

Charm physics in NA61/SHINE

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for NA61/SHINE
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S·HINE

SUISSE
FRANCE

CMS

LHCb

ATLAS

CERN Meyrin

CERN Evry

SPS 7 km

ALICE

LHC 27 km

NA61/SHINE (SPS Heavy Ion and Neutrino Experiment) is a multi-purpose spectrometer optimised to study hadron production in different types of collisions: $p+p$, $p+A$, $A+A$.

- 1 Motivation of open charm measurements
- 2 First measurements in NA61/SHINE
- 3 Precise open charm studies after Long Shutdown 2

Motivation of open charm measurements

Motivation of open charm measurements

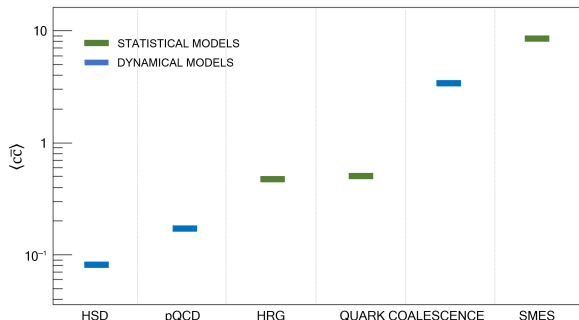
Three main questions that motivate open charm measurements at the CERN SPS:

- ① What is the mechanism of open charm production?
- ② How does the onset of deconfinement impact open charm production?
- ③ How does the formation of quark-gluon plasma impact J/ψ production?

To answer these questions **mean number of charm quark pairs** $\langle c\bar{c} \rangle$ produced in the full phase space in A+A collisions has to be known. Up to now corresponding experimental data **does not exist**.

Models of charm production

Predictions for $\langle c\bar{c} \rangle$ in central Pb+Pb collisions at beam momentum of 158A GeV/c differ by about **two orders of magnitude**.



HSD:

Linnyk, Bratkovskaya, Cassing, IJMP E17 1367.

pQCD:

Gavai *et al.* IJMP A 10 2999.
Braun-Munzinger, J. Stachel, PL B 490, 196.

HRG, Quark Coalesc. Stat.:

Gorenstein, Kostyuk, Stoecker, Greiner, PL B 509, 277.

Quark Coalesc. Dyn.:

Levai, Biro, Csizmadia, Csorgo, Zimanyi, JP G 27, 703.

SMES:

Gazdzicki, Gorenstein, APP B30, 2705.

Charm yield as the signal of deconfinement

Phase Transition: $T_c \approx 150$ MeV

confined matter \longrightarrow

$D\bar{D}$ mesons \longrightarrow

$2M \approx 3.7$ GeV \longrightarrow

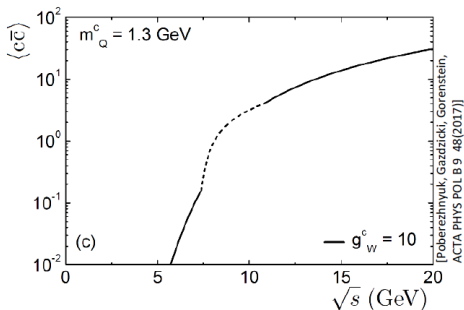
quark-gluon plasma

(anti-)charm quarks

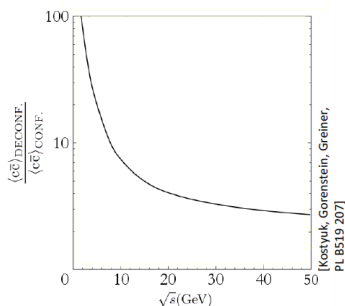
$2m \approx 2.6$ GeV

central Pb+Pb collisions

Statistical Model of Early Stage

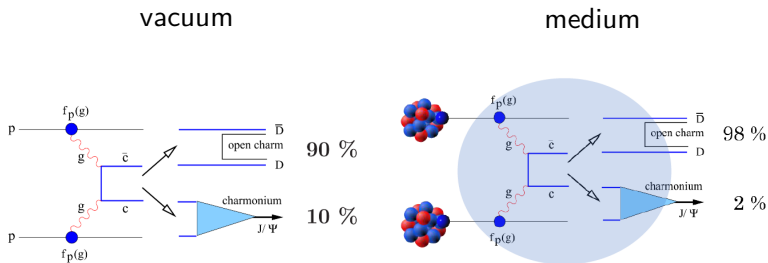


QCD-inspired calculations



J/ψ suppression as the signal of deconfinement

Open charm and J/ψ production within Matsui-Satz model [PL B178 416]



[Satz, Adv. High Energy Phys. 2013 (2013) 242918]

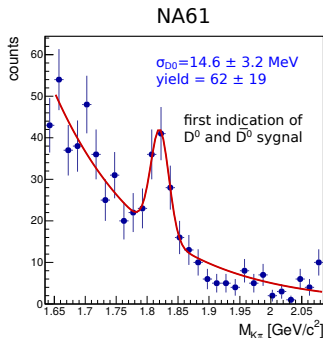
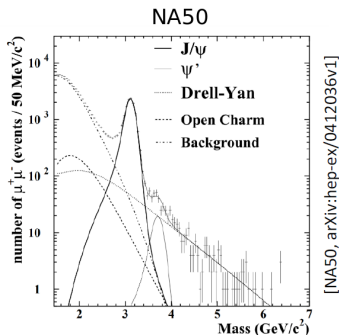
$$P(c\bar{c} \rightarrow J/\psi) \equiv \frac{\langle J/\psi \rangle}{\langle c\bar{c} \rangle} \equiv \frac{\sigma_{J/\psi}}{\sigma_{c\bar{c}}}$$

Medium reduces probability of J/ψ production.

