

# Cosmology. Theory Lecture #2

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**XXIII Baikal Summer School  
on Physics of Elementary Particles and Astrophysics,**

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# Convention of GR description

metric

$$ds^2 = g_{\mu\nu}(x) dx^\mu dx^\nu , \quad \mu, \nu = 0, 1, 2, 3 \quad \text{signature } (+, -, -, -)$$

$$x^\mu \rightarrow x'^\mu(x^\mu) : \quad B'{}^\mu_{\nu\lambda}(x') = \frac{\partial x'^\mu}{\partial x^\sigma} \frac{\partial x^\tau}{\partial x'^\nu} \frac{\partial x^\rho}{\partial x'^\lambda} B^\sigma_{\tau\rho}(x)$$

invariant volume  $\int d^4x \sqrt{-g}$

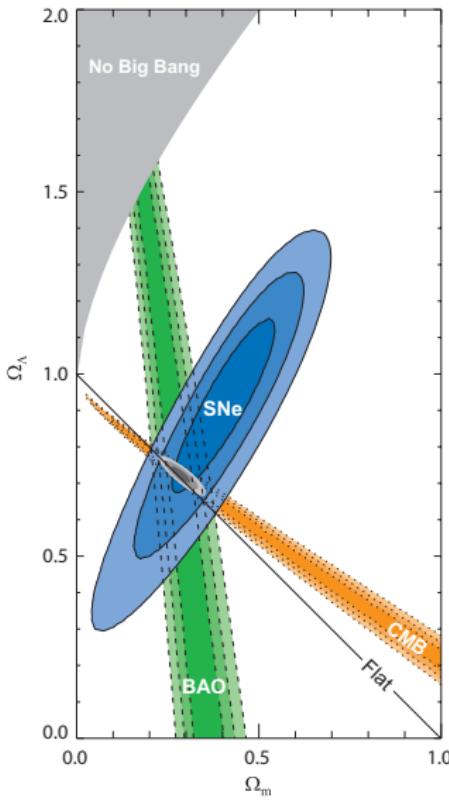
$$\int d^4x \rightarrow \int d^4x \sqrt{-g}$$

$g_{\mu\nu}(x)$  is the dynamical variable

$$T_{\mu\nu} \propto g_{\mu\nu} \Lambda$$

$$\int d^4x \sqrt{-g} \Lambda$$

# Dark Energy: nonclumping substance?



- estimates of Matter contribution confined in galaxies and clusters  
 $\rho_c - \rho_M \neq 0$  but the Universe is flat, so  
 $\rho_{curv} \simeq 0$
- corrections to the Hubble law : red shift – brightness curves for standard candles (SN Ia)
- The age of the Universe
- CMB anisotropy, large scale structures (galaxy clusters formation), etc

$$\rho_\Lambda = 0.68\rho_c$$

$$\rho_\Lambda \sim 10^{-5} \text{ GeV/cm}^3 \sim (10^{-11.5} \text{ GeV})^4$$

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$$x^\mu \rightarrow x'^\mu(x^\mu) : \quad B'{}^\mu_{\nu\lambda}(x') = \frac{\partial x'^\mu}{\partial x^\sigma} \frac{\partial x^\tau}{\partial x'^\nu} \frac{\partial x^\rho}{\partial x'^\lambda} B^\sigma_{\tau\rho}(x)$$

Christoffel symbols:

$$\tilde{A}^\mu(\tilde{x}) = A^\mu(x) - \Gamma^\mu_{\nu\lambda}(x) A^\nu(x) dx^\lambda$$

Covariant derivative

$$A^\mu(\tilde{x}) - \tilde{A}^\mu(\tilde{x}) = \nabla_\nu A^\mu \cdot dx^\nu$$

$$\nabla_\nu A^\mu(x) = \partial_\nu A^\mu(x) + \Gamma^\mu_{\nu\lambda}(x) A^\lambda$$

If  $\Gamma^\mu_{\nu\lambda} = \Gamma^\mu_{\lambda\nu}$  and  $\nabla_\nu g_{\mu\lambda} = 0$

$$A_\mu \equiv A^\nu g_{\mu\nu}$$

$$\Gamma^\mu_{\nu\lambda} = \frac{1}{2} g^{\mu\rho} (\partial_\nu g_{\rho\lambda} + \partial_\lambda g_{\rho\nu} - \partial_\rho g_{\nu\lambda})$$

## FLRW metric

$$g_{\mu\nu}$$

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = dt^2 - a^2(t) d\vec{r}^2 = dt^2 - a^2(t) \gamma_{ij} dx^i dx^j ,$$

Special frame: different parts look similar

it is comoving: world lines of particles at rest are geodesics,

derive it



$$\frac{du^\mu}{ds} + \Gamma_{\nu\lambda}^\mu u^\nu u^\lambda = 0$$

particle trajectory  $x^\mu(\tau)$ ,

choosing  $\tau = s$ , we define  $u^\mu = dx^\mu/ds$

$$s = -m \int ds = -m \int \sqrt{g_{\mu\nu} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau}} d\tau$$

$$\gamma_{ij} \approx \delta_{ij}$$

# Photons in the expanding Universe

$$S = -\frac{1}{4} \int d^4x \sqrt{-g} g^{\mu\nu} g^{\lambda\rho} F_{\mu\lambda} F_{\nu\rho}$$

$$dt = ad\eta$$

conformally flat metric

$$ds^2 = dt^2 - a^2(t) \delta_{ij} dx^i dx^j \rightarrow ds^2 = a^2(\eta) [d\eta^2 - \delta_{ij} dx^i dx^j]$$

$$S = -\frac{1}{4} \int d^4x \eta^{\mu\nu} \eta^{\lambda\rho} F_{\mu\lambda} F_{\nu\rho}, \quad A_\mu^{(\alpha)} = e_\mu^{(\alpha)} e^{ik\eta - i\mathbf{k}\mathbf{x}}, \quad k = |\mathbf{k}|$$

$$\Delta x = 2\pi/k, \quad \Delta\eta = 2\pi/k$$

$$\lambda(t) = a(t)\Delta x = 2\pi \frac{a(t)}{k}, \quad T = a(t)\Delta\eta = 2\pi \frac{a(t)}{k}$$

Redshift and the Hubble law  $\lambda_0 = \lambda_i \frac{a_0}{a(t_i)} \equiv \lambda_i(1 + z(t_i))$

$$\mathbf{p}(t) = \frac{\mathbf{k}}{a(t)}, \quad \omega(t) = \frac{k}{a(t)}$$

for not very distant objects

$1 \text{ pc} \approx 3 \text{ ly}$

$$a(t_i) = a_0 - \dot{a}(t_0)(t_0 - t_i) \longrightarrow a(t_i) = a_0[1 - H_0(t_0 - t_i)]$$

$$z(t_i) = H_0(t_0 - t_i) = H_0 r, \quad z \ll 1$$

$$H_0 = h \cdot 100 \frac{\text{km}}{\text{s} \cdot \text{Mpc}}, \quad h = 0.675 \pm 0.005$$

similar reddening for other relativistic particles (small  $H$ ,  $\dot{H}$ , etc.)

$$\mathbf{p} = \frac{\mathbf{k}}{a(t)}$$

is true for massive particles as well

# Gas of free particles in the expanding Universe

homogeneous gas

in comoving coordinates:

$$dN = f(\mathbf{p}, t) d^3 \mathbf{X} d^3 \mathbf{p} = \text{const}$$

$$dN = f(\mathbf{k}, \eta) d^3 \mathbf{x} d^3 \mathbf{k} = \text{const}, \quad d^3 \mathbf{x} = \text{const}, \quad d^3 \mathbf{k} = \text{const}$$

Hence  $f(\mathbf{k}, \eta) = f(\mathbf{k}) = \text{const}$

comoving volume equals physical volume

$$d^3 \mathbf{x} d^3 \mathbf{k} = d^3(a \mathbf{x}) d^3 \left( \frac{\mathbf{k}}{a} \right) = d^3 \mathbf{X} d^3 \mathbf{p}$$

$$f(\mathbf{p}, t) = f(\mathbf{k}) = f(a(t) \cdot \mathbf{p}).$$

$$t = t_i : \quad f_i(\mathbf{p}) = f_i \left( \frac{\mathbf{k}}{a(t_i)} \right) \longrightarrow f(\mathbf{p}, t) = f_i \left( \frac{\mathbf{k}}{a(t_i)} \right) = f_i \left( \frac{\mathbf{k}}{a(t_i)} \frac{a(t)}{a(t_i)} \right)$$

## Massless bosons (photons)

fermions

$$f_i(\mathbf{p}) = f_{\text{Pl}} \left( \frac{|\mathbf{p}|}{T_i} \right) = \frac{1}{(2\pi)^3} \frac{1}{e^{|\mathbf{p}|/T_i} - 1}$$

$$f(\mathbf{p}, t) = f_{\text{Pl}} \left( \frac{a(t)|\mathbf{p}|}{a_i T_i} \right) = f_{\text{Pl}} \left( \frac{|\mathbf{p}|}{T_{\text{eff}}(t)} \right)$$

$$T_{\text{eff}}(t) = \frac{a_i}{a(t)} T_i$$

decoupling at  $T \gg m$ :

neutrinos, hot(warm) dark matter

decoupling at  $T \ll m$ :

thermally produced cold dark matter (e.g. WIMPs)

$$f(\mathbf{p}) = \frac{1}{(2\pi)^3} \exp \left( -\frac{m - \mu_i}{T_i} \right) \exp \left( -\frac{a^2(t)\mathbf{p}^2}{2ma_i^2 T_i} \right)$$

calculate  $f_0(p)$  for neutrinos

$$f(\mathbf{p}, t) = \frac{1}{(2\pi)^3} \exp \left( -\frac{m - \mu_{\text{eff}}}{T_{\text{eff}}} \right) \exp \left( -\frac{\mathbf{p}^2}{2mT_{\text{eff}}} \right)$$

$$T_{\text{eff}}(t) = \left( \frac{a_i}{a(t)} \right)^2 T_i, \quad \frac{m - \mu_{\text{eff}}(t)}{T_{\text{eff}}} = \frac{m - \mu_i}{T_i}$$

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inv:  $\int d^4x \sqrt{-g}$ 

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Christoffel symbols:

$$\nabla_\nu A^\mu(x) = \partial_\nu A^\mu(x) + \Gamma^\mu_{\nu\lambda}(x) A^\lambda(x)$$

$$\Gamma^\mu_{\nu\lambda} = \frac{1}{2} g^{\mu\rho} (\partial_\nu g_{\rho\lambda} + \partial_\lambda g_{\rho\nu} - \partial_\rho g_{\nu\lambda})$$

## Riemann tensor

$$\nabla_\mu \nabla_\nu A^\lambda - \nabla_\nu \nabla_\mu A^\lambda = A^\sigma R^\lambda_{\sigma\mu\nu}, \quad R^\mu_{\nu\lambda\rho} = \partial_\lambda \Gamma^\mu_{\nu\rho} - \partial_\rho \Gamma^\mu_{\nu\lambda} + \Gamma^\mu_{\sigma\lambda} \Gamma^\sigma_{\nu\rho} - \Gamma^\mu_{\sigma\rho} \Gamma^\sigma_{\nu\lambda}$$

