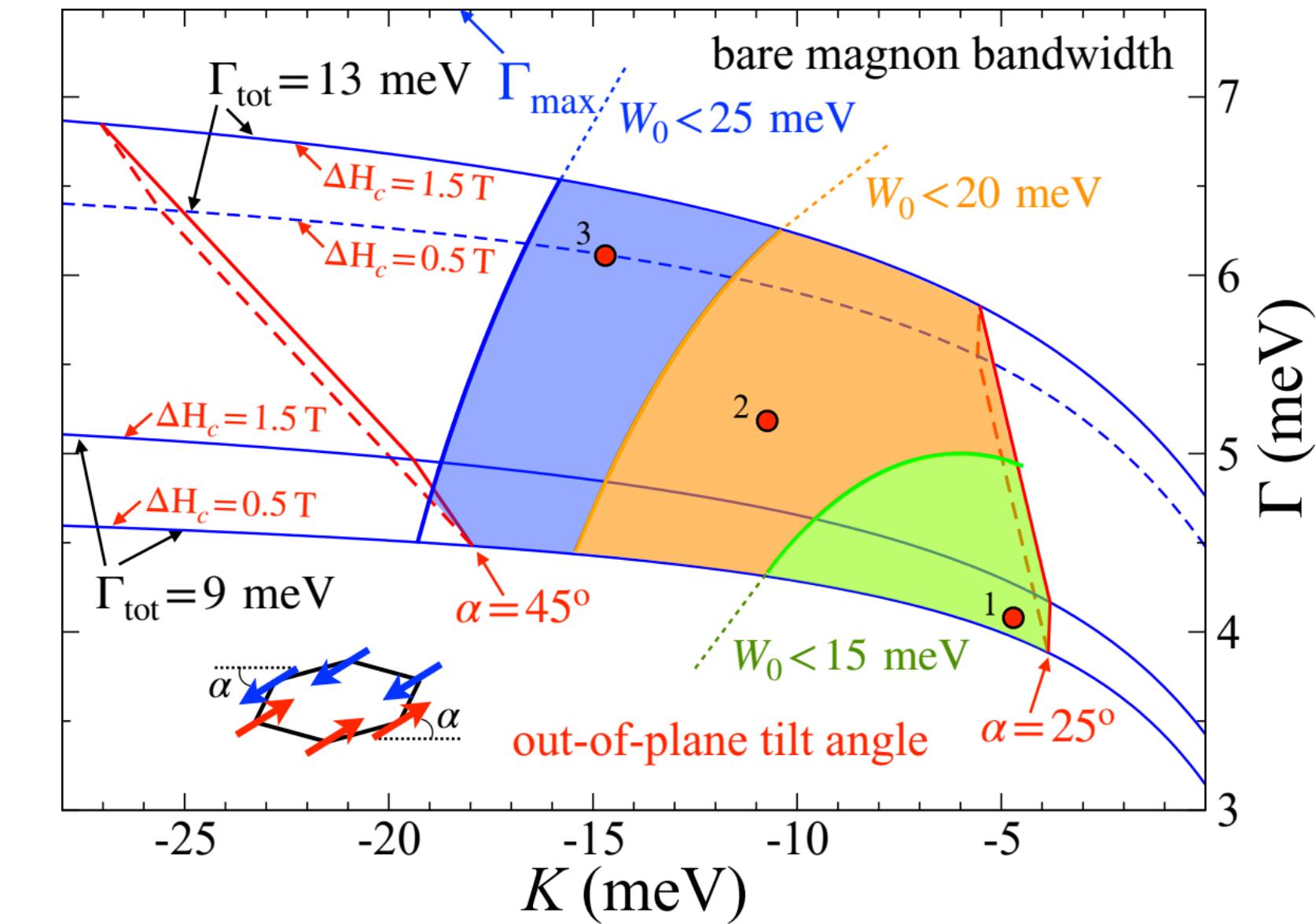
Critical fields for  $a$  and  $b$  field directions are very close

