Cornwall-Jackiw-Tomboulis effective action in (2+1)-dimensional models







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Фонд развития теоретической физики и математики Lagrangian of Gross-Neveu model

$$L = i\overline{\psi}_k \gamma^{\nu} \partial_{\nu} \psi_k + \frac{G}{2N} \left(\overline{\psi}_k \psi_k \right)^2$$

k = 1, ..., N is a number of flavours

It exhibits chiral symmetry breaking and dynamical mass generation

$$\langle \overline{\psi}\psi \rangle \neq 0$$

$$L \sim -\frac{2N}{G}\sigma(x)^2 + i\,\overline{\psi}\gamma^\mu\partial_\mu\psi - \sigma(x)\,\overline{\psi}\psi, \quad \langle\sigma\rangle \sim \langle\overline{\psi}\psi\rangle$$

Note that the definition of chiral symmetry is slightly unusual in (2+1)-dimensions.

There exists no other 2×2 matrix anticommuting with the gamma matrices, which would allow the introduction of a γ^5 -matrix in the irreducible representation.

The concept of **chiral symmetries** and their breakdown by mass terms can nevertheless be realized also in the framework of (2+1)-dimensional quantum field theories

by considering a four-component reducible representation for Dirac fields

The Dirac spinors ψ have the following form:

$$\psi(x) = \begin{pmatrix} \tilde{\psi}_1(x) \\ \tilde{\psi}_2(x) \end{pmatrix},$$

with $\tilde{\psi}_1, \tilde{\psi}_2$ being two-component spinors.

 4×4 γ -matrices:

$$\gamma^{\mu} = diag(\tilde{\gamma}^{\mu}, -\tilde{\gamma}^{\mu})$$

There exist two matrices, γ^3 and γ^5 , which anticommute with all γ^{μ} ($\mu = 0, 1, 2$) and with themselves

$$\gamma^3 = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}, \qquad \gamma^5 = \gamma^0 \gamma^1 \gamma^2 \gamma^3 = i \begin{pmatrix} 0 & -I \\ I & 0 \end{pmatrix}$$

One can also construct

$$\tau = -i\gamma^3 \gamma^5 = \left(\begin{array}{cc} I \ , & 0 \\ 0 \ , & -I \end{array} \right)$$

As a rule one introduces the source terms

$$S_s = \int d^3x \left(\overline{\psi}_k(x) J_k(x) + \overline{J}_k(x) \psi_k(y) \right)$$

But now let us introduce bilocal source

$$S_s = \int d^3x d^3y \overline{\psi}_k^{\alpha}(x) K_{\alpha}^{\beta}(x, y) \psi_{k\beta}(y)$$

Z(K) is the generating functional of the Green's functions of bilocal fermion-antifermion composite operators $\overline{\psi}_k^{\alpha}(x)\psi_{k\beta}(y)$

$$Z(K) \equiv \exp(iNW(K)) =$$

$$= \int \mathcal{D}\overline{\psi}_k \mathcal{D}\psi_k \exp\left(i\left[I(\overline{\psi}, \psi) + \int d^3x d^3y \overline{\psi}_k^{\alpha}(x) K_{\alpha}^{\beta}(x, y) \psi_{k\beta}(y)\right]\right)$$

where $\alpha, \beta = 1, 2, 3, 4$ are spinor indices, $K_{\alpha}^{\beta}(x, y)$ is a bilocal source of the fermion bilinear composite field $\bar{\psi}_{k}^{\alpha}(x)\psi_{k\beta}(y)$ Generating functional can be expressed in the following form $% \left(-1\right) =-1$

$$Z(K) = \exp\left(iI_{int}\left(-i\frac{\delta}{\delta K}\right)\right) \exp\left[N\operatorname{Tr}\ln\left(D(x,y) + K(x,y)\right)\right]$$

$$Z(K) = \exp(iNW(K))$$

$$\exp(iNW(K)) =$$

$$= \exp\left(iI_{int}\left(-i\frac{\delta}{\delta K}\right)\right) \exp\left[N\operatorname{Tr}\ln\left(D(x,y) + K(x,y)\right)\right]$$

