Crust of compact stars Lecture 2.

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Many-particle systems: from condensed matter to quarks and stars January 29 – February 03, 2024 BLTR, JINR, Dubna, Russia

Crust of compact stars Selection of topics and plan

"Everything should be made as simple as possible, but not simpler"

Attributed to Albert Einstein

According to Robinson [*Nature* **557**, 30 (2018)], it can be a compressed version of lines from a 1933 lecture by Einstein:

"It can scarcely be denied that the supreme goal of all theory is to make the irreducible basic elements as simple and as few as possible without having to surrender the adequate representation of a single datum of experience."

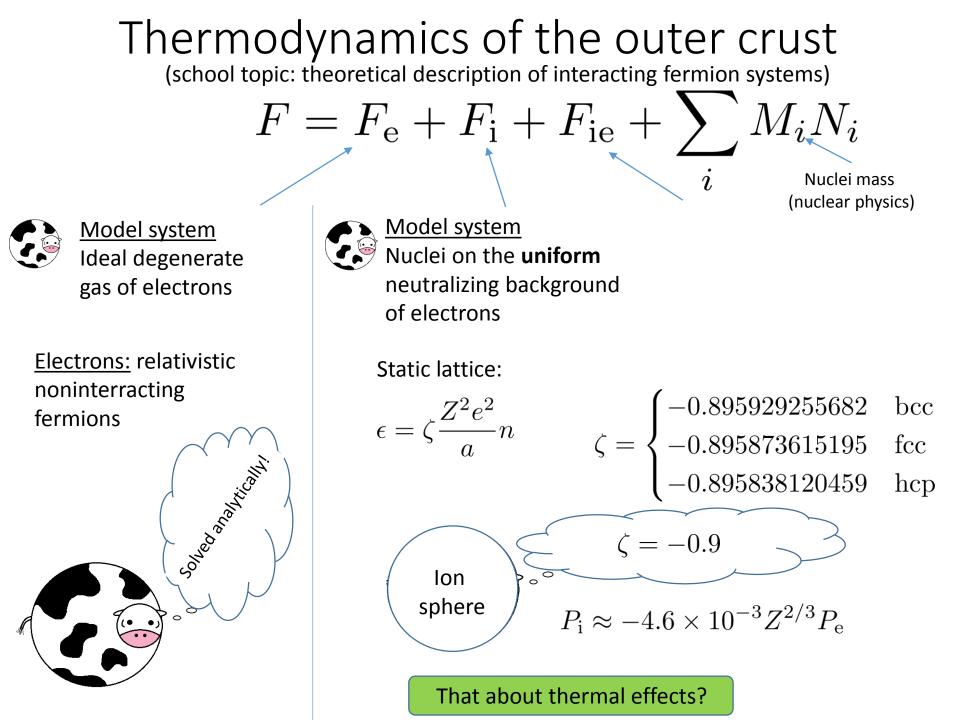
My preference in these lectures: models, which can be solved analytically

Lecture 1: Introduction and basic models of outer crust at T=0

Lecture 2: Outer crust: thermodynamics and elasticity

Lecture 3: Inner crust

Lecture 4: Aren't crustal models simpler, than it is possible? + M(R) not dealing with crust



Thermodynamics of nuclei in outer crust Classical nuclei

It is convenient to consider system at given N, V, T => Helmholtz free energy

 $F = -T \ln Z$ $Z = \sum_{s} \exp\left(-\frac{E_s}{T}\right) = \frac{g^N}{N!} \int \int \exp\left(-\frac{E}{T}\right) \prod_{s=1}^{N} \frac{\mathrm{d}^3 r_j \mathrm{d}^3 p_j}{(2\pi\hbar)^3}$ $= \left(\frac{g^N}{N!}\int \exp\left(-\sum_j \frac{p_j^2}{2mT}\right)\prod_{i=1}^N \frac{V \mathrm{d}^3 p_i}{(2\pi\hbar)^3}\right) \left(\frac{1}{V^N}\int \exp\left(-\frac{V}{T}\right)\prod_{i=1}^N \mathrm{d}^3 r_i\right)$ $Z_{\rm nid}$ Z_{id} Partion function of Ideal classical gas! Coulomb corrections (nonideal) Should be known Well known $F = -T \ln \left(Z_{\rm id} Z_{\rm nid} \right) = F_{\rm id} + F_{\rm nid}$ Partition function in a classical limit can be factorized and thermodynamic

functions becomes a sum of ideal and nonideal contributions

Thermodynamics of nuclei in outer crust Classical nuclei, nonideal part

Dimensional analysis

T = 0		
We have:	Ze	Charge
	a	Distance
Energy scale		$Z^{2}e^{2}$
Density	<i>n</i> =	$=\frac{a}{4\pi a^3}$
Energy density	$\epsilon =$	$\zeta \frac{Z^2 e^2}{a} n$

We need to calculate a constant!

We have: ZeCharge aDistance TEnergy $Z^2 e^2$ Energy scale $n = \frac{a}{4\pi a^3}$ Density $\Gamma = \frac{Z^2 e^2}{aT}$ **Dimensionless** $\mathfrak{f} = f(\Gamma) \frac{Z^2 e^2}{a} n$ Helmholtz free energy density

T > 0

We need to calculate one-parameter function!

Thermodynamics of nuclei in outer crust Classical nuclei

Known 🔨

Calculated numerically (MC, MD) (approximations: Potekhin&Chabrier 2000)

 $F = -T \ln \left(Z_{id} Z_{nid} \right) = F_{id} + F_{nid}; \quad F_{nid} = NT f_0(\Gamma)$

Partition function in a classical limit can be factorized and thermodynamic functions becomes a sum of ideal and nonideal contributions

$$S_{\text{nid}} = -\left(\frac{\partial F_{\text{nid}}}{\partial T}\right) = N\left(\Gamma\frac{\partial f_0}{\Gamma} - f_0\right)$$
$$U_{\text{nid}} = F_{\text{nid}} + S_{\text{nid}}T = NT\Gamma\frac{\partial f_0}{\partial \Gamma}, \quad u_0 \equiv \Gamma\frac{\partial f_0}{\partial \Gamma}$$
$$P_{\text{nid}} = -\frac{\partial F_{\text{nid}}}{\partial V} = \frac{u_0}{3}n\right) \qquad \qquad \text{Accurate for classical ions}$$

