**Annex 3.**

***Form of opening (renewal) for Project /***

***Sub-project of LRIP***

**APPROVED**

**JINR DIRECTOR**

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**SCIENTIFIC AND TECHNICAL REASONING FOR THE OPENING / RENEWAL**

**OF PROJECT/SUB-PROJECT OF LARGE RESEARCH INFRASTRUCTURE PROJECT**

**IN RESEARCH AREA WITHIN THE TOPICAL PLAN FOR JINR RESEARCH**

**1. General information on the project/subproject of the large research infrastructure project**

**(hereinafter LRIP)**

* 1. **Theme code / LRIP** (for renewable themes) - *the theme code includes the opening date, the closing date is not given, as it is determined by the completion dates of the projects in the topic.*

**02-1-1096-2010/2023** Study of rare charged kaon decays and Search for dark sector in experiments at the CERN SPS

* 1. **Project/sub-project of a MIP code** (for renewed themes)
  2. **Laboratory of High Energy Physics, LHEP**
  3. **Scientific field** Elementary particle physics and relativistic nuclear physics, **HEP & PP**
  4. **The name of the Project/subproject of the LRIP NA64** experiment

**1.6 Project/ sub-project of the LRIP Leader(s) V.A.Matveev**

**1.7 Project/sub-project of the LRIP Deputy Leader(s) (scientific supervisor of the project/sub-project of the LRIP)**

**D.V.Peshekhonov**

**2 Scientific rationale and organisational structure**

**2.1 Annotation**

Despite the intensive searches at the LHC and in non-accelerator experiments Dark Matter (DM) is still a great puzzle. Though stringent constraints obtained on DM coupling to Standard Model (SM) particles ruled out many DM models, little is known about the origin and dynamics of the dark sector itself. The main difficulty so far is that the only established way to probe DM is through its gravitational interaction. An exciting possibility is that in addition to gravity, a new force between the dark sector and visible matter transmitted by a new vector boson A' (dark photon) might exist. Such A' could have a mass mA' < 1 GeV - associated with a spontaneously broken gauged U(1)D symmetry- and couple to the SM through

kinetic mixing with the ordinary photon, parametrized by the mixing strength ε<< 1. This has motivated a worldwide theoretical and experimental effort towards searches for dark forces and other portals between the visible and dark sectors, shifting the strategy from the high energy to the high intensity frontier.

An additional motivation for the existence of the A' has been provided by hints of the astrophysical signals of dark matter, as well as the 3.6 σ deviation from the SM prediction of the muon anomalous magnetic moment (g-2)μ. Possible explanation of the (g-2)μ anomaly is related to the existence of a new light ( with a mass mZ' < 1 GeV) vector boson which couples very weakly with the muon with αZ' ~ O(10-8).

The NA64 experiment  is a  fixed-target experiment at the CERN SPS designed as a hermetic detector to search for Dark Sector physics in missing energy events from electron/positron, muon and hadrons scattering off nuclei.

**2.2 Scientific justification (**purpose, relevance and scientific novelty, methods and approaches, methodologies, expected results, risks)

The proposal (P348) to search for Dark Sectors at the CERN Super Proton Synchrotron (SPS) [1] was positively received by the SPS committee (SPSC) in April 2014. The test beam run was granted in 2015 for a feasibility study, and the proposal was finally approved as the 64th CERN experiment in the North Area (NA64) in March 2016. NA64 is designed as a hermetic general-purpose detector to search for Dark Sector (DS) physics in missing energy events from electron/positron, hadron, and muon scattering off nuclei. The main focus of the NA64 is Light thermal Dark Matter (LDM) interacting with the Standard Model (SM) via vector (or other) portal, such e.g. as dark photons (A′) and a variety of New Physics scenarios. The experiment, in electron mode (NA64e), employs the optimized 100 GeV electron beam from the H4 beam-line at the North Area. The beam was designed to transport the electrons with the maximal intensity up to a few 107 per SPS spill of 4.8 s in the momentum range between 50 and 150 GeV/c. The hadron contamination in the electron beam was measured to be at a level of π/e− < 2% and K/e− < 0.3%. The NA64 experiment run from 2016 until 2018, and after the CERN long shutdown (LS2) in 2021, it resumed data taking in a new permanent location at H4 beamline CERN prepared for us. Despite the experiment being quite new, very interesting results were rapidly achieved [2–4]. In this contribution, we review the main results accomplished so far subdividing those into the A′ decay modes being explored.

