

MC generator **KaTie**¹ for modeling of hard processes at the NICA

L. Alimov[†], A. Chernyshev^{†,2}, and V. Saleev^{†,*}

[†]Samara University

^{*}Joint Institute for Nuclear Research

VII SPD Collaboration Meeting

20–24 May, 2024

Almaty

¹A. Van Hameren, «KaTie: For parton-level event generation with k_T -dependent initial states», Comput.Phys.Commun 224 (2018);

²Email: aachernyshoff@gmail.com

Outline

① Introduction

② Factorization approaches

③ KaTie

- Hard SPD processes in KaTie

④ KaTie + Pythia

⑤ Conclusions

Introduction

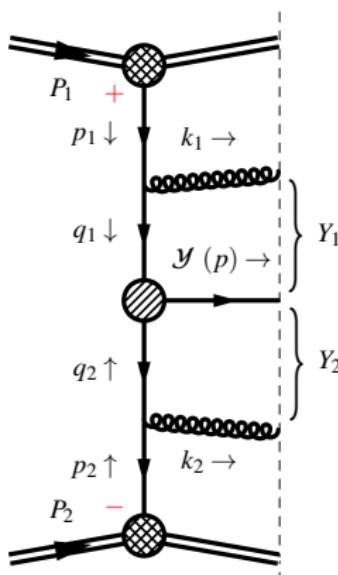
Gluon probes at NICA SPD:

- ▶ **Different charmonia states production:** $\eta_c[1S]$, $\psi[1S]$ (J/ψ), $\psi[2S]$.
 - ▶ Description of hadronization of $c\bar{c}$ pair is based on *phenomenological models*: *CSM, NRQCD, (I)CEM*;
 - ▶ Event generators:
 - ▶ Pythia 6., 8. \leftarrow parton showers;
 - ▶ MadGraph5_aMC@NLO [Alwall et.al. '14] \leftarrow parton level + matching with parton showers;
 - ▶ ...
- ▶ **Open charm production:** D^0/\bar{D}^0 .
 - ▶ Usually description of hadronization of $c \rightarrow D^0/\bar{D}^0$ is based on *fragmentation mechanism*;
 - ▶ Calculations can be included in any pQCD event generator.
- ▶ **Prompt photons:**
 - ▶ Fully perturbative process at parton level;
 - ▶ Event generators:
 - ▶ Pythia 6., 8. \leftarrow parton showers;
 - ▶ Sherpa [Gleisberg et.al. '09] \leftarrow parton showers;
 - ▶ Jetphox [Catani et.al. '02] \leftarrow parton level;
 - ▶ ...
- ▶ All of this generators use the *collinear factorization approximation* $\mu_F \sim p_T \gg \Lambda$.
- ▶ At the NICA kinematical range *we plan to study TMD PDF's*.

Factorization approaches³

There are 3 conventional factorization approaches (in any case: $q_1^+ \gg q_1^-$ and $q_2^- \gg q_2^+$):

- Collinear Parton Model (CPM): $|\mathbf{q}_{T_i}| \ll \mu$



$$d\sigma_{\text{CPM}} = [f(x_1, \mu^2) \times f(x_2, \mu^2)] \otimes d\hat{\sigma}_{\text{CPM}} + O(\Lambda^2/\mu^2),$$

where $f(x_i, \mu^2)$ is integrated over $|\mathbf{q}_{T_i}|$ (collinear) PDF's $\leftarrow \text{DGLAP}$;

- Transverse Momentum Dependent (TMD)[Collins '11]: $|\mathbf{q}_{T_i}| \ll \mu$

$$d\sigma_{\text{TMD}} = [F(x_1, \mathbf{q}_{T_1}, \mu^2, \mu_Y^2) \times F(x_2, \mathbf{q}_{T_2}, \mu^2, \mu_Y^2)] \delta^{(2)}(\mathbf{q}_{T_1} + \mathbf{q}_{T_2} - \mathbf{p}_T) \otimes d\hat{\sigma}_{\text{CPM}} + O(\Lambda^2/\mu^2, \mathbf{p}_T^2/\mu^2),$$

where $F(x_i, \mathbf{q}_{T_i}, \mu^2, \mu_Y^2)$ is TMD PDF's $\leftarrow \text{Collins-Soper eq.}$;
 $\text{(See K. Shilyaev talk)}$

