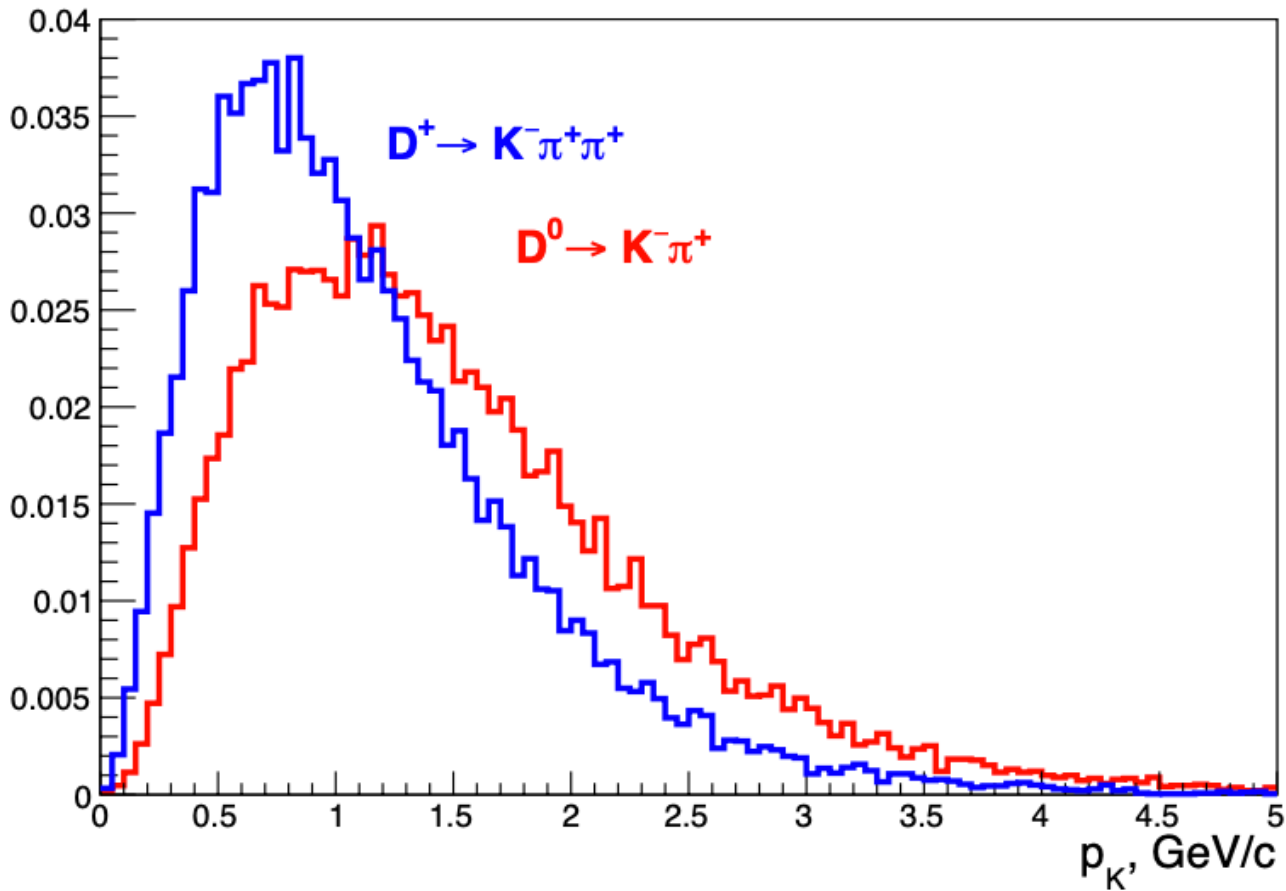


# Development of FARICH detector for the SPD experiment: status and prospects

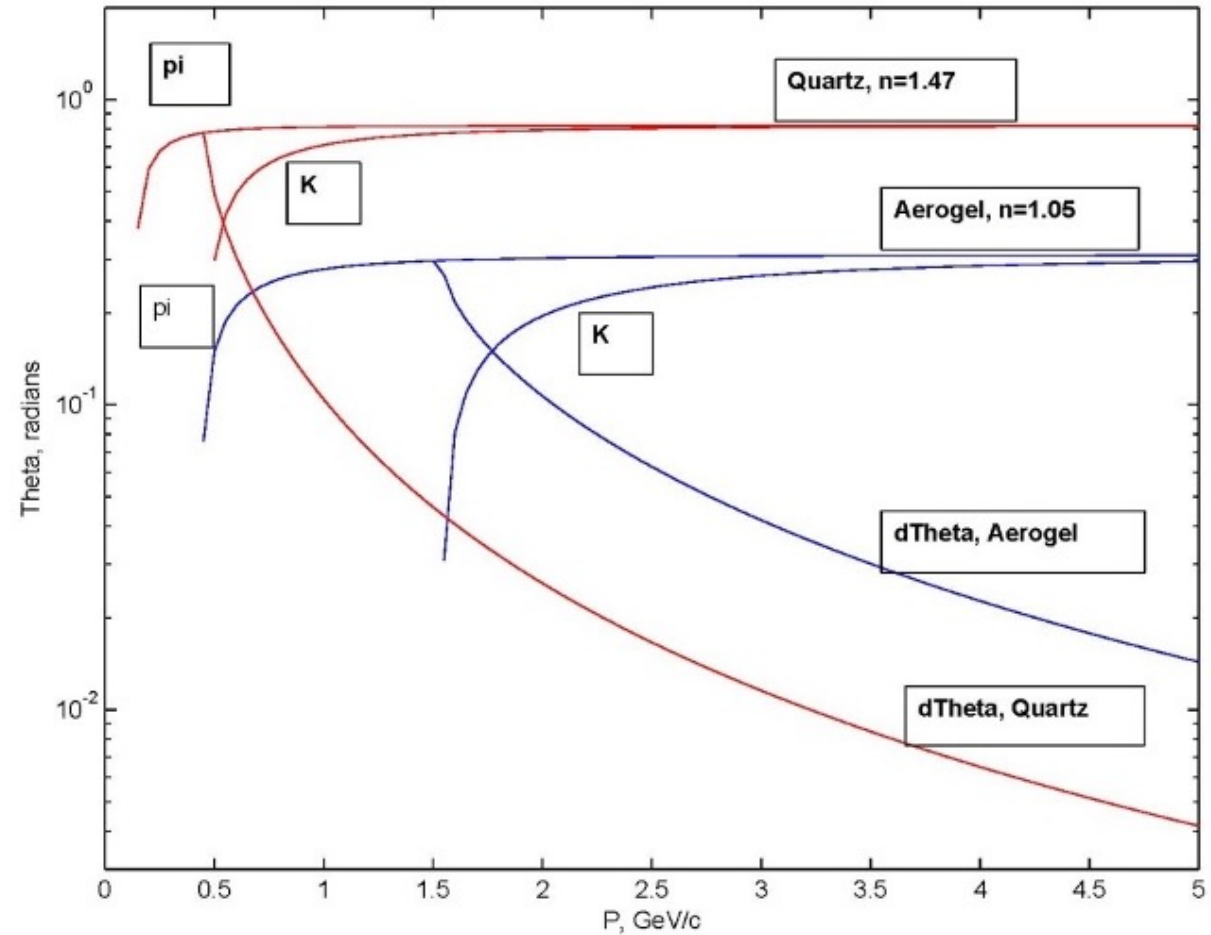
*A.Yu.Barnyakov on behalf of PID group*

SPD Collaboration Meeting  
20-24 May 2024, KBTU, Almaty, Kazakhstan

# PID system: requirements



The main task of SPD PID system is  
 $\pi/K$ -separation up to  $P=5\div 6$  GeV/c



For reliable  $\pi/K$ -separation at  $P=6$  GeV/c the RICH detector is required:

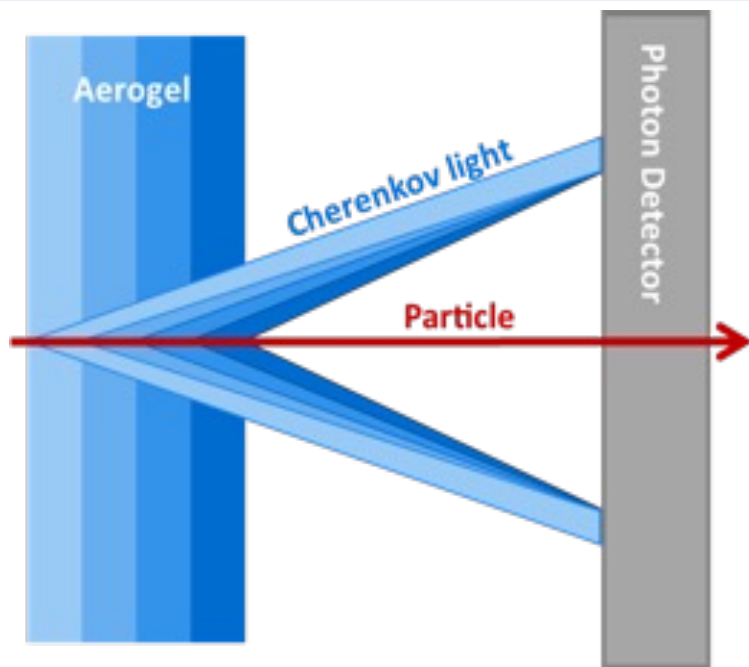
- $n = 1.05$  (aerogel),
- $\sigma_C \sim 2.5$  mrad/track

# FARICH motivation

$$\bullet \sigma_C^{tr} = 1/\sqrt{N_{pe}} \cdot \sqrt{\left(\frac{\Delta_{pix} \cdot \cos \theta_C}{L \cdot \sqrt{12}}\right)^2 + \left(\frac{\sigma_n}{n \cdot \tan \theta_C}\right)^2 + \left(\frac{t \cdot \sin \theta_C}{L \cdot \sqrt{12}}\right)^2} \sim \sqrt{t}$$

$$\bullet N_{pe}(\beta = 1) \sim 500 \cdot \frac{n^2 - 1}{n^2} \cdot t \cdot QE$$

To get  $\langle N_{pe} \rangle \gg 5$  from aerogel with  $n=1.05$  & thickness 1 cm is too hard practice task!!!