## Ricci tensor and scalar

$$R_{\mu\nu} \equiv R^\lambda_{\mu\lambda\nu}, \quad R \equiv g^{\mu\nu} R_{\mu\nu} = R^{\lambda\mu}_{\lambda\mu}$$

# Einstein equations

$$ds^2 = dt^2 - a^2(t)\gamma_{ij}dx^i dx^j ,$$

$$S_{EH} = -\frac{1}{16\pi G} \int d^4x \sqrt{-g} R : R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 8\pi G T_{\mu\nu}$$

$$R_{00} = -3\frac{\ddot{a}}{a}, \quad R_{0i} = 0, \quad R_{ij} = (\ddot{a}a + 2\dot{a}^2 + 2\kappa)\gamma_{ij},$$

$$R = g^{\mu\nu} R_{\mu\nu} = -6 \left( \frac{\ddot{a}}{a} + \frac{\dot{a}^2}{a^2} + \frac{\kappa}{a^2} \right)$$

$T_{\mu\nu}$ : macroscopic description

$$T_{\mu\nu} = (p + \rho)u_\mu u_\nu - g_{\mu\nu}p$$

$$\frac{1}{2} \int d^4x \sqrt{-g} T_{\mu\nu} \delta g^{\mu\nu}$$

liquid with  $\rho(t)$  and  $p(t)$

in the comoving frame  $u^0 = 1$ ,  $\mathbf{u} = 0$

$$T_{\mu\nu} = \text{diag}(\rho, a^2(t)\gamma_{ij}p)$$

# Friedmann equation for the present Universe

$$H^2 \equiv \left( \frac{\dot{a}}{a} \right)^2 = \frac{8\pi}{3} G (\rho_M + \rho_{rad} + \rho_\Lambda + \rho_{curv})$$

$$\frac{8\pi}{3} G \rho_{curv} = -\frac{\kappa}{a^2}, \quad \rho_c \equiv \frac{3}{8\pi G} H_0^2$$

$$\rho_c = \rho_{M,0} + \rho_{rad,0} + \rho_{\Lambda,0} = \rho_c = 0.53 \cdot 10^{-5} \frac{\text{GeV}}{\text{cm}^3},$$

$$\Omega_X \equiv \frac{\rho_{X,0}}{\rho_c}, \quad \frac{a_0}{a} = 1 + z$$

$$\left( \frac{\dot{a}}{a} \right)^2 = H_0^2 \left[ \Omega_M \left( \frac{a_0}{a} \right)^3 + \Omega_{rad} \left( \frac{a_0}{a} \right)^4 + \Omega_\Lambda + \Omega_{curv} \left( \frac{a_0}{a} \right)^2 \right]$$

Obs: Equality ( $\Omega_M = \Omega_{rad}$ ,  $z \approx 3000$ ), Acceleration ( $\ddot{a} = 0$ ); Age (14 Gy)

# Homogeneous and isotropic 3d manifolds

$$dl^2 = d\rho^2 + r^2(\rho)(d\theta^2 + \sin^2 \theta d\phi^2)$$

$$r(\rho) = \begin{cases} R \sin(\rho/R), & \text{3-sphere} \\ \rho, & \text{3-plane} \\ R \sinh(\rho/R), & \text{3-hyperboloid} \end{cases}$$

$\rho$  is a geodesic distance;

$$S = 4\pi r^2(\rho);$$

$$\Delta\theta = \frac{l}{r(\rho)}$$

# Brightness–redshift dependence in the Universe

$$ds^2 = dt^2 - a^2(t) \left[ d\chi^2 + \sinh^2 \chi \left( d\theta^2 + \sin^2 \theta d\phi^2 \right) \right]$$

coordinate distance  $\chi = \int_{t_i}^{t_0} \frac{dt}{a(t)}$        $z(t) = \frac{a_0}{a(t)} - 1$

$$\chi(z) = \int_0^z \frac{dz'}{a_0 H_0} \frac{1}{\sqrt{\Omega_M(z'+1)^3 + \Omega_\Lambda + \Omega_{curv}(z'+1)^2}}$$

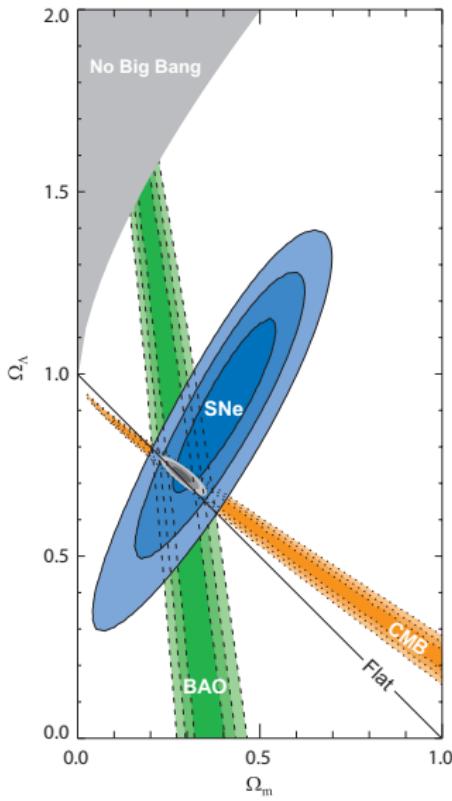
$$a_0^2 H_0^2 \Omega_{curv} = 1 , \quad \Omega_M + \Omega_\Lambda + \Omega_{curv} = 1$$

$$S(z) = 4\pi r^2(z) , \quad r(z) = a_0 \sinh \chi(z)$$

detector:  $N_\gamma \propto S^{-1}$ ,  $\omega = \omega_i/(1+z)$ ,  $dt_0 = (1+z)dt_i$   
 brightness (energy flux measured by a detector) is

$$\textcolor{red}{J} = \frac{L}{(1+z)^2 S(z)} \equiv \frac{L}{4\pi r_{ph}^2} , \quad r_{ph} = (1+z) \cdot r(z)$$

# Astrophysical and cosmological data are in agreement



$$\left(\frac{\dot{a}}{a}\right)^2 = H^2(t) = \frac{8\pi}{3} G \rho_{\text{density}}^{\text{energy}}$$

$$\rho_{\text{density}}^{\text{energy}} = \rho_{\text{radiation}} + \rho_{\text{matter}}^{\text{ordinary}} + \rho_{\text{matter}}^{\text{dark}} + \rho_{\Lambda}$$

$$\rho_{\text{radiation}} \propto 1/a^4(t) \propto T^4(t), \quad \rho_{\text{matter}} \propto 1/a^3(t)$$

$$\rho_{\Lambda} = \text{const}$$

$$\frac{3H_0^2}{8\pi G} = \rho_{\text{density}}^{\text{energy}}(t_0) \equiv \rho_c \approx 0.53 \times 10^{-5} \frac{\text{GeV}}{\text{cm}^3}$$

radiation:

$$\Omega_\gamma \equiv \frac{\rho_\gamma}{\rho_c} = 0.5 \times 10^{-4}$$

Baryons (H, He):

$$\Omega_B \equiv \frac{\rho_B}{\rho_c} = 0.046$$

Neutrino:

$$\Omega_\nu \equiv \frac{\sum \rho_{\nu_i}}{\rho_c} < 0.01$$

Dark matter:

$$\Omega_{\text{DM}} \equiv \frac{\rho_{\text{DM}}}{\rho_c} = 0.28$$

Dark energy:

$$\Omega_\Lambda \equiv \frac{\rho_\Lambda}{\rho_c} = 0.68$$

## Initial singularity

(Bang!)

$$\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi}{3} G\rho, \quad p = w\rho, \quad \text{repeat } \checkmark$$

dust:

$$p = 0$$

singular at  $t = t_s$ 

$$\rho = \frac{\text{const}}{a^3}, \quad a(t) = \text{const} \cdot (t - t_s)^{2/3}, \quad \rho(t) = \frac{\text{const}}{(t - t_s)^2}$$

$$t_s = 0, \quad H(t) = \frac{\dot{a}}{a}(t) = \frac{2}{3t}, \quad \rho = \frac{3}{8\pi G} H^2 = \frac{1}{6\pi G} \frac{1}{t^2}$$

radiation:

$$p = \frac{1}{3}\rho$$

singular at  $t = t_s$ 

$$\rho = \frac{\text{const}}{a^4}, \quad a(t) = \text{const} \cdot (t - t_s)^{1/2}, \quad \rho(t) = \frac{\text{const}}{(t - t_s)^2}$$

$$t_s = 0, \quad H(t) = \frac{\dot{a}}{a}(t) = \frac{1}{2t}, \quad \rho = \frac{3}{8\pi G} H^2 = \frac{3}{32\pi G} \frac{1}{t^2}$$

## Entropy

$$T_{\mu}^{\nu} = (p + \rho) u_{\mu} u^{\nu} - \delta_{\mu}^{\nu} p$$

$$\nabla_{\mu} T_0^{\mu} = 0 \longrightarrow \dot{\rho} + 3 \frac{\dot{a}}{a} (\rho + p) = 0$$

for equation of state

$$p = p(\rho)$$

of the primordial plasma we obtain

$$-3d(\ln a) = \frac{dp}{p + \rho} = d(\ln s)$$

entropy is conserved in a comoving volume

$$sa^3 = \text{const}$$

For the visible part of the Universe:

$$S \sim s_{\gamma,0} \cdot l_H^3 \sim 10^{88}$$

# Horizon problem $l_H(t)$

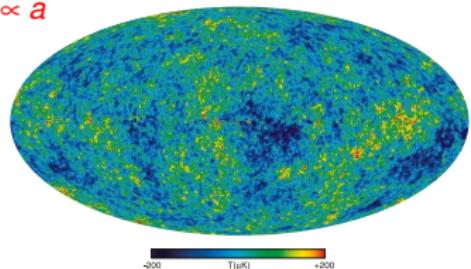
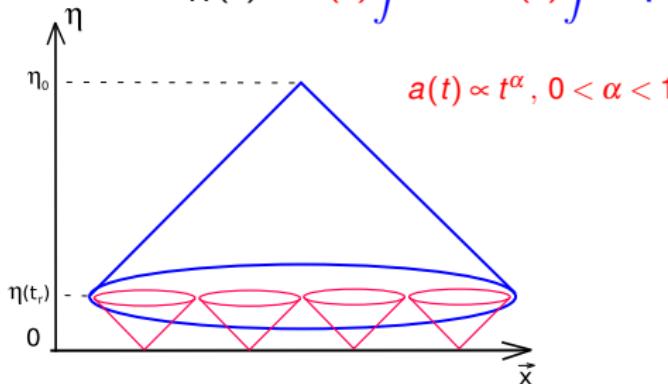
a distance covered by photon emitted at  $t = 0$

