

# First data of the KATRIN experiment



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NTIHP, September 2018, Montenegro

# Neutrino mass

Previous talk

This talk

Cosmology  
**model-dependent**  
 potential:  $m_\nu = 15\text{-}50$  meV  
 e.g. Planck

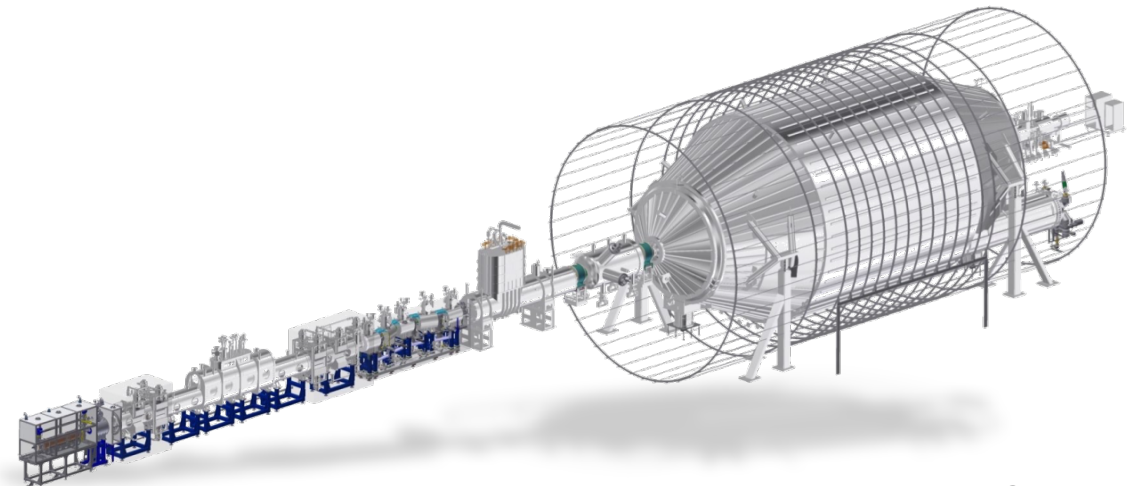
Search for  $0\nu\beta\beta$   
**model-dependent**  
 potential:  $m_{\beta\beta} = 15\text{-}50$  meV  
 e.g. GERDA

Kinematics of  $\beta$ -decay  
**model-independent**  
 potential:  $m_\beta = 50 - 200$  meV  
 e.g. KATRIN

$$m_\nu = \sum_i m_i$$

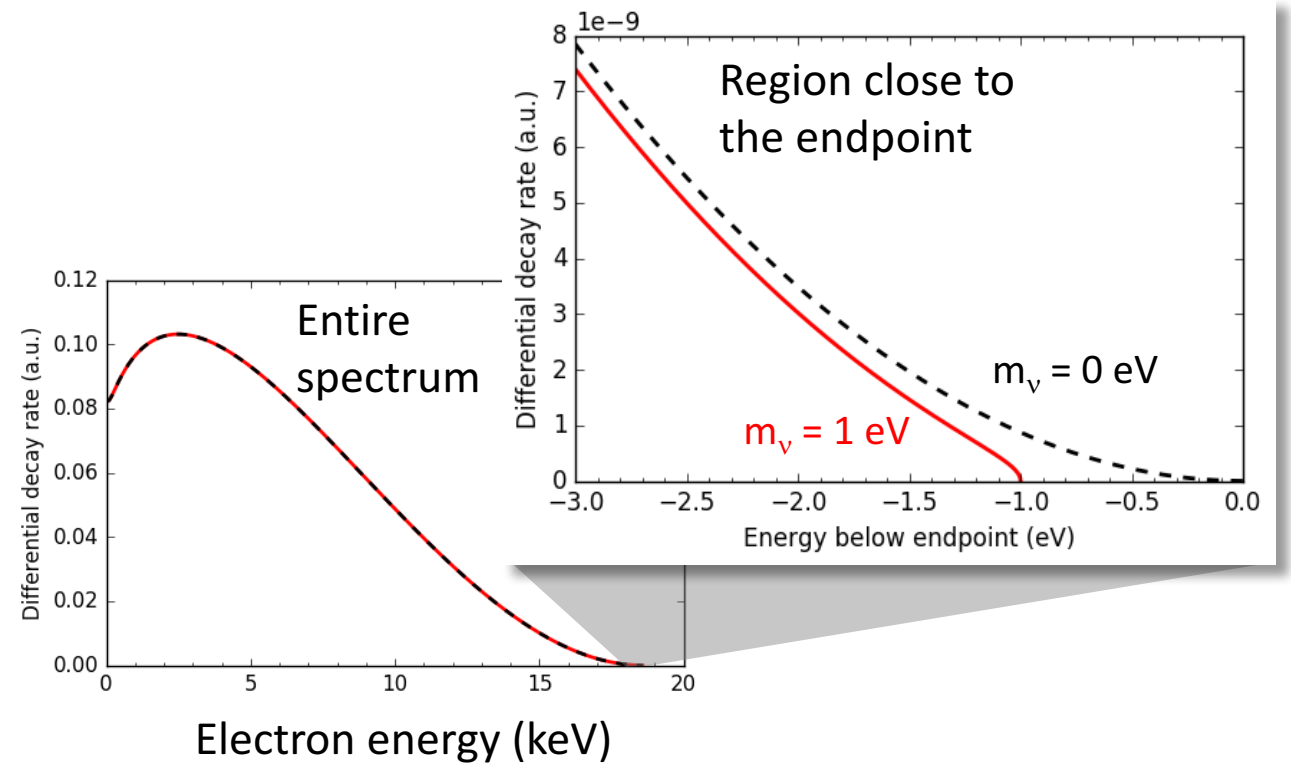
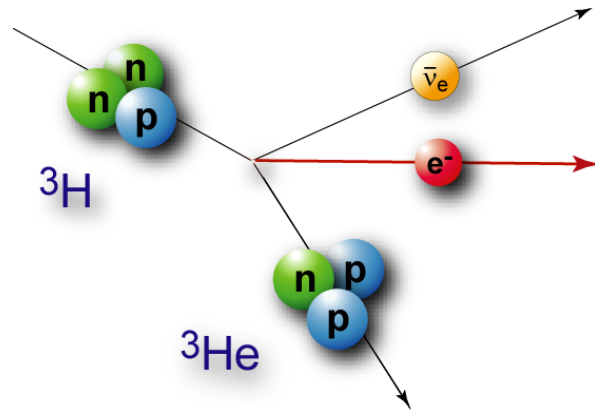
$$m_{\beta\beta} = \left| \sum_i U_{ei}^2 m_i \right|$$

$$m_\beta^2 = \sum_i |U_{ei}|^2 \cdot m_i^2$$

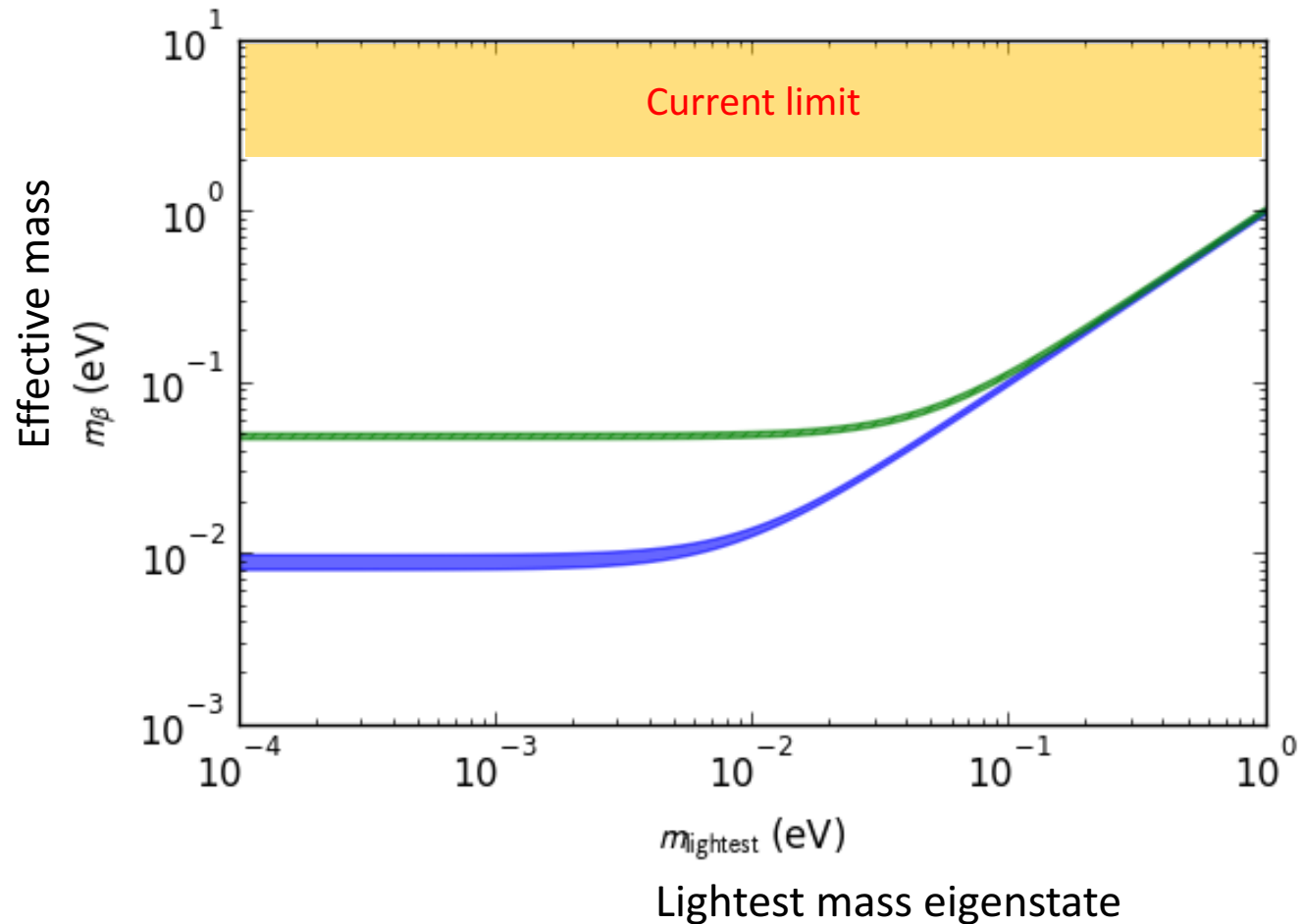


# General idea

- Kinematic determination of the neutrino mass
- Non-zero neutrino mass reduces the endpoint and distorts the spectrum



# Where do we stand?

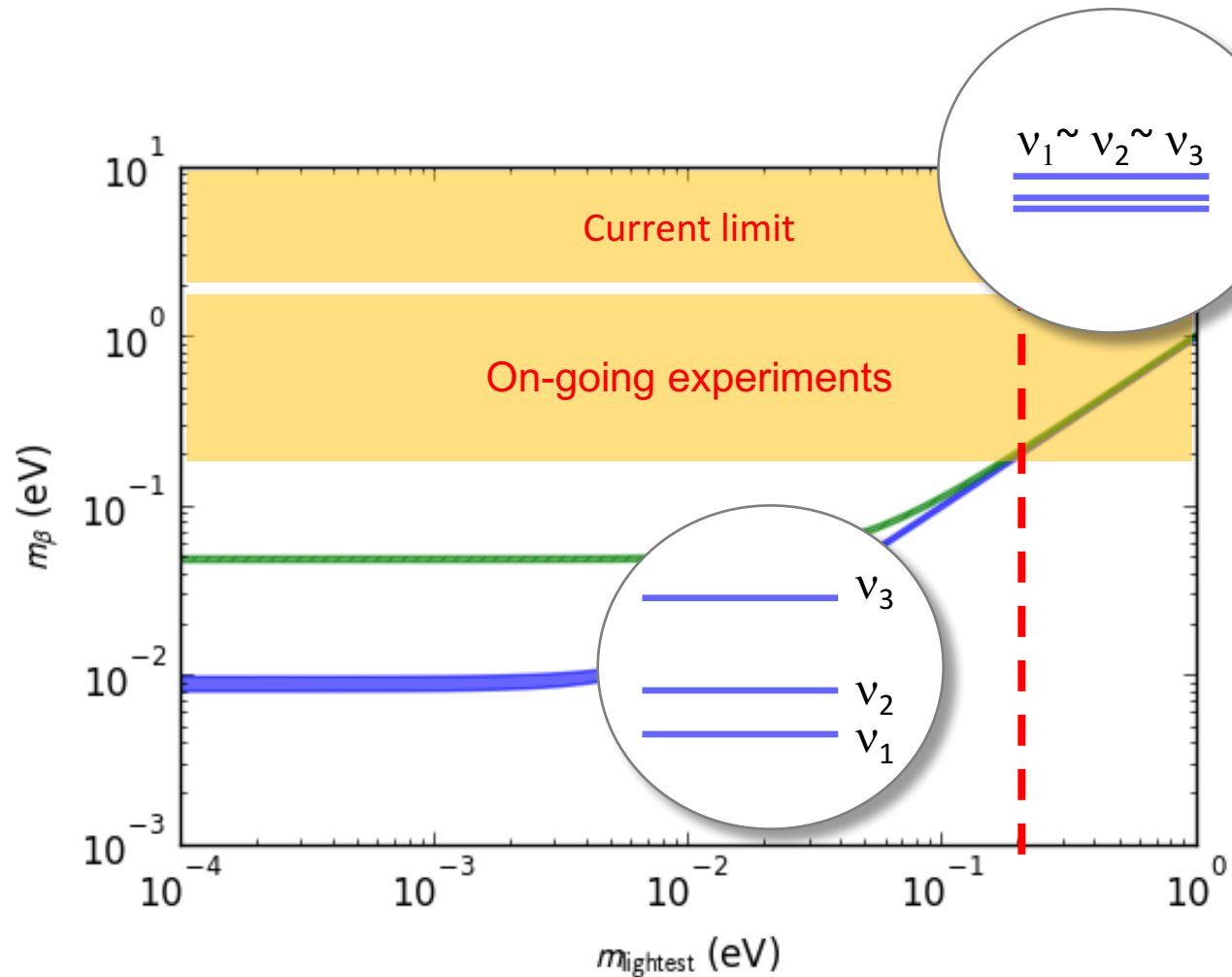


- Current limit:

