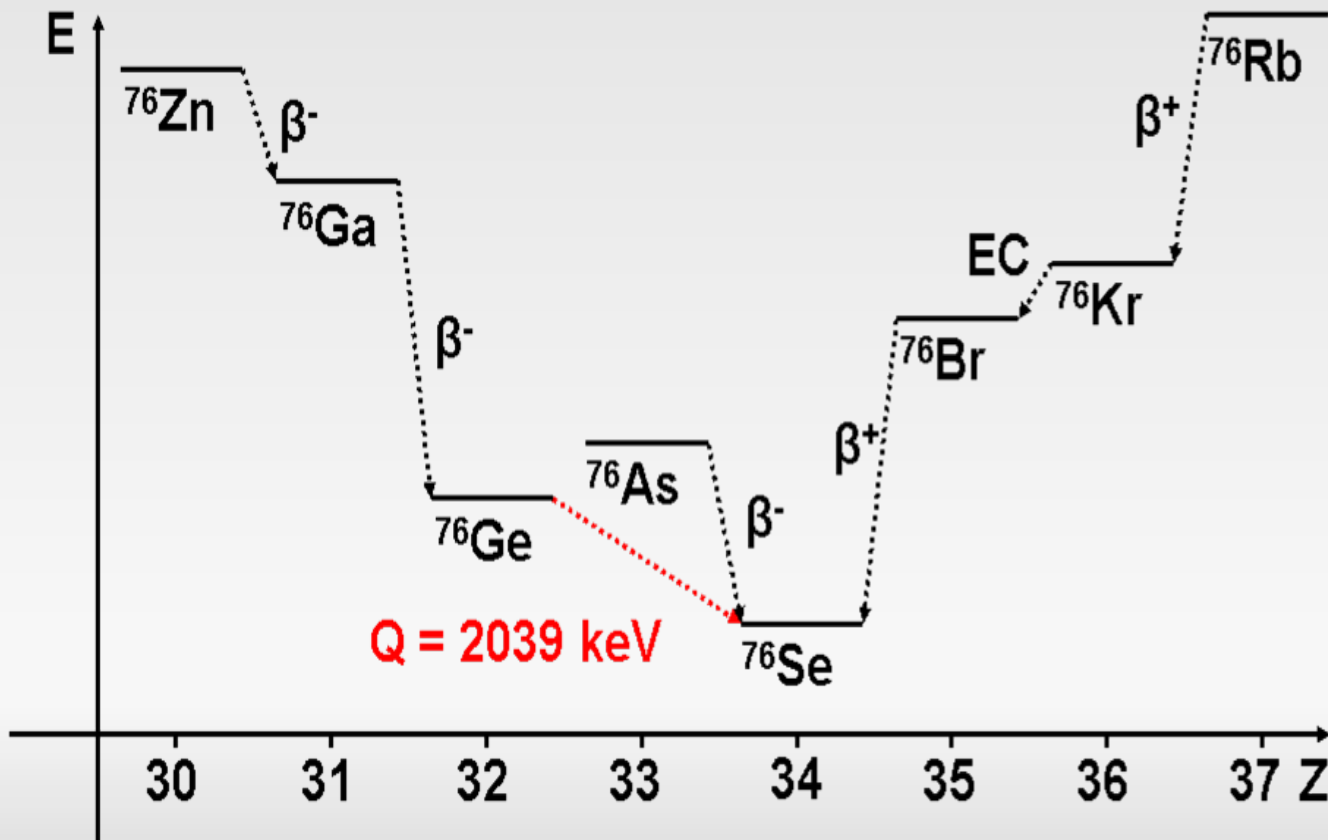


# Search for Neutrinoless Double Beta Decay of $^{76}\text{Ge}$ : latest results from GERDA and a novel detector design for GERDA & LEGEND



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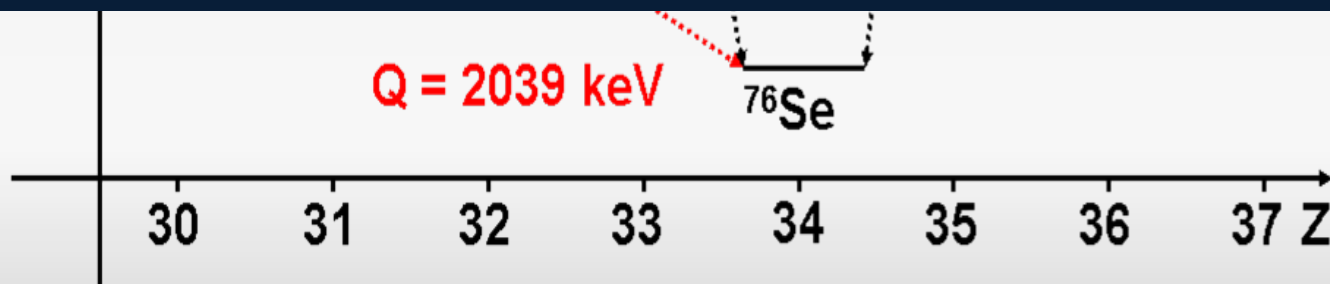


# Search for Neutrinoless Double Beta Decay of $^{76}\text{Ge}$ : latest results from GERDA and a novel detector design for LEGEND



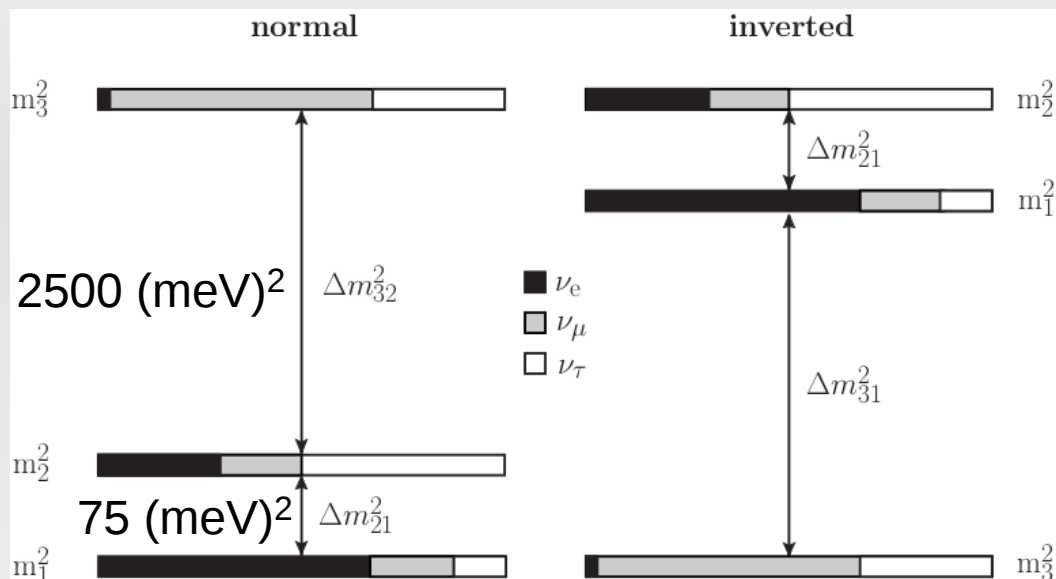
LEGEND

Large Enriched  
Germanium Experiment  
for Neutrinoless  $\beta\beta$  Decay

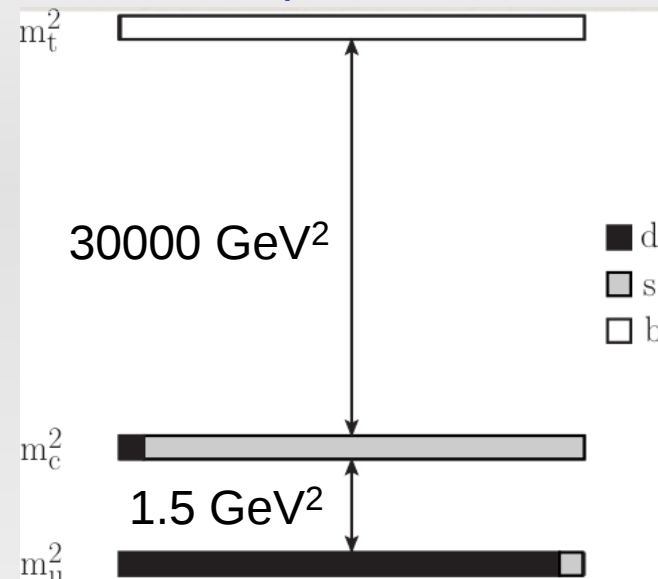


# Neutrino physics

## neutrinos: mass splitting and mixing



## quarks



## Neutrino flavor physics: underlying symmetry ?

- mixing matrix  $U$  and  $|\Delta m^2|$ , quite well known but:  $\theta_{23} = 45^\circ$  or small deviation from  $45^\circ$  ?
- sign of  $\Delta m_{31}^2$  ?
- CP phase =  $3\pi/2$  ? (likely not relevant for leptogenesis)
- absolute mass scale ?

major impact

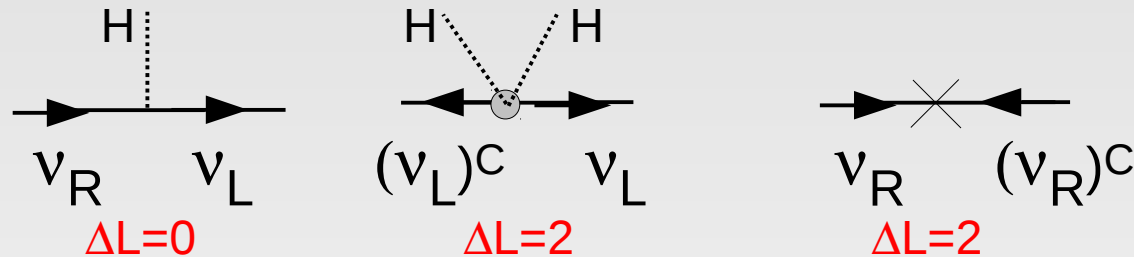
Is mixing matrix unitary (sterile neutrinos, ...)?

Are neutrinos Majorana or Dirac particles (lepton number violation)?

# Neutrino mass: Lepton number violation?

possible neutrino mass terms ( $\nu$  has **no** electric charge)

$$L_{Yuk} = m_D \bar{\nu}_L \nu_R + m_L \bar{\nu}_L (\nu_L)^c + m_R (\bar{\nu}_R)^c \nu_R + h.c.$$



$\nu_L$  couples to Standard Model W,Z bosons,  $\nu_R$  does not (SM singlet)

$m_D \sim$  normal Dirac mass term

$m_L, m_R$  new physics

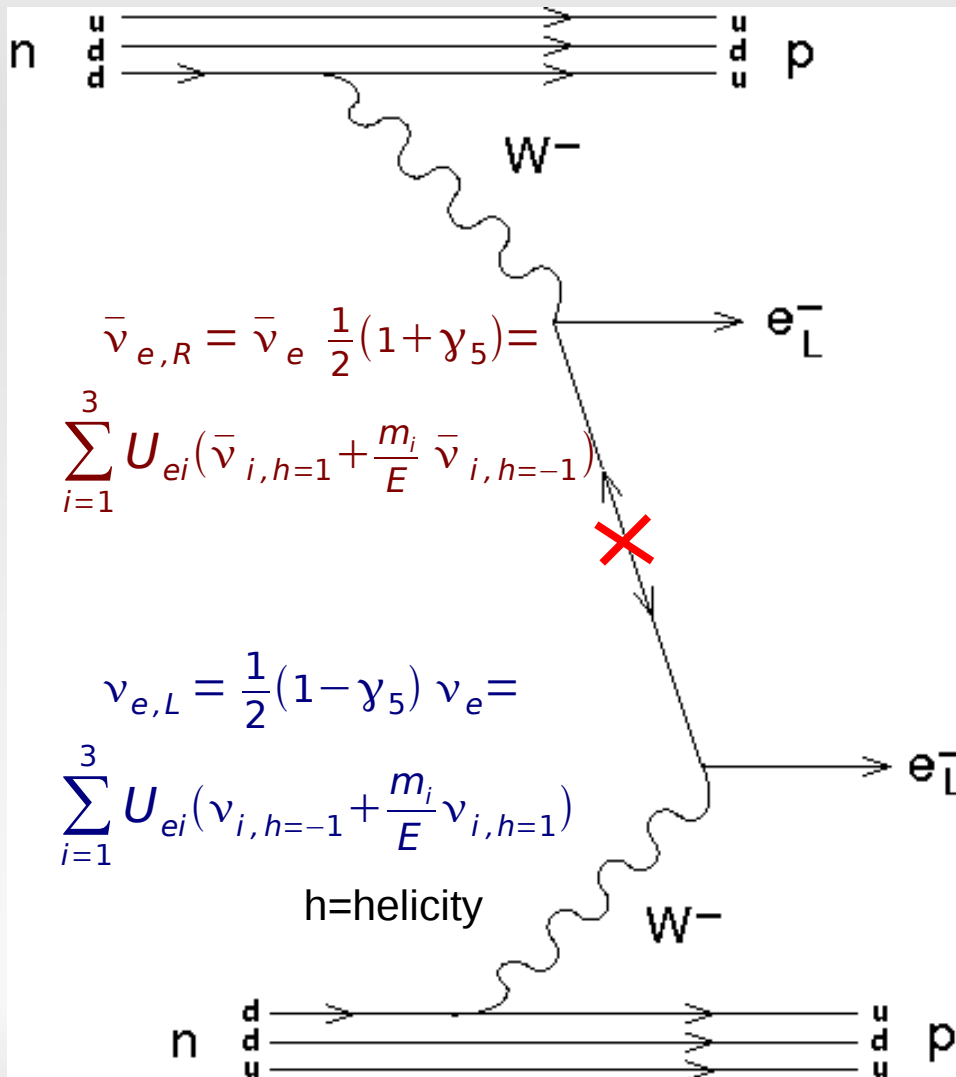
eigen vector  $N \sim \nu_R + (\nu_R)^c$       $\nu \sim \nu_L + (\nu_L)^c$   
 mass ( $m_L \sim 0$ )      $m_R$       $m_D^2 / m_R$