<u>Rather simple thermodynamics</u>: everything is reduced to a function Γ No complicated chemistry (complicated dependence on *Z*)

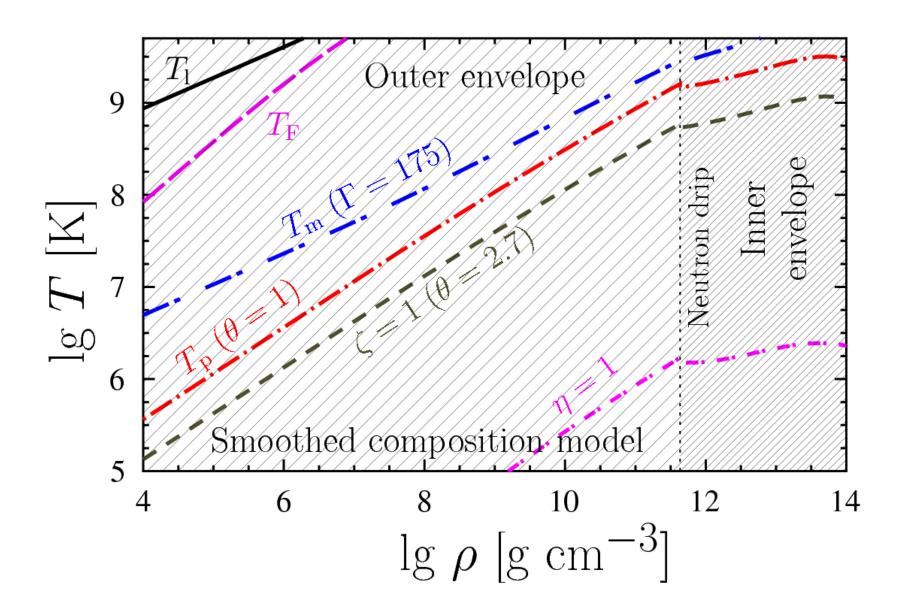
Thermodynamics of nuclei in outer crust Quantum effects

Dimensional analysis

$T > 0, \hbar \to 0$	$T>0, \hbar eq 0$
We have: Ze Charge	We have: Ze Charge
<i>a</i> Distance	$a \longrightarrow \frac{1}{\sqrt{1-\sqrt{2}}}$ Distance
T Energy	$T, \ T_p = \hbar \sqrt{\frac{4\pi n_i Z_i^2 e^2}{m_i}} \ {\rm Energy}$
Energy scale $\frac{Z^2 e^2}{c}$	Energy scale $\frac{Z^2 e^2}{a}$
Density $n = \frac{a}{4\pi a^3}$	Density $n=rac{3}{4\pi a^3}$
Dimensionless $\Gamma = \frac{Z^2 e^2}{aT}$	Dimensionless $\Gamma = \frac{Z^2 e^2}{aT}, \ \theta = \frac{T_p}{T}$
Helmholtz free $\mathfrak{f}=f(\Gamma)\frac{Z^2e^2}{a}n$ energy density	Helmholtz free $\mathfrak{f} = f(\Gamma, \theta) \frac{Z^2 e^2}{a} n$
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We need to calculate one-parameter function! We need to calculate two-parameter function!

Thermodynamics of nuclei in outer crust $T(\rho)$ diagram



Thermodynamics of nuclei in outer crust Quantum effects

Typical frequency of ion motion

 $\omega_{\rm p} = \sqrt{\frac{4\pi n_i Z_i^2 e^2}{m_i}}$

Quantum effect are important if

 $T \lesssim T_{\rm p} = \hbar \omega_{\rm p}$

Path Integral Monte-Carlo

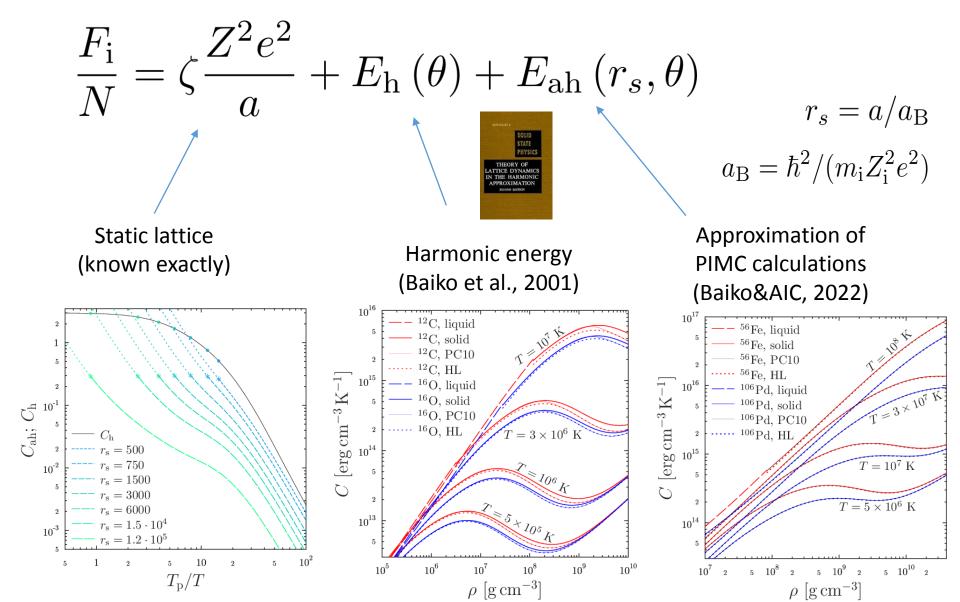
Quantum corrections Physically motivated approximations (harmonic oscillator thermodynamics) (Baiko&AIC, 2022)

$$\frac{F_{\rm i}}{NT} = f_{\rm id} + f_{\rm cl} + f_{\rm q}$$

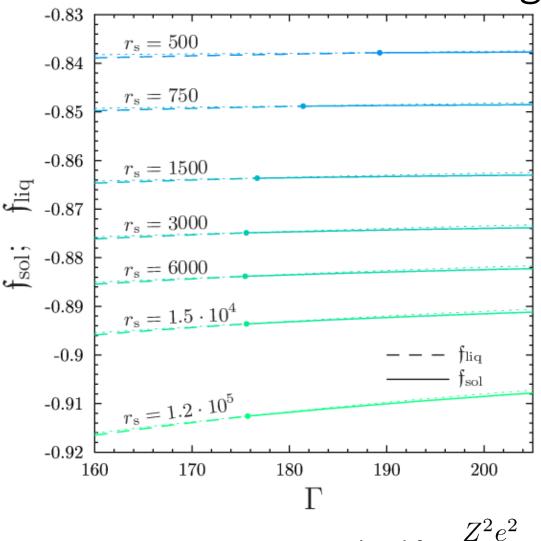
Ideal part (textbook)