- Thicknesses and refractive indexes in each layer are adjusted in such way that Cherenkov rings from each layer overlap in the same region of the position-sensitive photon detector.
- The number of detected Cherenkov photons increases due to increase of the thickness without degradation of Cherenkov angle resolution due to uncertainties of photon emission point.

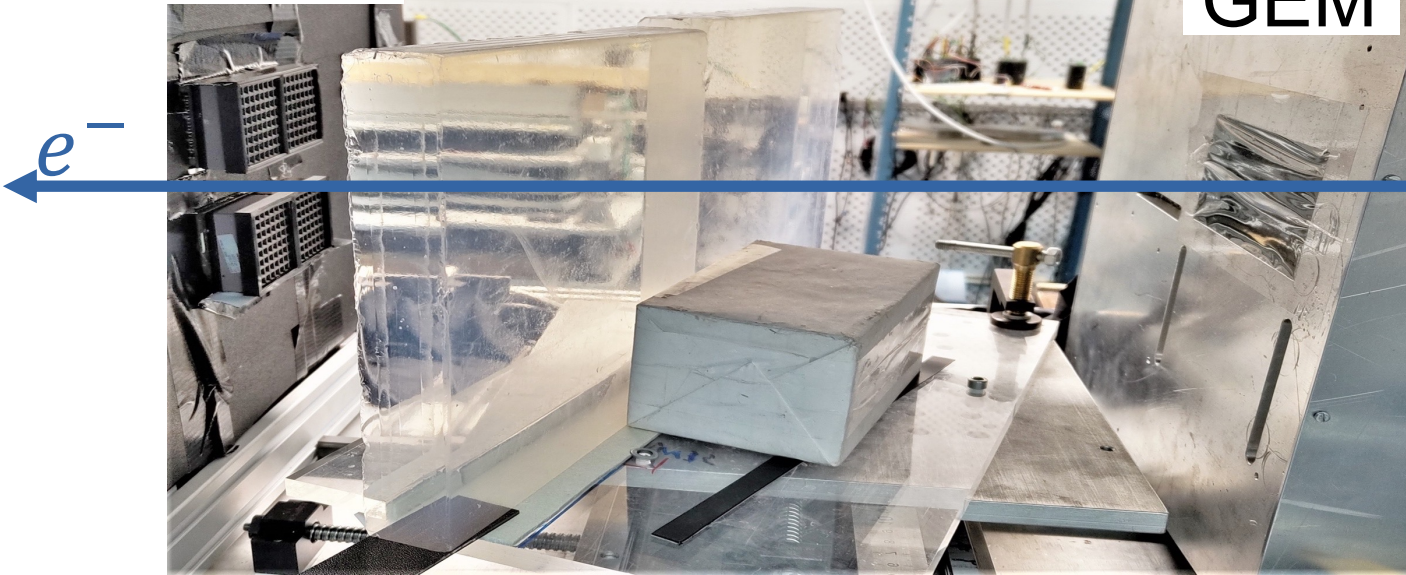
T.Iijima et al., NIM A548 (2005) 383 and A.Yu.Barnyakov et al., NIM A553 (2005) 70

# The largest 4-layer focusing aerogel samples were produced in Novosibirsk and tested at BINP in 2022-2023

MaPMT H12700  
(Hamamatsu)  
with mask 3x3 mm<sup>2</sup>

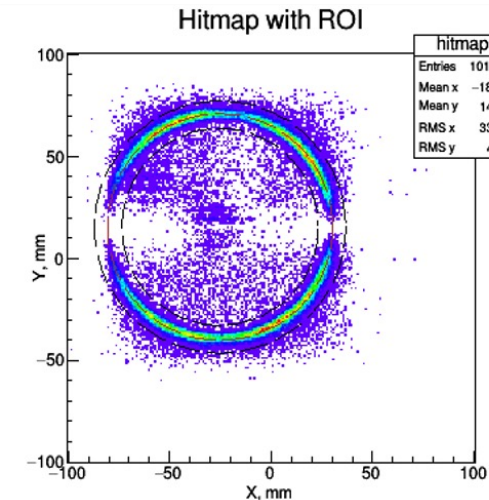
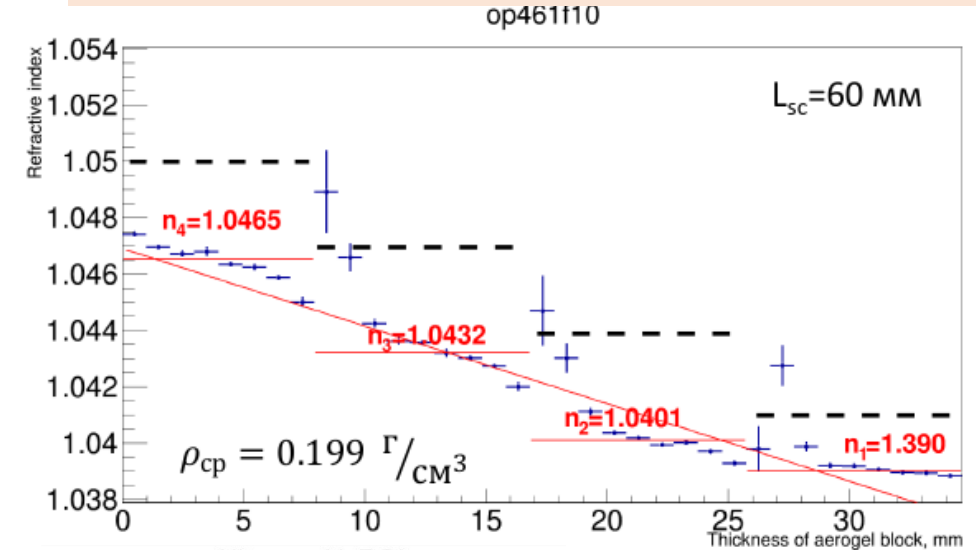
2 aerogel pcs  
230x230x35 mm

GEM



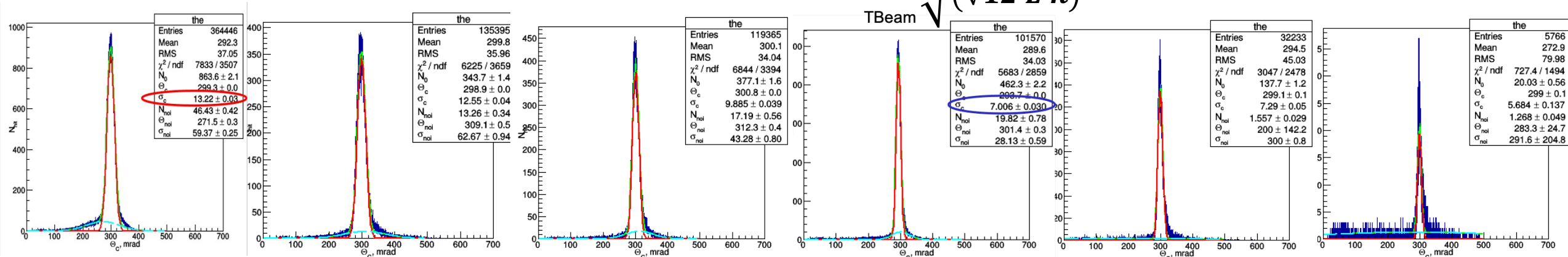
Single photon Cherenkov angle resolution is investigated with relativistic electrons at BINP beam test facilities "Extracted beams of VEPP-4M complex".

Refractive index profile is measured with help of digital X-ray setup at the BINP.

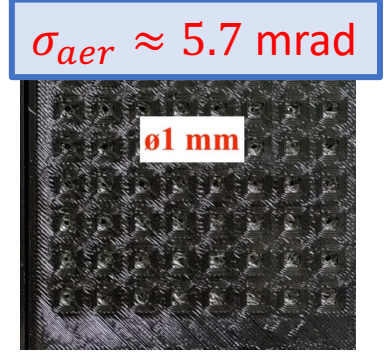
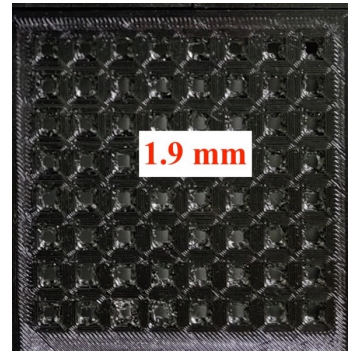
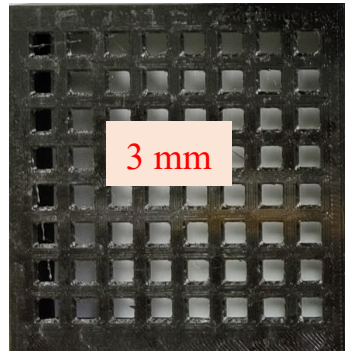
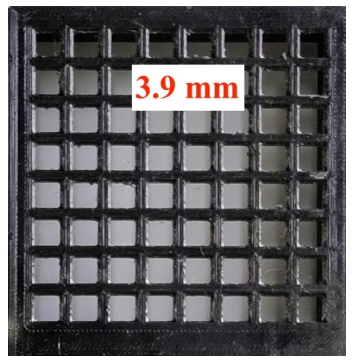
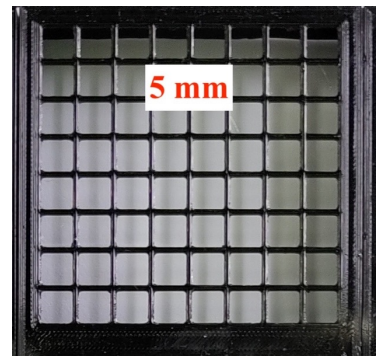


- Pixel ■ 3x3 mm
- Geom.Eff. ~ 20%
- $\sigma_{\theta}^{1pe} \approx 7 \text{ mrad}$

# TBeam 2023 res.: $\sigma_{\theta_c}^{1pe} = \sqrt{\frac{\Delta_{pix}^2}{(\sqrt{12} \cdot L \cdot n)^2} + \sigma_{aer}^2 + \sigma_{trk}^2}$