Majorana particles

in general: expect  $\nu$  to be Majorana particles  $\rightarrow$  L violation

# How to observe $\Delta L=2: 0\nu\beta\beta$

Look for a process which can only occur if neutrino is **Majorana** particle



coupling strength  $\sim m_{\beta\beta} = \sum_{i=1}^3 U_{ei}^2 m_i$

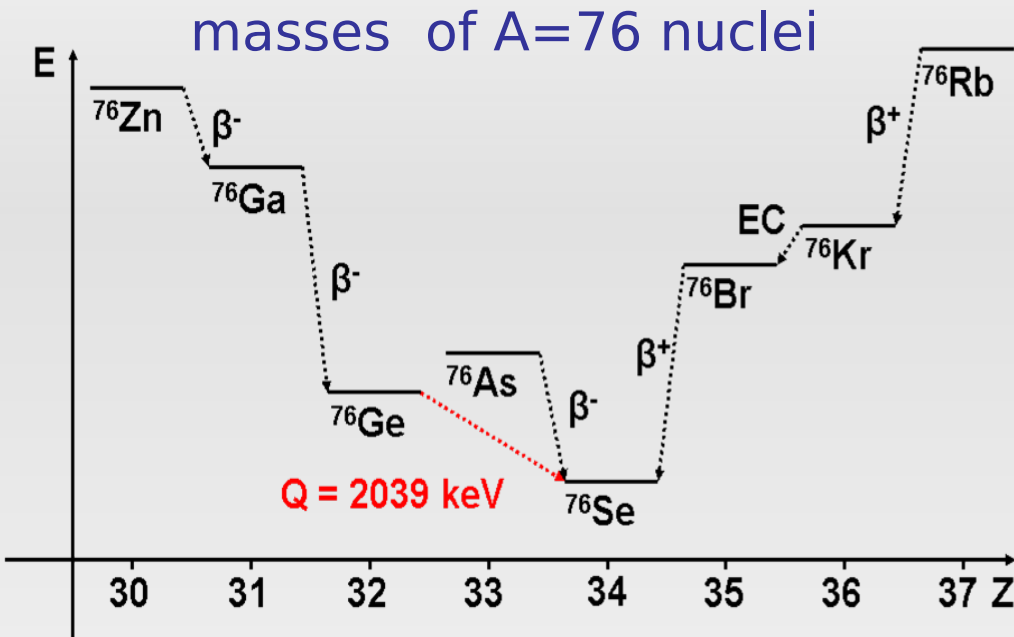
function of

- neutrino mixing parameters
- lightest neutrino mass
- 2 Majorana phases

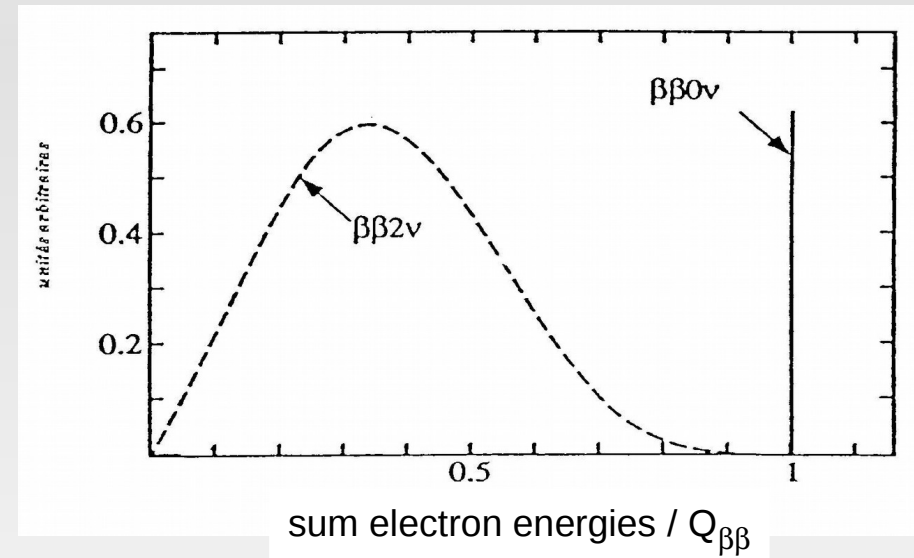
also possible: heavy N exchange

→ coupling strength  $\sim \sum_{i=1}^3 V_{ei}^2 / M_i$

# Neutrinoless double beta decay



experimental signature for  $\beta\beta$



”single” beta decay not allowed  
 → only ”double beta decay”

$$(A, Z) \rightarrow (A, Z+2) + 2 e^- + 2 \bar{\nu} \quad \Delta L=0$$

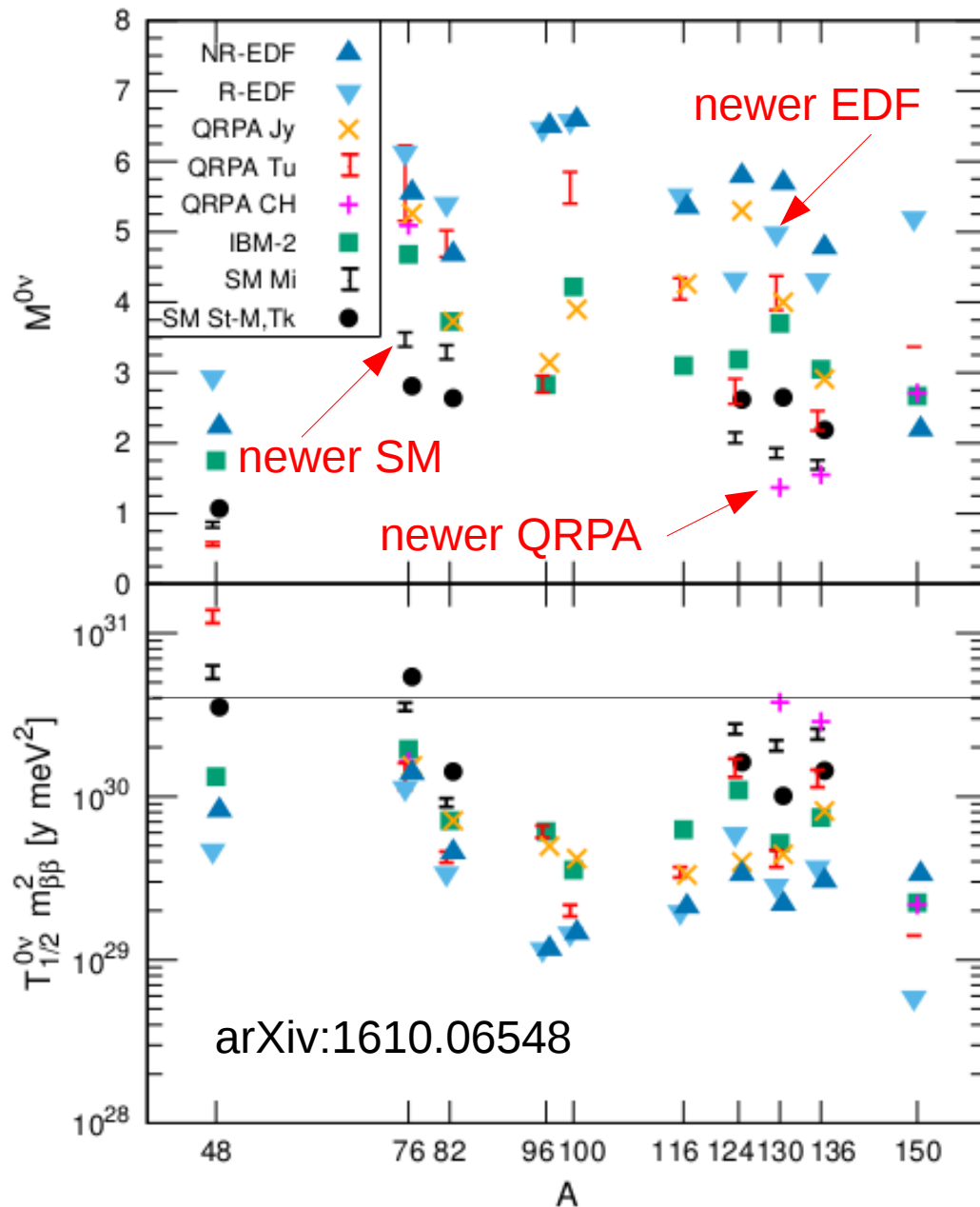
$$(A, Z) \rightarrow (A, Z+2) + 2 e^- \quad \Delta L=2$$

$0\nu\beta\beta$ : search for a line at  $Q$  value of decay

Note: similar process in principle also observable at accelerator or reactor or ... but for light Majorana neutrino:

- background too high
- flux too low compared to Avogadro  $N_A$

# Expected $T_{1/2}$ for different matrix elements



$$\frac{1}{T_{1/2}^{0\nu}} = G^{0\nu} |M^{0\nu}|^2 \frac{\langle m_{\beta\beta} \rangle^2}{m_e^2}$$

- $T_{1/2}^{0\nu}$  = measured experimentally
- $G^{0\nu}$  = phase space factor  $\sim Q^5$
- $M^{0\nu}$  = nuclear matrix element
- $m_e$  = electron mass

$10^{28}$  yr for 20 meV effective mass  
 0.6  $^{76}\text{Ge}$  decays per t\*yr exposure  
 0.3  $^{136}\text{Xe}$  decays per t\*yr exposure  
 (before enrichment fraction & cuts)  
 → background free conditions required

**No favored isotope  
considering spread of  
nuclear matrix elements**

# GERDA: Ge in LAr @ Gran Sasso

lock & glove box  
for string insertion

Ge detectors  
( $^{76}\text{Ge}$  ~ 86%)

64 m<sup>3</sup> LAr

590 m<sup>3</sup> pure water / Cherenkov veto

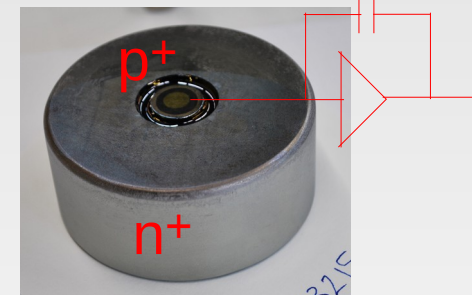
Phase I (2011-13):

$$T_{1/2}^{0\nu} > 2.1 \cdot 10^{25} \text{ yr (90\% C.L.)}$$

$^{76}\text{Ge}$   $0\nu\beta\beta$  decay, PRL 111 122503

Phase II:

2x Ge mass (30 BEGe det.)