CJT effective action of the composite bilocal and bispinor operator $\bar{\psi}_k^{\alpha}(x)\psi_{k\beta}(y)$ is defined as a functional $\Gamma(S)$ of the full fermion propagator $S_{\beta}^{\alpha}(x,y)$ by Legendre transformation of the functional W(K)

$$\Gamma(S) = W(K) - \int d^3x d^3y S^{\alpha}_{\beta}(x,y) K^{\beta}_{\alpha}(y,x),$$

$$S^{\alpha}_{\beta}(x,y) = \frac{\delta W(K)}{\delta K^{\beta}_{\alpha}(y,x)}.$$

S(x,y) is the full fermion propagator at K(x,y)=0

One can show for CJT effective action $\Gamma(S)$

$$\frac{\delta\Gamma(S)}{\delta S^{\alpha}_{\beta}(x,y)} = -K^{\beta}_{\alpha}(y,x)$$

If bilocal sources $K_{\alpha}^{\beta}(y,x)$ are zero, the full fermion propagator is a solution of

$$\frac{\delta\Gamma(S)}{\delta S^{\alpha}_{\beta}(x,y)} = 0.$$

we calculate the effective action pertubatively

$$\Gamma(S) = -i \operatorname{Tr} \ln \left(-i S^{-1} \right) + \int d^3 x d^3 y S_{\beta}^{\alpha}(x, y) D_{\alpha}^{\beta}(y, x)$$
$$+ \frac{G}{2} \int d^3 x \left[\operatorname{tr} S(x, x) \right]^2 - \frac{G}{2N} \int d^3 x \operatorname{tr} \left[S(x, x) S(x, x) \right].$$

The stationary equation for the CJT effective action

$$0 = i \left[S^{-1} \right]_{\alpha}^{\beta}(x,y) + D_{\alpha}^{\beta}(x,y) + G\delta_{\alpha}^{\beta}\delta(x-y) \operatorname{tr}S(x,y) - \frac{G}{N} S_{\alpha}^{\beta}(x,y)\delta(x-y).$$

S(x,y) is a translationary invariant operator

$$\overline{(S^{-1})_{\alpha}^{\beta}}(p) - ip_{\nu}(\gamma^{\nu})_{\alpha}^{\beta} = iG\delta_{\alpha}^{\beta} \int \frac{d^{3}q}{(2\pi)^{3}} \operatorname{tr}\overline{S}(q) - i\frac{G}{N} \int \frac{d^{3}q}{(2\pi)^{3}} \overline{S_{\alpha}^{\beta}}(q)$$

Let us explore, using the CJT approach, the possibility of mass term

$$\overline{S^{-1}} = i(\hat{p} + m_D), \text{ i.e. } \overline{S} = -i\frac{\hat{p} + m_D}{p^2 - m_D^2}$$

 ${\mathcal P}$ - symmetric

 \mathcal{T} - symmetric

Break chiral symmetries Γ^5 and Γ^3

UV divergence can be removed from the gap equations if we require the following behavior of the bare coupling constant $G \equiv G(\Lambda)$ vs Λ

$$\frac{1}{G(\Lambda)} = \frac{4N - 1}{2N\pi^2} \left(\Lambda + g_D \frac{\pi}{2} + g_D \mathcal{O}\left(\frac{g_D}{\Lambda}\right) \right)$$

where g_D is a finite Λ -independent and renormalization group invariant quantity, and it can also be considered as a new free parameter of the model.

▶ at $g_D > 0$ its global minimum lies at the point $m_D = 0$, and no dynamical mass generation

▶ at $g_D < 0$ the global minimum is achieved at $m_D = |g_D|$

$$m_D = |g_D|$$

One could define dimensionless bare coupling constant

$$\lambda = \Lambda G(\Lambda)$$

The β -function is

$$\beta(\Lambda) = \Lambda \frac{\partial \lambda(\Lambda)}{\partial \Lambda}, \qquad \beta(\Lambda) = \frac{\lambda}{\lambda_D} (\lambda_D - \lambda)$$

where $\lambda_D = \frac{2N\pi^2}{4N-1}$

there exists a nonzero UV-stable fixed point λ_D in the model

At rather large values of Λ

$$\lambda(\Lambda) - \lambda_D \sim -\frac{g_D}{\Lambda}$$

- ▶ at $\lambda > \lambda_D$ chiral symmetry is broken
- ▶ at $\lambda < \lambda_D$ symmetry of the model remains intact

Let us explore, using the CJT approach, the possibility of mass term

$$\overline{S^{-1}} = i(\hat{p} + \tau m_H), \quad \text{i.e.} \quad \overline{S} = -i\frac{\hat{p} + \tau m_H}{p^2 - m_H^2}$$