- High Energy Factorization (HEF)
a.k.a. k_T -factorization[Gribov et.al. '83; Catani et.al. '91]: $|\mathbf{q}_{T_i}| \sim \mu$ and $Y_i \gg 1$

$$d\sigma_{\text{HEF}} = [\Phi(x_1, \mathbf{q}_{T_1}, \mu^2) \times \Phi(x_2, \mathbf{q}_{T_2}, \mu^2)] \otimes d\hat{\sigma}_{\text{HEF}} + O(\Lambda^2/\mu^2, \mu^2/s),$$

where $\Phi(x_i, \mathbf{q}_{T_i}, \mu^2)$ is unintegrated PDF's (u PDF's) $\leftarrow \text{models. TMD} \neq \text{HEF}$

³We use Sudakov notation $\forall p: p = (p^+ n_- + p^- n_+)/2 + p_T$, so $y(p) = (1/2) \ln(p^+/p^-)$.

uPDF's

The uPDF's must include DGLAP evolution and small x effects:

PRA = Reggeized amplitudes + mKMRW uPDF's

- We use uPDF's calculated in **modified Kimber–Martin–Ryskin–Watt model** [Nefedov, Saleev '20; KMR '01; MRW '03]:

- mKMRW-MSTW2008lo90cl \leftarrow LO collinear input;
- mKMRW-CT18NLO \leftarrow NLO collinear input;
- Normalization condition holds exactly:

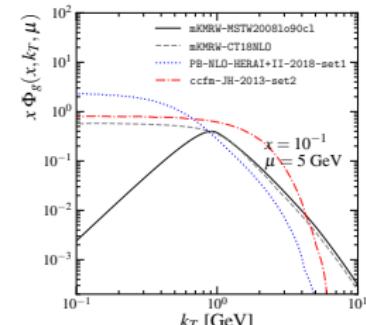
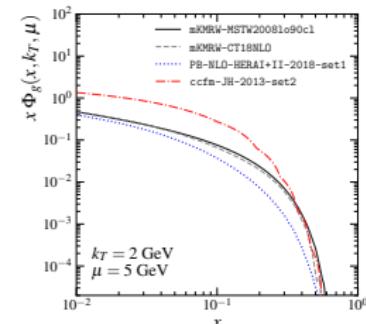
$$\int^{\mu^2} d\mathbf{q}_T^2 \Phi(x, \mathbf{q}_T, \mu^2) = x f(x, \mu^2), \quad \forall x, |\mathbf{q}_T|$$

- In the region $|\mathbf{q}_T| \ll \mu_F$:

$$\Phi(x, \mathbf{q}_T, \mu^2) \simeq F(x, q_T, \mu_F^2, \mu_Y^2 = \mu_F^2) \rightarrow \text{PRA} \simeq \text{TMD} + \mathcal{O}\left(\frac{p_T^2}{\mu^2}\right)$$

- A large number (~ 30) of different uPDF's are collected in **TMDlib 2.x** [Jung et.al. '21]:

- PB-NLO-HERAI+II-2018-set1 \leftarrow Particle Branching method;
- ccfm-JH-2013-set2 \leftarrow Monte–Carlo CCFM equation solution.



KaTie overview

The main aspects of KaTie [Hameren '18]:

(see manual for details)

- ▶ KaTie is a **parton level** event generator, i.e. without *parton showers*;
- ▶ On-shell initial states $|\mathbf{q}_T| \ll \mu \dashrightarrow f(x, \mu^2)$ —standard tree-level CPM calculations:
 - ▶ Collinear PDF sets from LHAPDF [Buckley et.al. '14];
- ▶ Initial states can be off-shell $q^2 = -\mathbf{q}_T^2 \dashrightarrow \Phi(x, \mathbf{q}_T, \mu^2)$ or $\Phi(x, \mathbf{q}_T)$ —HEF calculations;
 - ▶ **uPDF's** from TMDlib 2.x [Jung et.al. '21] or **user grids with format**:

$$\ln(x) \quad \ln(|\mathbf{q}_T^2|) \quad x\Phi(x, |\mathbf{k}_T|) \quad \text{or} \quad \ln(x) \quad \ln(|\mathbf{q}_T^2|) \quad \ln(\mu^2) \quad x\Phi(x, |\mathbf{k}_T|, \mu)$$

- ▶ At $p_T \ll \mu$ KaTie may be used for TMD calculations with TMD PDF's, f.e. with *Generalized PM* PDF's:

$$F(x, |\mathbf{q}_T|, \mu^2) = f(x, \mu^2) \times G(|\mathbf{q}_T|), \quad G(|\mathbf{q}_T|) = \frac{1}{\pi \langle \mathbf{q}_T^2 \rangle} \exp \left[-\frac{\mathbf{q}_T^2}{\langle \mathbf{q}_T^2 \rangle} \right]$$

