

# E-models of inflation towards describing formation of primordial black holes

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# Motivation

## Formation of primordial black holes (PBH):

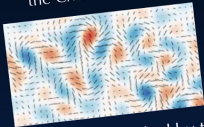
- ▶ PBH are non-particle candidates of dark matter, as well as candidates for gravitational wave events. Also they constraint other dark matter models.
- ▶ Our aim is to get a single-field model that describes the formation of primordial black holes and keeps successes of inflation and the standard cosmology.

## What inflation model should we consider for it?

- ▶ The Starobinsky model (1980) perfectly fits current observations of the CMB radiation, but does not lead to PBH production, so we should consider more general inflation model.
- ▶ We need model with double inflation for large scalar perturbations collapsing to PBH later.

quantum fluctuations  
 $\delta\phi \longleftrightarrow \delta g_{\mu\nu}$   
 produce

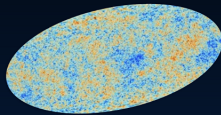
**TENSOR**  
 PERTURBATIONS  
 observable in the polarization of  
 the CMB radiation



and usually quantified by the  
 ratio of the amplitude of tensor  
 and scalar perturbations

$$r = \frac{A_t}{A_s}$$

**SCALAR**  
 PERTURBATIONS  
 observable as temperature  
 fluctuation in the CMB  
 spectrum



whose **scale-dependence** is  
 quantified by

$$n_s - 1 = \frac{d \ln \Delta_s^2}{d \ln k}$$

# Starobinsky model

**Current precision measurements of the CMB spectral tilt  $n_s$  of scalar perturbations and tensor-to-scalar ratio  $r$ :**

$$\begin{aligned} n_s &= 0.9649 \pm 0.0042 && (68\% \text{ C.L.}) ; \\ r &< 0.0036 && (95\% \text{ C.L.}) . \end{aligned} \tag{1}$$

**Up to an uncertainty in the duration of inflation measured by the number of e-folds:**

$$r_s \approx \frac{12}{N_e^2}, \quad \text{where} \quad N_e = \int_{t_{\text{in}}}^{t_{\text{end}}} H(t) dt, \tag{2}$$

with  $H(t)$  being the Hubble function, and  $N_e$  is expected at  $55 \pm 10$ .

This estimate comes from the predicted value of  $n_s$  in the Starobinsky model via the Mukhanov-Chibisov formula

$$n_s \approx 1 - \frac{2}{N_e}. \tag{3}$$

**The scalar potential of the canonical inflaton field  $\phi$  in the Starobinsky model reads**

$$V_S(\phi) = \frac{3}{4} M_{\text{PL}}^2 M^2 (1 - y_S)^2, \quad (4)$$

where we introduce the dimensionless field

$$y_S = \exp\left(-\sqrt{\frac{2}{3}} \frac{\phi}{M_{\text{PL}}}\right). \quad (5)$$

Here,  $M_{\text{Pl}} \sim 10^{18}$  GeV is the Planck mass, and  $M \sim 10^{-5} M_{\text{Pl}}$ .

**The E-model is simple generalisation of Starobinsky model with a new variable**

$$y = \exp\left(-\sqrt{\frac{2}{3\alpha}} \frac{\phi}{M_{\text{PL}}}\right). \quad (6)$$

It leads to significantly change of the tilt  $r$ ,

$$r \approx \frac{12\alpha}{N_e^2}. \quad (7)$$

**The inflationary observables for CMB will be essentially the same after a generalization of the scalar potential to**

$$V(\phi) = \frac{3}{4} M_{\text{PL}}^2 M^2 [1 - y + y^2 \zeta(y)]^2, \quad (8)$$

where  $\zeta(y)$  is a function regular at  $y = 0$ .

**An opportunity of changing the inflaton potential by arbitrary function  $\zeta(y)$  can be exploited in order to generate PBH.**

Technically, the PBH production can be engineered by demanding a near-inflection point in the potential within the double inflation scenario.

