

JINR-ISU summer school  
Bolshie Koty  
11-18 July 2023

# High Energy Neutrino Astronomy

## Lecture 1

*Dmitry Zaborov*  
*(INR RAS, Moscow)*

# Plan

- Lecture 1: Introduction to high energy astrophysics
  - Introduction
  - Objects and phenomena under study
- Lecture 2: Neutrino telescopes, Baikal-GVD, experimental results and prospects

# Introduction

# Astronomy vs. Astrophysics

- Astronomy is the observational study of the universe beyond Earth's atmosphere
- Astrophysics is a science that employs the methods and principles of physics (and chemistry) in the study of astronomical objects and phenomena



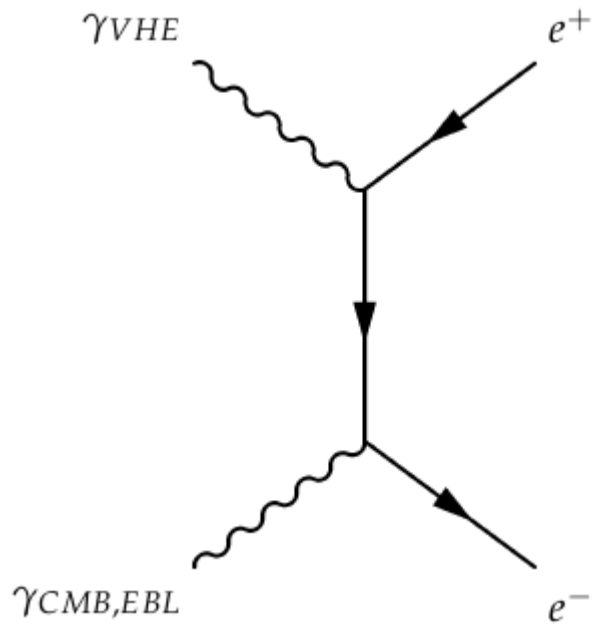
# What is included in high energy astronomy

- X-ray astronomy
- Gamma astronomy
- High energy neutrino astronomy
- Ultra High Energy Cosmic Ray studies

In this course we focus on energies above 10 GeV



# Photon – photon absorption

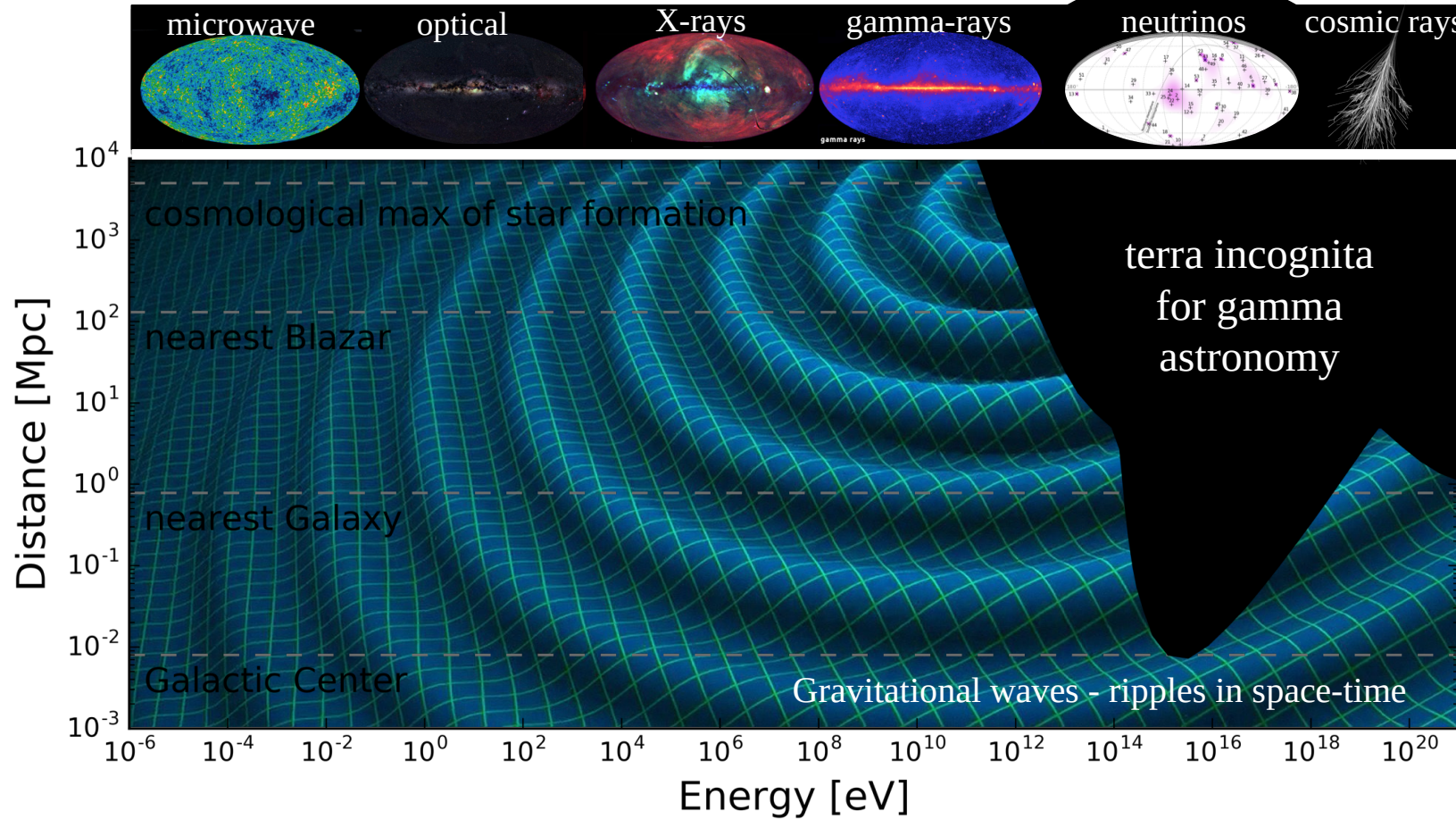


High energy photons scatter off  
CMB or EBL photons

CMB = Cosmic Microwave Background

EBL = Extragalactic background light

# Universe is opaque to high energy photons



*F. Halzen,  
Neutrino 2020*

Universe is opaque to photons above TeV energies due to interactions on CMB and EBL photons

# Distances in astronomy

1 ly = 1 light year

1 pc = 3.26 ly

Nearest star (alpha centauri): 4 ly

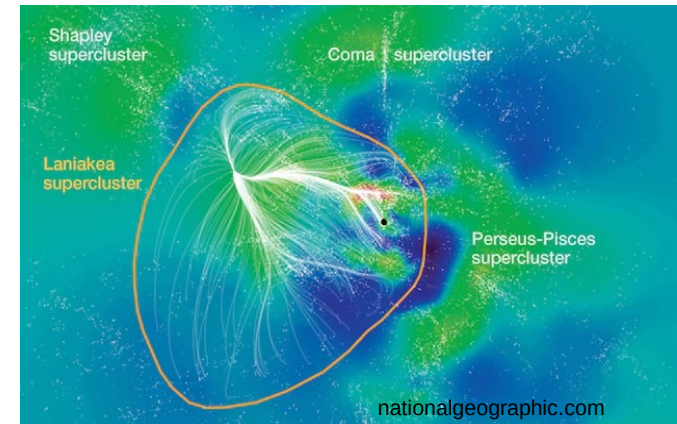
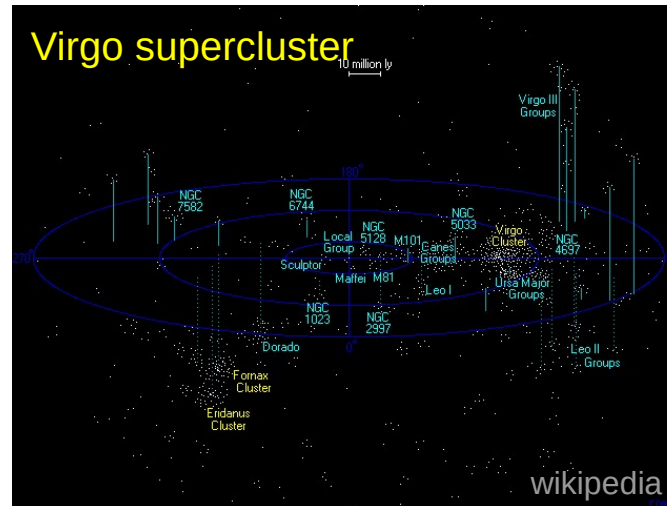
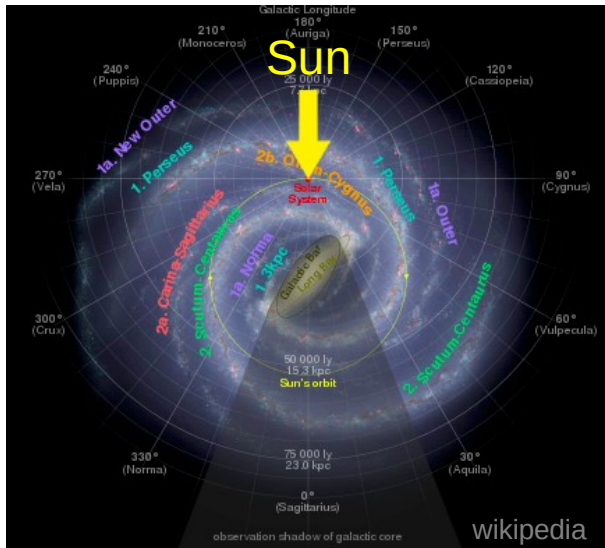
Distance to Milky Way center: 15 kpc (50 kly)

Distance to Andromeda galaxy : 765 kpc (2.5 Mly)

Local Cluster size : 33 Mpc (110 Mly)

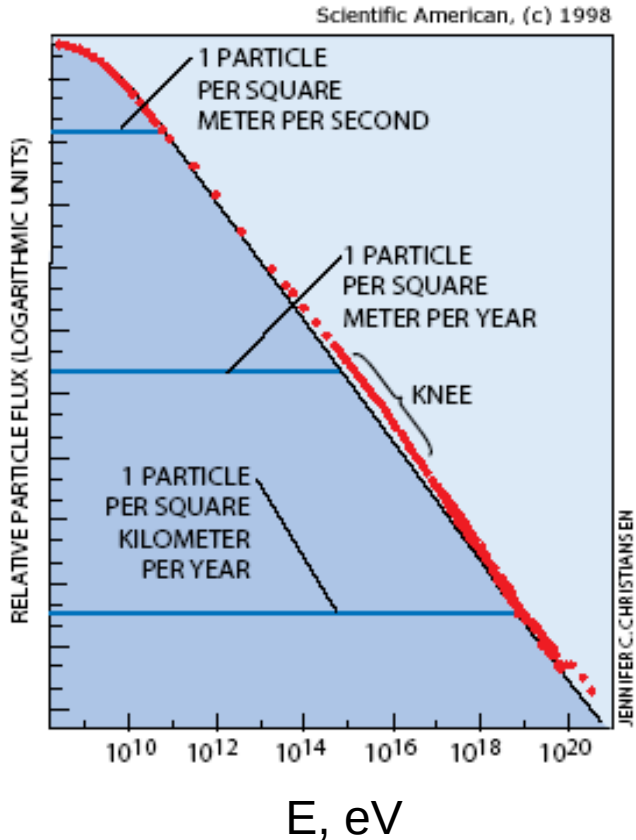
Laniakea Supercluster size: 159 Mpc (520 Mly)

Dist. to the most distant known quasar: 13 Gly



# Cosmic Rays

The all-particle energy spectrum of cosmic rays



Protons,  $\alpha$  and heavier nuclei

Spectrum spans over 12 decades in energy

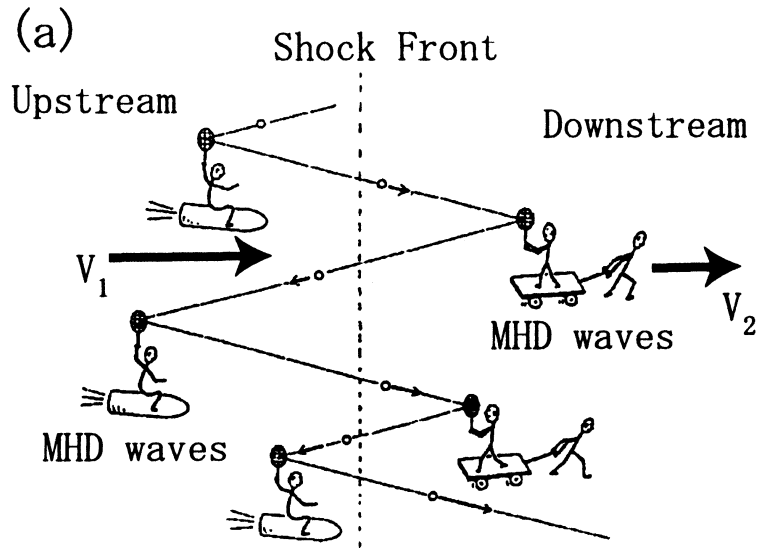
Approximately a power-law (index  $\sim 2.7$ )



# Particle acceleration mechanisms

## In shocks

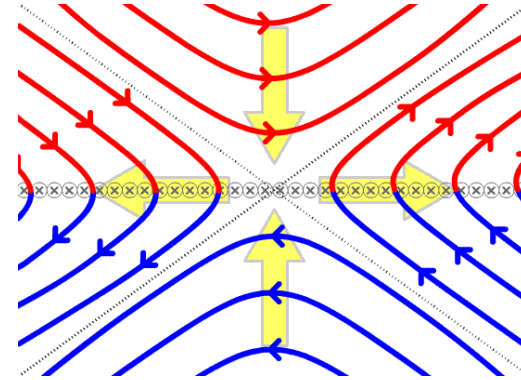
Diffusive shock acceleration  
a.k.a. 1-st order Fermi acceleration



Picture adapted from M. Hoshino,  
<https://doi.org/10.1143/PTPS.143.149>

Produces power-law spectrum  
 $\sim E^{-s}$ ,  $s \approx 2$   
( $s$  depends on conditions)

## during magnetic reconnection



Fermi acceleration by contracting or merging magnetic islands

See, e.g., F.Guo et al, doi:10.1063/5.0012094

# Hadronic production mechanism: photons and neutrino

1) Accelerate protons (or ions)

2) Have them interact with medium or radiation

In photon-rich environments:  $p \gamma \rightarrow \pi$   
In proton-rich environments:  $p p \rightarrow \pi$