 \mathcal{P} - breaking

 $\mathcal T$ - symmetric

Keep chiral symmetries Γ^5 and Γ^3 intact

the UV divergence can be removed from the gap equations if we require the following behavior of the bare coupling constant $G\equiv G(\Lambda)$ vs Λ

$$\frac{1}{G(\Lambda)} = -\frac{1}{2N\pi^2} \Big(\Lambda + g_H \frac{\pi}{2} + g_H \mathcal{O}\Big(\frac{g_H}{\Lambda} \Big) \Big)$$

where g_H is a finite Λ -independent and renormalization group invariant quantity, and it can also be considered as a new free parameter of the model.

▶ at $g_H > 0$ its global minimum lies at the point $m_H = 0$, and no dynamical generation of Haldane mass

▶ at $g_H < 0$ the global minimum is achieved at $m_H = |g_H|$ $m_H = |g_H|$

At rather large values of Λ

$$\lambda(\Lambda) - \lambda_H \sim \frac{2\pi^2 N g_H}{\Lambda}$$

where $\lambda_H = -2N\pi^2$

- ▶ at $\lambda > \lambda_H$ parity remains intact
- ▶ at $\lambda < \lambda_H$ parity is broken

Since
$$\lambda_H \to -\infty$$
 at $N \to \infty$

we may conclude that in the limit of large N the (2+1)-D GN model cannot have a **P-odd phase** and **Haldane mass** cannot arise dynamically

Let us explore the possibility that the solution of the gap equation has the form

$$\overline{S^{-1}} = i(\hat{p} + i\gamma^5 m_5 + i\gamma^3 m_3), \text{ i.e. } \overline{S} = -i\frac{\hat{p} + i\gamma^5 m_5 + i\gamma^3 m_3}{p^2 - (m_3^2 + m_5^2)}$$

It corresponds to a dynamically generated mass term of the form $\mathcal{M}_H = (m_5 \overline{\psi} i \gamma^5 \psi + m_3 \overline{\psi} i \gamma^3 \psi)$ in the Lagrangian

Since m_5 and m_3 are some real numbers, this mass term is a Hermitian one.

- ▶ at g > 0 only a trivial solution of the gap equations exists, $m_3 = m_5 = 0$, and all discrete symmetries of the model remain intact
- ▶ at g < 0 $m_3 = |g| \cos \alpha, \quad m_5 = |g| \sin \alpha$ (where $0 \le \alpha \le \pi/2$ is some arbitrary fixed angle)

At g < 0 in all above mentioned cases (at arbitrary values of the angle parameter α)

the genuine physical fermion mass, which is indeed a pole of the fermion propagator, is equal to

$$M_F = \sqrt{m_3^2 + m_5^2} \equiv |g|$$

At rather large values of Λ

$$\lambda(\Lambda) - \lambda_{35} \sim \frac{2\pi^2 Ng}{\Lambda}$$

where $\lambda_{35} = 2N\pi^2$

- ▶ at $\lambda > \lambda_{35} m_5 \overline{\psi} i \gamma^5 \psi + m_3 \overline{\psi} i \gamma^3 \psi$ mass term is dynamically generated
- ▶ at $\lambda < \lambda_{35}$ symmetric phase

Since $\lambda_{35} \to \infty$ at $N \to \infty$

we may conclude that in the limit of large N there is no dynamical $m_5\overline{\psi}i\gamma^5\psi + m_3\overline{\psi}i\gamma^3\psi$ mass term generation

Spontaneous non-Hermiticity in Gross-Neveu model

$$\mathcal{M}_H = im_5\overline{\psi}(x)\gamma^5\psi(x) + im_3\overline{\psi}(x)\gamma^3\psi(x)$$

$$\mathcal{M}_{NH1} = im_5 \overline{\psi}(x) \gamma^5 \psi(x) + m_3 \overline{\psi}(x) \gamma^3 \psi(x)$$

\mathcal{PT} - symmetric

$$\mathcal{M}_{NH2} = m_5 \overline{\psi}(x) \gamma^5 \psi(x) + i m_3 \overline{\psi}(x) \gamma^3 \psi(x)$$

\mathcal{PT} - breaking

Let us explore, using the CJT approach, the possibility of the dynamic appearance of a non-Hermitian and \mathcal{PT} symmetric mass term \mathcal{M}_{NH1}

$$\overline{S^{-1}} = i(\hat{p} + i\gamma^5 m_5 + \gamma^3 m_3), \text{ i.e. } \overline{S} = -i\frac{\hat{p} + i\gamma^5 m_5 + \gamma^3 m_3}{p^2 - (m_5^2 - m_3^2)}$$

where m_3 and m_5 are real quantities.