**The PBHs formation in the very early Universe should lead to a stochastic background of gravitational waves (GW) at present.**

The frequency of those GW can be estimated as

$$f_{\text{GW}} \approx \left( \frac{M_{\text{PBH}}}{10^{16} g} \right)^{-1/2} \text{Hz}. \quad (9)$$

# The model

Let us consider the following potential of the canonical inflaton  $\phi$ :

$$V(\phi) = \frac{3}{4} M_{\text{PL}}^2 M^2 [1 - y + y^2(\beta - \gamma y)]^2, \quad (10)$$

where

$$y = \exp \left( -\sqrt{\frac{2}{3\alpha}} \frac{\phi + \phi_0}{M_{\text{PL}}} \right); \quad (11)$$

$$\beta = \frac{1}{1 - \xi^2} \exp \left( \sqrt{\frac{2}{3\alpha}} \frac{\phi_i + \phi_0}{M_{\text{PL}}} \right), \quad \gamma = \frac{1}{3(1 - \xi^2)} \exp \left( 2\sqrt{\frac{2}{3\alpha}} \frac{\phi_i + \phi_0}{M_{\text{PL}}} \right).$$

If  $\xi = 0$ ,  $V$  has an inflection point at  $\phi_i$ , and if  $0 < \xi \ll 1$ ,  $V$  has a local minimum and maximum,

$$y_{\text{ext}}^{\pm} = y_i(1 \pm \xi). \quad (12)$$

The shape of potential  $V(\phi)$  for selected values of inflaton field  $\phi$ :

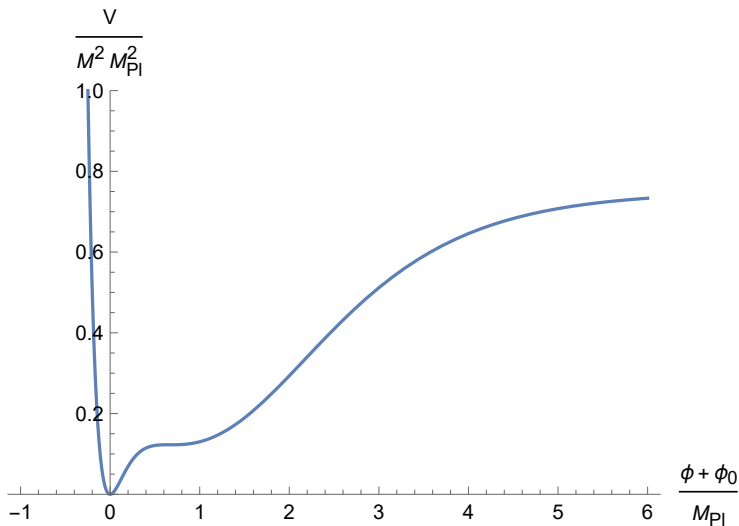


Figure: 1



**The (running) number of e-folds in the slow-roll approximation:**

$$N_e = \int_t^{t_{\text{end}}} H(t) dt \approx \frac{1}{M_{\text{PL}}^2} \int_{\phi_{\text{end}}}^{\phi} \frac{V(\phi)}{V'(\phi)} d\phi. \quad (13)$$

The standard slow-roll parameters:

$$\epsilon = \frac{M_{\text{PL}}^2}{2} \left( \frac{V'(\phi)}{V(\phi)} \right)^2, \quad \eta = M_{\text{PL}}^2 \frac{V''(\phi)}{V(\phi)}. \quad (14)$$

It yields

$$n_s = 1 + 2\eta - 6\epsilon = 1 - \frac{2}{N_e} + a \frac{3 \ln N_e}{2N_e^2} + \frac{b}{N_e^2}, \quad \text{and} \quad r = \frac{12\alpha}{N_e^2}, \quad (15)$$

where

$$a = \alpha \left[ 1 - 2 \exp \left( \sqrt{\frac{2}{3\alpha}} \frac{\phi_i}{M_{\text{PL}}} \right) \right], \quad (16)$$
$$b = \frac{\alpha}{2} \left\{ \left[ 1 - 2 \exp \left( \sqrt{\frac{2}{3\alpha}} \frac{\phi_i}{M_{\text{PL}}} \right) \right] \ln \frac{4}{3\alpha} - 3 \right\}.$$

# Double inflation

The equations of motion are given by

$$\begin{aligned}\ddot{\phi} + 3H\dot{\phi} + V'(\phi) &= 0; \\ H^2 &= \frac{1}{3M_{\text{Pl}}^2} \left( \frac{1}{2}\dot{\phi}^2 + V(\phi) \right); \\ \dot{H} &= -\frac{1}{2M_{\text{Pl}}^2} \dot{\phi}^2,\end{aligned}\tag{17}$$

where  $\phi_{\text{in}} + \phi_0 = \phi(0) = 5.938 \cdot M_{\text{Pl}}$ ,  $\phi'(0) = 0$ .