J/ψ suppression as the signal of deconfinement

Calculation of $P(c\bar{c} \rightarrow J/\psi)$ requires data on:

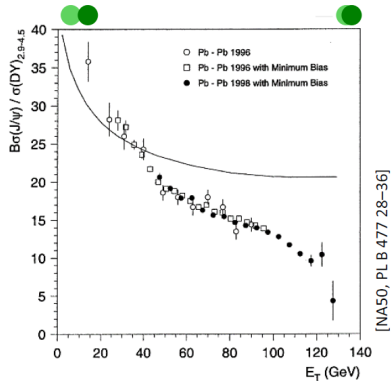
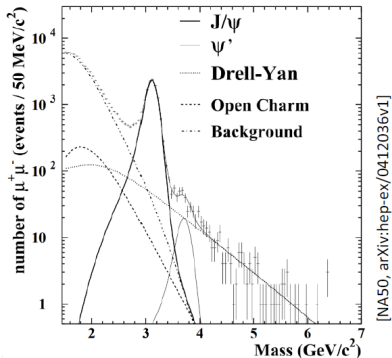
- $\langle J/\psi \rangle$ - precise data at SPS by NA38, NA50, NA60
- $\langle c\bar{c} \rangle$ - can be estimated from open charm measurements started by NA61/SHINE



central Pb+Pb at 150-158A GeV/c

J/ψ production at CERN SPS

Data on J/ψ production has been normalized by the Drell-Yan yield



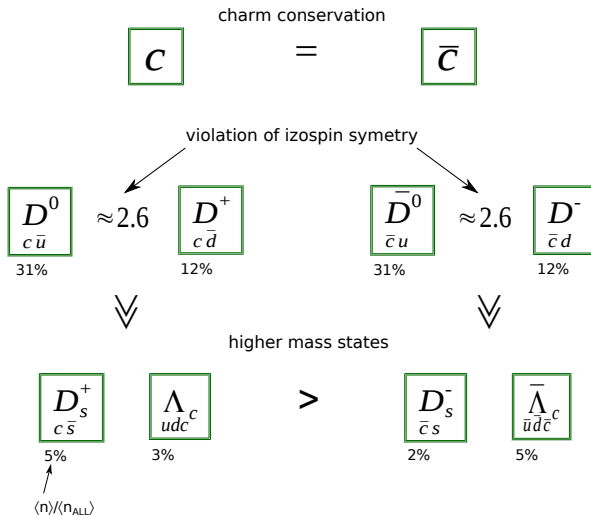
Interpretation of these results is based on assumption:

$$\langle c\bar{c} \rangle \sim \langle DY \rangle$$

First measurements in NA61/SHINE

Open charm distribution

0-20% Pb+Pb at 158 GeV/c

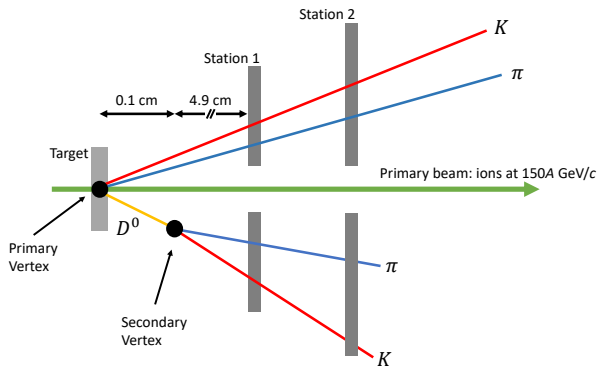


What can NA61/SHINE measure?

- $D^0 \rightarrow \pi^+ + K^-$
 $\bar{c}\tau \approx 123 \mu\text{m}$
 BR = 3.89%
- $D_s^+ \rightarrow \pi^+ + K^+ + K^-$
 $\bar{c}\tau \approx 150 \mu\text{m}$
 BR = 5.5%
- $D^+ \rightarrow \pi^+ + \pi^+ + K^-$
 $\bar{c}\tau \approx 312 \mu\text{m}$
 BR = 9.22%
- $\Lambda_c^+ \rightarrow p + \pi^+ + K^-$
 $\bar{c}\tau \approx 60 \mu\text{m}$
 BR = 5.0%

Up to now only $\langle D^0 \rangle$ measurements were tested, but after Long Shutdown 2 at CERN (2019-2020) NA61/SHINE will be able to measure all of the most popular carriers of c and \bar{c} quarks.

Open charm measurement concept



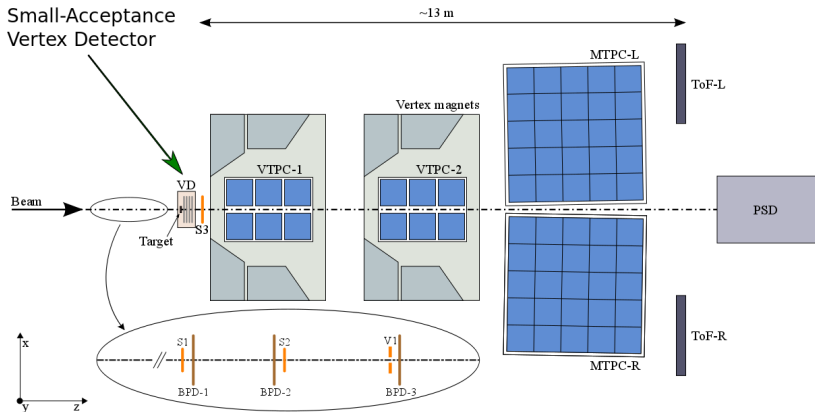
$$D^0 \rightarrow \pi^+ + K^-$$

$$\bar{c}\tau \approx 123 \mu\text{m}$$

$$\text{BR} = 3.89\%$$

Vertex Detector is needed to reconstruct primary vertex and secondary vertices with high precision.