## Mainz and Troitsk Experiment

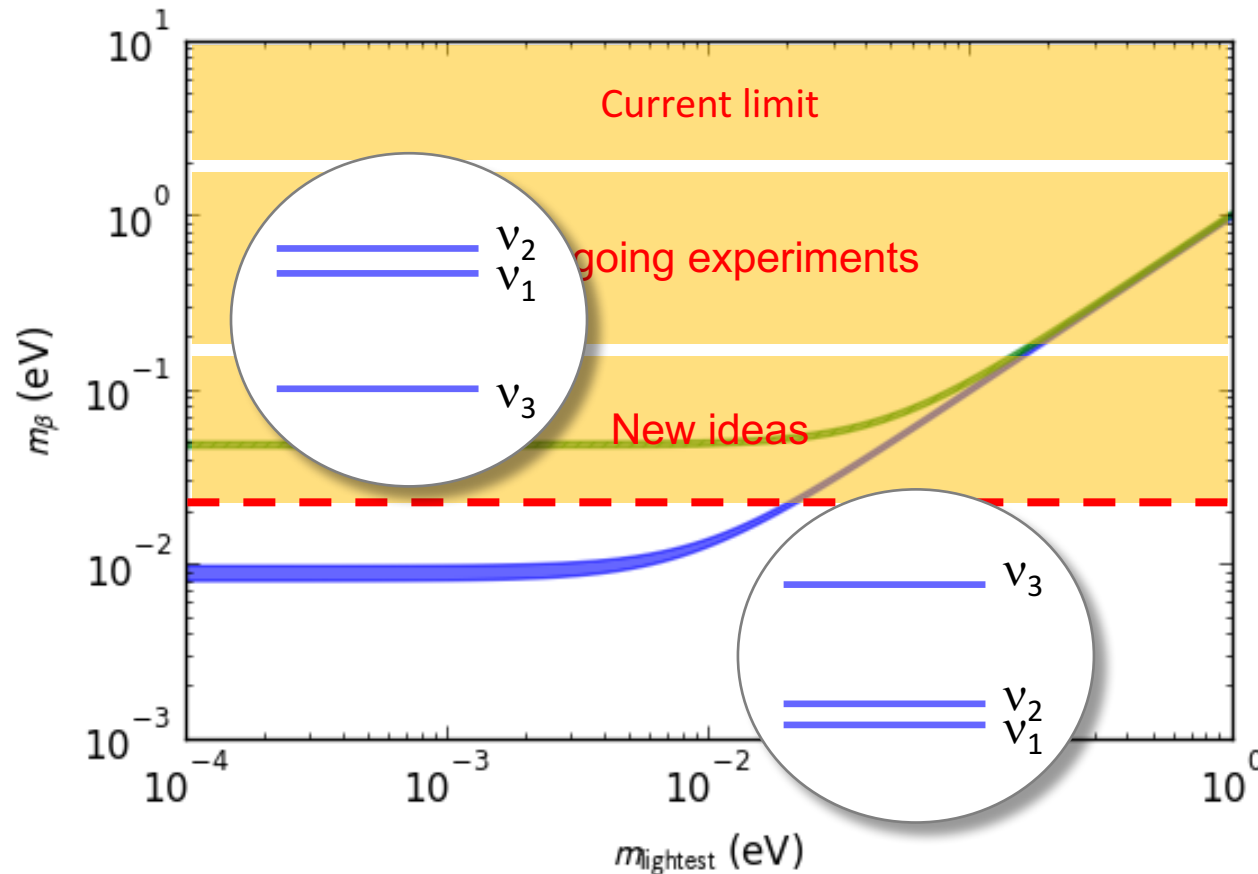
V. N. Aseev et al., Phys. Rev. D 84 (2011) 112003  
 Kraus, C., Bornschein, B., Bornschein, L. et al. Eur. Phys. J. C (2005)

# Where do we stand?



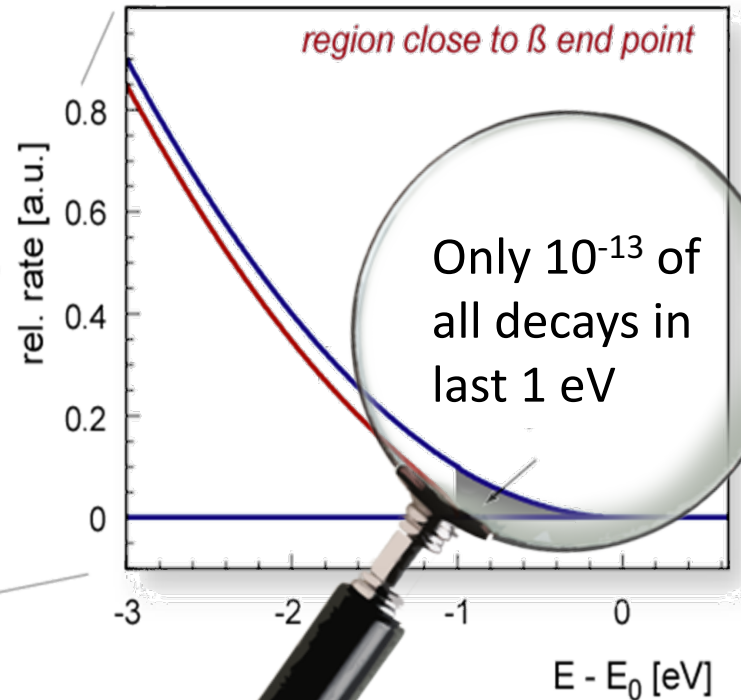
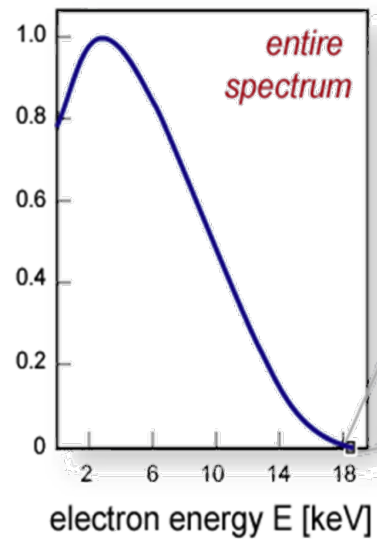
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- Ongoing experiments:  
Distinguish between **degenerate** and **hierarchical** scenario

# Where do we stand?



- Current limit:  
Mainz and Troitsk Experiment  
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Kraus, C., Bornschein, B., Bornschein, L. et al. Eur. Phys. J. C (2005)
- Ongoing experiments:  
Distinguish between **degenerate** and **hierarchical** scenario
- New ideas:  
Resolve **normal** vs **inverted** neutrino mass hierarchy

# The challenge



Karlsruhe  
Tritium  
Neutrino  
Experiment





# Karlsruhe Tritium Neutrino Experiment



- Experimental site: Karlsruhe Institute of Technology (KIT)
- International Collaboration (150 members)
- Sensitivity  $m_\nu = 200$  meV (90% CL) after 3 net-years



KIT  
Karlsruher Institut für Technologie



MAX-PLANCK-INSTITUT  
FÜR KERNPHYSIK  
HEIDELBERG



UNIVERSITY OF  
WASHINGTON

CASE WESTERN RESERVE  
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think beyond the possible

WESTFÄLISCHE  
WILHELMS-UNIVERSITÄT  
MÜNSTER



THE UNIVERSITY  
of NORTH CAROLINA  
at CHAPEL HILL



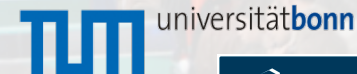
Max-Planck-Institut für Physik  
(Werner-Heisenberg-Institut)



Russian Academy  
of Sciences



JOHANNES GUTENBERG  
UNIVERSITÄT MAINZ



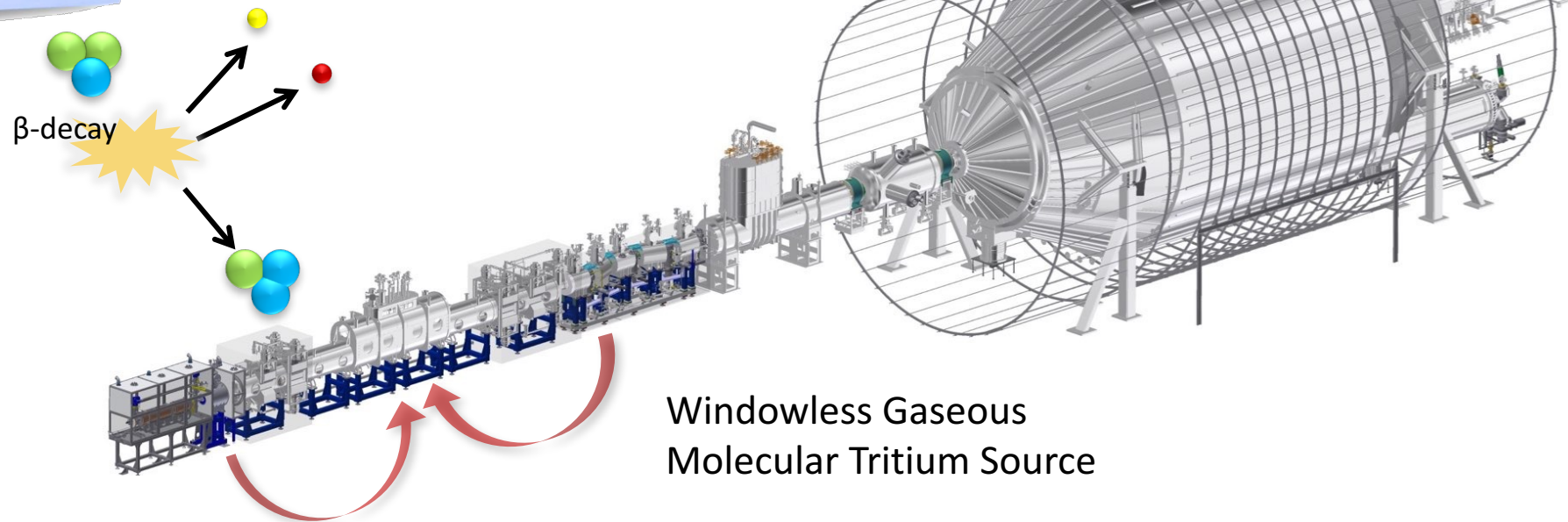
Hochschule Fulda  
University of Applied Sciences



# KATRIN Working Principle

Gaseous molecular tritium source of high stability and luminosity  
( $10^{11}$  decays/sec)

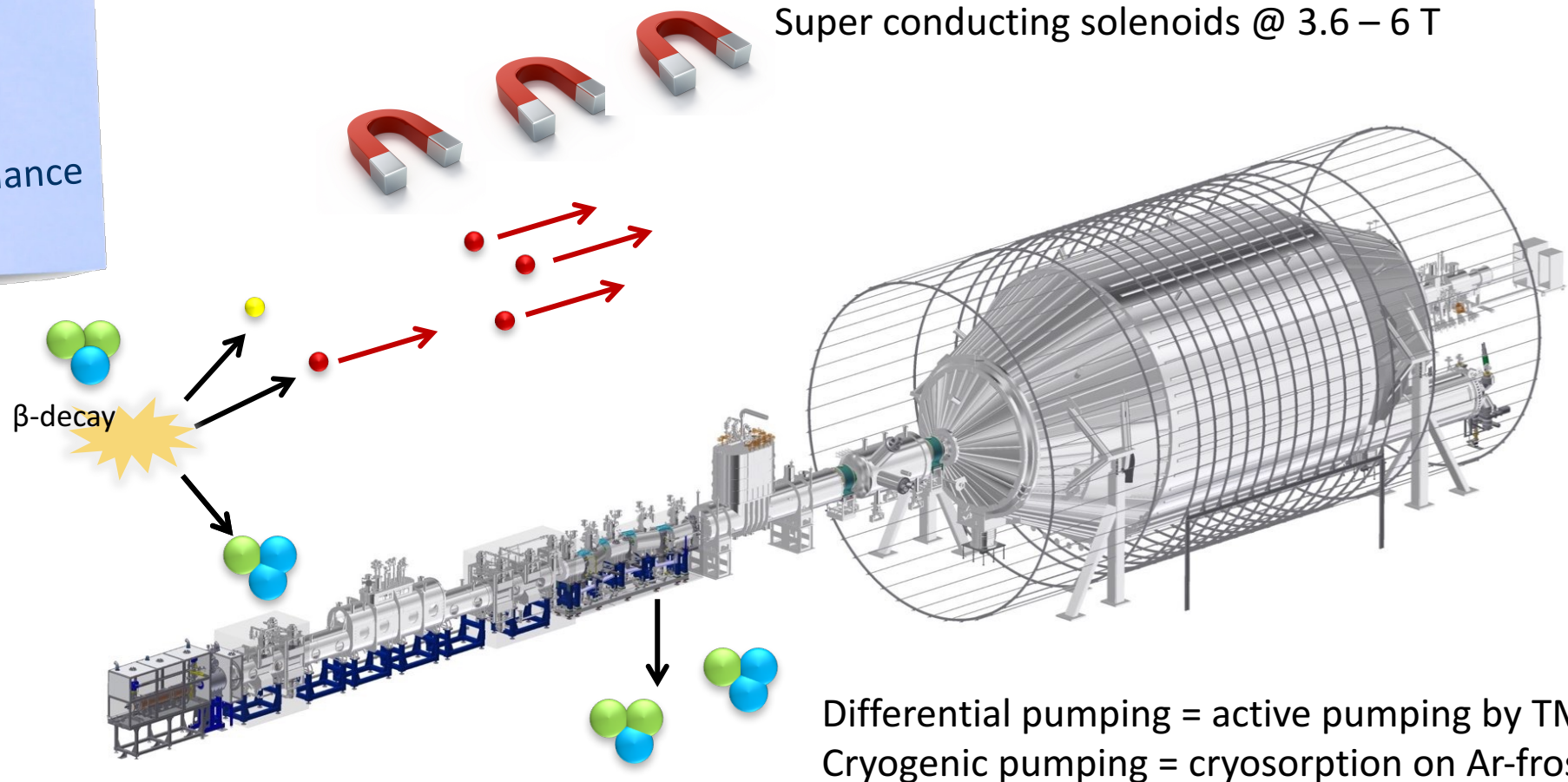
	$^3\text{H}$
	super-allowed $\beta$ -decay
$T_{1/2}$	12.3 years
$E_0$	18.6 keV



