

Color coherence effects at SPD-NICA

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Outline:

- Introduction: phenomenon of color transparency (CT) and its search at intermediate energies (JLab, BNL)
- The process $d(p,2p)n$ at large momentum transfer: generalized eikonal approximation (GEA), quantum diffusion model of CT, separation of the hard (quark counting) and soft (Landshoff) amplitudes
- Nuclear transparency, tensor analyzing power, event rate estimate at NICA-SPD
- Summary

Based on [arXiv:2208.08832](https://arxiv.org/abs/2208.08832)

SPD Collaboration meeting
VBLHEP, JINR, Dubna,
06.10.2022

Hard processes (e.g. exclusive meson electroproduction): $Q^2 \gg 1 \text{ GeV}^2$

- Quark-gluon d.o.f.
- Point-like $q\bar{q}$ and qqq configurations (PLCs): $r_{\perp} \sim 1/Q$

Color dipole – proton cross section in the pQCD limit ($r_{\perp} \rightarrow 0$): $\sigma_{q\bar{q}} \propto r_{\perp}^2 \sim 1/Q^2$

Color transparency (CT): the quark configuration produced in high momentum transfer exclusive process interacts with nucleons with reduced cross section.

CT in a nutshell - “squeeze and freeze”:

“Squeezing” - the preferential selection of the color singlet, small transverse size configurations (PLCs) in exclusive processes on a nucleus at high momentum transfer.
 “Freezing” - the PLC should not expand to the normal hadronic size while it traverses the nucleus. The coherence length should be larger or equal to the nuclear size.

(cf. [D. Dutta, K. Hafidi, M. Strikman, Prog. Part. Nucl. Phys. 69, 1 \(2013\)](#)).

Nuclear target needed.
 Observable – nuclear transparency:

$$T = \frac{\sigma_A}{\sigma_{\text{PWIA}}} \simeq \frac{\sigma_A}{A\sigma_p}$$


Neglecting Fermi motion

$A(e, e'\pi^+)$ for ^2H , ^{12}C , ^{27}Al , ^{63}Cu , and ^{197}Au at $Q^2 = 1.1 - 4.7 \text{ GeV}^2$

B. Clasie et al., PRL 99, 242502 (2007)

$A(e, e'\rho^0)$ for ^{12}C and ^{56}Fe at $Q^2 = 1 - 2.2 \text{ GeV}^2$

L. El Fassi et al., PLB 712, 326 (2012)

- Clear indications for the enhanced nuclear transparency due to CT effect

$A(e, e'p)$ for ^2H , ^{12}C , and ^{56}Fe at $Q^2 = 3.3 - 8.1 \text{ GeV}^2$

K. Garrow et al., PRC 66, 044613 (2002)

$^{12}\text{C}(e, e'p)$ at $Q^2 = 8 - 14.2 \text{ GeV}^2$

D. Bhetuwal et al., PRL 126, 083301 (2021)

- No CT signal



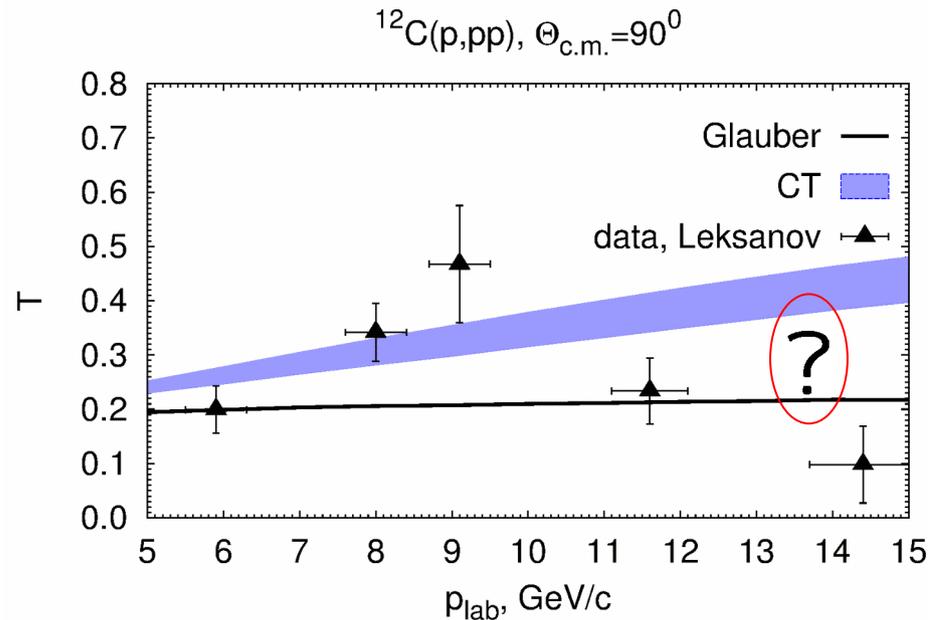
Possible explanations:

- squeezing proton needs larger Q^2 than for meson,
- Feynman mechanism without squeezing may dominate for $x_B=1$

CT has been predicted for the binary semi-exclusive processes with large momentum transfer

$$h + A \rightarrow h + p + (A - 1)^*$$

S.J. Brodsky, 1982; A.H. Mueller, 1982



$$T = \frac{\sigma}{\sigma^{\text{IA}}}$$

Data: EVA@AGS,
A. Leksanov et al.,
PRL 87, 212301 (2001).

Decrease of T at high p_{lab} is not understood:

- could be due to stronger absorption of the large-size quark configurations produced by Landshoff mechanism, J.P. Ralston, B. Pire, PRL 61, 1823 (1988);
- or due to intermediate (very broad, $\Gamma \sim 1$ GeV) $6qcc$ resonance formation with mass ~ 5 GeV, S.J. Brodsky, G.F. de Teramond, PRL 60, 1924 (1988).

Deuteron target:

- ISI and FSI are small, however, the PLCs will likely not expand too much on the length scale < 1.5 fm (internucleon distances in the deuteron contributing to the rescattering amplitudes) for momenta above several GeV/c, i.e. they are likely to be frozen.