NA61/SHINE detector



Small Acceptance Vertex Detector (SAVD)

Small Acceptance Vertex Detector introduced in 2016:

- 16 CMOS MIMOSA-26 sensors located on two horizontally movable arms
- target holder integrated

Achieved goals:

- tracking in large track multiplicity environment
- precise primary vertex reconstruction
- TPC-SAVD track matching
- first search of D^0 and \bar{D}^0 signal

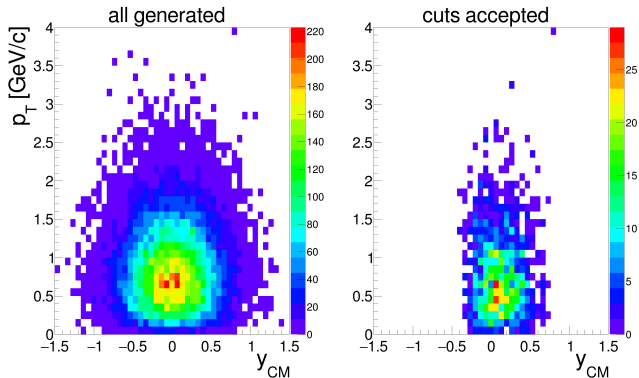


Thanks to:



Acceptance of SAVD

AMPT simulations for central Pb+Pb collisions at 150A GeV/c
SAVD reconstructs 5% out of all $D^0 \rightarrow \pi^+ + K^-$ decays

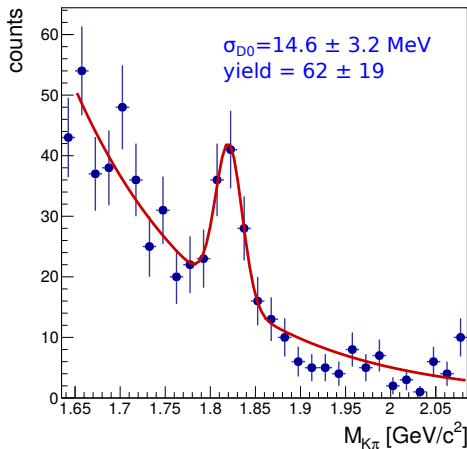


Test data taking – December 2016

Pb+Pb at 150A GeV/c



First indication of D^0 and \bar{D}^0 peak

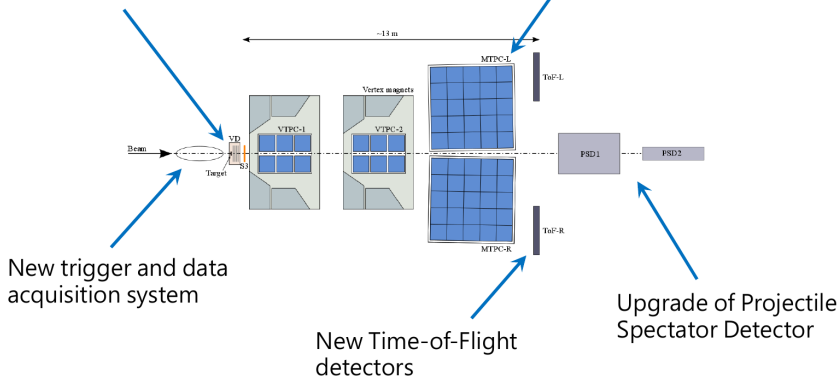


Precise open charm studies after Long Shutdown 2

NA61/SHINE upgrades

Construction of Vertex Detector (VD)
for D^0 , \bar{D}^0 decay reconstruction

Replacement of the TPC
read-out electronics
to increase data rate to 1 kHz



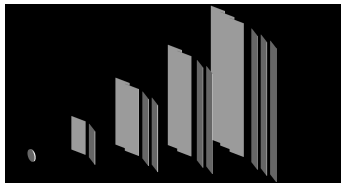
NA61/SHINE Vertex Detector

General requirements:

- precise vertex measurement
- fast detectors with high granularity
- low material budget
- large acceptance

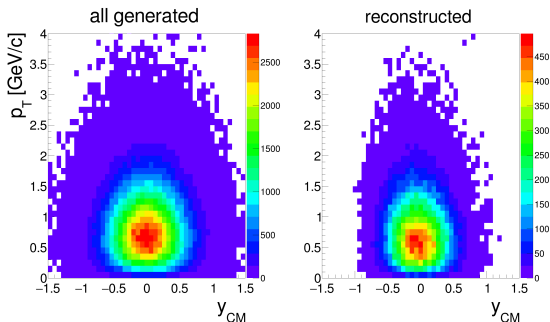
Technology developed for ALICE ITS – ALPIDE sensors:

- very low noise
- fast readout
- two possible working modes:
continuous and triggered readout



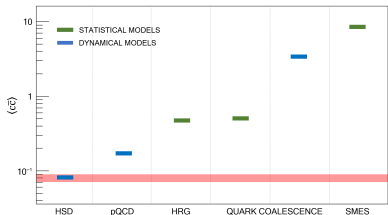
Acceptance of NA61/SHINE VD

AMPT simulations for central Pb+Pb collisions at 150A GeV/c
 VD reconstructs 13% out of all $D^0 \rightarrow \pi^+ + K^-$ decays
 Corrected results will refer to >90% of total open charm yield

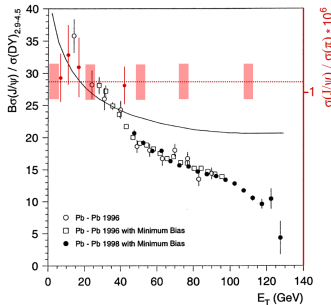
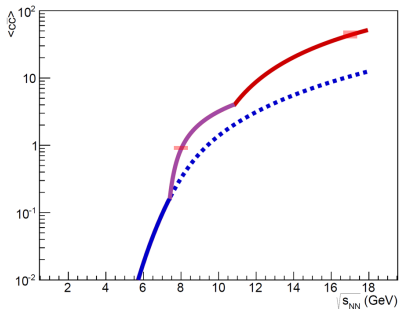


Total systematic uncertainty of $\langle D^0 \rangle$ and $\langle \bar{D}^0 \rangle$ is expected to be about 10%.

Impact of NA61/SHINE open charm measurements



accuracy of
NA61 2020+ result



Summary

NA61/SHINE charm program addresses the following questions:

- ① What is the mechanism of open charm production?
- ② How does the onset of deconfinement impact open charm production?
- ③ How does the formation of quark-gluon plasma impact J/ψ production?

To answer these questions NA61/SHINE is planning to perform precise measurements of mean multiplicity of charm quark pairs after Long Shutdown 2.

Only NA61/SHINE can perform this measurement in the near future.