3) Decay pions

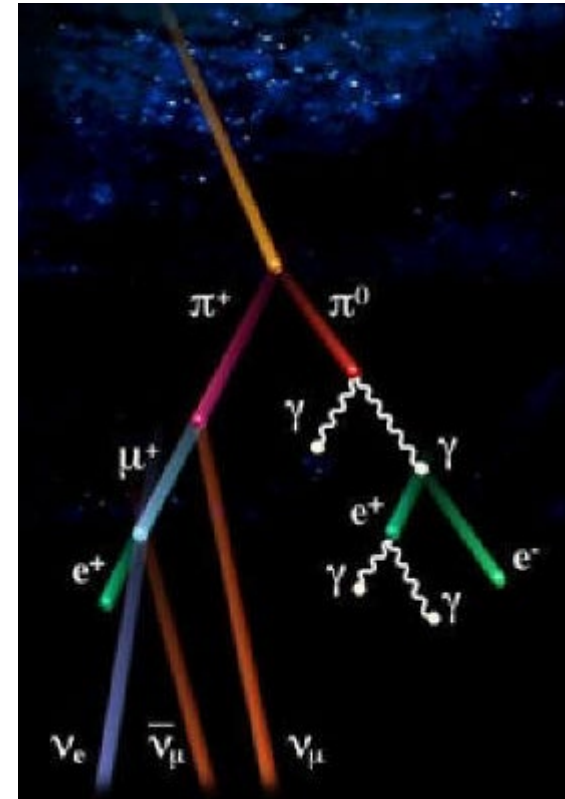
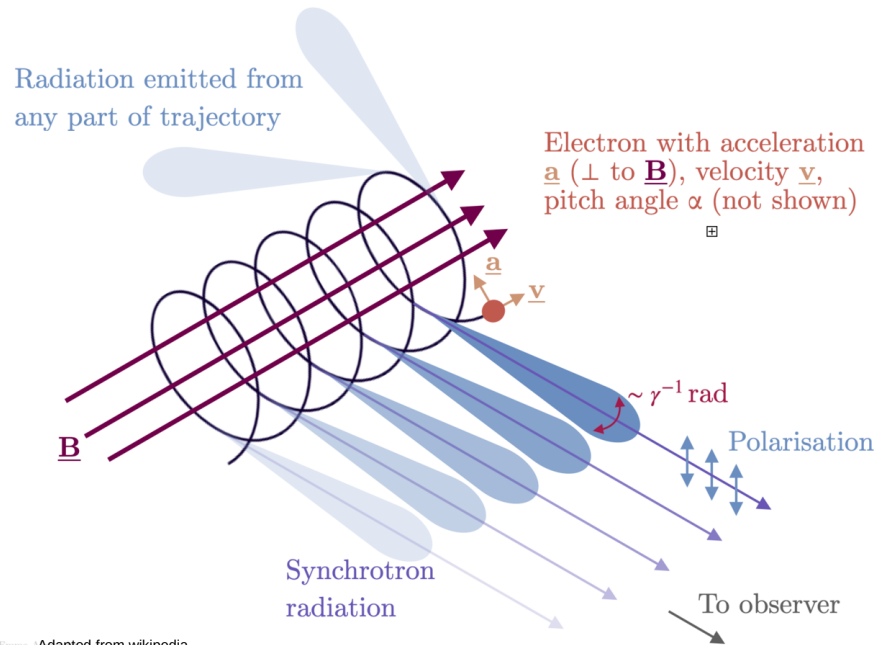


Figure from Relner et al, PRD (2008)



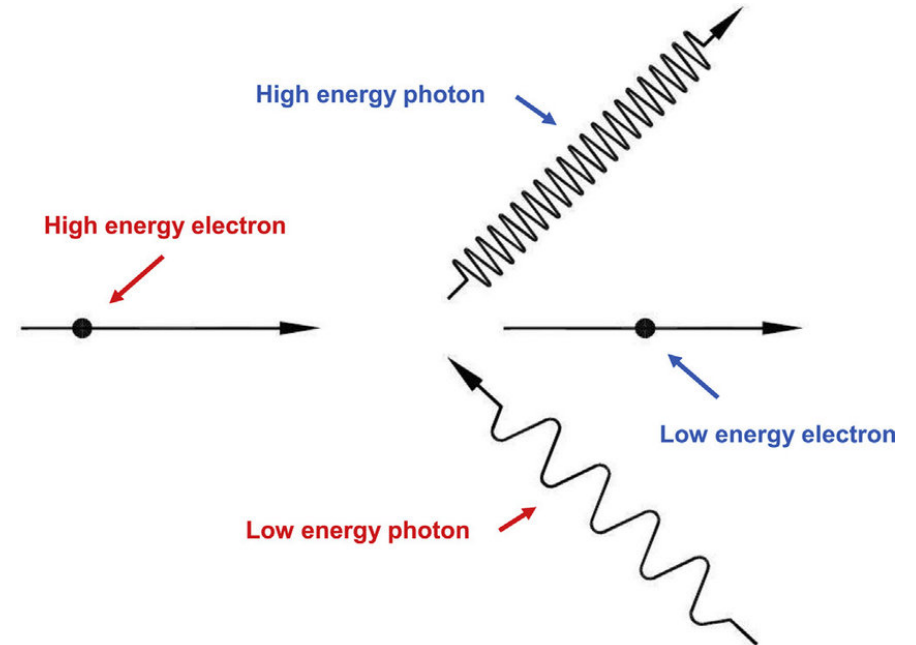
# Примеры нетеплового излучения («лептонные процессы»)

## Synchrotron radiation



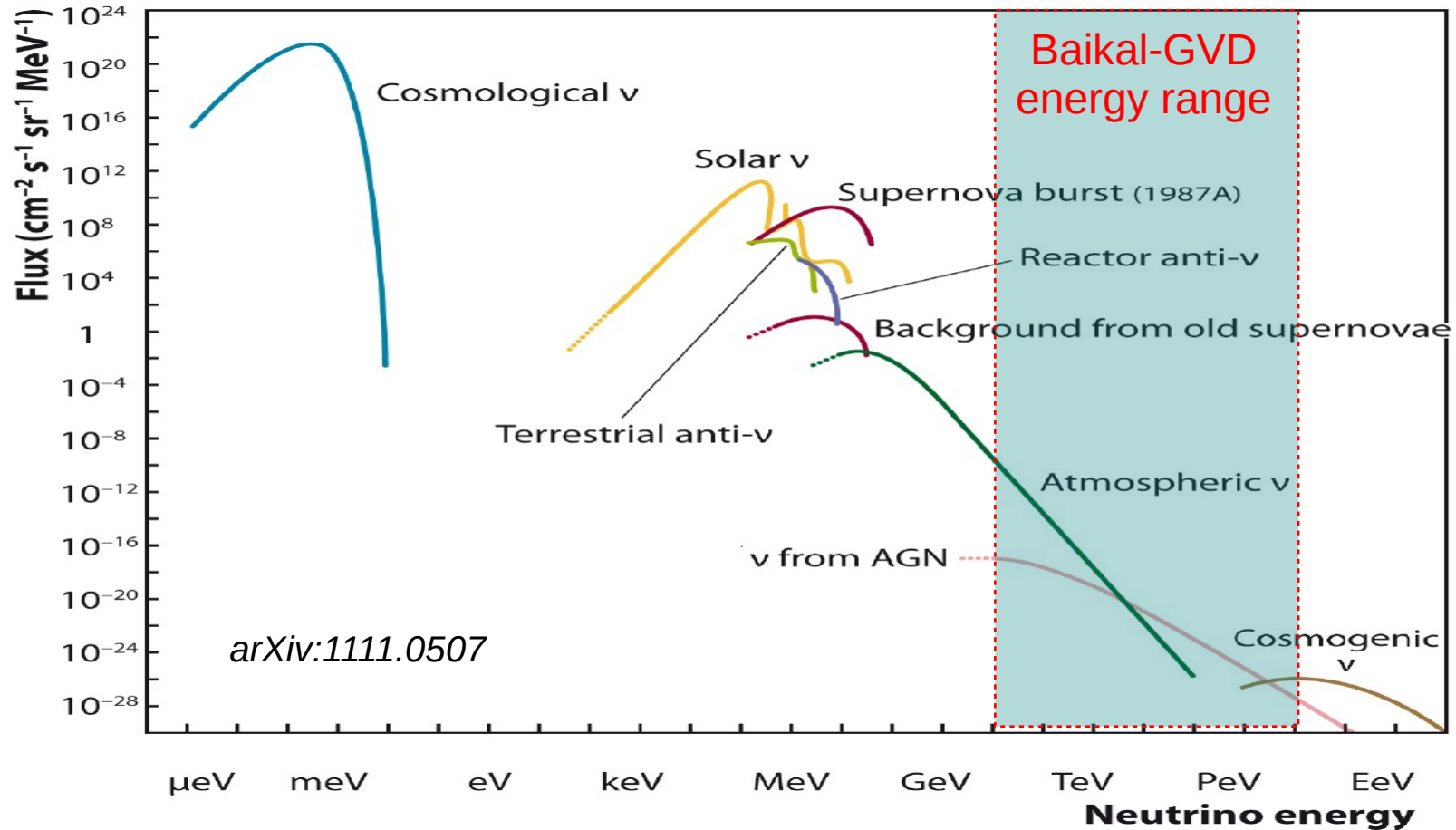
Emma / Adapted from wikipedia

## Inverse Compton scattering



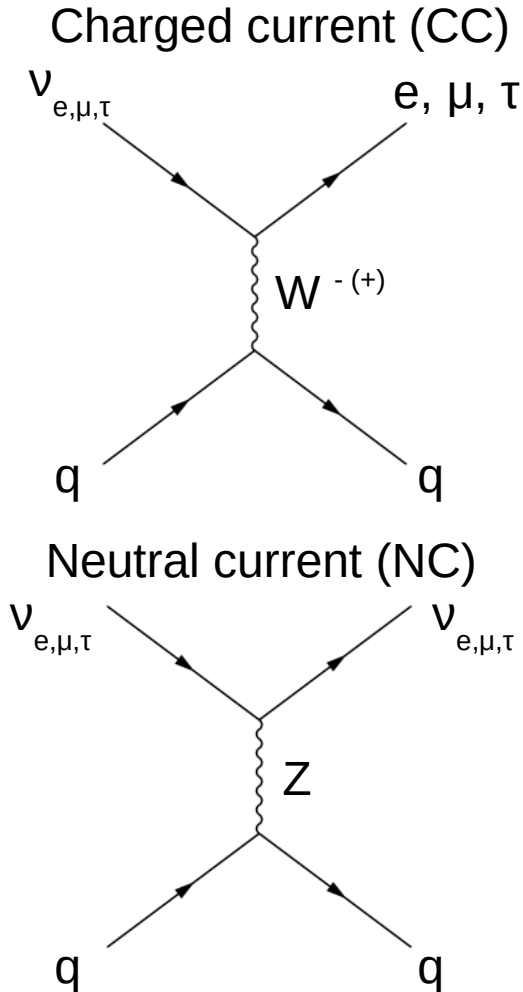
Picture adapted from DOI:10.1016/j.ejmp.2015.04.003

# Neutrino energy scale

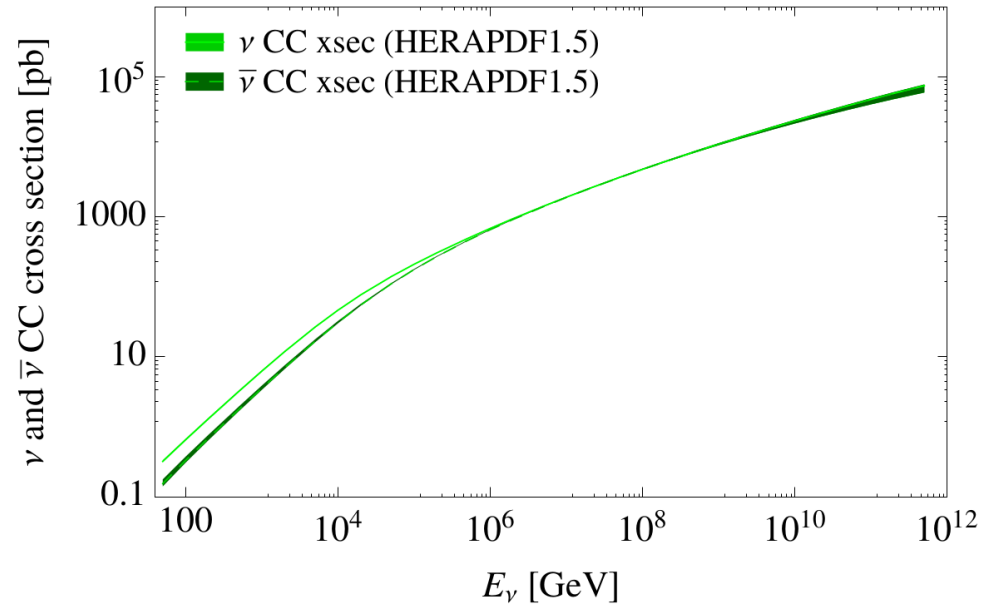


# Neutrino interactions at high energy

At high energies, the dominant process is deep inelastic scattering on quarks

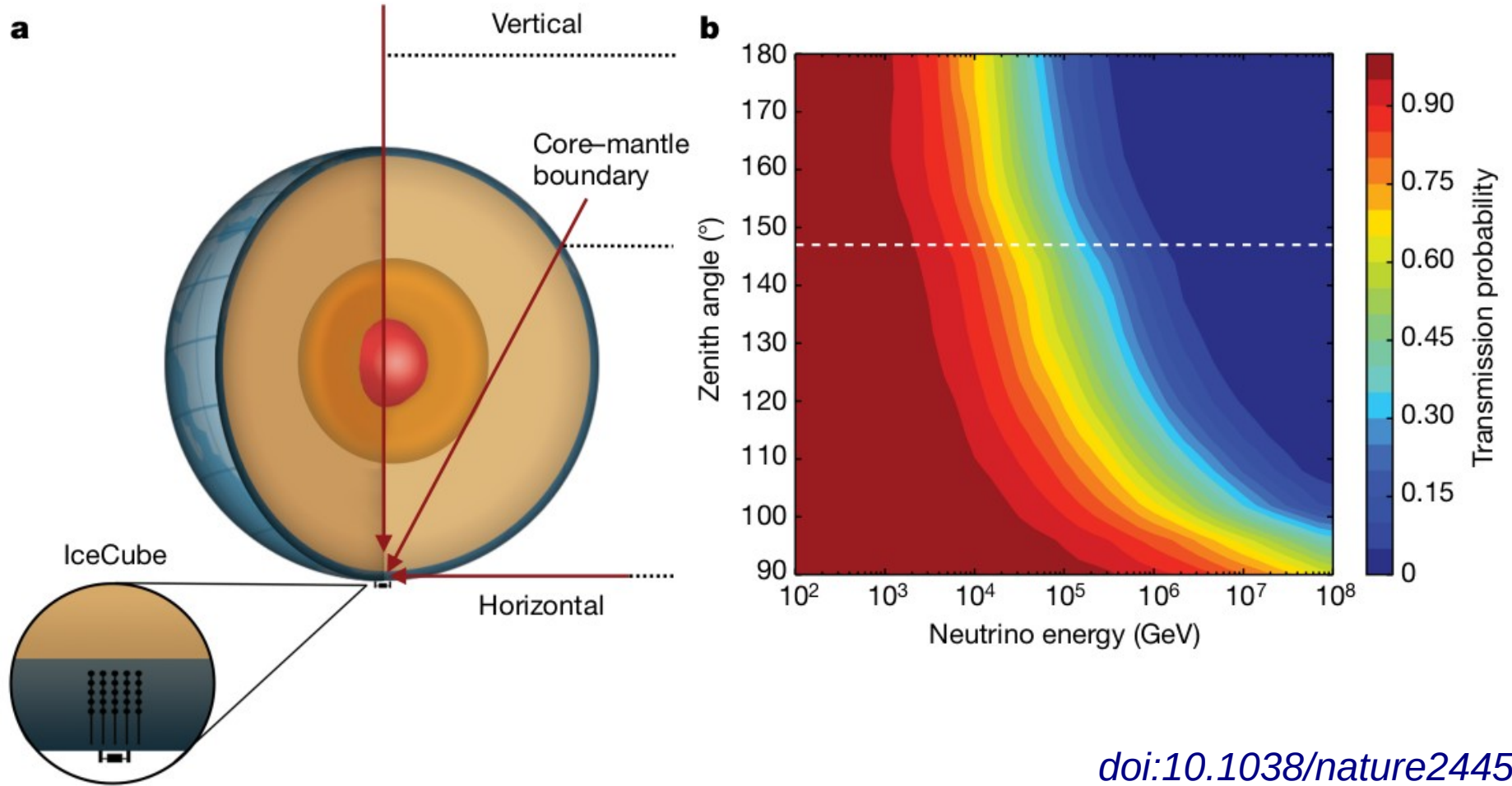


Interaction probability rises with energy



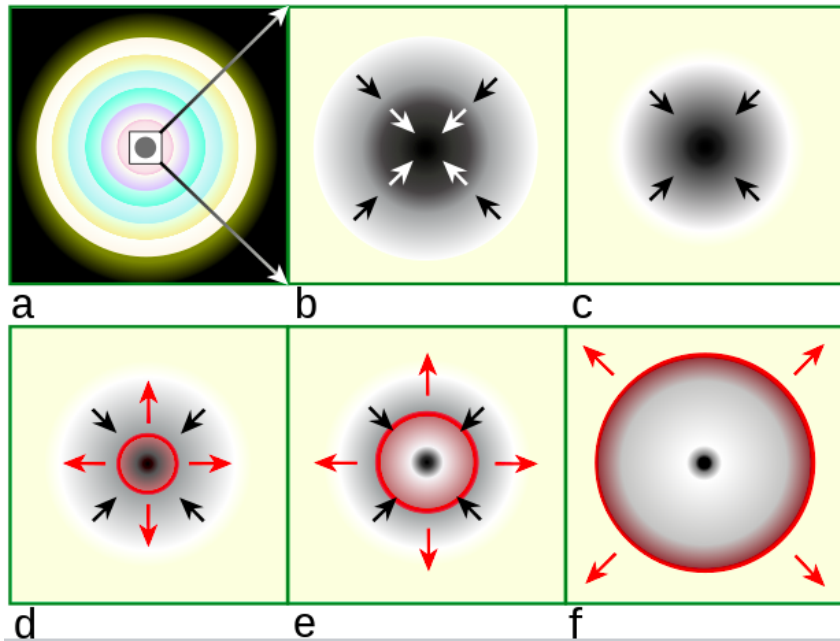
*A. Cooper-Sarkar, P. Mertsch, and S. Sarkar, JHEP 2011, 42.*