**Invisible mode:** NA64 pioneered the active beam dump technique combined with the missing energy measurement to search for invisible decays of massive A′, produced in the ECAL target (the electromagnetic (em) calorimeter) by the dark Bremsstrahlung reaction e−Z → e−ZA′, where electrons scatter off a nucleus of charge Z. After its production, the A′ would promptly decay into a pair of LDM candidate particles, A′ → χχ, which would escape the setup undetected leaving missing energy as a signature. For this reason, we call these searches invisible. The parameter space characterized by mixing strengths 10−6 < ε < 10−3 and masses mA′ in the sub-GeV range is the NA64 physics scope: a region where the DM origin can be explained as a thermal freeze-out relic. Missing energy experiments, such as NA64, require precise knowledge of the incoming beam (momentum and particle ID) and an accurate measurement of the deposited energy from the incoming beam’s interaction. A signal event is defined as a single electromagnetic shower in the ECAL with an energy EECAL below the given threshold1 accompanied by a significant missing energy Emiss = EA′ = Einitial − EECAL. The occurrence of the A′ production is inferred in case these events show an excess above those expected from backgrounds. In Fig. 1, we present a sketch of the setup and a summary of the NA64 working principle. The signal yield for an active beam approach is proportional to ε2, thus, enhancing the sensitivity for NA64 with respect to the yield ∝ αDε4 in traditional beam-dump approach where the A′ decay is measured in a detector further away from its production point in the dump.

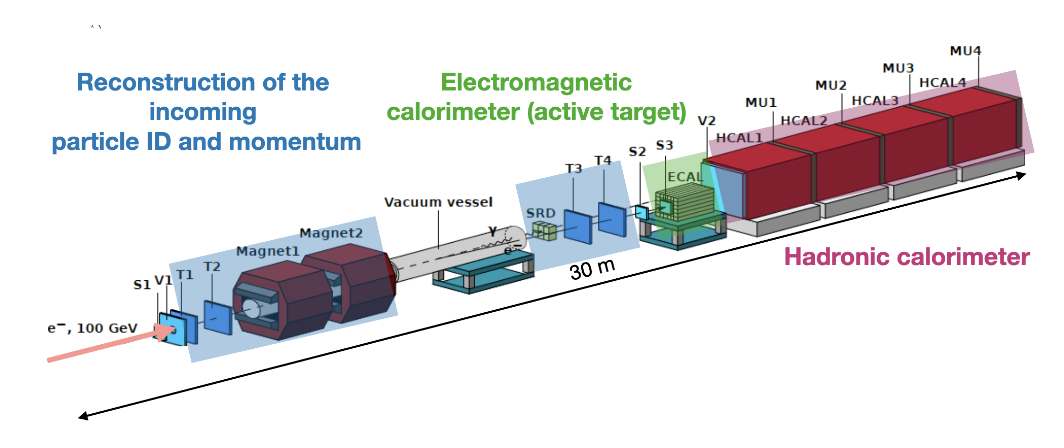


Figure 1: Na64 setup and working principle for the search of dark photons through missing ebergy in the active target (ECAL). Section up to the ECAL responces on the reconstruction of the incoming particle ID and momentum.

The main results achieved so far in the invisible electron mode are:

In 2016-2018 data taking runs: 3 · 1011 electrons on target (EOT) have been collected. No signal-like event was detected. However, the results of the combined analysis, illustrated in Fig. 2, set the most stringent limit for LDM below 0.1 GeV for the canonical benchmark parameters αD = 0.1 and mA′ = 3mχ, thus, NA64 became the leading beam-dump experiment in this region. Besides this, obtained data excludes most of the favored region of parameter space compatible with the muon g − 2 anomaly depicted as a band in the left plot of Fig.2. After our results were published, BABAR completely closed the remaining region of parameter space which could provide an explanation as the Dark photon contributing to the g-2 muon [5]. These results were selected as PRL editor’s suggestion [6].

In addition to the Bremsstrahlung reaction, the resonant A′ production channel through the e− annihilation with the positrons present in the electromagnetic shower has also been considered. The 90% C.L. exclusion limits from the combined analysis are shown in Fig. 2. The inclusion of the resonant process in the data analysis allows enhancing the NA64 sensitivity for a given dark photon mass resulting in a peak around 200 MeV. The addition of this process improves the NA64 sensitivity in the high mass region, where the Dark photons yield is suppressed due to the 1/m2A′ dependency of the Bremsstrahlung cross-section (see [6]). Using positrons as a primary beam instead of electrons would increase by another order of magnitude the sensitivity of NA64 at a given mass depending on the beam energy. By scanning the positron beam energy the mass range probed by this mode can be further expanded. The drawback is that one has to deal with about an order of magnitude more hadron contamination in the beam since the secondary particles are created by the primary 400 GeV SPS protons and thus positively charged hadrons are more abundant than their negative counterpart. To study the impact of the increased hadron contamination and the possible resulting background, a first test beam with 100 GeV positron was taken during the 2022 run (see below). Electron/positron beam-dump experiments allow exploring alternative scenarios to the dark photon hypothesis. NA64 has already proven its potential to search for light-scalar and pseudo-scalar axion-like particles (ALPs) produced through the Primakoff reaction [7]. The current NA64 coverage in these searches closes part of the gap between beam-dump and LEP bounds and it is shown in the right plot of Fig. 3. A search for a generic X-boson coupling to electrons could also be performed. We were positively surprised that the NA64 sensitivity was an order of magnitude more stringent than precision experiments [8]. However, one should note that in NA64 we assume the X-boson to decay invisibly while the electron g-2 [9] and the fine structure measurements [10, 11] are  
model-independent.