size of the causally connected part,

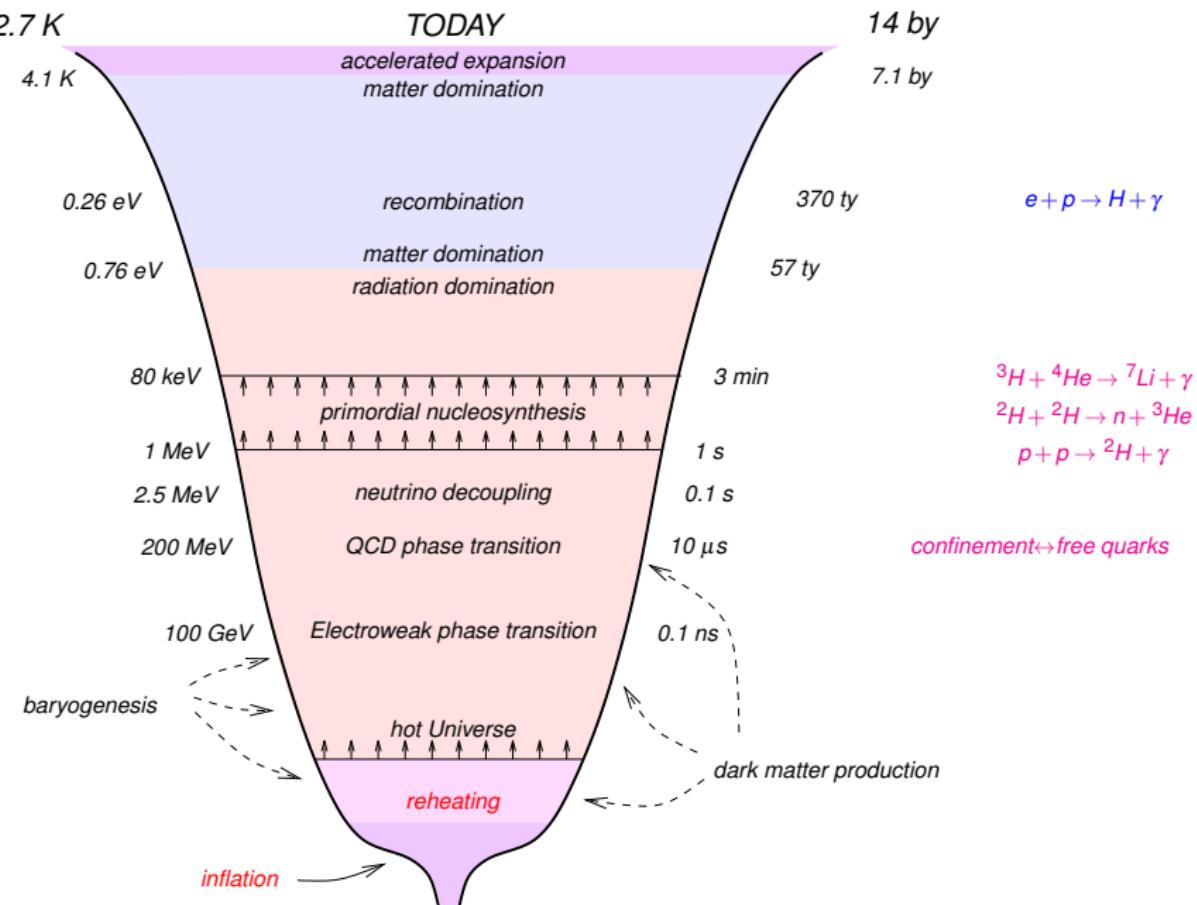
i.e. the visible part of the Universe (“inside horizon”)

$$ds^2 = dt^2 - a^2(t) dx^2 = a^2(\eta) (d\eta^2 - dx^2) \quad ds^2 = 0$$

$$l_H(t) = a(t) \int dx = a(t) \int d\eta = a(t) \int_0^t \frac{c dt'}{a(t')} \propto t \propto 1/H(t)$$



$$l_{H_0}/l_{H,r}(t_0) \sim l_{H_0}/l_{H,r}(t_r) a(t_r)/a_0 \sim H_r/H_0 a(t_r)/a_0 \sim \sqrt{1+z_r} \simeq 30$$



# Ultra-relativistic particles

radiation:

$$p = \frac{1}{3}\rho$$

singular at  $t = 0$

$$\rho = \frac{\text{const}}{a^4}, \quad a(t) \propto t^{1/2}, \quad \rho(t) = \frac{\text{const}}{t^2}$$

$$H(t) = \frac{\dot{a}}{a}(t) = \frac{1}{2t}, \quad \rho = \frac{3}{8\pi G} H^2 = \frac{3}{32\pi G} \frac{1}{t^2}$$

$$I_H(t) = a(t) \int_0^t \frac{dt'}{a(t')} = 2t = \frac{1}{H(t)}.$$

In case of thermal equilibrium

$$T = \text{const}/(a g_*^{1/3}(T)) \approx \text{const}/a$$

$$\rho_b = \frac{\pi^2}{30} g_b T^4, \quad \rho_f = \frac{7}{8} \frac{\pi^2}{30} g_f T^4 \quad \text{find } \frac{7}{8}$$

$$\rho = \frac{\pi^2}{30} g_* T^4, \quad g_* = \sum_b g_b + \frac{7}{8} \sum_f g_f = g_*(T), \quad s = \frac{\pi^2}{45} g_* T^3$$

# Last scattering: $\gamma e \rightarrow \gamma e$

$$\sigma_T = \frac{8\pi}{3} \frac{\alpha^2}{m_e^2} \approx 0.67 \cdot 10^{-24} \text{ cm}^2, \quad \tau_\gamma = \frac{1}{\sigma_T \cdot n_e(T)}$$

last scattering:

$$\tau_\gamma(T_f) \simeq H^{-1}(T_f) \simeq t_f$$

$$T_f = 0.26 \text{ eV}, \quad z = 1100, \quad t_f = 370\,000 \text{ yr}$$

for general processes one should solve kinetic equations

$$\frac{dn_{X_i}}{dt} + 3Hn_{X_i} = \int (\text{production} - \text{destruction})$$

Boltzmann equation in a comoving volume:  $\frac{d}{dt} (na^3) = a^3 \int \dots$

# Recombination: horizon

matter domination:

$$l_{H,r} = 2H_r^{-1}$$

$$H_r^2 = \frac{8\pi}{3} G \rho_M(t_r) = \frac{8\pi}{3} G \rho_{M,0} \left( \frac{a_0}{a_r} \right)^3 = \frac{8\pi}{3} G \rho_c \Omega_{M,0} (1 + z_r)^3 .$$

at recombination:

$$l_{H,r} = \frac{2}{H_0 \sqrt{\Omega_M}} \frac{1}{(1+z_r)^{3/2}}$$

today:

$$l_{H,r}(t_0) = \frac{2}{H_0 \sqrt{\Omega_M}} \frac{1}{\sqrt{1+z_r}}$$

$$\frac{l_{H_0}}{l_{H,r}(t_0)} \sim \sqrt{1+z_r} \simeq 30$$

# Recombination: angle

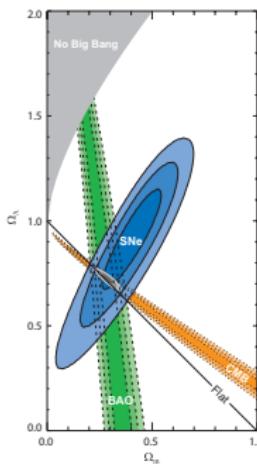
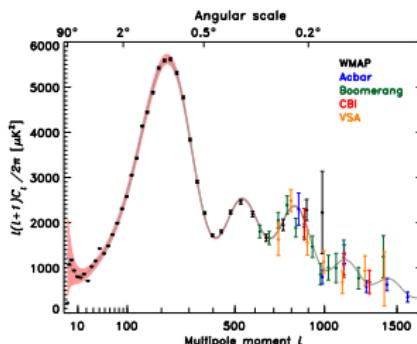
$$\chi_r = \int_{t_r}^{t_0} \frac{dt}{a(t)}, \quad \Delta\theta_r = \frac{l_{H,r}}{r_a(z_r)}$$

$$r_a(z_r) = (1 + z_r)^{-1} \cdot a_0 \cdot \sinh \chi_r$$

$$\Delta\theta_r = \frac{1}{\sqrt{z_r + 1}}, \quad \Omega_{curv} = \Omega_\Lambda = 0.$$

$$\Delta\theta_r = \frac{1}{\sqrt{z_r + 1}} \frac{2\sqrt{\Omega_{curv}/\Omega_M}}{\sinh\left(2\sqrt{\Omega_{curv}/\Omega_M}l\right)}.$$

$$l = \int_0^1 \frac{dy}{\sqrt{1 + \frac{\Omega_\Lambda}{\Omega_M} y^6}}$$



Acoustic oscillations in relativistic plasma:  
What matters is the **sound horizon**:

$$l_{s,r} = l_{H,r} \cdot v_s \approx$$

# Recombination: angle

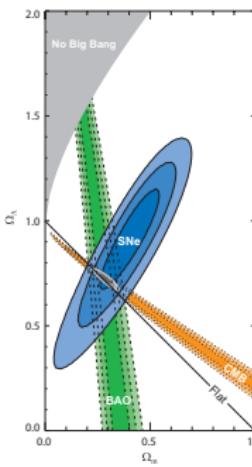
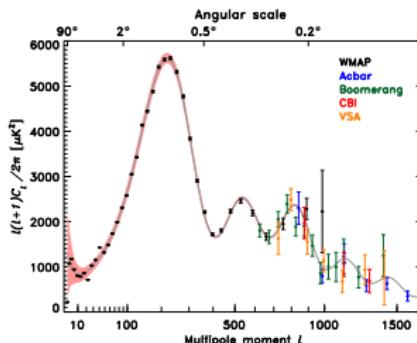
$$\chi_r = \int_{t_r}^{t_0} \frac{dt}{a(t)}, \quad \Delta\theta_r = \frac{l_{H,r}}{r_a(z_r)}$$

$$r_a(z_r) = (1 + z_r)^{-1} \cdot a_0 \cdot \sinh \chi_r$$

$$\Delta\theta_r = \frac{1}{\sqrt{z_r + 1}}, \quad \Omega_{curv} = \Omega_\Lambda = 0.$$

$$\Delta\theta_r = \frac{1}{\sqrt{z_r + 1}} \frac{2\sqrt{\Omega_{curv}/\Omega_M}}{\sinh\left(2\sqrt{\Omega_{curv}/\Omega_M}l\right)}.$$