# Neutrino absorption in the Earth



# Objects and Phenomena under study in high energy astrophysics

# Core Collapse Supernova

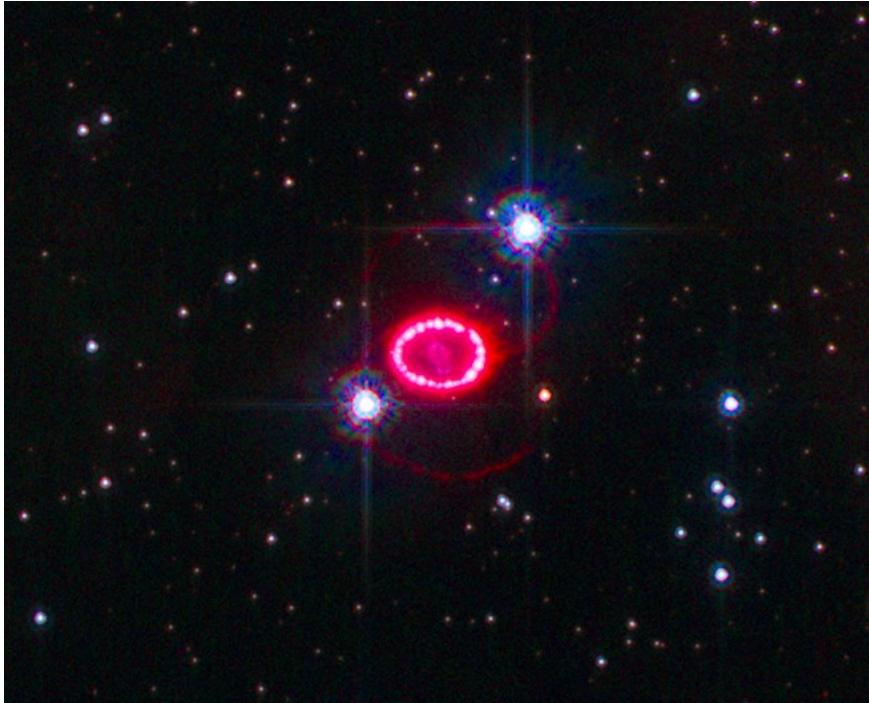


wikipedia

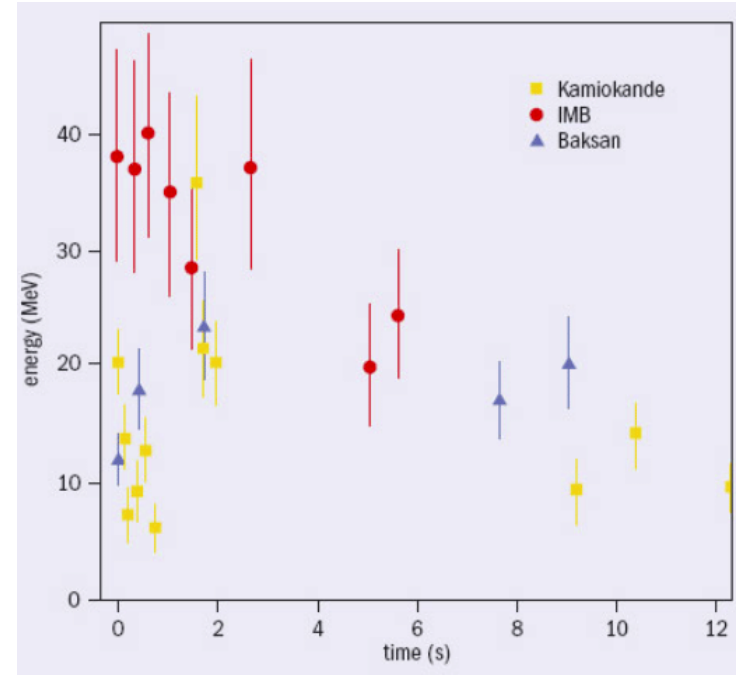
- Collapse of the core of a massive star
- Outer layers are ejected into space
- A compact object (black hole or neutron star) can be formed
- Most of the explosion energy is released with neutrinos of MeV energies

# SN 1987A

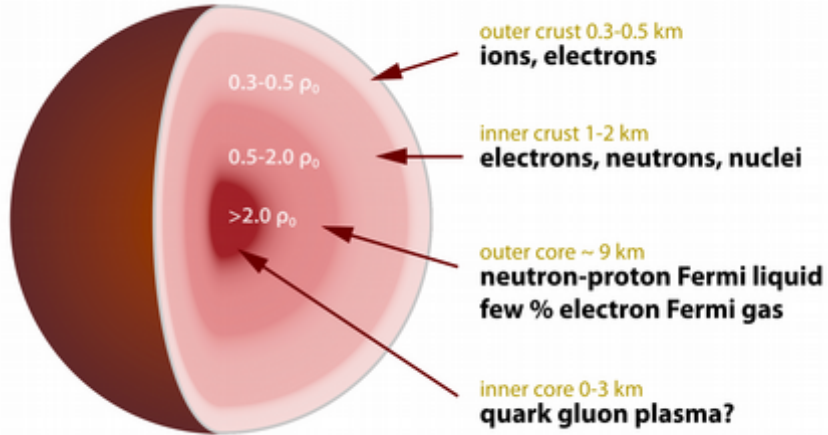
A type II (core-collapse) supernova in Large Magellanic Cloud (51 kpc from us)



MeV neutrinos detected !



# Neutron Star

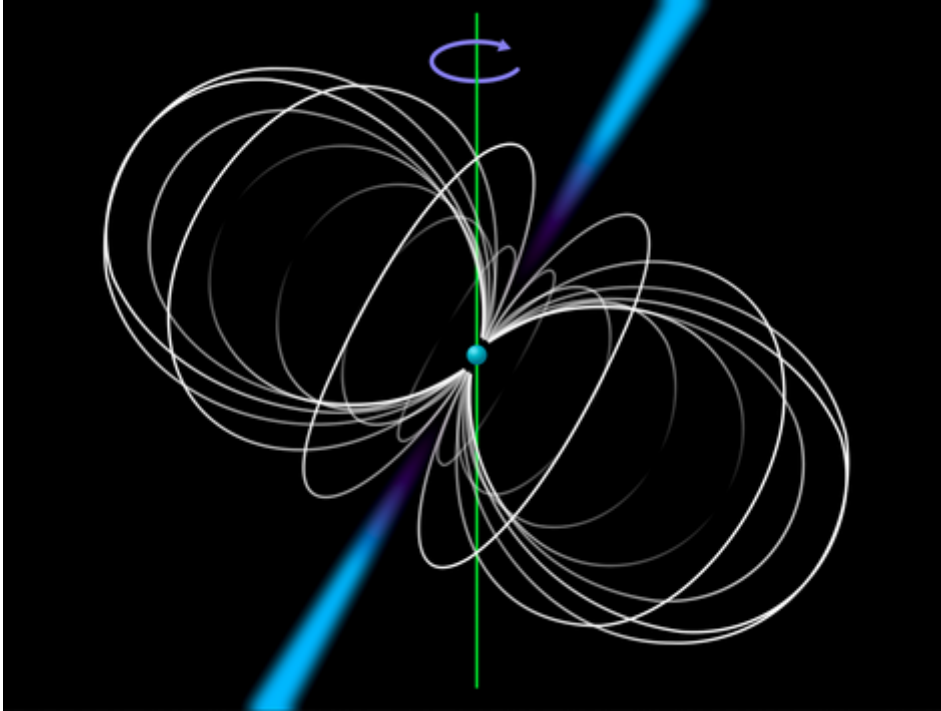


Wikipedia

- Neutron star is a collapsed core of a massive star
- Supported by neutron degeneracy pressure
- Radius  $\sim 10$  km
- Mass  $\sim 1.4 M_{\text{sun}}$



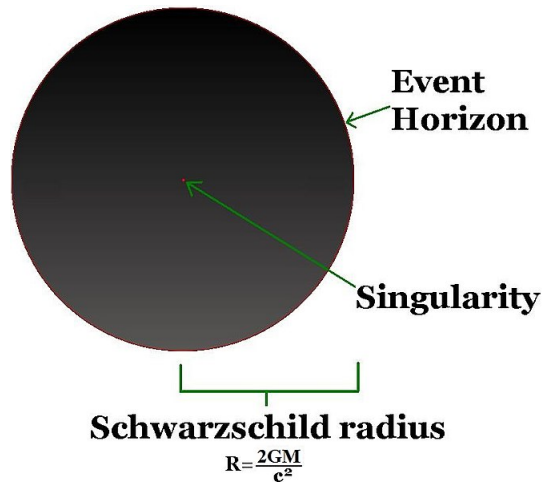
# Pulsar



- Highly magnetized rotating neutron star
- Emits beams of electromagnetic radiation
- Rotation period  $\sim 1$  ms to 15 s
- Pulsations can be observed when a beam of emission is pointing toward Earth (once every rotation period)
- Young neutron stars ( $< 100$  Myr) or recycled neutron stars (in binary systems)

# Black hole

A non-rotating black hole

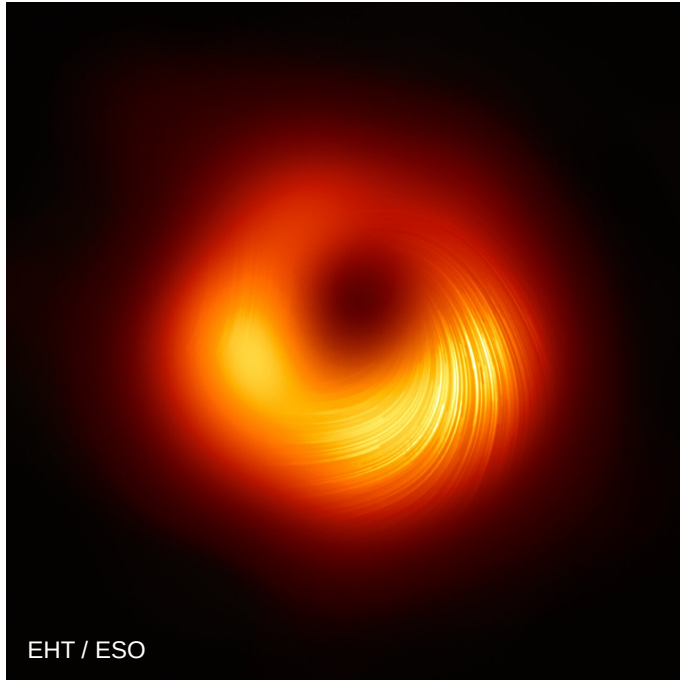


[https://commons.wikimedia.org/wiki/File:Black\\_hole\\_details.JPG](https://commons.wikimedia.org/wiki/File:Black_hole_details.JPG)

- Black hole (BH) is a region of spacetime where gravity is so strong that nothing can escape its event horizon
- Black holes can form in collapse of massive stars' cores
- Supermassive black hole (SMBH) are found in centers of galaxies; mass  $\sim 10^6 \dots 10^9 M_{\text{sun}}$
- A black hole itself is practically invisible, but its interaction with the environment can be spectacular

# M87 black hole “shadow”

Black hole shadow (VLBI radio image)

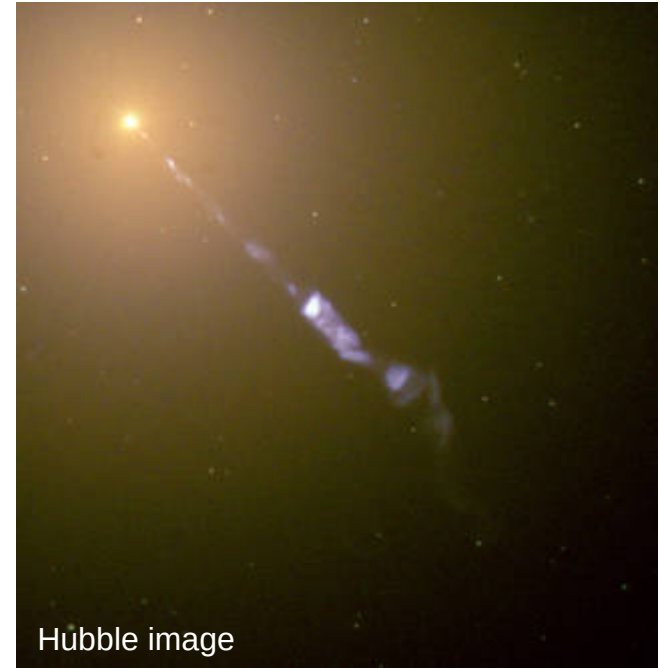


$$M_{\text{BH}} = 5 \cdot 10^9 M_{\text{sun}}$$

Black hole diameter  $\sim 200$  a.u.