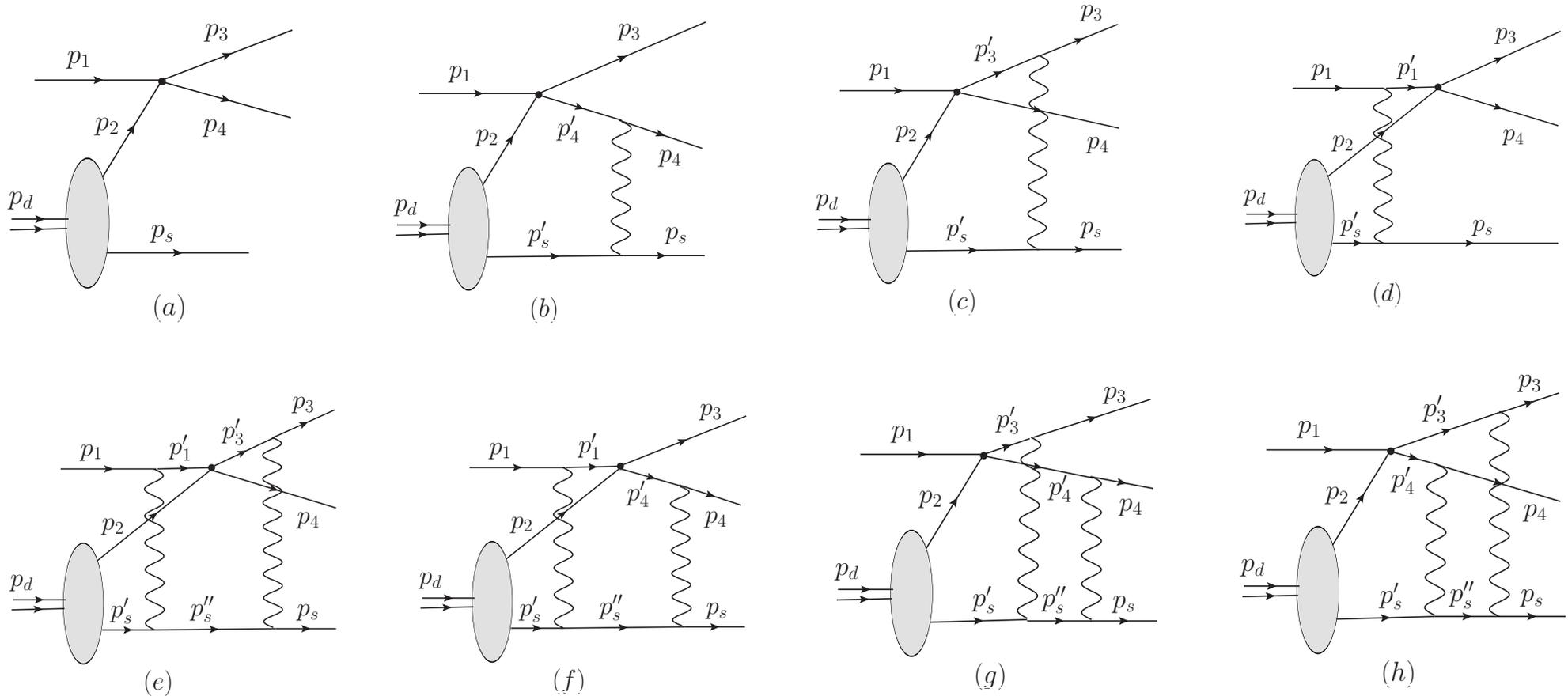
- suggested to study CT in several large-angle processes:

$d(e,e'p)n$ – [V.V. Anisovich, L.G. Dakhno, M.M. Giannini, PRC 49, 3275 \(1994\);](#)
[L.L. Frankfurt, W.R. Greenberg, G.A. Miller, M.M. Sargsian, M.I. Strikman,](#)
[Z. Phys. A352, 97 \(1995\)](#)

$d(p,2p)n$ - [L.L. Frankfurt, E. Piassetzky, M.M. Sargsian, M.I. Strikman, PRC 56, 2752 \(1997\);](#)
[AL arXiv:2208.08832](#)

$d(\bar{p},\pi^-\pi^0)p$ – [AL, M.I. Strikman, EPJA 56, 21 \(2020\)](#)

Partial amplitudes:



Calculations are done in the deuteron rest frame

Impulse approximation (IA) amplitude:

$$M^{(a)} = 2m^{1/2} M_{\text{hard}}(s_{\text{hard}}, t_{\text{hard}}) (2\pi)^{3/2} \phi(-\mathbf{p}_s) = 2m^{1/2} M_{\text{hard}}(s_{\text{hard}}, t_{\text{hard}}) \int d^3r e^{i\mathbf{p}_s \cdot \mathbf{r}} \phi(\mathbf{r}), \quad \mathbf{r} = \mathbf{r}_2 - \mathbf{r}_s$$

nucleon
mass

pp \rightarrow pp hard
scattering amplitude

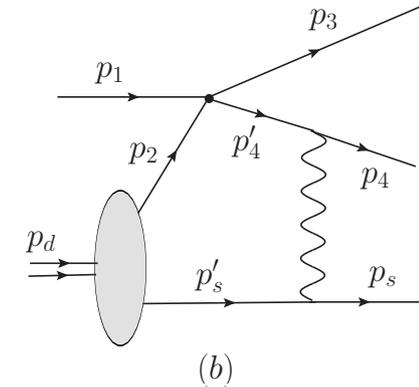
deuteron
wave function

$$s_{\text{hard}} = (p_3 + p_4)^2, \quad t_{\text{hard}} = (p_1 - p_3)^2, \quad u_{\text{hard}} = (p_1 - p_4)^2$$

$$t_{\text{hard}} \simeq u_{\text{hard}} \simeq -s_{\text{hard}}/2 \quad \Theta_{c.m.} \simeq 90^\circ$$

Amplitude with rescattering of outgoing proton

- momentum transfer in soft rescattering is small, M_{hard} can be factorized out of the momentum transfer integrals;
- static neutron approximation: neglect the dependence of the soft rescattering amplitude M_{el} on the energy $p_s'^0$ of neutron
- perform integration over $p_s'^0$ using contour integration (pole approximation, $(p_s')^2 = m^2$) and on the longitudinal momentum transfer (along p_4)



$$\rightarrow M^{(b)} = \frac{M_{\text{hard}}(s_{\text{hard}}, t_{\text{hard}})}{2p_4 m^{1/2}} \int d^3r \Theta(-\tilde{z}) \phi(\mathbf{r}) e^{i\mathbf{p}_s \mathbf{r} - i\Delta_4 \tilde{z}} \int \frac{d^2 k_t}{(2\pi)^2} e^{-i\mathbf{k}_t \tilde{\mathbf{b}}_i} M_{\text{el}}(p_4, t), \quad t = -k_t^2$$

$$\Delta_4 \simeq (E_4 - m)(E_s - m)/p_4 \quad \tilde{z} = \mathbf{r} \mathbf{p}_4 / p_4 \quad \tilde{\mathbf{b}} = \mathbf{r} - (\mathbf{r} \mathbf{p}_4) \mathbf{p}_4 / |\mathbf{p}_4|^2$$

Without CT (GEA): $M_{\text{el}}(p_4, t) = 2p_4 m \sigma_{pn}^{\text{tot}} (i + \rho_{pn}) e^{B_{pn} t/2}$

With CT:

$$M_{\text{el}}(p_4, t, l) = 2p_4 m \sigma_{pn}^{\text{eff}}(l) (i + \rho_{pn}) e^{B_{pn} t/2} \frac{G(t \cdot \frac{\sigma_{pn}^{\text{eff}}(l)}{\sigma_{pn}^{\text{tot}}})}{G(t)}, \quad l = |\mathbf{r} \mathbf{p}_4| / p_4$$

$$\sigma_{pn}^{\text{eff}}(l) = \sigma_{pn}^{\text{tot}} \left(\left[\frac{l}{l_c} + \frac{Q_0^2}{Q^2} \left(1 - \frac{l}{l_c} \right) \right] \Theta(l_c - l) + \Theta(l - l_c) \right), \quad Q_0 \simeq 1 \text{ GeV}$$

$$Q^2 = \min(-t_{\text{hard}}, -u_{\text{hard}}) \quad \text{- hard scale}$$

$$l_c = \frac{2p_4}{\Delta M^2} \quad \text{- coherence length} \quad \Delta M^2 \simeq 0.7 - 1.1 \text{ GeV}^2 \quad \text{- from pion transparency studies at JLab}$$

$$G(t) = \frac{1}{(1 - t/0.71 \text{ GeV}^2)^2} \quad \text{- electric formfactor of the proton}$$

