

# Approximation of high-resolution surface wind speed in the North Atlantic using discriminative and generative neural models based on RAS-NAAD 40-year hindcast

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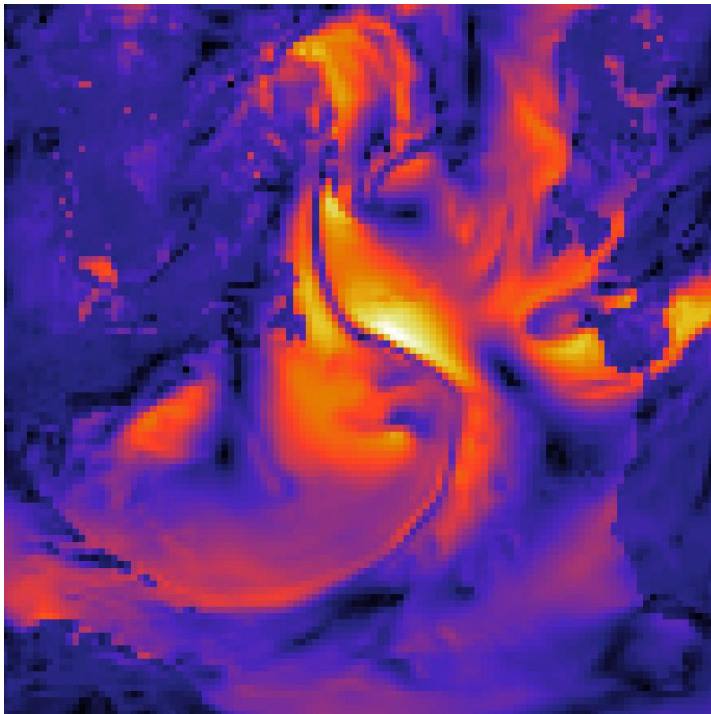
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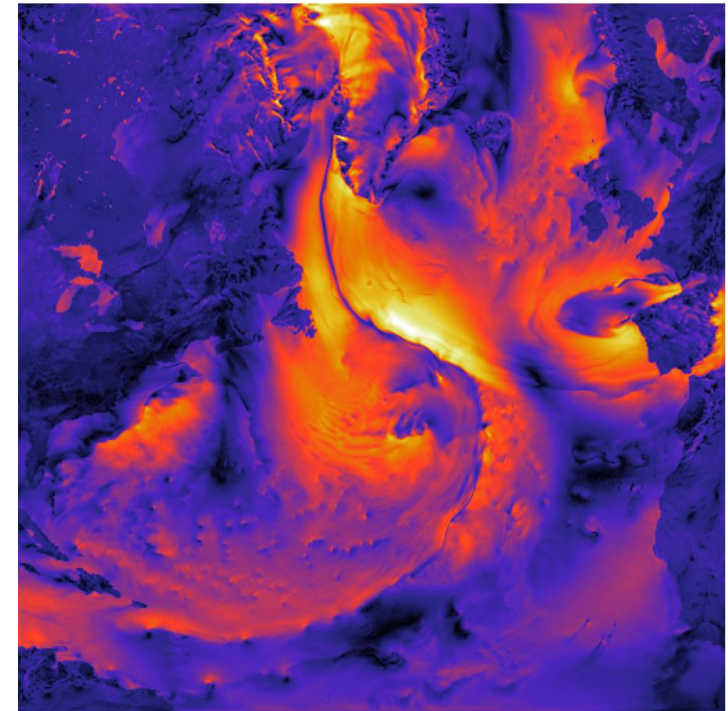
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Session 3: Machine Learning in Natural Sciences

# Problem review

- **Global warming** increases temperature and changes local precipitation and wind patterns.
- Global circulation models (**GCMs**) – low resolution.
- Numerical weather prediction (NWP) on a **global scale** in GCMs – computationally expensive.
- Solution is **downscaling**.
- Downscaling approximates values obtaining **high-resolution information** about physical variables **from low-resolution modeling outputs**.



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# Downscaling methods

## 1) Dynamical downscaling:

- Low-resolution numerical modeling – entire area of interest;
- Low-resolution outputs – **boundary conditions** for high-resolution modeling;
- High-resolution modeling – **in particular subareas** of the modeling area.

## 2) Statistical downscaling:

- **No** numerical modeling;
- **Functional relationship** between low- and high-resolution data is approximated by **training a statistical model** on dataset pairs;
- **Computational efficiency** – **functional relationship** is applied directly to low-resolution data;
- The downscaling **quality can be lower** than that obtained in dynamic downscaling;
- **Widely used** because of lower computational costs;
- Allows using **non-linear machine-learning methods (e.g., artificial neural networks, ANN)**.