$$l = \int_0^1 \frac{dy}{\sqrt{1 + \frac{\Omega_\Lambda}{\Omega_M} y^6}}$$



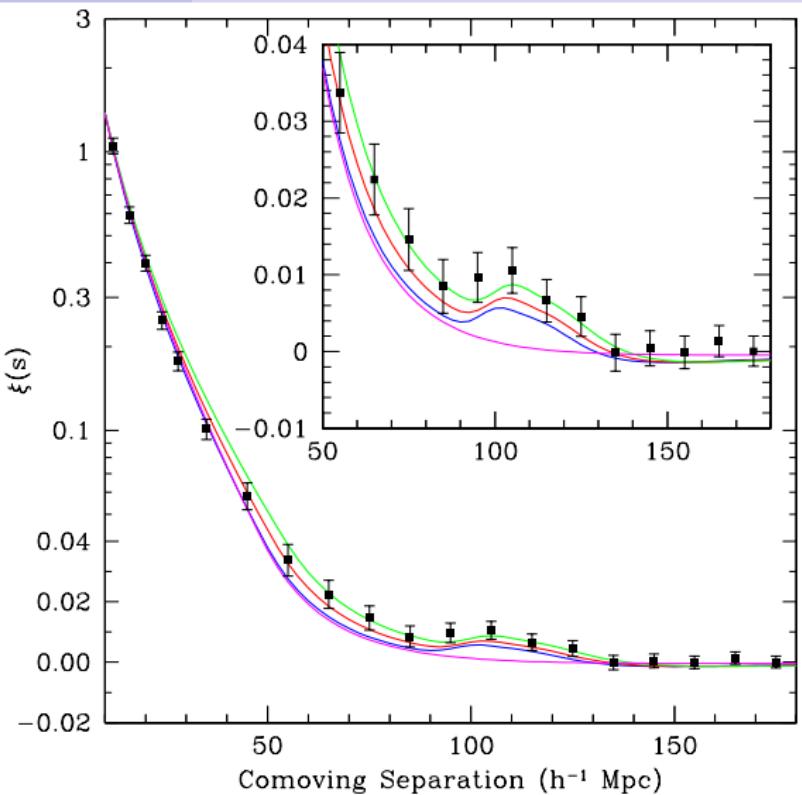
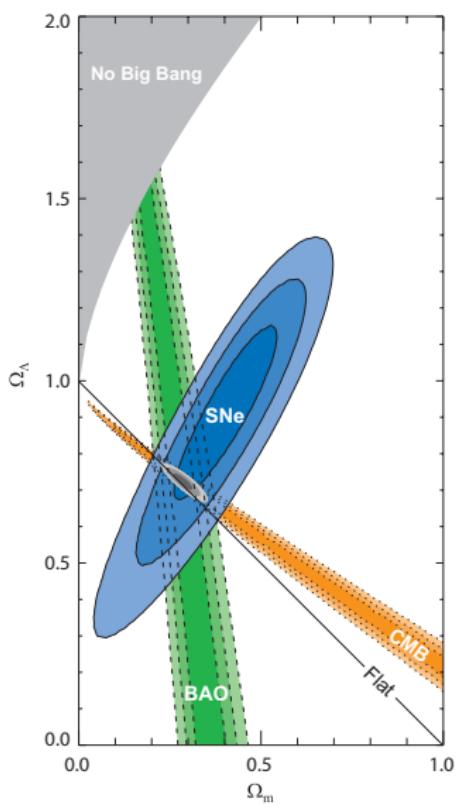
Acoustic oscillations in relativistic plasma:  
What matters is the **sound horizon**:

$$l_{s,r} = l_{H,r} \cdot v_s \approx l_{H,r} / \sqrt{3}$$

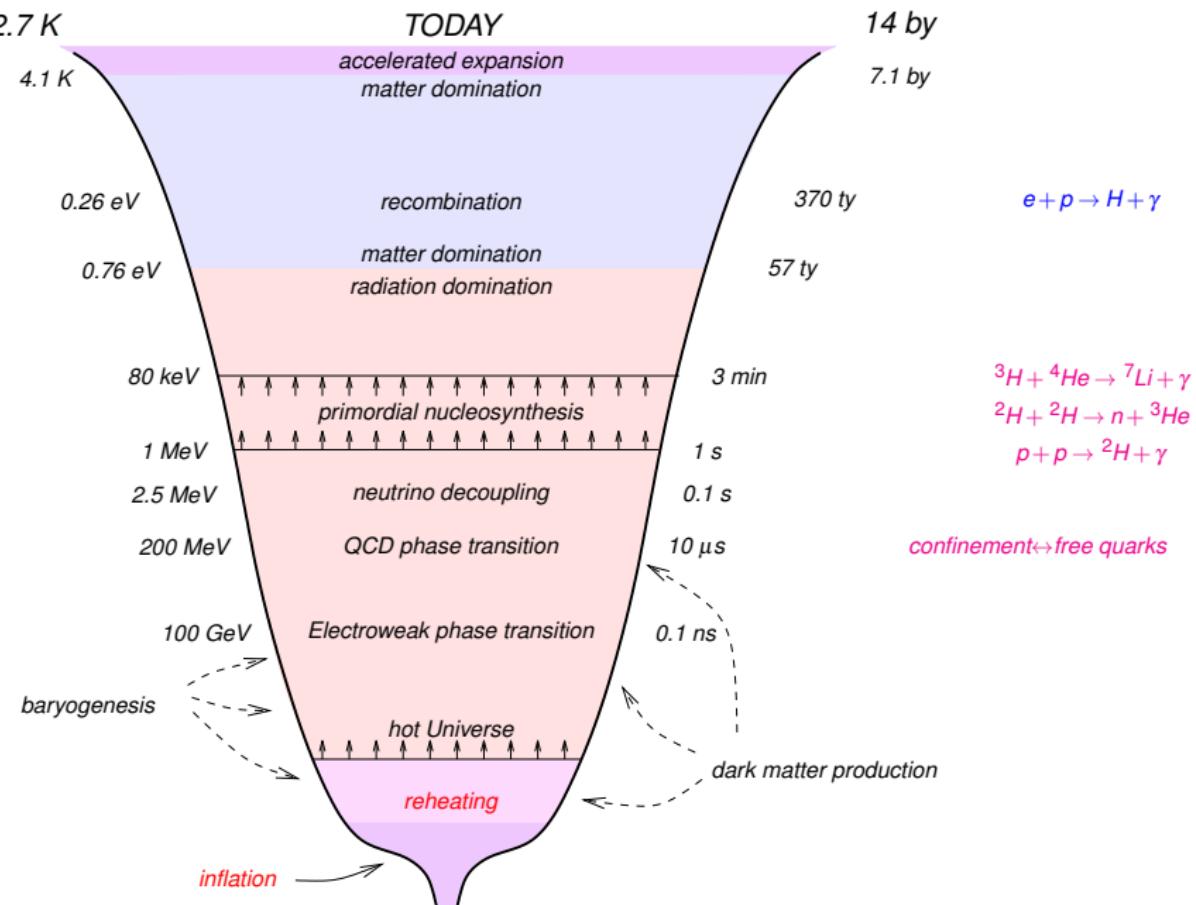
Then

$$\Delta\theta_{r,s} =$$

$$\frac{1}{\sqrt{3}} \frac{1}{\sqrt{1+z}} \times \frac{180^\circ}{\pi} \simeq 1^\circ$$



$$110/0.7 \text{ Mpc} \simeq I_{H,r}(t_0) \times \sqrt{v_s^2} \simeq I_{H_0}/\sqrt{3}/\sqrt{1+z_r}$$



# Neutrino freeze-out

$$T > m_e$$

$$e^+ e^- \leftrightarrow \nu \bar{\nu}, e \nu \leftrightarrow e \nu$$

$$\sigma_\nu \sim G_F^2 E^2$$

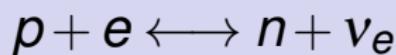
neutrino interaction rate

$$\tau_\nu = \frac{1}{\langle \sigma_\nu n \nu \rangle} \sim \frac{1}{G_F^2 T^5}$$

$$\tau_\nu(T) \sim H^{-1}(T) = \frac{M_{Pl}^*}{T^2}$$

$$T_{\nu,f} \sim \left( \frac{1}{G_F^2 M_{Pl}^*} \right)^{1/3} \sim 2 \div 3 \text{ MeV}$$

# Neutron decoupling



typical energy scales

$$T \gtrsim \Delta m = 1.3 \text{ MeV}, \quad T \gtrsim m_e = 0.5 \text{ MeV}$$

## neutron interaction rate

$$\tau_{n \leftrightarrow p} = \frac{1}{\Gamma_{n \leftrightarrow p}} = \frac{1}{C_n G_F^2 T^5}$$

## neutron decoupling

$$\Gamma_{n \leftrightarrow p}(T) \sim H(T) = T^2/M_{Pl}^*$$

$$T_n = \frac{1}{(C_n M_{Pl}^* G_F^2)^{1/3}} \approx 1.4 \text{ MeV}$$

$$g_* = 2 + \frac{7}{8} \cdot 4 + \frac{7}{8} \cdot 2 \cdot N_\nu$$

$$t = \frac{1}{2H(T_n)} = \frac{M_{Pl}^*}{2T_n^2} = 1.2 \text{ s}$$

$$T_n \approx 0.8 \text{ MeV}$$

# Neutron density after decoupling

$$n_n = g_n \left( \frac{m_n T}{2\pi} \right)^{3/2} e^{\frac{\mu_n - m_n}{T}}$$

$$\mu_n + \mu_\nu = \mu_p + \mu_e$$

$$\frac{n_n}{n_p} = e^{-\frac{m_n - m_p}{T}} e^{\frac{\mu_n - \mu_p}{T}}$$

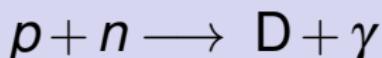
for relativistic  $e^+$  and  $e^-$

Why ■

$$n_{e^-} - n_{e^+} \sim \mu_e T^2 \longrightarrow \frac{\mu_e}{T} \sim \frac{n_{e^-} - n_{e^+}}{T^3} = \frac{n_p}{T^3} \sim \eta_B \sim 10^{-9}$$

↳ find coefficient ↑

$$\frac{n_n}{n_p} = e^{-\frac{m_n - m_p}{T_n}} \equiv e^{-\frac{\Delta m}{T_n}} \approx \frac{1}{5} e^{-\frac{\mu_\nu}{T_n}}$$



## Saha equation

$$n_n = 2 \left( \frac{m_p T}{2\pi} \right)^{3/2} e^{\frac{\mu_n - m_n}{T}}, \quad n_p = 2 \left( \frac{m_p T}{2\pi} \right)^{3/2} e^{\frac{\mu_p - m_p}{T}},$$

Chemical equilibrium:

$$\mu_D = \mu_p + \mu_n$$

 prove that:  $n_D = n_p n_n g_D 2^{-1/2} \left( \frac{2\pi}{m_p T} \right)^{\frac{3}{2}} e^{\frac{\Delta_D}{T}}$

Then  $n_D \sim n_n$  at  $T \simeq 70 \text{ keV}$

Interesting quantity

$$n_B = \eta_B \cdot n_\gamma = 0.24 \eta_B T^3$$

# Helium abundance (NO chemical equilibrium)

Neutrons remain mostly in helium

$$n_{^4\text{He}}(T_{NS}) = \frac{1}{2} n_n(T_{NS}),$$

neutron-to-proton ratio

$\tau_n \approx 886 \text{ s}$

$$\frac{n_n(T_{NS})}{n_p(T_{NS})} \approx \frac{1}{5} \cdot e^{-\frac{t_{NS}}{\tau_n}} \cdot e^{-\frac{\mu_v}{T_n}} \approx \frac{1}{7},$$

$$Y_p \equiv X_{^4\text{He}} = \frac{m_{^4\text{He}} \cdot n_{^4\text{He}}(T_{NS})}{m_p(n_p(T_{NS}) + n_n(T_{NS}))} = \frac{2}{\frac{n_p(T_{NS})}{n_n(T_{NS})} + 1} \approx 25\%.$$

from observations of relic helium abundance:

$$\Delta N_{v,\text{eff}} \leq 0.3, \quad \left| \frac{\mu_v}{T_n} \right| \lesssim 0.01$$

# Main nuclear reactions

- ①  $p(n, \gamma)D$  — deuterium production, BBN starts.
- ②  $D(p, \gamma)^3\text{He}$ ,  $D(D, n)^3\text{He}$ ,  $D(D, p)\text{T}$ ,  $^3\text{He}(n, p)\text{T}$  — intermediate stage.
- ③  $\text{T}(D, n)^4\text{He}$ ,  $^3\text{He}(D, p)^4\text{He}$  — production of  $^4\text{He}$ .
- ④  $\text{T}(\alpha, \gamma)^7\text{Li}$ ,  $^3\text{He}(\alpha, \gamma)^7\text{Be}$ ,  $^7\text{Be}(n, p)^7\text{Li}$  — production of the heaviest baryonic relics.
- ⑤  $^7\text{Li}(p, \alpha)^4\text{He}$  —  $^7\text{Li}$  burning.