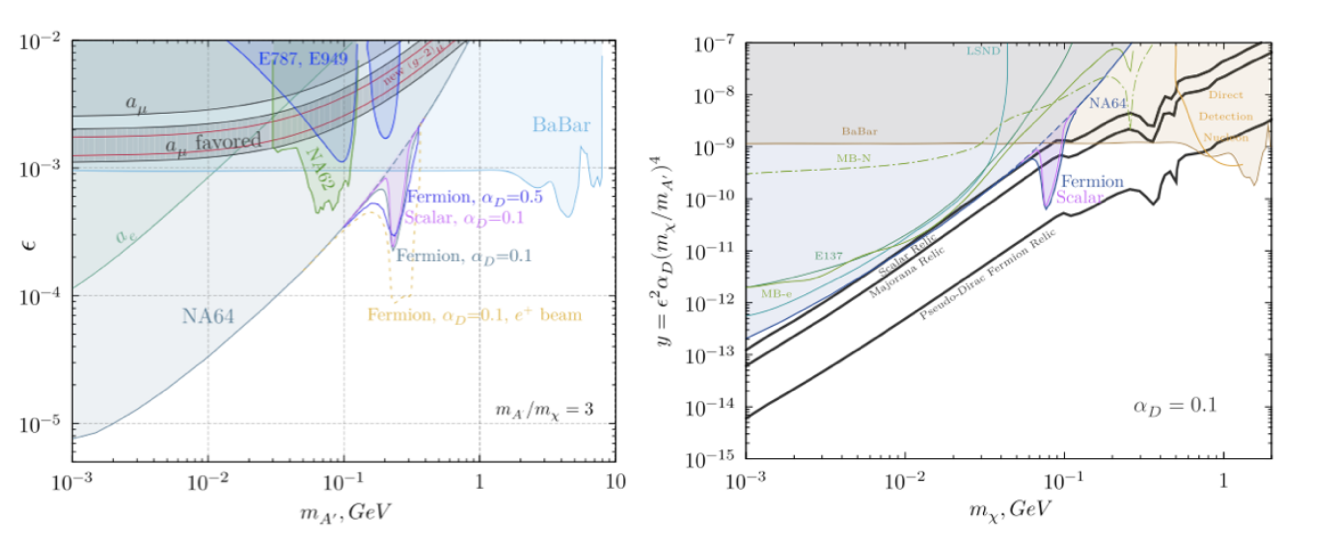


Figure 2: Current status of NA64 experiment 90% C.L. exclusion limits on A’ invisible decays including both the Bremsstrahlung and the resonant A’ production channeks (left) LDM searches (right) [6]

**Visible mode:** The method for the search of A′ → e+e− (X → e+e−) decays is described in [1, 12]. In this case, the setup is slightly modified to include an additional compact calorimeter upstream with respect to the ECAL. If the A′ exists, due to the A′(X) − e− coupling it would occasionally be produced by a shower electron (or positron) in its scattering off a nucleus in the dump: e− + Z → e− + Z + A′(X); A′(X) → e+e−. Since the A′ is penetrating, it would escape the beam dump and subsequently decay into an e+e− pair in a downstream set of detectors. The pair energy would be equal to the energy missing from the target. Thus, the signature of the A′(X) → e+e− decay is an event with two em-like showers in the detector: one shower in the dump, and another one in the ECAL, located downstream  
in this case, with the sum energy being equal to the beam energy.

In 2017-2018 runs: ~ 1011 EOT were accumulated. No candidates were found in the signal box. These results set the first limits on the X − e− coupling in the range 1.2 × 10−4 < εe < 6.8 × 10−4 excluding part of the parameter space suggested by the so called Beryllium anomaly [13] which could be explained by a new X boson with a mass around 17 MeV (named X17) [14]. In addition, new bounds are set on the mixing strength of photons with dark photons (A′) from non-observation of the decay A′ → e+e− of the Bremsstrahlung A′ with a mass < 23 MeV. The corresponding paper was highlighted as an editor’s suggestion in Phys. Rev. Lett. 5 [4] and in Phys. Rev. D Rapid [15]. Recently, these searches have been extended also to a pseudo-scalar particle decaying visibly into a lepton pair and the result has been published in Phys. Rev. D [16].

To completely cover the remaining region of parameter space a new shorter optimized WCAL and a new spectrometer with the possibility to reconstruct the X17 invariant mass should be used as proposed in [17]. Everything has been prepared and is ready for installation. However, since it cannot run in parallel with the invisible mode setup we decided to postpone this search. About 30 days of beam-time would be required to solidly probe the remaining X17parameter space, therefore if the results from PADME currently taking data [18], would confirm this anomaly we would be able to cross-check this in the 2024 run.

**Semi-visible mode:** Alternative extended scenarios envisioning two DM species split in mass could result in a signature that is a combination of the two signatures described above. A very intriguing feature of this channel is related to the possibility to recover both the DM thermal freeze-out and the (g − 2)μ anomaly explanations, by evading the existing experimental constraints on pure visible and invisible modes [19]. These types of models are known as inelastic DM and we refer to their signatures as a semi-visible channel. An analysis based on a recast of the results from the combined 2016-2018 data [20] (see the left plot of Fig. 3) has already demonstrated the potential of NA64 to study these models.

