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An approach to quantum channel state estimation based on machine learning models

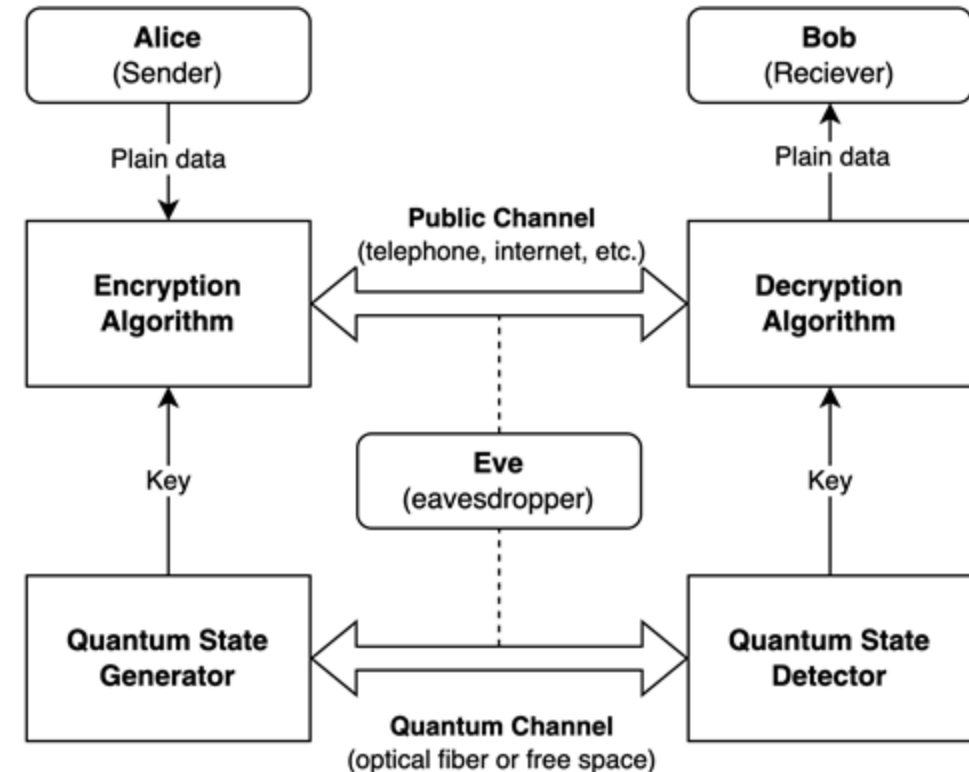
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Subject area

- Devices generate the secret key, used for message encryption
- The errors in transmitted key are fixed during error correction procedure
- LDPC-based correction is faster if initial parameters are optimal
- Precise estimation of error rate (QBER) leads to more effective correction procedure





Problem definition

- Let $Y(t) = \{y_1, y_2 \dots y_T\}$ - multivariate time series of T points
- Let $y_t = (E_t^\mu, E_t^{\nu1}, E_t^{\nu2}, Q_t^\mu, Q_t^{\nu1}, Q_t^{\nu2})$ – different transmitter characteristics
- Let $x_t = [y_t, y_{t-1} \dots y_{t-d}]$ – previous time series points
- We want to estimate conditional expected value of the future QBER
- $E_{forecast}^\mu = E[E_{t+1}^\mu | x_t]$