# Research purpose

High-resolution downscaling of surface wind speed in the North Atlantic region comparing discriminative and generative **neural networks** based on RAS-NAAD\* 40-year hindcast

## Materials

RAS-NAAD – retrospective NAAD dynamic model  
(by Shirshov Institute of Oceanology, Russian Academy of Sciences)

January 1979 – December 2018:

Low resolution – RAS-NAAD LoRes (110 x 110 grid, resolution  $\approx$  **77 km**)

High resolution – RAS-NAAD HiRes (550 x 550 grid, resolution  $\approx$  **15 km**)

Time resolution – 3 hours

Variables –  $U$  and  $V$  components of 10m wind speed;  
sea-level atmospheric pressure,  $SLP$

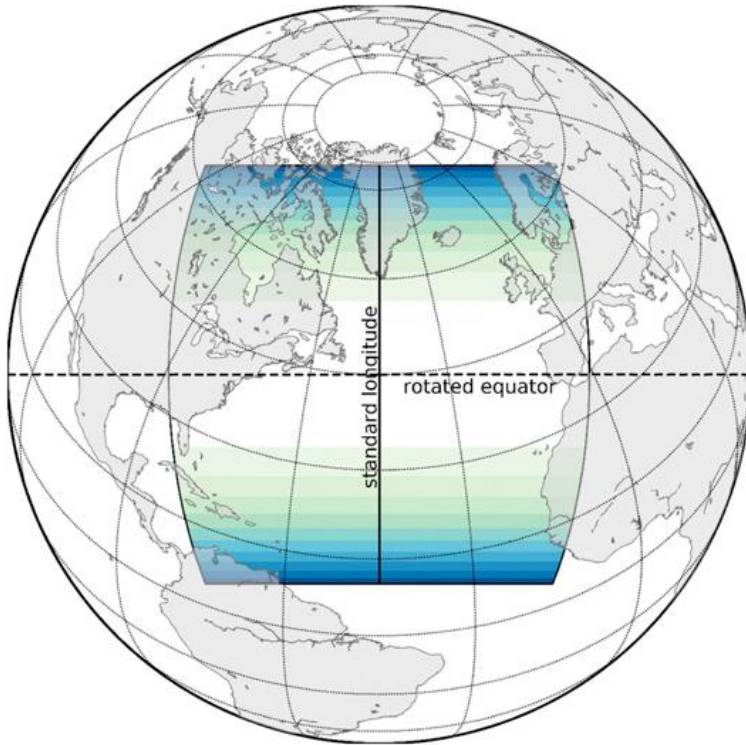


Fig. The modeling area from 10° N to 80°N and from 90°W to 5°E

\* Gavrikov A. et al. RASNAAD: 40-yr High-Resolution North Atlantic Atmospheric Hindcast for Multipurpose Applications (New Dataset for the Regional Mesoscale Studies in the Atmosphere and the Ocean) //Journal of Applied Meteorology and Climatology. – 2020. –V. 59. – №. 5. – P. 793-817.

# Quality metrics

- Root-mean-square error (RMSE)

$$RMSE = \sqrt{\frac{1}{550 * 550} \sum_{i=1}^{550} \sum_{j=1}^{550} \left( \sqrt{(U_{i,j}^*)^2 + (V_{i,j}^*)^2} - \sqrt{(U_{i,j})^2 + (V_{i,j})^2} \right)^2}$$

$U_{i,j}$  и  $V_{i,j}$  – HiRes wind speed components,  $U_{i,j}^*$  и  $V_{i,j}^*$  – downscaled wind on 550 x 550 grid

- Root-mean-square error of extreme wind (RMSE-95):

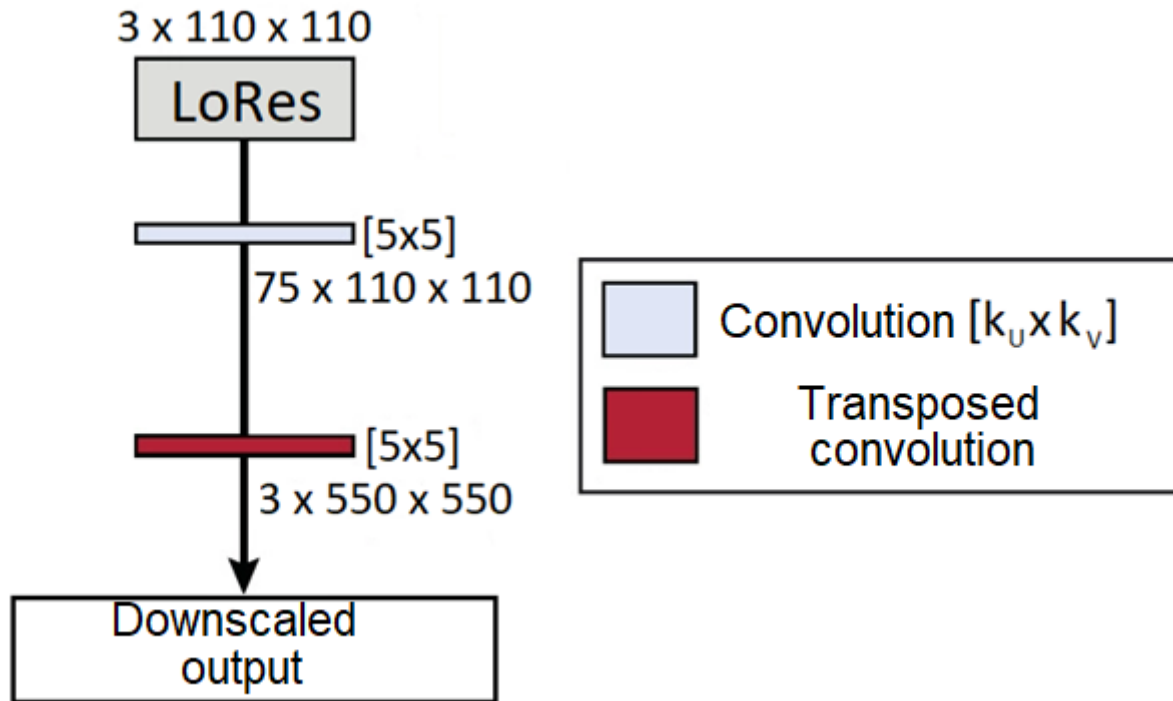
RMSE for grid nodes where wind is higher than its 95<sup>th</sup> percentile