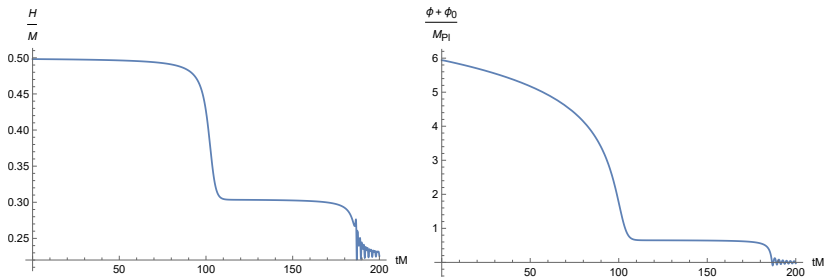


Figure: 2

# Power spectrum of perturbations and PBH masses

The standard formula for the power spectrum of scalar perturbations in the slow-roll approximation:

$$P_R(t) = \frac{H^2(t)}{8M_{\text{Pl}}^2\pi^2\epsilon(t)}, \quad \epsilon(t) = -\frac{\dot{H}}{H^2}, \quad k = aH = \dot{a}. \quad (18)$$

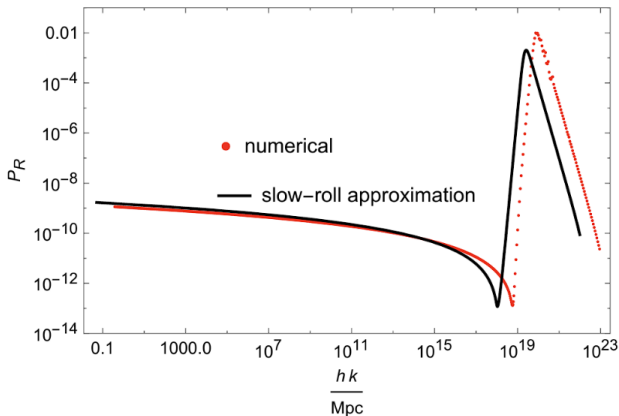


Figure: 3

$$M_{\text{PBH}} \simeq \frac{M_{\text{Pl}}^2}{H(t_{\text{peak}})} \exp \left[ 2(N_{\text{total}} - N_{\text{peak}}) + \int_{t_{\text{peak}}}^{t_{\text{end}}} \epsilon(t) H(t) dt \right] \quad (19)$$

$n_s$	$r$	$\alpha$	$\xi$	$\phi_i + \phi_0$	$\Delta N$	$M_{\text{PBH}}$
0,95452	0,00307	0,5	0,0102	0,606	20,62	$1,06 \cdot 10^{19} \text{ g}$
0,95491	0,00360	0,6	0,0106	0,633	20,93	$1,04 \cdot 10^{19} \text{ g}$
0,95658	0,00409	0,74	0,0122	0,664	18,76	$1,89 \cdot 10^{17} \text{ g}$
0,95672	0,00439	0,8	0,0115	0,677	19,23	$7,75 \cdot 10^{17} \text{ g}$
0,95650	0,00496	0,9	0,0111	0,696	18,99	$8,84 \cdot 10^{17} \text{ g}$

The values of  $n_s > 0.9565$  are in good agreement with CMB observations at the 95% C.L.

The values of the tensor-to scalar ratio  $r$  are well inside the current observational bound.

# Conclusion

- ▶ We modified the scalar potential of single-field E-models for double inflation and PBH production.
- ▶ The PBH can have masses  $10^{17} - 10^{19}$  g, so that they can also survive in the present universe and may form part of cold dark matter (CDM).
- ▶ Our results agree with the current measurements of cosmic microwave background radiation but require fine-tuning of the parameters.
- ▶ GW from PBH formation may be detectable by the future space-based gravitational interferometers such as LISA, TAIJI, TianQin and DECIGO.

THANK YOU FOR YOUR ATTENTION!