Quantum diffusion model of CT: **G.R. Farrar, H. Liu, L.L. Frankfurt, M.I. Strikman, PRL 61, 686 (1988); L.L. Frankfurt, W.R. Greenberg, G.A. Miller, M.M. Sargsian, M.I. Strikman, ZPA 352, 97 (1995)**

$$M_{\text{hard}} = M_{\text{QC}} + M_{\text{L}} = M_{\text{QC}}(1 + R(s))$$

quark counting component $\sim s^{-4}$
minimally connected graphs,
small-size configurations (PLCs)

Landshoff component – independent qq scattering,
disconnected graphs, **large-size configurations**

Only a part of rescattering amplitudes $\propto M_{\text{QC}}$ is influenced by CT !

chromo-Coulomb phase shift

$$R(s) = M_{\text{L}}/M_{\text{QC}} = \frac{\rho_1 \sqrt{s}}{2} e^{\pm i(\phi(s) + \delta_1)}, \quad \rho_1 = 0.08 \text{ GeV}^{-1}, \quad \delta_1 = -2$$

$$\phi(s) = \frac{\pi}{0.06} \log \left[\log \left(\frac{s}{\Lambda_{\text{QCD}}^2} \right) \right], \quad \Lambda_{\text{QCD}} = 0.1 \text{ GeV}$$

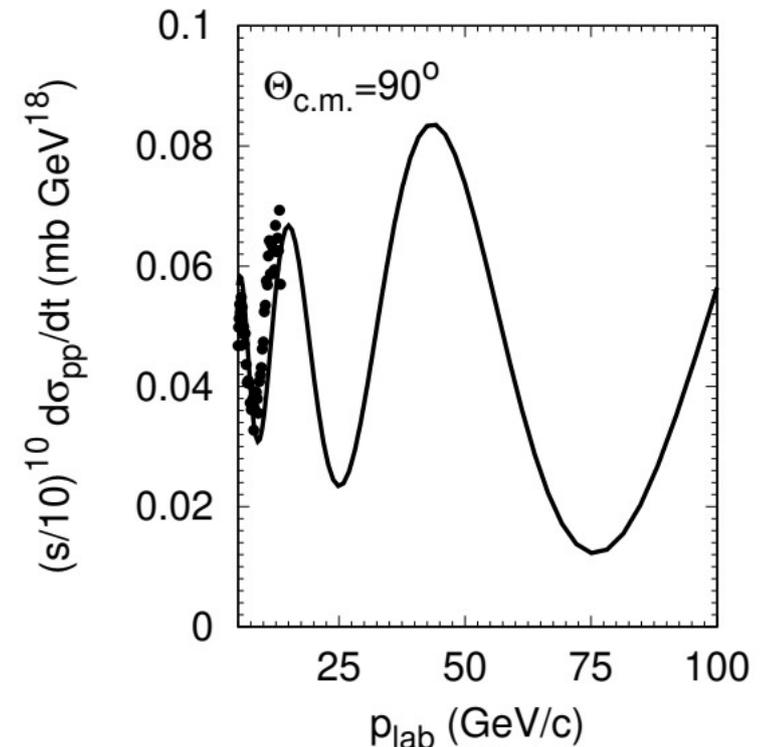
Cross section parameterization
**L. Frankfurt, E. Piasesky,
M. Sargsian, M. Strikman,
PRC 51, 890 (1995)**

$$\frac{d\sigma_{pp}^{\text{QC}}}{dt} = 45 \frac{\mu\text{b}}{\text{GeV}^2} \left(\frac{10 \text{ GeV}^2}{s} \right)^{10} \left(\frac{4m^2 - s}{2t} \right)^{4\gamma}$$

$$\gamma = 1.6$$

$$\frac{d\sigma_{pp}}{dt} = \frac{d\sigma_{pp}^{\text{QC}}}{dt} |1 + R(s)|^2 F(s, \Theta_{\text{c.m.}}),$$

≈ 1 for $s > 15 \text{ GeV}^2$
($p_{\text{lab}} > 7 \text{ GeV}/c$)



Data: **C.W. Akerlof et al.,
Phys. Rev. 159, 1138 (1967)**

Assume spin-independent hard amplitude,
non-polarized proton beam:

$$M_{\text{hard}} = \left(16\pi(s - 4m^2)s \frac{d\sigma_{pp}^{\text{QC}}}{dt} \right)^{1/2} [1 + R(s)] \delta_{\lambda_1 \lambda_3} \delta_{\lambda_2 \lambda_4}$$

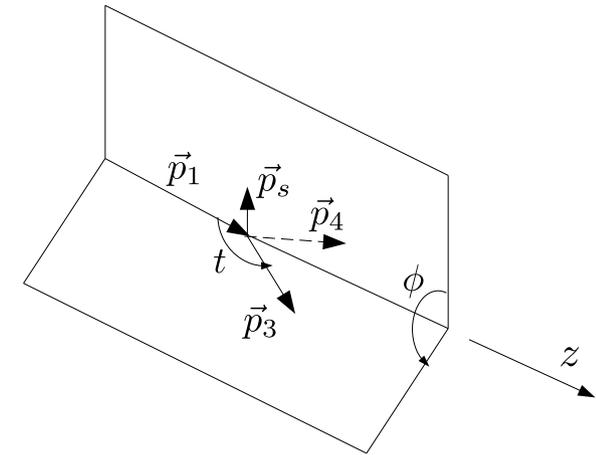
Kinematic variables

$$\alpha_s = \frac{2(E_s - p_s^z)}{m_d} \quad - \text{the light cone variable } (\alpha_s/2 = \text{momentum fraction of the deuteron carried by the spectator neutron in the infinite momentum frame})$$

p_{st} - the transverse momentum of the spectator neutron

$\phi = \phi_3 - \phi_s$ - the relative azimuthal angle between the scattered proton and spectator neutron

$t = (p_1 - p_3)^2 \equiv t_{\text{hard}}$ - Mandelstam variable



The deuteron rest frame

Default choice: $\alpha_s = 1$ - transverse kinematics which minimizes relativistic corrections to the DWF, see [L.L. Frankfurt et al, PRC 56, 2752 \(1997\)](#)