Windowless Gaseous Molecular Tritium Source

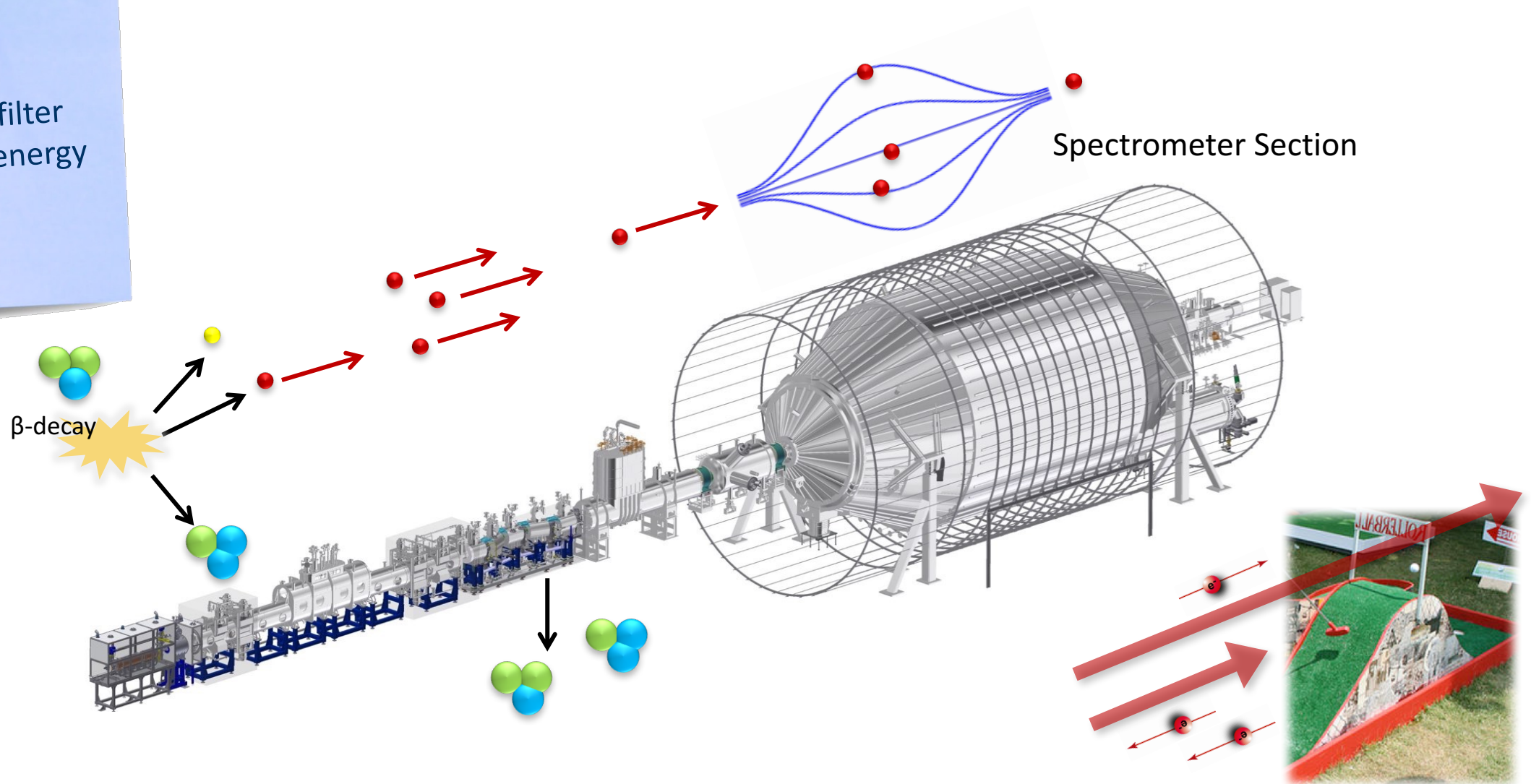
# KATRIN Working Principle

Tritium flow reduction by 14 orders of magnitude, adiabatic guidance of electrons