- Peak signal-to-noise ratio (PSNR)

$$PSNR = 10 \lg \left( \frac{MAX^2}{MSE} \right)$$

MAX – maximum normalized value between 3 downscaled variables on 550 x 550 grid,  
MSE – mean-square error of 3 normalized downscaled variables

# Linear CNN\*



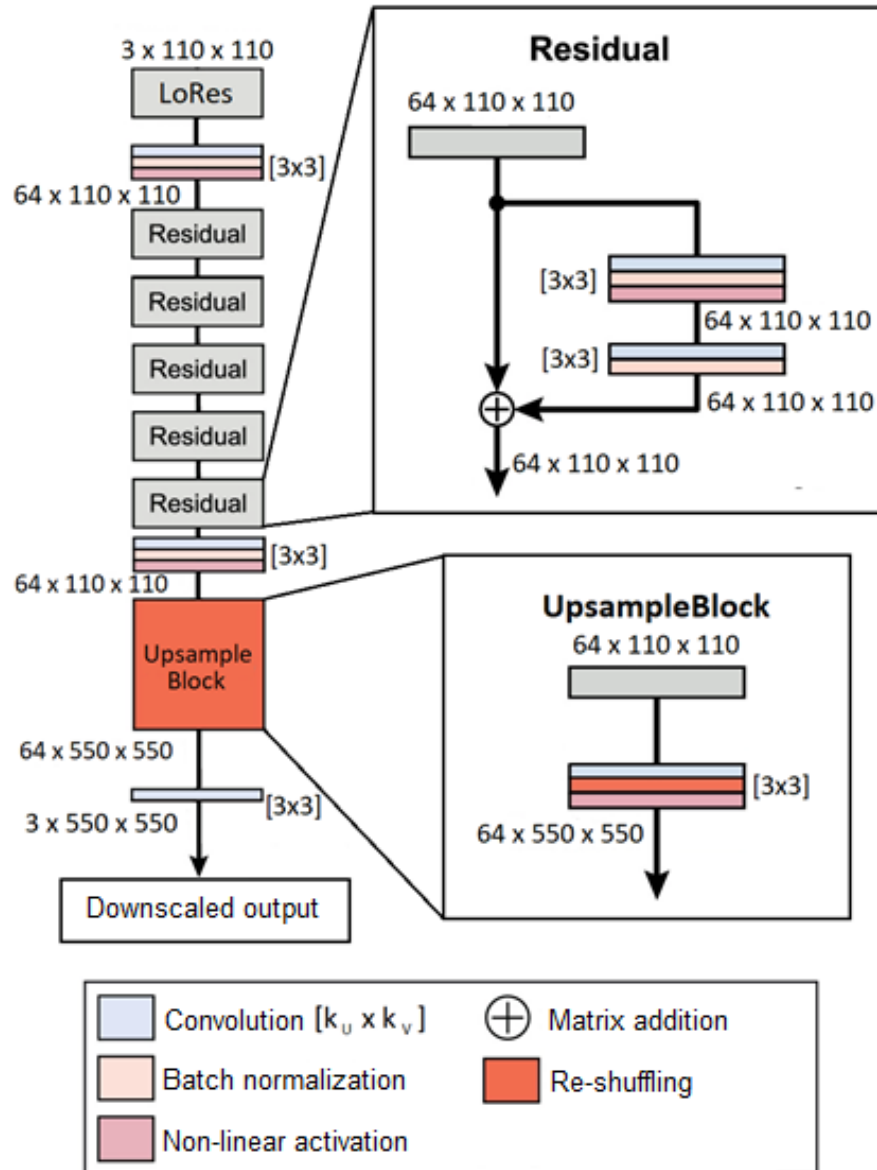
Simplest convolutional neural network (CNN) in this research

Linear downscaling of wind speed

\* Höhle K. et al. A comparative study of convolutional neural network models for wind field downscaling // Meteorological Applications. – 2020. – V. 27. – №. 6. – P. e1961.