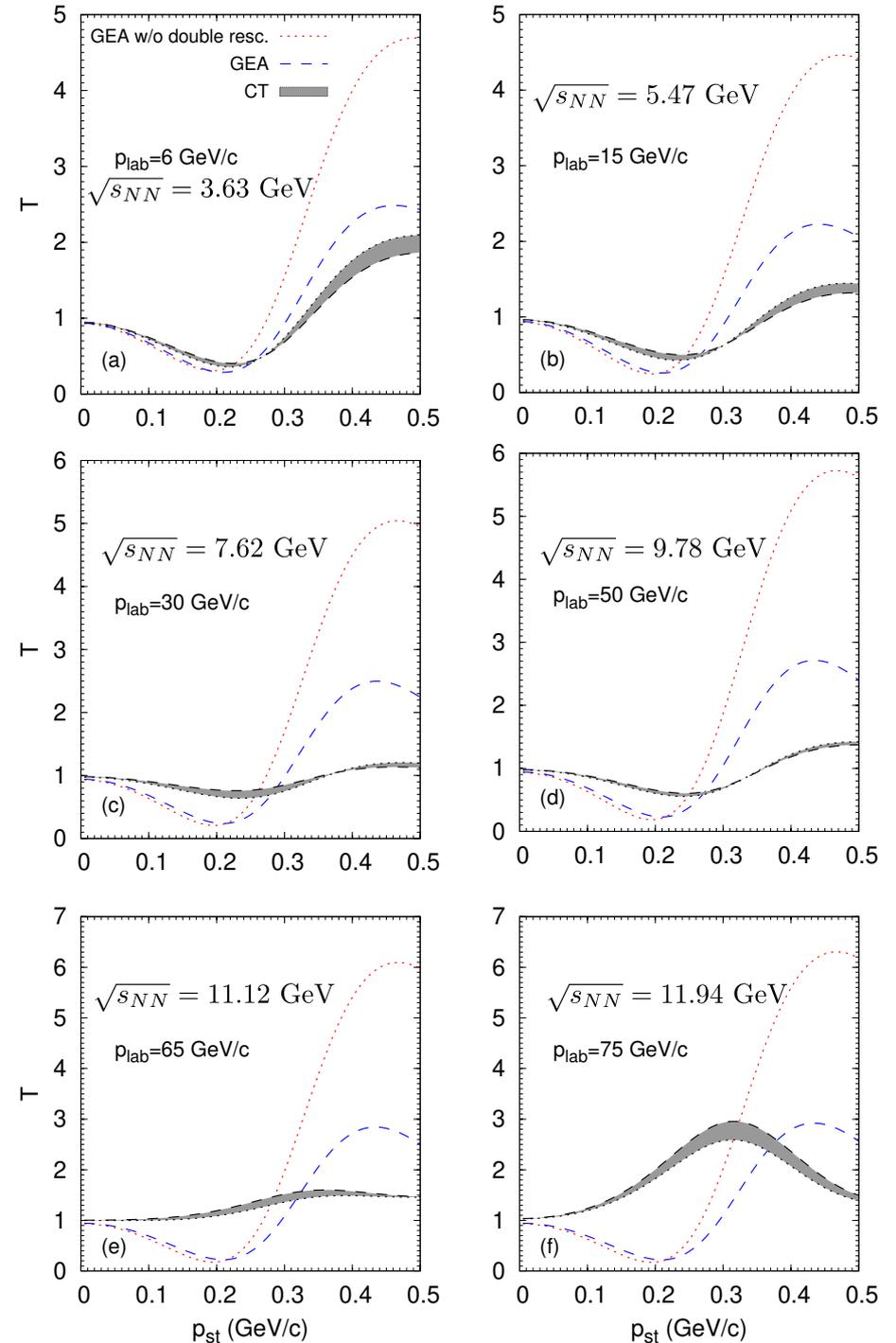
$t = (4m^2 - s)/2$, $s = (p_3 + p_4)^2 \equiv s_{\text{hard}}$
- corresponds to $\Theta_{c.m.} = 90^\circ$

$\phi = 180^\circ$ - in-plane kinematics

Nuclear transparency vs transverse momentum of spectator neutron

$$T = \frac{\sigma}{\sigma_{IA}}$$

- absorptive ISI/FSI at small p_{st} due the interference between the IA and single-rescattering amplitudes
- enhancement at large p_{st} due to the single-rescattering amplitudes squared
- destructive interference of the single- and double-rescattering amplitudes, important at large p_{st}
- GEA-transparencies do not much depend on p_{lab} (parameters of soft NN scattering amplitude are rather weakly p_{lab} -dependent)
- CT-transparencies tend to unity (IA-limit) with increasing p_{lab} up to $p_{lab} \approx 30$ GeV/c and then start to deviate from unity again
- this “anomaly” is due to the fact that CT influences only the QC part of the amplitude and not the Landshoff part

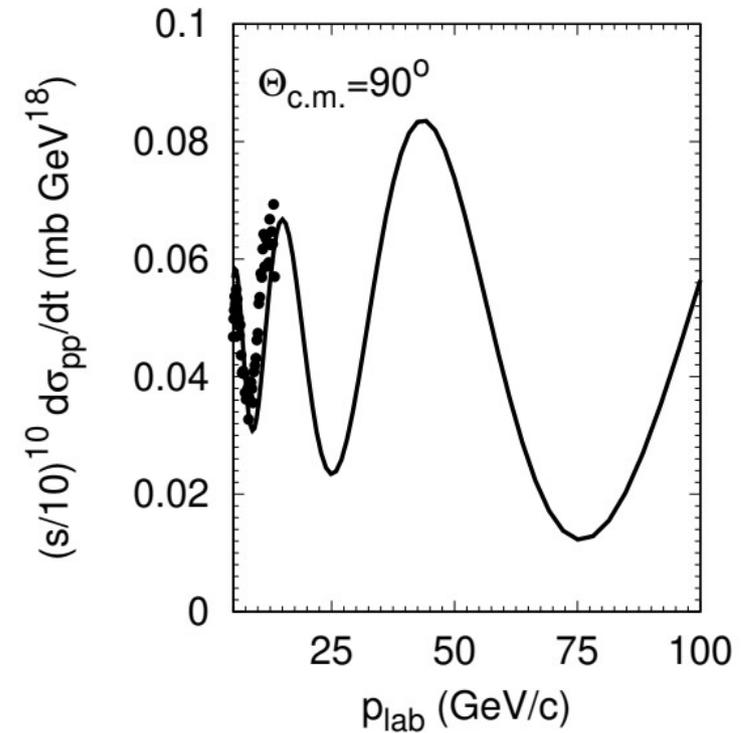
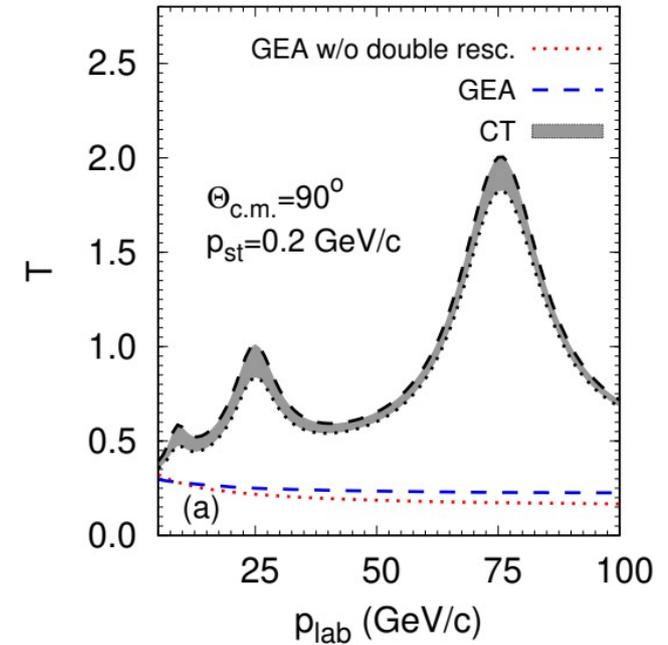


- *out-of-phase oscillations relative to the elementary cross section due to σ_{IA} in the denominator*