- ▶ Fully numerical method for calculating **gauge-invariant** amplitudes up to order $O(e^n g^m)$, $n+m \leq 4$
 - ◀ spinor amplitudes formalism and off-shell BFCW recurrence relations [Hameren et.al. '13] numerically equivalent to the PRA amplitudes [Nefedov, Saleev, Shipilova '13];
- ▶ A good tools for working with kinematics:
 - ▶ A FORTRAN like syntax in input file;
 - ▶ extra_cuts.f90 for FORTRAN code blocks;
- ▶ Output files in LHE format \dashrightarrow connection with multipurpose generators like Pythia

I. Charmonia production

Improved Color Evaporation Model (ICEM) [Ma and Vogt '16]:

$$\frac{d\sigma_{\psi[1S]}}{d^3 p} \simeq \mathcal{F}^\psi \times \int_{M_\psi}^{2M_D} dM d^3 \mathbf{p}' \delta^{(3)} \left(\mathbf{p} - \frac{M_\psi}{M} \mathbf{p}' \right) \frac{d\sigma_{c\bar{c}}}{dM d^3 p'}$$

► FACTOR \mathcal{F}^ψ IS A PROBABILITY OF HADRONIZATION.

At NICA energies we obtained [A.C. and V. Saleev '22]:

$$R = \frac{\sigma_{q\bar{q} \rightarrow \psi[1S]X}}{\sigma_{gg \rightarrow \psi[1S]X} + \sigma_{q\bar{q} \rightarrow \psi[1S]X}} \simeq 30\%$$

KaTie scheme:

i. Calculate $c\bar{c}$ production with mass cut:

cut source = {mass|1+2|} < 3.74D0

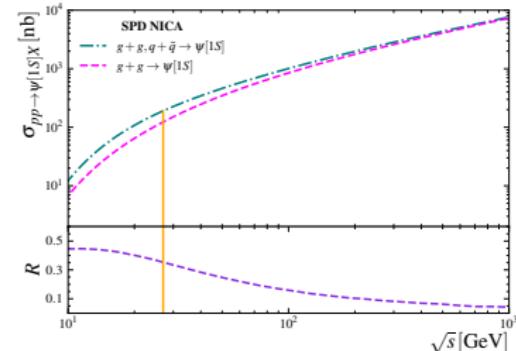
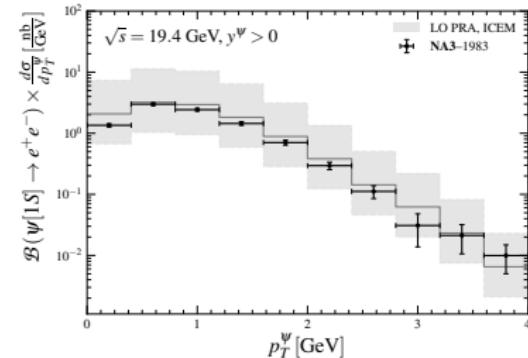
cut source = {mass|1+2|} > 3.10D0

ii. Set transverse momentum cut:

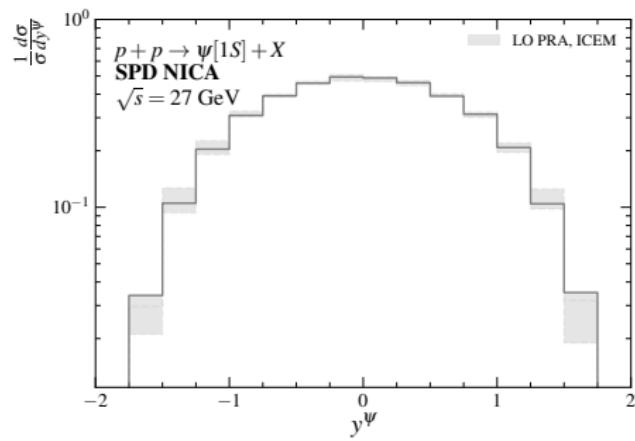
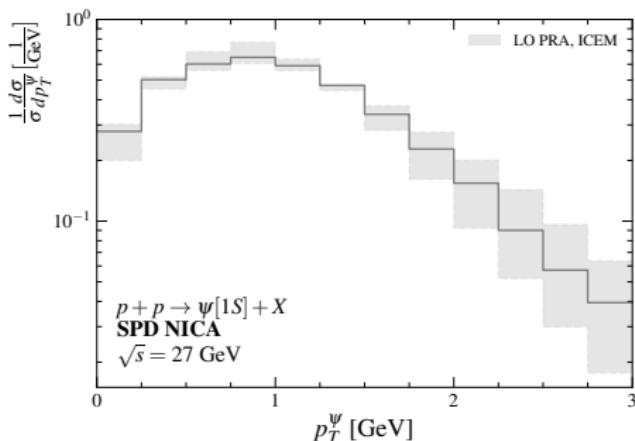
cut source = if