Distance to M87 : 16 Mpc (53 Mly)

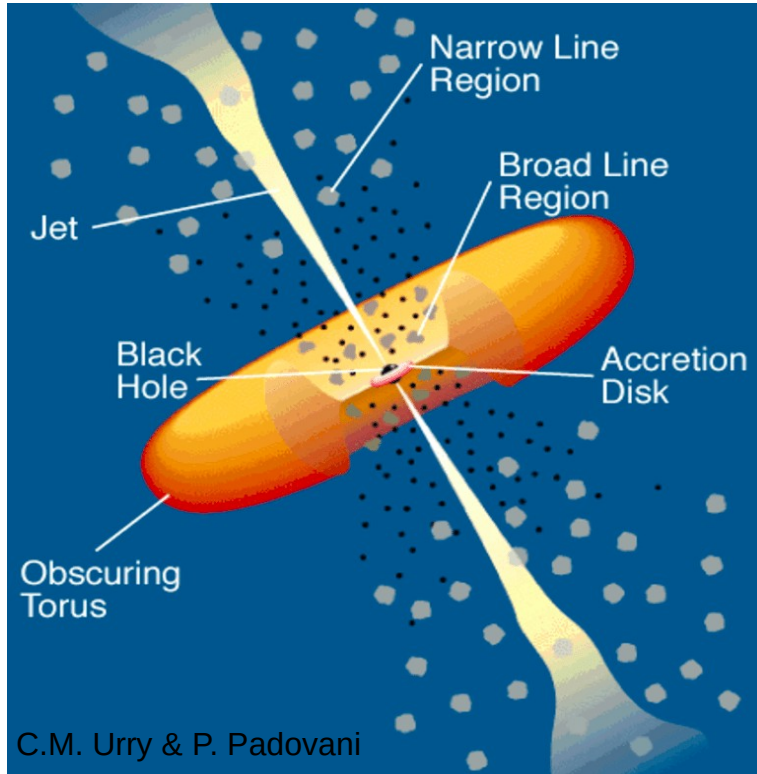
Large-scale jet (optical & IR image)



Credits: NASA and the Hubble Heritage Team (STScI/AURA)

The jet of matter is ejected at nearly speed of light, and stretches 1.5 kpc (5 kly) from the galactic core

# Active Galactic Nuclei (AGN)

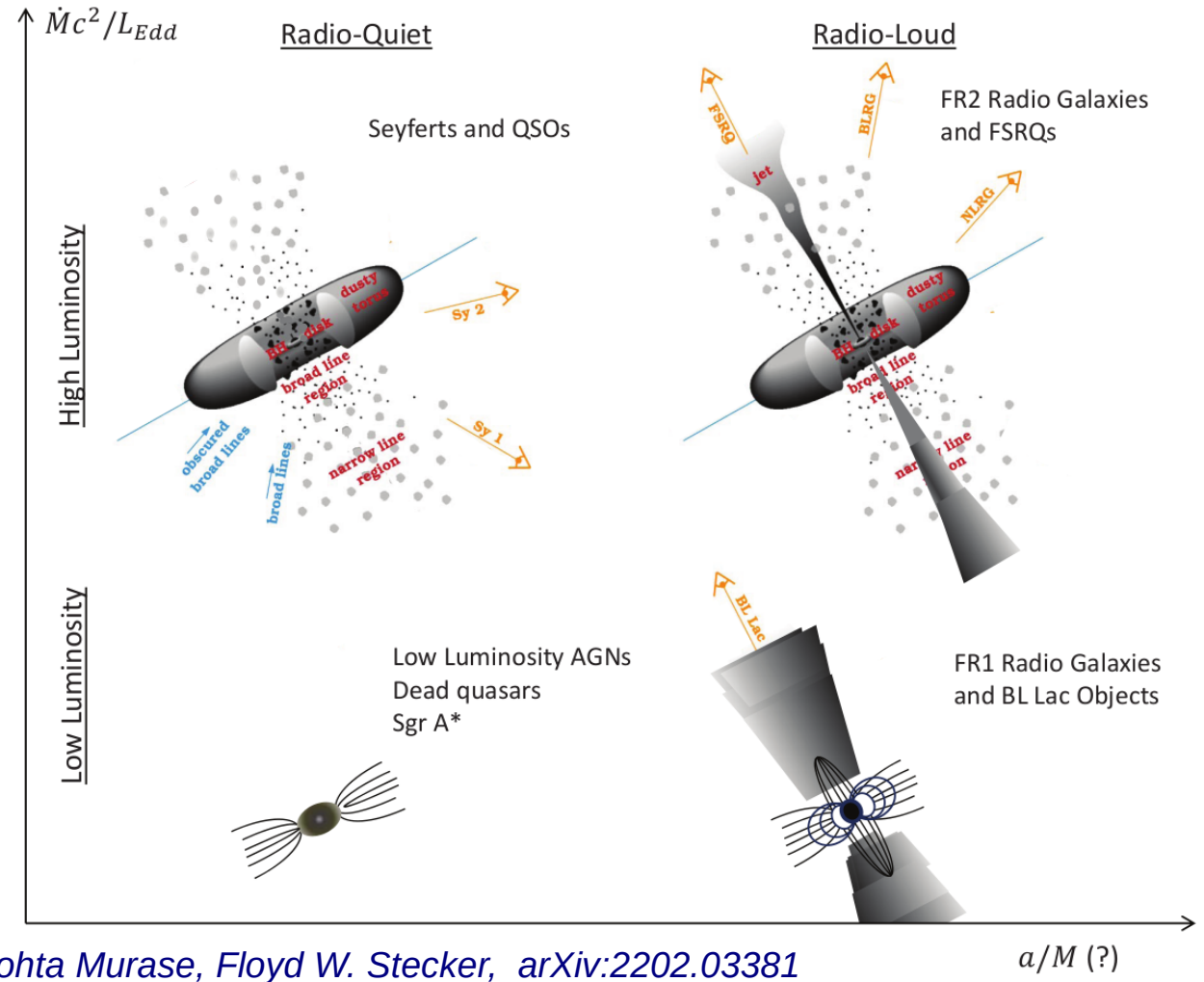


AGN is a compact region at the center of a galaxy, with bright electromagnetic emission, which is not produced by stars

Observed at radio, IR, optical, UV, X-ray, gamma-ray

Variable emission + flares

# AGN classification

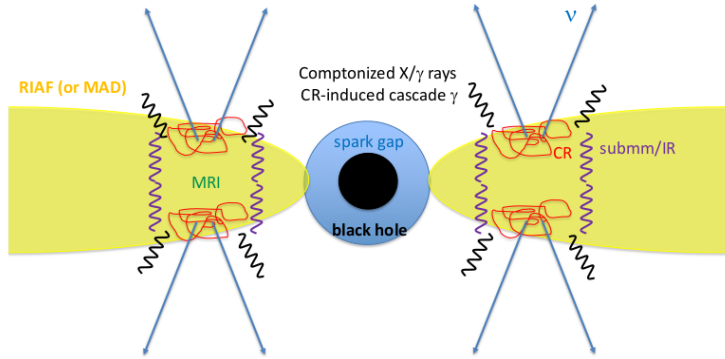


Kohta Murase, Floyd W. Stecker, [arXiv:2202.03381](https://arxiv.org/abs/2202.03381)

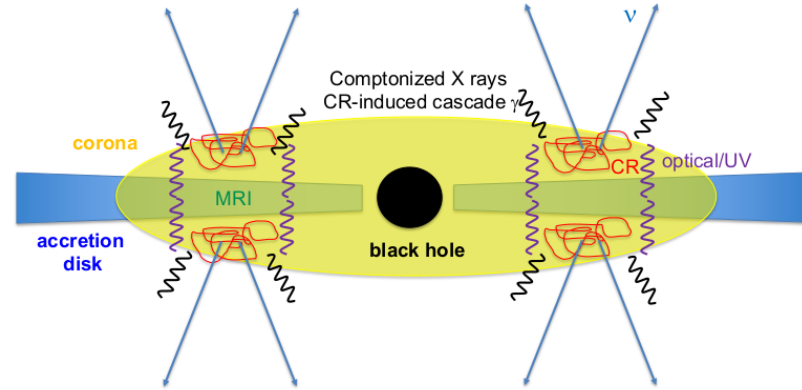
Dmitry Zaborov - Neutrino Astronomy

# AGN neutrino production models

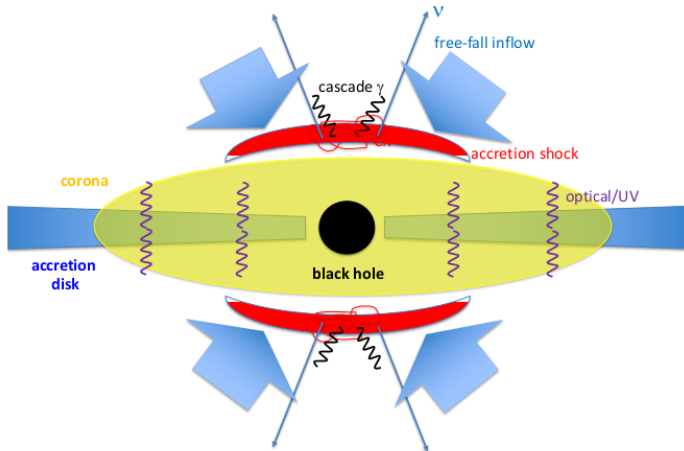
## RIAF/MAD model



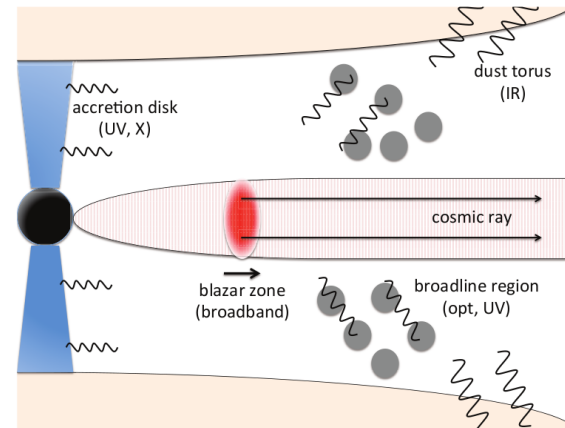
## magnetically-powered corona model



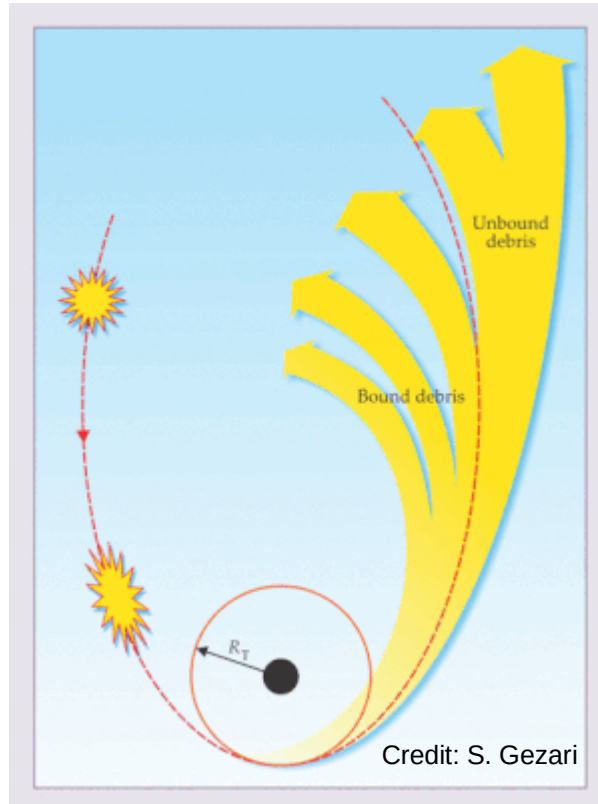
## accretion shock model



## production in the inner jet



# Tidal Disruption Events



Long transient ( $\sim 1$  yr)

Star disrupted  
in gravitational field  
of supermassive black hole

$R = R_{\text{Roch}}$

Also see *R. Stein et al.,  
Nat. Astron. 5, 510 (2021)*

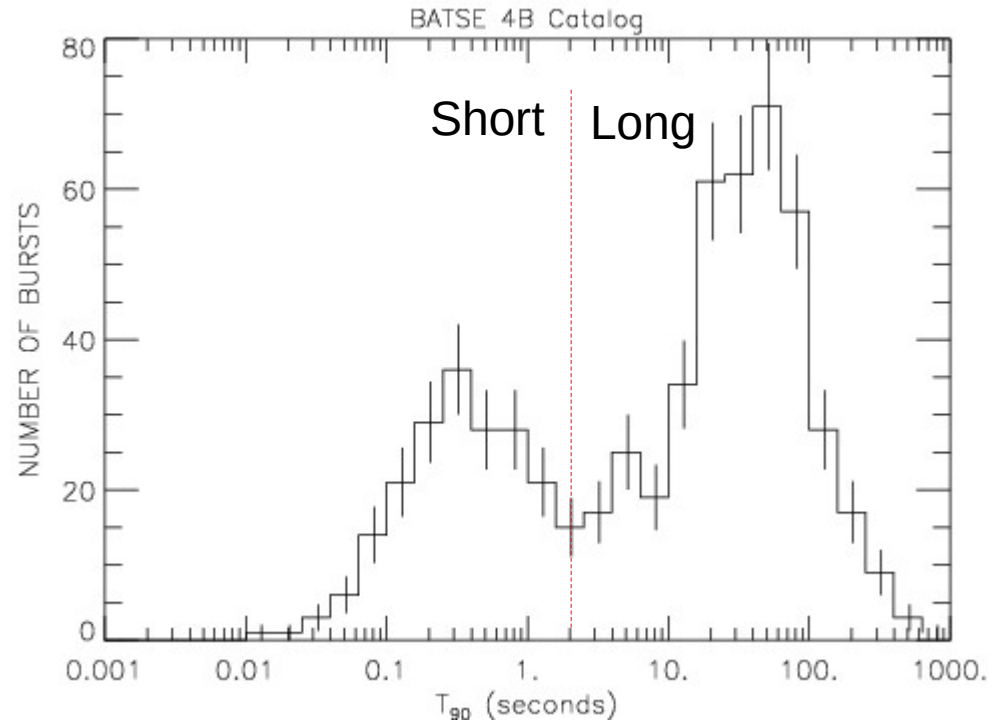
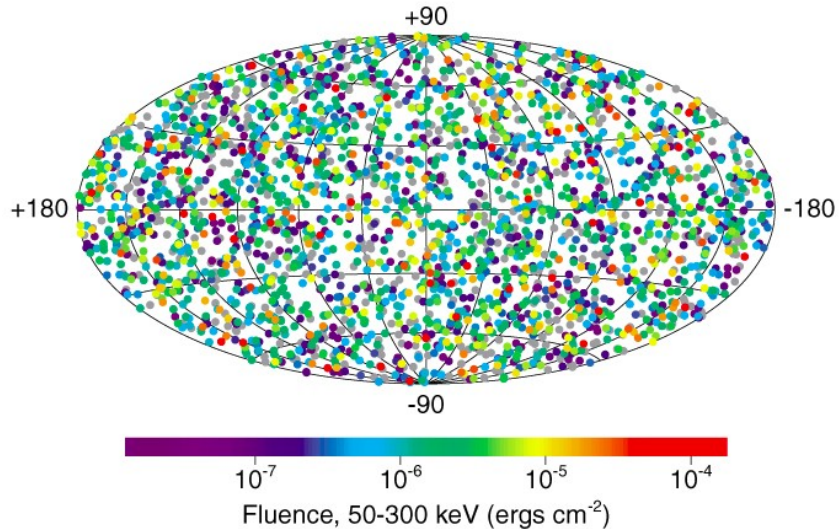
# Gamma-Ray Bursts (GRB)