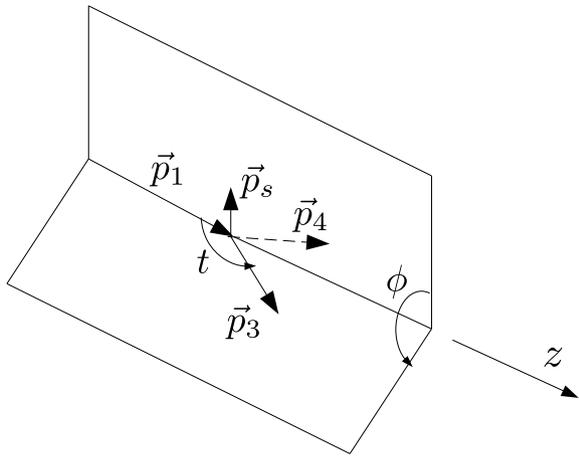
- *very similar to the nuclear filtering of the Landshoff component for heavy nuclei*

J.P. Ralston, B. Pire, PRL 61, 1823 (1988)

- *“antiabsorptive” behavior (i.e. $T > 1$) at $p_{lab} \approx 75$ GeV/c due to the constructive interference of the IA amplitude and the Landshoff part of the single-rescattering amplitudes*



Dependence of the transparency on the azimuthal angle between the scattered proton and spectator neutron

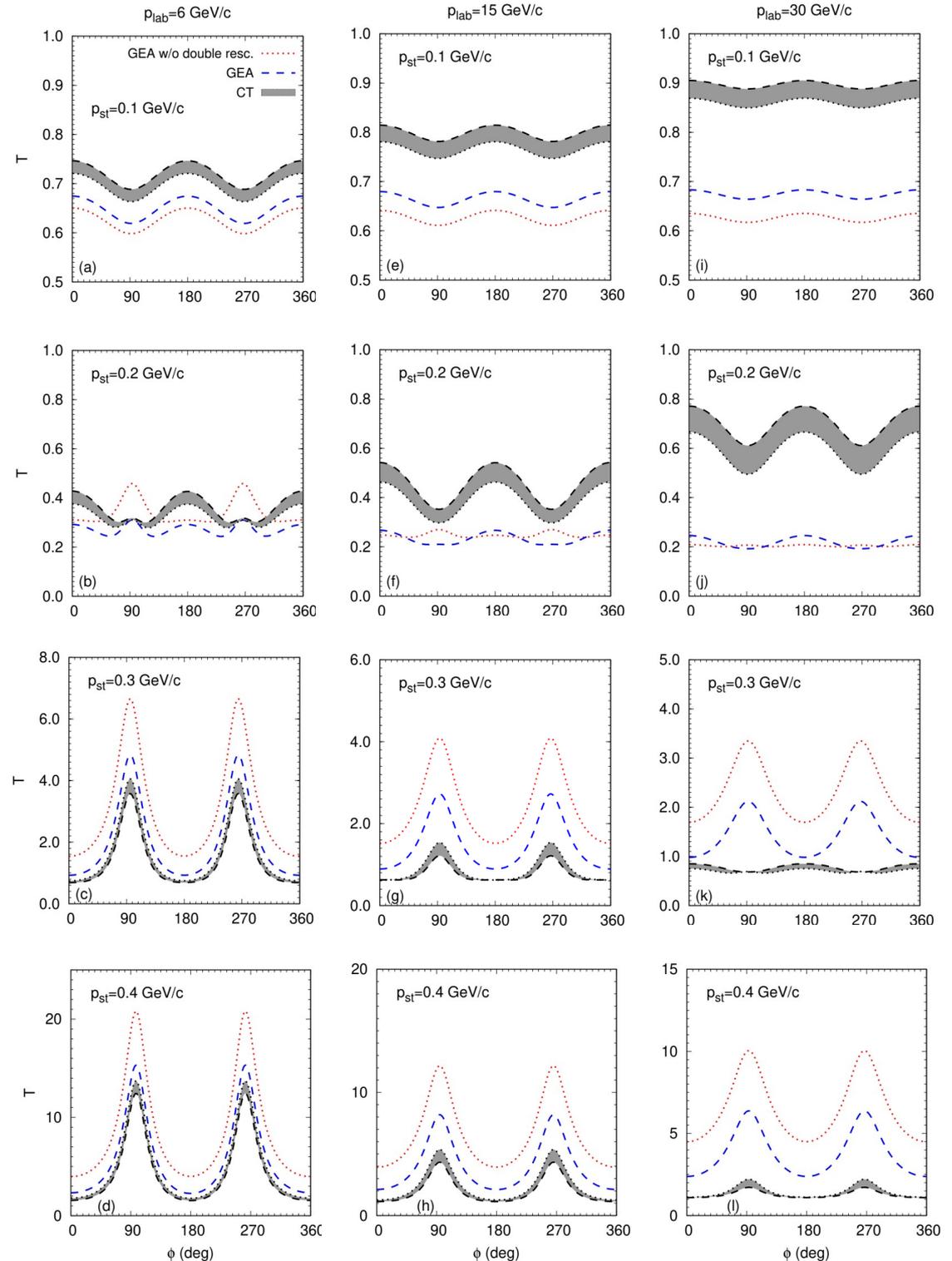


- enhanced single-rescattering amplitudes for outgoing protons (3 and 4) for $\phi=90^\circ$ and 270° when $\vec{p}_s \simeq \vec{k}_t$

- at small p_{st} this leads to the increased absorption while at large p_{st}
- to the increased yield at $\phi=90^\circ$ and 270°

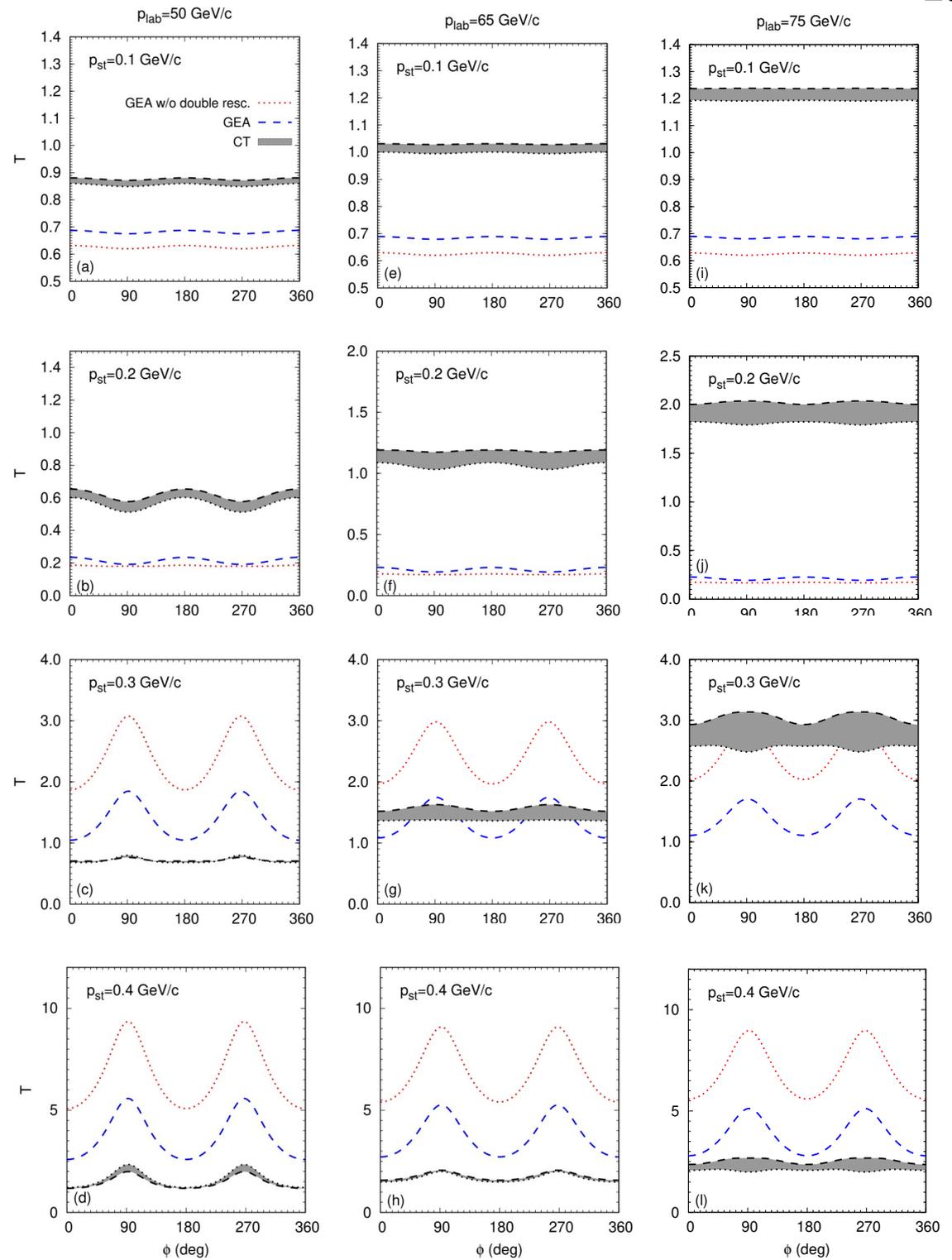
- CT effects grow with p_{lab} and become strongest at $p_{lab} \approx 30 \text{ GeV/c}$