LAr scint. light readout

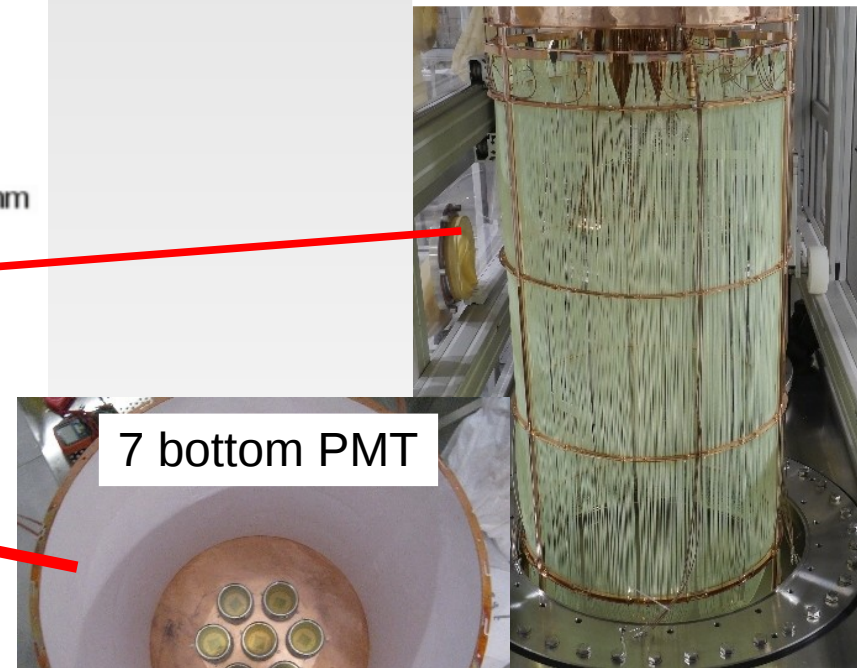
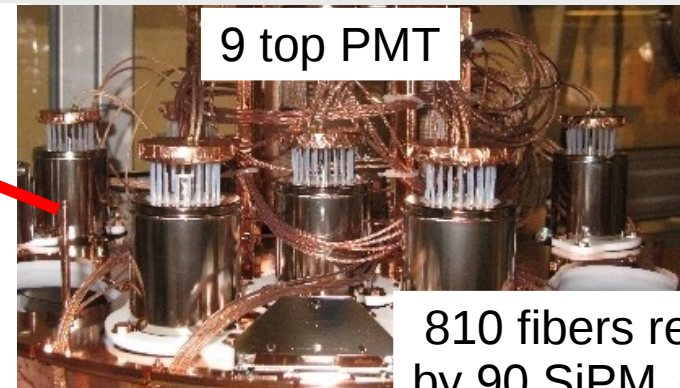
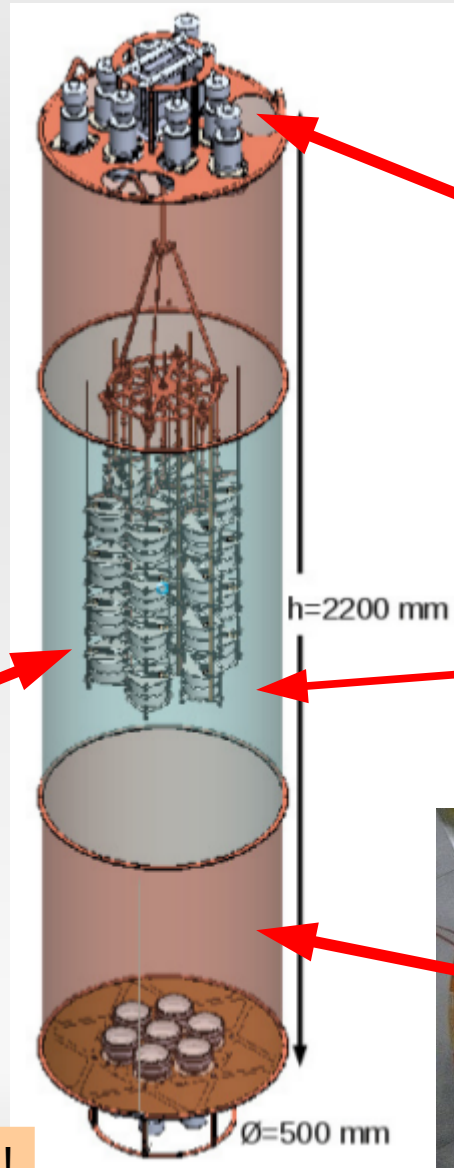
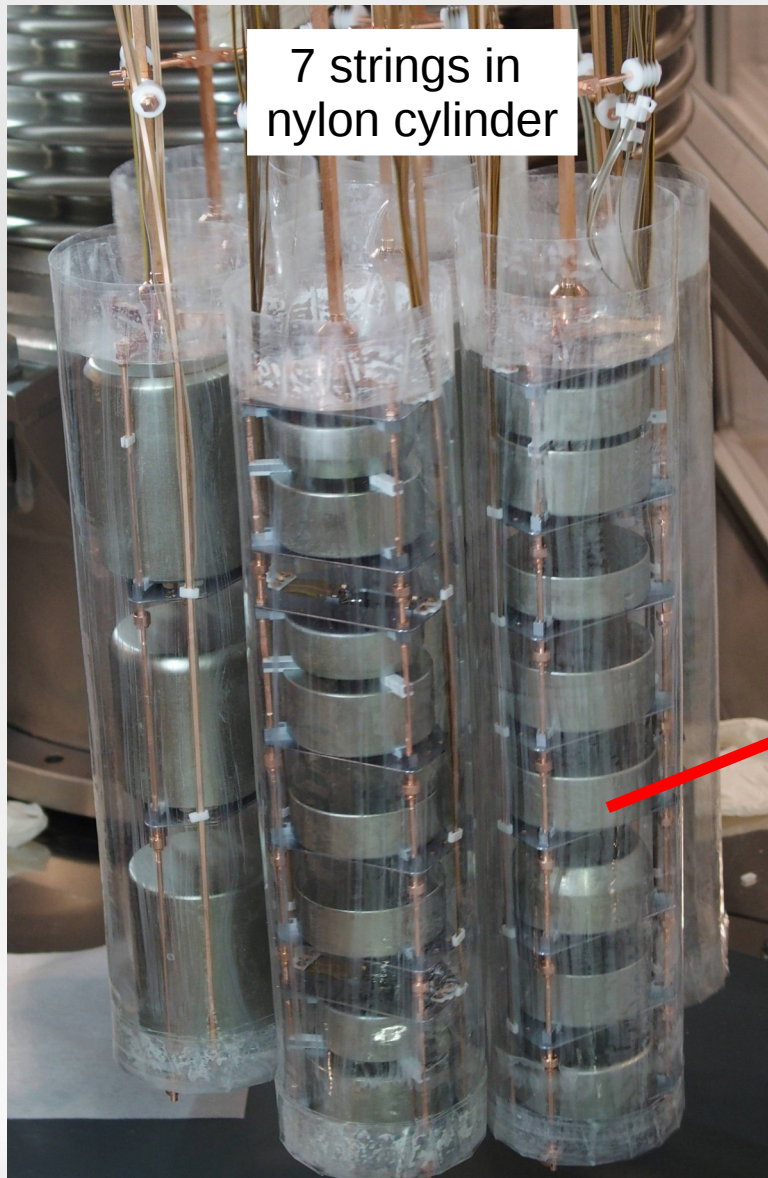


started end 2015

EPJ C73 (2013) 2330

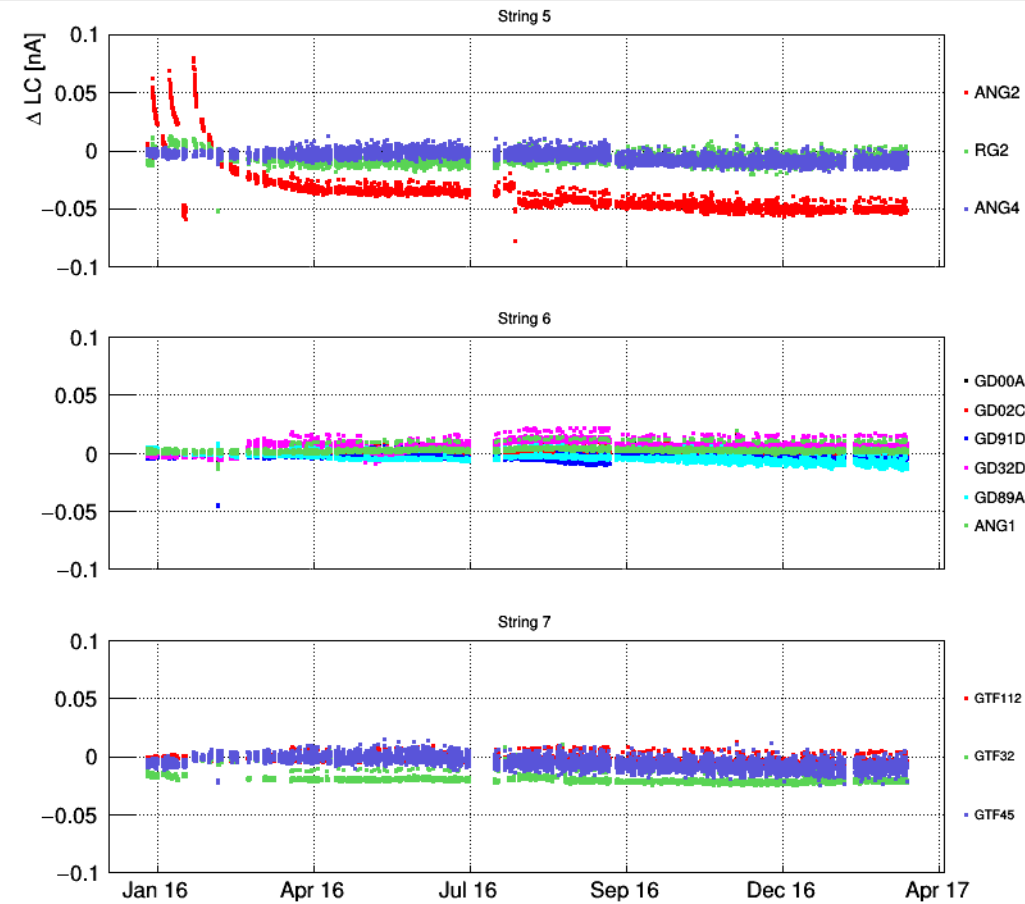
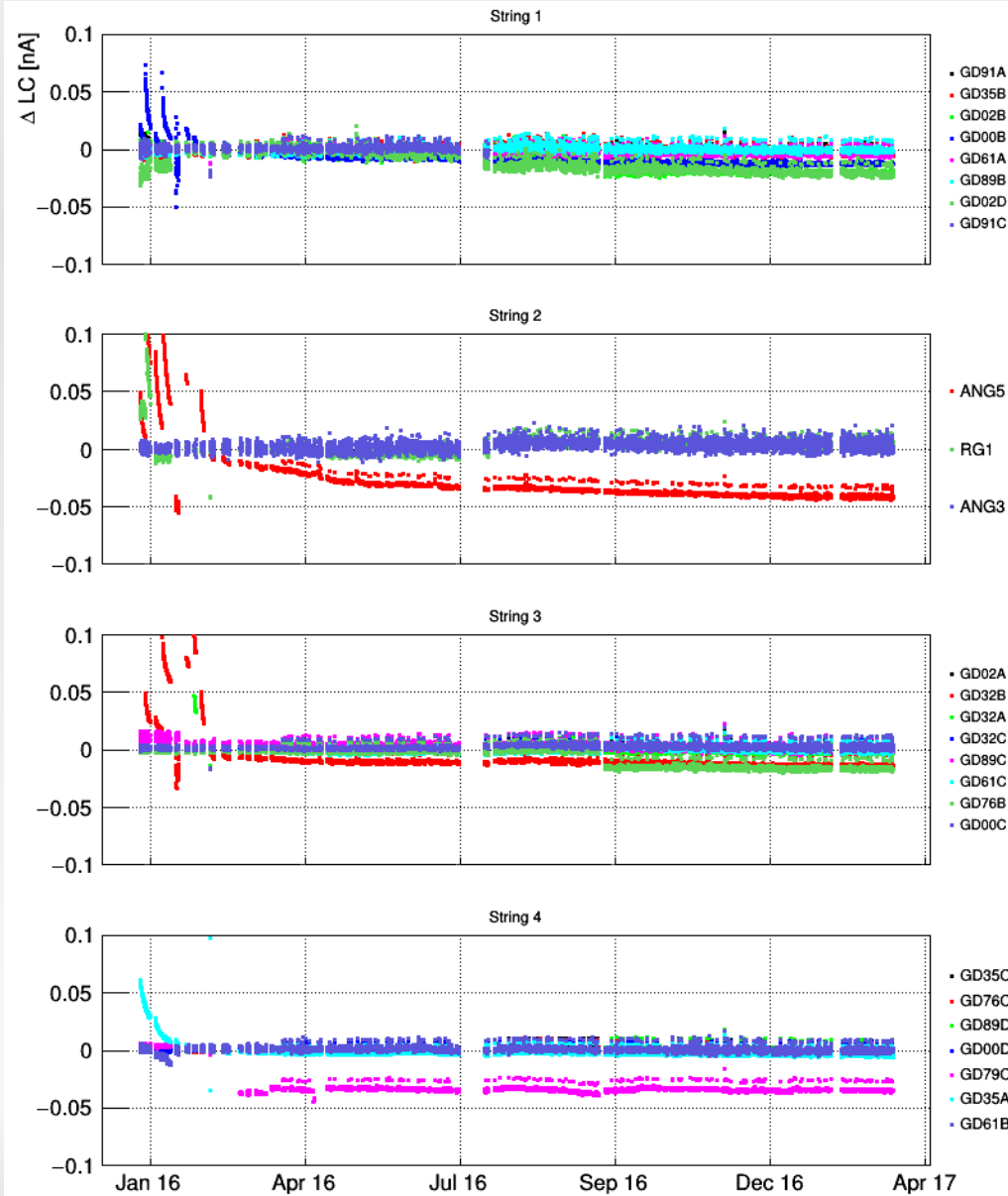


# Phase II start December 2015



2015: all Ge + LAr veto ch. 'working' !!!