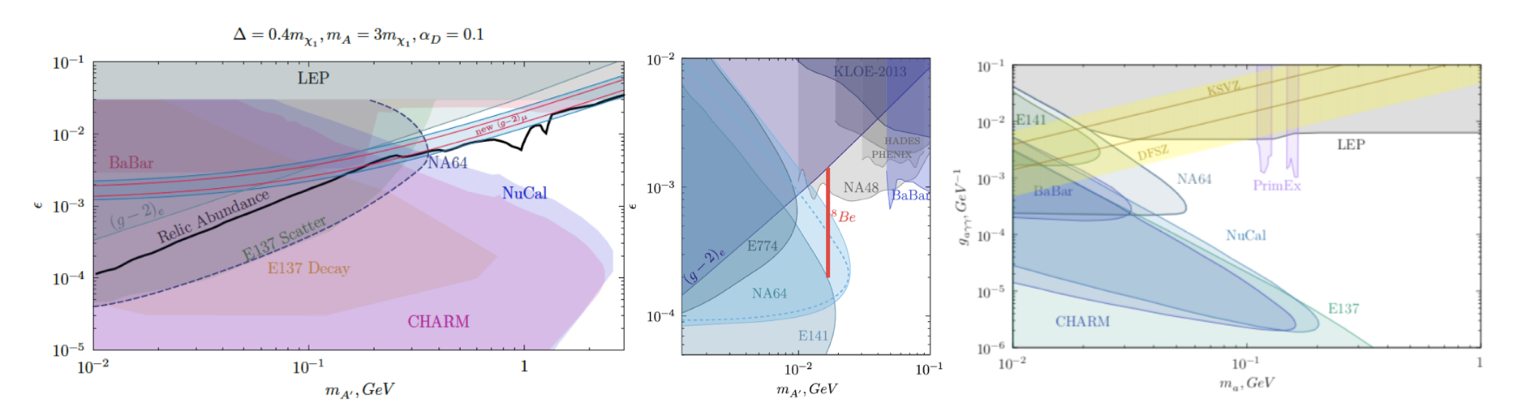


Figure 3:Current status of the NA64 experiment 90% C.L. exclusion limits on semi-visible A’ decays [20] (left) visible decays (center) [15] and NA64 coverage in SLPs searches [21] (right)

**Current status and prospects of NA64**

The very dense NA64 program restarted after LS2 in August 2021 with the installation of the setup in the new permanent experimental area in the H4 SPS beam line. The setup was ready for the 6 weeks beam-time in 2021 and took data during 10 weeks in 2022. The goal is to continue our DS exploration until LS3 and collect around 5 · 1012 EOT in order to probe the parameter space for light DM models suggested by the observed relic density and other interesting New Physics scenarios. Depending on the results of PADME, in 2024 the upgraded visible setup could be installed to probe the full parameter space of the hypothetical X17 boson.

Combining the 2021 data with the one collected before LS2 (total statistic of 3.2 · 1011 electrons on target), weperformed a search for a new Z′ gauge boson associated with (un)broken B-L symmetry [22]. No signal events were found, thus, new constraints on the Z′-e coupling strength were set. For the mass range 0.3 < mZ′ < 100 MeV, these limits are more stringent than those obtained from the neutrino-electron scattering data (see Fig. 4. The data also indicate that NA64 is background free at a level of 1 × 1012. Another possibility that is currently under investigation is based on the existence of a light Z′ boson resulting from gauging the difference of the lepton number between the muon and tau flavor. This hypothetical boson can couple via QED vertex corrections to the electron and its existence could explain both the muon g-2 anomaly and the DM relic composition. Moreover, this Z′ can be produced again through the dark Bremsstrahlung process, e−N → e−N Z′, but also via the resonant annihilation with secondary positrons from the shower. With the 2016-2018 statistics, NA64 was able to probe in this scenario the region suggested by the (g − 2)μ anomaly up to mZ′ ∼ 1 MeV [23]. Such a light Z′ can additionally couple directly to muons and its search is therefore also one of the physics goals of NA64μ, the NA64 extension using a high energetic muon beam [24, 25].