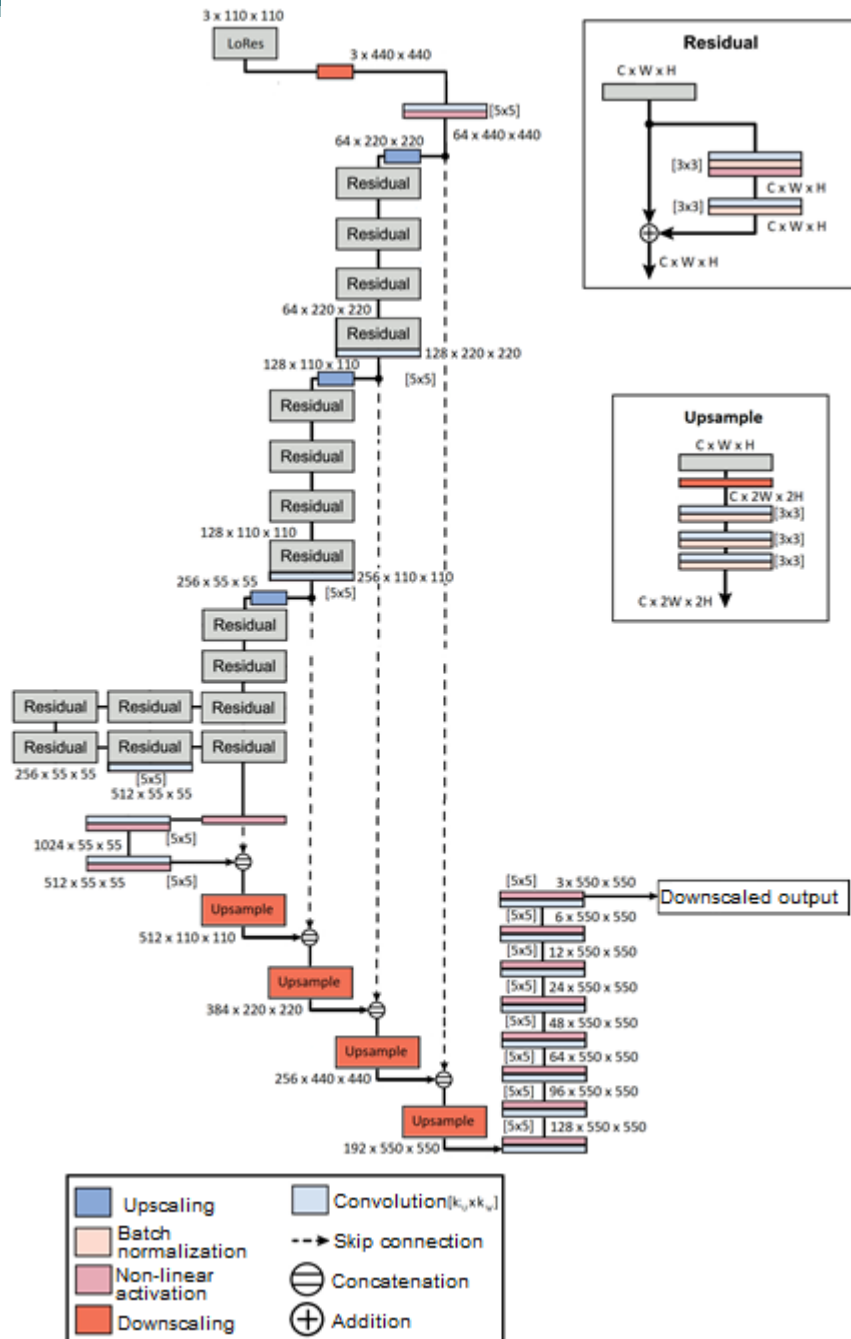
# ResNet



Increased depth of convolutional neural networks

Increased learning stability (residual connections)