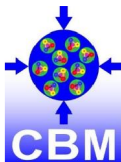
VD - team

- Kraków (Jagiellonian University):
P. Staszek (Project coordinator), Y. Ali, D. Larsen, A. Merzlaya
- Kraków (AGH): M. Baszczyk, P. Dorosz, W. Kucewicz, Ł. Mik
- GU Frankfurt: M. Deveaux, M. Gaździcki, M. Koziel, A. Snoch
Thanks to: P. Klaus, J. Michel, M. Wiebusch
- Warsaw: A. Aduszkiewicz, W. Bryliński, D. Tefelski
- St. Petersburg State University: G. Feofilov
- ETH Zürich: S. Di Luise

and many others...



ALICE



PICSEL Group

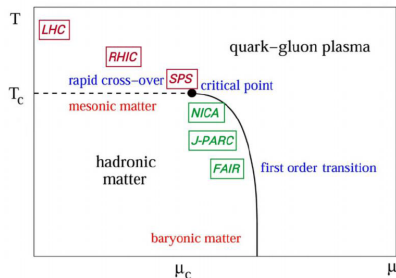


TRB - collaboration

Thank you!!!

Backup

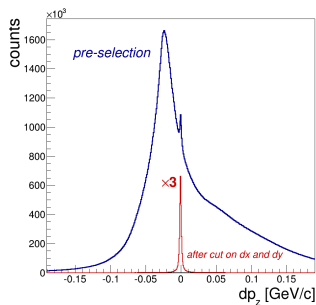
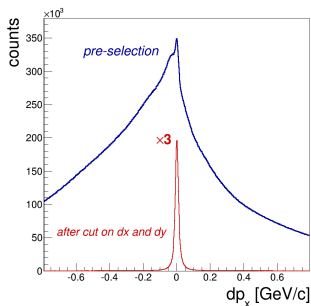
Landscape of present and future heavy ion experiments

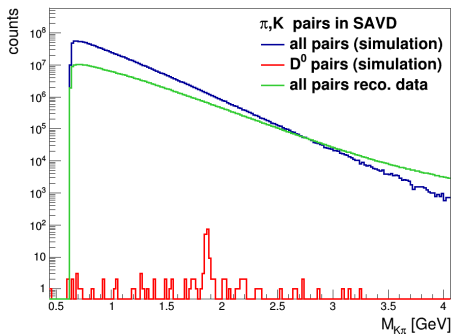


NA61/SHINE is the only experiment which is able to measure open charm production in heavy ion collisions in full phase space in the near future.

- LHC and RHIC at high energies: measurement in small phase space due to collider geometry and kinematics
- RHIC BES collider: measurement not possible due to collider geometry and kinematics
- RHIC BES fixed-target: measurement require dedicated setup – not under consideration
- NICA ($<80A$ GeV/c): measurement during stage 2 under consideration
- J-PARC ($<20A$ GeV/c): maybe possible after 2025
- FAIR ($<10A$ GeV/c): not possible

- extrapolate SAVD and TPC tracks to the common surface
- preselection: cut on y-slope of tracks
- After cut on dx and dy clear correlation peaks are visible in dp_x and dp_y distributions

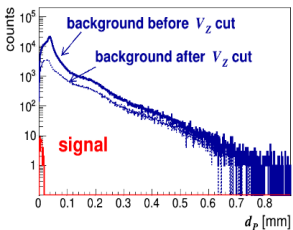
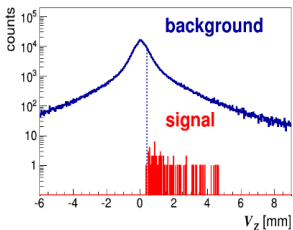
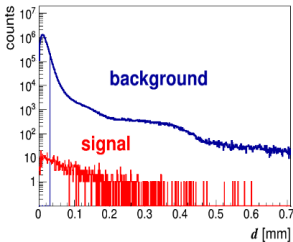
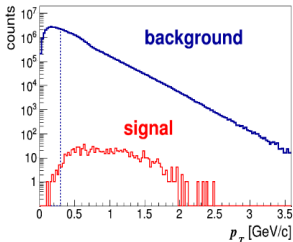




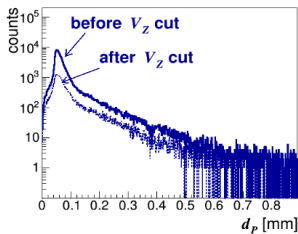
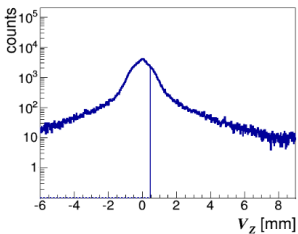
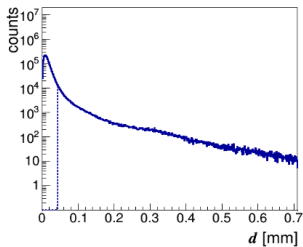
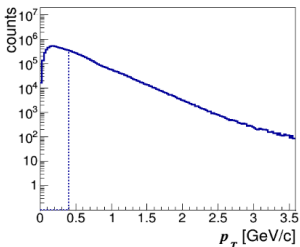
Combinatorial background is reduced by the cuts on:

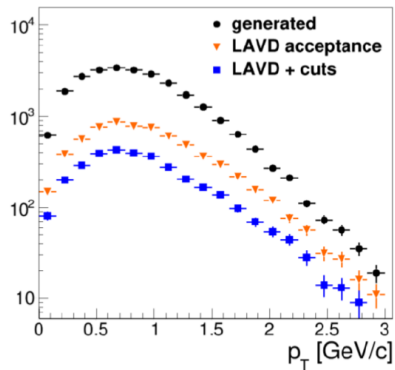
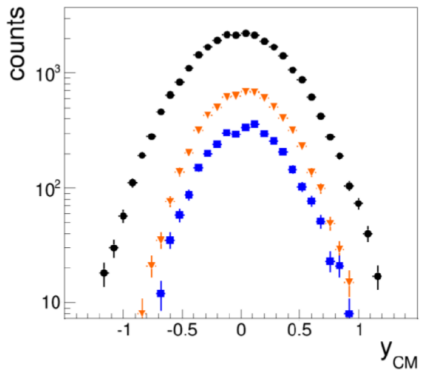
- track transverse momentum
- track impact parameter
- longitudinal distance between primary and secondary vertices
- pair impact parameter