# Stability Ge detector leakage current



initially: some detector higher current  
after calibration,  
very stable → operation in liquid Ar ok

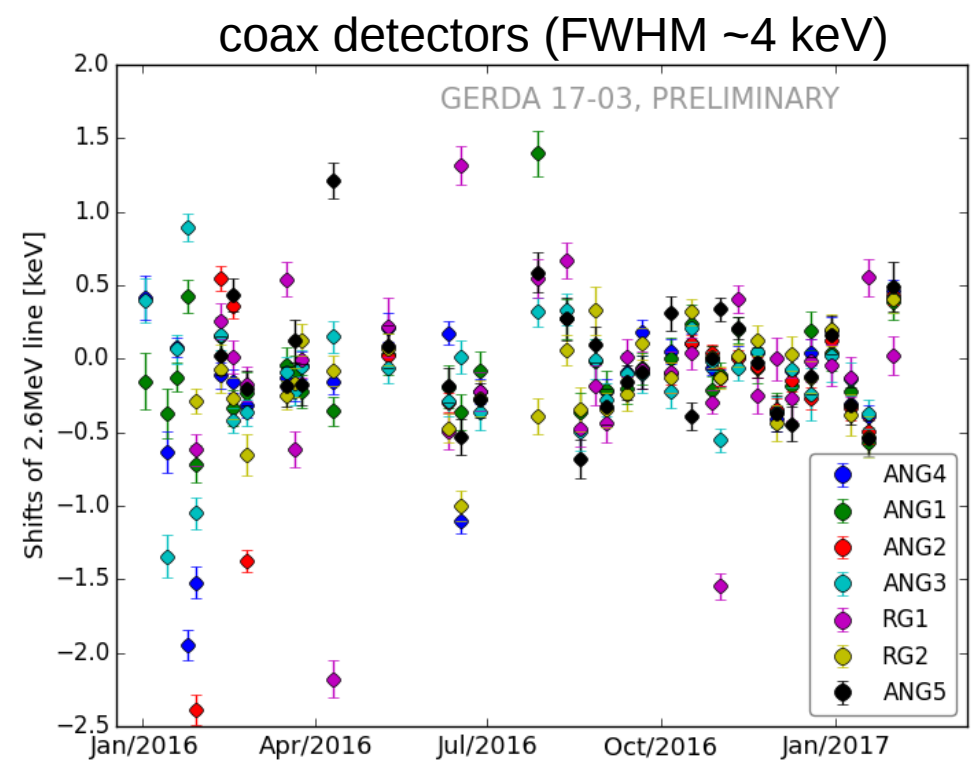
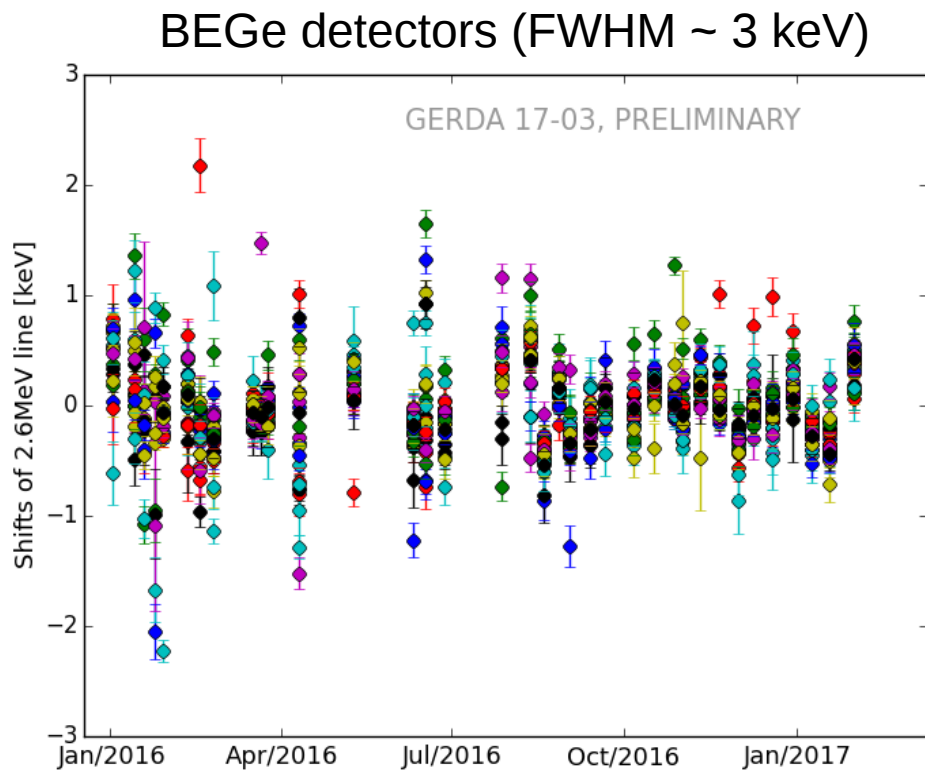
# Stability Ge detector energy scale

Method:  $^{228}\text{Th}$  calibration every  $\sim 10$  days,

use calibration constant of previous calibration to reconstruct 2615 keV  $\gamma$  peak position,

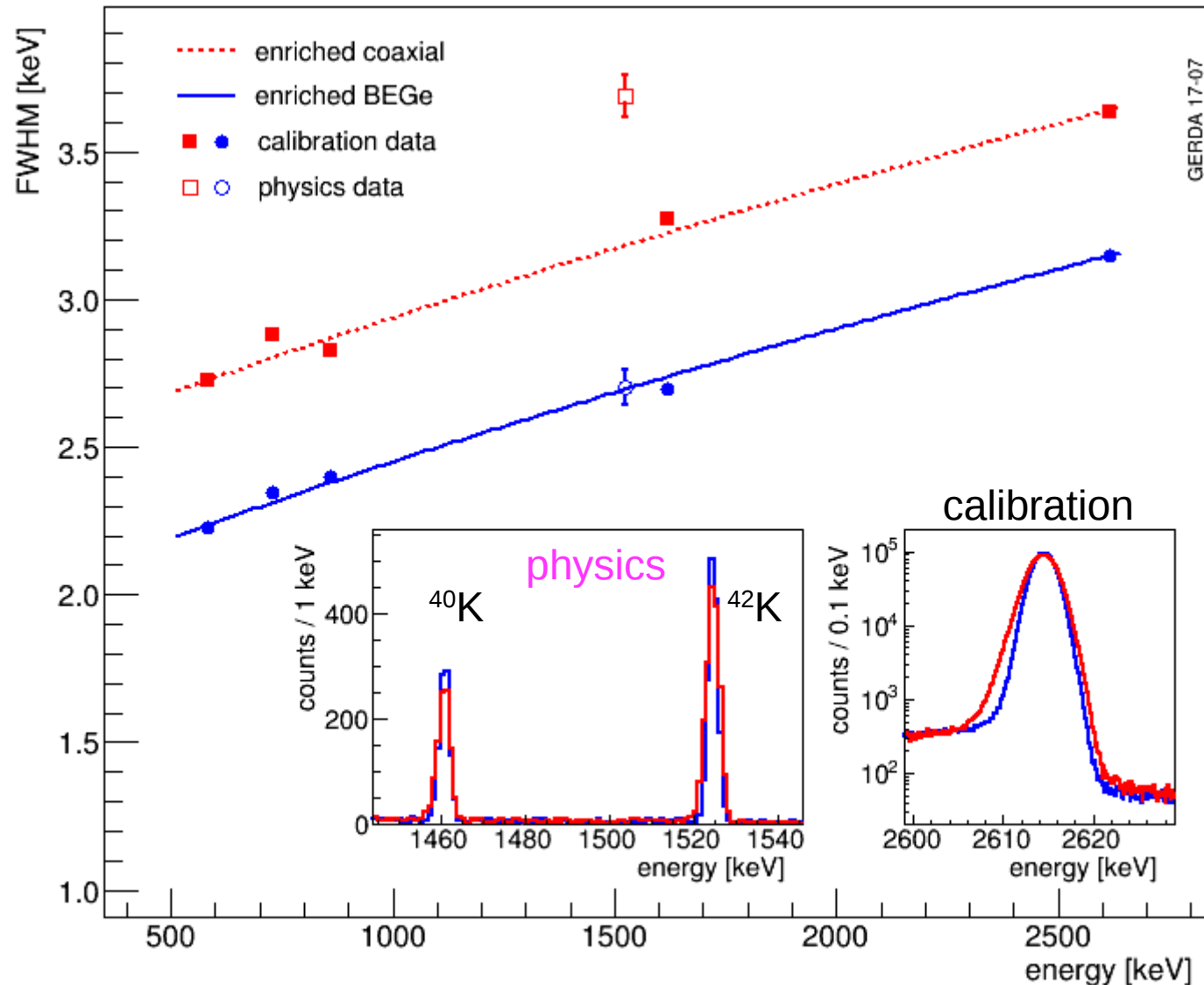
→ shift of peak relative to previous calibration for **every** detector,

also: inject **test charge** at input of electronics every 20 s → stability between calibrations



shifts typically  $< 1$  keV → ok for  $0\nu\beta\beta$  search to add entire period

# Energy resolution: calibration + physics



physics data: 2 strong  $\gamma$  lines from  $^{40}\text{K}$  and  $^{42}\text{K}$  decays

→ compare expected resolution with physics data

→ add correction to expected resolution at  $Q_{\beta\beta} = 2039$  keV for  $0\nu\beta\beta$  analysis (peak fit)