Classical nonideal part (approximations: Potekhin&Chabrier 2000)

Thermodynamics of nuclei in outer crust Solid



Thermodynamics of nuclei in outer crust Melting



Free energies per ion are normalized for

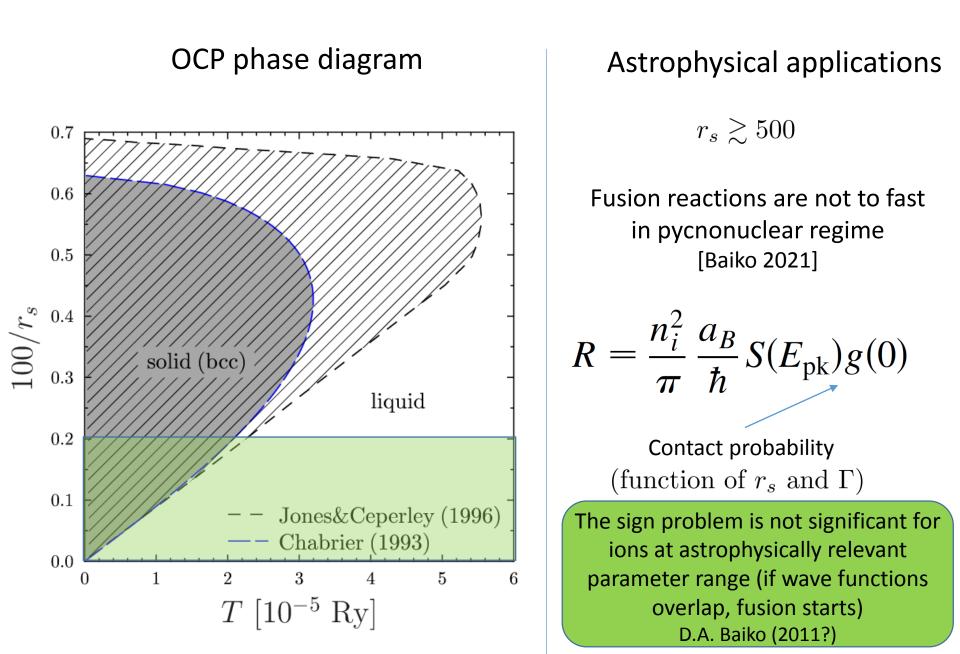
- Very sensitive for small errors in approximations
- The coupling parameter for melting increases with increase of the density

Dimensionless density

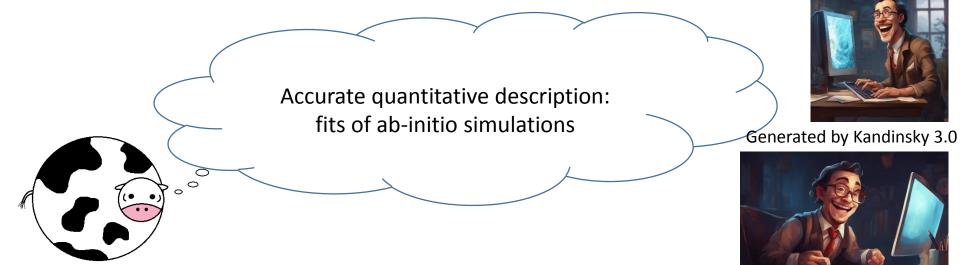
$$r_s = a/a_{\rm B}$$

$$a_{\rm B} = \hbar^2 / (m_{\rm i} Z_{\rm i}^2 e^2)$$

Nuclei in outer crust on OCP phase diagram



Thermodynamics of nuclei in outer crust (one component Coulomb plasma)





What is that we (NS crust microphysicists) want to do!

Provide accurate and reliable description of the crust properties, which can be safely used in astrophysical applications.

Something like tables of density and specific heat for terrestrial materials – one can solve problems without dealing electrodynamics and quantum mechanics etc., which, in fact, determine these values

But... We want describe NS crust, this slide is about OCP....

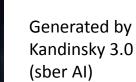
Thermodynamics of outer crust Melting: do we solve correct problem?

Two slides before:

Melting at fixed density (Helmholtz free energies were compared)

Any textbook on phase transitions:

The pressure should be equal in the phases => Compare the Gibbs free energies!!! The problem, which you solve was about spherical cows, not about neutron star crust! Should we reanalyze melting?







Thermodynamics of outer crust Melting: do we solve correct problem?

Three slides before:

Melting at fixed density (Helmholtz free energies were compared)

Any textbook on phase transitions:

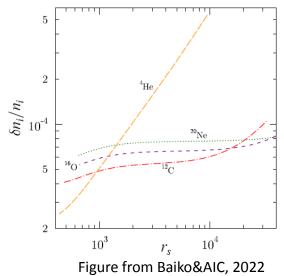
The pressure should be equal in the phases => Compare the Gibbs free energies!! The problem, which you solve, was about spherical cows, not about neutron star crust! Should I reanalyze melting?