Suppose that
$$m_5^2 \ge m_3^2$$

- ▶ at g > 0 its global minimum lies at the point $m_5 = m_3 = 0$, and dynamical mass generation is absent
- ▶ at g < 0 the global minimum is achieved at arbitrary (m_3, m_5) point such that $m_5^2 m_3^2 = g^2$

$$m_3 = |g| \sinh \beta, \quad m_5 = |g| \cosh \beta$$

Note that such a structure of the global minimum point of the model appears due to the emergent symmetry of the CJT effective potential with respect to non-Unitary transformations

$$\begin{pmatrix} m_5 \\ m_3 \end{pmatrix} \to \begin{pmatrix} \cosh \beta & \sinh \beta \\ \sinh \beta & \cosh \beta \end{pmatrix} \begin{pmatrix} m_5 \\ m_3 \end{pmatrix}.$$

the non-Hermitian but \mathcal{PT} -odd mass term \mathcal{M}_{NH2}

$$\overline{S^{-1}} = i(\hat{p} + \gamma^5 m_5 + i\gamma^3 m_3), \text{ i.e. } \overline{S} = -i\frac{\hat{p} + \gamma^5 m_5 + i\gamma^3 m_3}{p^2 - (m_3^2 - m_5^2)}$$

where m_3 and m_5 are real quantities.

Suppose that $m_5^2 \le m_3^2$

It can be shown in exactly the same way that for the same dependence of the bare coupling constant G vs Λ , there exists a nontrivial solution of the renormalized stationary (Dyson-Schwinger) equation

▶ at g < 0 of the non-Hermitian but \mathcal{PT} -odd mass term \mathcal{M}_{NH2} in the model.

$$m_3 = |g| \cosh \omega, \quad m_5 = |g| \sinh \omega$$

$$\sqrt{m_3^2 - m_5^2} \equiv |g|$$
 fermion pole mass $M_F = \sqrt{m_3^2 - m_5^2} \equiv |g|$.

at $\lambda > \lambda_{35}$ — non-Hermitian mass terms could be dynamically generated

where
$$\lambda_{35} = 2N\pi^2$$

Since
$$\lambda_{35} \to \infty$$
 at $N \to \infty$

we may conclude that in the limit of large N there is no dynamical generation of non-Hermitian mass terms

Spontaneous symmetry breaking in Thirring model

Lagrangian of Thirring model

$$L = \overline{\Psi}_k \gamma^{\nu} i \partial_{\nu} \Psi_k - \frac{G}{2N} \left(\overline{\Psi}_k \gamma^{\mu} \Psi_k \right) \left(\overline{\Psi}_l \gamma_{\mu} \Psi_l \right)$$

k = 1, ..., N is a number of flavours

It is invariant under the transformations

$$U(N), U(2N), \Gamma_3, \Gamma_5, \mathcal{P}$$

The stationary equation for the CJT effective action

$$-i\left[S^{-1}\right]_{\alpha}^{\beta}(x,y) - D_{\alpha}^{\beta}(x,y) = -G(\gamma^{\rho})_{\alpha}^{\beta} \operatorname{tr}\left[\gamma_{\rho} S(x,y)\right] \delta^{3}(x-y)$$
$$+ \frac{G}{N} \left[\gamma^{\rho} S(x,y) \gamma_{\rho}\right]_{\alpha}^{\beta} \delta^{3}(x-y)$$

S(x,y) is a translationary invariant operator

$$-i\overline{(S^{-1})_{\alpha}^{\beta}}(p) - (\hat{p})_{\alpha}^{\beta} = -G(\gamma^{\rho})_{\alpha}^{\beta} \int \frac{d^{3}q}{(2\pi)^{3}} \operatorname{tr}\left[\gamma_{\rho}\overline{S}(q)\right] + \frac{G}{N} \int \frac{d^{3}q}{(2\pi)^{3}} \left[\gamma^{\rho}\overline{S}(q)\gamma_{\rho}\right]_{\alpha}^{\beta}$$