No mask:  
6x6 mm



$\sigma_{aer} \approx 5.7$  mrad

04/23: L≈200 mm  
Geom.Eff. ~ 80%  
 $N_{pe} \approx 16$

12/23: L≈180 mm  
Geom.Eff. ~ 56%  
 $N_{pe} \approx 12$

12/23: L≈180 mm  
Geom.Eff. ~ 36%  
 $N_{pe} \approx 8$

04/23: L≈200 mm  
Geom.Eff. ~ 20%  
 $N_{pe} \approx 4$

12/23: L≈180 mm  
Geom.Eff. ~ 9%  
 $N_{pe} \approx 2$

12/23: L≈180 mm  
Geom.Eff. ~ 2%  
 $N_{pe} \approx 1$

$\pi/K$ : - 5.5 GeV/c  
 $\mu/\pi$ : - 1.2 GeV/c

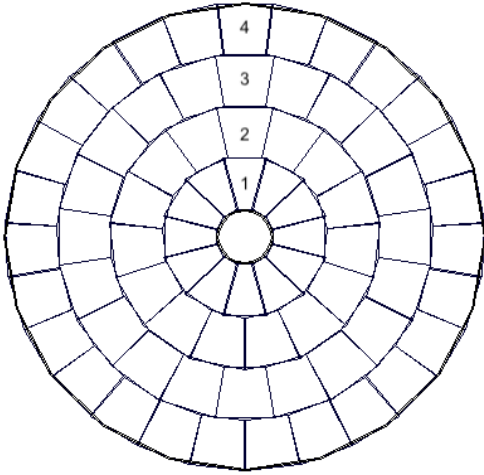
6 GeV/c  
1.4 GeV/c

6.5 GeV/c  
1.5 GeV/c

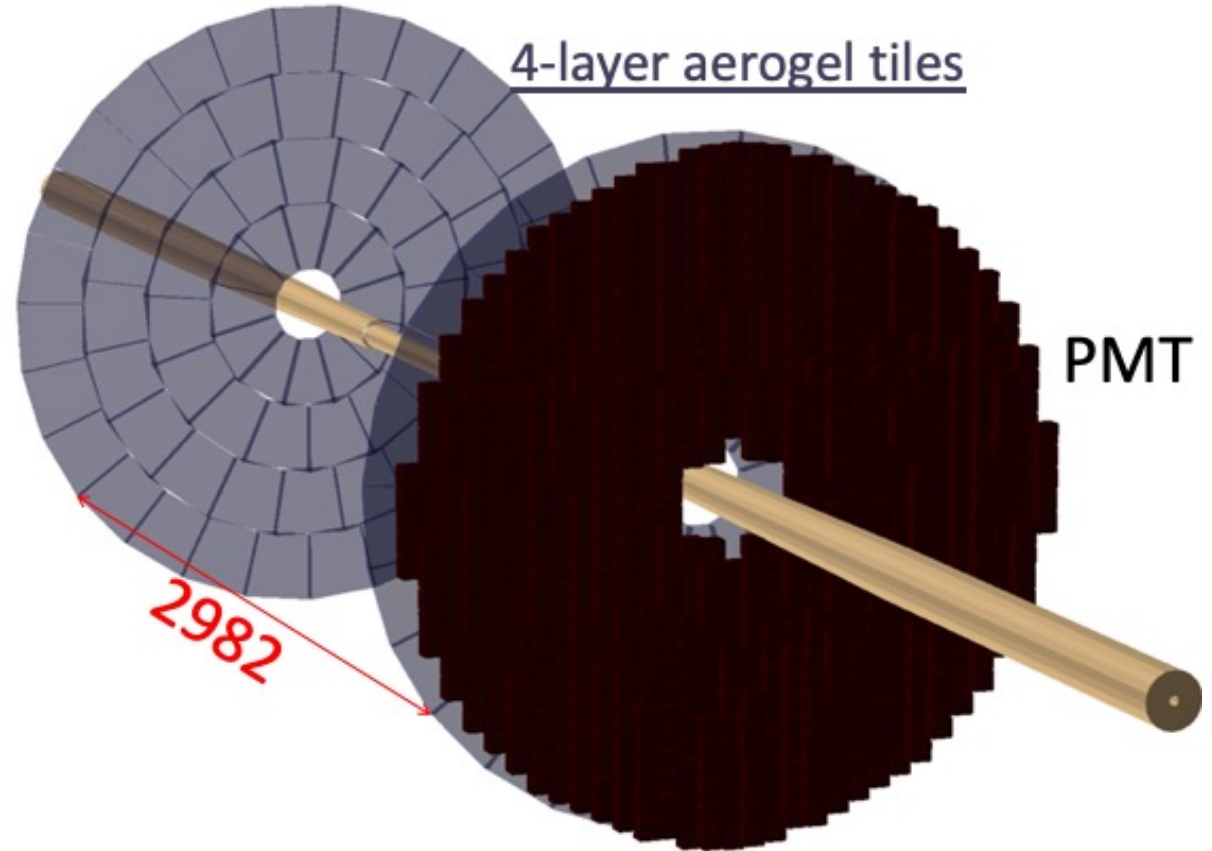
8.0 GeV/c  
1.6 GeV/c

# FARICH system conceptual design

**Aerogel:**  
74 tiles



4-layer aerogel tiles



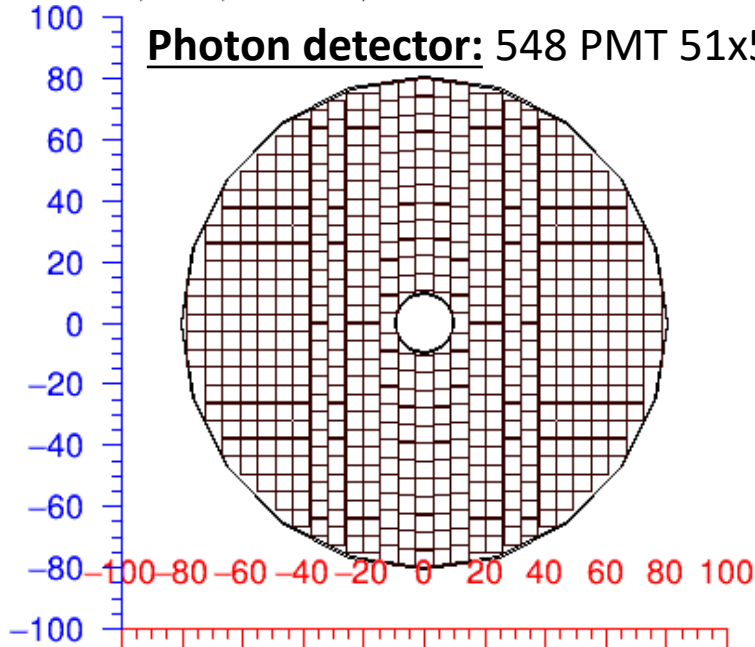
PMT

2982

$$S(\text{aer})/S(\text{total})=21717.8/22383.8=0.97$$

- 1 – 12 tiles x  $S=0.5 \cdot (5.6 + 15.6) \cdot 18.5 = 159.0$  sq.cm
- 2 – 15 tiles x  $S=0.5 \cdot (12.2 + 20.2) \cdot 18.5 = 299.7$  sq.cm
- 3 – 20 tiles x  $S=0.5 \cdot (15.0 + 20.8) \cdot 18.5 = 331.15$  sq.cm
- 4 – 27 tiles x  $S=0.5 \cdot (15.2 + 19.6) \cdot 18.5 = 321.9$  sq.cm