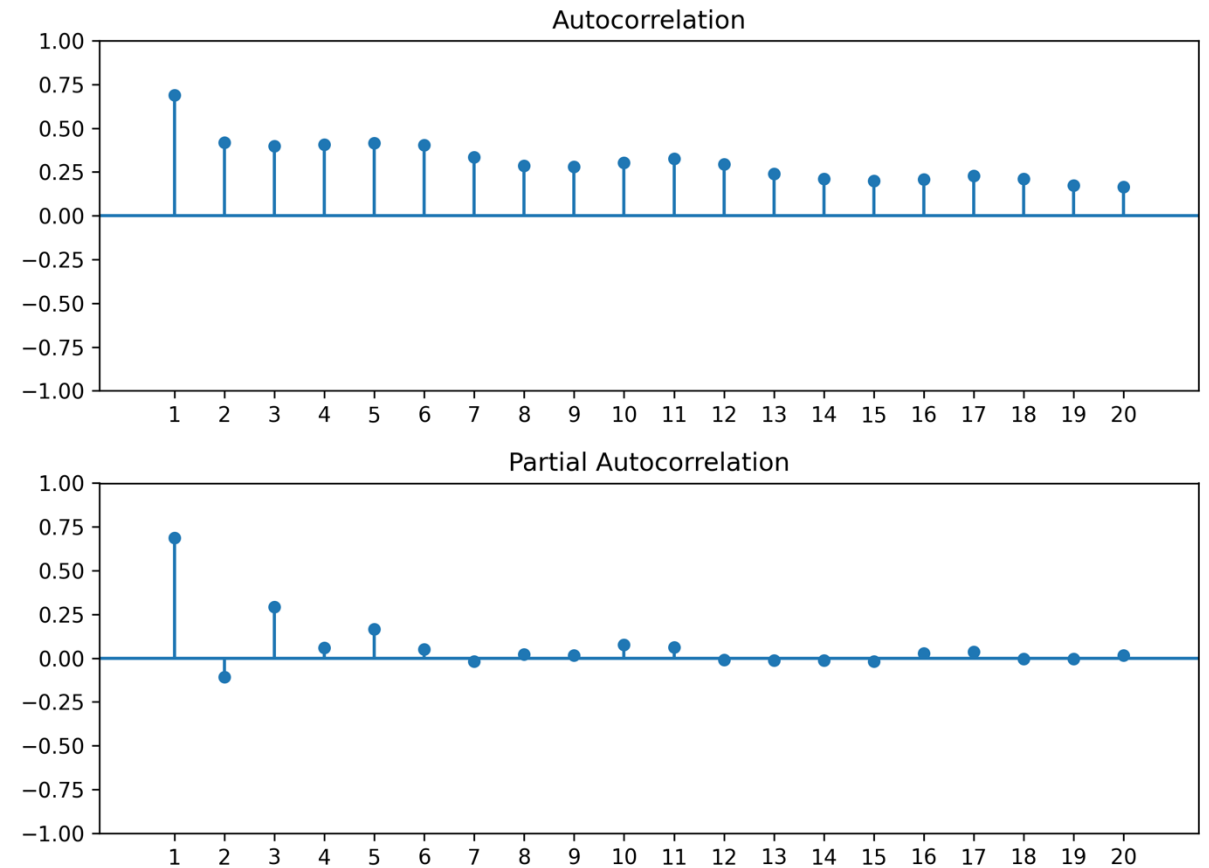
Problem definition

- Main metric for algorithm comparison is correction effectiveness f_{EC}
- Ratio of information actually used for reconciliation and theoretical estimation of minimum of disclosed information
- The closer to 1 – the better, usually around 1.2 - 1.3
- More precise estimation leads to better effectiveness



Existing solution

- Time series has strong autocorrelation
- Baseline utilizes exponential smoothing
- Empirically chosen $\alpha = 0.33$
- High mean squared error in non-stationary segments of time series





Machine learning approaches

- Best algorithms for time series forecasting rely on machine learning
- Statistical methods – exponential smoothing, ARIMA, regression
- Fully-connected, recurrent and transformer neural networks
- Gradient boosting on regression trees (GBRT)
- Ensembles of these algorithms



Gradient boosted exponential smoothing

- Time series specific modification with ES as first weak learner
- Does not require additional transformations
- More effective than neural networks, more precise than ES

$$\hat{y} = f_{AR}(x) + \alpha f_{GB}(x)$$
$$\sum_i (y_i - f_{AR}(x_i) - f_{GB}(x_i))^2 \rightarrow_{GB} \min$$