Let us explore, using the CJT approach, the possibility of mass term

$$i\overline{S^{-1}} = (\hat{p} + m_D + m_H \tau) = \begin{pmatrix} \tilde{p} + m_D + m_H, & 0 \\ 0, & -\tilde{p} + m_D - m_H \end{pmatrix}$$

i. e.

$$\overline{S}(p) = -i \begin{pmatrix} \frac{\tilde{p} - m_D - m_H}{p^2 - (m_D + m_H)^2}, & 0\\ 0, & \frac{-\tilde{p} - m_D + m_H}{p^2 - (m_D - m_H)^2} \end{pmatrix}$$

CJT effective potential

$$V(S) \int d^3x \equiv -\Gamma(S) \Big|_{\text{transl.-inv. S(x,y)}}$$

for Thirring model is

CJT effective potential has the following symmetries

$$m_D \to -m_D, \ m_H \to -m_H \qquad m_H \leftrightarrow m_D$$

▶ at g > 0 its global minimum lies at the point $m_D = 0$ and $m_H = 0$, and no dynamical mass generation

ightharpoonup at g < 0 the global minimum is achieved at

$$(m_D = -g/2, m_H = 0)$$
 and $(m_D = 0, m_H = -g/2)$

- ▶ at $\lambda > \lambda_0$ symmetries of the model are broken
- ▶ at $\lambda < \lambda_0$ symmetry of the model remains intact

 $\lambda < \lambda_0$ - symmetry of the model remains intact

Since
$$\lambda_0 \to \infty$$
 at $N \to \infty$

we may conclude that in the limit of large N there is no dynamical mass generation in Thirring model

The global minimum is achieved at

$$(m_D = -g/2, m_H = 0)$$
 and $(m_D = 0, m_H = -g/2)$

and is degenerate

Spontaneous symmetry breaking in generalized Thirring model

Lagrangian of Thirring model

$$L = \overline{\Psi}_k \gamma^{\nu} i \partial_{\nu} \Psi_k - \frac{G_v}{2N} \left(\overline{\Psi}_k \gamma^{\mu} \Psi_k \right) \left(\overline{\Psi}_k \gamma_{\mu} \Psi_k \right) + \frac{G_s}{2N} \left(\overline{\Psi}_k \tau \Psi_k \right)^2$$

$$k = 1, ..., N$$
 is a number of flavours, and $\tau = -i\gamma^3 \gamma^5$

It is invariant under the transformations

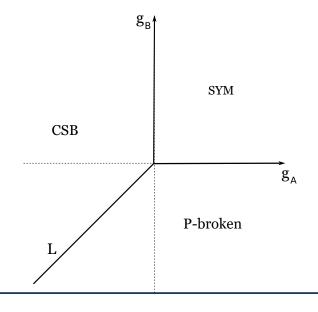
$$U(N), U(2N), \Gamma_3, \Gamma_5, \mathcal{P}$$

Let us explore, using the CJT approach, the possibility of mass term

$$i\overline{S^{-1}} = (\hat{p} + m_D + m_H \tau) = \begin{pmatrix} \tilde{p} + m_D + m_H, & 0 \\ 0, & -\tilde{p} + m_D - m_H \end{pmatrix}$$

i. e.

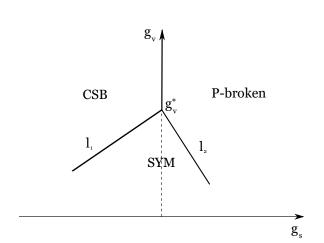
$$\overline{S}(p) = -i \begin{pmatrix} \frac{\tilde{p} - m_D - m_H}{p^2 - (m_D + m_H)^2}, & 0\\ 0, & \frac{-\tilde{p} - m_D + m_H}{p^2 - (m_D - m_H)^2} \end{pmatrix}$$



In terms of other more natural and physically acceptable dimensionless coupling constants

$$g_s \equiv \Lambda G_s$$

 $g_v \equiv \Lambda G_v$



Spontaneous non-hermiticity in Thirring model

Let us explore, using the CJT approach, the possibility of mass term

$$\mathcal{M}_H = \overline{\Psi}_k (m_H \tau + m_D + i m_5 \gamma^5 + i m_3 \gamma^3) \Psi_k$$

i. e.