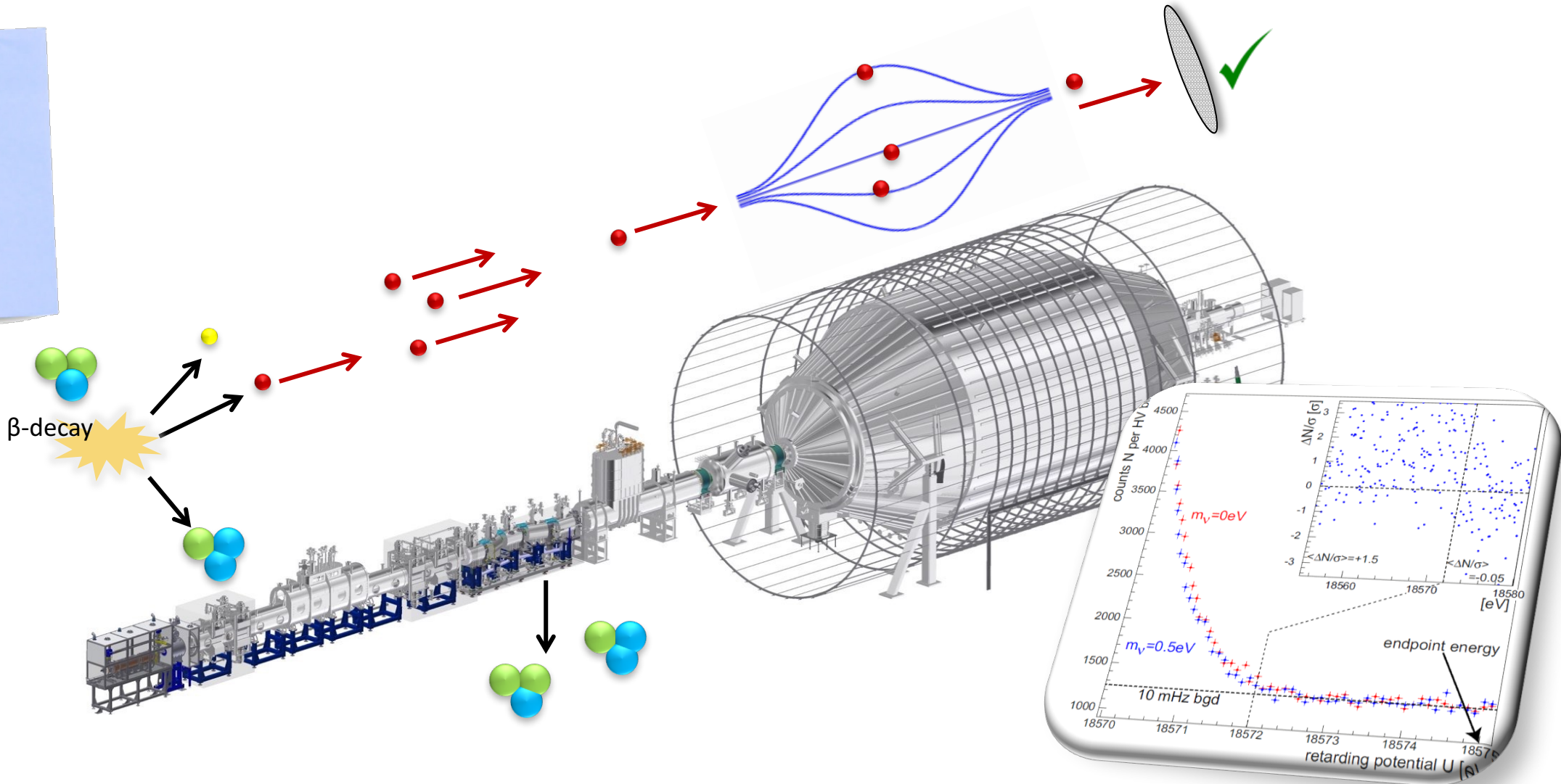
# KATRIN Working Principle

Electrostatic filter selects high energy electrons



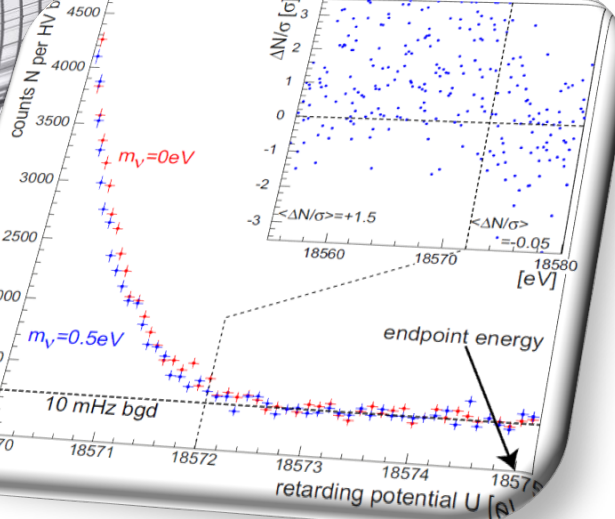
# KATRIN Working Principle

Integral measurement down to 30 eV below the endpoint



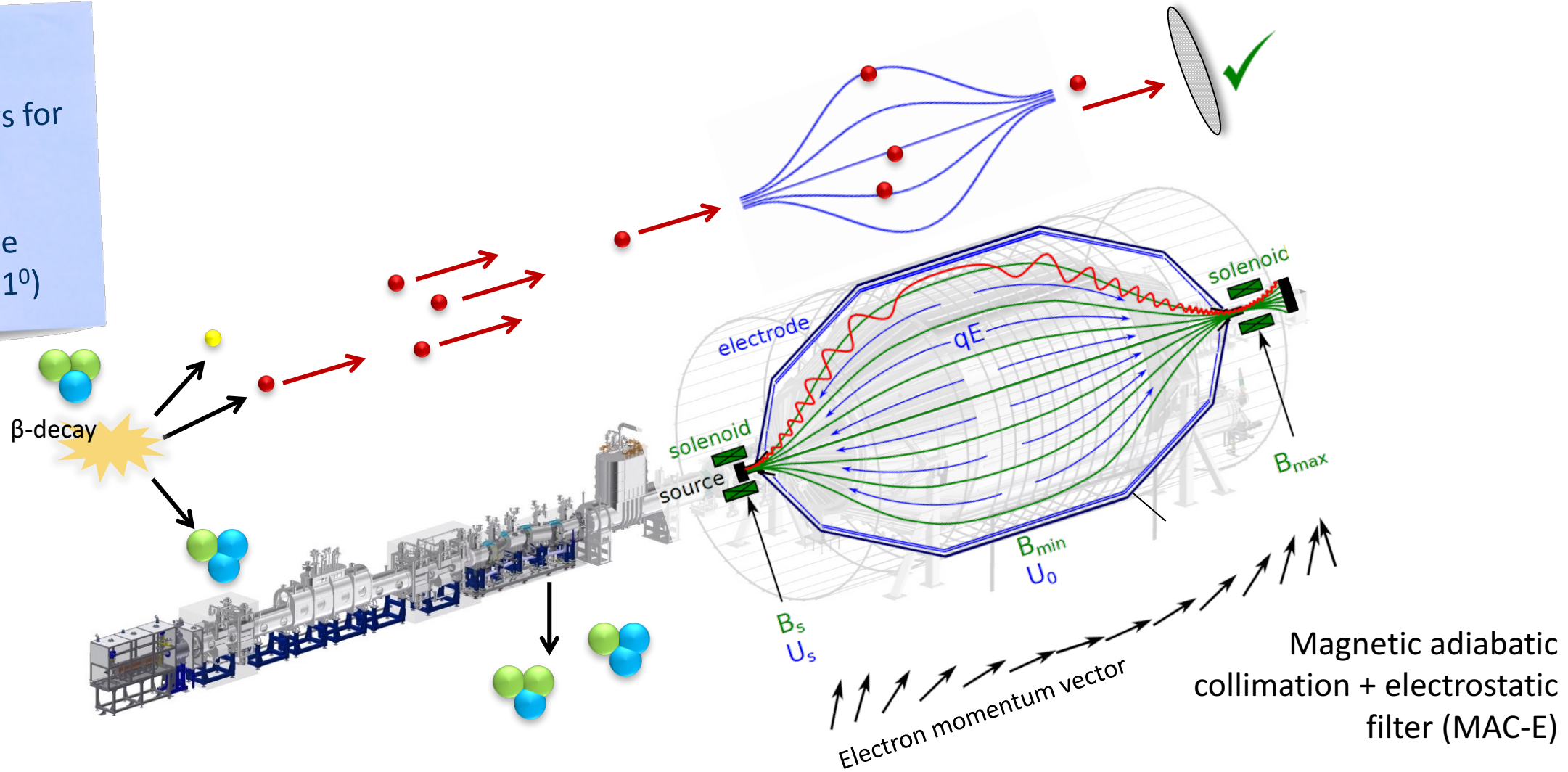
148 Pixel Si-pin diode detector system

$\beta$ -decay

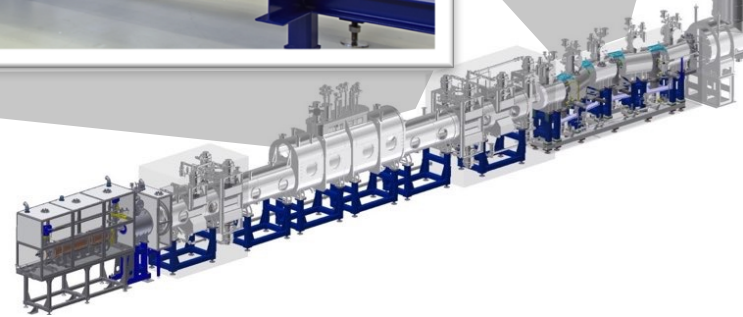
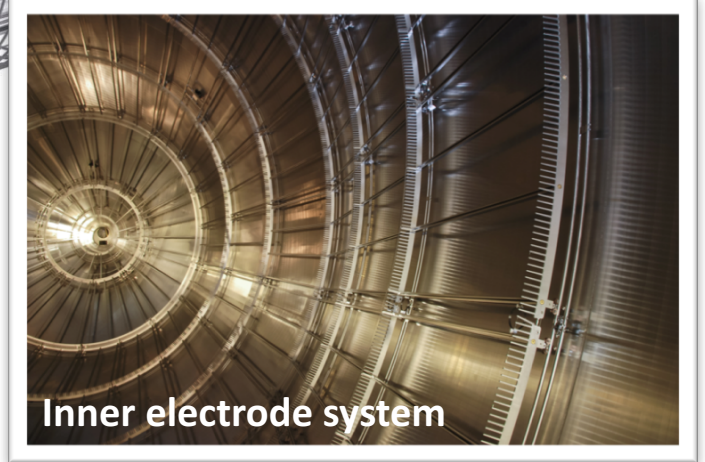
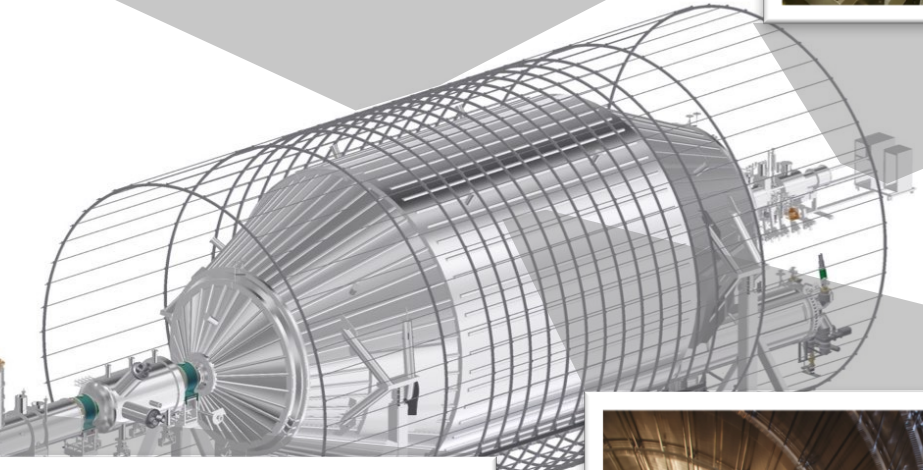
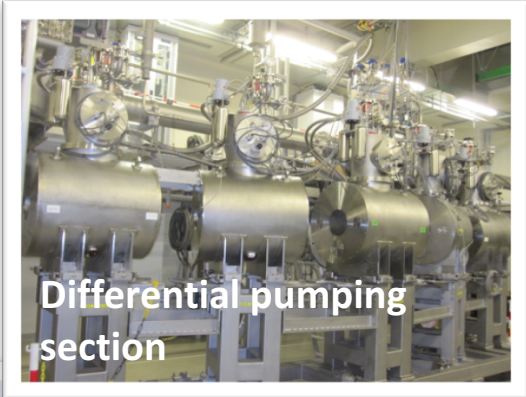


# KATRIN Working Principle

MAC-E Filter principle allows for  $< 1$  eV energy resolution and large angle acceptance ( $51^\circ$ )



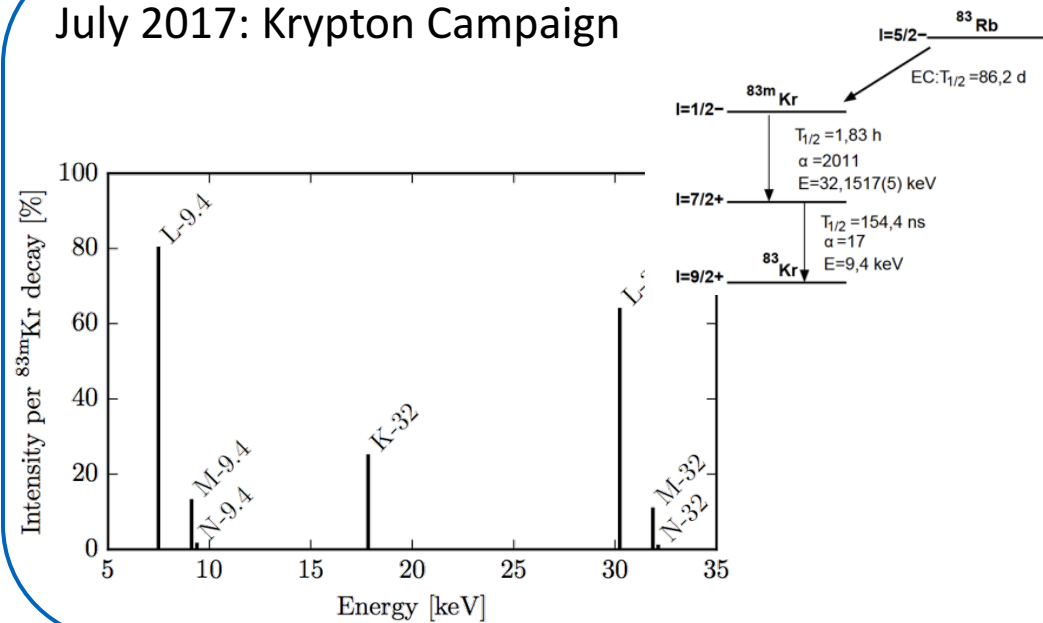
# KATRIN Status



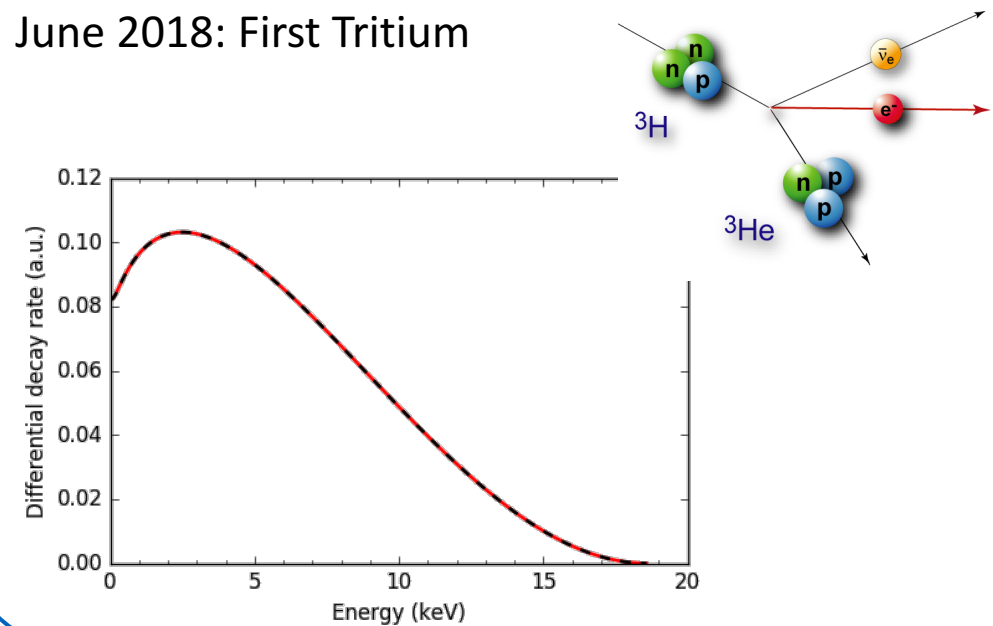
# Test of Unique Properties of KATRIN

- ultra-stable high-luminosity windowless gaseous tritium source
- high-resolution MAC-E filter with  $< 1$  eV energy resolution

July 2017: Krypton Campaign

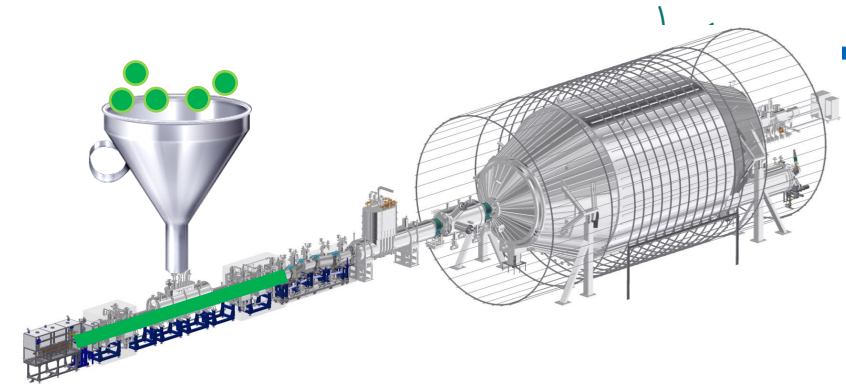


June 2018: First Tritium

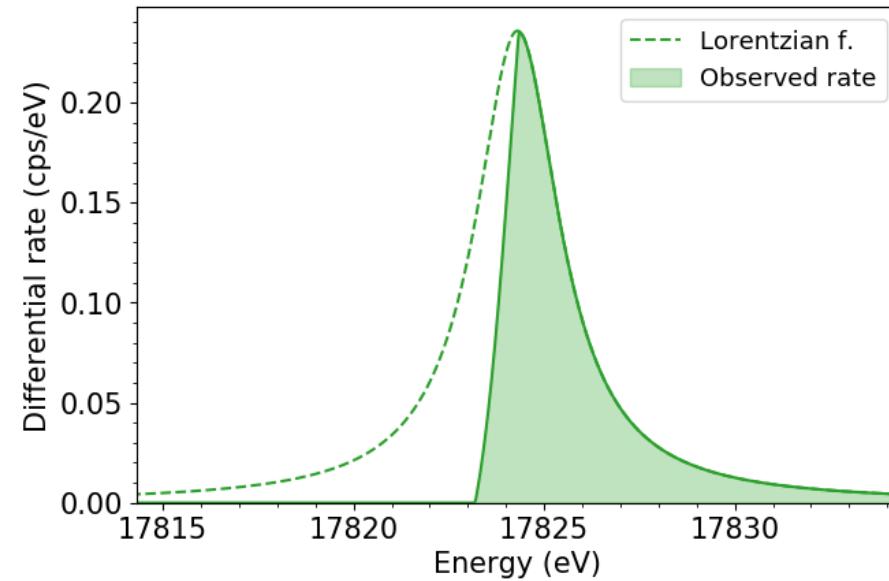
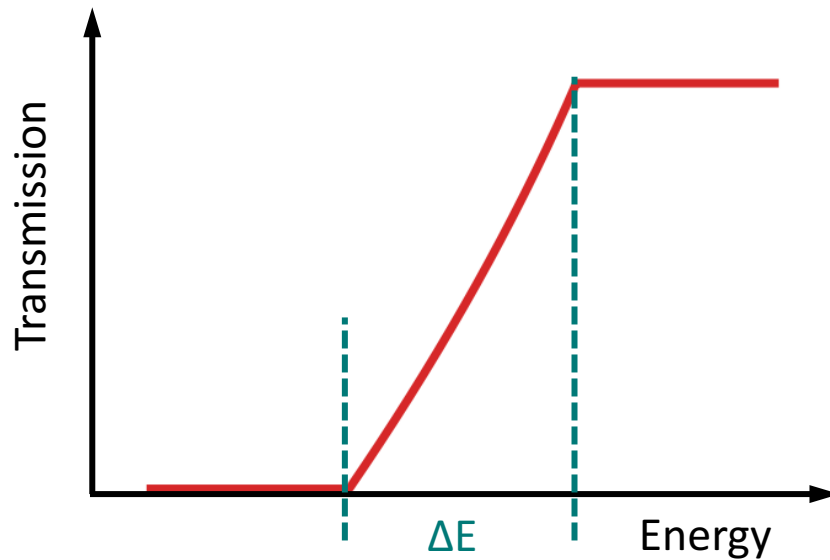