Generally yes, but
$$P_{\rm i} \approx -4.6 \times 10^{-3} Z^{2/3} P_{\rm e} ~(\rho \gg 10^{6}~{\rm g/cm}^{3})$$

Melting was considered in a correct formulation, but the result is almost unaffected

There is a density jump between liquid and solid state, but it is only about 0.05%!!!

$$\frac{\delta\Gamma_m}{\Gamma_m} = -\frac{\delta a}{a} = \frac{1}{3}\frac{\delta n}{n}$$



Thermodynamics of nuclei in outer crust Mixtures

 $F(T, \{n_i, Z_i, A_i\})$

Number of parameters increases: require huge number of simulations + complicated approximations. Not fully realized yet

$$\frac{F_{\rm i}}{NT} = f_{\rm id} + f_{\rm nid}^{\rm cl} + f_{\rm q}$$

Ideal free energy (any appropriate textbook) Quantum corrections Linear mixing rule (intuitive)

Classical nonideal free energy:

Linear mixing rule [based on MC simulations, see corrections in liquid phase in Potekhin et al. (2009)]

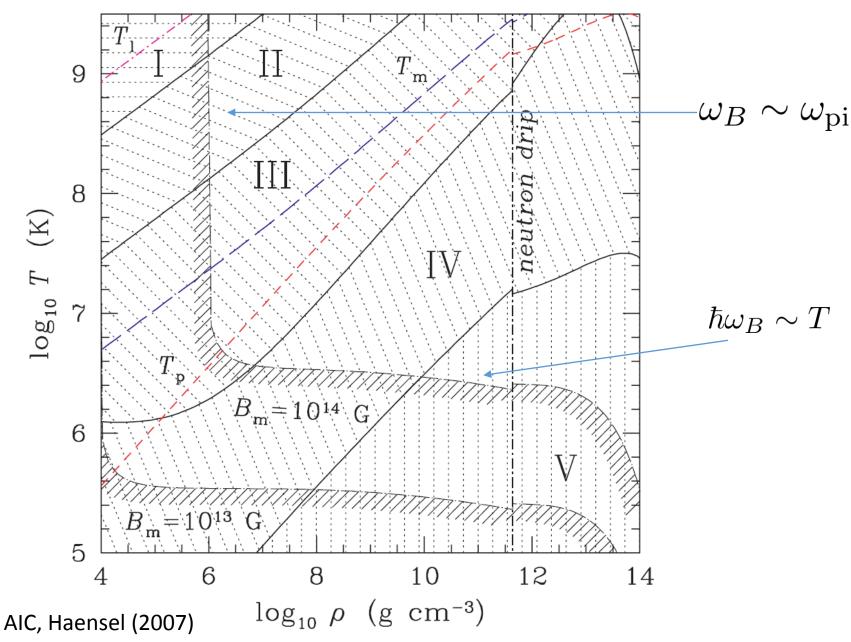
$$f_{\rm i} = \frac{F_{\rm i}}{NT} = \sum_{i} \frac{N_i f_i^{\rm OCP}(\Gamma_i)}{N}, \quad \Gamma_i = \frac{Z^2 e^2}{a_i}, \quad a_i = (4\pi Z_i n_{\rm e}/3)^{-1/3}$$

Uniform phase or separation (phases with different compositions)

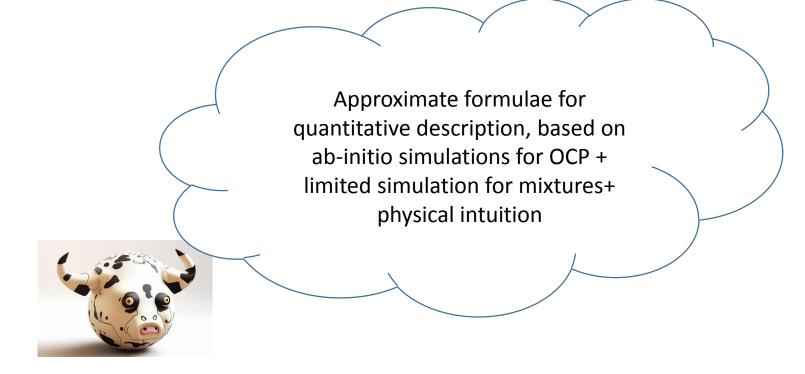
«Competition» of (ideal) mixing entropy and nonideal parts (=correction to the linear mixing)

In crystallized phase correction to the linear mixing are strong and can lead to separation, as in a terrestrial conditions (Baiko 2022, 2023; for applications for WD cooling)

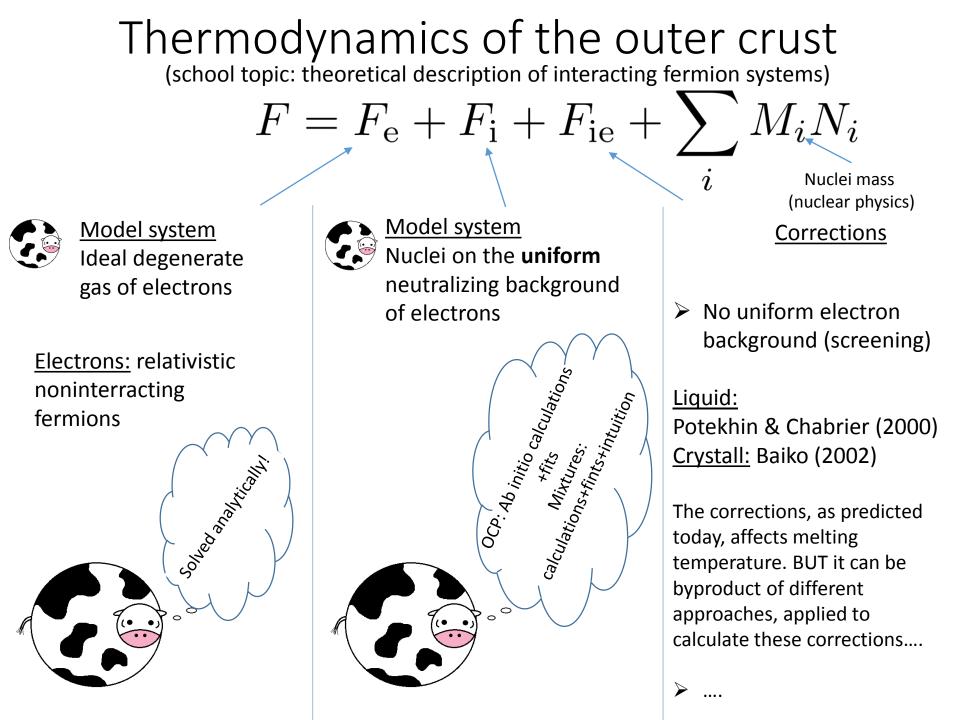
Effects of magnetic field on nuclei



Thermodynamics of nuclei in outer crust (multicomponent Coulomb plasma)



Complex physics, as in a case of terrestrial multicomponent matterials



Elasticity of (outer) crust

Some key questions

[from INT workshop INT-18-71W, Astro-Solids, Dense Matter, and Gravitational Waves, April 16-20, 2018]

What is the minimum, typical, and maximum ellipticity one should expect?
What is the strength (breaking strain) of the crust?