One has to compare reaction rates to the expansion rate

$$H(T_{NS} = 70 \text{ keV}) = 4 \cdot 10^{-3} \text{ s}^{-1}$$

to obtain nonequilibrium concentrations

# Neutron burning



@  $T = T_{NS} = 65$  keV

$$(\sigma v)_{p(n,\gamma)D} \approx 6 \cdot 10^{-20} \frac{\text{cm}^3}{\text{s}}.$$

for the rate of neutron disappearance (it meets proton!)

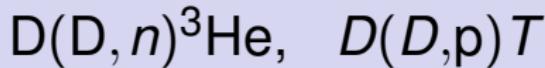
$$\Gamma_{p(n,\gamma)D} = n_p \cdot (\sigma v)_{p(n,\gamma)D} = \eta_B \cdot 2 \frac{\zeta(3)}{\pi^2} T^3 \cdot (\sigma v)_{p(n,\gamma)D} = 0.31 \text{ s}^{-1}$$

for  $\eta_B = 6.15 \cdot 10^{-10}$  and  $T = T_{NS}$

So, neutrons disappear very rapidly

$$\Gamma_{p(n,\gamma)D} \gg H(T_{NS}) = 4 \cdot 10^{-3} \text{ s}^{-1}$$

## Deuterium burning



Coloumb barrier: tunneling

$$T_9 \equiv T/(10^9 \text{ K}) = T/(86 \text{ keV})$$

$$\langle \sigma v \rangle_{DD} = 3 \cdot 10^{-15} \frac{\text{cm}^3}{\text{s}} \cdot T_9^{-2/3} \cdot e^{-4.26 \cdot T_9^{-1/3}}.$$

deuterium stops burning when

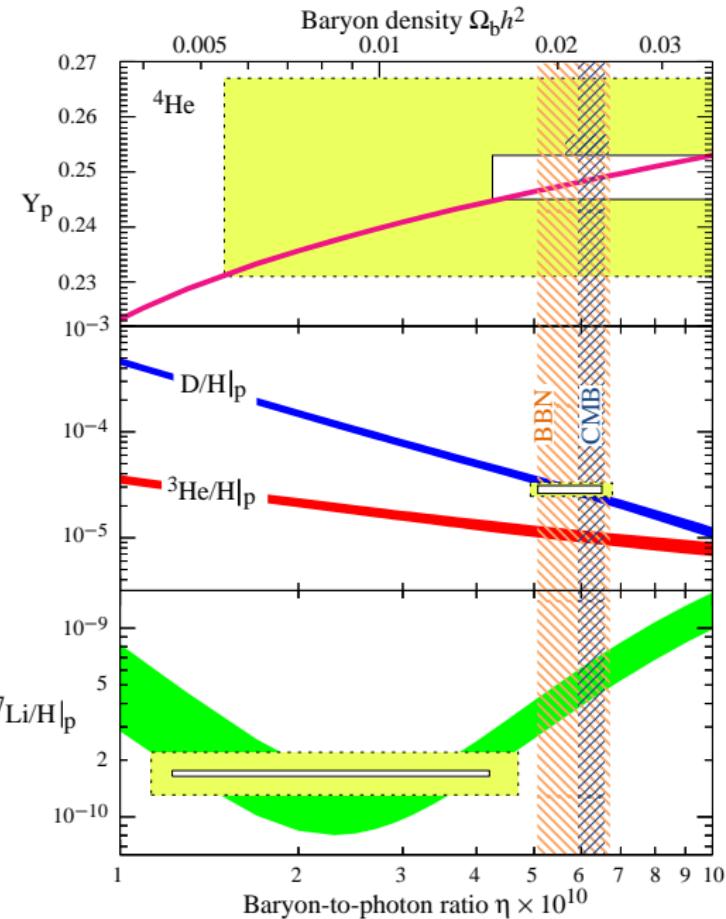
$$T = T_{NS}(T_9 = 0.75)$$

$$\Gamma_{DD} = n_D(T) \cdot \langle \sigma v \rangle_{DD}(T) \sim H(T).$$

Then relic deuterium abundance is estimated as

$$\frac{n_D}{n_p} = \frac{1}{0.75 \eta_B} \cdot \frac{n_D}{n_\gamma(T_{NS})} = 0.3 \cdot 10^{-4}$$

for  $\eta_B = 6.15 \cdot 10^{-10}$

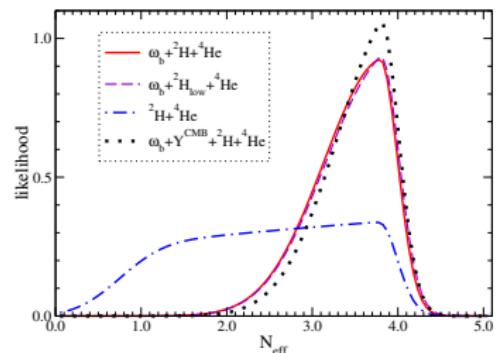


Lack of Lithium...

$$Y_p = 0.2581 \pm 0.025,$$

$$D/H|_p = (2.87 \pm 0.21) \times 10^{-5}$$

1103.1261



similar results from other recent studies including structure formation

1001.4440, 1001.5218, 1202.2889

$N_v < 4.2$  @ 95%CL

$N_v < 4.3$  with shorter neutron's life...

# Baryogenesis

## Sakharov conditions of successful baryogenesis

- $B$ -violation  $(\Delta B \neq 0) XY \dots \rightarrow X' Y' \dots B$
- $C$ - &  $CP$ -violation  $(\Delta C \neq 0, \Delta CP \neq 0) \bar{X} \bar{Y} \dots \rightarrow \bar{X}' \bar{Y}' \dots \bar{B}$
- processes above are out of equilibrium  $X' Y' \dots B \rightarrow XY \dots$

At  $100 \text{ GeV} \lesssim T \lesssim 10^{12} \text{ GeV}$  nonperturbative processes (EW-sphalerons) violate  $B$ ,  $L_\alpha$ , so that only three charges are conserved out of four, e.g.

$$B - L, \quad L_e - L_\mu, \quad L_e - L_\tau$$

and  $B = \alpha \times (B - L)$ ,  $L = (\alpha - 1) \times (B - L)$

Leptogenesis: Baryogenesis from lepton asymmetry of the Universe ... due to sterile neutrinos

Why  $\Omega_B \sim \Omega_{DM}$  ?

antropic principle?

# Dark Matter Properties

$$p = 0$$

(If) particles:

- ① stable on cosmological time-scale
- ② nonrelativistic long before RD/MD-transition (either Cold or Warm,  $v_{RD/MD} \lesssim 10^{-3}$ )
- ③ (almost) collisionless
- ④ (almost) electrically neutral

If were in thermal equilibrium:

$$M_x \gtrsim 1 \text{ keV}$$

If not:

$$\lambda = 2\pi/(M_x v_x), \text{ in a galaxy } v_x \sim 0.5 \cdot 10^{-3} \longrightarrow M_x \gtrsim 3 \cdot 10^{-22} \text{ eV}$$

for bosons

for fermions

Pauli blocking:

$$M_x \gtrsim 750 \text{ eV}$$

$$f(\mathbf{p}, \mathbf{x}) = \frac{\rho_x(\mathbf{x})}{M_x} \cdot \frac{1}{\left(\sqrt{2\pi} M_x v_x\right)^3} \cdot e^{-\frac{\mathbf{p}^2}{2M_x^2 v_x^2}} \Big|_{\mathbf{p}=0} \leq \frac{g_x}{(2\pi)^3}$$

# Dark Matter Candidates

- WIMPs (neutralino, ...)
- sterile neutrinos
- gravitino
- axion
- Heavy relics
- (Topological) defects
- Massive Astrophysical Compact Halo Objects
- Primordial black hole remnants

# Weakly Interacting Massive Particles

Assumptions:

- ① no  $X - \bar{X}$  asymmetry  $n_X = n_{\bar{X}}$
- ② @  $T < M_X$  in thermal equilibrium with plasma

$$n_X = n_{\bar{X}} = g_X \left( \frac{M_X T}{2\pi} \right)^{3/2} e^{-M_X/T}$$

$X\bar{X} \rightarrow$  light particles

freeze-out temperature  $T_f$

$$M_{Pl}^* = M_{Pl}/1.66\sqrt{g_*}$$

$$\frac{1}{n_X} \frac{1}{\langle \sigma_{\text{ann}} v \rangle} = H^{-1}(T_f) \longrightarrow T_f = \frac{M_X}{\ln \left( \frac{g_X M_X M_{Pl}^* \sigma_0}{(2\pi)^{3/2}} \right)} .$$

Bethe formulae:

$$\text{s-wave: } \sigma_{\text{ann}} = \frac{\sigma_0}{v}$$

# Weakly Interacting Massive Particles

density after freeze-out:

$$n_x(T_f) = \frac{T_f^2}{M_{Pl}^* \sigma_0}$$

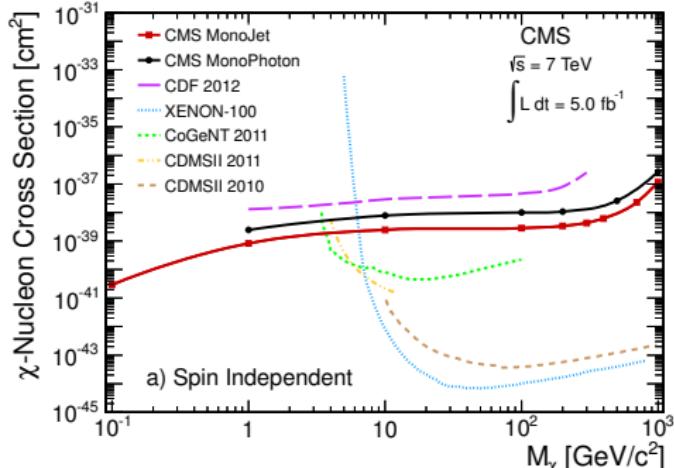
present density:  $n_x(T_0) = \left( \frac{a(T_f)}{a(T_0)} \right)^3 n_x(T_f) = \left( \frac{s_0}{s(T_f)} \right) n_x(T_f) \propto \frac{1}{T_f} \propto \frac{1}{M_X}$