Extremely energetic explosions in distant galaxies

First observed in the late 1960s by the U.S. Vela satellites

Duration of prompt phase from ms to  $\sim 1000$  s

## 2704 BATSE Gamma-Ray Bursts





# Long GRBs

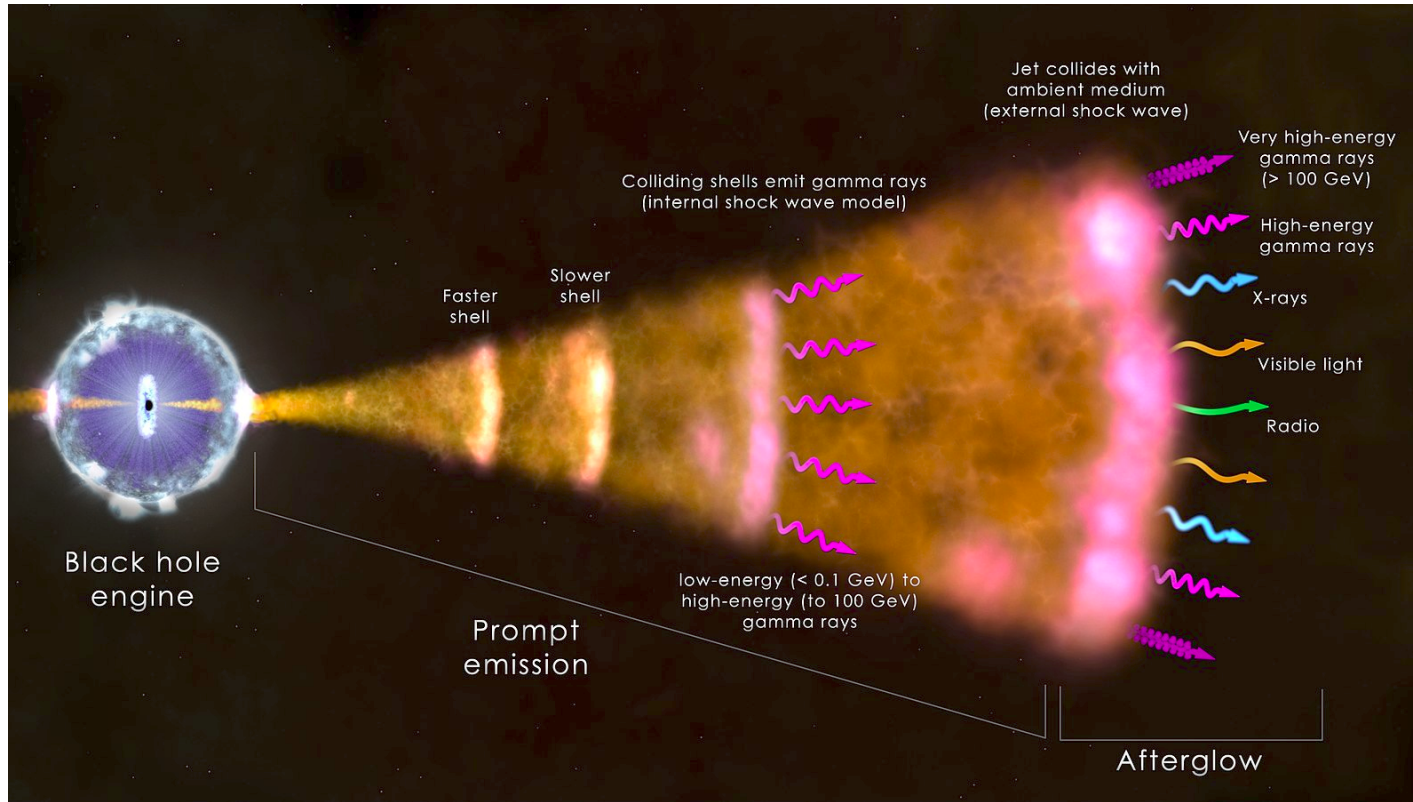
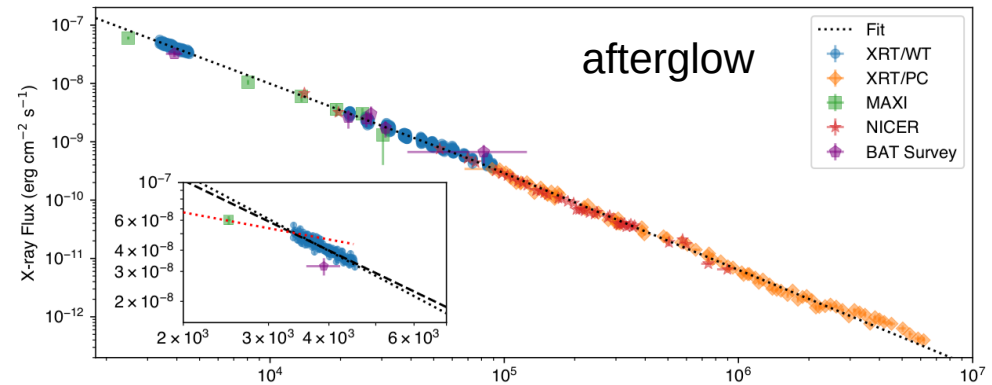
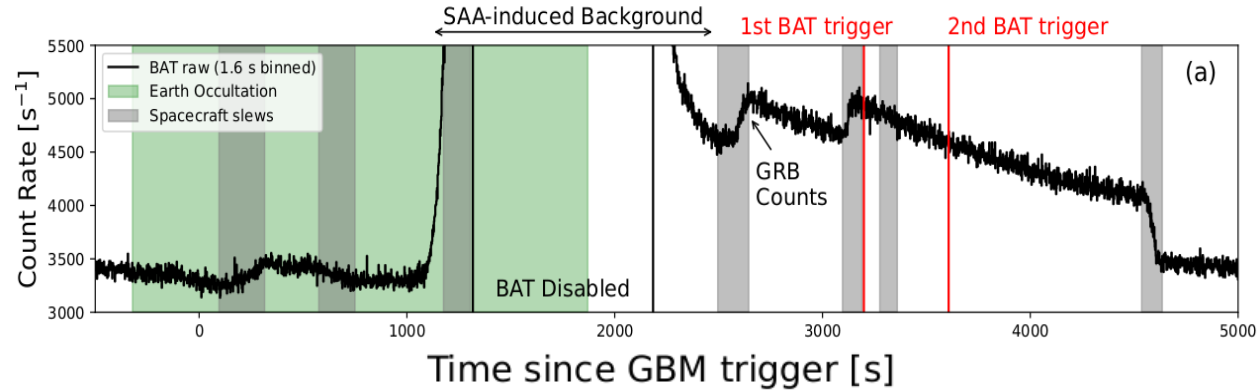
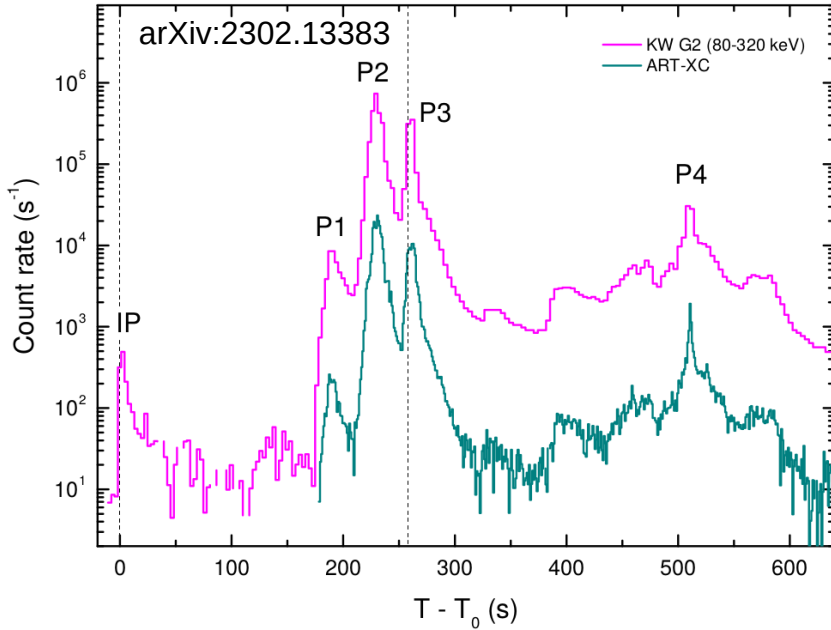


Image credit: NASA/Goddard Space Flight Center/ICRAR

- Long GRBs originate from collapse of massive, rotating stars
- Prompt emission is normally followed by “afterglow” on a time scale from hours (in gamma-rays) to years (in radio)

# GRB 221009A

Konus-Wind

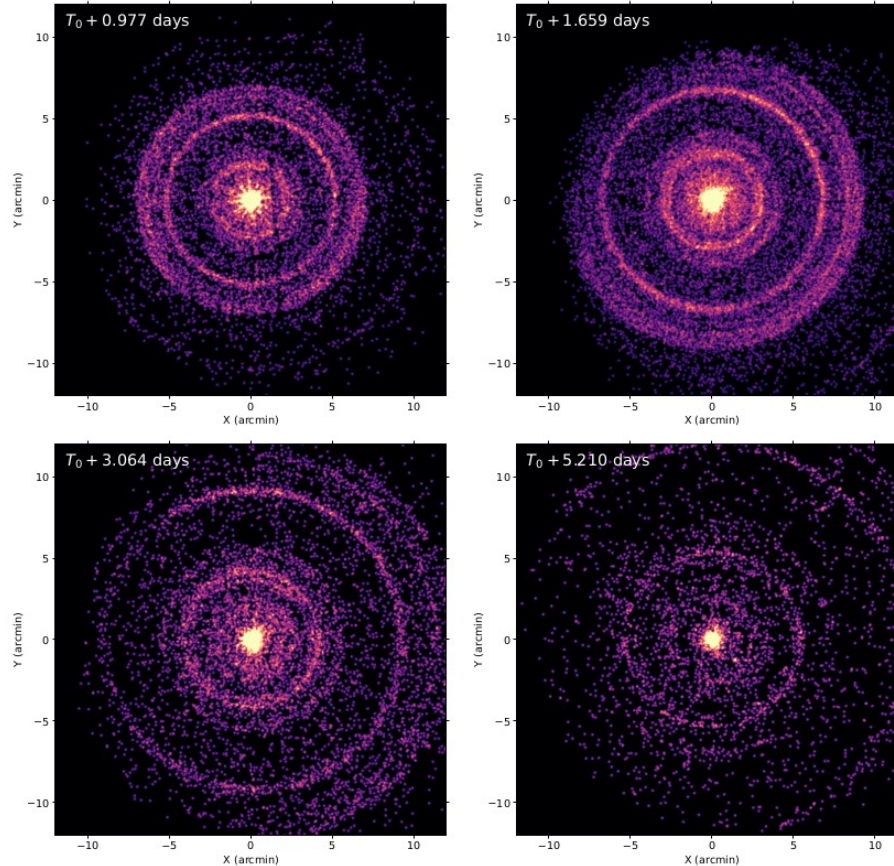
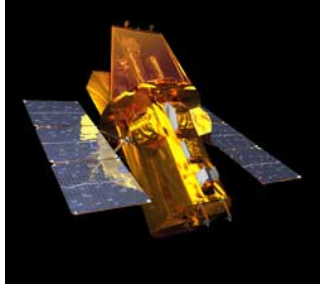


Detected on Oct 9, 2022 by gamma-ray and X-ray satellites

LHAASO ground-based gamma-ray observatory reported detection of gamma-rays with energies up to 18 TeV [GCN circular 32677]

arXiv:2302.03642

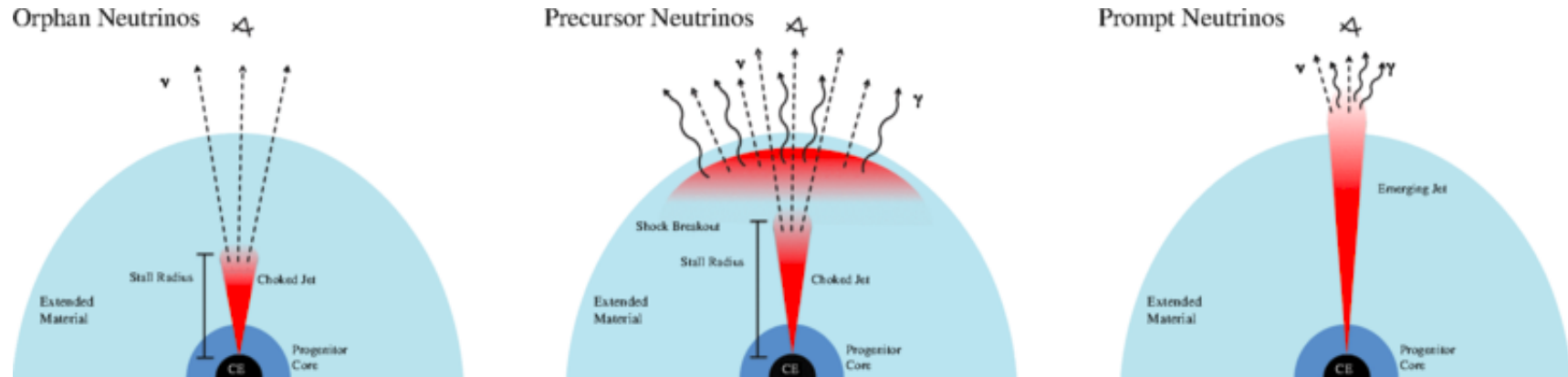
# Galactic dust echo from GRB 221009A detected by Swift



The bright rings form as a result of X-rays scattered from otherwise unobservable dust layers within our galaxy that lie in the direction of the burst

[arXiv:2302.03642](https://arxiv.org/abs/2302.03642)

# Neutrino from GRB / Core-collapse Supernovae ?



N. Senno, K. Murase, and P. Mészáros (2016)

Short transient sources

MeV prompt emission for long GRBs: 2 – 1000 seconds

GRB afterglow: up to a few months

# Short GRB: the binary merger model



Artist's impression (wikipedia)

NS-NS or BH-NS

BH = black hole

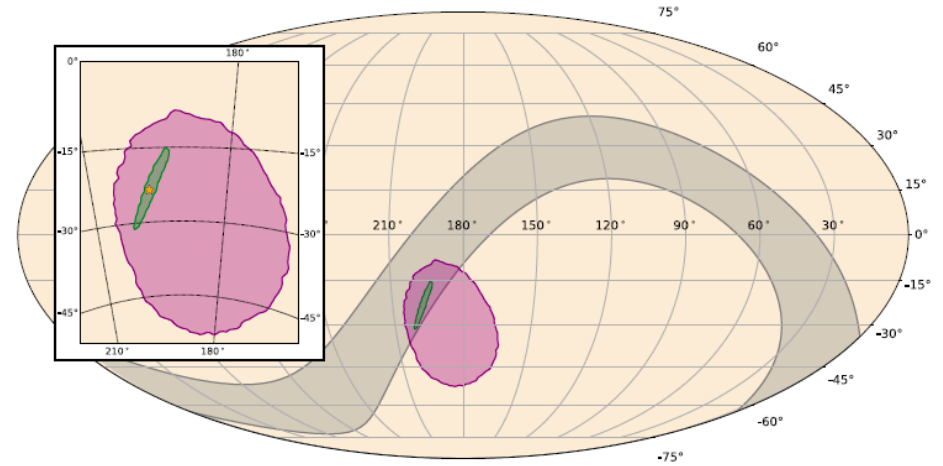
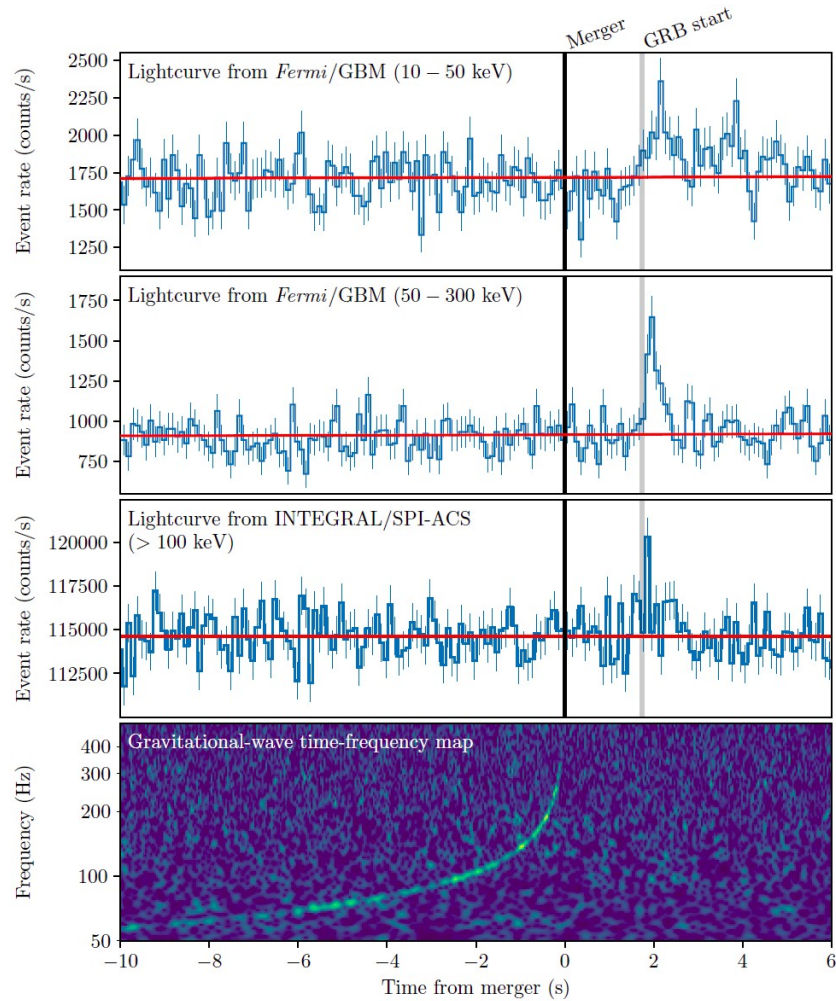
NS = neutron star