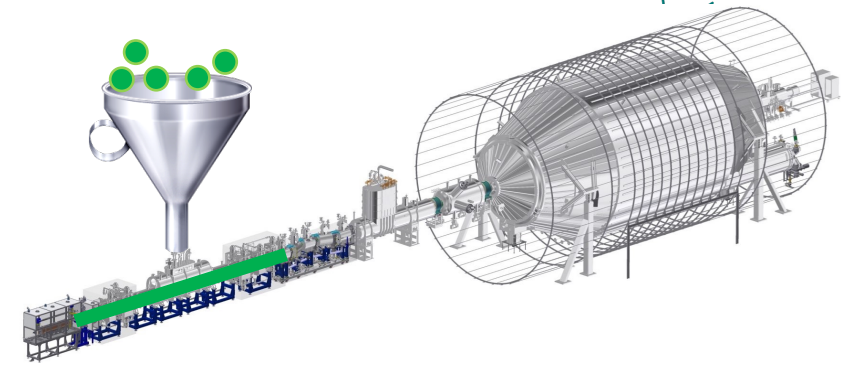
# Krypton Calibration



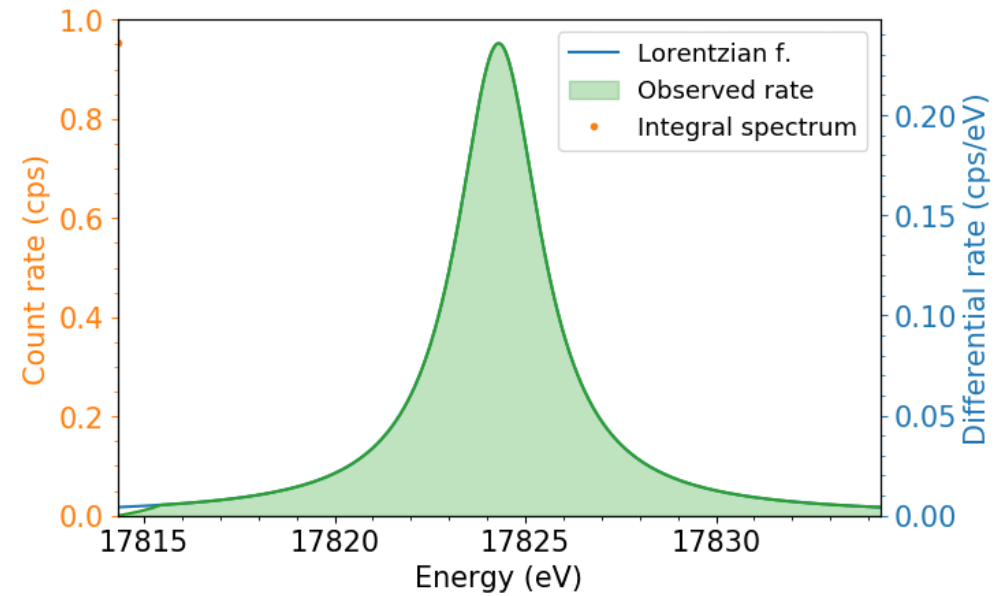
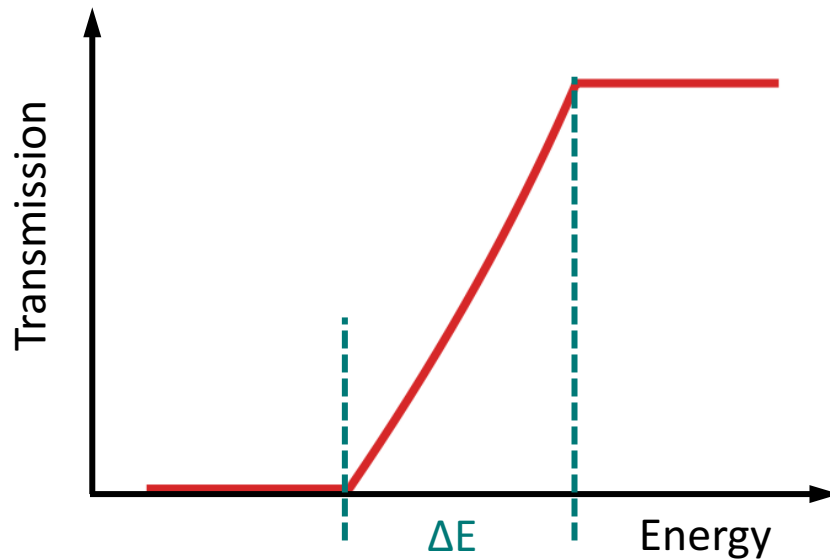
- Quasi-mono-energetic, isotropic electrons from gaseous krypton decay



# Krypton Calibration

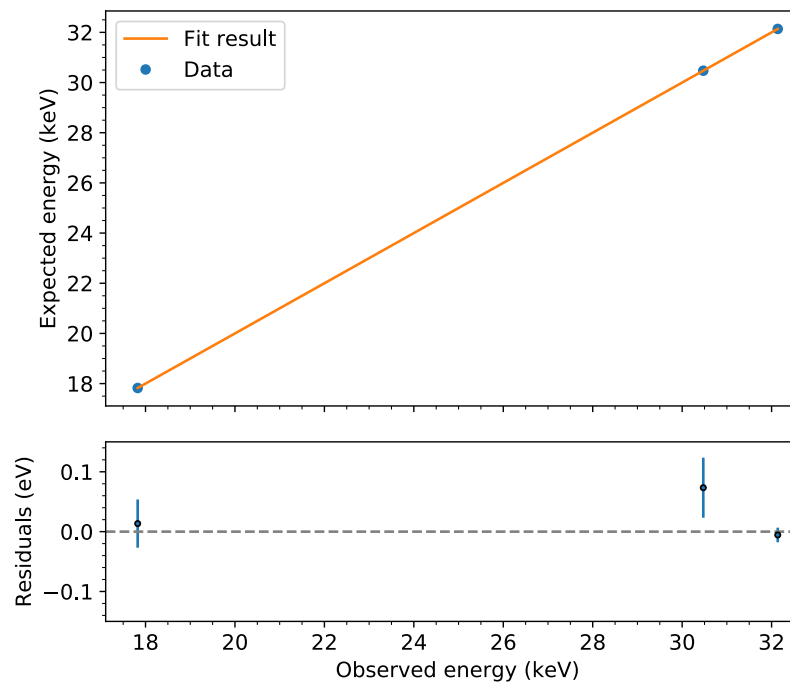
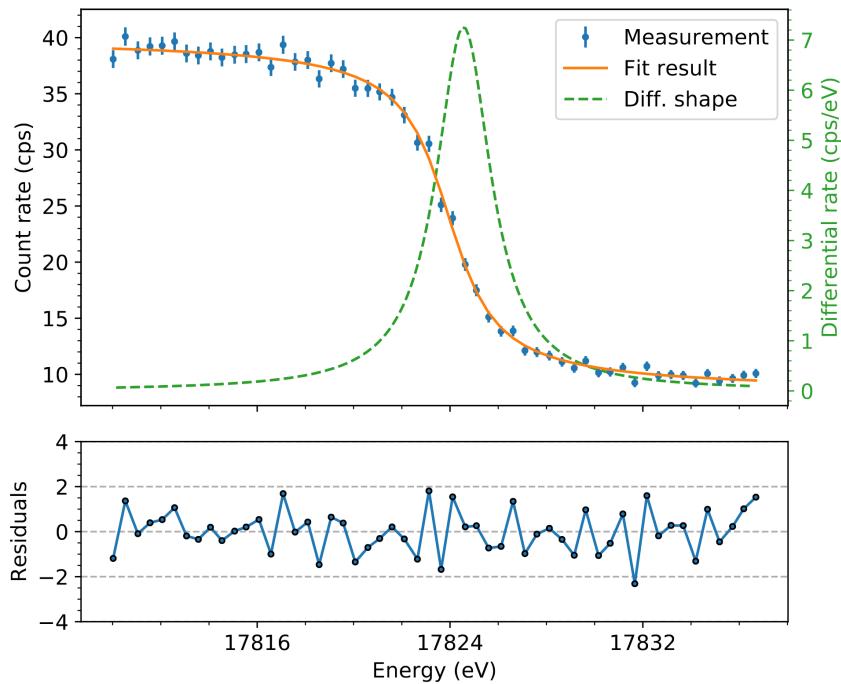


- Quasi-mono-energetic, isotropic electrons from gaseous krypton decay



# Krypton Calibration

- Quasi-mono-energetic, isotropic electrons from gaseous krypton decay

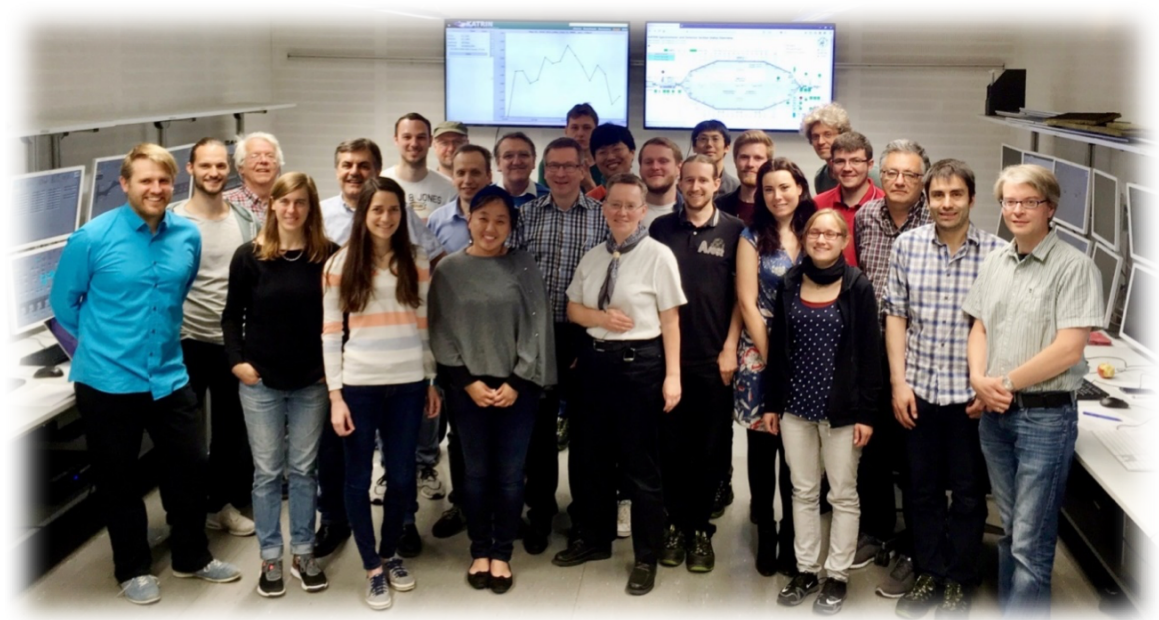


- ✓ HV calibration on the ppm level
- ✓ Spectrometer resolution of  $\sim 1$  eV

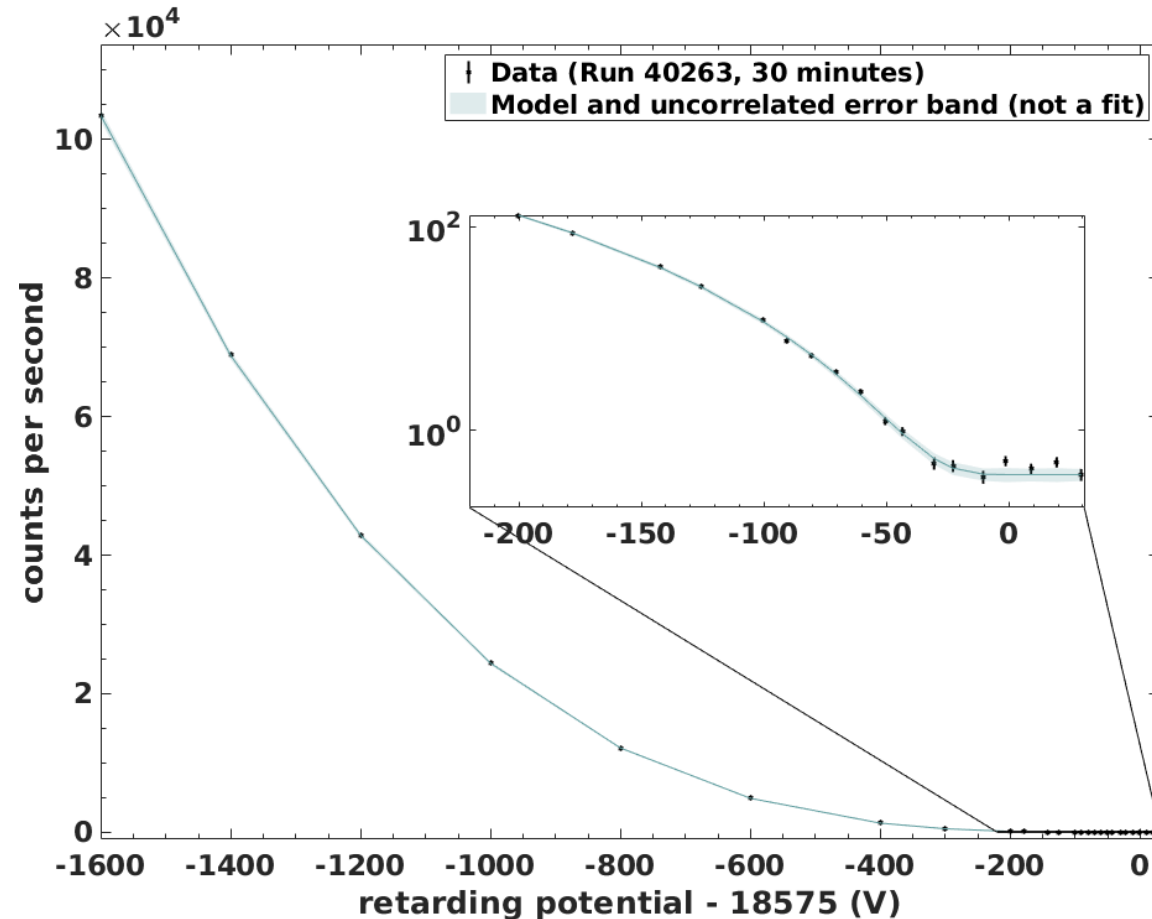
# First tritium campaign May / June 2018