**Photon detector:** 548 PMT 51x51 mm



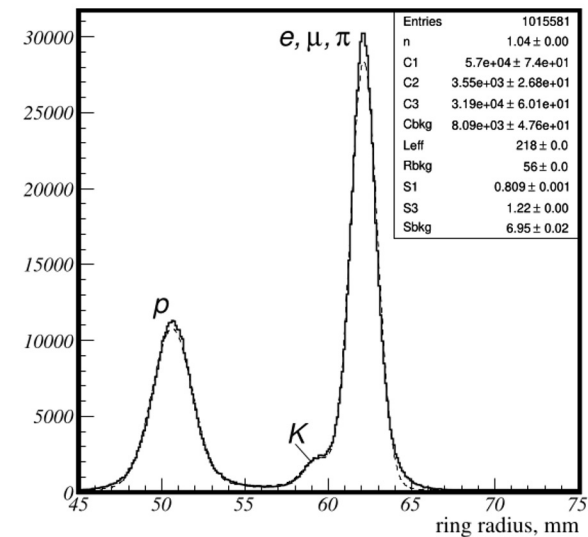
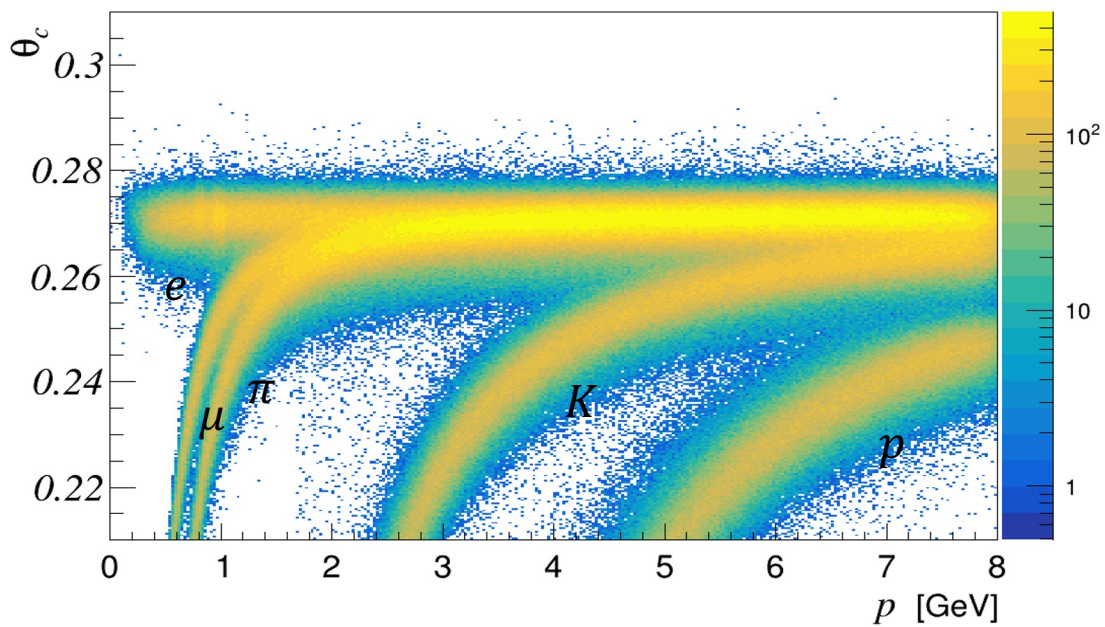
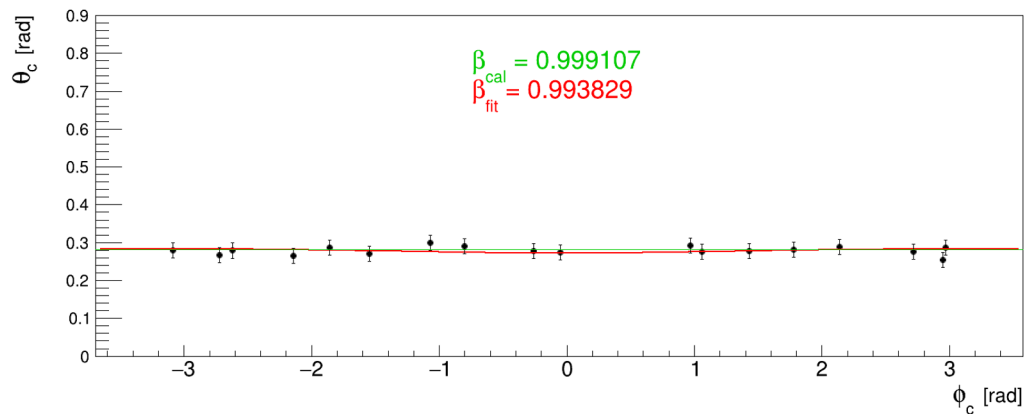
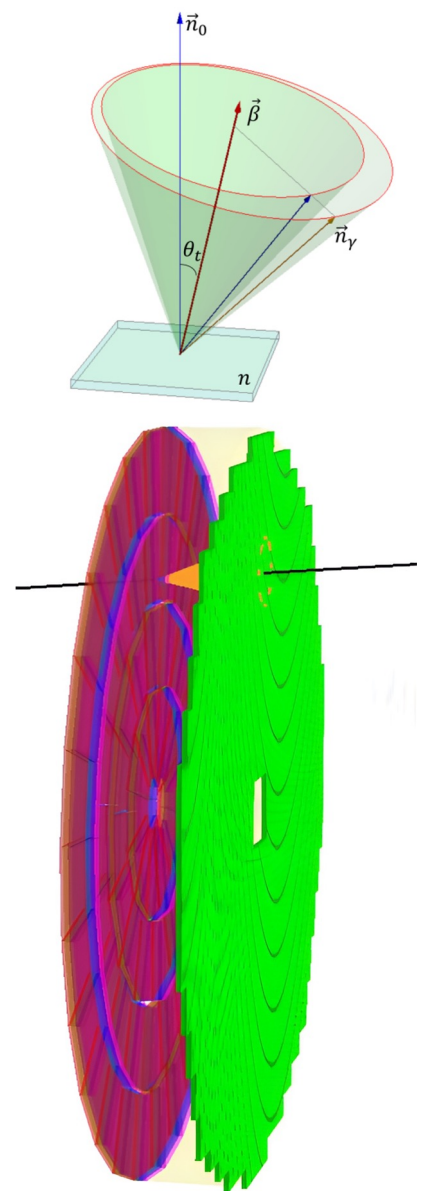
## FARICH system:

- 4-layer aerogel with  $n_{\text{max}}=1.05$  (or less)
- Focus distance – 20 cm
- PS PD – MCP-PMT or SiPM arrays with pixel 3÷6 mm
- 550 PMTs per endcap if lateral sizes  $\sim 51 \times 51$  mm
- 2200 PMTs per endcap if lateral sizes  $\sim 27 \times 27$  mm

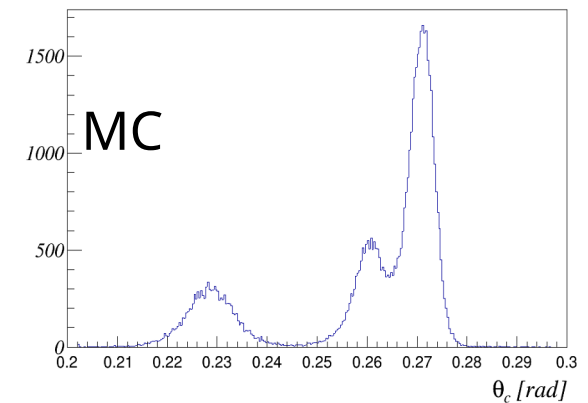
# Simulation FARICH in SPD

Reconstruction: fit analytical formula to  $(\theta_c, \varphi_c)$  distribution to obtain  $\beta$

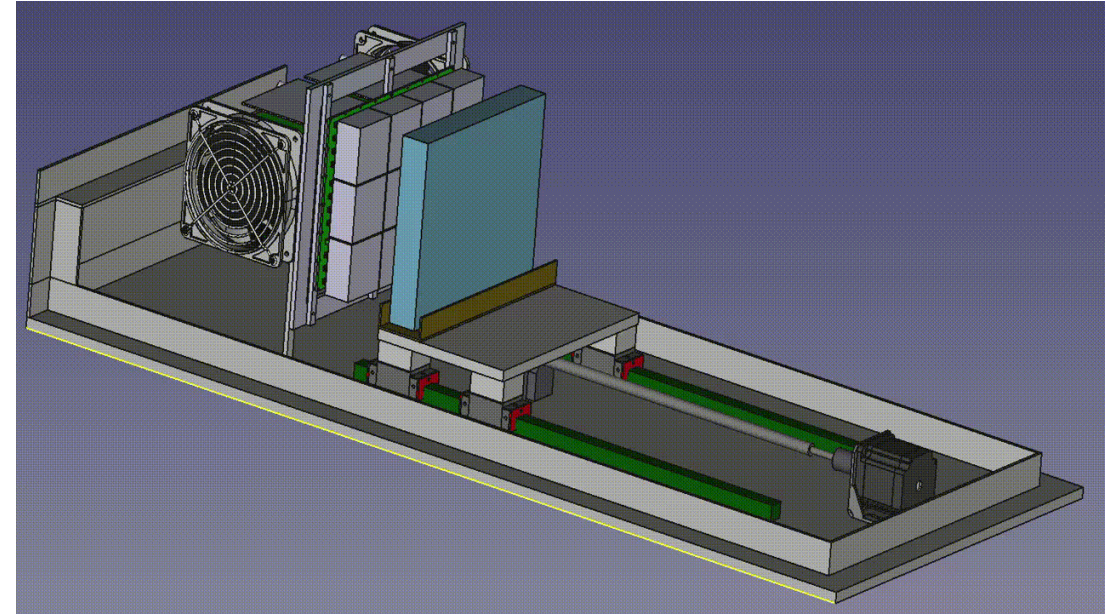
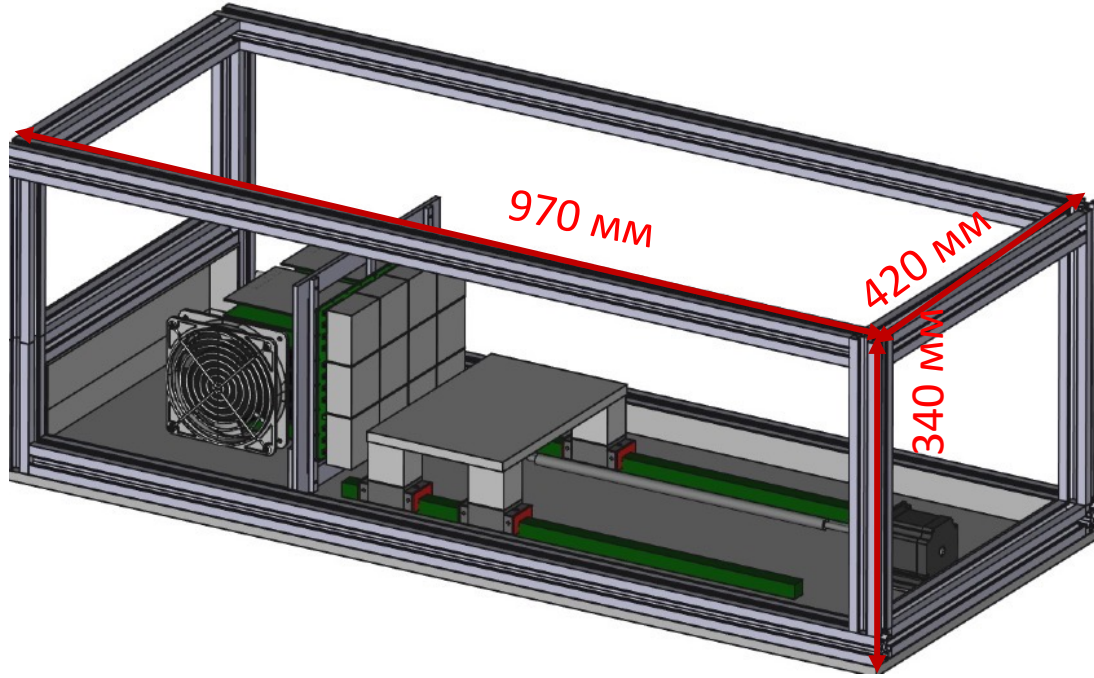
Nucl. Instrum. Meth. A, 732:352–356, 2013