Sources of gravitational waves  
and high energy radiation

Merger duration:  $\sim 1$  s or shorter  
Afterglow may last for months



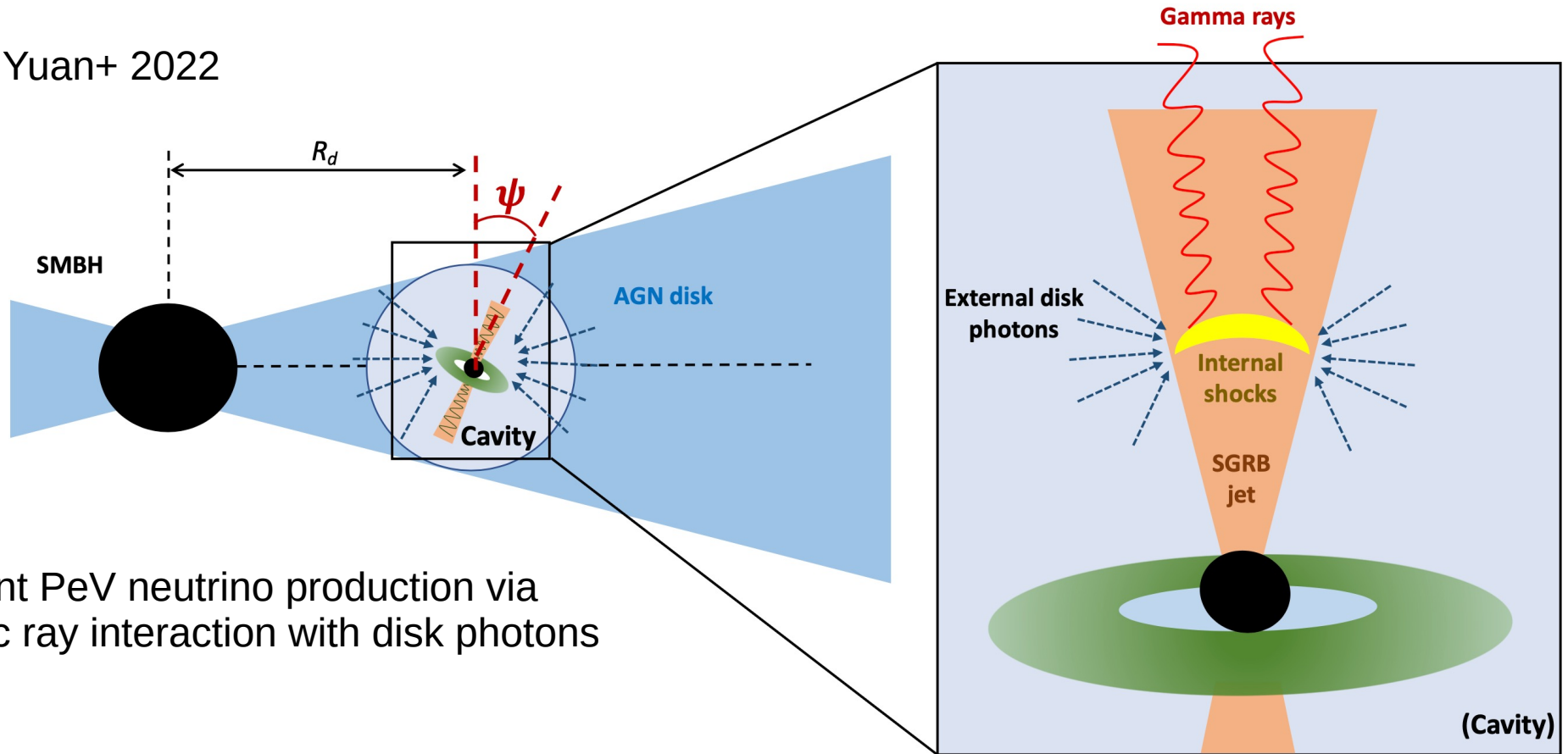
# GW170817 / GRB 170817A



green = LIGO-Virgo  
purple = Fermi-GBM  
grey = Fermi + INTEGRAL timing information  
yellow star = optical transient

# Neutron star mergers in AGN disks

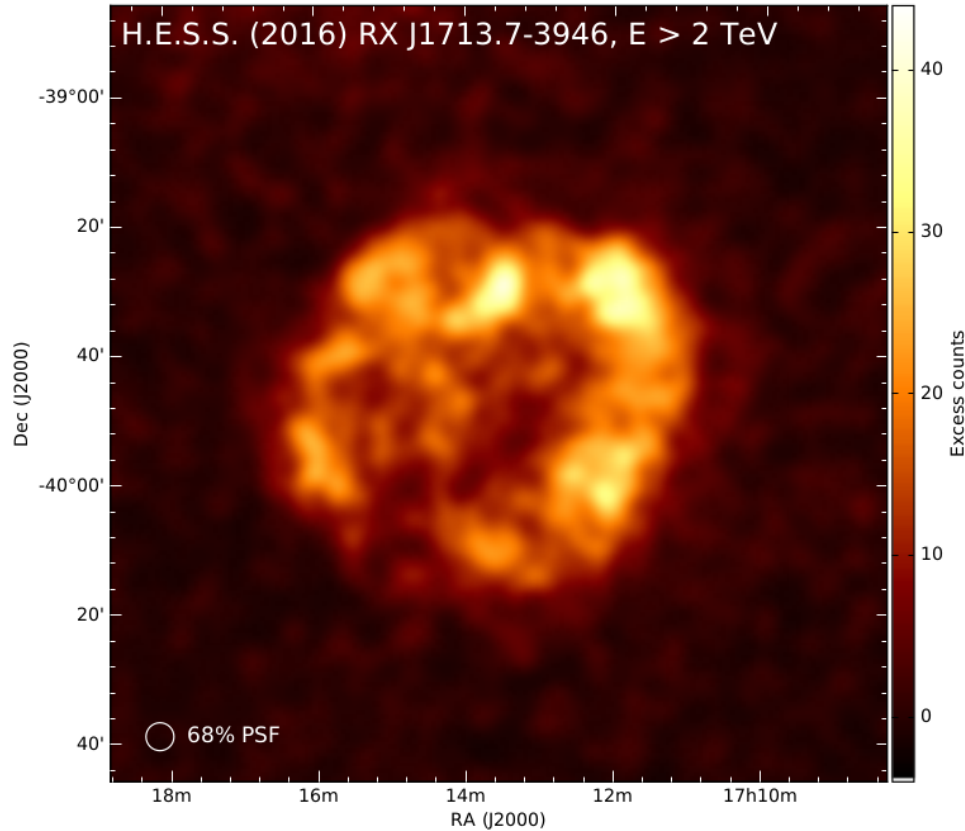
Yuan+ 2022



Efficient PeV neutrino production via  
cosmic ray interaction with disk photons

Also possible with black hole mergers (Kimura et al., 2021)

# Galactic sources : Supernovae Remnants (SNR)



Angular extension :  $\sim 1$  deg (or smaller)

Variability : not expected

Can be hadronic, especially  
in the case of interacting  
with a nearby gas cloud

<https://doi.org/10.1051/0004-6361/201629790>



# Pulsar Wind Nebulae (PWN)



Crab Nebula (HST image)

High energy emission is generally considered to be of leptonic origin (not expected to produce neutrinos)

Can be variable (due to pulsar activity, bright knots, etc)

Related to PWN : “TeV halos” (not shown here)

\* Crab Nubula is also as SNR

# Microquasars



Artist's impression (wikipedia)

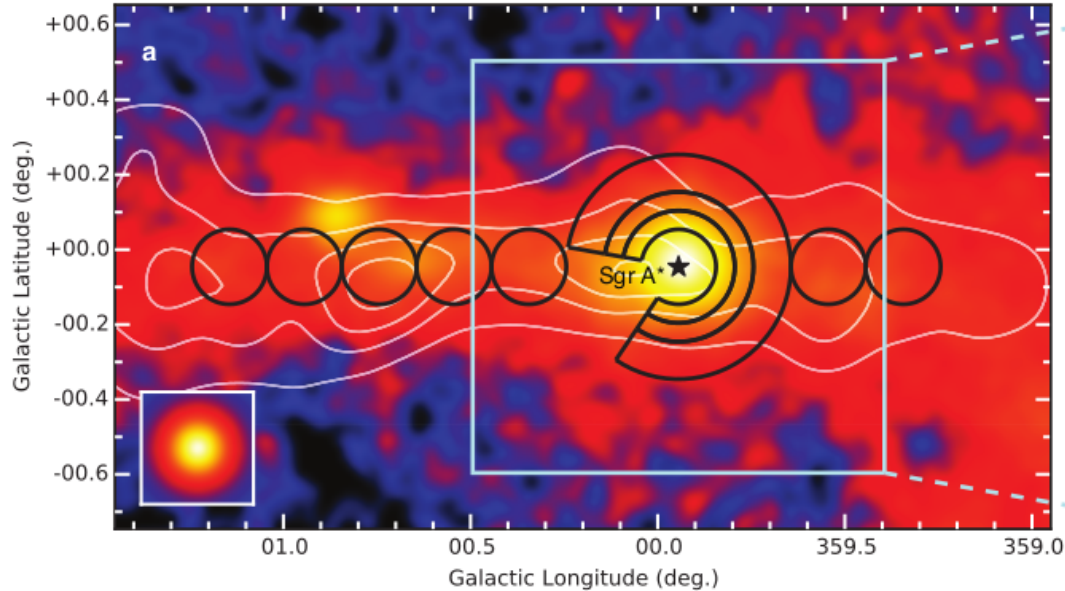
A mini-version of AGN

Stellar-mass black hole  
+ a companion star

Variable

Emission likely hadronic

# Galactic center



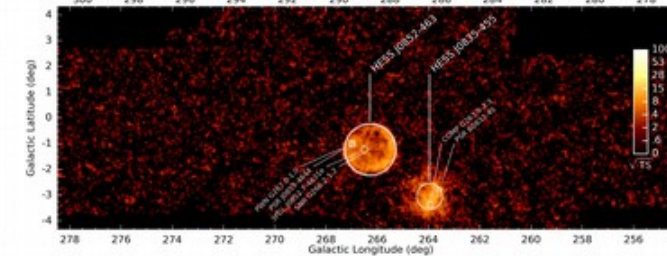
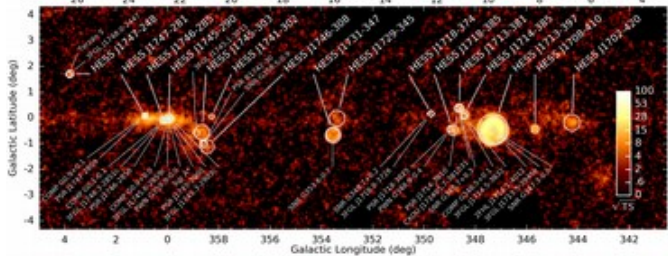
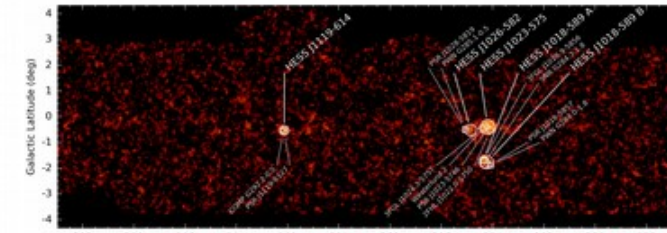
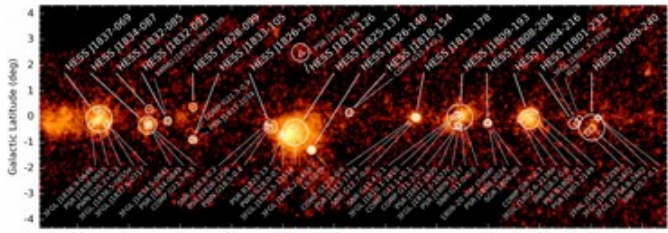
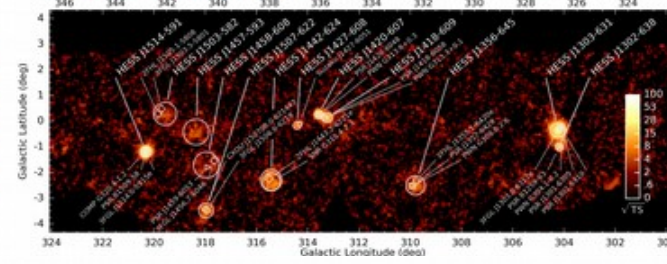
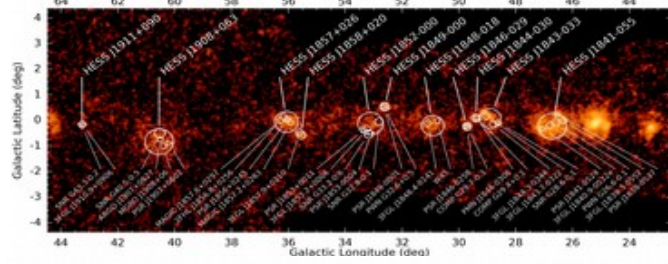
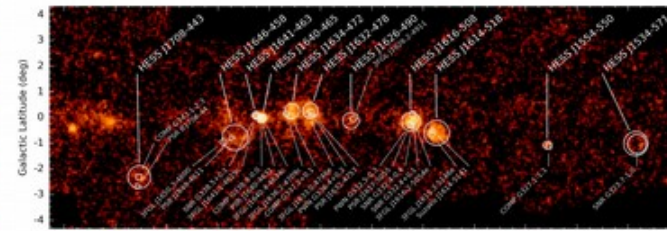
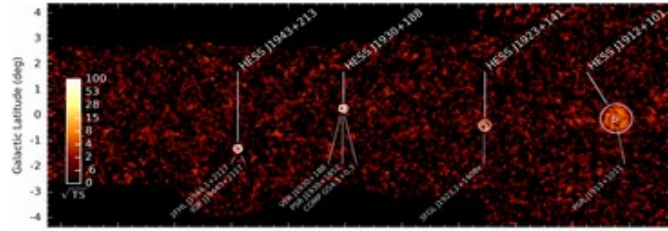
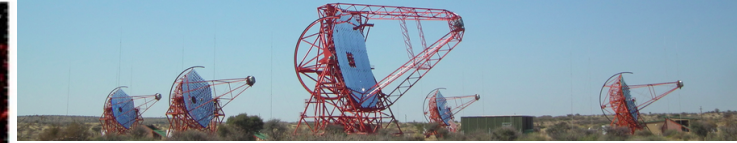
VHE gamma observations suggest that it's a hadronic PeVatron

Past activity of the central black hole might be a major source of PeV cosmic rays in our galaxy

<https://doi.org/10.1038/nature17147>



# H.E.S.S. Galactic plane survey



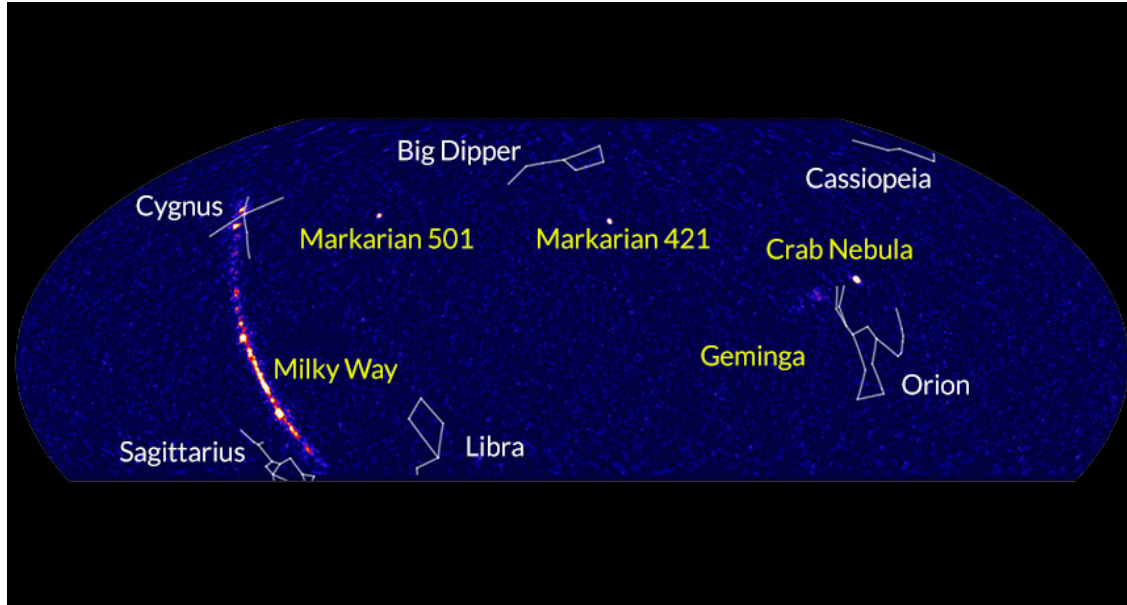
Many TeV sources of different types and sizes are found near the Galactic plane