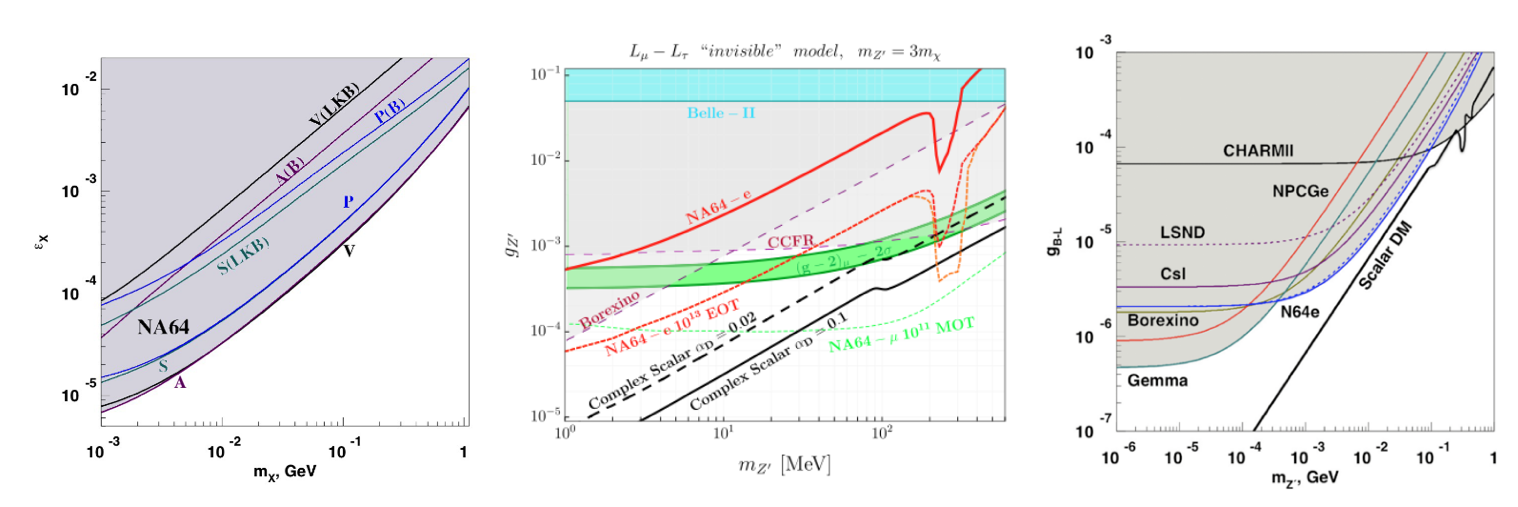


Figure 4: Left: NA64 limits for a generic X boson, for the scalar (S), vector (V), pseudo-scalar (P) and asial vector (A) cases [8]. Center: NA64e exclusion limit for the L μ – Lτ (red line) obtained with 2016-2018 statistics for models where Z’ can decay to DM particles [23]. The red dashed curves present the sensitivity projections for A FUTURE NA64e runs with an elelctron (positron) beam, for a total of 1013 EOT, while the green dashes curve is the sensivity projection of NA64μ. Right : NA64 exclusion limits for a new B-L Z’ boson [22].

The NA64μ program started in 2021 with two pilot runs at the M2 beam-line using the unique CERN 160 GeV/c muon beam. This will probe DS in a complementary way to the H4 measurements with electrons and will address the g-2 muon anomaly [25]. The main difference between the experimental technique used in NA64μ compared to NA64e is that in this case one has to rely solely on momentum reconstruction to measure the missing energy carried away from a possible Z′ or A′ decay. This makes NA64μ much more challenging than NA64e where one employs calorimeters for this purpose. During the pilot runs in 2021 and 2022, a total of 4 · 1010 MOT were collected. The analysis is still ongoing but the preliminary results already hint to the fact that an additional spectrometer should be added upstream the ECAL since an accurate determination of the incoming momentum is crucial for the experiment. This will be tested in 2023 and the first physics runs are expected for 2024-2025. It is worth mentioning, that for the new-physics process simulations and for detailed comparison between data and Monte Carlo, a new GEANT4 based package called DMG4 [26] was developed by NA64 members, which has been well accepted by the community, see, e.g [27].

**Outlook and conclusions**

NA64 just reached a major milestone of accumulating ∼ 1012 EOT which allows one to start probing very interesting LDM benchmark models. The analysis is ongoing and with the increased statistics we expect to improve the sensitivity also of our searches for ALPs, Lμ-Lτ and B-L Z′ bosons, inelastic DM and generic X bosons. The plan until LS3 is to accumulate as many as possible electrons on target and if the background will be under control also use the positron mode to enhance the sensitivity in the higher A′ mass region. To study the impact of the larger hadron contamination when running with positrons compared to electrons, in the 2022 run 2 days were used to collect ∼ 1010 positrons on  
target (the analysis is ongoing).

NA64 also started its program at the M2 beam-line providing unique high intensity 160 GeV muons to explore dark sectors weakly coupled to muons. The results of the pilot runs show that with an optimized setup, one could collect > 1011 MOT before LS3 in order to check if an Lμ-Lτ Z′ boson is the explanation of the g-2 muon anomaly and complement the searches with electrons (see [28]). After LS3 the experiment would then continue data taking to accumulate ∼ 1013 MOT to explore the A′ higher mass region and μ → τ and μ → e LFV processes [29].

In the 2022 beam-time, we also dedicated 1 day of data taking to accumulate ∼ 2 × 109 pions on target in order to understand the potential of NA64 to explore dark sectors coupled predominantly to quarks using the missing energy technique [30, 31]. This will be further investigated and, if the feasibility would be demonstrated, a dedicated search will be performed after LS3.