particles momentum 6 GeV/c



# FARICH prototype with full ring detection

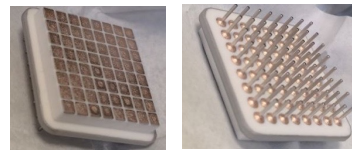
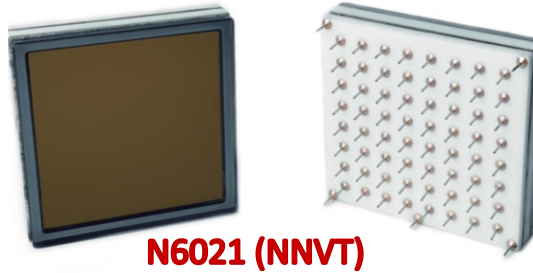
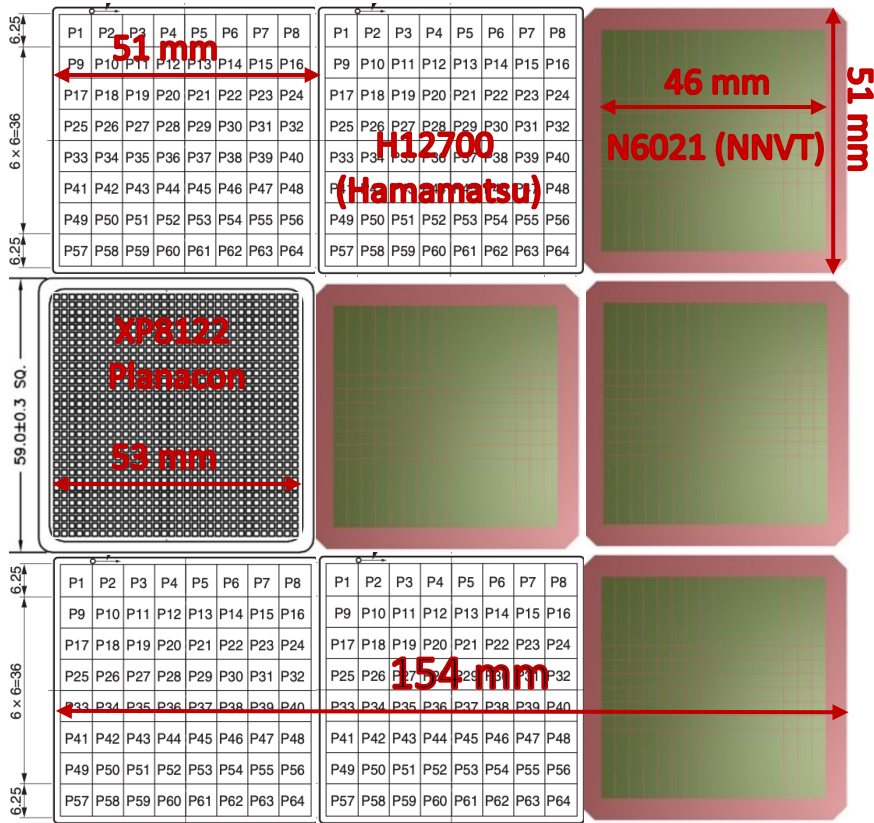


- Tunable focal distance from 5 to 60 cm
- Aerogel tiles with lateral dimensions up to 200x200 mm<sup>2</sup>
- 2 DiRICH boards (GSI) to readout up to 12 multi-anode PMTS with 768 pixels *in total* – option #1
- 6 DiRICH boards (GSI) to readout up to 36 multi-anode PMTS with 2304 pixels *in total* – option #2

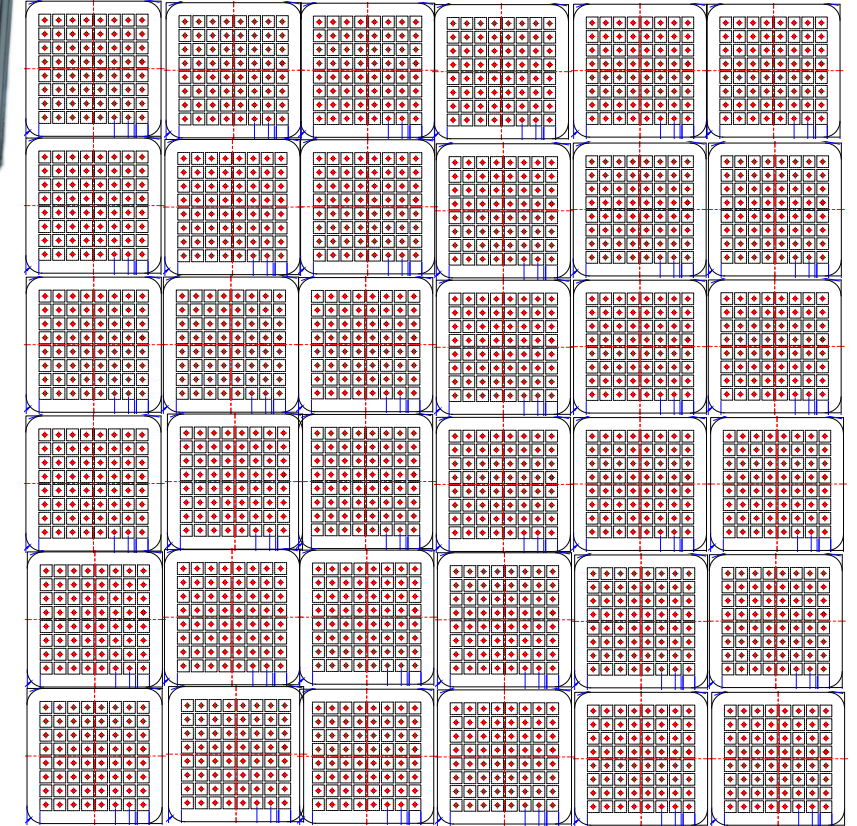


# FARICH prototypes

## Step #1 (2024/25): 6x6mm



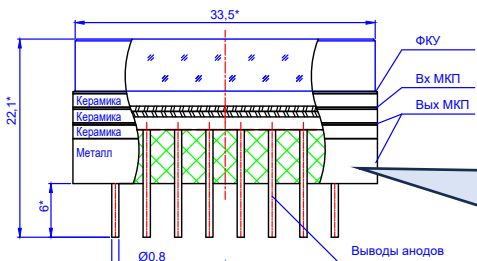
## Step #2 (2025/26): 3x3mm



- 6x6 mm
- 4 MCP PMTs N6021 from NNVT
  - 4 MaPMTs H12700 from Hamamatsu
  - 1 XP85122 Planacon MCP PMT from from Photonis
  - $S_{sens}/S_{tot} \approx 0.8$
  - 2 DiRICH boards (GSI) to readout chan.

- 6x6=36 MCP PMTs from Ekran FEP
- 3x3mm pixel
- $S_{sens}/S_{tot} \approx 0.5$
- 6 DiRICH boards (GSI) to readout 2304 chan.

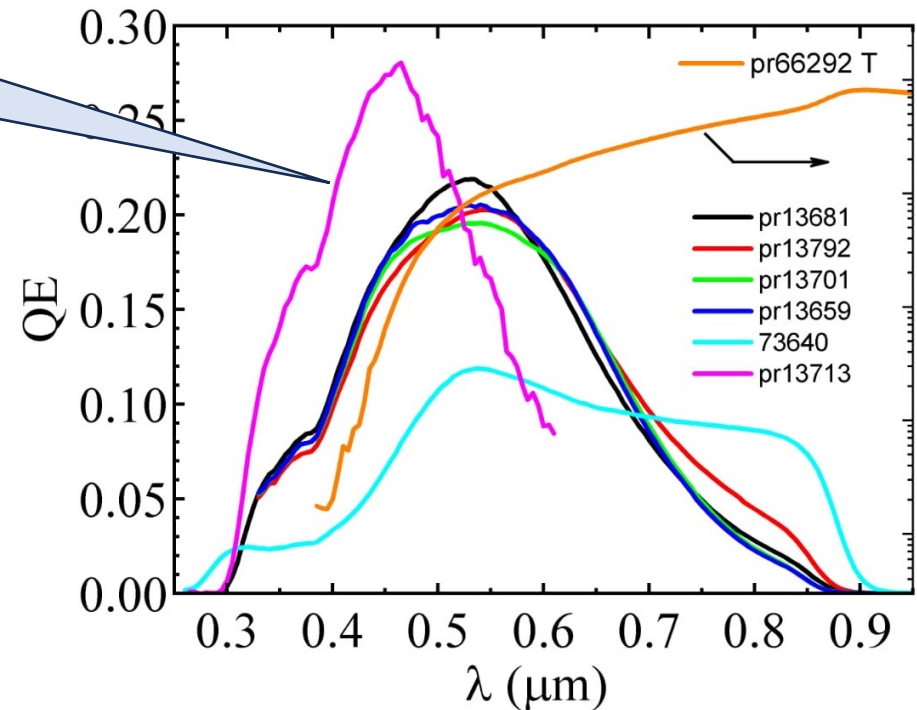
# MCP PMT rectangular shape from Ekran FEP



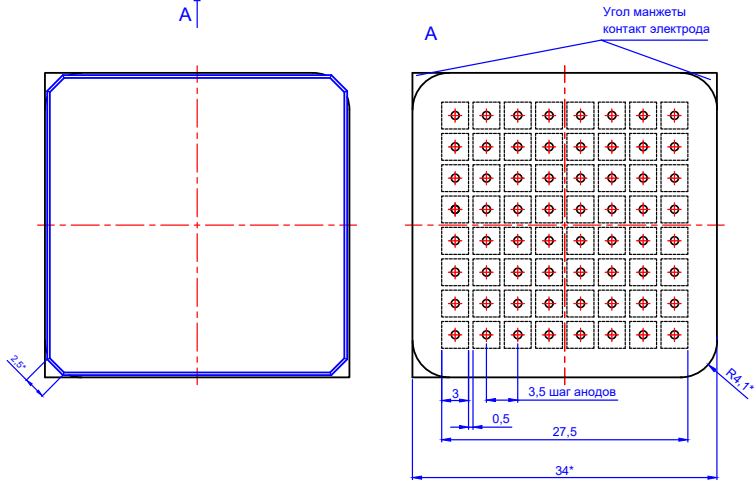
Ekran FEP design of MCP PMT with 8x8 3x3 mm pixels

It is planned to use UV-glass to enhance PDE in blue region

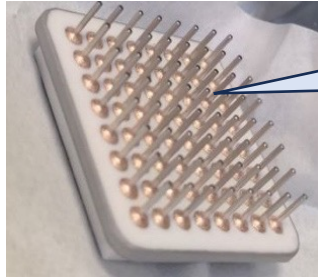
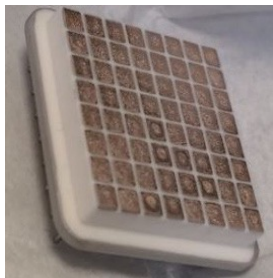
Multi-alkali PCs sputtered on ordinary glass



- Until the Autumn the first Russian rectangular-shape multi-anode MCP PMTs partially based on Chinese components are expected
- Until the end of year MCP PMTs totally based on Russian components are expected



\* Размер для справок. Возможны незначительные изменения.