- reasonable agreement with L.L. Frankfurt et al, PRC 56, 2752 (1997) at $p_{lab} = 6$ and 15 GeV/c



- between $p_{lab}=30$ and 50 GeV/c
the transparency changes quite weakly

- a tendency to isotropy at higher p_{lab}
in the calculations with CT



The tensor analyzing power (spin asymmetry)

$$A_{zz} = \frac{\sigma(+1) + \sigma(-1) - 2\sigma(0)}{\sigma(+1) + \sigma(-1) + \sigma(0)}$$

$\sigma(\lambda_d)$ - differential cross section for the fixed projection λ_d of deuteron spin on z-axis (along the proton beam)

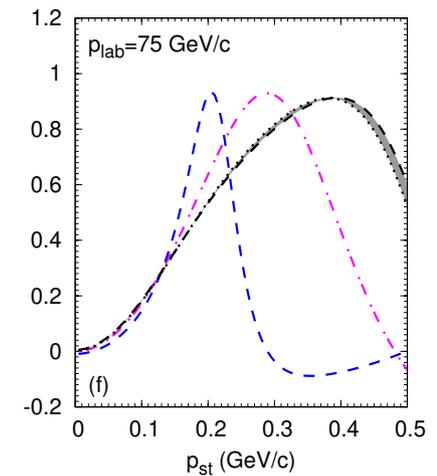
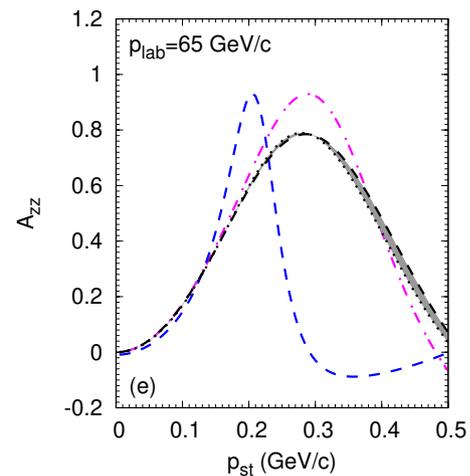
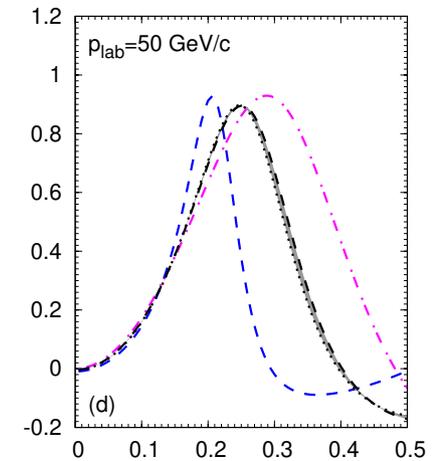
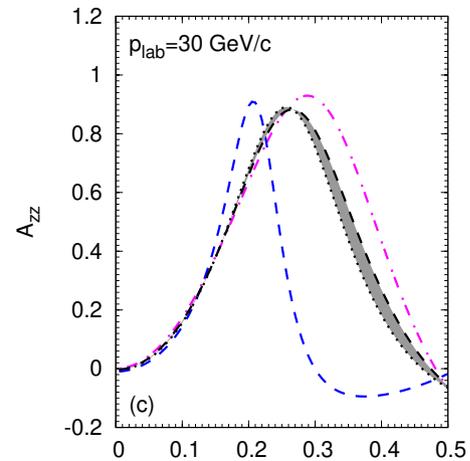
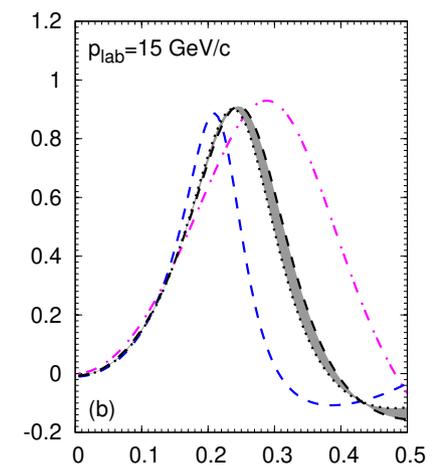
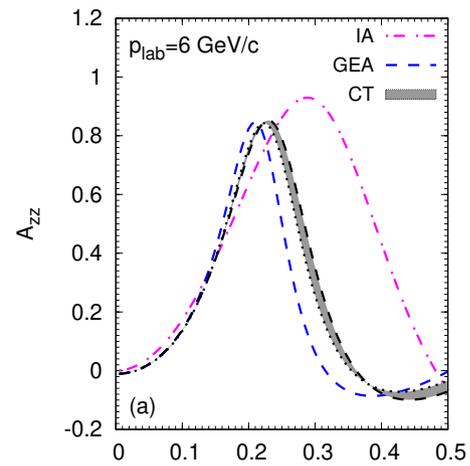
In the IA for a spin-independent hard amplitude, the tensor analyzing power is fully determined by the DWF:

$$\begin{aligned} A_{zz}^{IA} &= \frac{|\phi^{+1}(-\mathbf{p}_s)|^2 + |\phi^{-1}(-\mathbf{p}_s)|^2 - 2|\phi^0(-\mathbf{p}_s)|^2}{|\phi^{+1}(-\mathbf{p}_s)|^2 + |\phi^{-1}(-\mathbf{p}_s)|^2 + |\phi^0(-\mathbf{p}_s)|^2} \\ &= \frac{(3(p_s^z/p_s)^2 - 1)(\sqrt{2}u(p_s)w(p_s) - w^2(p_s)/2)}{u^2(p_s) + w^2(p_s)}. \end{aligned}$$