Non-linear activation functions

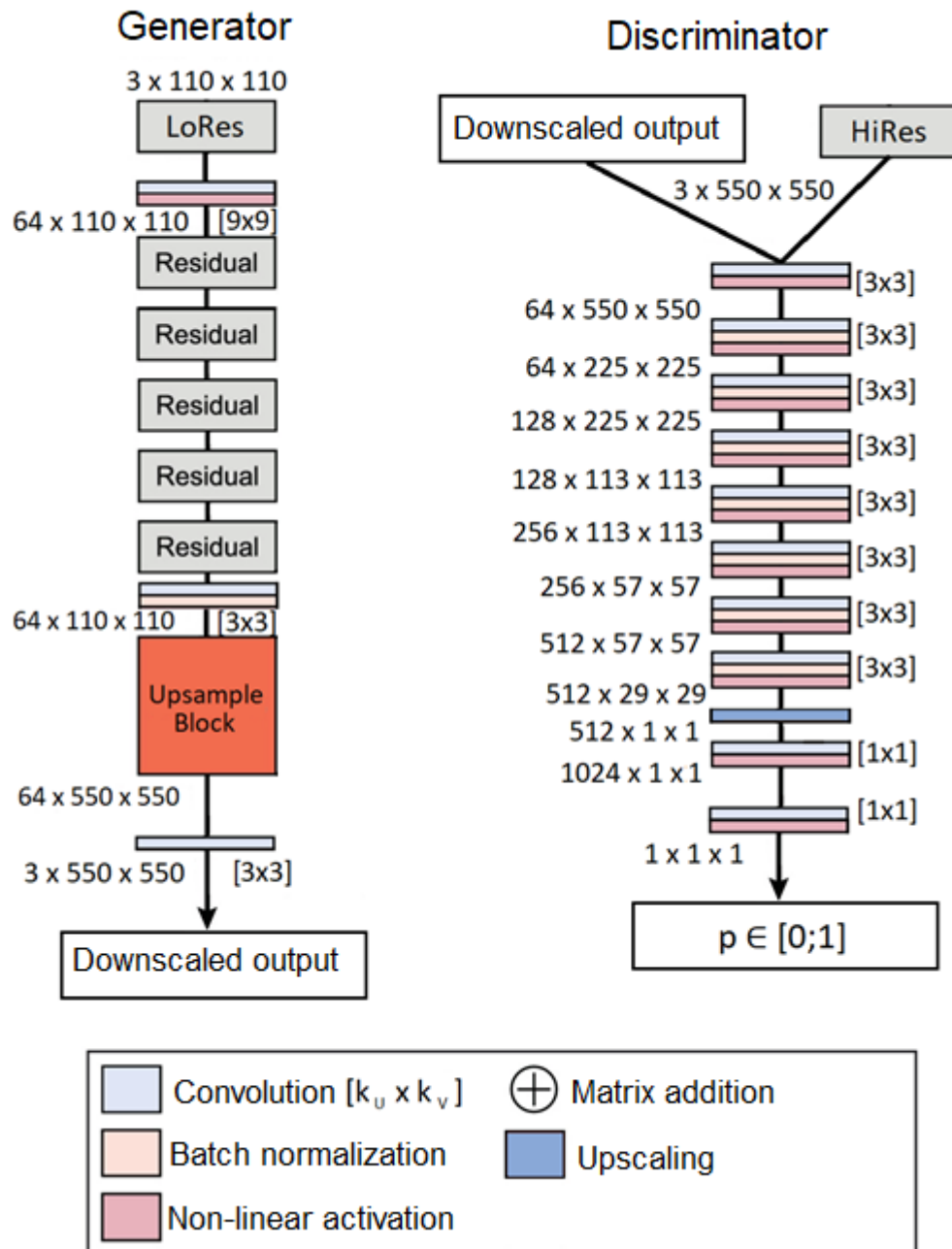


# U-net (ResNet-based encoder)

## Skip connections:

- Learning stability;
- Extracting of functional dependencies corresponding to different spatial scales;
- Initial features propagate closer to the output.





# SR-GAN\*

Two models are trained simultaneously

Generator (G): creates different outputs with the same dimensions as real high-resolution data

Discriminator (D): determines whether a certain element is taken from the distribution generated by G or from the true data distribution

Learning – adversarial process:

G learns to make its output more plausible, similar to real data distribution.

D learns to define the plausibility of G outputs as 0 and that of real data as 1.

\* Ledig C. et al. Photo-realistic single image super-resolution using a generative adversarial network // Proceedings of the IEEE conference on computer vision and pattern recognition. – 2017. – P. 4681-4690.

# Bicubic interpolation

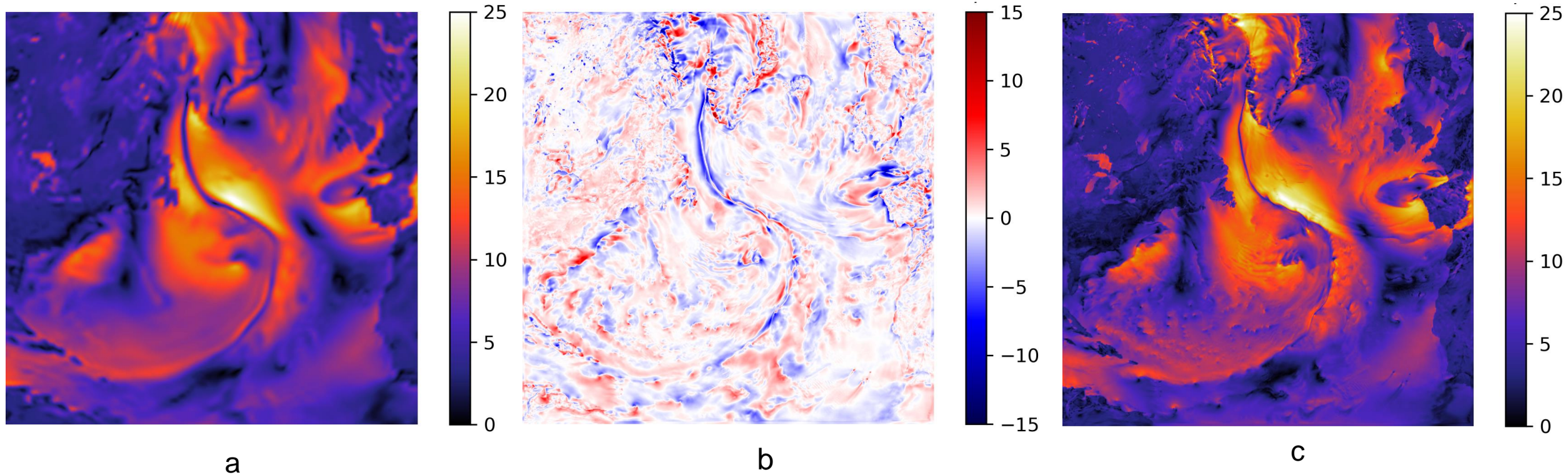


Fig. Wind speed (00:00, 1 Jan 2010), m/s:  
(a) Bicubic interpolation;  
(b) Difference between bicubic interpolation and NAAD HiRes;  
(c) NAAD HiRes