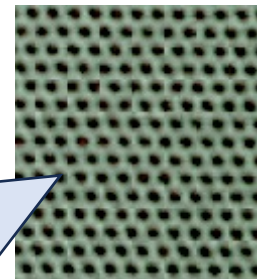


**Ceramic components** from China (NNVT) delivered to Ekran FEP

Ekran FEP also works with vendors from Saratov to produce ceramic components inside the Russia

**MCPs:**

- For the first rectangular PMTs usage of MCPs made by Ekran FEP is planned
- For the first serial batch implementation of MCPs from VTC Baspik is planned



# On aerogel optimization for the SPD project

- 4-layer aerogel with  $n_{max} = 1.05$  and thickness  $35\text{ mm}$  was optimized for SCTF to provide reliable  $\mu/\pi$ -separation at  $1\text{ GeV}/c$
- For SPD aerogel lower index looks more suitable to provide  $\pi/K$ - and  $\mu/\pi$ -separation at higher momenta. Lower  $n$  means:
  - Larger difference in  $\pi$ - and  $K$ -mesons Cherenkov angles;
  - lower impact from dispersion;
  - but lower number of Cherenkov photons which could be compensated by increase of aerogel thickness especially if the pixel size is about  $6\text{ mm}$ .
- More optimal aerogel for SPD looks like:
  - > Maximal refractive index  $\sim 1.04$
  - > Aerogel thickness is about  $40\text{ mm}$
  - >  $3$  or  $4$  number of layers with  $15$  or  $10\text{ mm}$  thick each
- There is a room for optimization with help of simulation or even ML approaches
- There is a room for optimization with help of simulation or even ML approaches
- R&Ds on production of optimal SPD aerogel and test its performances are required.

# Summary & Plans for 2024/2025

- We are going (and almost ready) to prepare and carry out tests with FARICH prototype based on available components at hadron beams to demonstrate its current PID capabilities:
  - **4-layer** aerogel tiles with  $n_{\max}=1.05$  and  $t=35\text{mm}$  (*optimized for SCTF project*)
  - Multi-anode PMTs (from Hamamatsu, NNVT, Photonis) with **6×6 mm** pixels
  - DiRICH (GSI) based readout system
- Optimize (with help of simulation) and produce first aerogel tiles for the SPD experiment requirements ( $n_{\max}=1.04$  and  $t=40\text{mm}$ )
- Test and compare performances of position-sensitive MCP PMTs with rectangular shapes:
  - N6021 from NNVT (China) – **6×6 mm** pixels
  - For the first time produced in Russia from Ekran FEP – **3×3 mm** pixels
- Start development of FARICH prototype based on Russian position-sensitive MCP PMTs

# **BACK UP SLIDES**

# FARICH technique milestones

The first 4-layer monolithic sample

$n=1.030$	6.0mm
$n=1.027$	6.3mm
$n=1.024$	6.7mm
$n=1.022$	7.0mm

Increase  $N_{pe}$  due thickness increase without  $\sigma_{\Theta c}$  degradation

T.Iijima et al., NIM A548 (2005) 383 and A.Yu.Barnyakov et al., NIM A553 (2005) 70

2004÷2005

The Belle II (ARICH) is the first application of the method

Radiator side

Photon detector side

Radiator side and photon detector side were combined in Aug. 2017.

2017

Excellent PID capability were shown at CERN beam test in 2012

A.Yu. Barnyakov, et al., NIM A 732 (2013) 352

$P = 1 \text{ GeV/c}$

$\pi/K$  separation

Momentum, GeV/c

- Experiment
- MC simulation
- Focusing DIRC (SuperB)

ring radius, mm

$\pi$

$\mu$

Two 4-layer focusing aerogel blocks

230x230x35 mm

$L_{SC} = 60.52 \pm 0,83 \text{ mm}$

230 mm

230 mm

$n=1,046$  8,5 mm

$n=1,043$  8,5 mm

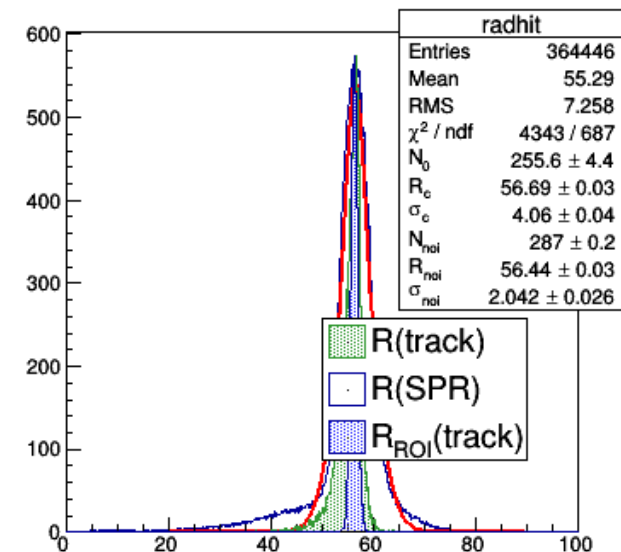
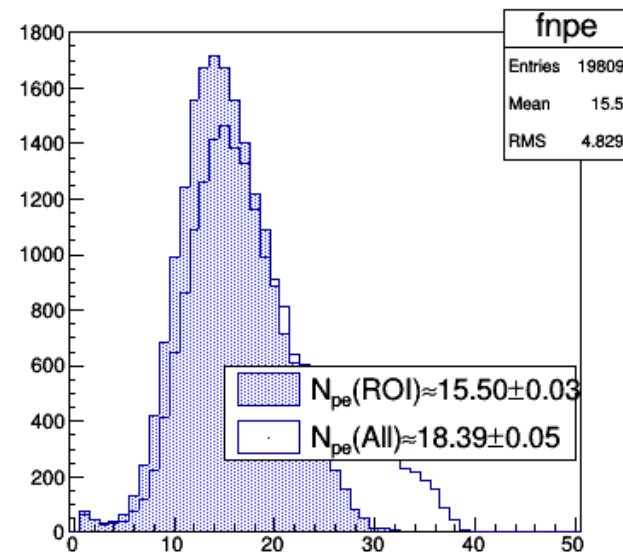
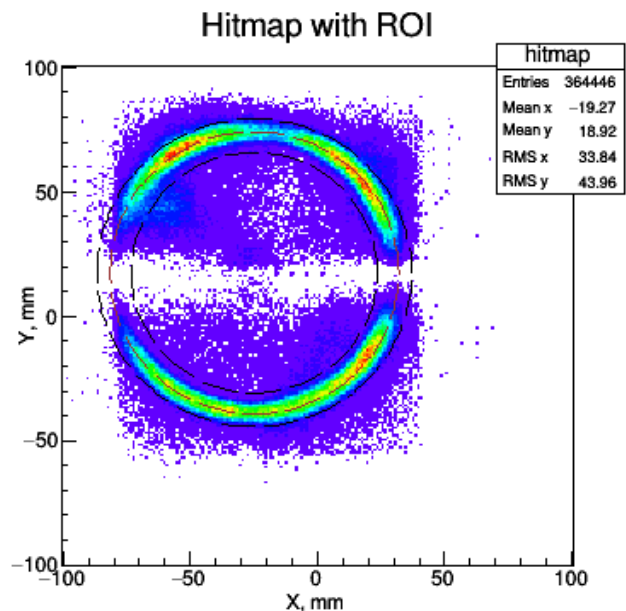
$n=1,040$  9,0 mm

$n=1,039$  9,0 mm

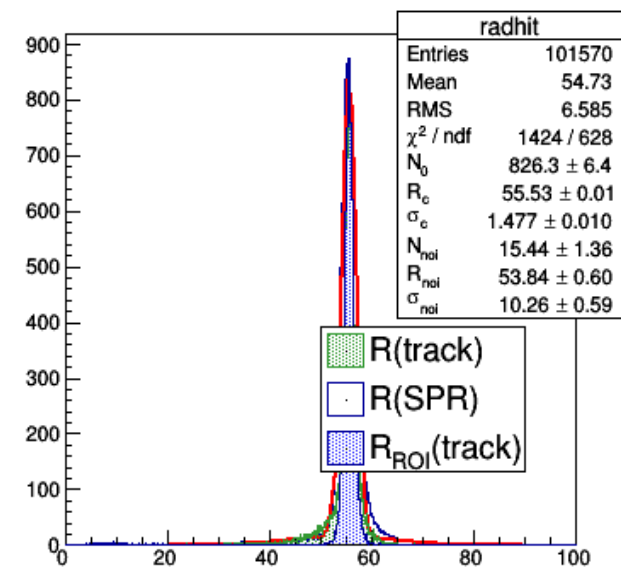
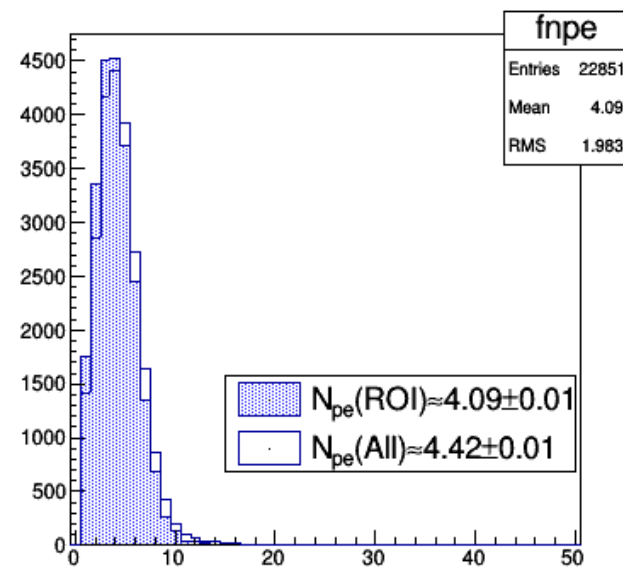
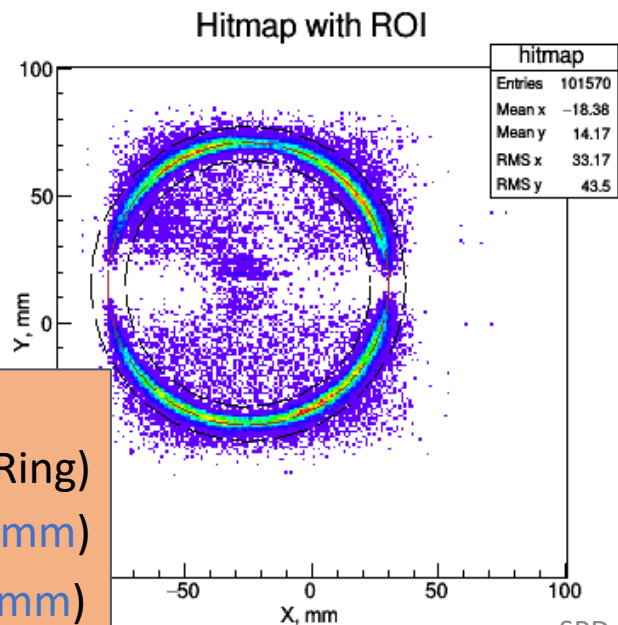
2022÷2023