simulation



data

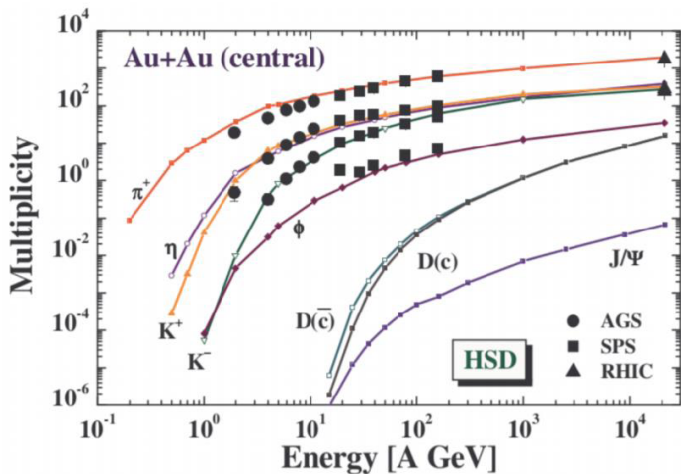




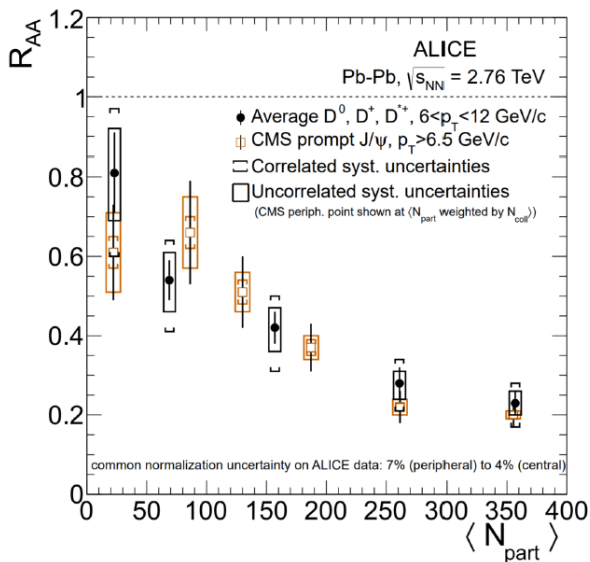
4% detection efficiency is calculated in respect to all D_0 s in that decayed to π^+K channel, so it includes:

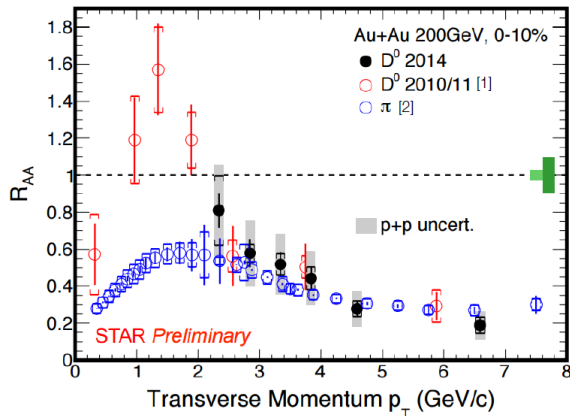
- suppression due to two particle combined acceptance of SAVD and VTPC1+VTPC2.
- suppression due to matching efficiency between SAVD and VTPCs (98% in simulation)
- suppression due to background suppression cuts. These cuts suppress background by factor of 10^6 (in the D_0 invariant mass region) and $D_0 \rightarrow \pi^+K$ by factor of about 2.

Full version has efficiency of 12% mostly due to increase of the combined LAVD + VTPC1+VTPC2 acceptance for $D_0 \rightarrow \pi^+K$ by factor of 3.

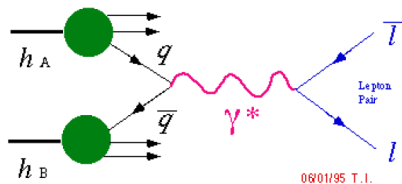


[Linnyk, Bratkovskaya, Cassing, IJMP E17 1367]





The Drell-Yan Process



- lepton pair production in hard EM interactions of two hadrons
- process not influenced by QGP production
- $\langle DY \rangle \sim N_{coll}$

Example of J/ψ normal nuclear absorption:

$$J/\psi + h \rightarrow D + \bar{D} + X$$

$$J/\psi + \pi \rightarrow D + \bar{D}$$

- 1152x576 pixels of $18.4 \times 18.4 \mu m^2$
- readout time: $115.2 \mu s$
- $50 \mu m$ thin
- SAVD: 16 sensors; $32 cm^2$; 10 MPixel

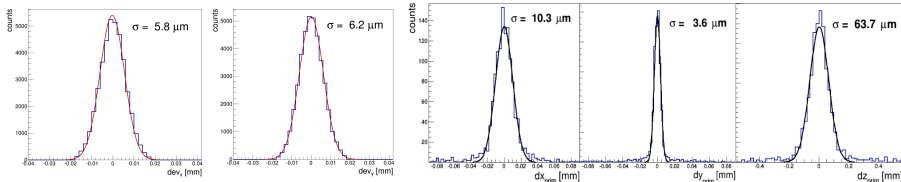
Data taking with Small Acceptance Vertex Detector before Long Shutdown 2:

- December 2016 – test run for SAVD – Pb+Pb at 150A GeV/c
- November 2017 – Xe+La at 150A GeV/c and 75A GeV/c
- 2018 – pilot data taking – Pb+Pb at 150A GeV/c

From the analysis of collected Pb+Pb data:

- Clusters spacial resolution: $\sigma_{x,y}(Cl) \approx 5 \mu m$
- Primary Vertex resolution:
 - $\sigma_x(PV) \approx 5 \mu m$
 - $\sigma_y(PV) \approx 1.8 \mu m$
 - $\sigma_z(PV) \approx 30 \mu m$

$\sigma_x(PV) > \sigma_y(PV)$ due to magnetic field difference: $B_y > B_x \approx 0$