- Motivation:
  - Commissioning of system with tritium (1% of nominal activity =  $\sim 500$  MBq!)
  - Demonstrate 0.1% global system stability
  - Study beta spectrum for systematic effects and test analysis strategies

First tritium injection:  
Friday 18 May  
7:48 am UTC

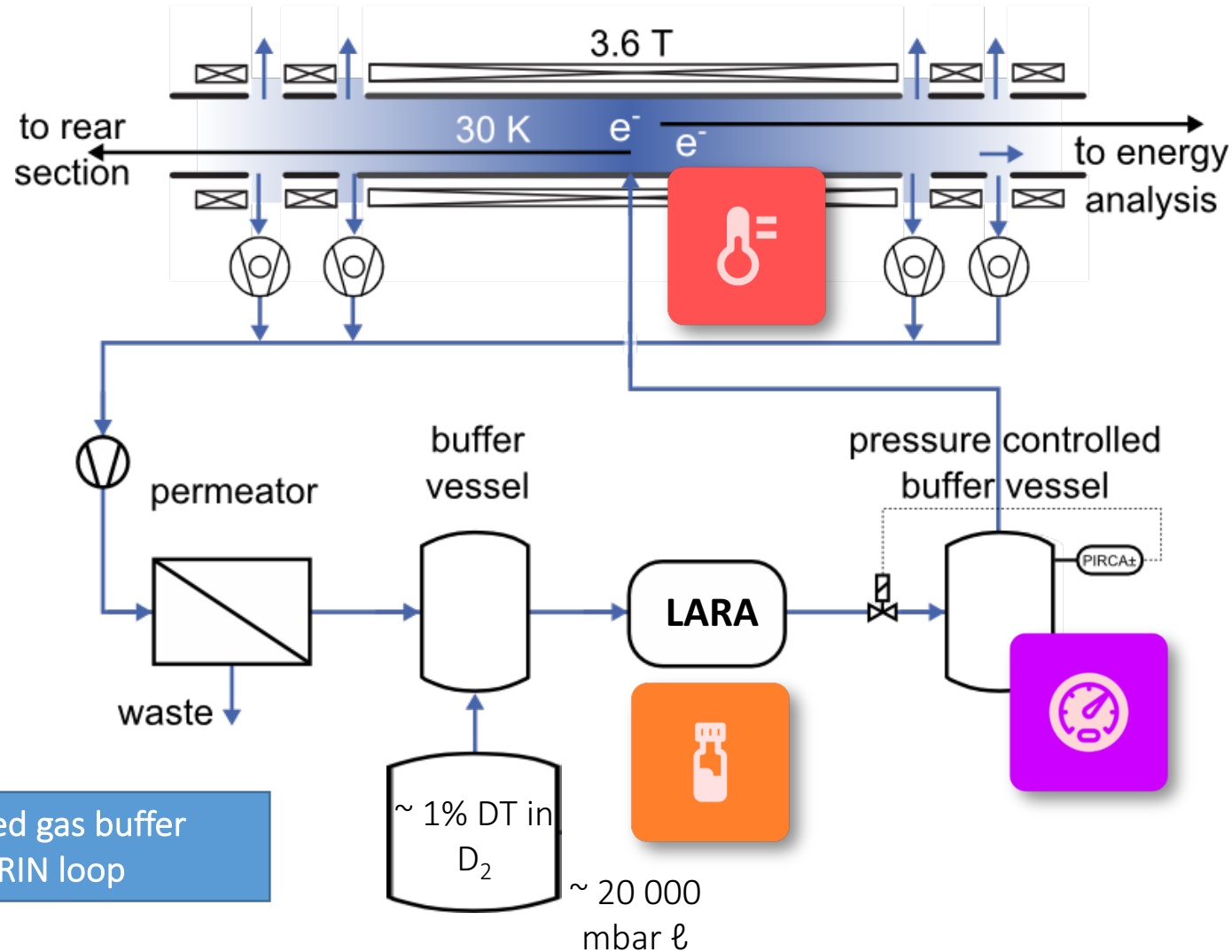


# Very first glance at the data (May 19)



- ✓ Good agreement (1%) of model and data without fit
- ✓ Agreement over a wide energy range (1.6 keV below the endpoint)

# Stability of source parameters

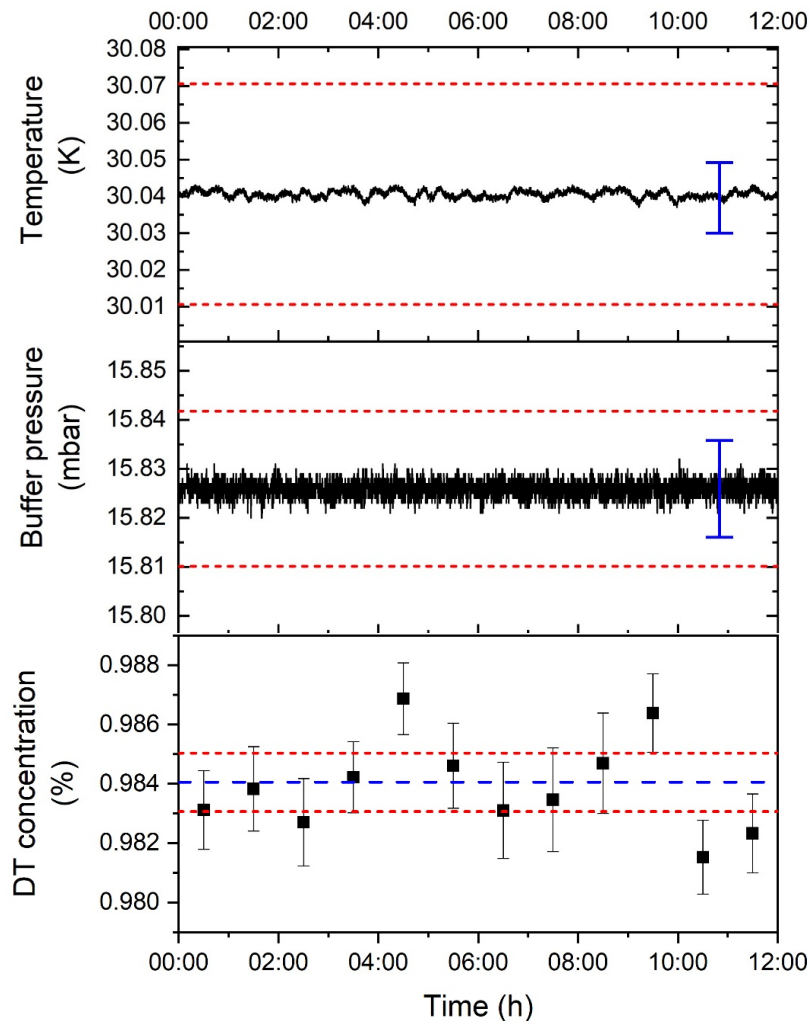


Relevant parameters:

- Temperature
- Pressure
- Isotopic composition

Prefilled gas buffer  
in KATRIN loop

# Stability of source parameters



Blue arrow:  
systematic uncertainty

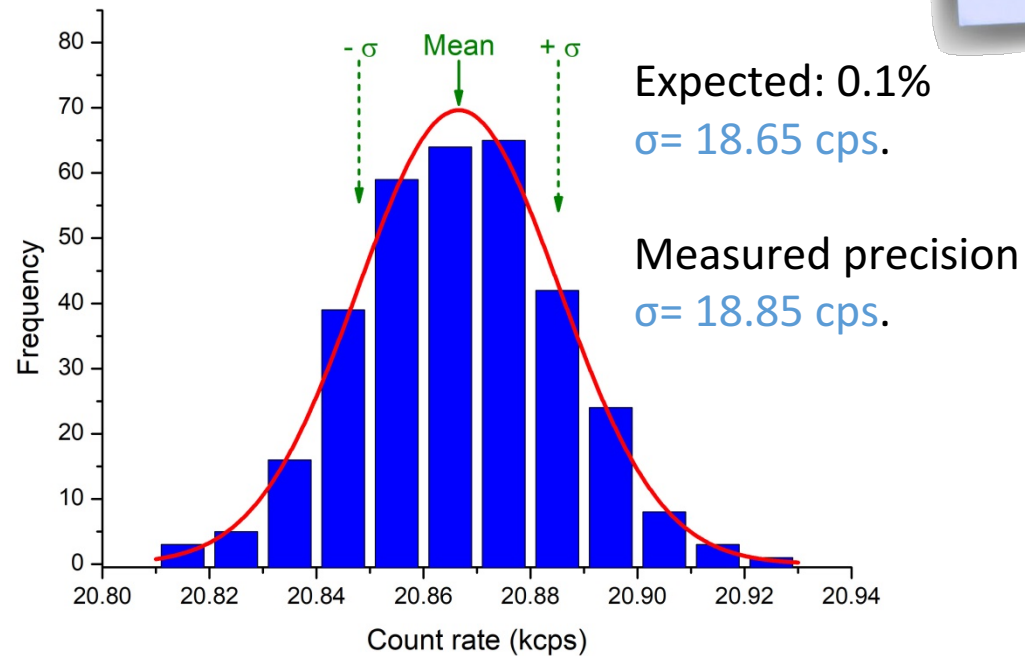
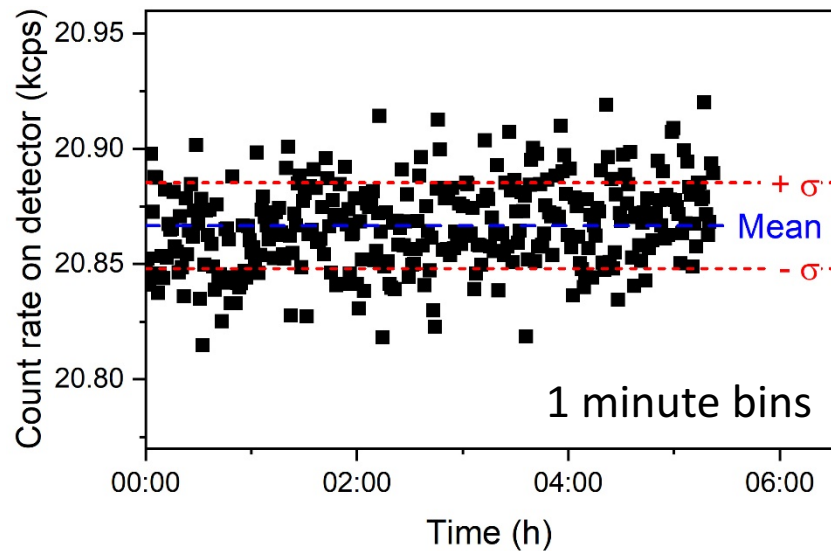
Red dashed line:  
 $\pm 0.1\%$  stability  
required for neutrino  
mass taking

✓ Source parameters are stable and within the specifications

# Stability of rate at the detector

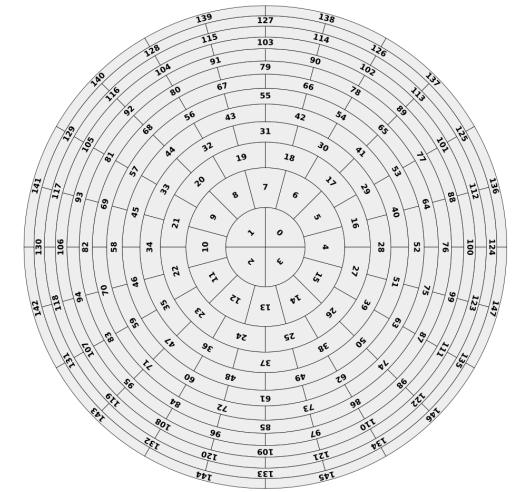
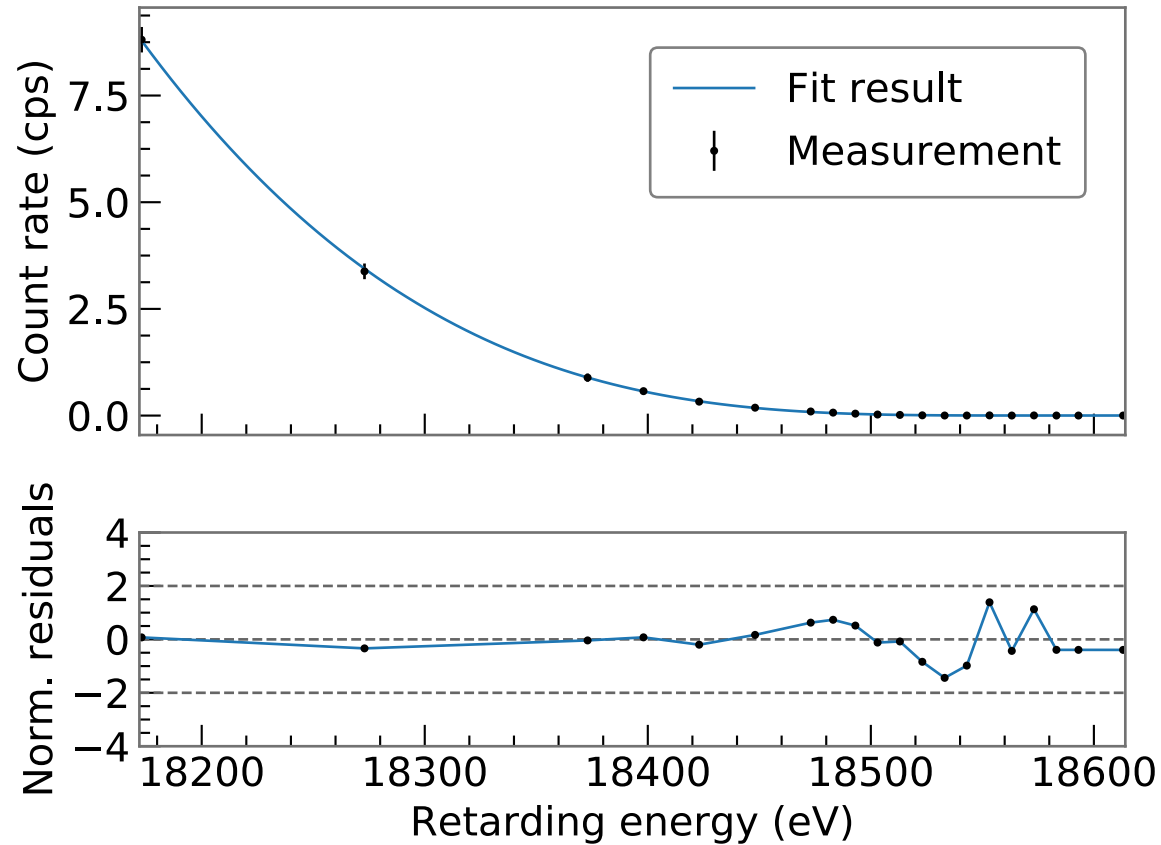
- Set spectrometer high-voltage to 1000 V below kinematic endpoint
- Constant rate expected

✓ Precision requirement achieved on minute base over 5 h!  
Integral KATRIN stability on 0.1% level!