# Linear CNN

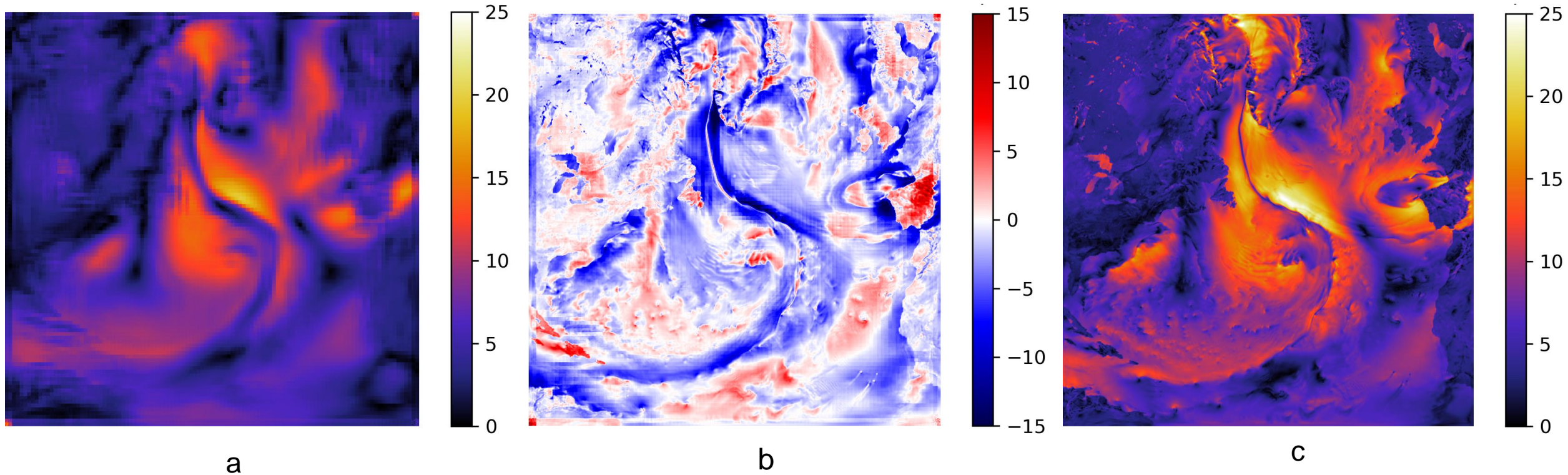


Fig. Wind speed (00:00, 1 Jan 2010), m/s:  
(a) Linear CNN downscaling;  
(b) Difference between downscaled wind and NAAD HiRes;  
(c) NAAD HiRes

# ResNet

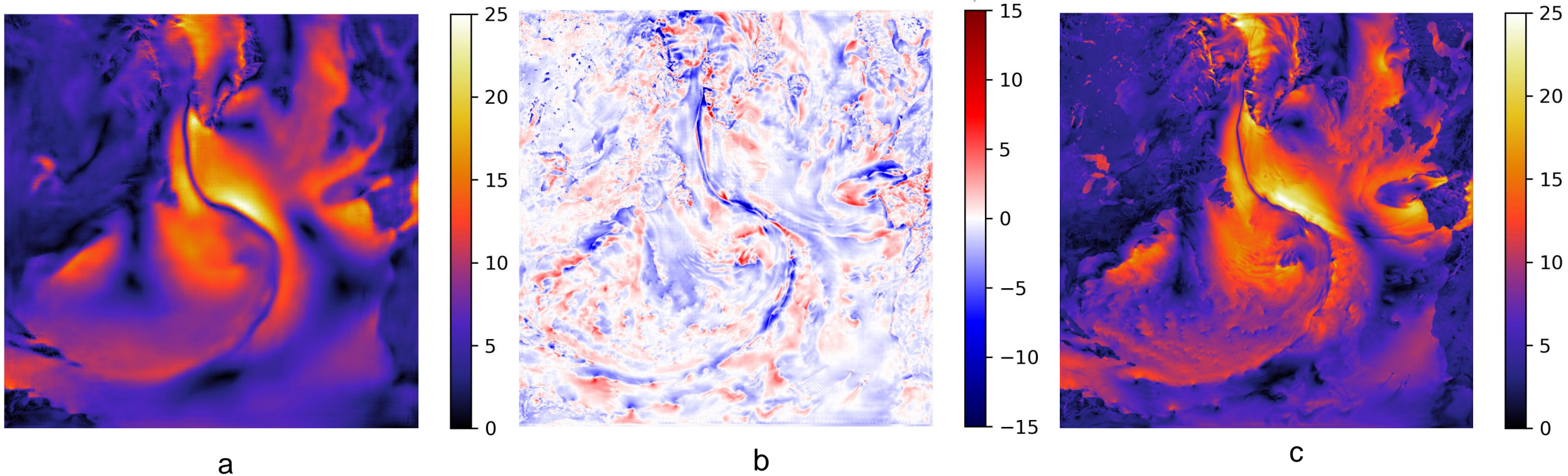


Fig. Wind speed (00:00, 1 Jan 2010), m/s:  
(a) ResNet downscaling;  
(b) Difference between downscaled wind and NAAD HiRes;  
(c) NAAD HiRes