Vertex Detector software

Data reconstruction

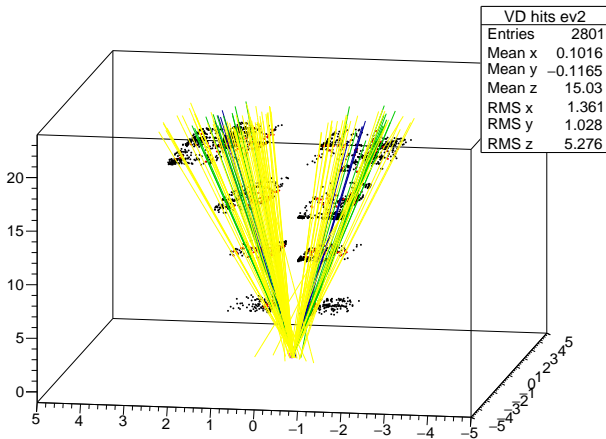


- **Geometry tuning**
- Track finding
- Primary Vertex Reconstruction
- SAVD-TPC track matching
- analysis with full particles information

SAVD geometry tuning

Geometry tuning:

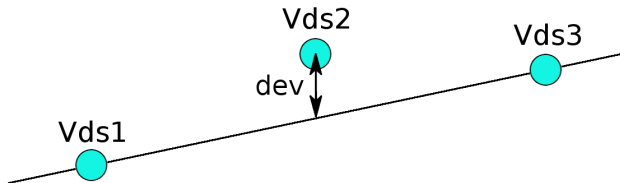
- finding the corrections for the sensor positions
- using tracks reconstructed without magnetic field



Deviation variable definition

In order to define the collinearity of three hits, the variable “dev” was introduced:

$$\begin{aligned} \text{dev}_x &= \frac{x_1+x_3}{2} - x_2 \\ \text{dev}_y &= \frac{y_1+y_3}{2} - y_2 \end{aligned}$$

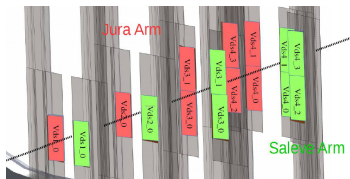


Generally, the problem is to minimise the sum of deviations – minimisation of function of 48 parameters (8 sensors; each has 6 degrees of freedom).

Geometry tuning – algorithm

VD Tracks Names:

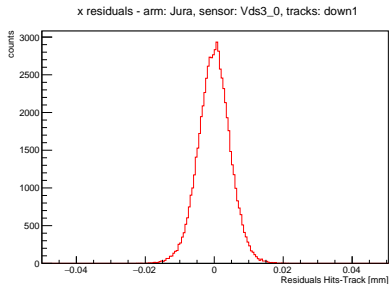
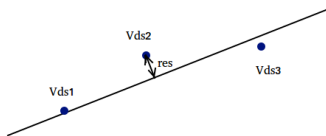
- down1: Vds1_0, Vds2_0, Vds3_0, Vds4_0;
 - down2: Vds1_0, Vds2_0, Vds3_0, Vds4_2;
 - up1: Vds1_0, Vds2_0, Vds3_1, Vds4_1;
 - up2: Vds1_0, Vds2_0, Vds3_1, Vds4_3;
- fix the position of first sensor (reference);
 - loop over down1 track candidates, calculate the sum of “dev” values, minimise the obtained sum using the MINUIT package by changing the offsets and rotation corrections of included sensors;
 - fix the position of Vds2_0, Vds3_0, Vds4_0 sensors;
 - do the same minimisation for up1 track candidates and fix the position of Vds3_1, Vds4_1;
 - do the same minimisation for up2 and down2 track candidates and fix the position of Vds4_3, Vds4_2;



Final tuning

Final tuning:

- all sensors in parallel
- minimisation of standard deviation and mean values of residuals distributions



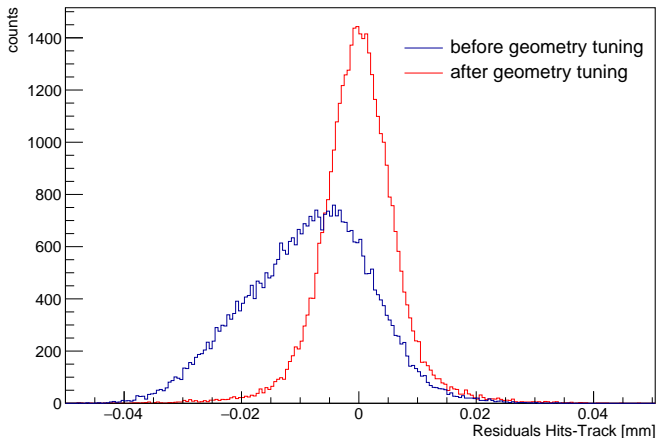
The improvement factor was calculated from the following formula:

$$\text{improvement} = \frac{\sigma_{oldGeometry} - \sigma_{newGeometry}}{\sigma_{oldGeometry}} \cdot 100\%$$

Saleve - biggest improvement

Improvement: 46.9 %

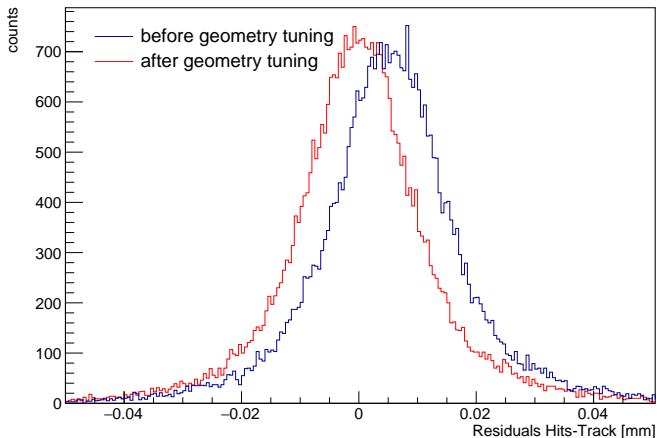
x residuals - arm: Saleve, sensor: Vds3_0, tracks: down1