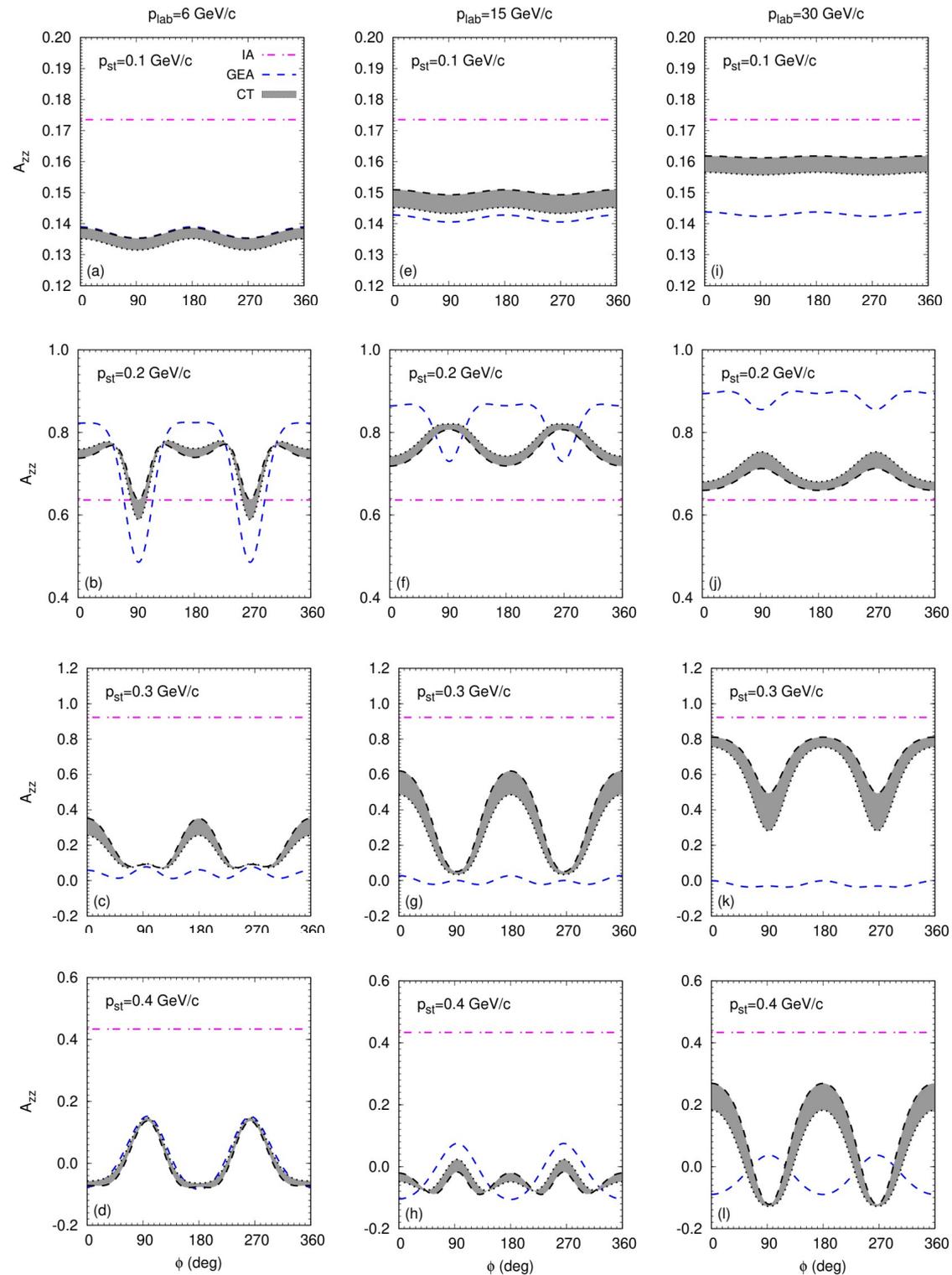
Thus, it probes the D-state component of the DWF.

Dependence of the tensor analyzing power on the transverse momentum of the spectator neutron

- shift of the peak from $p_{st} = 0.3$ GeV/c to $p_{st} = 0.2$ GeV/c and reduced width due to ISI/FSI in the GEA calculations
- pronounced CT effects due to the D-state dominance in A_{zz} (favors shorter distances in the deuteron)



Dependence of the **tensor analyzing power** on the azimuthal angle between the scattered proton and spectator neutron



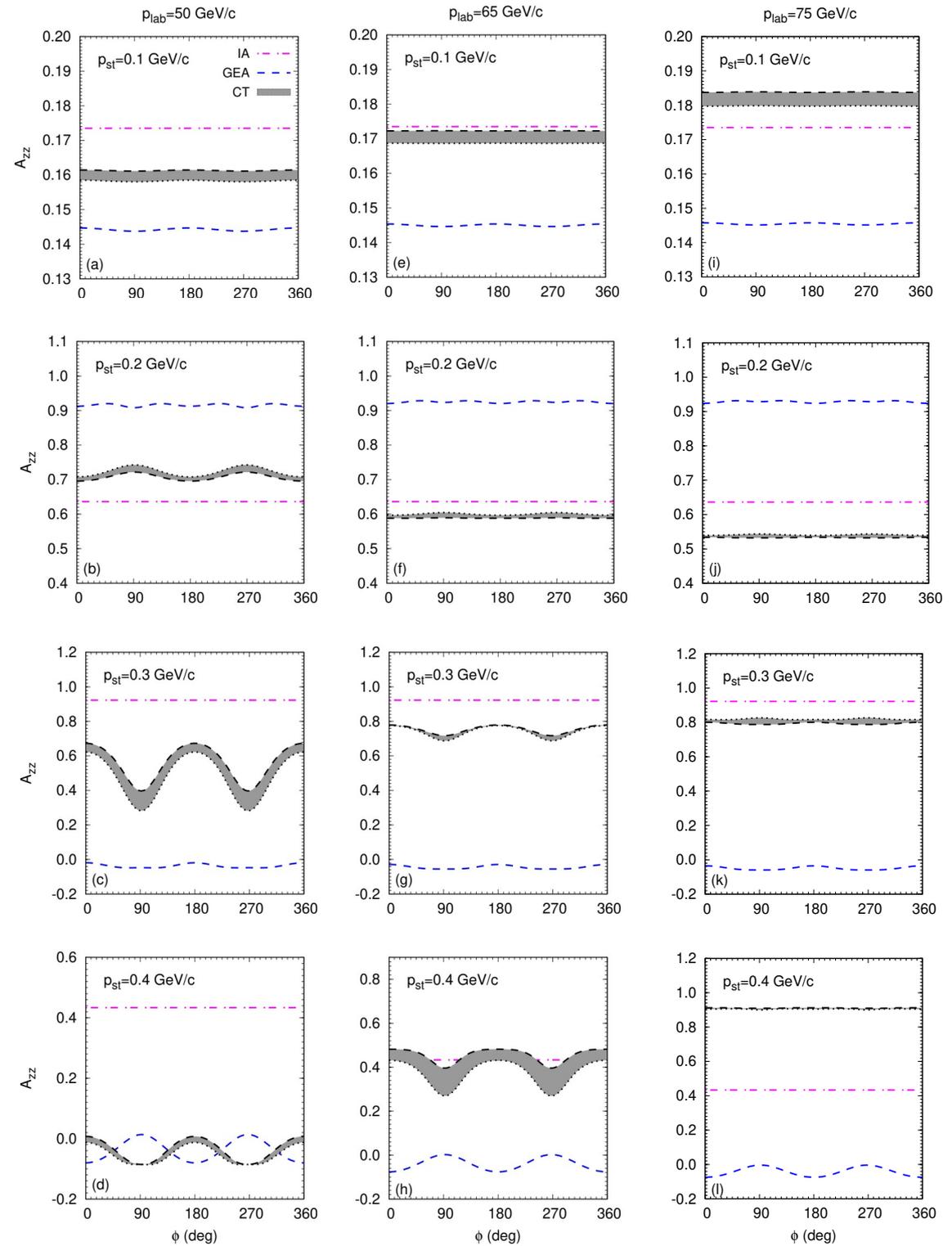
- in the GEA, A_{zz} behaves similar to T as a function of ϕ both at small and large p_{st}