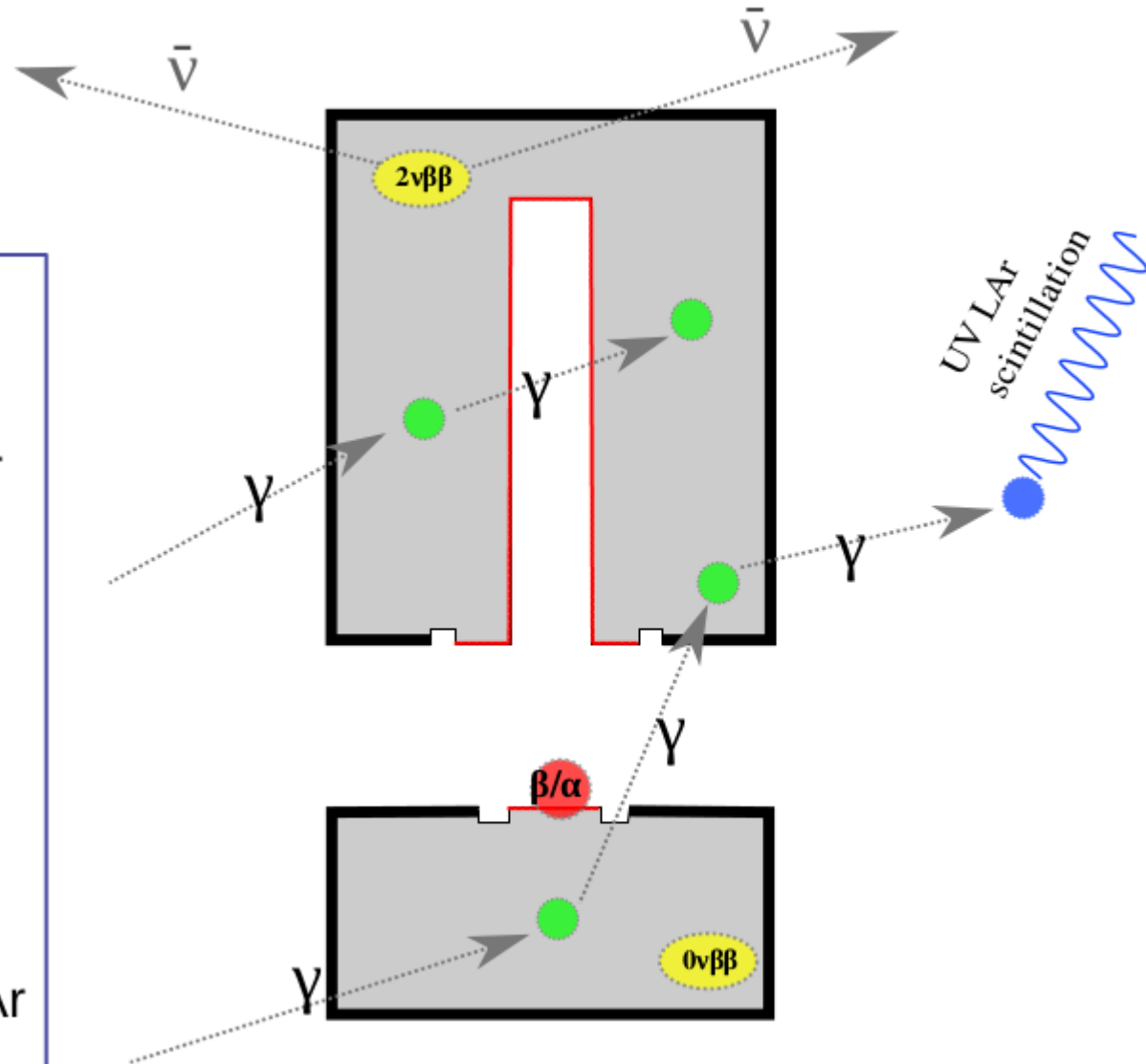
# Background reduction techniques

## $\beta\beta$ event

- local energy deposition (SSE) in single detector

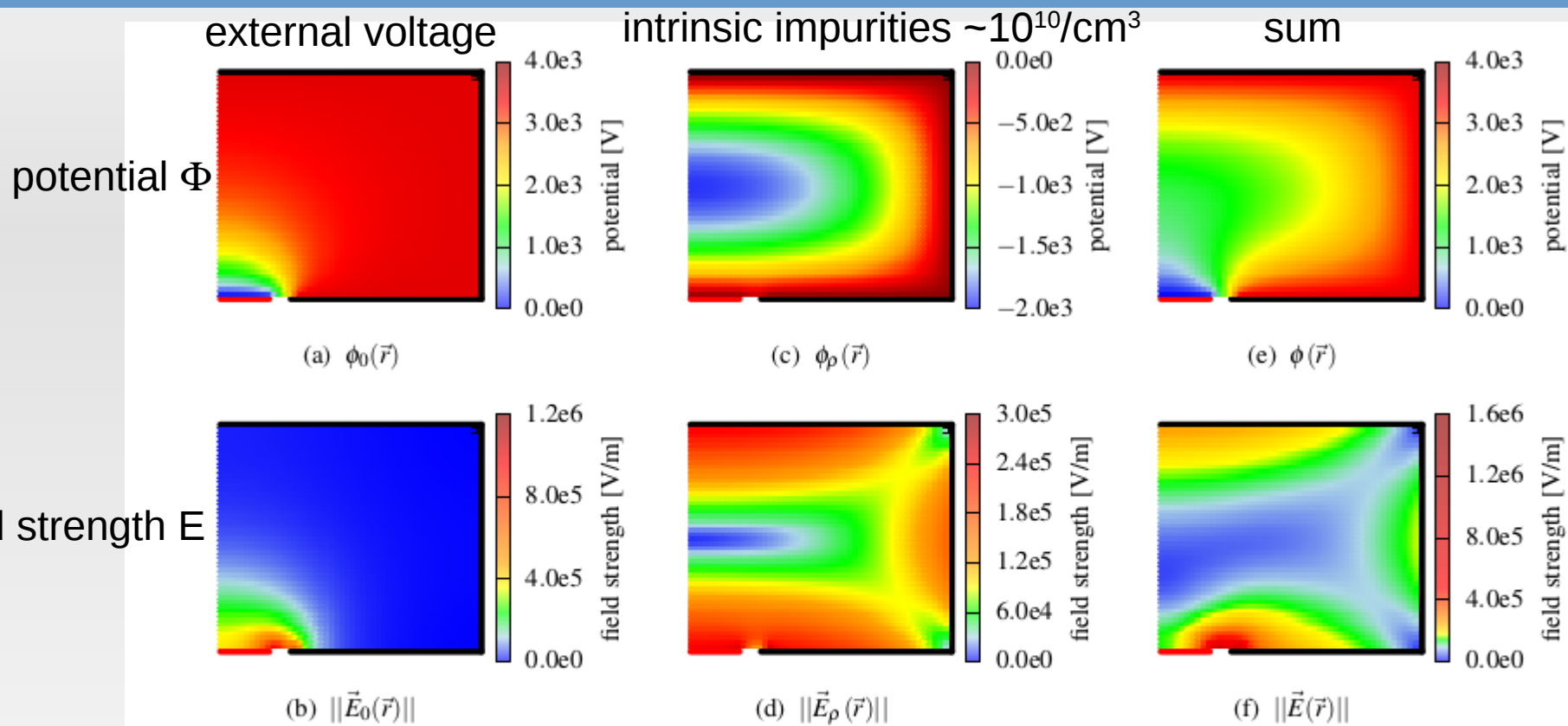
## background event

- energy deposition in multiple locations (MSE) in single detector or on detector surface ( $\alpha/\beta$ )  
→ **pulse shape discrimination**
- coincident energy deposition in more than one detector  
→ **detector anti-coincidence**
- additional energy deposition in LAr  
→ **LAr veto**



slide by Victoria Wagner

# Pulse shape analysis BEGe: E field

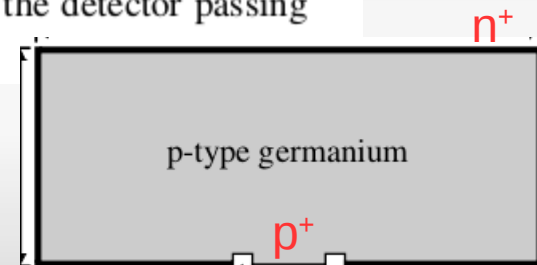


from JINST 6 (2011) P03005

**Figure 3.** Simulated electric potential and electric field strength for different configurations of a BEGe detector. In (a) and (b) the electrode potential is considered, in (c) and (d) the charge distribution, and in (e) and (f) the sum of the two contributions. The plots show half of a vertical section of the detector passing through the symmetry axis. The cathode is drawn in red and the anode in black.

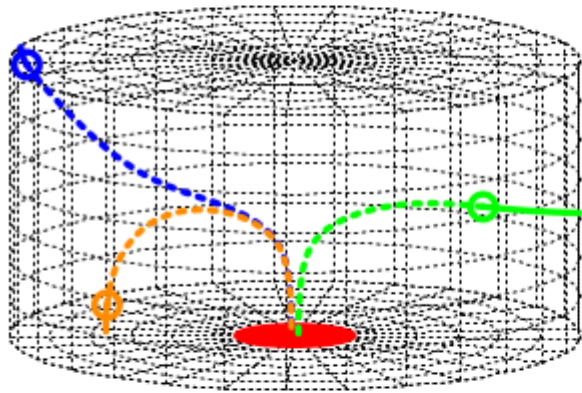
current signal =  $q \cdot v \cdot \nabla \Phi$   
 $q$  = charge,  $v$  = velocity  
 (Shockley-Ramo theorem)

depends on external potential (only)

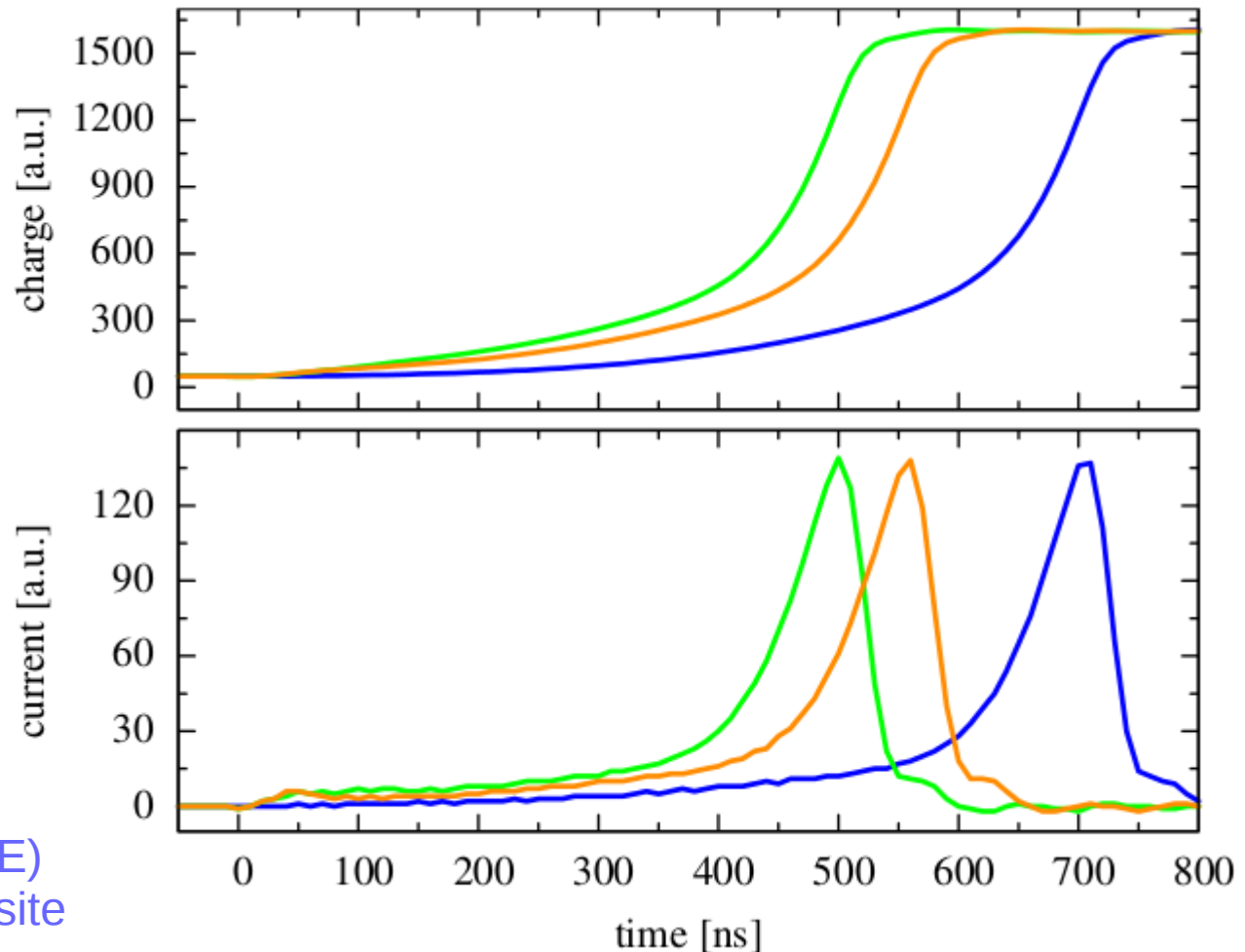


# Pulse shape analysis: charge drift

- anode
- cathode
- electrons
- - - holes
- ⊙ interaction point



→ maximum current / energy (=  $A/E$ )  
to discriminate multi-site vs single-site

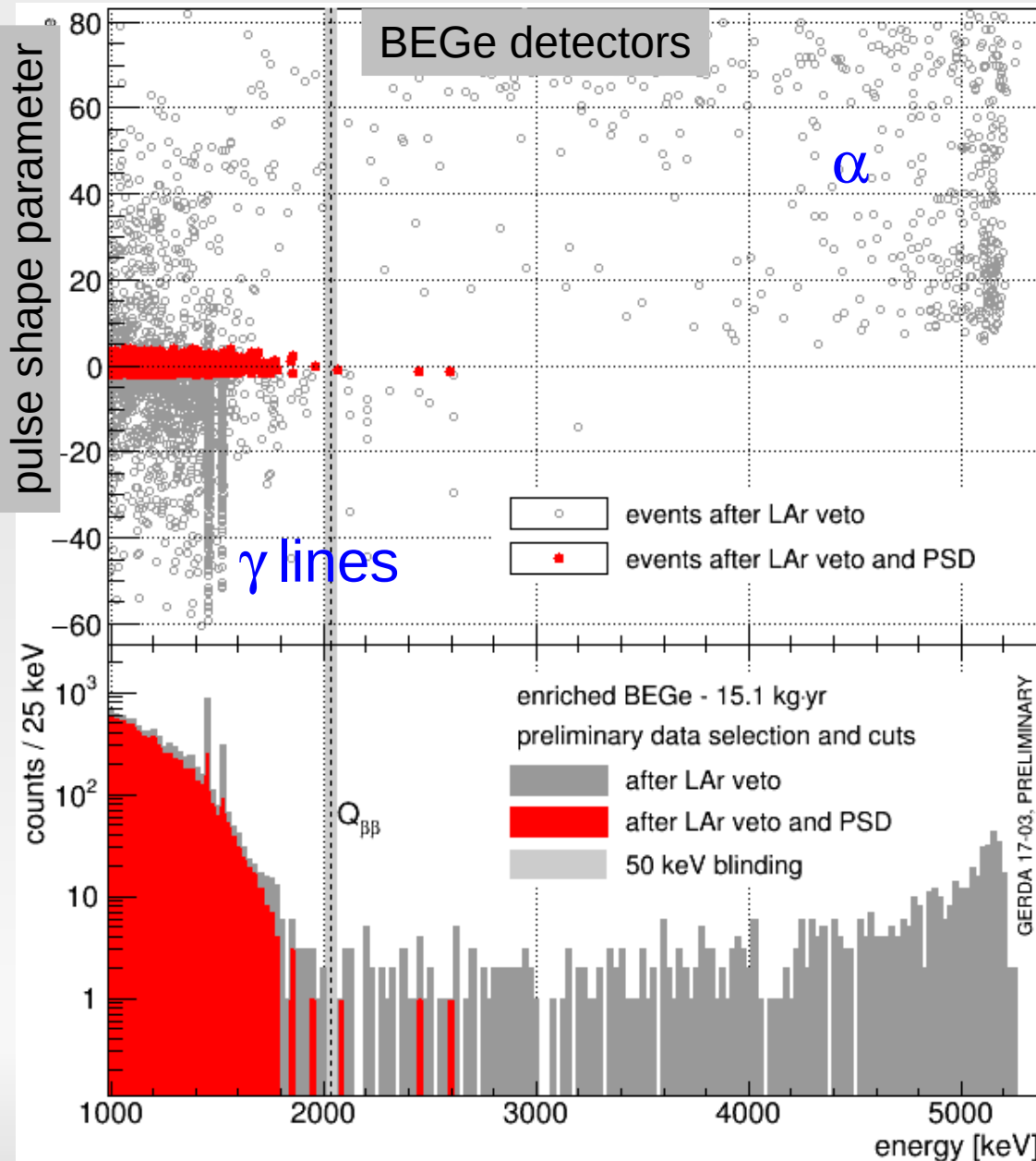


Note: also good for  $\alpha$  and  $\beta$  surface events!!!

p+: electron drift → larger drift  $v$  → larger  $A/E$

n+: p-n contact region → electric field small → diffusion → longer drift →  $A/E$  smaller

# Pulse shape analysis: BEGe



$0\nu\beta\beta$  proxies =  $2\nu\beta\beta$  &  
Double Escape Peak of 2615 keV  $\gamma$   
( $\gamma + A \rightarrow e^+ e^-$  with 2x511 keV escape)

all  $\alpha$  (surface) events removed  
 $\gamma$  lines suppressed by factor  $\sim 6$

$0\nu\beta\beta$  signal efficiency  $87 \pm 2$  %

$2\nu\beta\beta$  acceptance  $85_{-1}^{+2}$  %

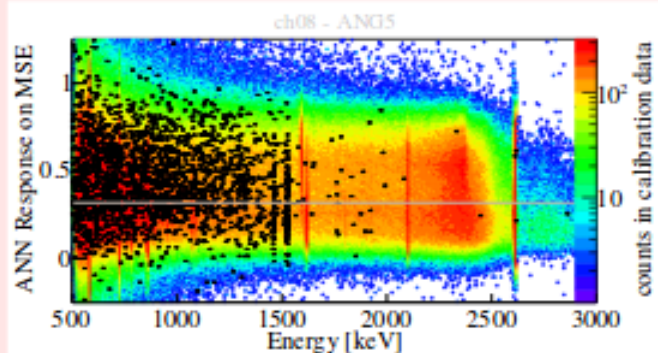
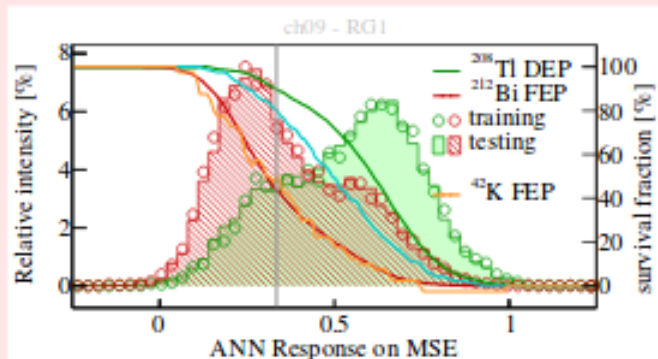