To conclude the exploration of the NA64 physics potential has just begun. Our proposed searches with leptonic and hadronic beams provide unique sensitivities highly complementary to similar projects.

**Risks**:

- the possible overall reduction in the beam time for the experiments at CERN;

- JINR and RF institution are strongly involved in the experiment covering almost all main setup subsystems. JINR team in particular has hardware responsibility on the straw tube tracking detectors and deeply involved in the support of the experiment DAQ. Based on this we see the risk in possible difficulties with fulfilling of obligations for JINR and RF institutions.

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**2.3 Estimated completion date**

We ask to prolong JINR participation in the NA64 project for 2024-2026. The project will be completed after 2030 when expected statistics will be collected.

**2.4 Participating JINR laboratories:**

Veksler and Baldin Laboratory of High Energy Physics (VBLHEP), Bogolyubov Laboratory of Theoretical Physics (BLTP), Dzhelepov Laboratory of Nuclear Problems (DLNP)

**2.4.1** **MICC resource requirements**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Computing resources** | **Distribution by year** | | | | |
| 1st year | 2nd year | 3rd year | 4th year | 5th year |
| Data storage (TB)  - EOS  - Ribbons | 0 | 0 | 0 |  |  |
| Tier 1 (core-hour) | 0 | 0 | 0 |  |  |
| Tier 2 (core-hour) | 0 | 0 | 0 |  |  |
| SC Talker (core-hour)  - CPU  - GPU | 0 | 0 | 0 |  |  |
| Clouds (CPU cores) | 0 | 0 | 0 |  |  |

**2.5. Participating countries, scientific and educational organisations**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Organisation** | **Country** | **City** | **Participants** | **Type**  **of agreement** |
| Belarus | Minsk | BSU | A.Solin+1 | Joint work |
| Chilie | Valparaiso  Santiago | UTFSM  UAB | S.Kuleshov + 8 | Joint work |
| Germany | Bonn | UniBonn | B.Ketzer + 2 | Joint work |
| Italy | Genova | INFN | A.Celentano+10 | Joint work |
| Russia | Moscow, Troitsk | INR RAS | S.Gninenko + 9 | Joint work |
|  | Protvino | IHEP | V.Polyakov + 5 | Joint work |
|  | Troitsk | HPPI | V.Tihomirov + 1 | Joint work |
|  | Tomsk | TPU | V.Lubovitskiy + 4 | Joint work |
| Switzerland | Zurich | ETH | A.Rubbia + 4 | Joint work |

**2.6. Co-executing organisations** *(those collaborating organisations/partners without whose financial, infrastructural participation the implementation of the research programme is impossible. An example is JINR's participation in the LHC experiments at CERN).*

European Organization for Nuclear Research (CERN)

**3. Staffing**

**3.1. Staffing needs in the first year of implementation**

|  |  |  |  |
| --- | --- | --- | --- |
| **№№**  **n/a** | **Category**  **employee** | **Core staff,**  **Amount of FTE** | **Associated**  **Personnel**  **Amount of FTE** |
| 1. | scientific staff | 4,8 |  |
| 2. | engineers | 1,5 |  |
| 3. | professionals |  |  |
| 4. | employees |  |  |
| 5. | workers | 0,2 |  |
|  | **Total:** | **6,5** |  |

**3.2. Human resources available**

**3.2.1. JINR core staff**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **№№ п/a** | **Category**  **of employees** | **NAME** | **Division** | **Position** | **Amount**  **of FTE** |
| 1. | scientific staff | Victor Matveev | JINR Directorate | Scientific leader, team leader | 0,1 |
| 2 | scientific staff | Dmitry Peshekhonov | VBLHEP | Head of physics division, deputy team leader | 0,7 |
| 3 | scientific staff | Georgy Kekelidze | VBLHEP | Head sector | 0,3 |
| 4 | scientific staff | Victor Kramarenko | VBLHEP | Senior researcher | 0,4 |
| 5 | scientific staff | Victor LYsan | VBLHEP | researcher | 0,2 |
| 6 | scientific staff | Temur Enik | VBLHEP | Senior researcher | 0,3 |
| 7 | scientific staff | Kirill Salamatin | VBLHEP | researcher | 0,9 |
| 8 | scientific staff | Petr Volkov | VBLHEP | reseacher | 0,5 |
| 9 | scientific staff | Svetlana Gertsenberger | VBLHEP | reseacher | 0,5 |
| 10 | scientific staff | Artem Ivanov | VBLHEP | researcher | 0,3 |
| 11 | scientific staff | Aleksey Zhevlakov | BLTP | Senior reseacher | 0,4 |
| 12 | scientific staff | Vladimir Frolov | DLNP | reseacher | 0,2 |
| 13 | engineers | Ismail Kambar | VBLHEP | engineers | 0,5 |
| 14 | engineers | Elina Kasianova | VBLHEP | engineers | 1,0 |
|  | professionals |  |  |  |  |
| 15 | workers | Igor Zhukov | VBLHEP | mechanik | 0,2 |
|  | **Total:** |  |  |  | **6,5** |

**3.2.2. JINR associated personnel**

|  |  |  |  |
| --- | --- | --- | --- |
| **№№ п/a** | **Category of employees** | **Partner organisation** | **Amount of FTE** |
| 1. | Scientific employees |  |  |
| 2. | engineers |  |  |
| 3. | professionals |  |  |
| 4. | workers |  |  |
|  | **Total:** |  |  |

**4. Financial support**

**4.1 Total estimated cost of the project/sub-project of the LRIP**

Forecast of the total estimated cost (specify cumulatively for the whole period, excluding FPC).