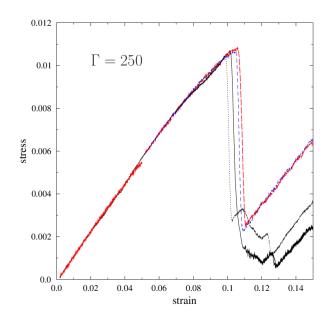
- How does strain evolve in the crust and how does it break?

- What are the implications of observed upper limits on the ellipticity?

- At what point do upper limits become "interesting" (e.g. constrain theory)?

 What are possible mountain building mechanisms (such as asymmetric accretion, temperature gradients, magnetic stress...)?

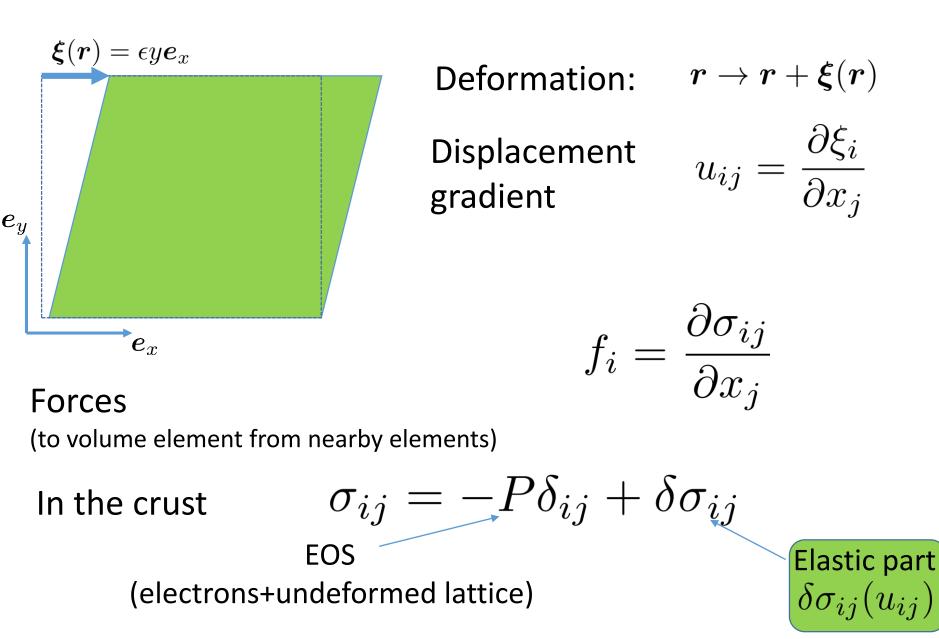




Typical stress-strain curves [MD simulations]

Shear modulus can be calculated analytically (in reasonable approximation)

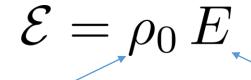
Introduction: Theory of Elasticity



<u>Reminder</u>: Traditional case P = 0

Taylor expansion for energy:

$$\delta^{(2)}\mathcal{E} = \frac{1}{2}S_{ijkl}u_{ij}u_{kl}$$



Density at not deformed state,

Energy per unit mass at given deformation

i.e. corresponding to

$$\boldsymbol{\xi} = 0 \; \Rightarrow u_{ij} = 0$$

Generally, \mathcal{E} is not equal to the energy density

<u>Reminder</u>: Traditional case P = 0

$$\delta^{(2)}\mathcal{E} = \frac{1}{2}S_{ijkl}u_{ij}u_{kl}$$

$$\sigma_{ij} = \frac{1}{2}B_{ijkl}(u_{kl} + u_{lk})$$

$$P = 0 \Rightarrow \qquad S_{ijkl} = B_{ijkl}$$

Voigt symmetry: S

$$S_{ijkl} = S_{jikl} = S_{klij}$$

- up to 21 coefficients

<u>Reminder</u>: Traditional case P = 0

$$\delta^{(2)} \mathcal{E} = \frac{1}{2} S_{ijkl} u_{ij} u_{kl}$$
$$\sigma_{ij} = \frac{1}{2} B_{ijkl} \left(u_{kl} + u_{lk} \right)$$

For cubic crystal 3 independent coefficients:

$$c_{11} = B_{xxxx}, \quad c_{12} = B_{xxyy}, \quad c_{44} = B_{xyxy}$$

Isotropic material: $\delta^{(2)}\mathcal{E} = \mu \left(u_{ik} - \frac{1}{3}\delta_{ik}u_{ll}\right)^2 + \frac{K}{2}u_{ll}^2$

Neutron star crust: $P \neq 0$ [Wallace (1967), Baiko (2011), see also Marcus & Qiu (2009)]

$$\delta \mathcal{E} = -P \delta_{ij} u_{ij} + \frac{1}{2} S_{ijkl} u_{ij} u_{kl}$$
$$\delta \sigma_{ij} = \frac{1}{2} B_{ijkl} (u_{kl} + u_{lk})$$
$$P \neq 0 \Rightarrow \qquad S_{iklm} \neq B_{iklm}$$

Voigt symmetry:

$$B_{ijkl}=B_{jikl}=B_{klij}$$
 - up to 21 coefficients

Elasticity under finite pressure

[Wallace (1967), Baiko (2011), see also Marcus & Qiu (2009)]

$$\delta \mathcal{E} = -P\delta_{ij}u_{ij} + \frac{1}{2}S_{ijkl}u_{ij}u_{kl}$$
Associated with $\delta E = -P\delta V$

$$\delta \sigma_{ij} = \frac{1}{2}B_{ijkl}(u_{kl} + u_{lk})$$

$$B_{ijkl} = S_{ijkl} - P\left(\delta_{il}\delta_{jk} - \delta_{ij}\delta_{kl}\right)$$
Associated with $-P\delta^{(2)}V$, i.e. change of the volume

(compression) in the second order of strain (deformation); it does not associated with changes of the stress

The elastic stresses are linear over displacements

Example: isotropic elastic material

$$B_{ijkl} = K\delta_{ij}\delta_{kl} + \mu\left(\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk} - \frac{2}{3}\delta_{ij}\delta_{kl}\right)$$