# Pulse shape analysis: coax

## Artificial Neural Network

- ROOT integrated TMVA toolkit (i.e. TMlpANN)
- 50 input variables: times where charge pulse is at 1%, 3%, ..., 99% of maximum height

### ANN on MSE



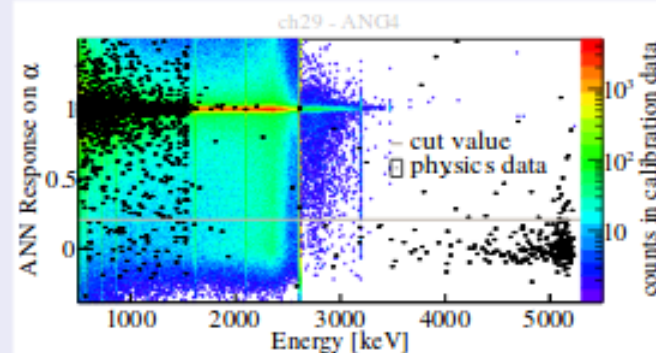
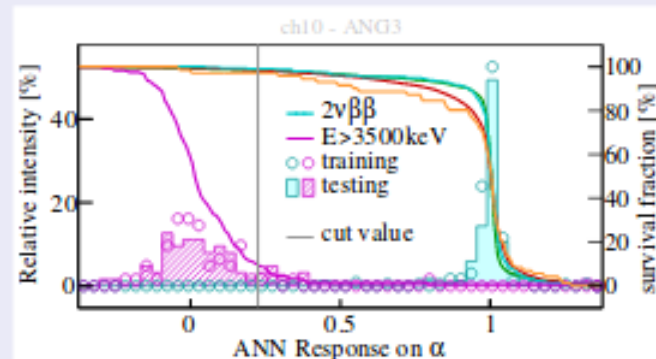
training / testing  
of supervised  
learning algorithm

← <sup>228</sup>Th calibration  
physics data →

adjust cut to  
survival fraction

← 90% @ DEP  
10% @ ≥3500 keV →

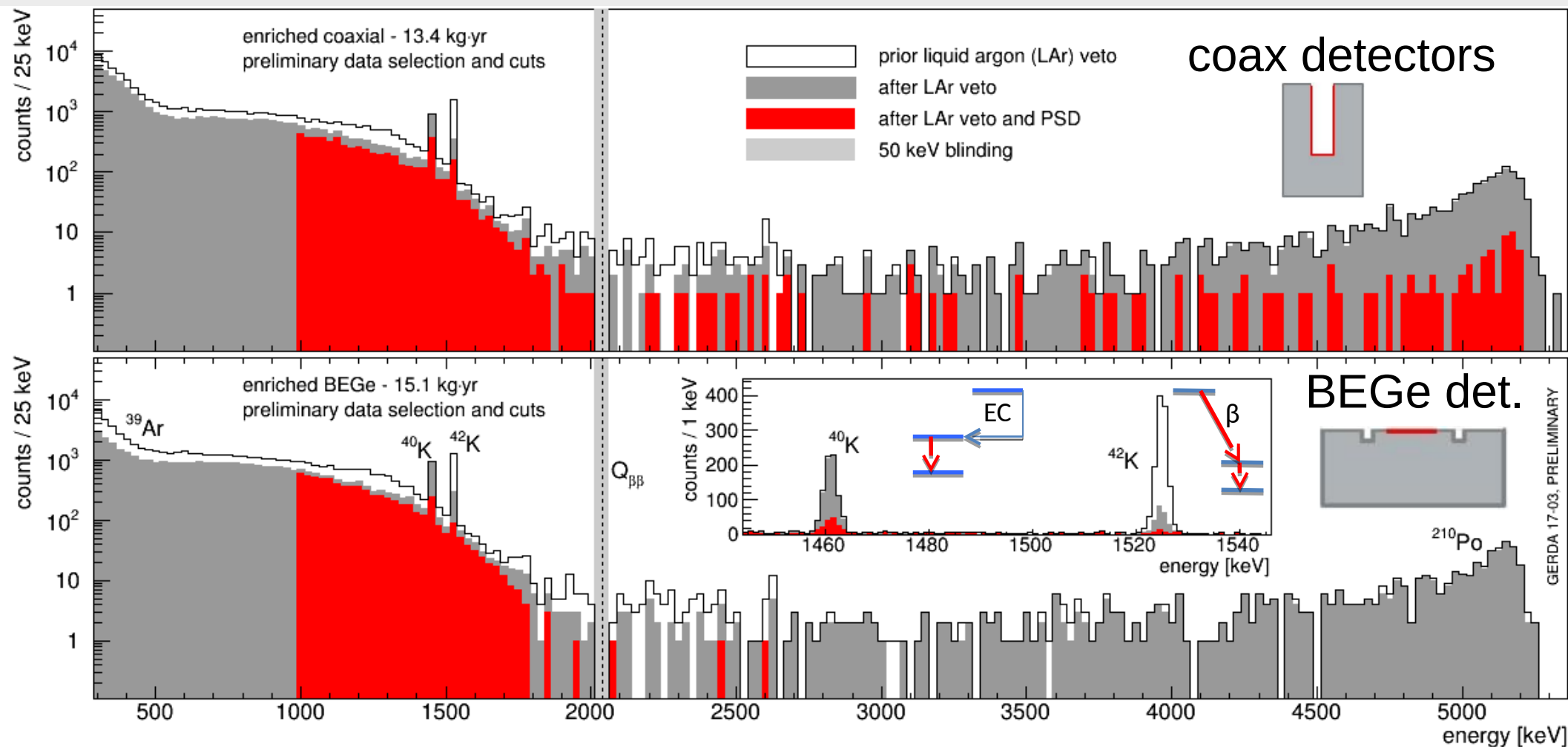
### ANN on α



- signal efficiency determined by MC simulation:  $\epsilon_{0\nu\beta\beta} = (79 \pm 5)\%$  slide by Andrea Kirsch

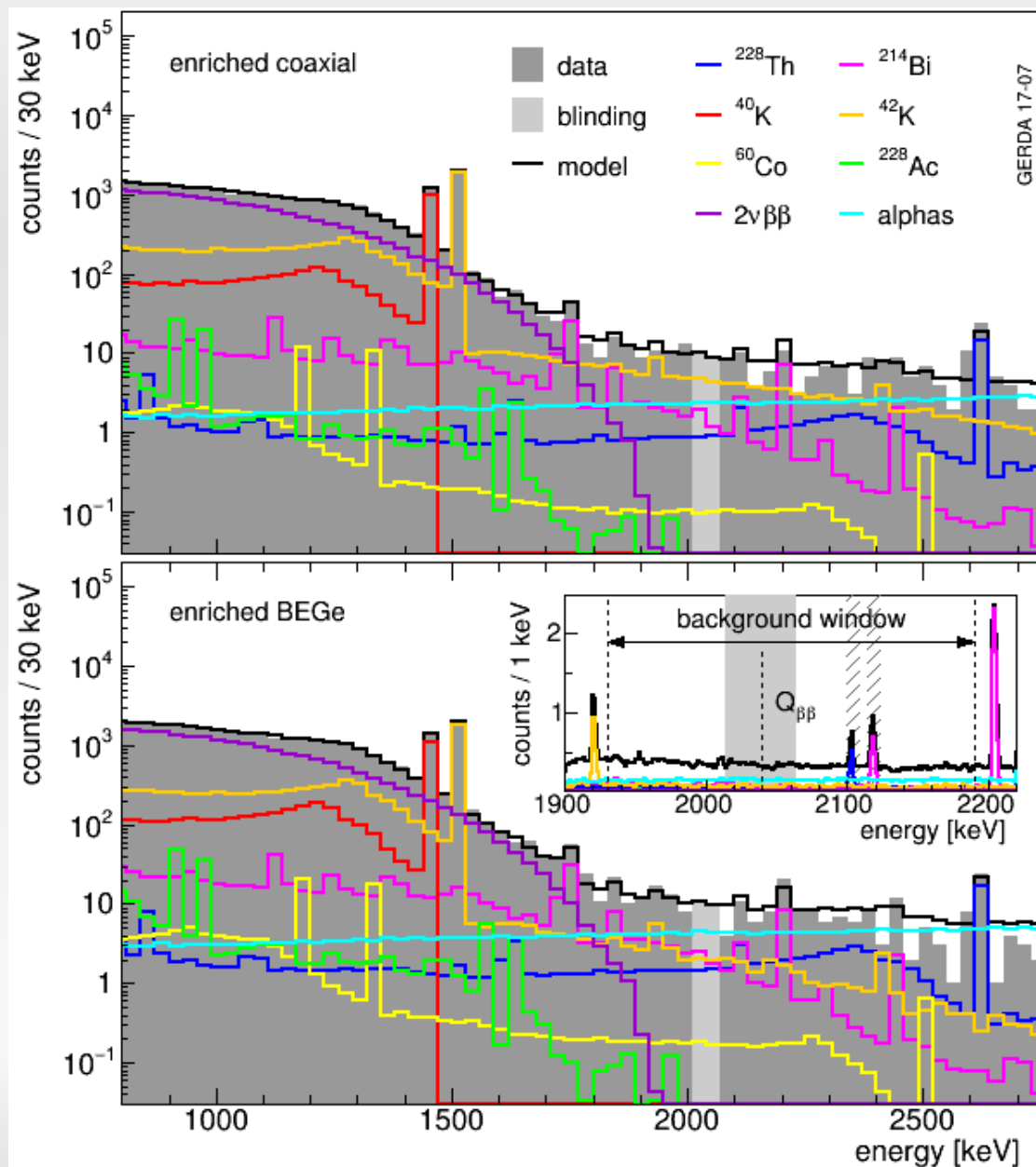
recognized recently: certain class of  $\alpha$  events not cut by ANN → recent data not unblinded

# Liquid Argon veto



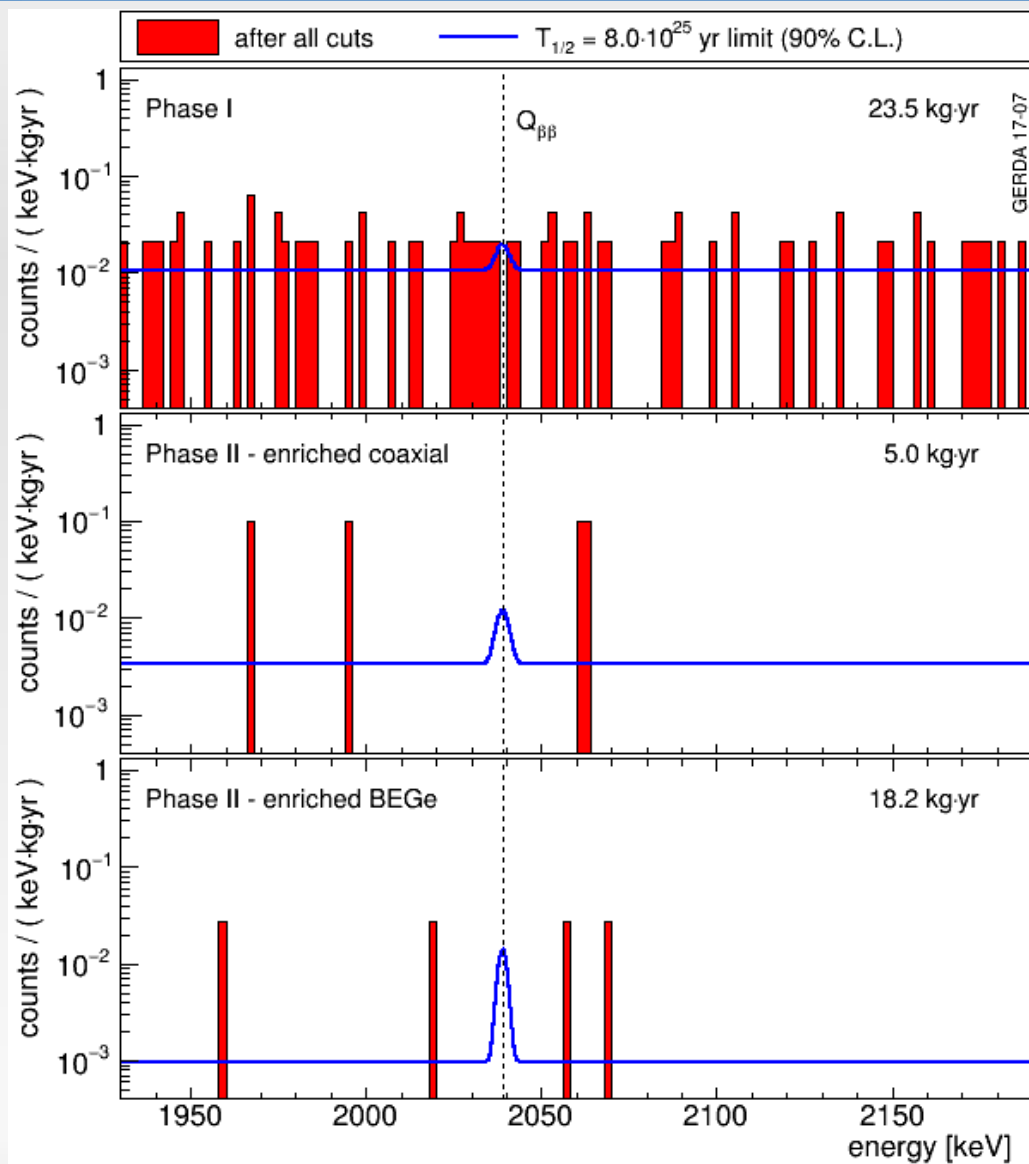
line at 1525 keV from  $^{42}\text{K}$ : deposits up to 2 MeV in LAr  $\rightarrow$  factor  $\sim 5$  suppression by LAr veto  
 600-1300 keV:  $\sim 97\%$  of events are  $2\nu\beta\beta$  after LAr veto  
 at  $Q_{\beta\beta}$  bkg reduced by factor  $\sim 20$  (8) for BEGe (coaxial) detectors by LAr veto & PSD