Saleve - smallest improvement

Improvement: 2.7 %

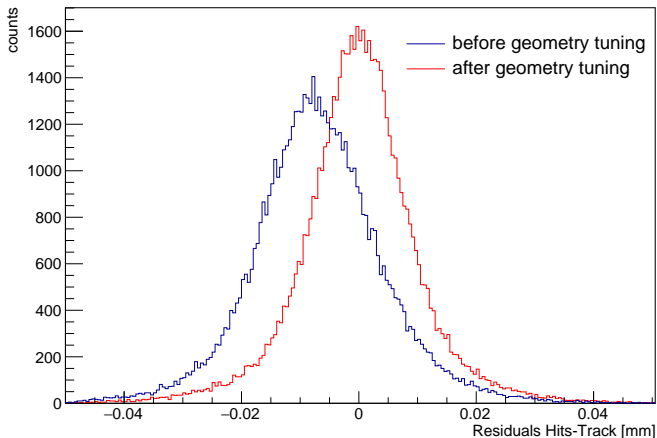
x residuals - arm: Saleve, sensor: Vds4_3, tracks: up2



Jura - biggest improvement

Improvement: 16.5 %

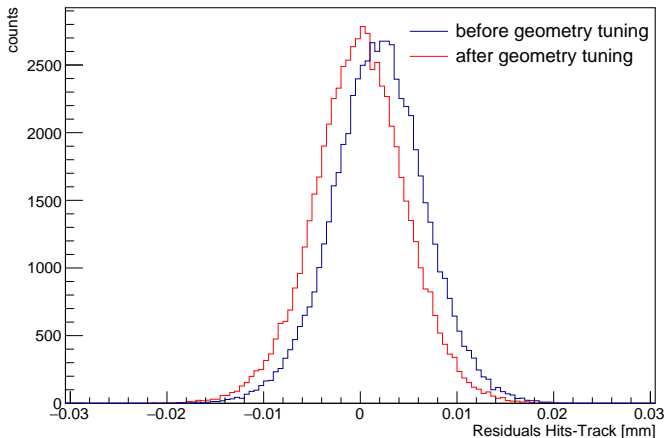
x residuals - arm: Jura, sensor: Vds4_0, tracks: down1



Jura - smallest improvement

Improvement: 0.7 %

x residuals - arm: Jura, sensor: Vds3_1, tracks: up1



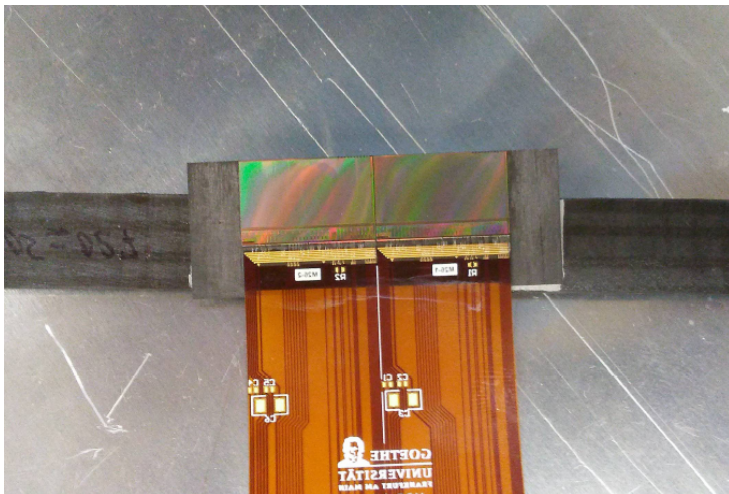
Example results:

Example results (data taken in December 2016):

Jura: sensor from 4th station:

- rotations:
 - $\text{rotX} = -0.01$ (0.6°)
 - $\text{rotY} = 0.01$ (0.6°)
 - $\text{rotZ} = -0.049$ (2.8°)
- offsets from nominal geometry:
 - $\text{offsetX} = 2.1$ mm
 - $\text{offsetY} = 1.1$ mm
 - $\text{offsetZ} = 0.5$ mm

Dismounted sensor in July 2017



Always read instructions!!!





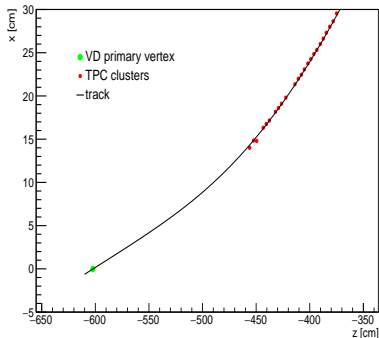
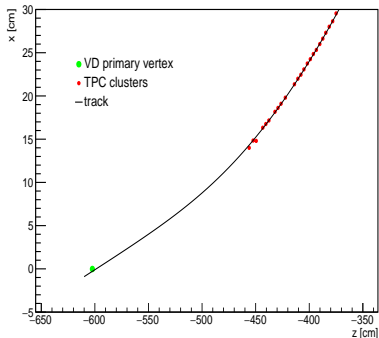
Data reconstruction

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- **SAVD-TPC track matching**
- analysis with full particles information

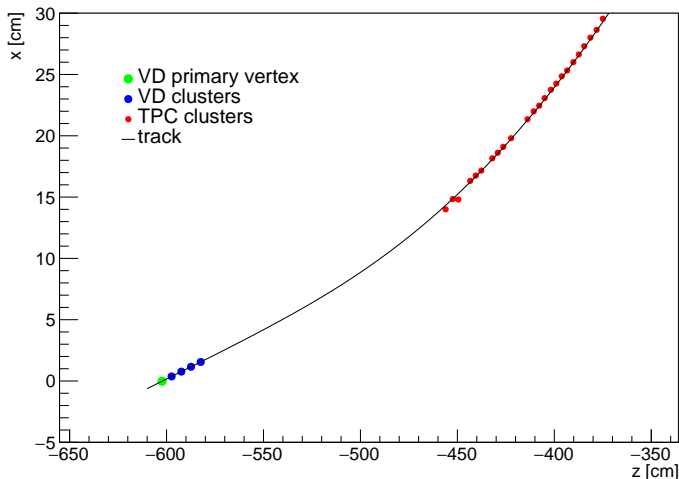
Algorithm of matching: Primary Tracks

1st step: Refit track to VD primary vertex

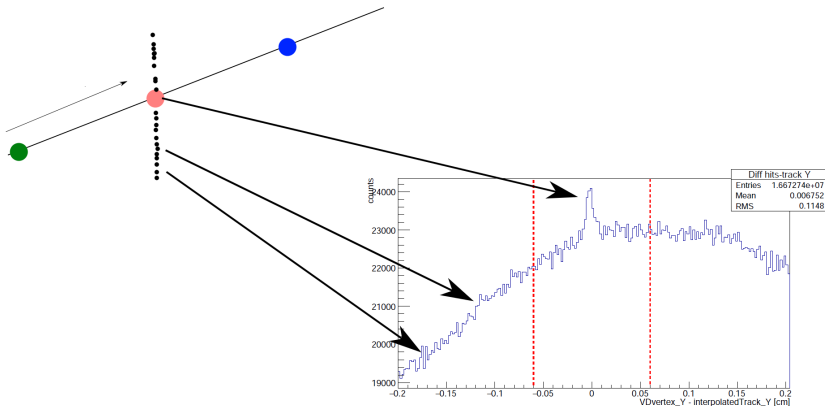
For refitting **Kalman filter** existing in SHINE is used