The same form as for P = 0

$$S_{ijkl} = B_{ijkl} + P \left(\delta_{il}\delta_{jk} - \delta_{ij}\delta_{kl}\right)$$

= $(K - P) \delta_{ij}\delta_{kl} + \mu \delta_{ik}\delta_{jl}$
+ $(\mu + P) \delta_{il}\delta_{jk} - \frac{2\mu}{3}\delta_{ij}\delta_{kl}$

Example: isotropic elastic material

$$B_{ijkl} = K\delta_{ij}\delta_{kl} + \mu\left(\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk} - \frac{2}{3}\delta_{ij}\delta_{kl}\right)$$

$$\delta\sigma_{ij} = \frac{1}{2}B_{ijkl} \left(u_{kl} + u_{lk}\right)$$
$$= K\delta_{ij}u_{ll} + \frac{\mu}{2}\left(u_{ik} + u_{ki} - \frac{2}{3}\delta_{ik}u_{ll}\right)$$

Equation for variation of the stress tensor have standard form (same as for vanishing pressure at non-deformed state)

 B_{ijkl} S_{ijkl}

Is the most useful for neutron star applications (allows to calculate stresses, but not the energies!!!)

Is useful for microphysical calculations

Basic model and scaling

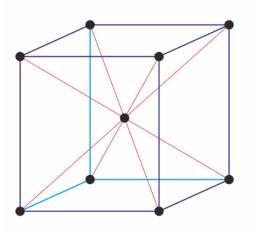
[one component crust – all ions are equal]

$$\delta\sigma_{ij} = \frac{Z^2 e^2}{a} n \,\delta\tilde{\sigma}_{ij} \left(u_{ij}, \Gamma = \frac{Z^2 e^2}{aT}, \frac{T}{\hbar\omega_P}, ak_{\rm TF}, \ldots \right)$$

Point charges, TF screening:

$$a = (4\pi n/3)^{-1/3}$$

Ions form BCC lattice



(Arbitrary) uniform compression/expansion does not lead to breaking (but can initiate nuclear reactions)

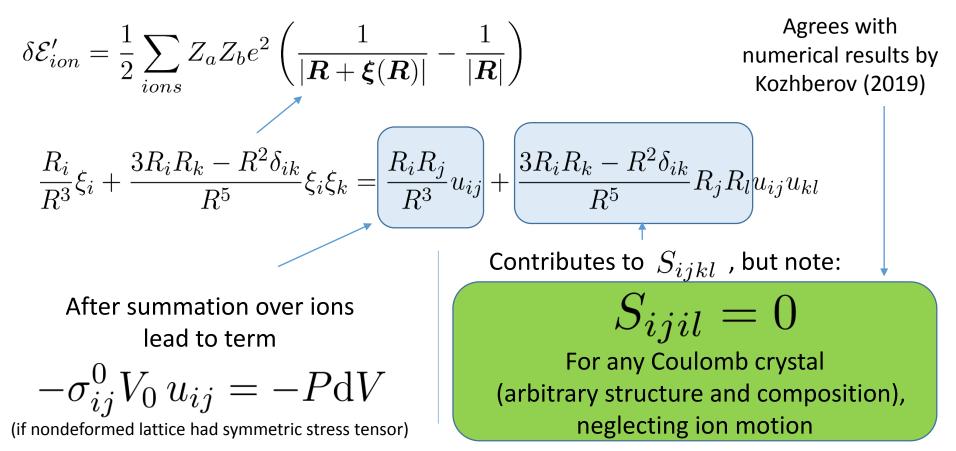
<u>Beyond scope:</u> Realistic (Jancovici 1962) electron screening [Baiko 2002]

Hidden symmetry of elasticity tensor

Uniform deformation at microphysical level

 $\boldsymbol{R} \to \boldsymbol{R}' = \boldsymbol{R} + \boldsymbol{\xi}(\boldsymbol{R}), \quad \xi_i = u_{ij}R_j$

Change in energy (ion-ion interaction for example)

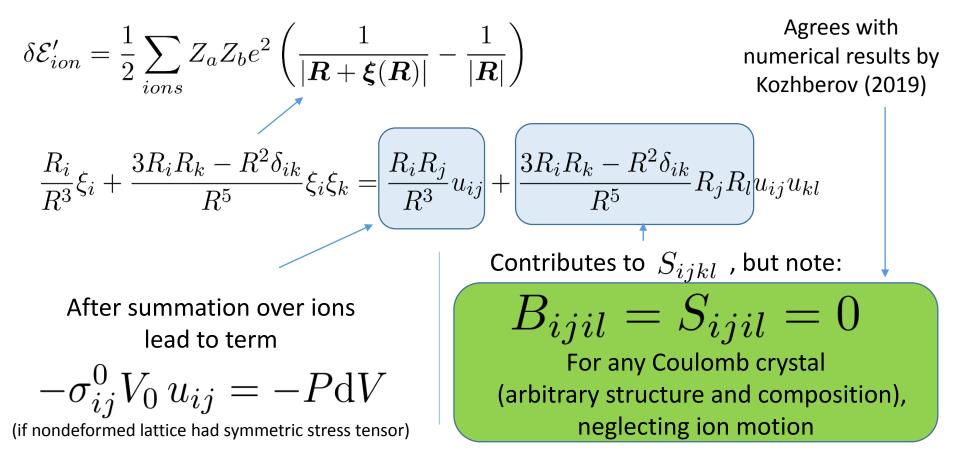


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Small deformations: Polycrystal

Polycrystalline matter, made of large number of single crystals, should be isotropic.

$$\delta\sigma_{ij} = \mu_{\text{eff}} \left(u_{ik} + u_{ki} - \frac{2}{3} \delta_{ij} u_{ll} \right) + K u_{ll} \delta_{ij}$$

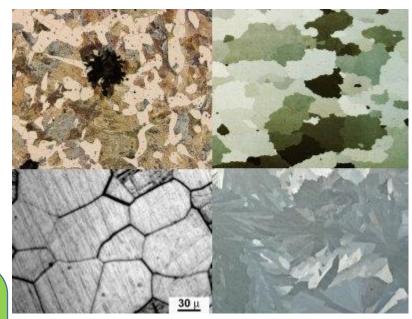
Shear modulus

Compression modulus

Landau&Lifshitz, vol. 7: "The relation between the elastic properties of the whole crystal and those of components crystallites depends on actual form of the latter and the amount of correlation of their mutual orientation."