# Background model



Spectra **before** pulse shape & LAr veto  
 fit to known background sources  
 at  $Q_{\beta\beta}$ :  $\sim 1/3$   $^{42}\text{K}$ ,  $1/3$   $\alpha$ ,  $1/3$   $\gamma$  from Tl/Bi

# Results for $0\nu\beta\beta$ search (limits)



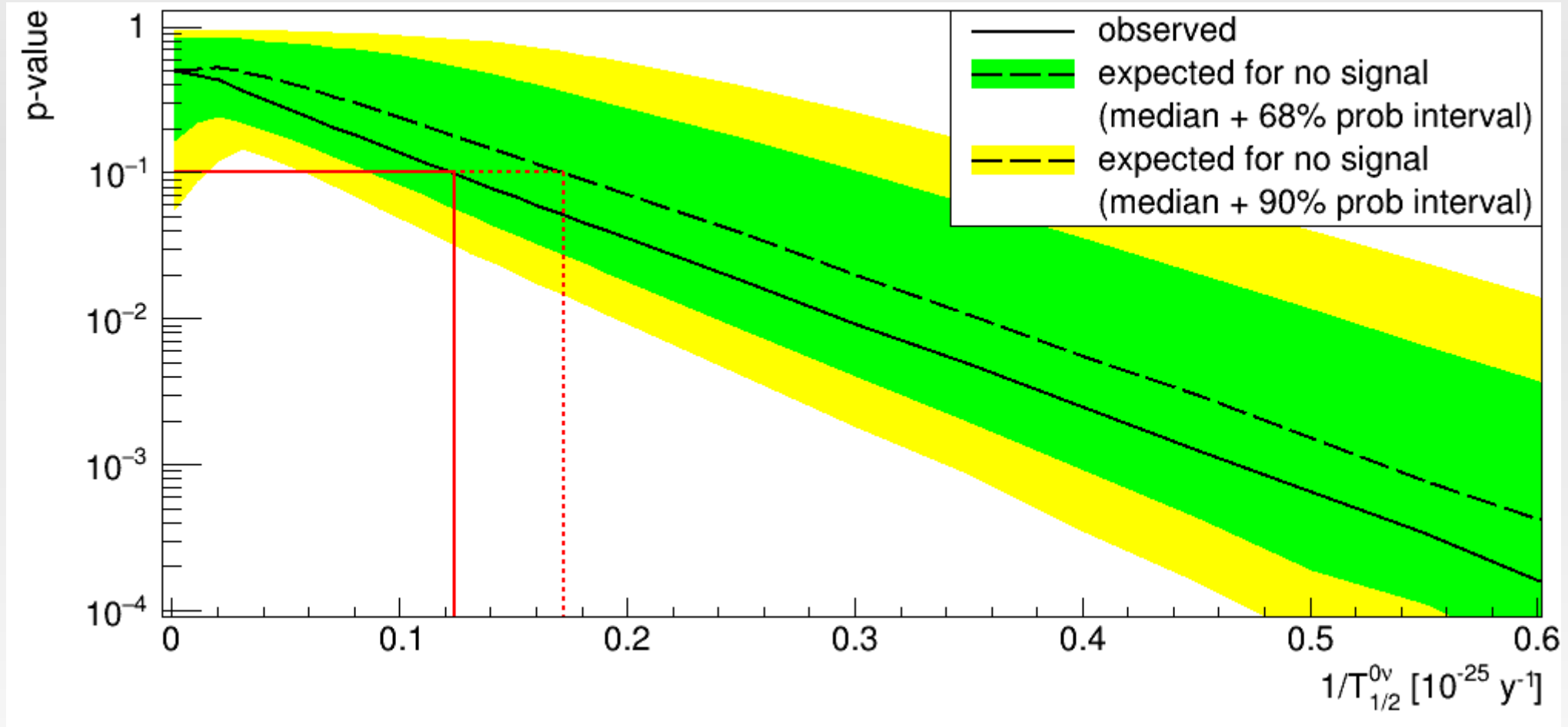
## history of limits in $10^{25}$ yr

	PRL111 122503(2015)	Nature 554 47(2017)	TAUP2017 conference
	PI:6/2013	PII:6/2016	PII:7/2017
Frequentist limit	2.1	5.3	8.0
Frequentist sensitivity	2.4	4.0	5.8
Bayesian limit	1.9	3.5	5.1
Bayesian sensitivity	2.0	3.1	4.5

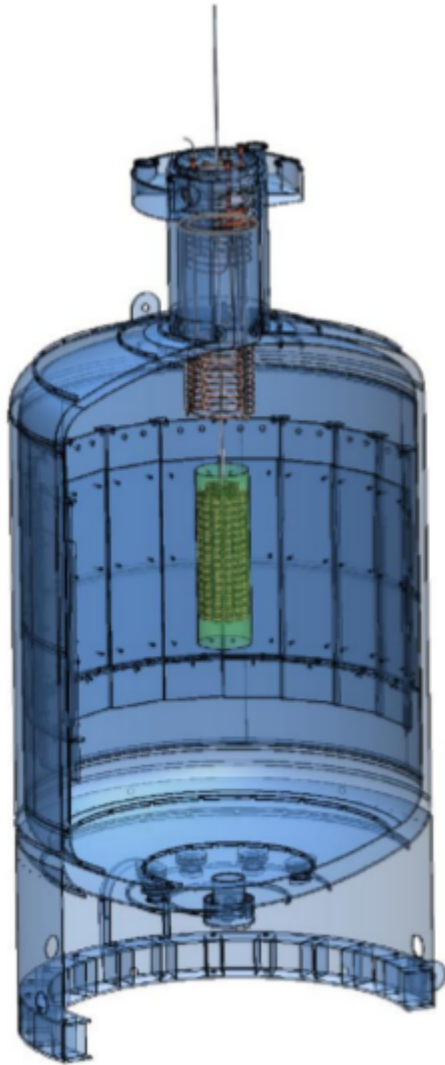
bkg BEGe Phase II:  $1.0^{+0.6}_{-0.4} \cdot 10^{-3}$  cts/(keV kg yr)  
for coax 2-3 higher due to poorer PSD rej.

for 100 kg yr exposure:  
 sensitivity  $> 10^{26}$  yr  
 expected bkg in FWHM  $< 1 \rightarrow$  'background-free'

# Result: p-value distribution for Frequentist

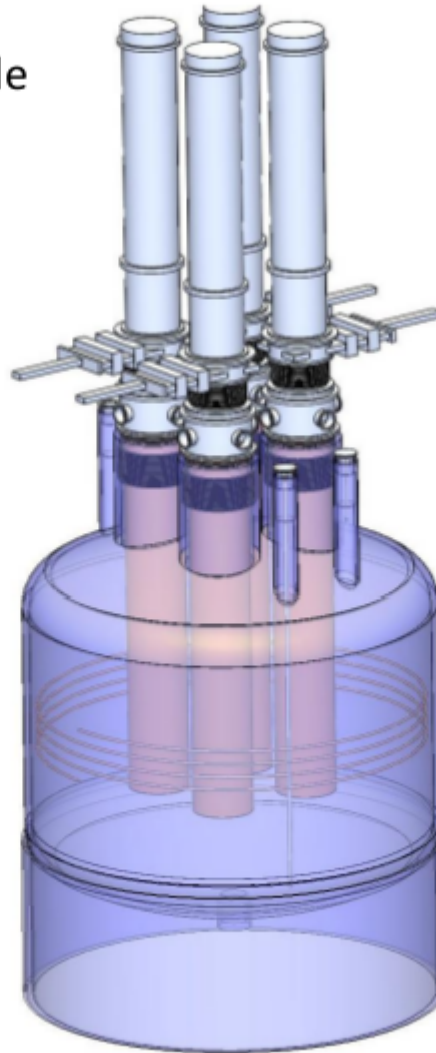


# LEGEND: Large Enriched Ge Exp. for Neutrinoless $\beta\beta$ Decay



## First stage:

- ✓ (up to) 200 kg in upgrade of existing GERDA infrastructure at LNGS
- ✓ bkg reduction by factor 3-5 w.r.t GERDA
- ✓ Sensitivity  $10^{27}$  yr



## Subsequent stages:

- ✓ 1000 kg (staged)
- ✓ timeline connected to DOE down select process
- ✓ bkg factor 30 w.r.t GERDA
- ✓ Location tbd
- ✓ Sensitivity  $10^{28}$  yr

slide by Konstantin Gusev

founded October 2016, currently securing funding for LEGEND-200

# Inverted-coax detectors

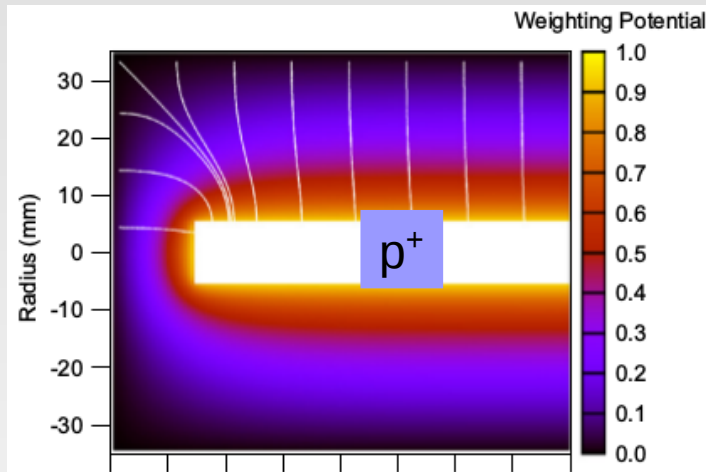
A novel HPGe detector for gamma-ray tracking and imaging

R.J. Cooper <sup>a,\*</sup>, D.C. Radford <sup>b</sup>, P.A. Hausladen <sup>c</sup>, K. Lagergren <sup>a</sup>

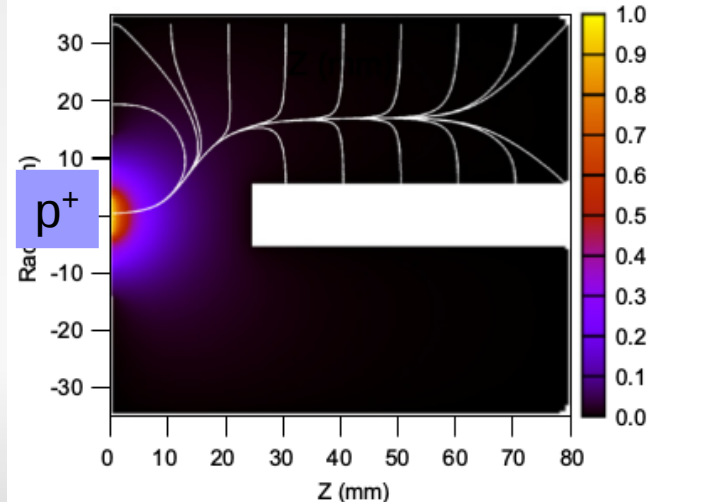
NIMA 665 (2011) 25

Motivation: 700 g / BEGe  
→ 2-3 kg / inverted-coax  
→ fewer cable / holder  
→ lower background