2nd step: Interpolate refitted track to VD stations and collect clusters

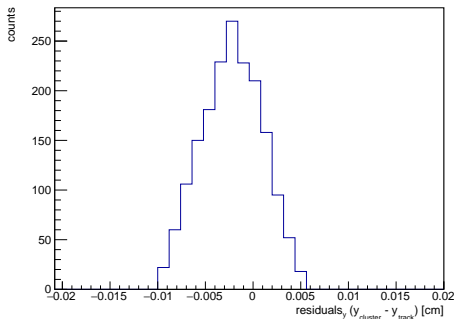


How to collect clusters?



Residuals cut

- distributions of residuals between clusters and refitted tracks are fitted with gaussian (σ)
- cluster is accepted as matched if the distance from track is smaller than 2σ



y-residuals between clusters from first station and refitted track:
plot was created with 3σ cut, but to make sure that only primary tracks are matched, in the analysis 2σ cut is used.

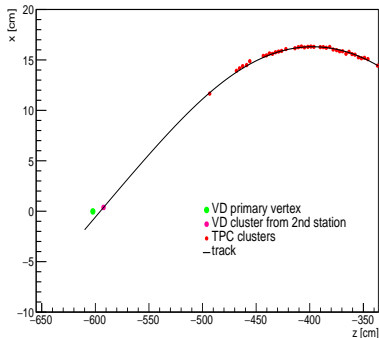
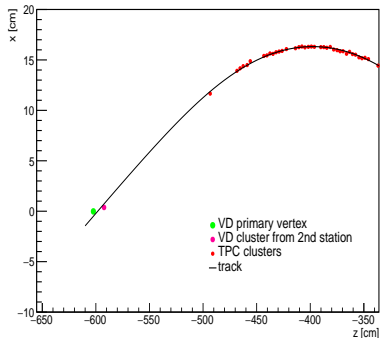
Track is accepted as primary track if at least **one VD cluster** is matched
(as first approach)

Algorithm of matching: Secondary tracks

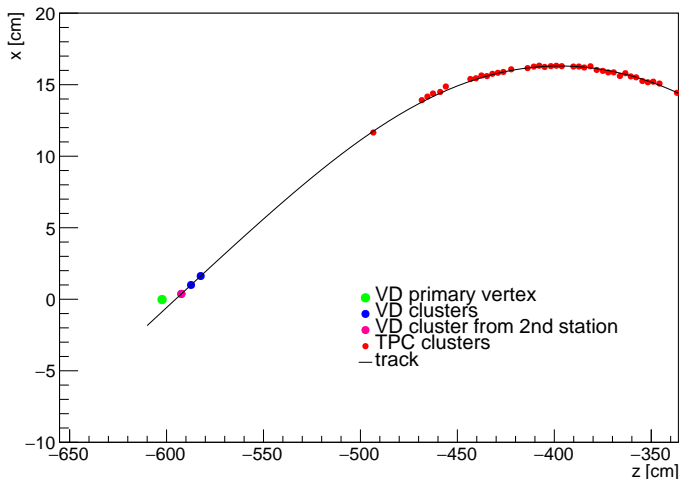
3rd step: Refit track to VD cluster from second station



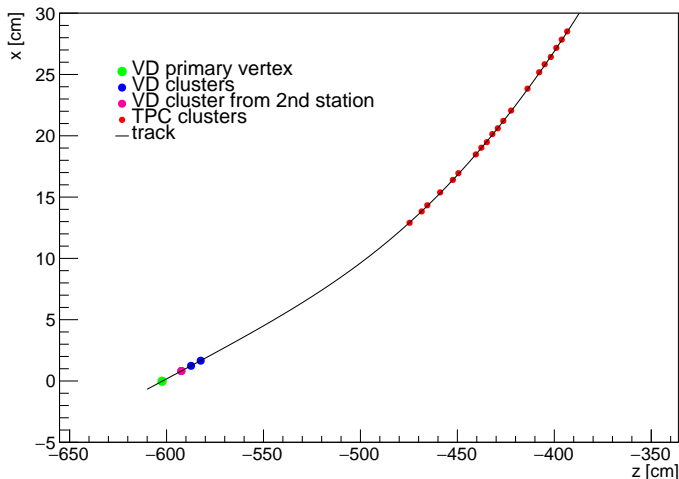
Every track is combined with all VD clusters from second station.



4th step: Interpolate refitted track to VD stations and collect clusters

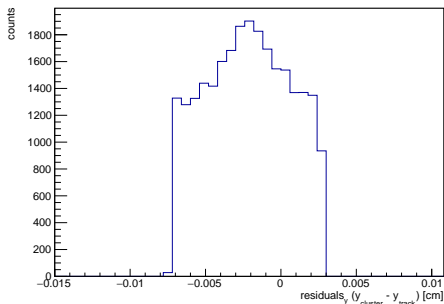


4th step: Interpolate refitted track to VD stations and collect clusters



Residuals cut

- the σ cuts values are taken from primary tracks analysis
- cluster is accepted as matched if the distance from track is smaller than 3σ



y-residuals between clusters from first station and refitted track: background from fake tracks is visible, but it is better to have more fake tracks than lose efficiency.

Track is accepted as secondary track if at least **three VD clusters** are matched (one refitted from second station + 2 clusters from other stations)