The details are given in a separate form.

**4.2 Extrabudgetary funding sources**

Estimated funding from co-executors/customers - total.

**Project (****sub-project of the LRIP) Leader** \_\_\_\_\_\_\_\_\_\_/\_\_\_\_\_\_\_\_\_\_\_/

Date of submission of the project (sub-project of the LRIP) to DSOA: \_\_\_\_\_\_\_\_\_

Date of decision of the laboratory's STC: \_\_\_\_\_\_\_\_\_ document number: \_\_\_\_\_\_\_\_\_

Year of the project (subproject of the LRIP) opening: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(for renewable projects) -- Project start year: \_\_\_\_\_\_\_

**Schedule proposal and resources required for the implementation   
of the Project / Sub-project of the LRIP**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Names of costs, resources,**  **sources of funding** | | | **Cost (thousands**  **of dollars)**  **resource requirements** | **Cost,**  **distribution by year** | | | | |
| 1st year | 2nd year | 3rd year | 4th year | 5th year |
|  | | International cooperation (IC) | 285 | 70+35 | 70+35 | 40+35 |  |  |
| Materials | 45 | 15 | 15 | 15 |  |  |
| Equipment and third-party services (commissioning) | 60 | 20 | 20 | 20 |  |  |
| Commissioning work |  |  |  |  |  |  |
| Services of research organisations | 30 | 10 | 10 | 10 |  |  |
| Acquisition of software |  |  |  |  |  |  |
| Design/construction |  |  |  |  |  |  |
| Service costs (*planned in case of direct project affiliation)* |  |  |  |  |  |  |
| **Resources required** | **Normo-hours** | Resources |  |  |  |  |  |  |
| * the amount of FTE, | 19,5 | 6,5 | 6,5 | 6,5 |  |  |
| * accelerator/installation, |  |  |  |  |  |  |
| * reactor,…. |  |  |  |  |  |  |
| **Sources of funding** | **Budgetary resources** | JINR budget *(budget items)* | 420 | 150 | 150 | 120 |  |  |
| **Extrabudgetary (supplementary estimates)** | Contributions by  co-contractors  Funds under contracts with customers  Other sources of funding |  |  |  |  |  |  |

Project (sub-project of the LRIP) Leader\_\_\_\_\_\_\_\_\_/\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_/

Laboratory Economist \_\_\_\_\_\_\_\_\_/\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_/

**APPROVAL SHEET FOR PROJECT / SUBPROJECT OF THE LRIP**

NAME OF THE PROJECT/SUBPROJECT OF THE LRIP

DESIGNATION OF THE PROJECT / SUBPROJECT OF THE LRIP

PROJECT/SUBPROJECT OF THE LRIP CODE

THEME / LRIP CODE

NAME OF THE PROJECT/ SUBPROJECT OF THE MIP LEADER

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  |  | |
| AGREED |  |  |  | |
| JINR VICE-DIRECTOR | \_\_\_\_\_\_\_\_\_\_\_  SIGNATURE | \_\_\_\_\_\_\_\_\_  NAME | \_\_\_\_\_\_\_\_\_  DATE |  |
| CHIEF SCIENTIFIC SECRETARY | \_\_\_\_\_\_\_\_\_\_\_  SIGNATURE | \_\_\_\_\_\_\_\_\_  NAME | \_\_\_\_\_\_\_\_\_  DATE |  |
| CHIEF ENGINEER | \_\_\_\_\_\_\_\_\_\_\_  SIGNATURE | \_\_\_\_\_\_\_\_\_  NAME | \_\_\_\_\_\_\_\_\_  DATE |  |
| LABORATORY DIRECTOR | \_\_\_\_\_\_\_\_\_\_\_  SIGNATURE | \_\_\_\_\_\_\_\_\_  NAME | \_\_\_\_\_\_\_\_\_  DATE |  |
| CHIEF LABORATORY ENGINEER | \_\_\_\_\_\_\_\_\_\_\_  SIGNATURE | \_\_\_\_\_\_\_\_\_  NAME | \_\_\_\_\_\_\_\_\_  DATE |  |
| LABORATORY SCIENTIFIC SECRETARY  THEME / MIP LEADER | \_\_\_\_\_\_\_\_\_  SIGNATURE | \_\_\_\_\_\_\_\_\_  NAME | \_\_\_\_\_\_\_\_\_  DATE |  |
| PROJECT / SUBPROJECT OF THE LRIP LEADER | \_\_\_\_\_\_\_\_\_\_  SIGNATURE | \_\_\_\_\_\_\_\_\_  NAME | \_\_\_\_\_\_\_\_\_  DATE |  |
|  |  |  |  |  |
| APPROVED BY THE PAC | \_\_\_\_\_\_\_\_\_\_\_  SIGNATURE | \_\_\_\_\_\_\_\_\_  NAME | \_\_\_\_\_\_\_\_\_  DATE | |