# U-net

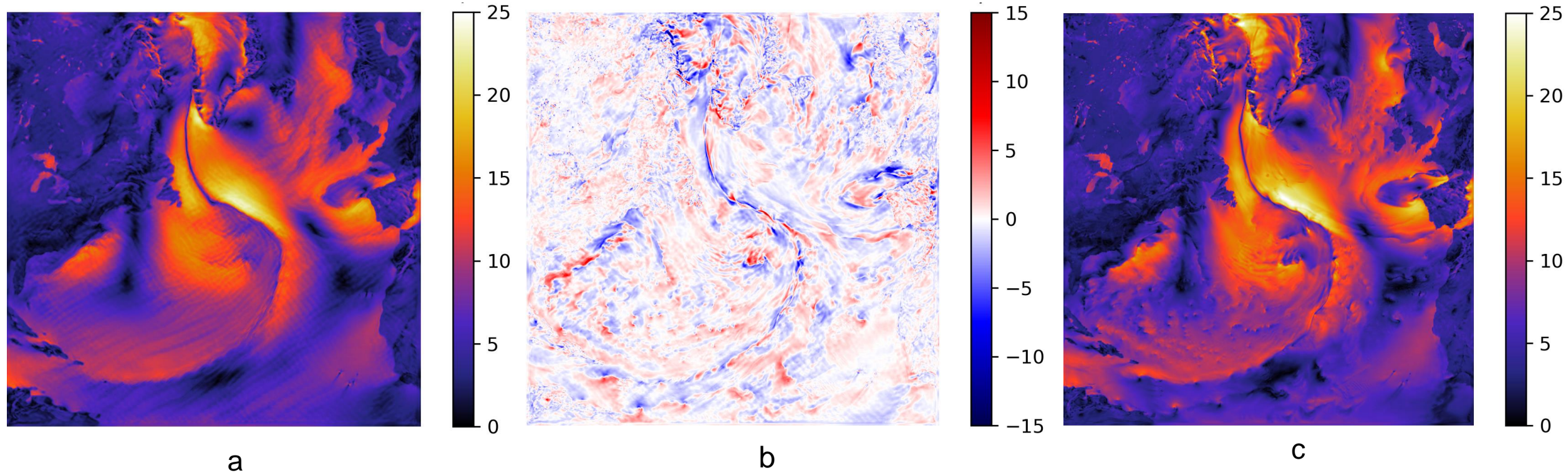


Fig. Wind speed (00:00, 1 Jan 2010), m/s:  
(a) U-net downscaling;  
(b) Difference between downscaled wind and NAAD HiRes;  
(c) NAAD HiRes



# SR-GAN

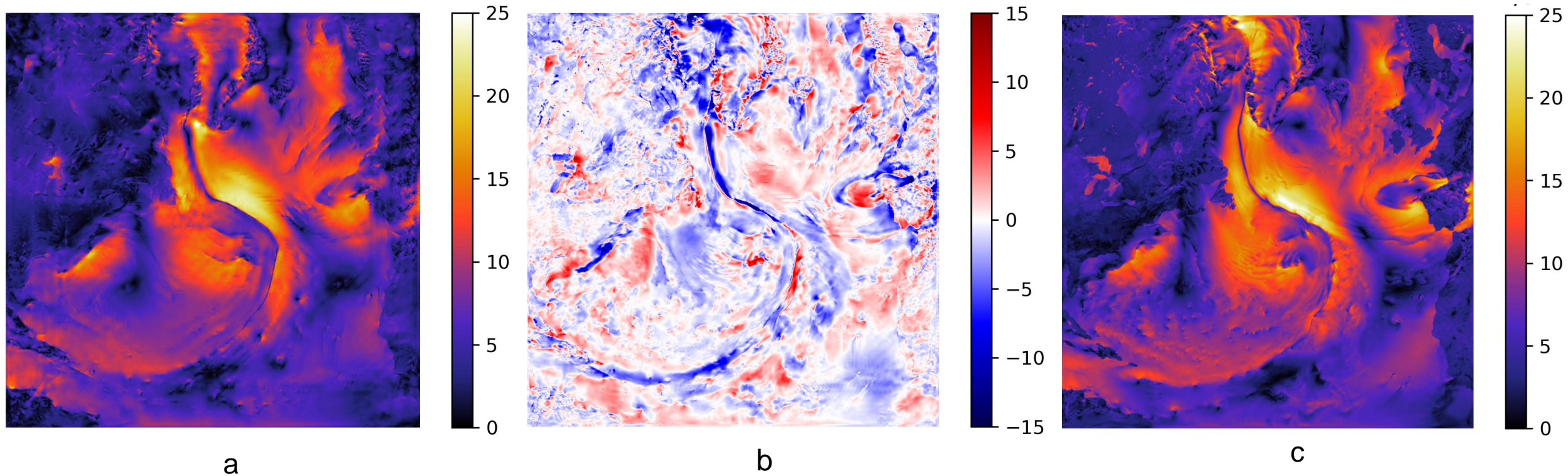


Fig. Wind speed (00:00, 1 Jan 2010), m/s:  
(a) SR-GAN generator downscaling;  
(b) Difference between downscaled wind and NAAD HiRes;  
(c) NAAD HiRes