Energy  $\sim 1$  TeV

<https://www.mpi-hd.mpg.de/hfm/HESS/hggs/>  
Figs 35-38

# HAWC

VHE gamma-ray sky map

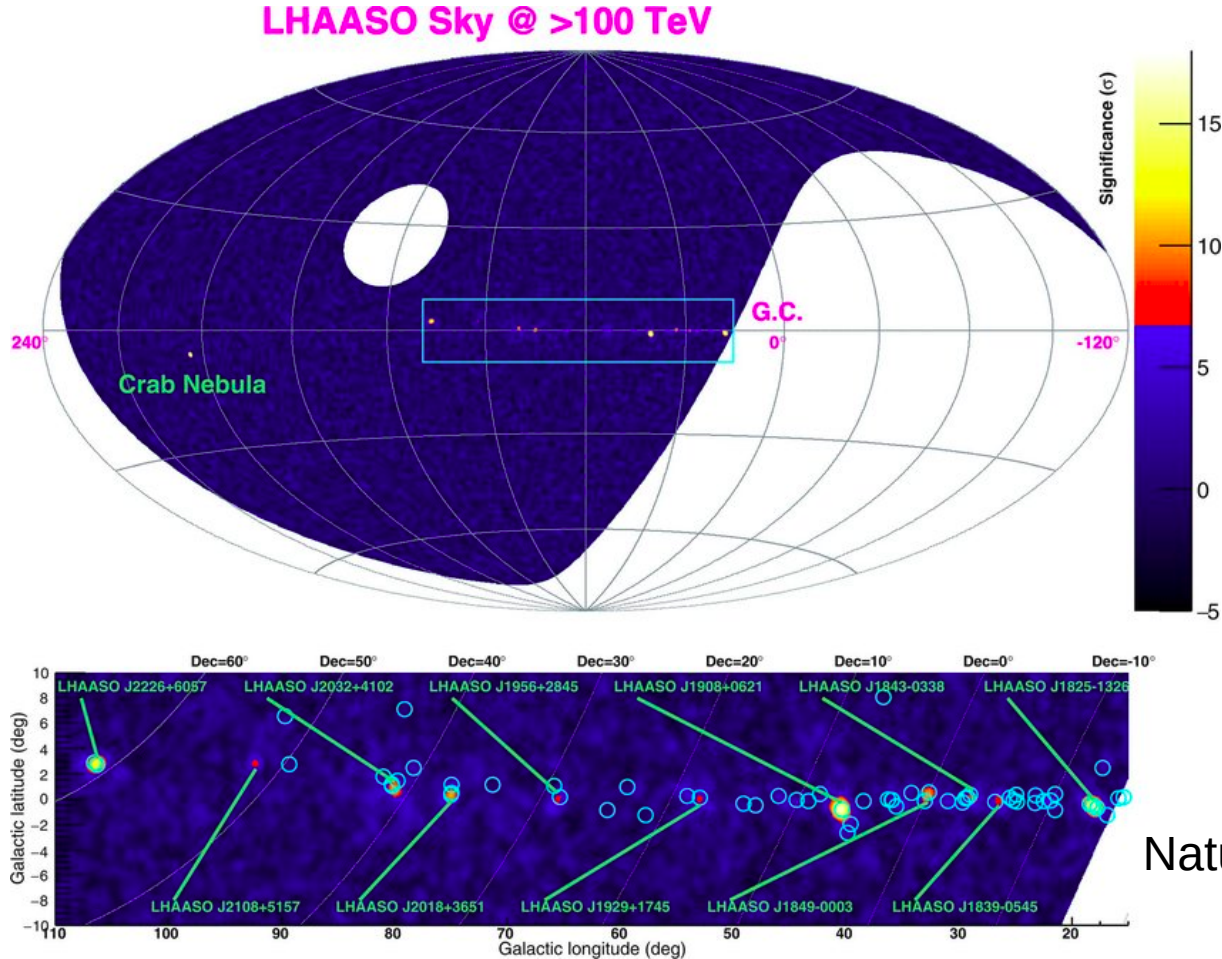


At TeV energies most emission comes from  $|b| < 3$  deg

<https://doi.org/10.1016/j.nima.2023.168253>

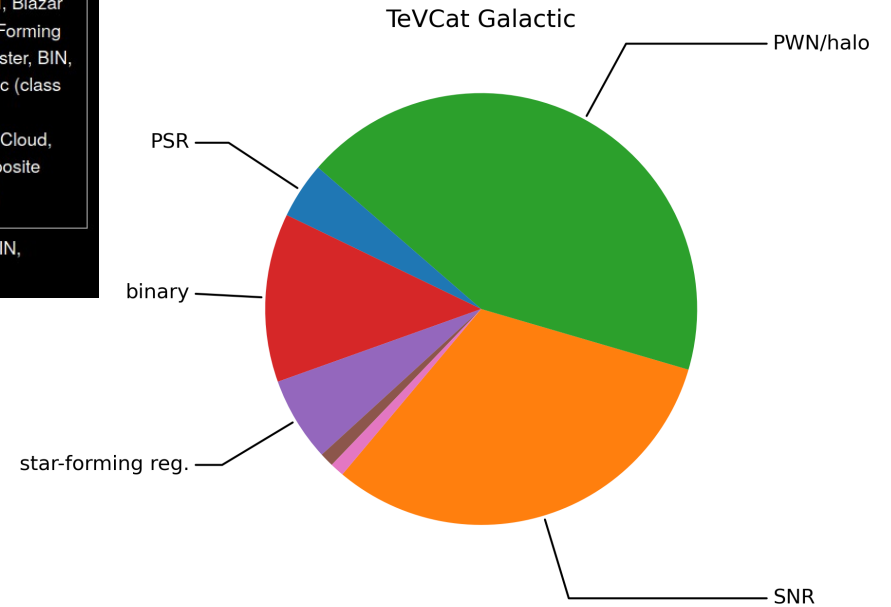
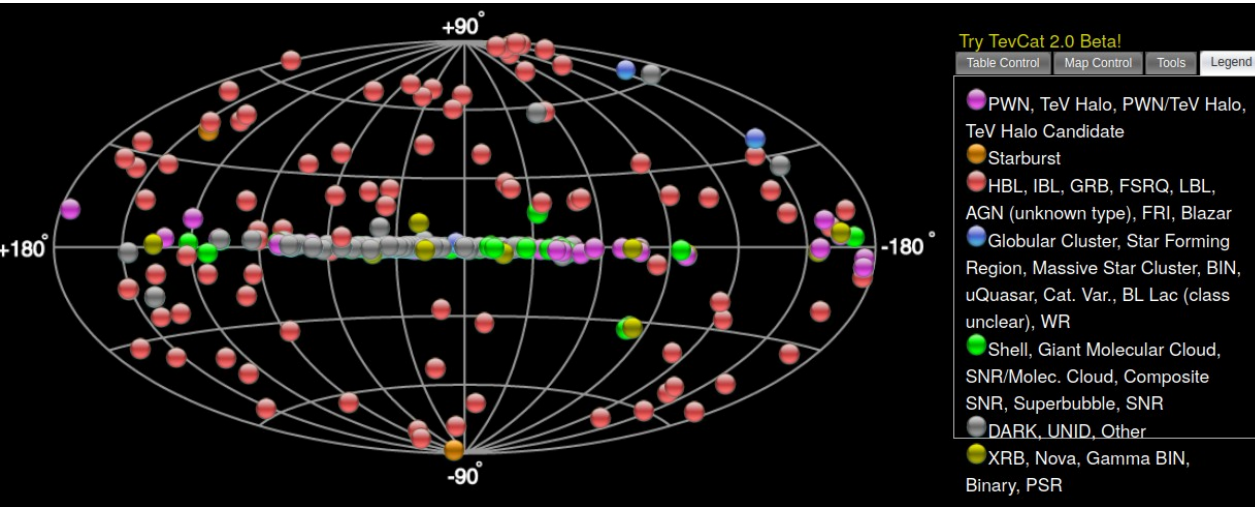


# LHAASO observations at $E > 100$ TeV



Nature 594, 33–36 (2021)

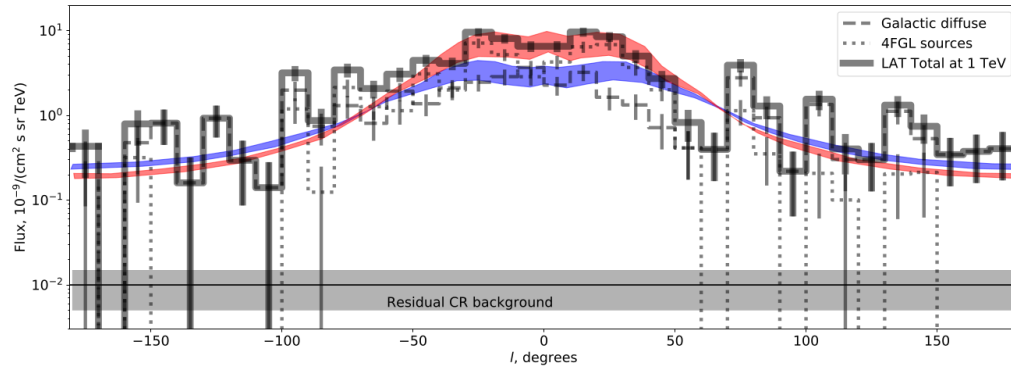
# TeVCat source catalog



<http://tevcat.uchicago.edu/>

# Galactic diffuse emission

gamma-ray data : latitude profile

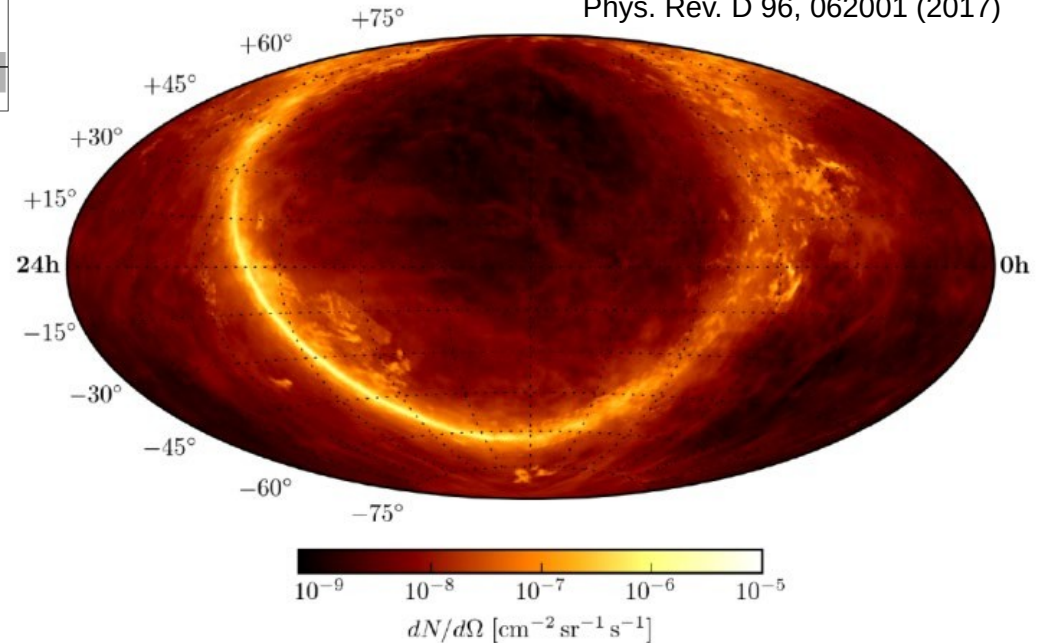


A.Neronov, D.V.Semikoz, A&A 633, A94 (2020)

Product of Cosmic Ray interactions  
with gas and photon fields in our Galaxy

## A theoretical model for galactic diffuse neutrino emission

Phys. Rev. D 96, 062001 (2017)





# Conclusion

- High energy astrophysics provides a unique view of extreme phenomena in the Universe, allowing for tests of fundamental physics laws under conditions not achievable in laboratory
- Neutrino astronomy enables studies of objects hidden from view by classic astronomy tools and is complementary to other branches of astronomy

# Backup slides

# Why high energy astrophysics is important

- Advance our understanding of the Universe
- Research physics in extreme conditions inaccessible in laboratory (black holes, extreme energy density, ...)
- Possible synergies with applied science, e.g. in the field of plasma physics
  - Example 1: magnetic reconnection is important in nuclear fusion reactors
  - Example 2: plasma instabilities are important in detonation engines

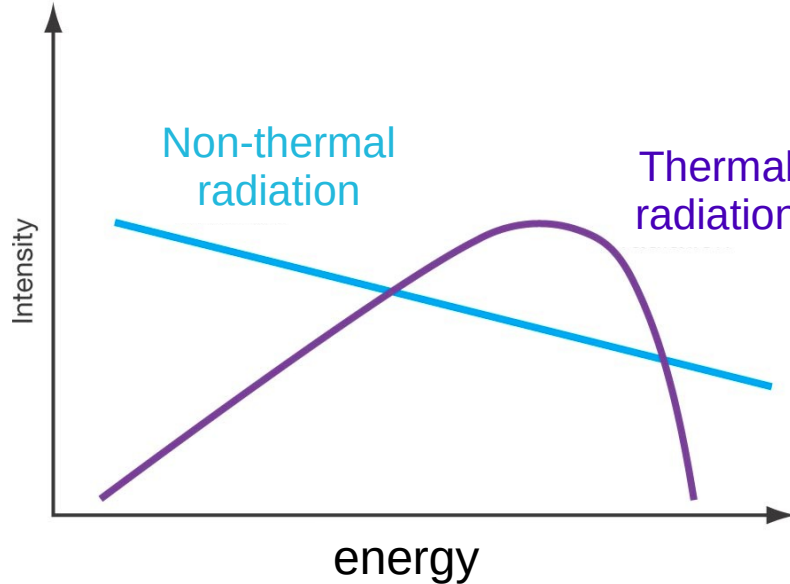
# Области физики, необходимые для описания астрофизических объектов и процессов, упомянутых в данной лекции

- Физика частиц
- Физика высоких энергий
- Физика плазмы
- Ядерная физика
- Термодинамика
- Магнитогидродинамика
- Общая теория относительности
- ...