Ogata&Ichimaru (1990): (Uniform deformation=Voigt average)

Kobyakov&Pethick (2015): (self consistent theory by Eshelby 1961)



[From Wikipedia]

lifference

 $\mu_{\text{eff}}^{\text{V}} = 0.120 \frac{Z^2 e^2}{a} n$ $\mu_{\text{eff}}^{\text{sc}} = 0.093 \frac{Z^2 e^2}{a} n$

Accumptions

(1) uniform distribution of crystallite orientations
(2) uniform deformation within whole polycrystalline matter

$$\delta^{(2)}\mathcal{E} = \sum_{c} \frac{V_{c}}{2V} S_{ijkl}^{c} u_{ij} u_{kl} = \sum_{c} \frac{V_{c}}{2V} S_{mnop} R_{im}^{c} R_{jn}^{c} R_{ko}^{c} R_{lp}^{c} u_{ij} u_{kl} = S_{ijkl}^{V} u_{ij} u_{kl}$$

$$\mathbf{V}$$

$$\mathbf{V}$$

$$\mathbf{V}$$

$$\mathbf{V}$$

$$\mathbf{V}$$

$$\mathbf{R}_{ijkl} = \left\langle S_{mnop} R_{im}^{c} R_{jn}^{c} R_{ko}^{c} R_{lp}^{c} \right\rangle$$

$$\mathbf{R}_{ik} R_{il} = \delta_{kl}$$
Rotation matrix, required to rotate crystal axis to the lab system

Following convolutions are invariants (see, e.g. D. Blaschke 2017)

$$S_{iijj}^{V} = \langle S_{mnop} R_{im} R_{in} R_{jo} R_{jp} \rangle = \langle S_{mnop} \delta_{mn} \delta_{op} \rangle = S_{iijj}$$
$$S_{ijij}^{V} = \langle S_{mnop} R_{im} R_{jn} R_{io} R_{jp} \rangle = \langle S_{mnop} \delta_{mo} \delta_{np} \rangle = S_{ijij}$$

Voigt average gives an upper limit for elastic tensor (nonunifrom deformation of crystallites, in principle, can reduce the energy)

Assumptions: (1) uniform distribution of crystallite orientations (2) uniform deformation within polycrystalline

$$\delta^{(2)}\mathcal{E} = \sum_{c} \frac{V_{c}}{2V} S_{ijkl}^{c} u_{ij} u_{kl} = \sum_{c} \frac{V_{c}}{2V} S_{mnop} R_{im}^{c} R_{jn}^{c} R_{ko}^{c} R_{lp}^{c} u_{ij} u_{kl} = S_{ijkl}^{V} u_{ij} u_{kl}$$

$$S_{ijkl}^{V} = \langle S_{mnop} R_{im} R_{jn} R_{ko} R_{lp} \rangle$$

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$$S_{ijij}^{V} = \langle S_{mnop} R_{im} R_{jn} R_{io} R_{jp} \rangle = \langle S_{mnop} \delta_{mo} \delta_{np} \rangle = S_{ijij}$$

For Coulomb crystals (neglecting ion motion): $S_{ijij} = 0 \Rightarrow S_{ijij}^V = 0$ (can be also shown in the same way as for monocrystal, by uniform deformation of polycrystal)

Isotropic elastic tensor:

$$B_{ijkl}^{V} = \lambda \delta_{ij} \delta_{kl} + 2\mu_{\text{eff}}^{V} \left(\delta_{ik} \delta_{jl} - \delta_{il} \delta_{jk} \right), \quad K = \lambda + \frac{2}{3} \mu_{\text{eff}}^{V}$$

$$I$$

$$B_{ijij}^{V} = 3\lambda + 12\mu_{\text{eff}}^{V}$$

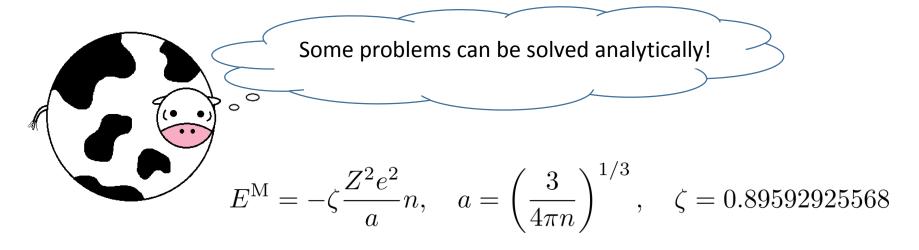
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For Coulomb crystals (neglecting ion motion):

$$B_{ijij}^{V} = S_{ijij}^{V} = 0 \implies \lambda = -4 \,\mu_{\text{eff}}^{V}; \ K = -\frac{10}{3} \,\mu_{\text{eff}}^{V}$$

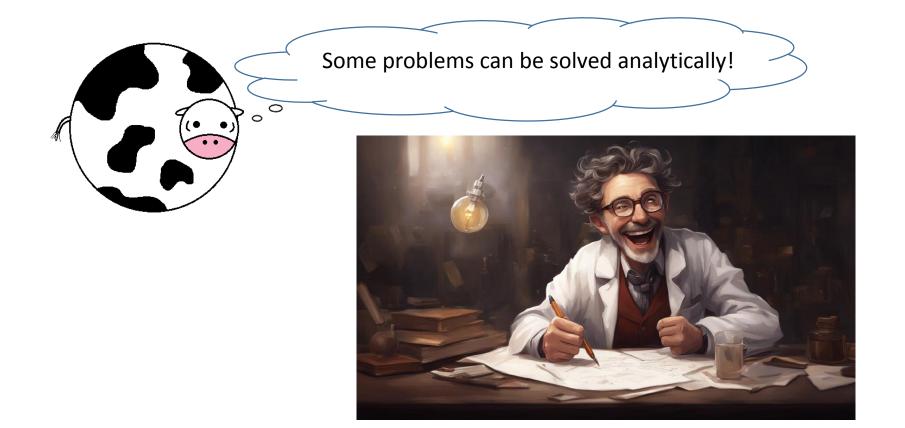
$$E^{M} \propto \frac{n}{a_{\text{e}}} \propto n^{4/3} \Rightarrow P = \frac{1}{3}E, \ K = n\frac{\text{d}P}{\text{d}n} = \frac{4}{9}E^{M}$$