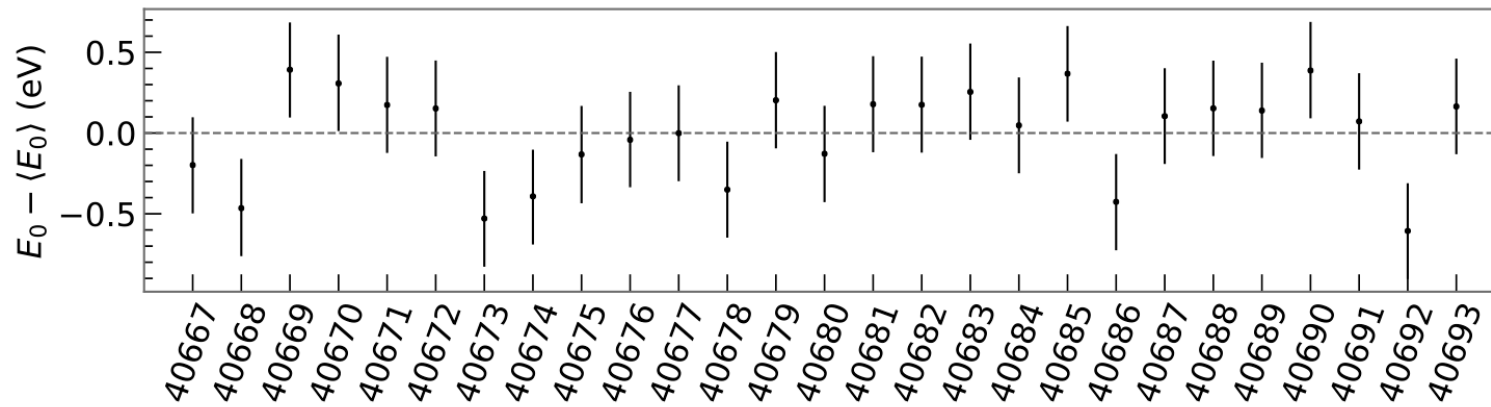


# Spectral analysis



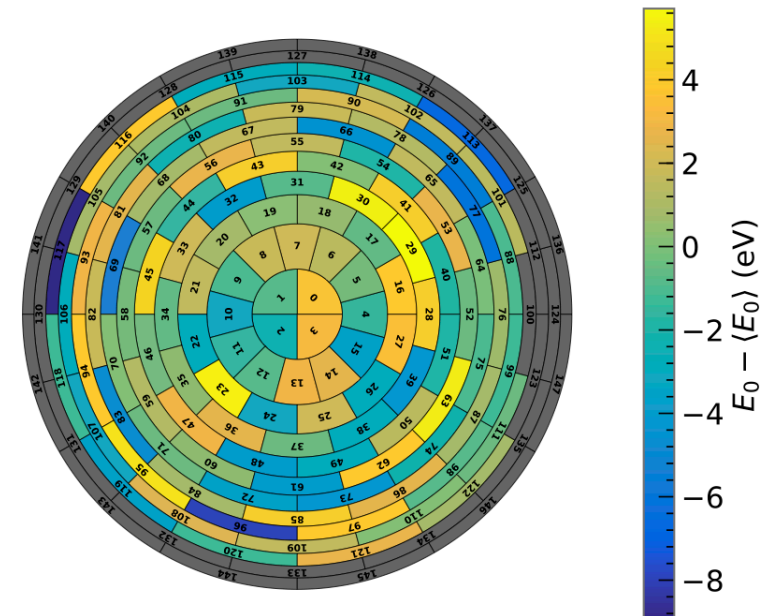
- Fit to single pixel (#0) and single run (3h)
- 3 free parameters: (effective endpoint, background rate, signal rate,  $m_\nu$  fixed to zero)
- No residual structure
- Excellent agreement of data and model (9.002 @ 17 dof => p-value 94.02 %)
- Fit values are as expected

# Effective endpoint stability and homogeneity



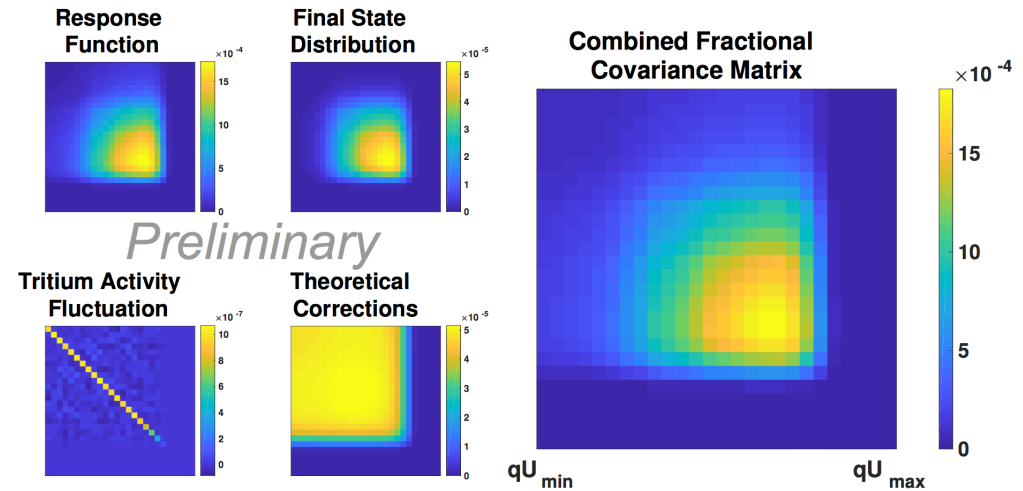
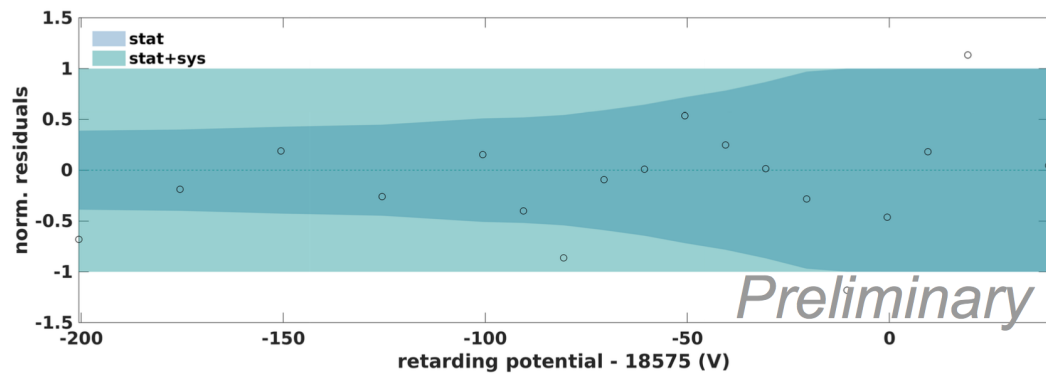
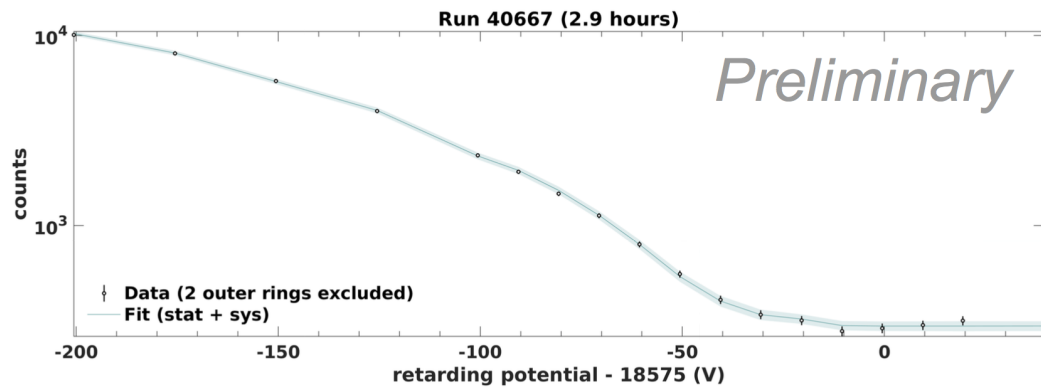
- Fit all pixels simultaneously
- Effective endpoint stable within  $< 1$  eV

- Fit all runs simultaneously
- No feature visible in the pixel map (outer pixels excluded)



Fit results are stable and behave as expected

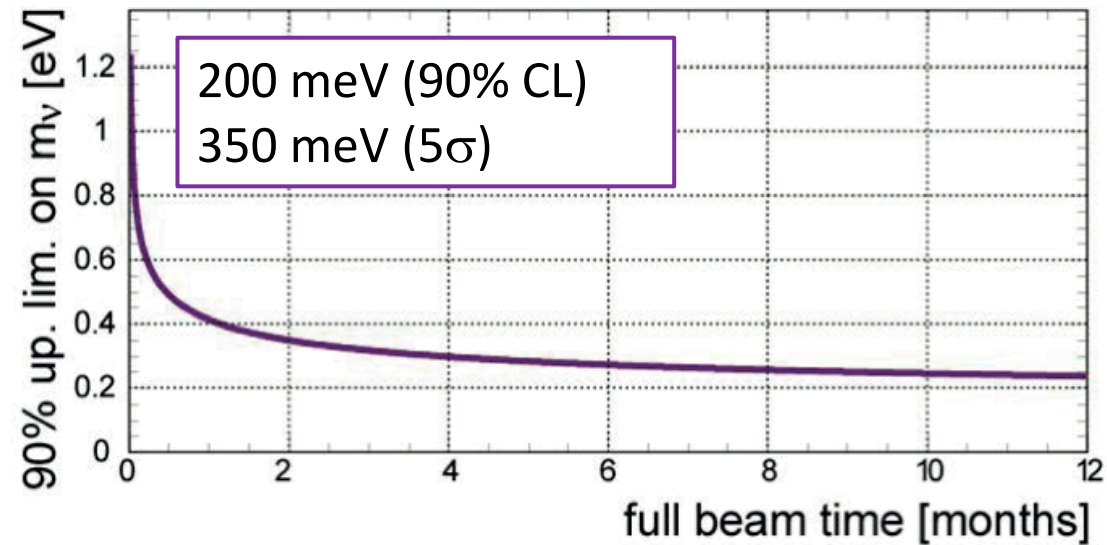
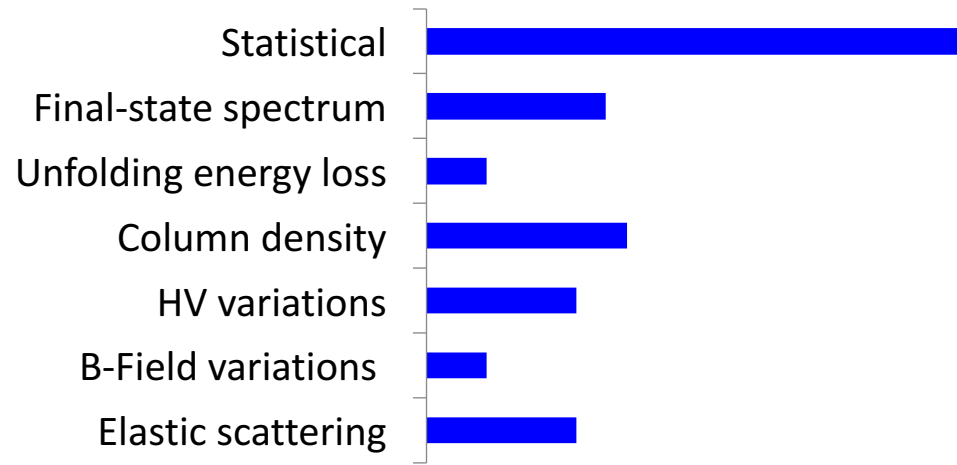
# Systematic uncertainties



Successful investigation of different techniques:

- Multi-sim Covariance matrix approach
  - Nuisance parameters
  - Bayesian methods

# KATRIN's first tritium: May 2018



- Start of nu-mass measurement in March 2019
- Sub-eV sensitivity very quickly after the start of the measurement
- After 3 yrs of data (5 calendar yrs): balance of statistics and systematics

# Outlook...

Energy

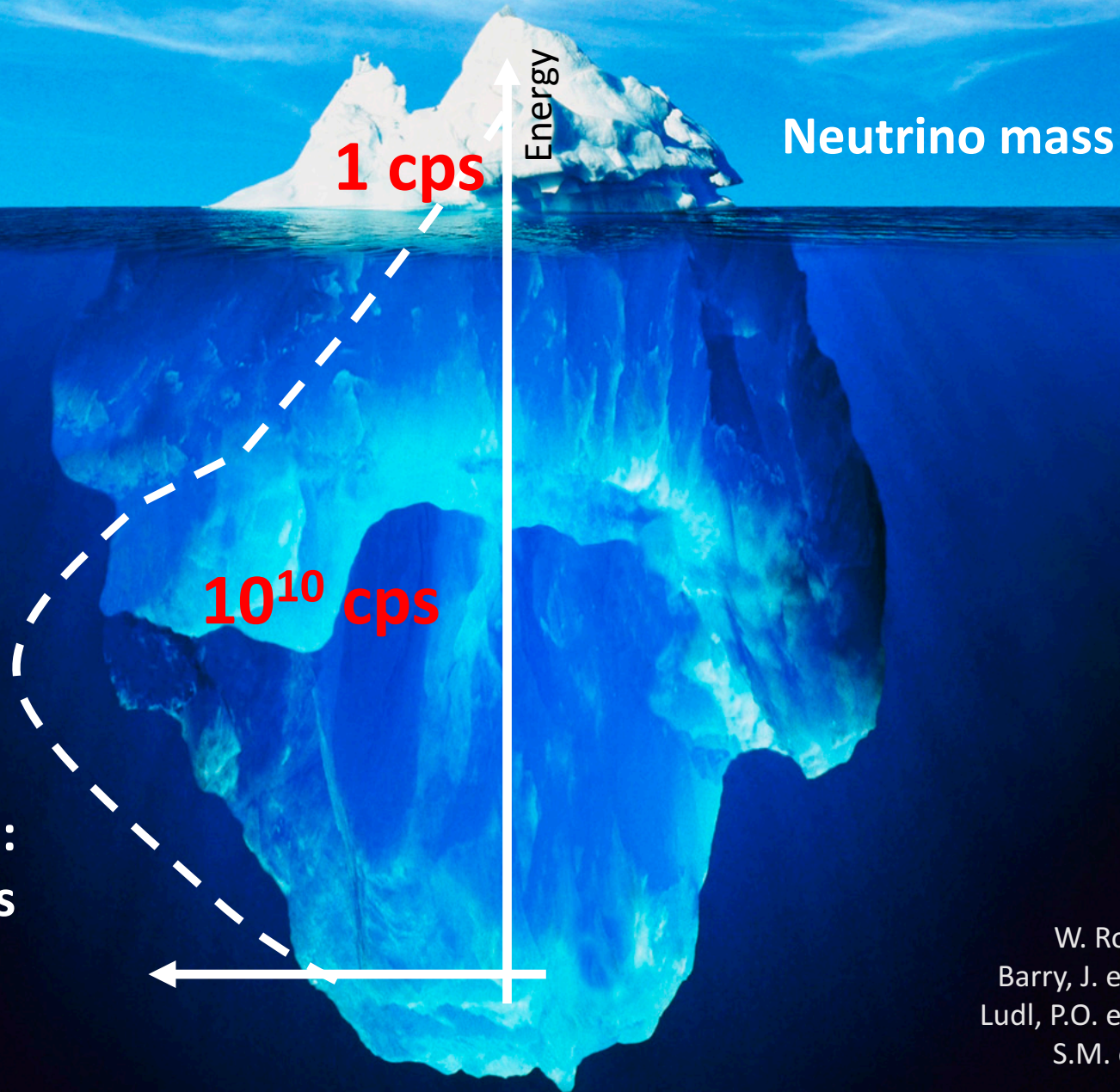
Neutrino mass

Physics beyond  
the Standard Model:  
e.g. sterile neutrinos

W. Rodejohann, Phys.Lett.B 737, 81 (2014)  
Barry, J. et al High Energ. Phys. (2014) 2014: 81  
Ludl, P.O. et al High Energ. Phys. (2016) 2016: 40  
S.M. et. al. Phys.Rev. D91 (2015) 4, 042005  
S.M. et al. JCAP 1502 (2015) 02, 020  
R. Adhikari et al. JCAP 1701 (2017) 01, 025

# Outlook...

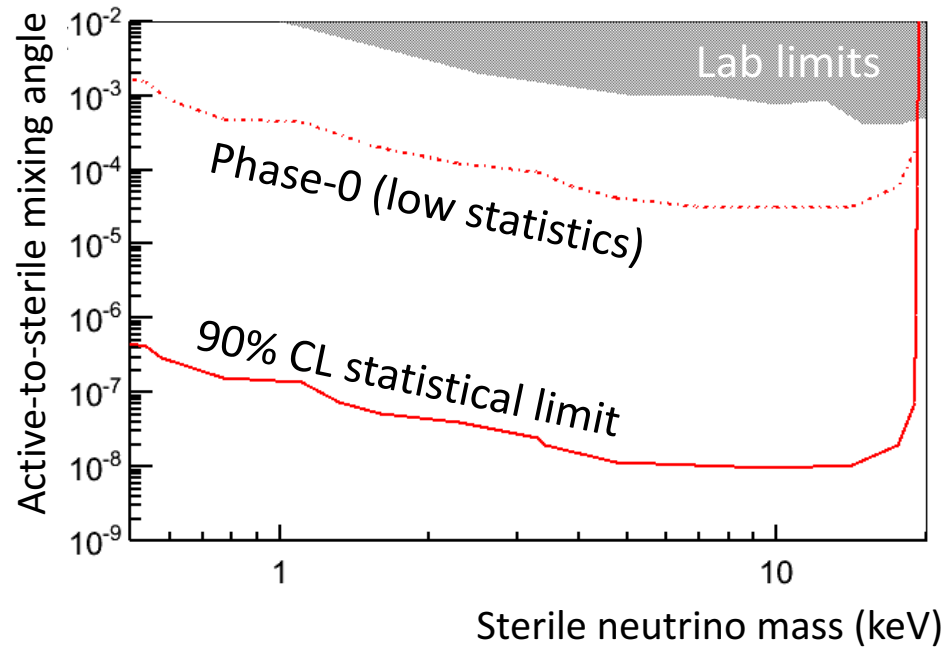
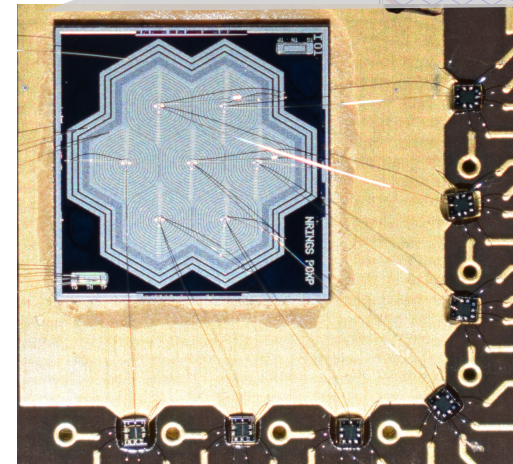
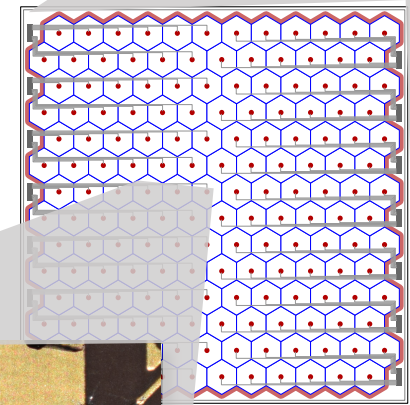
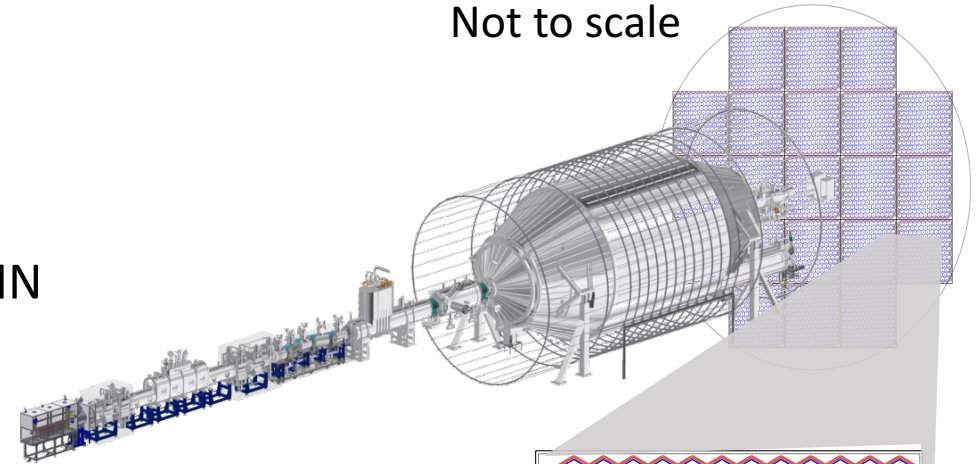
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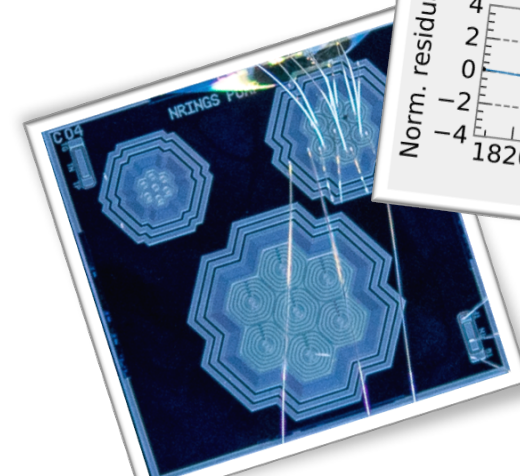
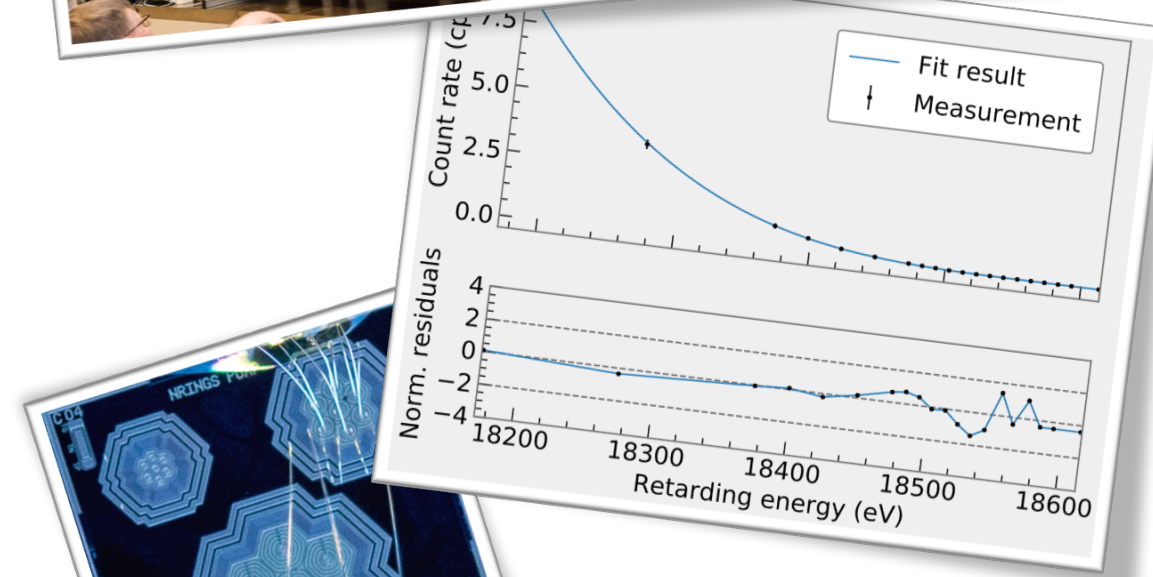
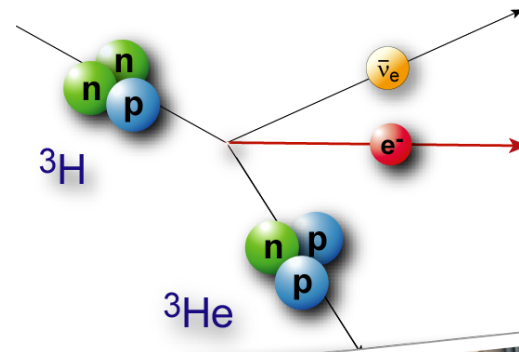
# TRISTAN Project

- Multi-pixel Silicon Drift Detector (SDD) System for KATRIN
- Significant improvement of laboratory limits on keV-scale sterile neutrinos expected



# Summary

- Direct neutrino mass measurement provide a model-independent measurement of the neutrino mass
- KATRIN demonstrated its “tritium-readiness”
- Neutrino mass measurement will start in the beginning of 2019. First nu-mass results expected soon
- KATRIN has the potential to search for sterile neutrinos





Thank you for your attention

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Thanks to  
The KATRIN collaboration

Susanne Mertens

Max Planck Institute for Physics & Technical University Munich