# Critical fields for $a$ and $b$ directions

$$\Delta H_c = H_c^{(b)} - H_c^{(a)} = \Delta H_c(K, \Gamma, \Gamma')$$

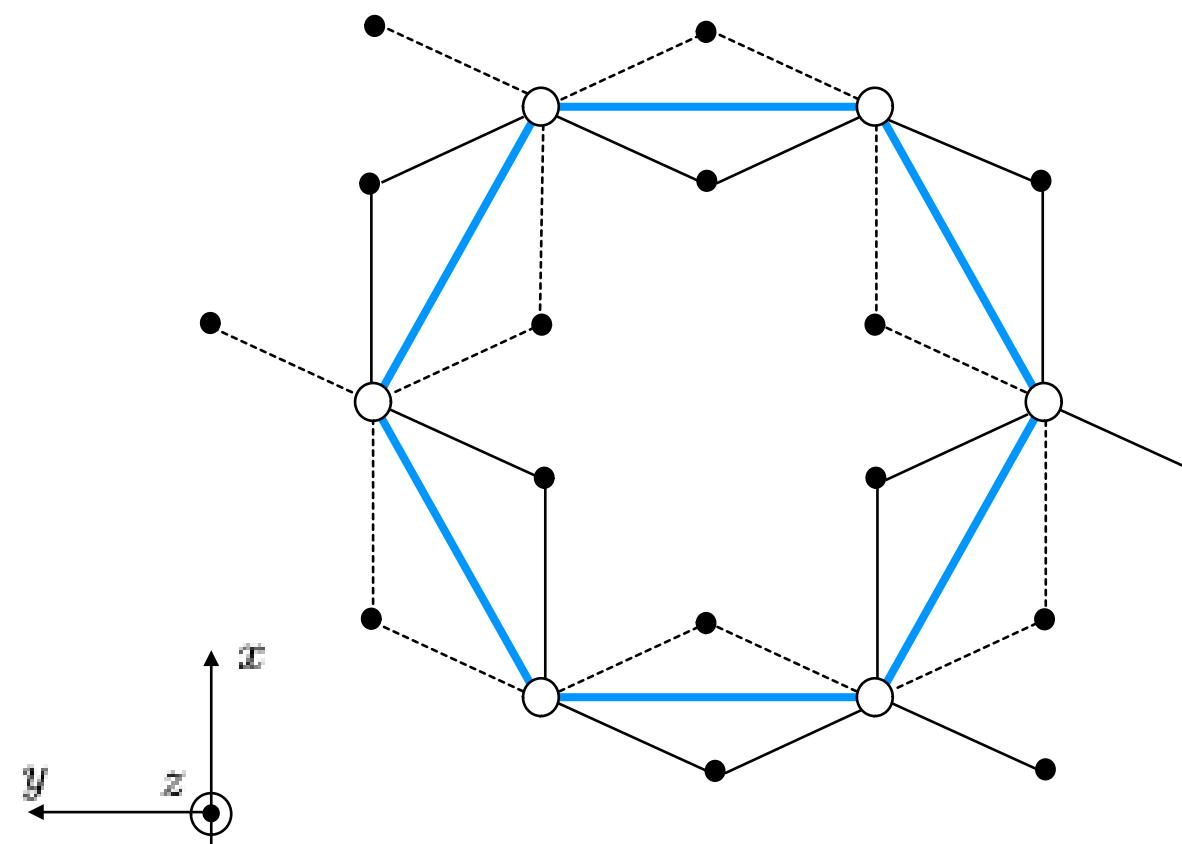


- Zigzag ground state
- Magnon bandwidth
- Tilt angle



$(J, K, \Gamma, \Gamma', J_3)$

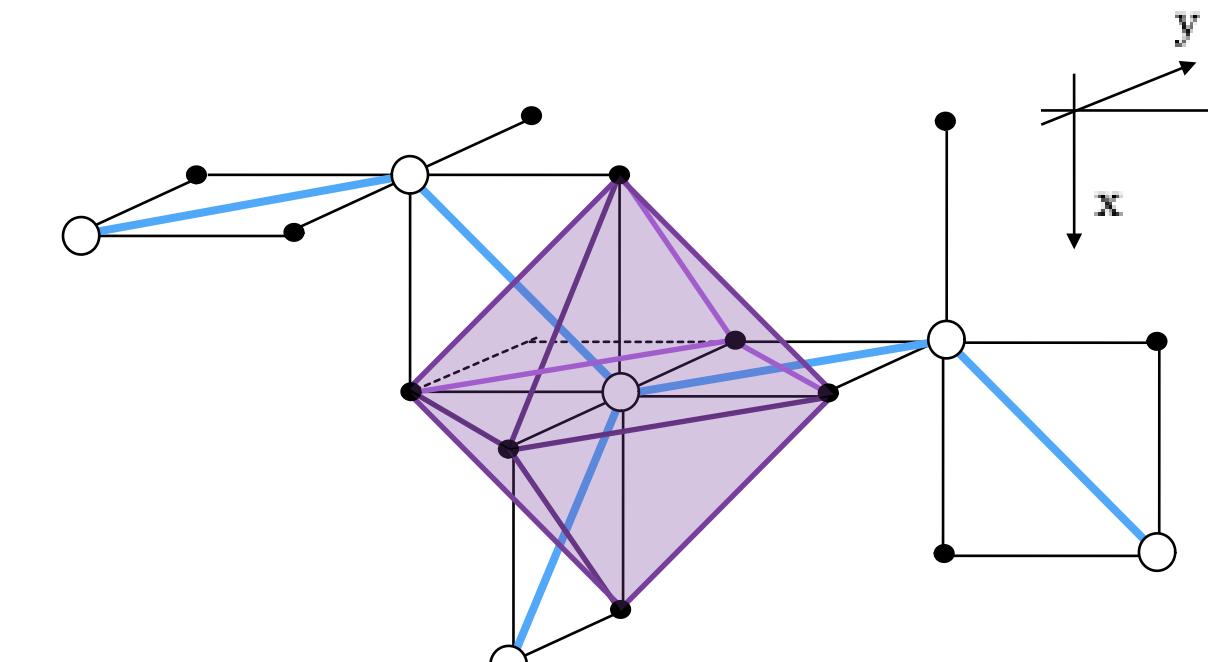
# Axes transformation



Crystallographic axes

$$\begin{aligned} \mathcal{H} = & \sum_{\langle ij \rangle} J_{\pm} (S_i^x S_j^x + S_i^y S_j^y) + J_z S_i^z S_j^z \\ & - 2J_{\pm\pm} ((S_i^x S_j^x - S_i^y S_j^y) \cos \tilde{\varphi}_{\alpha} - (S_i^x S_j^y + S_i^y S_j^x) \sin \tilde{\varphi}_{\alpha}) \\ & - J_{z\pm} ((S_i^x S_j^z + S_i^z S_j^x) \cos \tilde{\varphi}_{\alpha} + (S_i^y S_j^z + S_i^z S_j^y) \sin \tilde{\varphi}_{\alpha}) \\ & + \sum_{\langle ij \rangle_3} J_3 (S_i^x S_j^x + S_i^y S_j^y + S_i^z S_j^z), \end{aligned} \quad (\text{A1})$$

J. Chaloupka and G. Khaliullin, Phys. Rev. B 92, 024413 (2015)



Cubic axes

$$\begin{aligned} \mathcal{H} = & \sum_{\langle ij \rangle^{\gamma}} J \mathbf{S}_i \cdot \mathbf{S}_j + K S_i^{\gamma} S_j^{\gamma} + \Gamma (S_i^{\alpha} S_j^{\beta} + S_i^{\beta} S_j^{\alpha}) \\ & + \Gamma' (S_i^{\gamma} S_j^{\alpha} + S_i^{\gamma} S_j^{\beta} + S_i^{\alpha} S_j^{\gamma} + S_i^{\beta} S_j^{\gamma}) \\ & (J, \Delta, J_{\pm\pm}, J_{z\pm}, J_3) \end{aligned}$$

- Point 1: (-7.20, -0.26, 0.3, -3.0, 2.42)  
 Point 2: (-11.3, 0.02, 1.0, -3.3, 3.26)  
 Point 3: (-13.6, 0.07, 1.5, -8.3, 3.66).

$$\begin{aligned} J_{\pm} &= J + \frac{1}{3} (K - \Gamma - 2\Gamma'), \\ J_z &= J + \frac{1}{3} (K + 2\Gamma + 4\Gamma'), \\ 2J_{\pm\pm} &= \frac{1}{3} (-K - 2\Gamma + 2\Gamma'), \\ \sqrt{2}J_{z\pm} &= \frac{1}{3} (2K - 2\Gamma + 2\Gamma'), \end{aligned}$$

**XYJ-J<sub>z±</sub>-J<sub>3</sub> model(!)**

# Conclusions

- $\alpha\text{-RuCl}_3$  is a magnetic insulator with sizeable anisotropic Kitaev interactions
- Some experimental observations can only be explained with large  $\Gamma$  and  $\Gamma'$ , which are usually neglected
- Combination of other criteria form a region of allowed parameters
- The transformation of axes shows that  $\alpha\text{-RuCl}_3$  can be described as a simple three-parameter XY  $J$ - $J_{z\pm}$ - $J_3$  model