# Recent beam test results

Pixel 6x6 mm  
Geom.Eff. ~ 80%



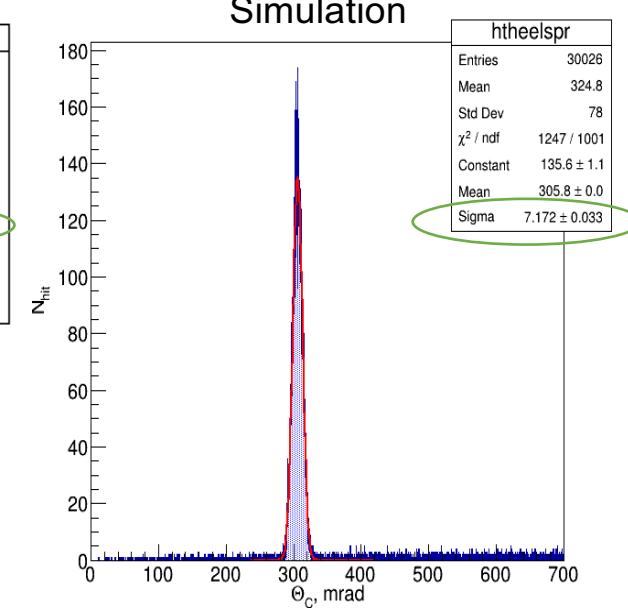
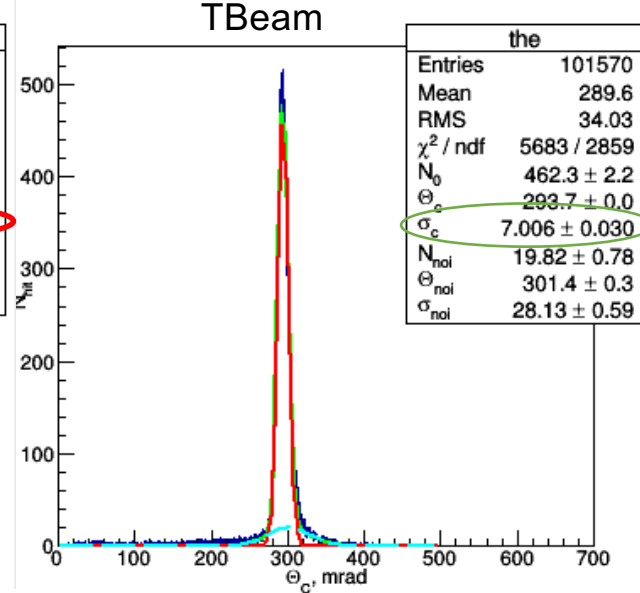
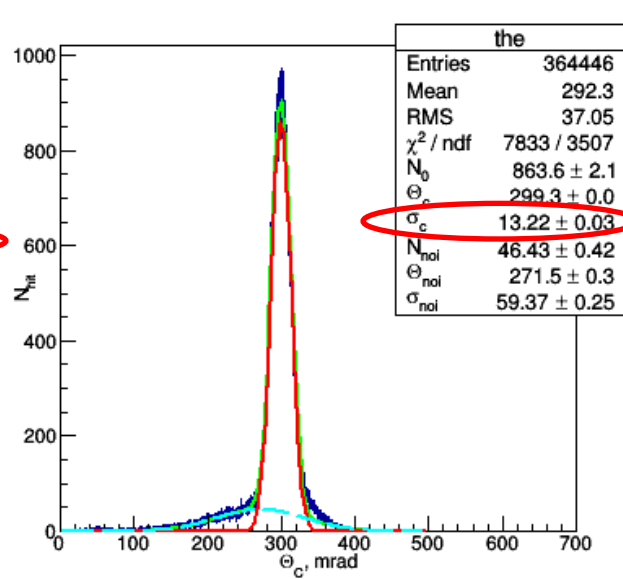
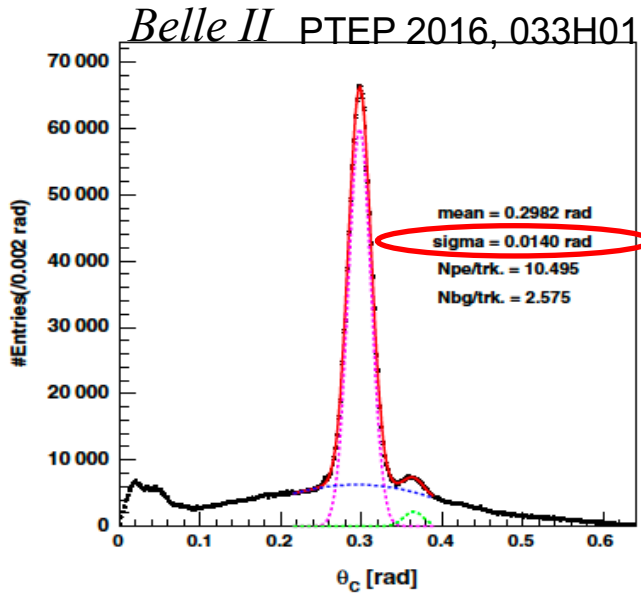
Pixel 3x3 mm  
Geom.Eff. ~ 20%



## Main results:

- $N_{pe} \approx 16$  (~ 0.8 of Ring)
- $\sigma_{\theta}^{1pe} \approx 13.5 \text{ mrad}$  (■ 6mm)
- $\sigma_{\theta}^{1pe} \approx 7.5 \text{ mrad}$  (■ 3mm)

# Cherenkov angle Single Photo-Electron (*SPE*) resolution



Aerogel: 20+20 mm (Chiba Univ.)  
n(400nm): 1.045 +1.055  
Pixel: 5x5 mm

Geom.Eff. ~ 90%  
 $N_{pe} \approx 10.5$

4-layers (Novosibirsk) →  
1.039 ÷ 1.046  
6x6 mm

Geom.Eff. ~ 80%  
 $N_{pe} \approx 16$

—  
—  
3x3 mm

Geom.Eff. ~ 20%  
 $N_{pe} \approx 4$

4-layers (ideal profile)  
1.041 ÷ 1.050  
3x3 mm

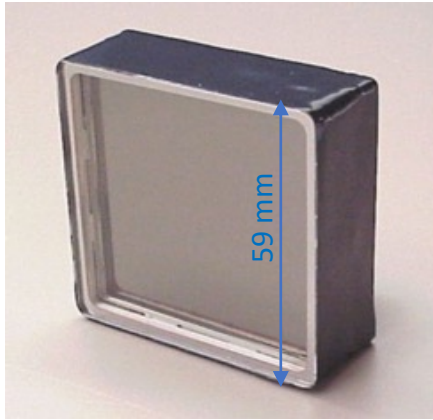
Dimensions of focusing aerogels 23x23x3.5 cm allow us to design the full-scale FARICH systems for the future particle physics experiments.

Beam test results are in good agreement with MC simulation and corresponds to:  
 $\pi/K$ -separation at level of  $3\sigma$  up to  $P=4.5$  GeV/c for 6x6 mm pixel  
 $\pi/K$ -separation at level of  $3\sigma$  up to  $P=8.5$  GeV/c for 3x3 mm pixel