- the influence of CT is strongest at $p_{st} \approx 0.3$ GeV/c

- $p_{lab} = 15-30$ GeV/c seems to be optimal for the studies of CT effects

- at higher beam momenta, the GEA gives a saturation of ϕ -dependence of A_{zz}

- in calculations with CT A_{zz} tends to isotropy in ϕ



An estimate of event rate at SPD-NICA

$$p_{\text{lab}} = 30 \text{ GeV}/c \quad (\sqrt{s_{NN}} = 7.6 \text{ GeV})$$

For $\Theta_{c.m.} = 90^\circ$ and $p_{st} = 0.2 \text{ GeV}/c$

$$\alpha_s \frac{d^4\sigma}{d\alpha_s dt d\phi p_{st} dp_{st}} \simeq 10^{-6} \mu\text{b}/\text{GeV}^4$$

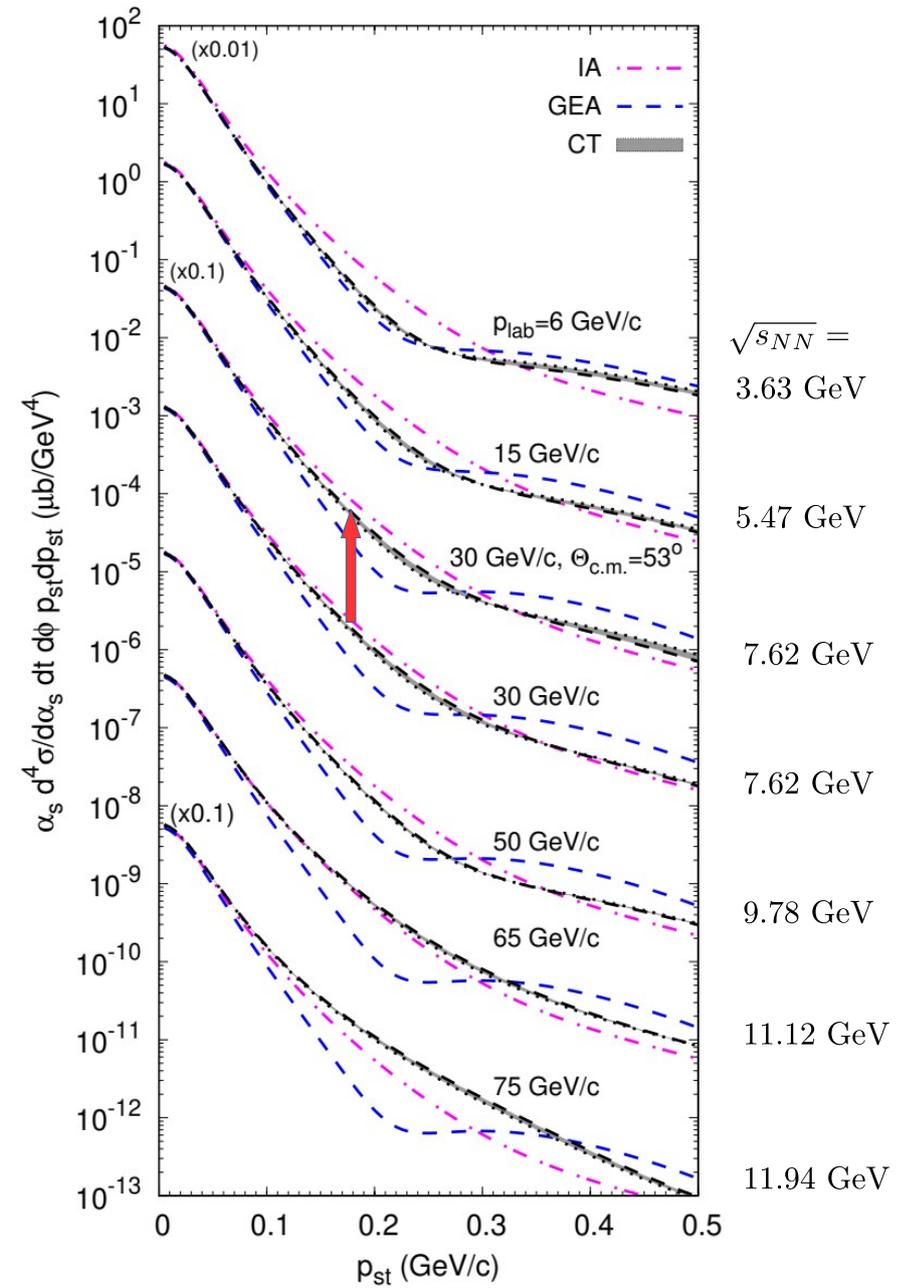
$\sigma \simeq 5 \text{ fb}$ in the ranges $\Delta\alpha_s = 0.2$, $\Delta t = 3 \text{ GeV}^2$,
 $\Delta\phi = \pi/3$, $\Delta p_{st} = 0.04 \text{ GeV}/c$.

3 events/year for $L = 2 \cdot 10^{31} \text{ cm}^{-2} \text{ s}^{-1}$

Too low because $d\sigma_{pp}^{\text{QC}}/dt$ quickly drops with $|t|$.
 Smaller $|t|$ needed.

➔ Several events/day

for $\Theta_{c.m.} = 53^\circ$, i.e. for $t = 0.4(4m^2 - s)/2$



- Calculations for the $d(p,2p)n$ large-angle process at $p_{\text{lab}}=6-75$ GeV/c ($\sqrt{s_{\text{NN}}}=3.6-12$ GeV) are performed on the basis of the generalized eikonal approximation. The effects of CT are included within the quantum diffusion model, including the interference of the small- and large-size qqq configurations.
- At $p_{\text{lab}}=6$ and 15 GeV/c the calculated nuclear transparency agrees with earlier results by [L. Frankfurt et al \(1997\)](#) reasonably well. Some differences are presumably due to different parameters of the soft NN rescattering amplitude.
- Similar to the case of heavier nuclear targets, the Landshoff component of the hard $pp \rightarrow pp$ amplitude is effectively filtered-out that leads to the oscillation pattern of the nuclear transparency as a function of p_{lab} at small transverse momentum of the spectator neutron.
- The azimuthal dependence of the nuclear transparency and of the tensor analyzing power are especially sensitive to the CT effects.
- Extremely low reaction rates for $\Theta_{\text{c.m.}}=90^\circ$. The effects of CT remain almost unchanged if one reduces $\Theta_{\text{c.m.}}$ from 90° to $\sim 50^\circ$ that increases the event rate by 2-3 orders of magnitude to the level of several events per day.