$$\overline{S^{-1}}(p) = i \left(\hat{p} + m_H \tau + m_D + i m_5 \gamma^5 + i m_3 \gamma^3 \right)$$

▶ at g > 0 its global minimum lies at the point $m_D = 0$, $m_H = 0$, $m_3 = 0$ and $m_5 = 0$ and no dynamical mass generation

▶ at g < 0 the global minimum is achieved at $(m_H = -g/2, \Sigma = 0) \text{ and } (m_H = 0, \Sigma = -g/2)$ $\Sigma^2 \equiv m_D^2 + m_5^2 + m_3^2 = g^2/4$

the non-Hermitian mass term \mathcal{M}_{NH}

$$\mathcal{M}_{NH} = \overline{\Psi}_k (m_H \tau + \eta \cdot m_D + \vartheta \cdot i m_5 \gamma^5 + \kappa \cdot i m_3 \gamma^3) \Psi_k$$

where each of the multipliers η, ϑ, κ is either 1 or i and all mass parameters m_H, m_D, m_5, m_3 are real quantities

ightharpoonup at g > 0

(i)
$$\overline{\Psi}_k(m_D - m_5\gamma^5 + im_3\gamma^3)\Psi_k$$
 where $m_D^2 + m_3^2 = m_5^2$,

(ii)
$$\overline{\Psi}_k(im_D + im_5\gamma^5 + im_3\gamma^3)\Psi_k$$
 where $m_5^2 + m_3^2 = m_D^2$,

(iii)
$$\overline{\Psi}_k(im_D - m_5\gamma^5 + im_3\gamma^3)\Psi_k$$
 where $m_D^2 + m_5^2 = m_3^2$,

(iv)
$$\overline{\Psi}_k(im_D + im_5\gamma^5 - m_3\gamma^3)\Psi_k$$
 where $m_D^2 + m_3^2 = m_5^2$,

(v)
$$\overline{\Psi}_k(m_D - m_5\gamma^5 - m_3\gamma^3)\Psi_k$$
 where $m_5^2 + m_3^2 = m_D^2$

the corresponding to non-Hermitian mass term $\overline{\Psi}_k(m_D + im_5\gamma^5 - m_3\gamma^3)\Psi_k$ fermion propagator $\overline{S}(p)$ looks like

$$\overline{S}(p) = -i(\hat{p} + m_D + i\gamma^5 m_5 - \gamma^3 m_3)/p^2$$

$$ightharpoonup$$
 at $q < 0$

In the case $m_H = -g/2$ and $\widetilde{\Sigma} = 0$

(i)
$$\overline{\Psi}_k(m_H\tau + m_D + im_5\gamma^5 - m_3\gamma^3)\Psi_k$$
 with $m_D^2 + m_5^2 = m_3^2$,

(ii)
$$\overline{\Psi}_k(m_H\tau + m_D - m_5\gamma^5 + im_3\gamma^3)\Psi_k$$
 with $m_D^2 + m_3^2 = m_5^2$,

(iii)
$$\overline{\Psi}_k(m_H\tau + im_D - m_5\gamma^5 + im_3\gamma^3)\Psi_k$$
 with $m_D^2 + m_5^2 = m_3^2$,

(iv)
$$\overline{\Psi}_k(m_H \tau + i m_D + i m_5 \gamma^5 - m_3 \gamma^3) \Psi_k$$
 with $m_D^2 + m_3^2 = m_5^2$,

(v)
$$\overline{\Psi}_k(m_H\tau + im_D + im_5\gamma^5 + im_3\gamma^3)\Psi_k$$
 with $m_5^2 + m_3^2 = m_D^2$,

(vi)
$$\overline{\Psi}_k(m_H\tau + m_D - m_5\gamma^5 - m_3\gamma^3)\Psi_k$$
 with $m_5^2 + m_3^2 = m_D^2$

the corresponding fermion propagator $\overline{S}(p)$ looks like

$$\overline{S}(p) = -i \frac{\hat{p} + i m_D + i \gamma^5 m_5 - \gamma^3 m_3}{p^2 + M_F^2}$$

where $M_F = |g|/2$

- ► There has been studied the possibility of the dynamical appearance of both Hermitian and non-Hermitian mass terms in the originally Hermitian massless (2+1)-dimensional GN model
- ightharpoonup the effect of spontaneous non-Hermiticity can be detected only outside the large-N expansion technique
- ► There has been shown that parity breaking Haldane mass can be generated dynamically in the model