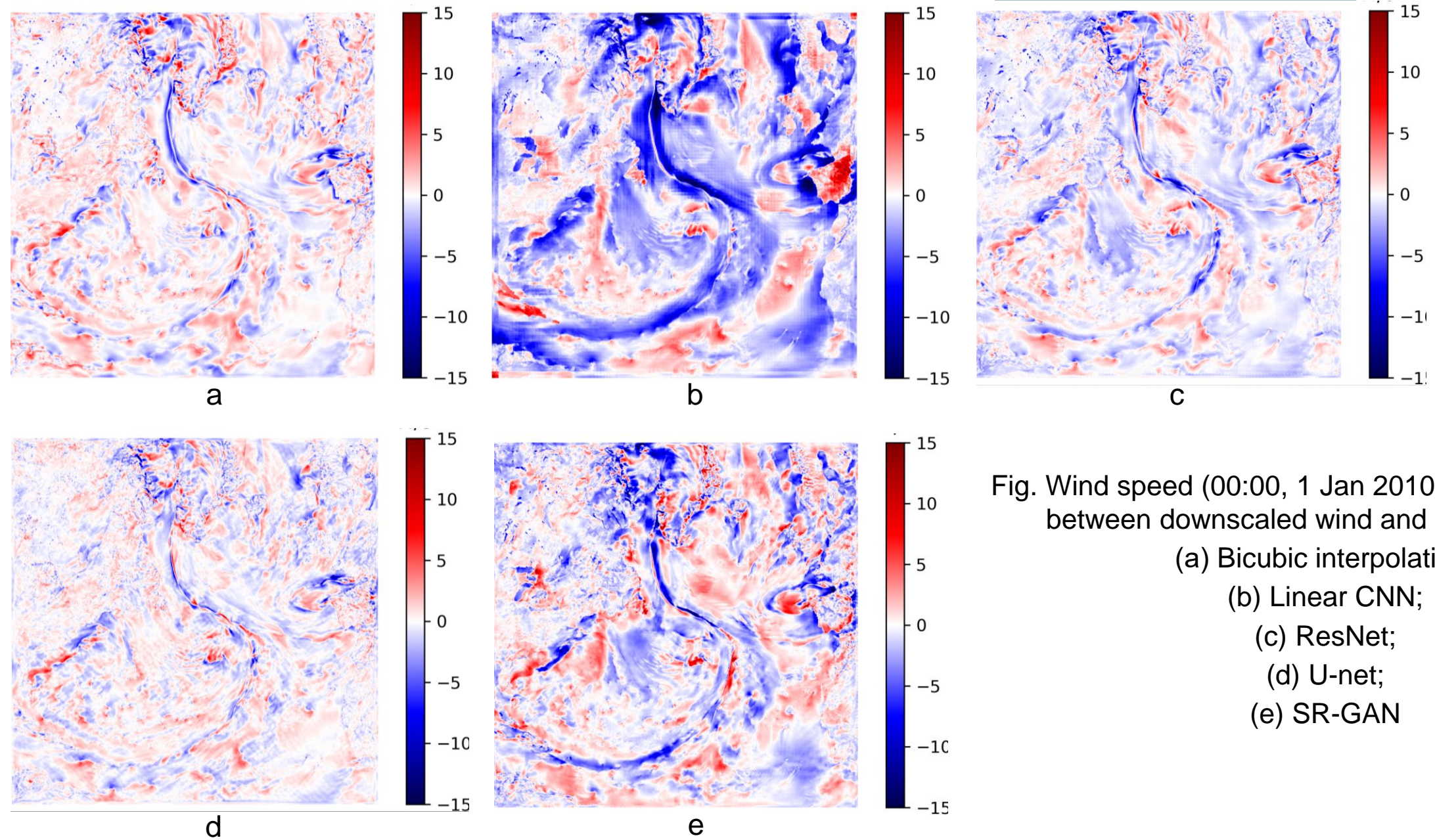


Fig. Wind speed (00:00, 1 Jan 2010), m/s – difference between downscaled wind and NAAD HiRes:

- (a) Bicubic interpolation;
- (b) Linear CNN;
- (c) ResNet;
- (d) U-net;
- (e) SR-GAN

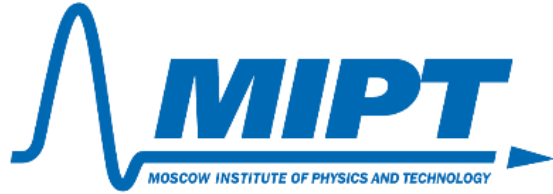
## Results

Method	RMSE, m/s	RMSE-95, m/s	PSNR
Bicubic interpolation	1,44	<b>1,90</b>	<b>35,16</b>
Linear CNN	2,85	5,32	27,68
ResNet	1,42	2,21	32,87
U-net	<b>1,32</b>	1,97	34,46
SR-GAN	1,88	3,30	33,99

Table. Downscaling quality on validation dataset.  
**Bold** – the best value for a particular quality metric

# Summary

- Neural networks don't outperform bicubic interpolation in RMSE-95 and PSNR.
- Based on RMSE, the best method is U-net.
- However, U-net learns to reproduce wind patterns over land, not meeting the purpose of the research.
- In the research, **SR-GAN** is the only method where learning is aimed at **improving the reproduction of wind patterns over the ocean**.
- SR-GAN does not outperform other methods in chosen quality metrics (incl. cubic interpolation)
- However, we consider SR-GAN to show the **most promising results** for further improvement and development.
- **GAN downscaling** is able to have a wide variety of applications, such as renewable energy and extreme weather forecasts.



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