## Other topics not covered here

- Other astrophysical phenomena (Novae, binary systems, ...)
- Diffuse flux
- Dark matter
- Magnetic monopoles
- Other exotics

# Тепловое и нетепловое излучение

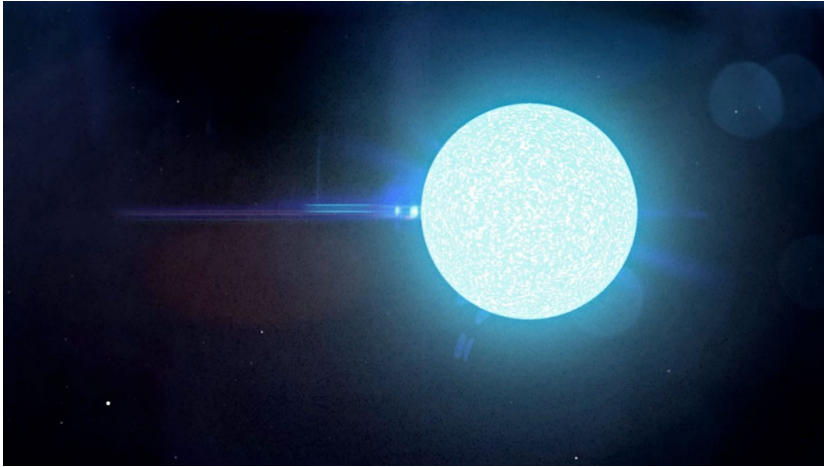


Adapted from <https://pages.uoregon.edu/jimbrau/astr123-2015/Notes/Exam1rev.html>

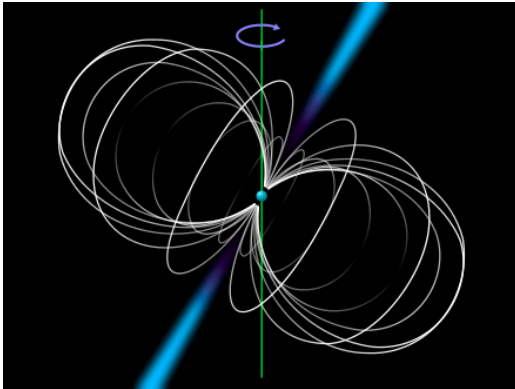
Тепловое излучение = превращение тепловой энергии в электромагнитную

Нетепловое излучение = любое другое излучение

# Neutron star

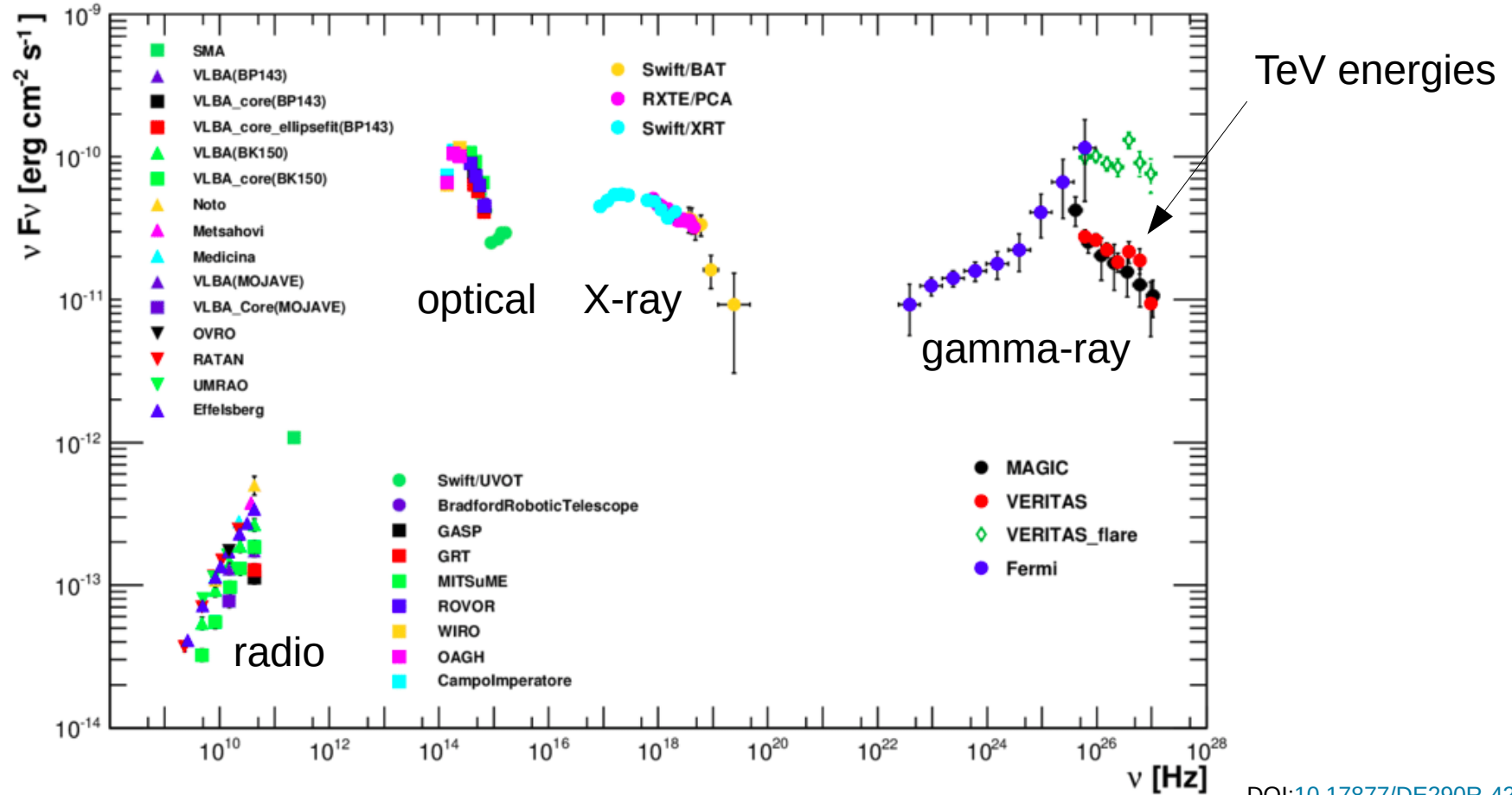


Artist's conception. Image credit: NASA

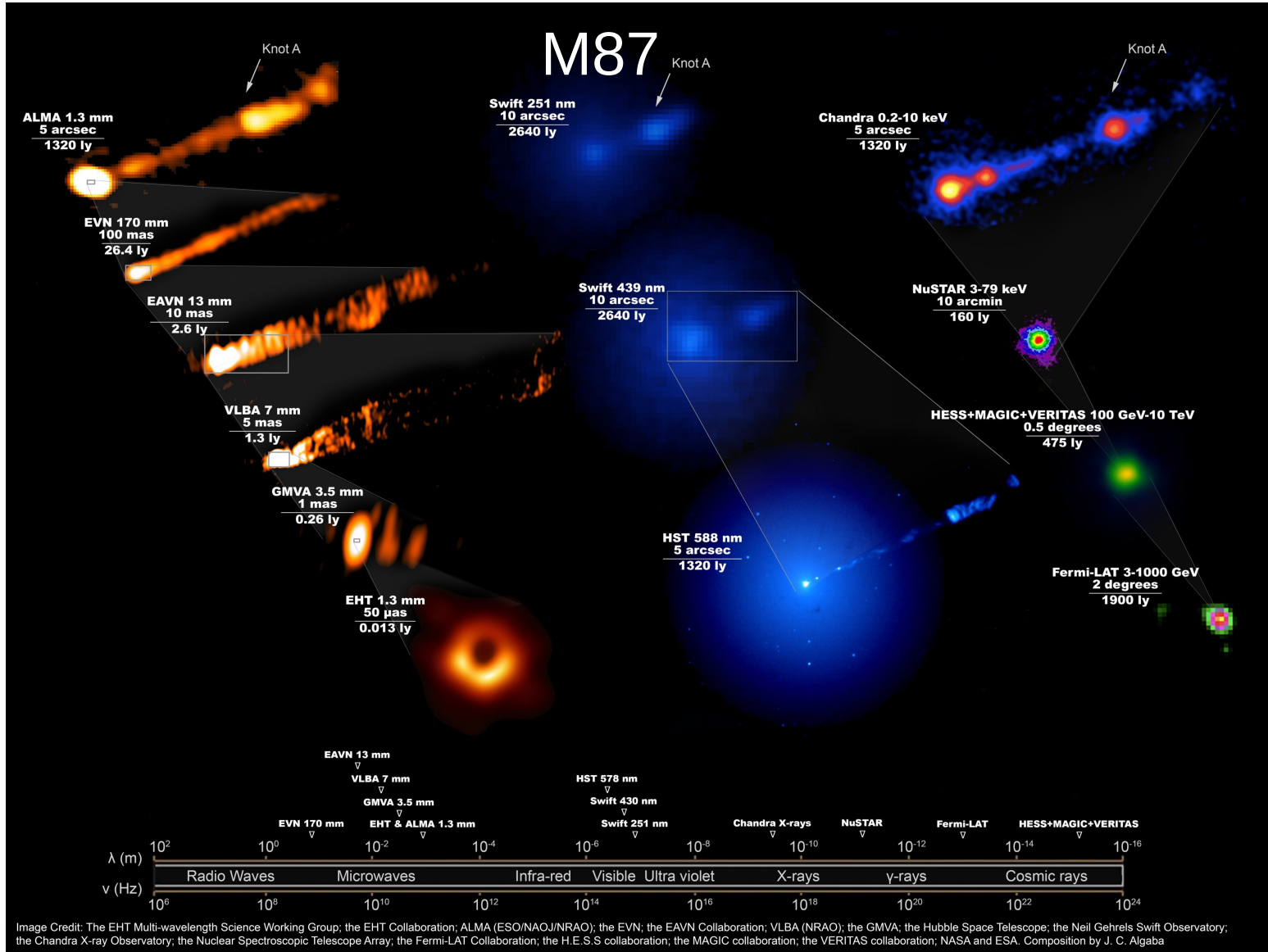


- A neutron star is a remnant left from core collapse of a supergiant star
- Supported by neutron degeneracy pressure
- Radius  $\sim 10$  km
- Mass  $\sim 1.4 M_{\text{sun}}$
- Pulsar is a highly magnetized neutron star, emitting pulses of electromagnetic radiation
- Magnetar is an extremely magnetized neutron star

# Example of a broadband spectrum : Mrk 501

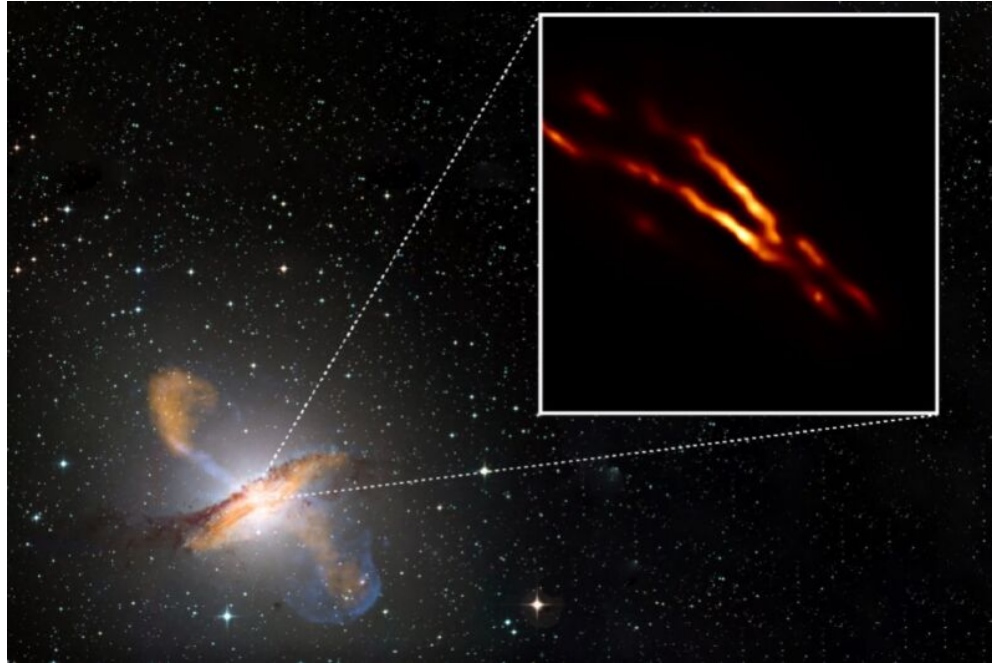




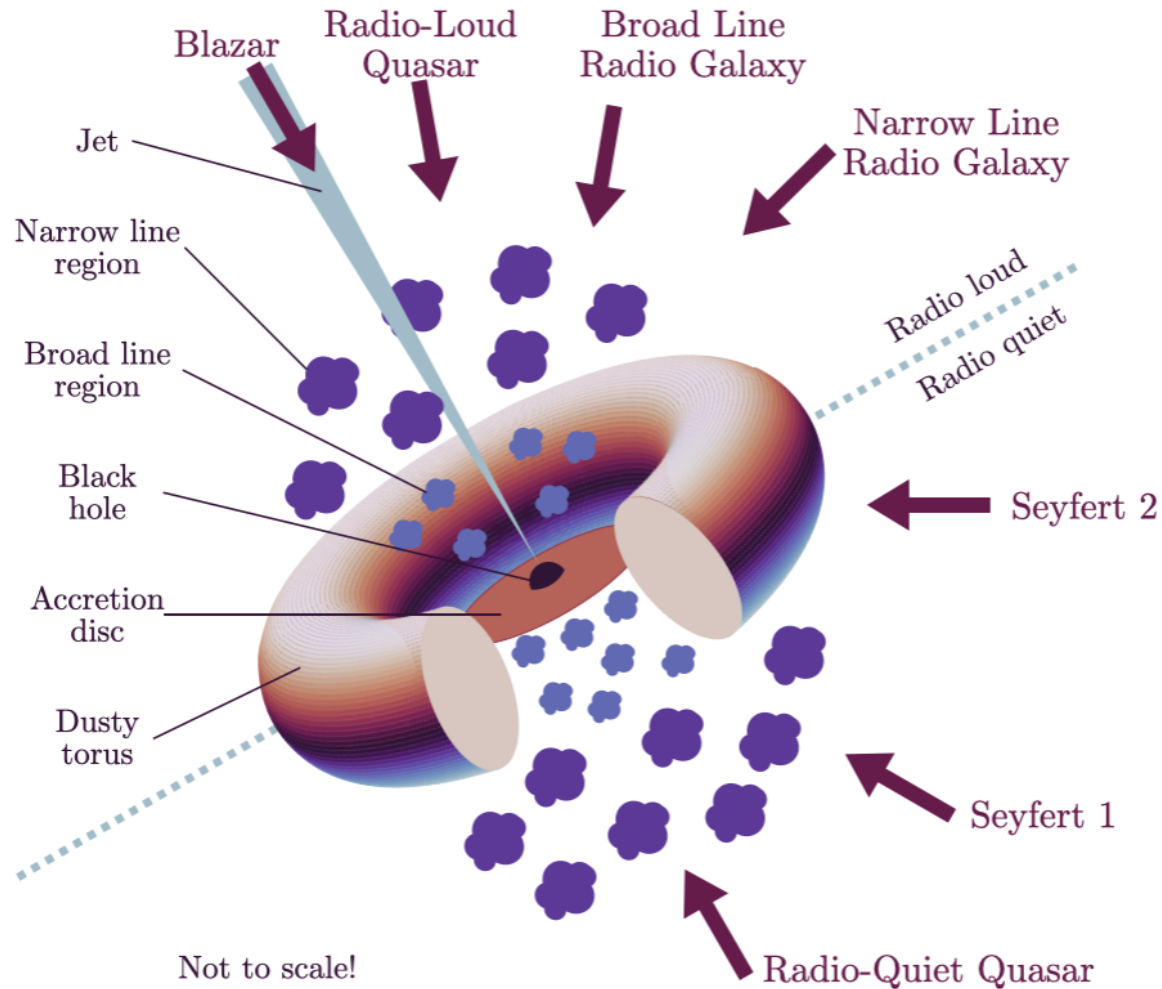


# AGN / radio galaxy Centaurus A

Cen A



Optical and radio images of emission from the jet of the jet-loud AGN Cen A.  
Credit: Radboud University; ESO/WFI; MPIfR/ESO/APEX/A. Weiss et al.;  
NASA/CXC/CfA/R. Kraft et al.; EHT/M. Janssen et al.



Emma Alexander