 $\mu_{\text{eff}}^{V} = -\frac{2}{15}E^{M}$ For any Coulomb crystal (arbitrary structure and composition)



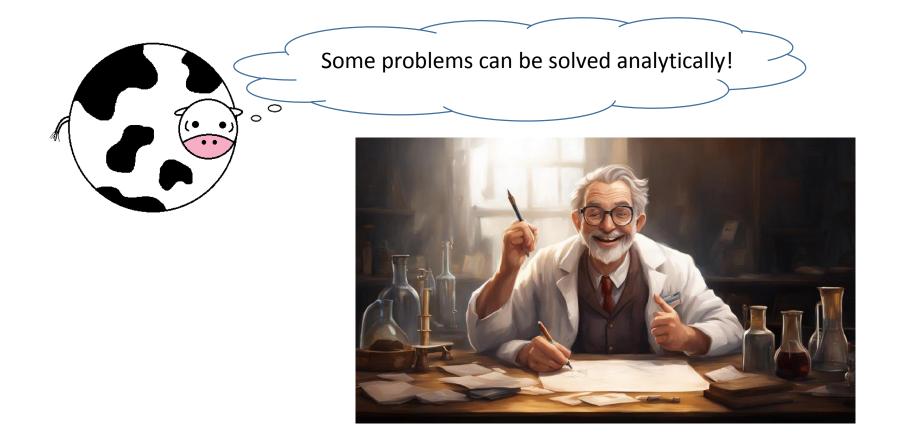
 $\mu_{\rm eff}^{\rm V} = \frac{2\,\zeta}{15} \frac{Z^2 e^2}{a} n = 0.11945723409 \frac{Z^2 e^2}{a} n$

 $\mu_{\rm eff}^V = -\frac{2}{15} E^M \qquad \mbox{For any Coulomb crystal} \mbox{(arbitrary structure and composition)}$



$$\mu_{ ext{eff}}^V = -rac{2}{15}E^M$$
 (arbitrary s

For any Coulomb crystal (arbitrary structure and composition)



$$\mu_{\rm eff}^V = -\frac{2}{15} E^M$$

For any Coulomb crystal (arbitrary structure and composition)

Note for students

If you calculate two quantities and they coincide up to several digits without any known reason

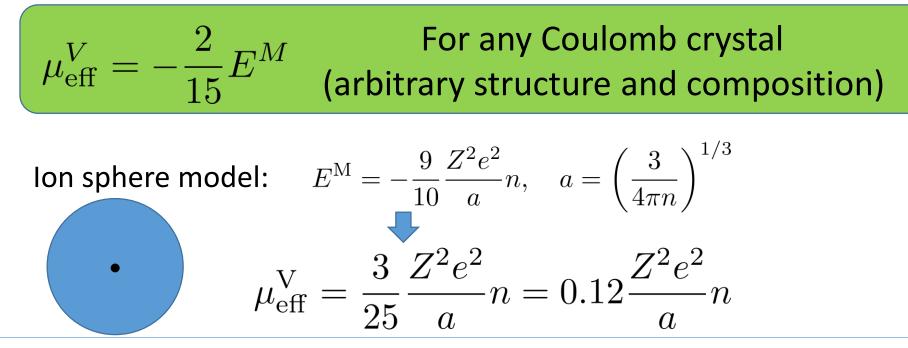
- > Try to understand why
- Report to scientific advisor

If the same holds true for two functions – you are lucky. Almost for sure there are something behind it. Probably, it allows to make theory even simplier.

"Everything should be made as simple as possible, but not simpler"

Attributed to Albert Einstein

Elasticity: analytical formulae

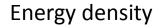


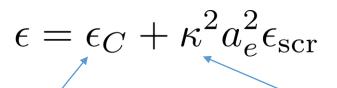
Multicomponent crystal: Linear mixing rule

$$E^{\rm M} = -\frac{9}{10} \sum_{i} \frac{Z_i^{5/3} e^2}{a_e} n_i, \quad a_e = \left(\frac{3}{4\pi n_e}\right)^{1/3}$$

$$\mu_{\text{eff}}^{\text{V}} = \frac{3}{25} \sum_{i} \frac{Z_i^{5/3} e^2}{a_e} n_i = 0.12 \sum_{i} \frac{Z_i^{5/3} e^2}{a_e} n_i$$
For arbitrary structure and composition of crystallites (no metallurgy for the crust!)

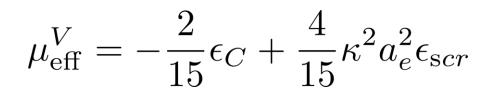
Screening corrections for elasticity Thomas-Fermi approximation





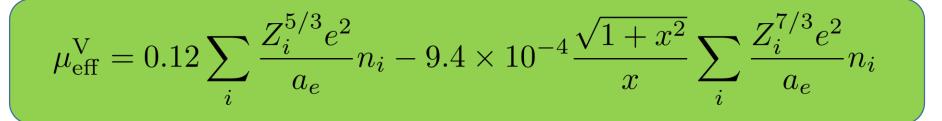
Uniform background

Screening wave number



Ion sphere model:

$$\epsilon_C = -\frac{9}{10} \sum_i \frac{Z_i^{5/3} e^2}{a_e} n_i; \quad \epsilon_{\rm scr} \approx -0.103 \sum_i \frac{Z_i^{7/3} e^2}{a_e} n_i$$



Crust of compact stars Summary of lecture 2.

- > Thermodynamics of the outer crust: $F = F_{e} + F_{i} + F_{ie} + \sum_{i} M_{i}N_{i}$
- ✓ Electrons: analytical Sommerfeld expansion
- ✓ Nuclei (ions):
- Done, within one component approximation (fits of ab initio simulations)
- Extrapolations to many components, based on limited ab initio simulations
- □ Corrections: a bit controversially in liquid and solid state. Is it real?
- Crust elasticity
- ✓ Finite pressure should be taken into account
- Effective shear modulus can be calculated analytically (within static (+Voigt) approximation)
- Corrections for quantum and thermal motion of nuclei (Baiko 2012)

Lecture 3

- Inner crust
- Compressible liquid drop model
- Extended Thomas-Fermi calculations and pasta phases
- Finite nuclei size corrections for elasticity

Диаграмма температура-плотность