$X + \bar{X}$  contribution to critical density:

$$\begin{aligned} \Omega_X &= 2 \frac{M_X n_x(T_0)}{\rho_c} = 7.6 \frac{s_0 \ln \left( \frac{g_X M_{Pl}^* M_X \sigma_0}{(2\pi)^{3/2}} \right)}{\rho_c \sigma_0 M_{Pl} \sqrt{g_*(T_f)}} \\ &= 0.1 \cdot \left( \frac{(10 \text{ TeV})^{-2}}{\sigma_0} \right) \frac{0.3}{\sqrt{g_*(T_f)}} \ln \left( \frac{g_X M_{Pl}^* M_X \sigma_0}{(2\pi)^{3/2}} \right) \cdot \frac{1}{2h^2} \end{aligned}$$

natural dark matter:  $\sigma_0 \sim 0.01 \times \sigma_W$   
 naturally “light”  $\sigma_0 \lesssim \frac{4\pi}{M_X^2} \longrightarrow M_X \lesssim 100 \text{ TeV}$

# Recent results of (in)direct searches

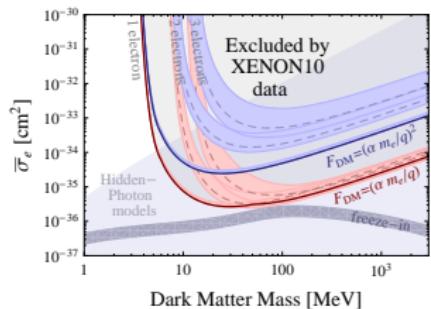
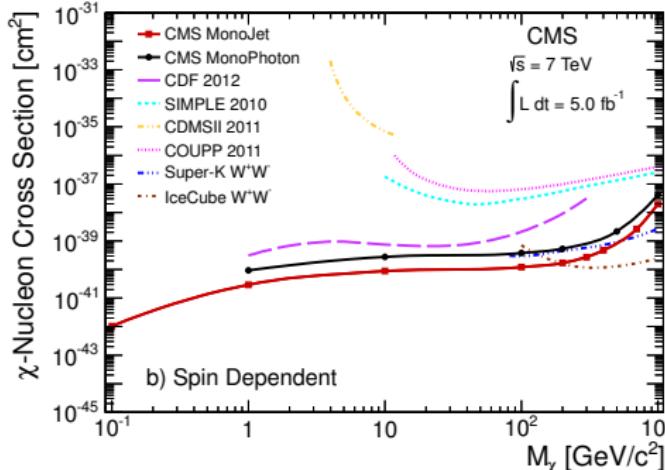


for WIMPs

1206.5663

there are analyses for lower mass ranges and other type of interactions:

e.g. 1206.2644



# Decoupling of relativistic specia (DM?)

Thermal equilibrium is forbidden:

$$T_d \gg M_X, \text{ and then } n_X/s = \text{const}$$

$$\Omega_{3/2} = \frac{m_X \cdot n_{X,0}}{\rho_c} = \frac{m_X \cdot s_0}{\rho_c} \frac{n_{X,0}}{s_0} = 0.2 \frac{M_X}{100 \text{ eV}} \left( \frac{g_X}{2} \right) \cdot \left( \frac{100}{g_*(T_d)} \right) \cdot \frac{1}{2h^2}$$

- If fermions: limit from Pauli-blocking
- Generally: too hot at Equality:  
from structure formation we need at  $T_{Eq} \sim 1 \text{ eV}$ ,  $v_{DM} \lesssim 10^{-3}$

**NB:** for  $M_X = 100 \text{ eV}$  at Equality ( $T_{Eq} \sim 1 \text{ eV}$ )  $X$ -particle velocities are ■

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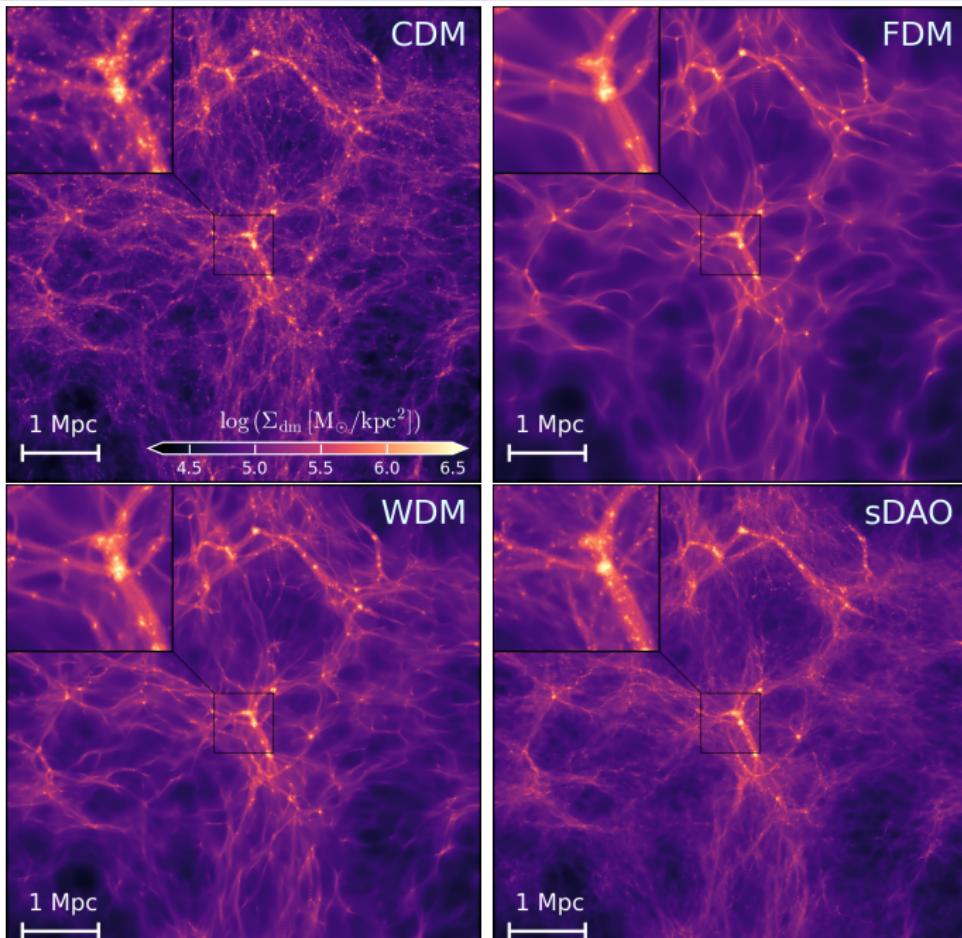
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# Other Dark Matter candidates are not in equilibrium!

- WIMPs (neutralino, ...)  $\Leftarrow$  thermal !
- sterile neutrinos  $\Leftarrow$  Price: sensitive to mass and couplings!
- axion  $\Leftarrow$  Price: sensitive to mass and (=couplings)!
- gravitino  $\Leftarrow$  Price: sensitive to mass, couplings and reheating temperature !!!
- Heavy relics
- (Topological) defects
- Massive Astrophysical Compact Halo Objects
- Primordial black hole remnants



2304.06742

# DM keV sterile neutrino

Sterile neutrino of keV scale mass provides the Warm Dark Matter

Relevant parameters: mass  $M_N \sim 1\text{-}10\text{ keV}$  and active-sterile neutrino mixing angle  $\theta \ll 1$

Bounds on mass

- Phase space density (refined Pauli-blocking):  $M_N \gtrsim 0.3\text{ keV}$
- Lyman- $\alpha$  forest:  $M_N \gtrsim 10\text{ keV}$

Bound on mass  $M_N$  and mixing angle  $\theta$

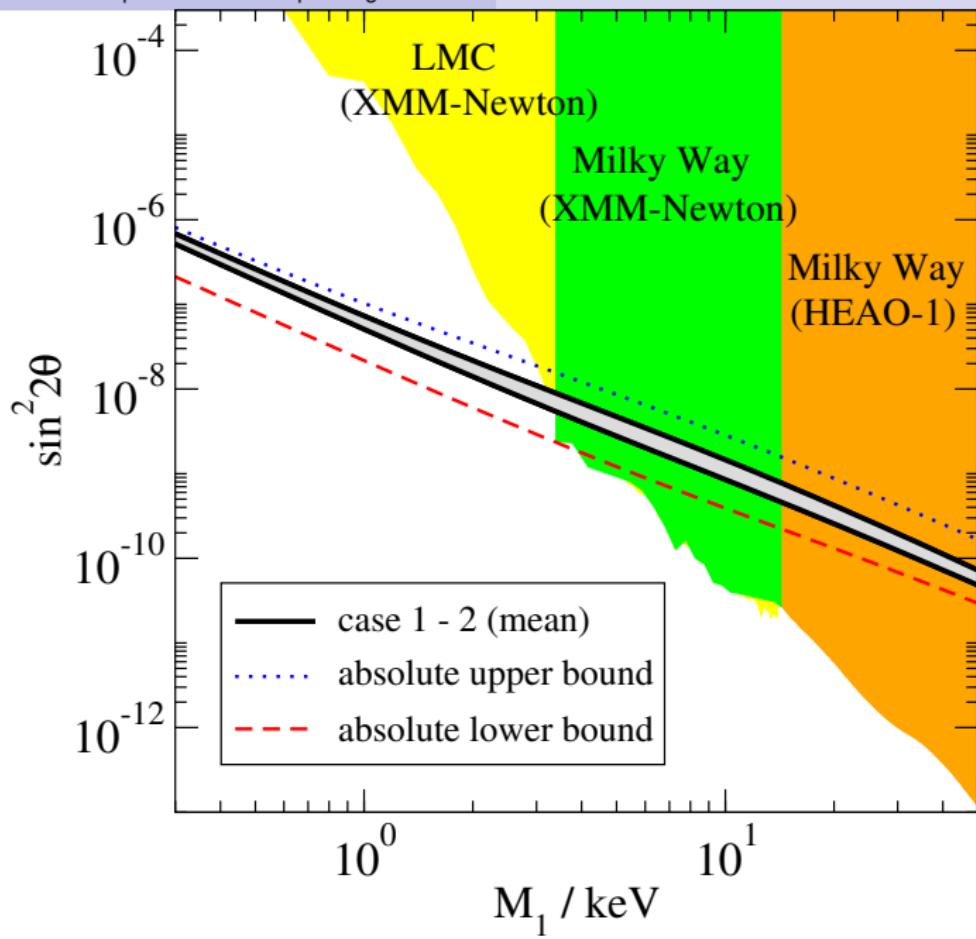
- X-ray observation:  $N \rightarrow \nu + \gamma$ , a peak at  $\omega_\gamma = M_N/2$  of intensity  $\propto \theta^2$