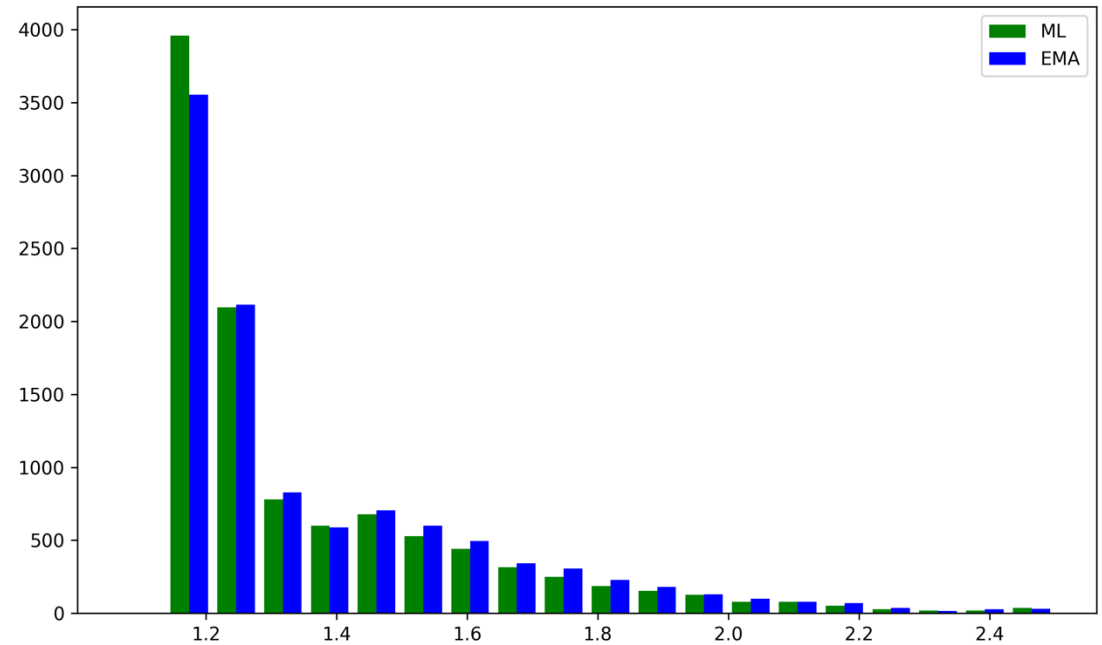
Forecasting metrics on test datasets

| Model | Exponential smoothing | ARIMA | Kernel regression | Multilayer Perceptron | LSTM | Transformer | Gradient Boosting | Gradient Boosted ES |
|-------|-----------------------|---------|-------------------|-----------------------|---------|-------------|-------------------|---------------------|
| R^2 | 0.42868 | 0.55983 | 0.58688 | 0.58804 | 0.61842 | 0.38473 | 0.62576 | 0.62838 |
| RMSE | 0.00543 | 0.00477 | 0.00462 | 0.00461 | 0.00452 | 0.00520 | 0.00435 | 0.00434 |
| MAE | 0.00336 | 0.00279 | 0.00268 | 0.00267 | 0.00277 | 0.00303 | 0.00252 | 0.00245 |
| MAPE | 0.13154 | 0.10892 | 0.10332 | 0.10085 | 0.10817 | 0.11804 | 0.09703 | 0.09463 |

- Gradient Boosted ES is the best model by offline evaluation

Experiments

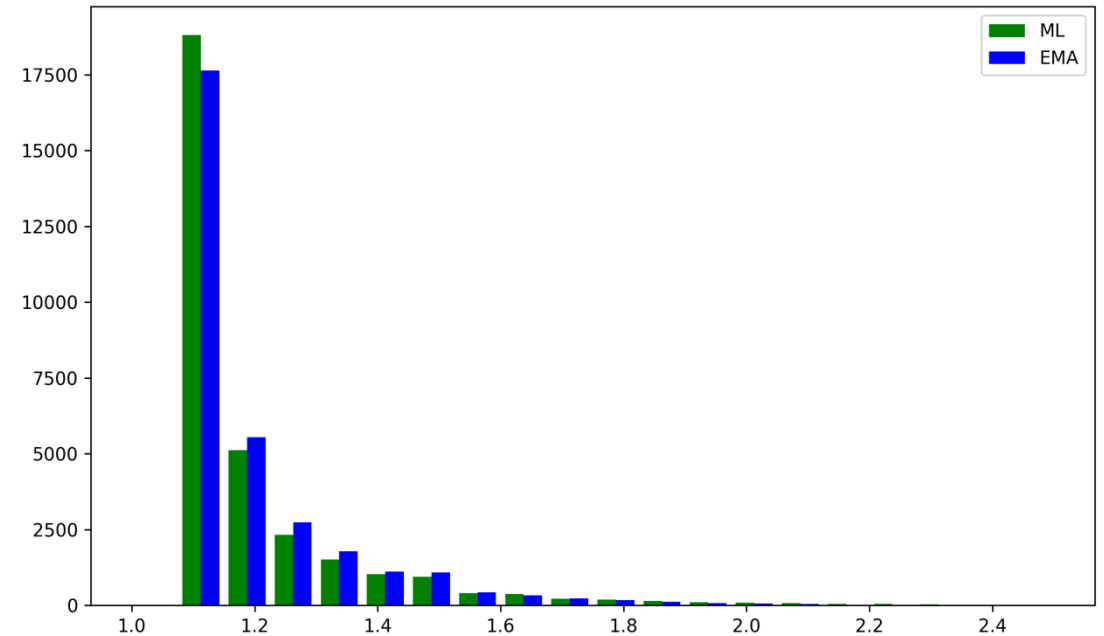
- Conducted on real transmitters using Thrift microservices
- Gradient Boosted ES as best model, trained on whole dataset
- Significant improvement of f_{EC}
- Mean equality test $pvalue \approx 10^{-6}$



$$\overline{f_{EC}^{ML}} = 1.349, \overline{f_{EC}^{EMA}} = 1.368$$

Experiments

- Not enough generalization leads to precision decrease with OOD samples
- GBRT with periodical retraining during runtime for real-time adaptation
- No significant improvement of f_{EC}
- Mean equality test $pvalue \approx 0.257$



$$\overline{f_{EC}^{ML}} = 1.229, \overline{f_{EC}^{EMA}} = 1.230$$



Conclusion

- Proposed forecasting techniques improve the quantum key correction speed in experiments on real transmitters
- In some condition model performance can decrease due to hard generalization and dominance of stable QBER time series in collected data
- The study will help advance the research of quantum cryptography and increase the quantum key generation speed in QKD systems



Thank you for attention!