((3.10D0/{mass|1+2|}*{pT|1+2|}).gt.4.0D0)

REJECT



Predictions for $\psi[1S]$ production at the SPD NICA



Also see talks by

- ▶ A. Karpishkov, «Double longitudinal spin asymmetries in P -wave charmonium production at the NICA»;
- ▶ K. Shilyaev, «Small- p_T J/ψ production in the TMD parton model and NRQCD».

II. Open charm production (IN THIS WAY WE CAN ALSO CALCULATE FRAGMENTATION PHOTONS PRODUCTION)

Fragmentation approach:

$$\frac{d\sigma_D}{d^2 p_T^D dy^D} = \mathcal{D}(z) \otimes \frac{d\sigma_{c\bar{c}}}{d^2 p_T^c dy^c}, \quad z > z_{\text{cut}} = \frac{M_D}{p_c^0 + |\mathbf{p}_c|},$$

we use *Peterson FF*:

$$\mathcal{D}(z) = \mathcal{N} \frac{z(1-z)^2}{[(1-z)^2 + \epsilon z]^2}, \quad \int_0^1 dz \mathcal{D}_{c \rightarrow D}(z) = P_{c \rightarrow D},$$

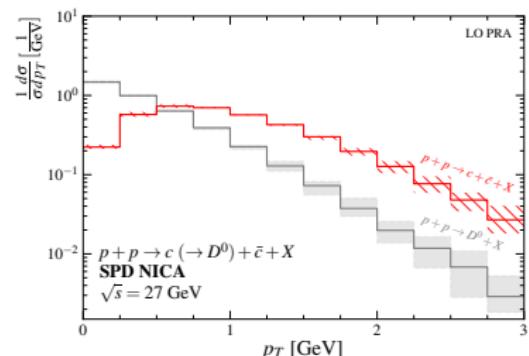
with $\epsilon = 0.06$, probabilities $P_{c \rightarrow D}$ from [Gladilin '99].

KaTie scheme:

- Calculate production of $c\bar{c}$ pair;
- Generate $z \leftarrow \mathcal{D}(z)$ or reweight events with $z \leftarrow \frac{1}{1-z_{\text{cut}}}$:
 $W_D = W_c \times P(c \rightarrow D) \times \mathcal{D}(z) \times (1 - z_{\text{cut}});$
- Apply *collinear massive fragmentation scheme*:

$$\frac{\mathbf{p}_c}{|\mathbf{p}_c|} = \frac{\mathbf{p}_D}{|\mathbf{p}_D|}, \quad z = \frac{p_D^0 + |\mathbf{p}_D|}{p_c^0 + |\mathbf{p}_c|}.$$

Predictions for D^0 production at the SPD NICA



Also see

talk by A. Karpishkov at International Conference on Quantum Field Theory,

21.07.2022, [URL](#).

NLO^{*} CPM calculations with KaTie

LO CPM $2 \rightarrow 2$: processes of order $\mathcal{O}(\alpha_s^2)$ are finite:

$$\begin{aligned} g + g &\rightarrow c + \bar{c}, \\ q + \bar{q} &\rightarrow c + \bar{c}. \end{aligned}$$

NLO^{*} CPM $2 \rightarrow 3$: first α_s real correction of order $\mathcal{O}(\alpha_s^3)$:

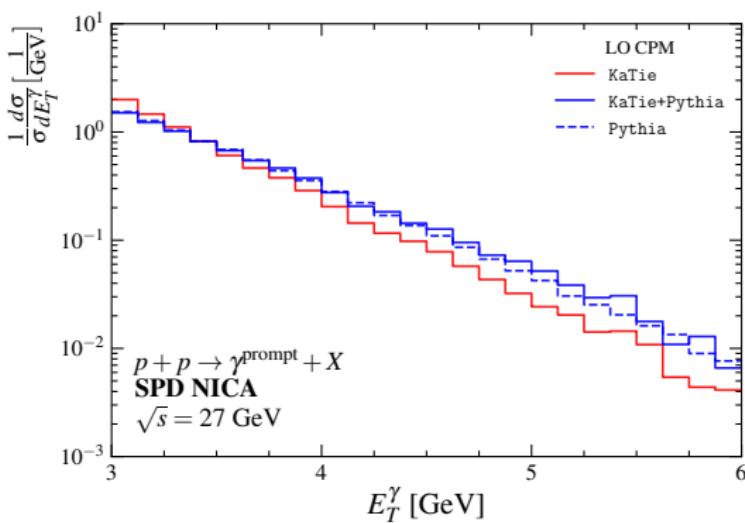
$$\left. \begin{aligned} g + g &\rightarrow c + \bar{c} + g(k'), \\ q + \bar{q} &\rightarrow c + \bar{c} + g(k'), \\ g + q &\rightarrow c + \bar{c} + q(k') \end{aligned} \right\} \quad \text{infrared diverge } |\mathbf{k}'_T| \rightarrow 0$$

Phenomenological **cutoff** at the lower limit and suppression function:

$$\sigma_{ij \rightarrow c\bar{c}g}(\lambda) \sim \int_0^\infty d|\mathbf{k}'_T| F_{\text{sup}}(|\mathbf{k}'_T|; \lambda) \times \dots, \quad F_{\text{sup}}(|\mathbf{k}'_T|; \lambda) = \frac{|\mathbf{k}'_T|^4}{(|\mathbf{k}'_T|^2 + \lambda^2)^2}$$

- ▶ *Suitable for describing data on charmonia production* [Cheung, Vogt '21];
- ▶ *Also can be applied to D mesons production* [Maciula, Szczerba '19].

KaTie with parton showers from Pythia 8

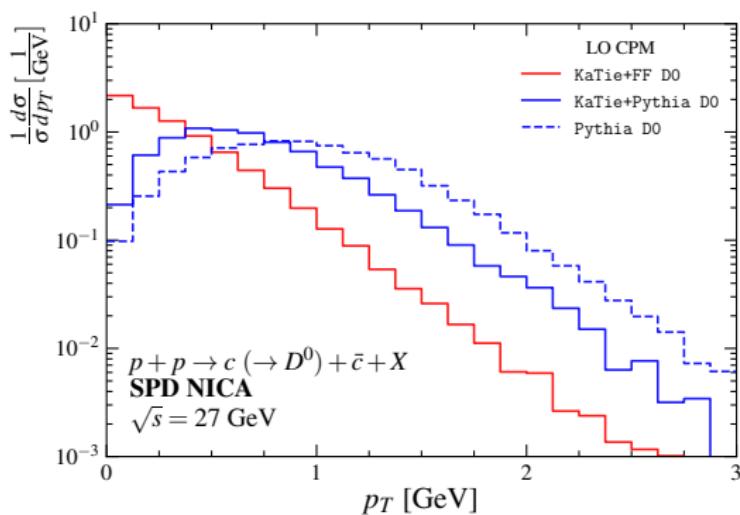


Pythia settings:

PartonLevel:ISR = on
PartonLevel:FSR = on
HadronLevel:Hadronize = on
HadronLevel:Decay = on

BeamRemnants:primordialKT = off

KaTie with parton showers from Pythia 8



Pythia settings:

PartonLevel:ISR = on
PartonLevel:FSR = on
HadronLevel:Hadronize = on
HadronLevel:Decay = on

BeamRemnants:primordialKT = off

Conclusions

- We have made a brief review of **KaTie** event generator;
- We have developed a scheme for calculating heavy quarkonia and D mesons production using **KaTie**;
- At the $p_T \ll \mu$ **KaTie** may be used for calculations in the TMD factorization;
- For the intermediate region $p_T \sim \mu$ we may use the PRA, which takes into account power corrections $\mathcal{O}(\mathbf{p}_T^2/\mu^2)$;
- **KaTie can be a powerful tool for calculating hard processes even at NICA energies.**

KaTie can be found at [Bitbucket/hameren/katie](#)

The efficiency of **KaTie** for calculating different hard processes at high energies was demonstrated in [\[A. van. Hameren et.al. '18–23\]](#) and some of our works [\[A. Chernyshev and V. Saleev '22–24\]](#).

*A. Chernyshev and V. Saleev would like to thank A. van Hameren for helpful discussions on **KaTie** program and H. Jung for help in **TMDlib 2.x** installation.*

Thank you for your attention!