Production mechanism

- Dodelson-Widrow (thermal) scenario:  $\nu_a \rightarrow N$  due to mixing,

$$\rho_N \propto \theta^2$$

- Primordial abundance: physics at higher energies
  - ▶ Lepton asymmetries
  - ▶ Production from inflaton decay
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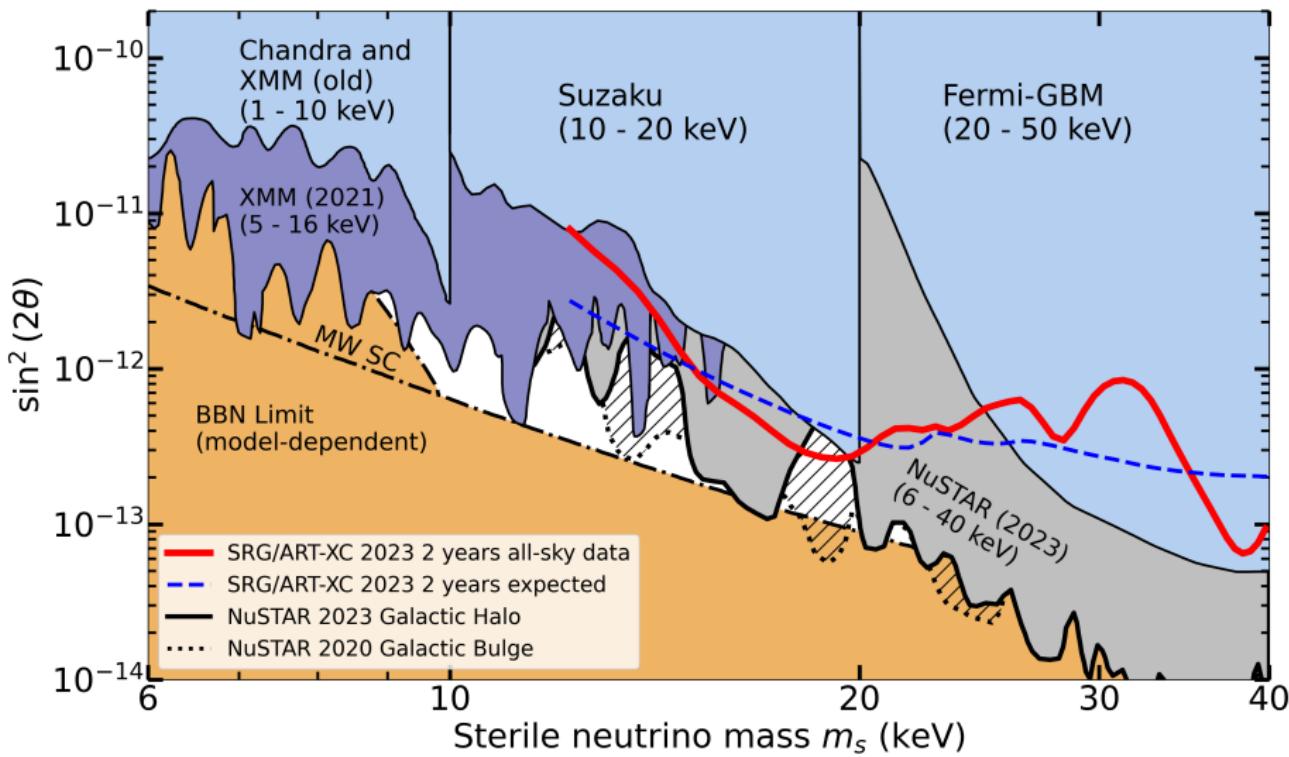
Production mechanism

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is ruled out

- Primordial abundance: physics at higher energies
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2303.12673

# Free scalar field as Cold Dark Matter (axion)

Homogeneous scalar field

$$\ddot{\phi} + 3H\dot{\phi} + m^2\phi = 0$$

at  $m \ll H$  no evolution:  $\phi = \text{const}$ , at  $m \gg H$  it oscillates, so

$$\rho = \frac{1}{2} \left( \frac{d\phi}{dt} \right)^2 + \frac{m^2}{2} \phi^2 = \langle E_k \rangle + \langle E_p \rangle = 2\langle E_p \rangle, \quad p = \frac{1}{2} \left( \frac{d\phi}{dt} \right)^2 - \frac{m^2}{2} \phi^2 = \langle E_k \rangle - \langle E_p \rangle = 0,$$

behaves as nonrelativistic (dark) matter (dust-like component) !!

nonperturbative CP-violation in QCD

$$L_\theta = \frac{\alpha_s}{8\pi} \left( \theta_0 + \text{Arg}(\text{Det}\hat{M}_q) \right) G_{\mu\nu}^a \tilde{G}^{\mu\nu a} \equiv \frac{\alpha_s}{8\pi} \cdot \theta \cdot G_{\mu\nu}^a \tilde{G}^{\mu\nu a}.$$

$$\theta \rightarrow \bar{\theta}(x) = \theta + C_g \frac{a(x)}{f_{PQ}}.$$

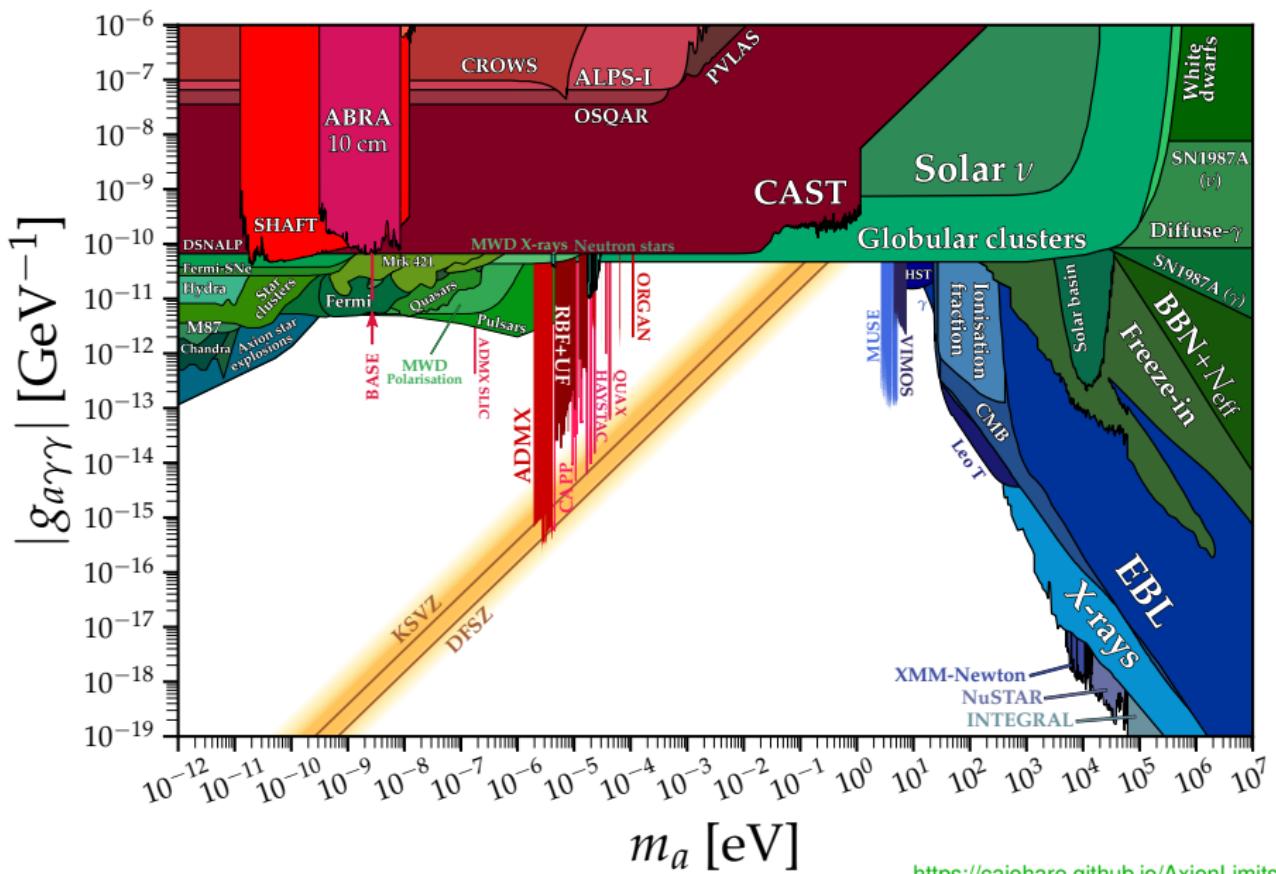
$$\mathcal{L} = \frac{f_{PQ}^2}{2} \cdot \left( \frac{d\bar{\theta}}{dt} \right)^2 - \frac{m_a^2(T)}{2} f_{PQ}^2 \bar{\theta}^2,$$

$$m_a(T) \simeq 0, \quad T > \Lambda_{QCD} \quad \text{and} \quad m_a(T) \simeq m_a \simeq m_\pi f_\pi / f_{PQ}$$



Check this  $\implies$

$$\Omega_a \simeq 0.2 \cdot \bar{\theta}_i^2 \cdot \left( \frac{4 \cdot 10^{-6} \text{ eV}}{m_a} \right) \cdot \frac{1}{2h^2}$$



<https://cajohare.github.io/AxionLimits/>

# Phenomenological problems of the Standard Model

Gauge fields (interactions) –  $\gamma, W^\pm, Z, g$

Three generations of matter:  $L = \begin{pmatrix} v_L \\ e_L \end{pmatrix}, e_R; Q = \begin{pmatrix} u_L \\ d_L \end{pmatrix}, d_R, u_R$

- Describes
  - ▶ all experiments dealing with electroweak and strong interactions
- Does not describe
  - ▶ BBN, CMB, structure formation:  
 $\sum m_\alpha$ , mass hierarchy  
(Planck, CMBPole,  
BOSS)
  - ▶ Neutrino oscillations
  - ▶ Dark matter ( $\Omega_{DM}$ )
  - ▶ Baryon asymmetry  
... light sterile neutrinos?
  - ▶ Many models, but new ideas are welcome !