**Annex 4.**

***Project (sub-project of the LRIP) report form***

**1. General information on the project** **/ subproject of the LRIP**

**1.1. Scientific field**

**1.2. Name of the project / subproject of the LRIP**

**1.3. Project (subproject of the LRIP) code**

***Example (04-4-1140-1-2024/2027)***

**1.4. Theme / LRIP code**

***Example (theme 04-4-1140-2024,* MIP *04-4-1140-2024)***

**1.5. Actual duration of the project/** **subproject of the LRIP**

**1.6. Project / subproject of the LRIP Leader(s)**

**2. Scientific report**

**2.1. Annotation**

**2.2. A detailed scientific report**

2.2.1. Description of the mode of operation and functioning of the main systems and equipment

(for the LRIP subproject).

2.2.2. A description of the experiments carried out (for pilot projects).

2.2.3. A description of the scientific work undertaken and the results obtained.

2.2.4. A list of the main publications of JINR authors, including associated personnel on the results of the project work (list of bibliographical references).

2.2.5. A complete list of publications (electronic annex, for journal publications with journal impact factor).

2.2.6 List of papers presented at international conferences and meetings (electronic annex).

2.2.7. Patent activity (if any)

**2.3. Status and stage (TDR, CDR, ongoing project) of the project (subproject) (including percentage of implementation of the declared milestones of the project (subproject of the LRIP)** *(if applicable)*

**2.4. Results of related activities**

2.4.1. Research and education activities. List of defended dissertations.

2.4.2. JINR grants (scholarships) received.

2.4.3. Awards and prizes.

2.4.4. Other results (expert, scientific-organisational, scientific-propaganda activities).

**3. International scientific and technical cooperation**.

Actually participating countries, institutions and organisations

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Organisation** | **Country** | **City** | **Participants** | **Type**  **of agreement** |
|  |  |  |  |  |
|  |  |  |  |  |

**4. Plan/actual analysis of resources used: human (including associated personnel), financial, IT, infrastructure**

**4.1 Human resources** (actual at the time of reporting)

|  |  |  |  |
| --- | --- | --- | --- |
| **№№ п/a** | **Category employee** | **Core staff**  **Amount of FTE** | **Associated personnel**  **Amount of FTE** |
| 1. | scientific staff |  |  |
| 2. | engineers |  |  |
| 3. | professionals |  |  |
|  | **Total:** |  |  |

**4.2 The actual estimated cost of the project/** **subproject of the LRIP**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Names of costs, resources, funding sources** | | | **Cost (thousands**  **of dollars)**  **resource requirements** | **Proposal from**  **the laboratory for allocation of funding and resources** | | | | |
| 1  year | 2  year | 3  year | 4  year | 5 year |
|  | | International cooperation (IC) |  |  |  |  |  |  |
| Materials |  |  |  |  |  |  |
| Equipment and third-party services |  |  |  |  |  |  |
| Commissioning work |  |  |  |  |  |  |
| Services of research organisations |  |  |  |  |  |  |
| Acquisition of software |  |  |  |  |  |  |
| Design/construction |  |  |  |  |  |  |
| Service costs (*planned in case*  *of direct project affiliation)* |  |  |  |  |  |  |
| **Resources required** | **Normo-hours** | Resources |  |  |  |  |  |  |
| * The amount of FTE, |  |  |  |  |  |  |
| * accelerator/installation, |  |  |  |  |  |  |
| * reactor, ....... |  |  |  |  |  |  |
| **Sources of funding** | **Budgetary resources** | JINR budget *(budget items* |  |  |  |  |  |  |
| **Extrabudgetary (supplementary estimates)** | Contributions by co-contractors  Funds under contracts with customers  Other sources of funding |  |  |  |  |  |  |

**4.3 Other resources**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Computer resources consumed**  **MICC** | **Distribution by year** | | | | |
| **1st year** | **2nd year** | **3rd year** | **4th year** | **5th year** |
| Data storage (TB)  - EOS  - Ribbons |  |  |  |  |  |
| Tier 1 (core-hour) |  |  |  |  |  |
| Tier 2 (core-hour) |  |  |  |  |  |
| SC Talker (core-hour)  - CPU  - GPU |  |  |  |  |  |
| Clouds (CPU cores) |  |  |  |  |  |

**5. Conclusion**

**6. Proposed reviewers**

**Theme / LRIP Leader**

**/\_\_\_\_\_\_\_\_\_\_\_\_\_\_/**  
**" " 202\_г.**

**Project leader (project code) / sub-project of the LRIP**

**/\_\_\_\_ /**  
**" " 202\_г.**

**Laboratory Economist**

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