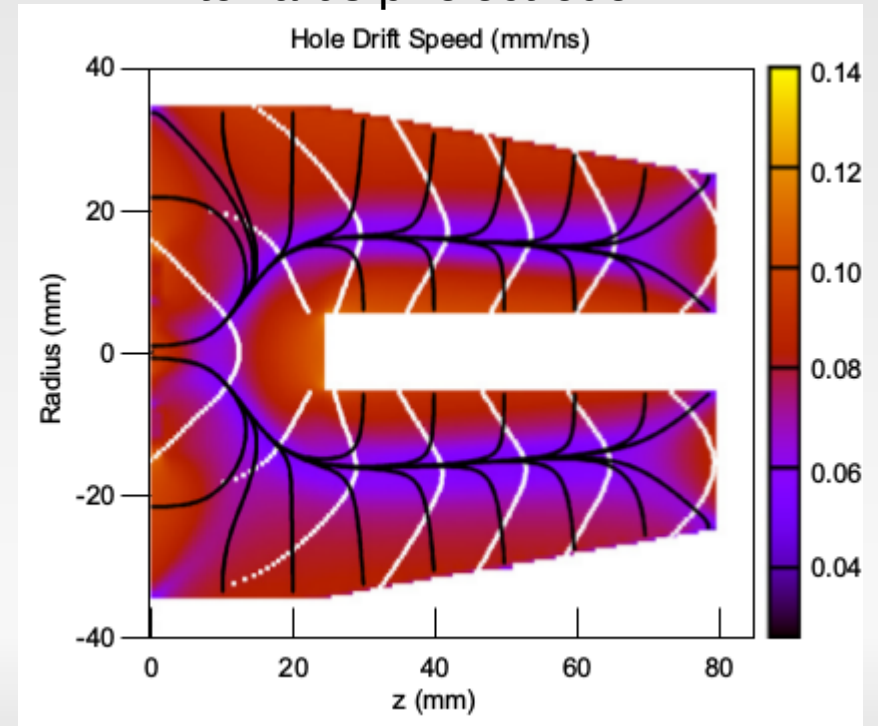
normal  
coax



inverted  
coax

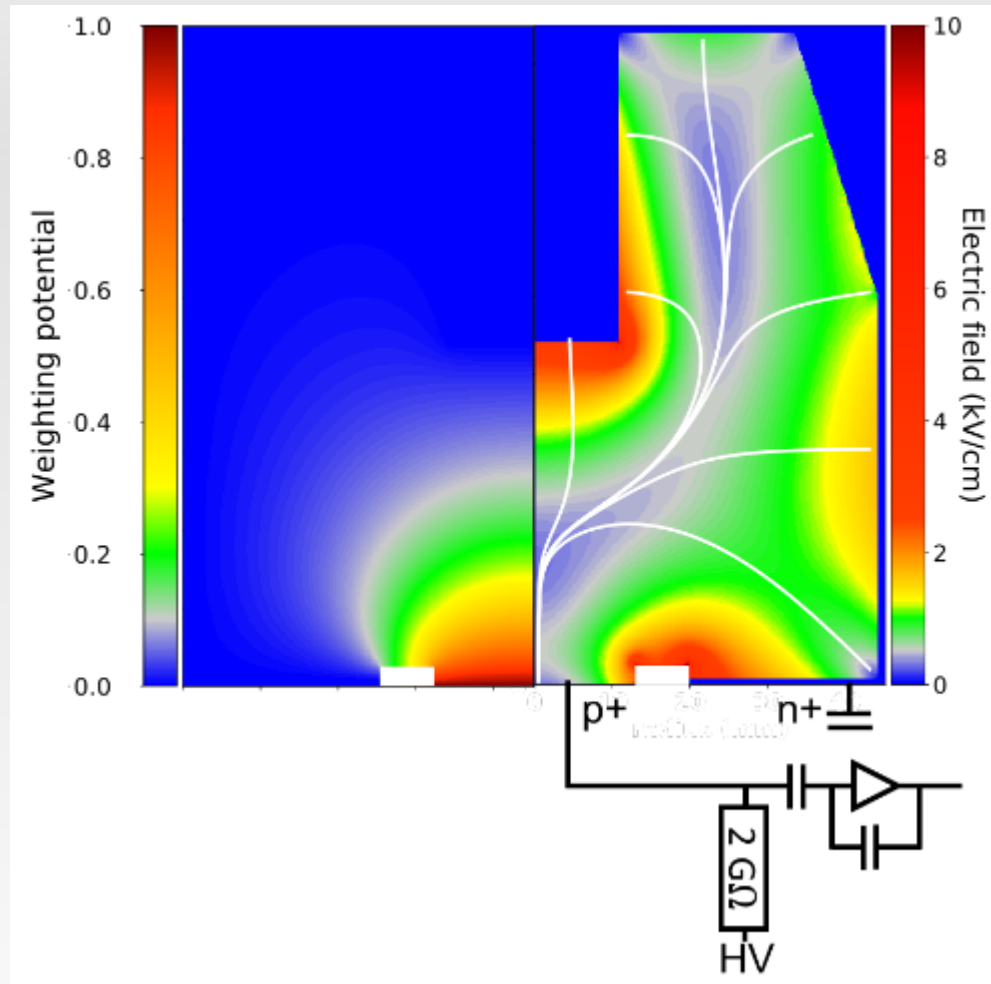


hole drift paths + velocity  
towards  $p^+$  electrode



# Inverted-coax detectors available

Simulation 'HADES' detector



## “Dresden”:

- R = 3.6 cm
- H = 6.5 cm
- m = 1.4 kg

## “HADES”:

- R = 4.5 cm
- H = 8.5 cm
- m = 2.7 kg
- HV = 4000 V

## “MPIK”:

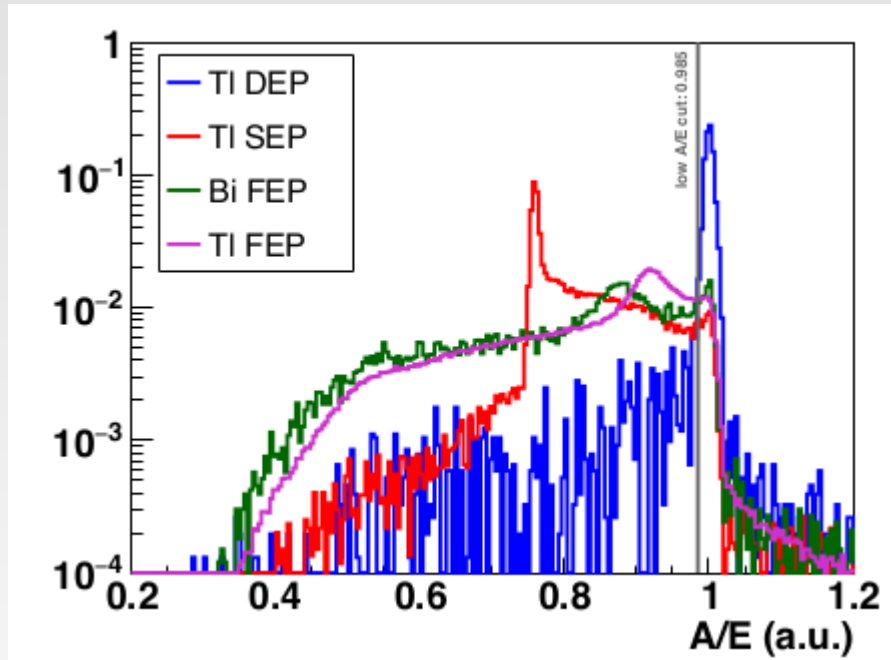
- R = 4.5 cm
- H = 9.0 cm
- m = 2.9 kg
- HV = 4500 V

commercial product by Canberra ‘SAGe well’  
large diameter hole for screening small samples



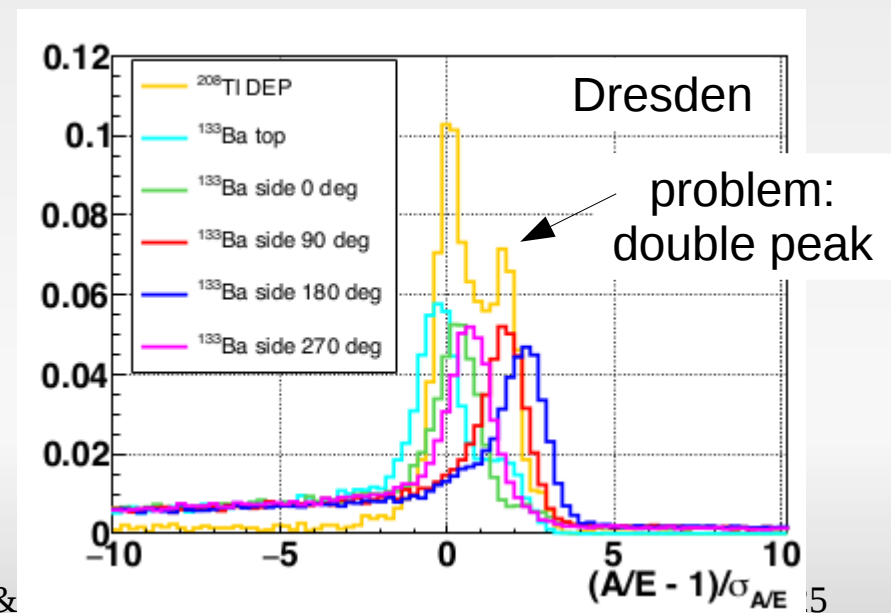
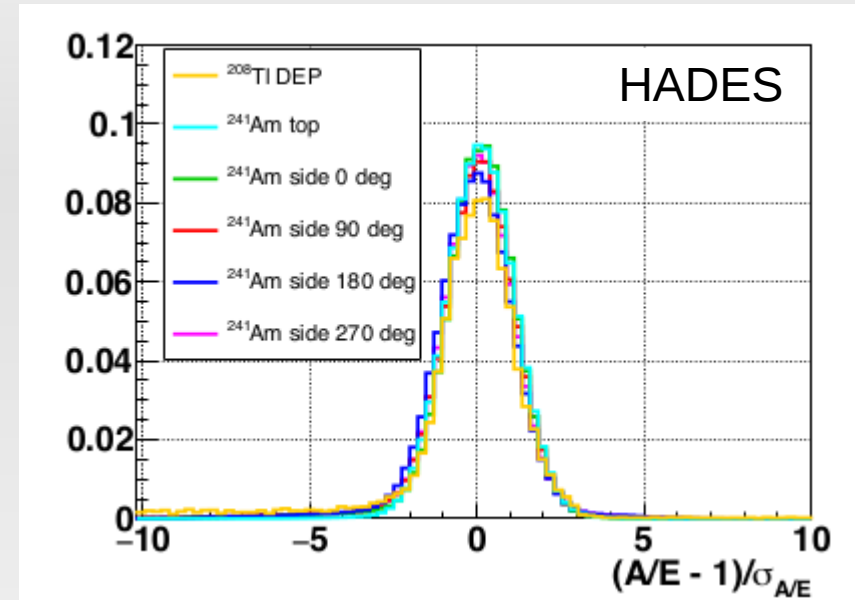
# Inverted-coax A/E distribution

A/E distribution for several event classes from  $^{228}\text{Th}$  calibration source measurements  
HADES detector



DEP = double escape peak = signal like  
SEP = single escape peak = multi-site  
Bi FEP = full energy  $\gamma$  at 1621 keV  
TI FEP = 2615 keV  $\gamma$

surface scan with 59 keV  $\gamma$  from  $^{241}\text{Am}$



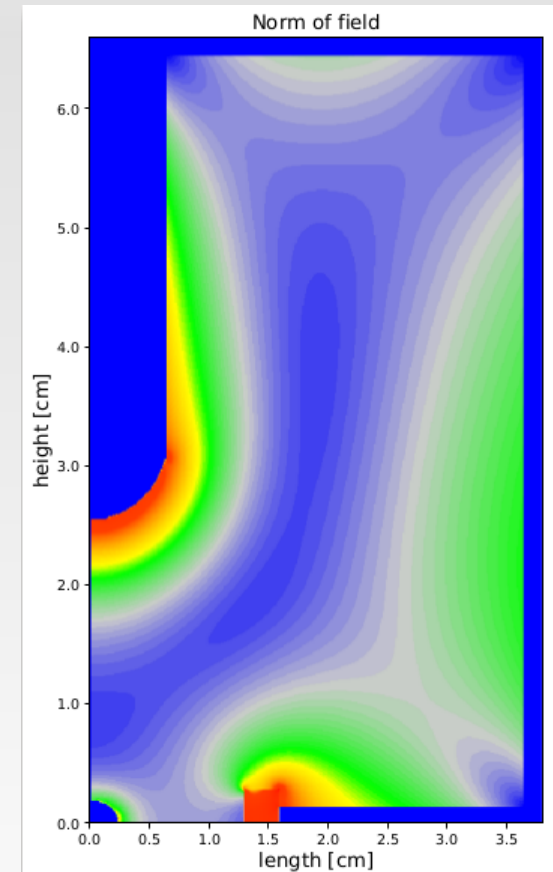
# Results from 3 inverted-coax det

Resolution of pulse shape variable  $A/E$  and survival fractions for 3 inverted-coax and typical BEGe values

%	HADES	Dresden	MPIK	BEGe
$\text{FWHM}_{A/E}$	1.4	2.3	0.7	<1
DEP	89.8	90.2	90.0	90.0
SEP	6.2	5.5	5.4	~6
FEP Bi	9.6	8.4	9.2	~10
FEP TI	8.6	8.0	10.3	~8

pulse shape discrimination similar to BEGe

we ordered prototypes from ORTEC & Baltic Scient Instr.



US groups ordered large detectors from different vendors

# Summary

strong prejudice:  $0\nu\beta\beta$  exists,  $\Delta L=2$  process, possibly our only observable  $\Delta L$ ,  
leptogenesis: matter-antimatter asymmetry linked to  $\Delta L$  and  $0\nu\beta\beta$

$T_{1/2}$  unknown (no real guidance from theory), discovery can be 'around the corner',

discovery would have a major impact on SM and cosmology

GERDA: 'background-free', newest limit  $T_{1/2}^{0\nu} > 8.0 \cdot 10^{25}$  yr (90% C.L.)

GERDA and Majorana Demonstrator will soon have half-life sensitivity  $> 10^{26}$  yr

Future = LEGEND

- first phase up to 200 kg at LNGS in existing GERDA infrastructure
- 1000 kg phase if successful at DOE down-select process
- new detector type with larger mass offers good PSD with lower background