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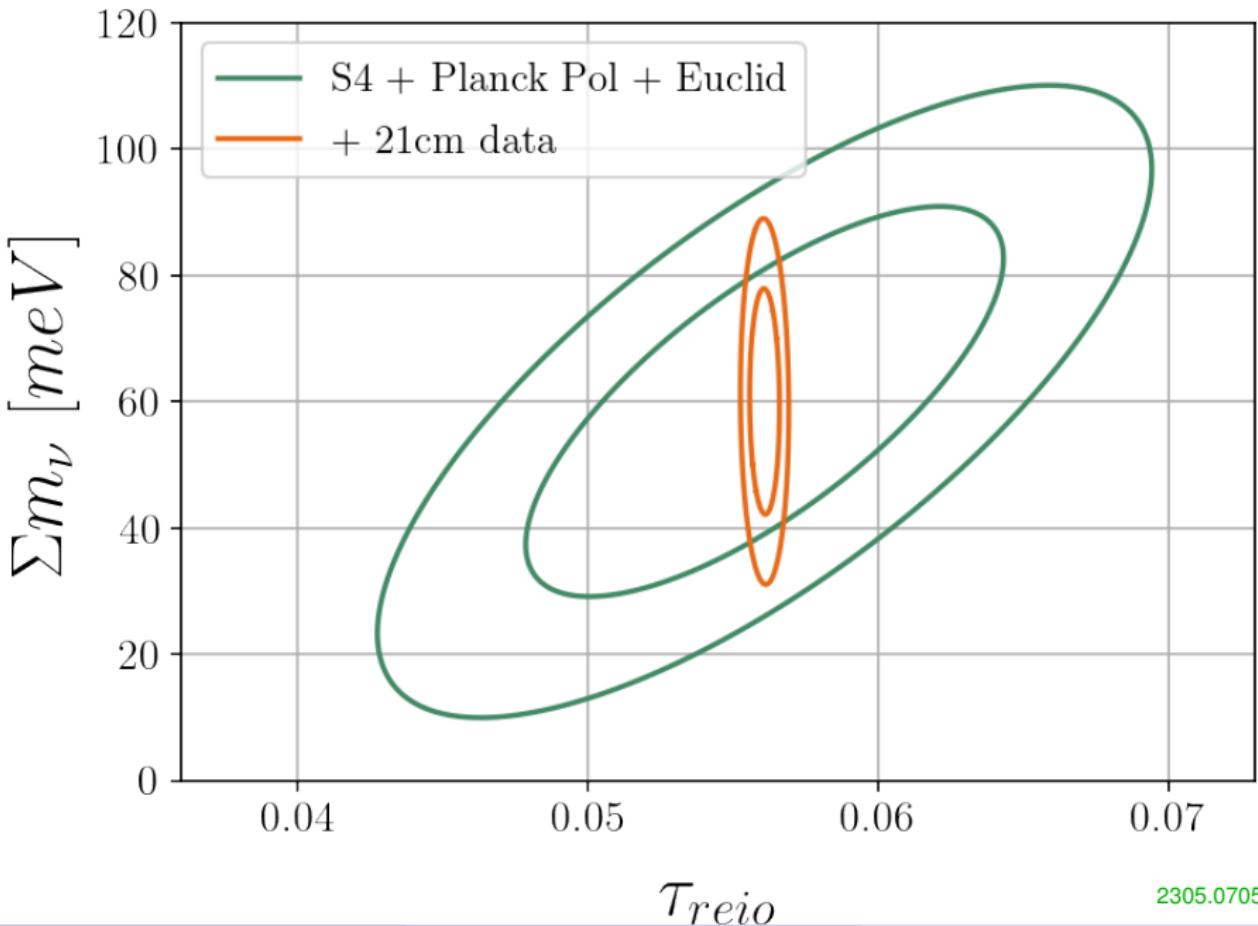
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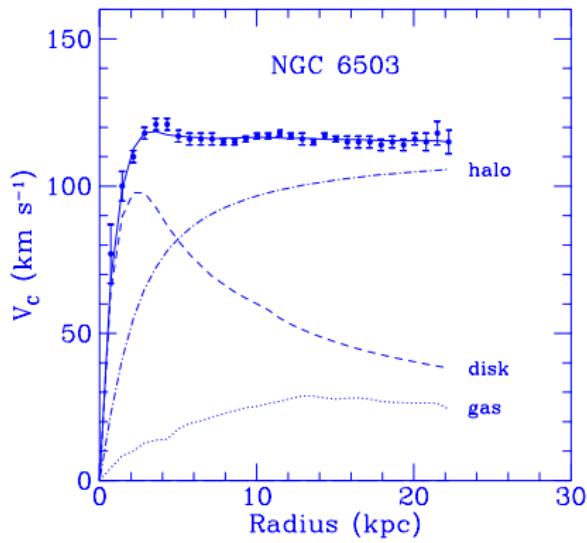


# BACKUP SLIDES

# Galactic dark halos: flat rotation curves

$$v(R) = \sqrt{G \frac{M(R)}{R}}$$

$$M(R) = 4\pi \int_0^R \rho(r) r^2 dr$$



observations:

visible matter:

$$v(R) \simeq \text{const}$$

$$\begin{aligned} &\text{internal regions } v(R) \propto \sqrt{R} \\ &\text{external ("empty") regions } v(R) \propto 1/\sqrt{R} \end{aligned}$$

# Dark Matter in clusters

X-rays from hot gas in clusters

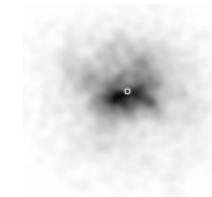
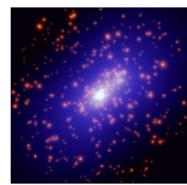
$$\frac{dP}{dR} = -\mu n_e(R) m_p \frac{GM(R)}{R^2}, \quad M(R) = 4\pi \int_0^R \rho(r) r^2 dr, \quad P(R) = n_e(R) T_e(R)$$

galaxies in clusters

virial theorem

$$U + 2E_k = 0$$

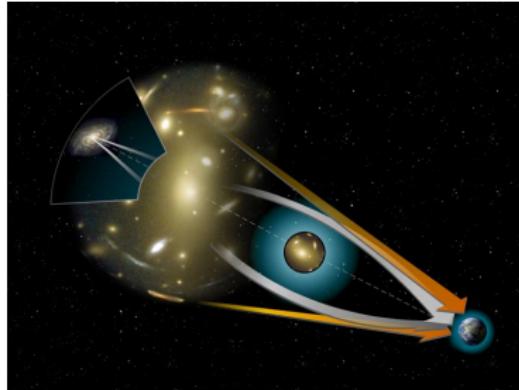
$$3M\langle v_r^2 \rangle = G \frac{M^2}{R}$$



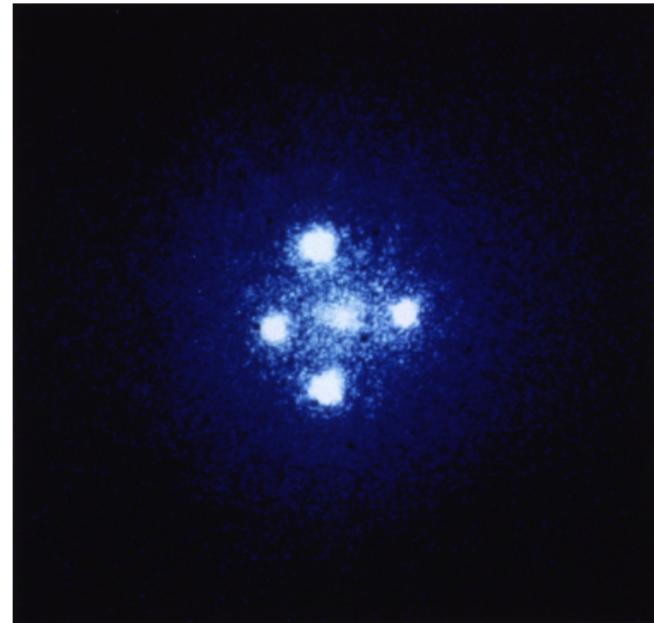
Milky Way: Virgo infall

# Gravitational lensing in GR:

$$\alpha = 4GM/(c^2 b)$$

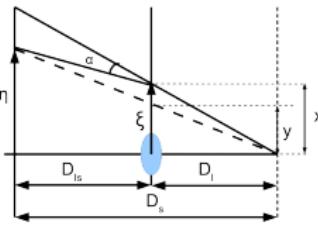


Einstein Cross



$$\vec{\eta} = \frac{D_s}{D_l} \vec{\xi} - D_{ls} \vec{\alpha}(\vec{\xi})$$

common lens  
with specific  
refraction  
coefficient



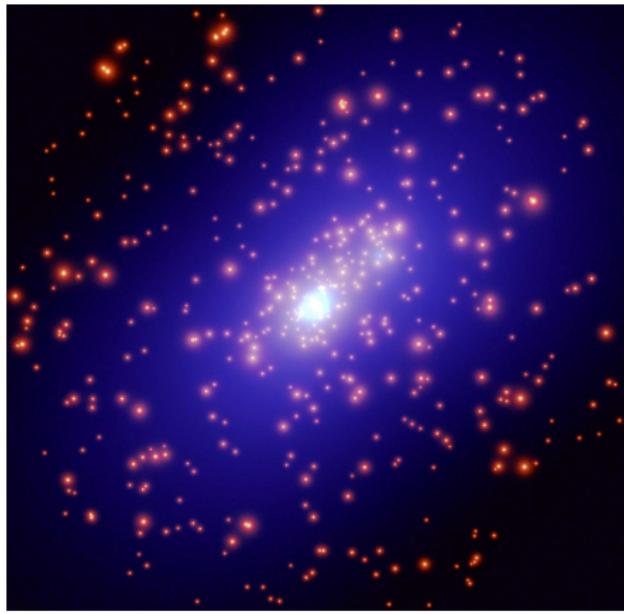
$$\vec{\alpha}(\vec{\xi}) = \frac{4G}{c} \int \frac{\vec{\xi} - \vec{\xi}'}{|\vec{\xi} - \vec{\xi}'|^2} d^2 \xi' \int p\left(\frac{\vec{\xi}'}{z}, z\right) dz$$

source: quasar  $D_s = 2.4$  Gpc  
lens: galaxy  $D_l = 120$  Mpc

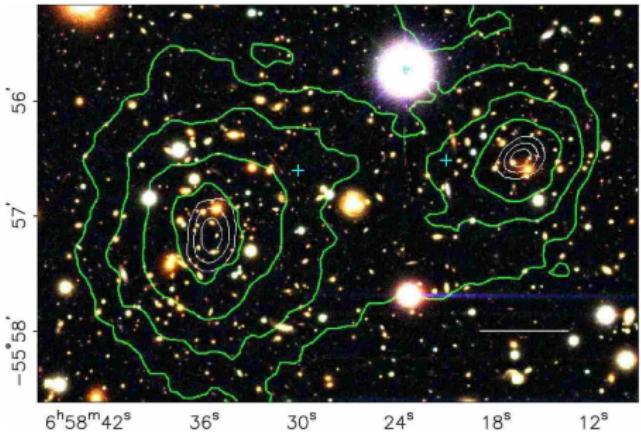
# Dark Matter in clusters

gravitational lensing

$$\rho_B \approx 0.25 \rho_{DM}$$



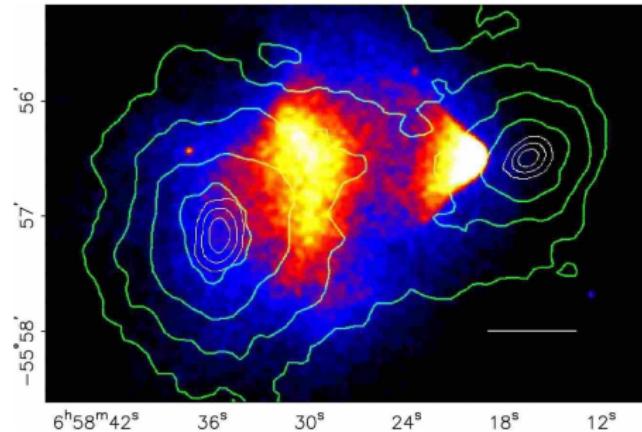
# Colliding clusters (Bullet clusters 1E0657-558)



gravitational lensing

scale is 200 kpc

clusters are at 1.5 Gpc



Observations in X-rays  
 $M \simeq 10 \times m$