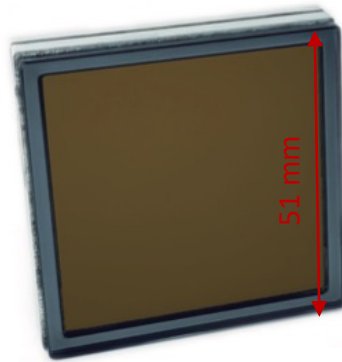


# FARICH based on existing solutions

## MCP PMT available from vendors:



**Planacon XP85112 (USA)**  
8x8 pixels with 6x6 mm



**NNVT N6021 (China)**  
8x8 pixels with 5.9x5.9 mm



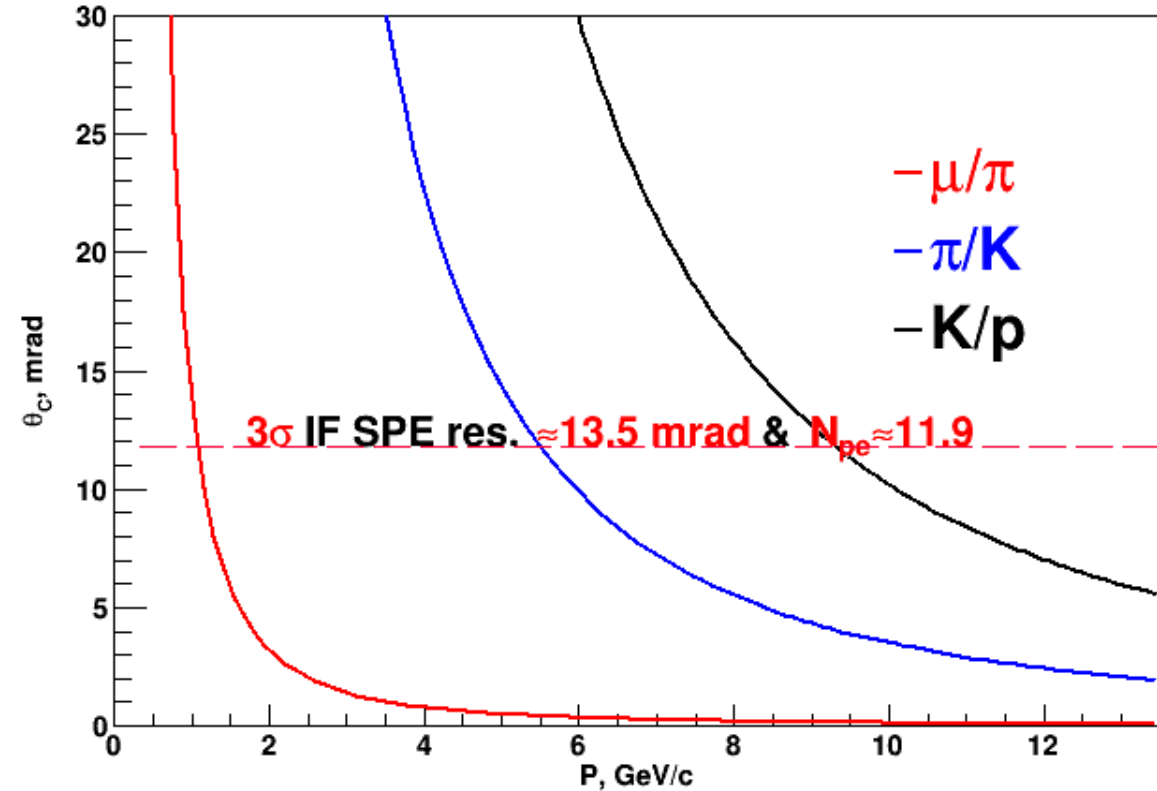
### 2x548 PMTs:

- 2x35kPixels
- Pixel ~6x6 mm

### Existing FEE aka DiRICH (GSI):

- 1 DiRICH board per each 6 PMTs
- 2x100 DiRICHs per 2 end-caps
- 2x100 optical links to DAQ sys.
- 10÷20 TRB3 interfaces

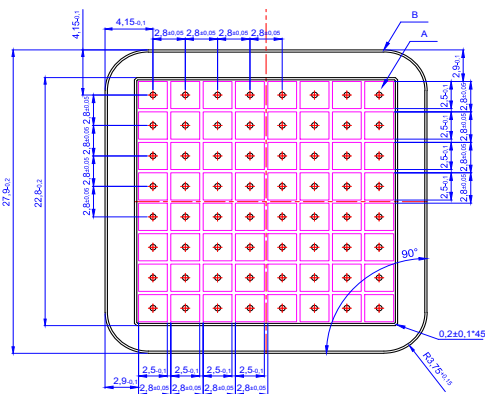
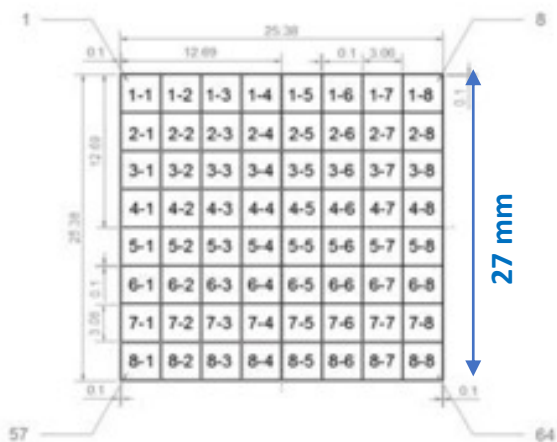
## Expected performance:



- $\pi/K$ –separation up to 5 GeV/c
- $\mu/\pi$ –separation up to 1.1 GeV/c
- $K/p$ –separation from 3 to 9 GeV/c

# FARICH based on 3x3mm pixels

## Suitable PDs with 3x3mm pixels



**MCP PMT**, Ekran FEP (RU)

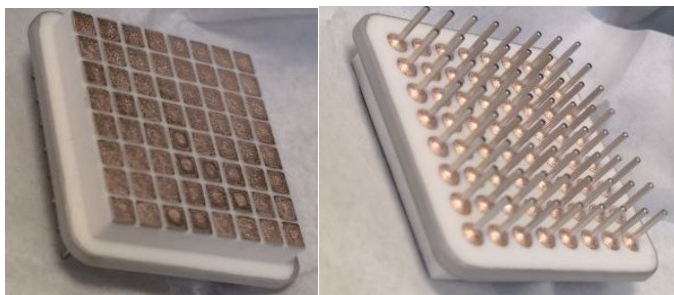
64 2.5x2.5mm pixels

Still under R&D

**SiPM arrays**, Joinbon (China)  
64 3x3mm pixels, PDE~40%  
DCR ~ $1 \div 2 \cdot 10^6$  cps/ch. @300°K

### 2x2600 PMTs:

- 2x166.4kPixels
- Pixel 3x3 mm

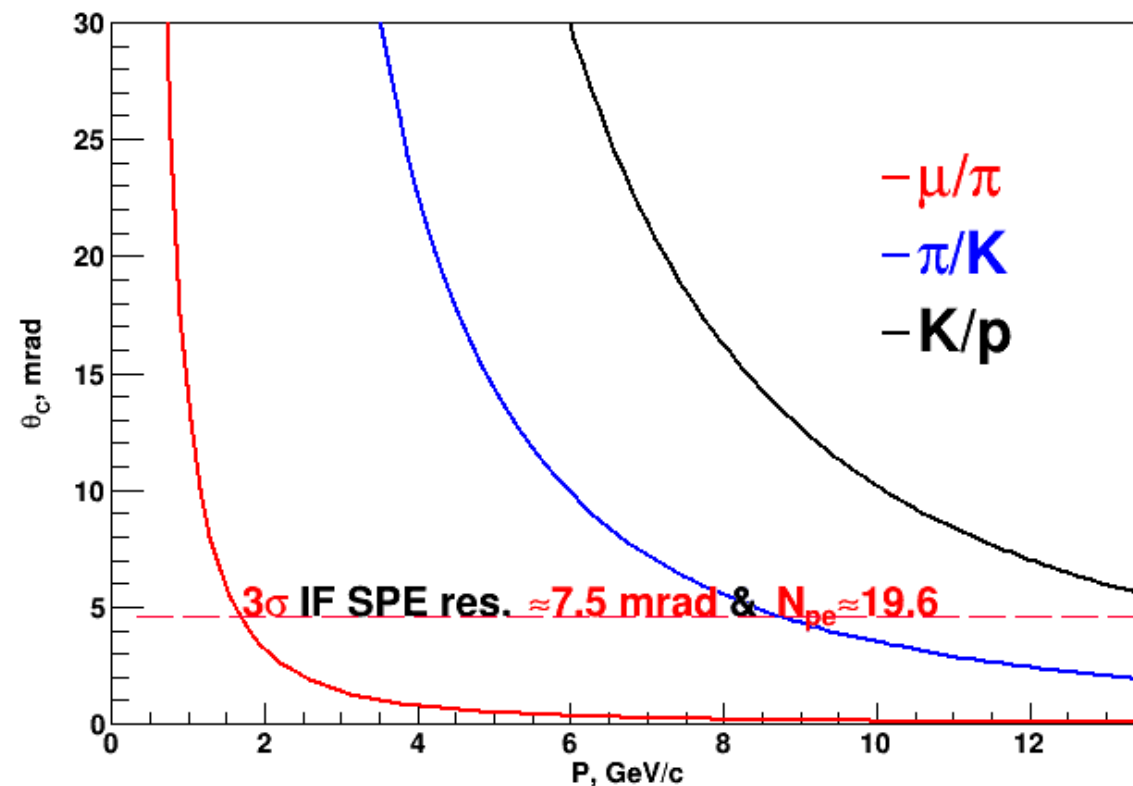


### Specialized FEE is required!!!

- FaRICH-Auslese-System (GSI) – design inspired by DiRICH approach to readout of SiPM arrays or MCP PMTs with 3x3mm pixels?!
- ASICs developed at BINP for SR detector to readout Hybrid Photo Detectors?!

## Expected performance:

pixel  $\square$  3 mm



- $\pi/K$ –separation up to 8.5 GeV/c
- $\mu/\pi$ –separation up to 1.7 GeV/c
- $K/p$ –separation from 3 to 14 GeV/c

# Momentum measurements with FARICH

$$\frac{\sigma_p}{p} = \gamma^2 \cdot \frac{\sigma_\beta}{\beta}$$

$$\frac{\sigma_p}{p} = \gamma^2 \cdot \tan \theta \cdot \sigma_\theta^{tr}$$

$$\sigma_\theta^{tr} = \frac{\sigma_\theta^{1pe}}{\sqrt{N_{pe}(p)}} = \frac{\sigma_\theta^{1pe}}{\sqrt{N_{pe}^{\beta=1}}} \cdot \frac{p\sqrt{n^2 - 1}}{\sqrt{p^2(n^2 - 1) - m^2}}$$

$$\frac{\sigma_p}{p} = \frac{p\sqrt{p^2 + m^2}}{m^2} \sqrt{n^2 - 1} \cdot \frac{\sigma_\theta^{1pe}}{\sqrt{N_{pe}^{\beta=1}}},$$

where  $m$  – particle mass,  $p$  – particle momentum,  $n = 1.05$  – refractive index of aerogel,  $\sigma_\theta^{1pe}$  and  $N_{pe}^{\beta=1}$  are single photon Cherenkov angle resolution and number of detected photons per track correspondingly measured with relativistic electron beams.

