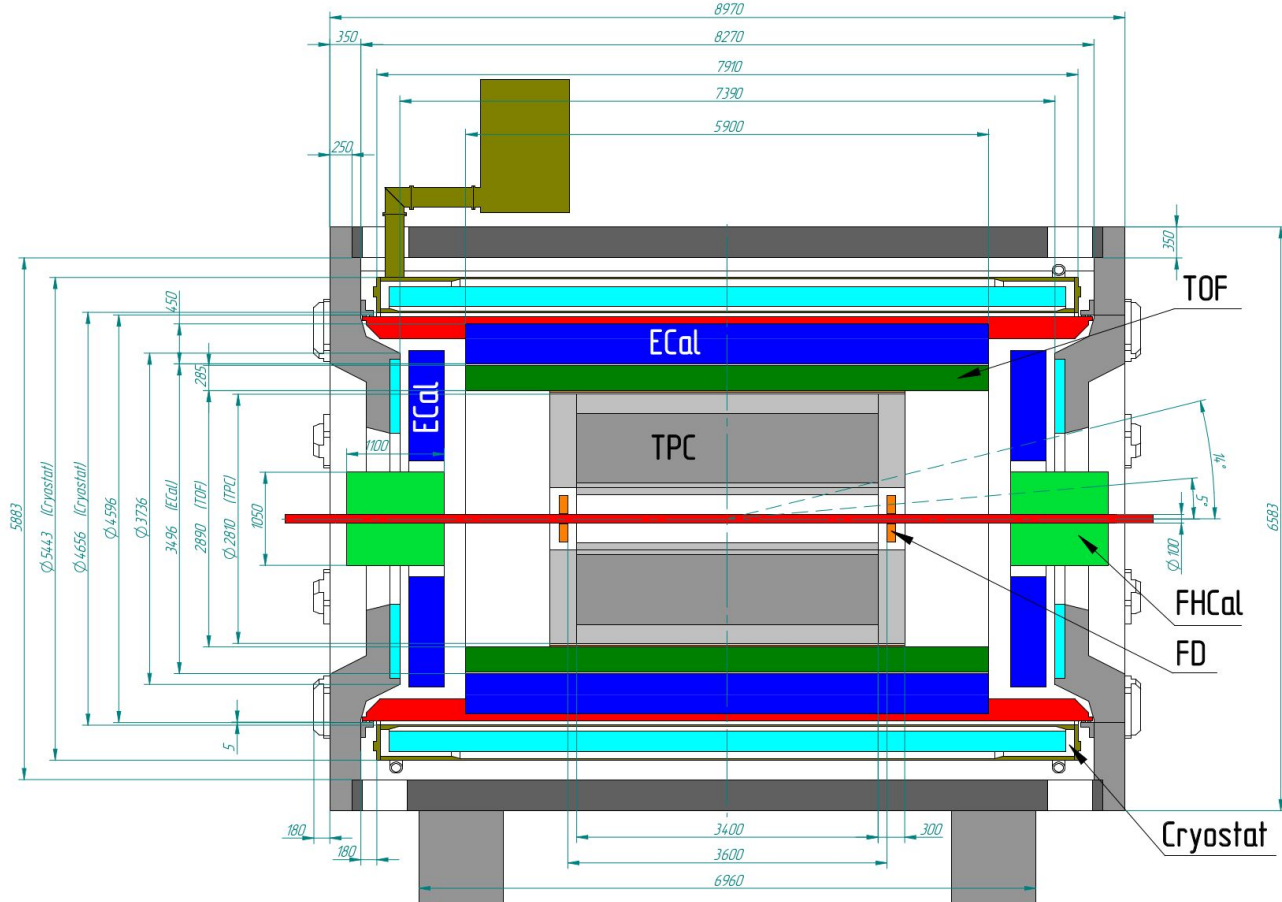


Forward upgrade: physics and possible solutions

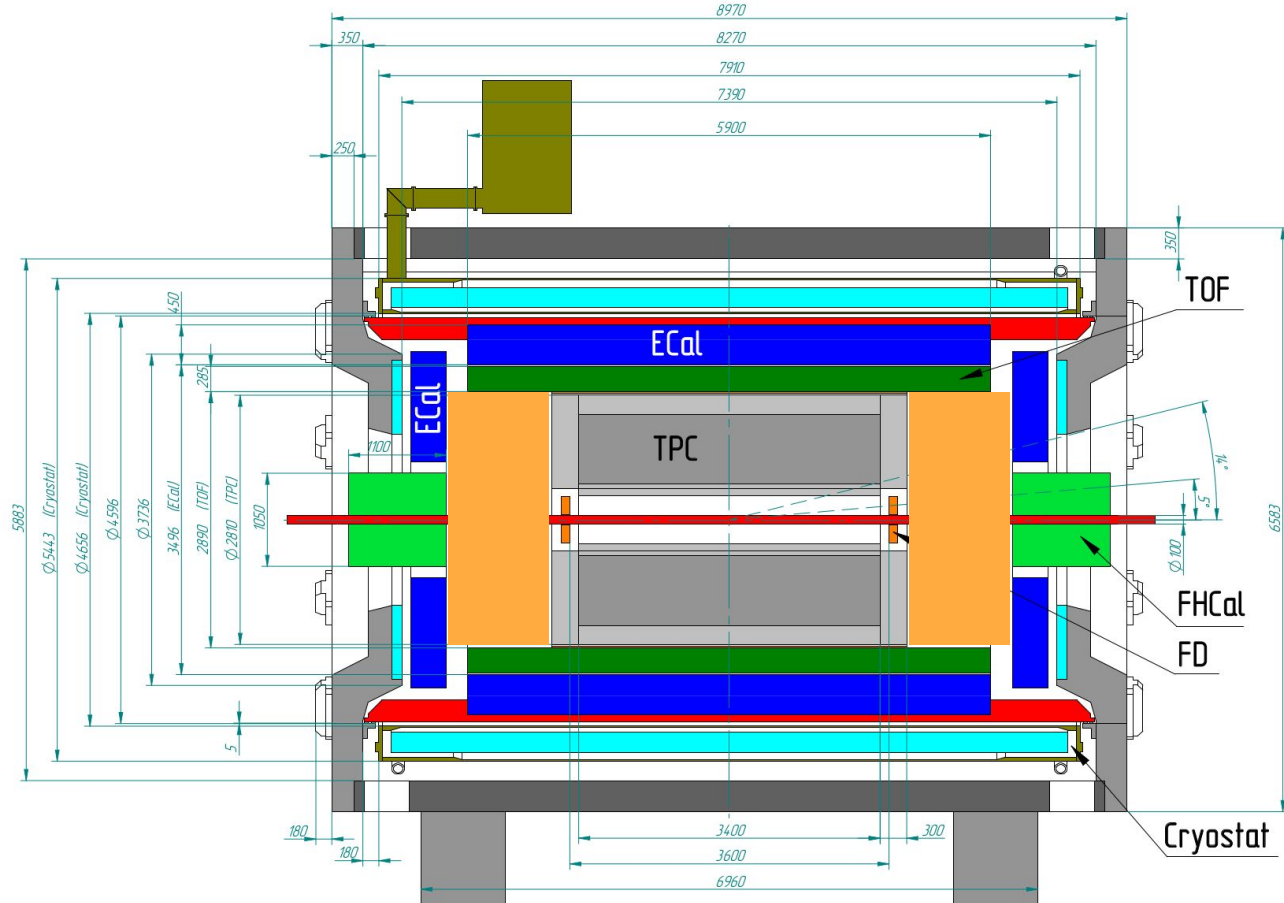
Evgeny Kryshen for the MPD collaboration
Petersburg Nuclear Physics Institute

The 2nd China-Russia Joint Workshop on NICA Facility
12 Sep 2024

Stage I setup



Extend rapidity coverage with forward tracker?

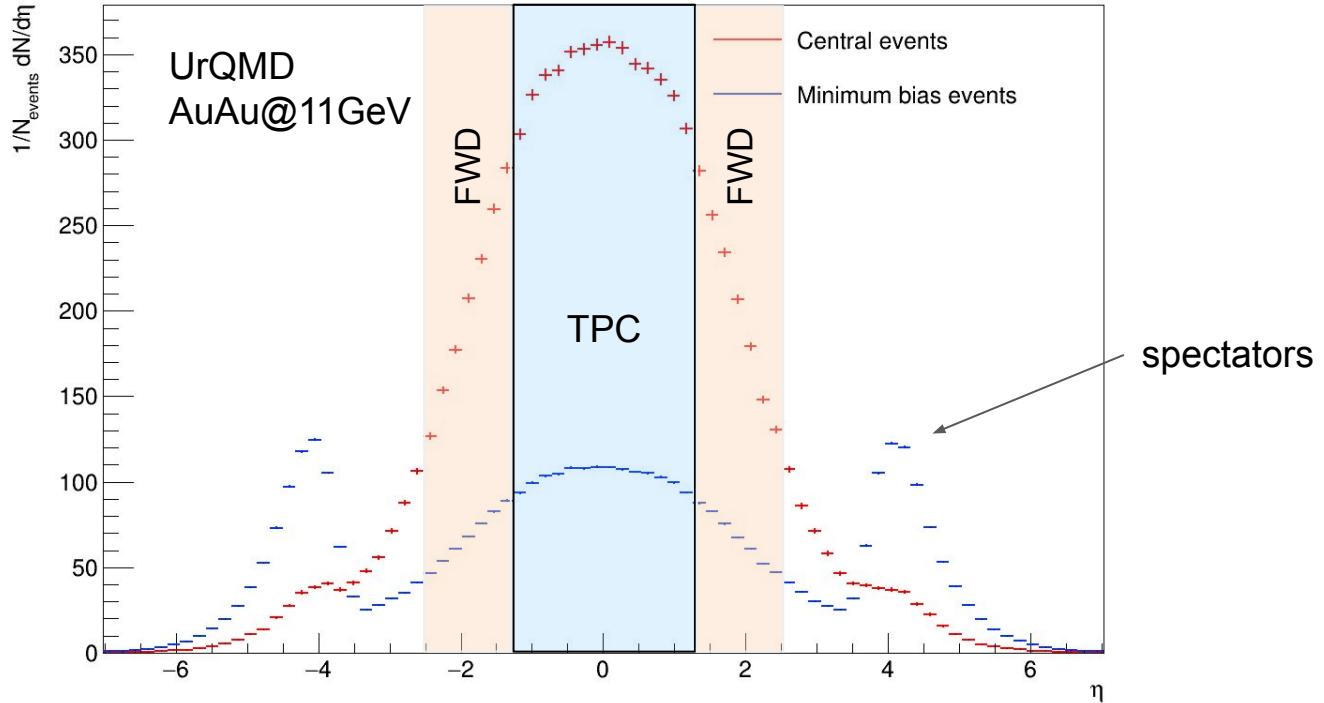


Outline

- Do we **need** forward tracker?
- Can we **integrate** the tracker in the current MPD setup?
- Can we **measure track momentum** at forward rapidities with existing solenoid field?
- What is the impact of **TPC endcaps** on the track resolution?
- **Track finding** at forward rapidity in high multiplicity collisions?
- Can we measure **PID** at forward rapidities?

Do we actually need forward tracker?

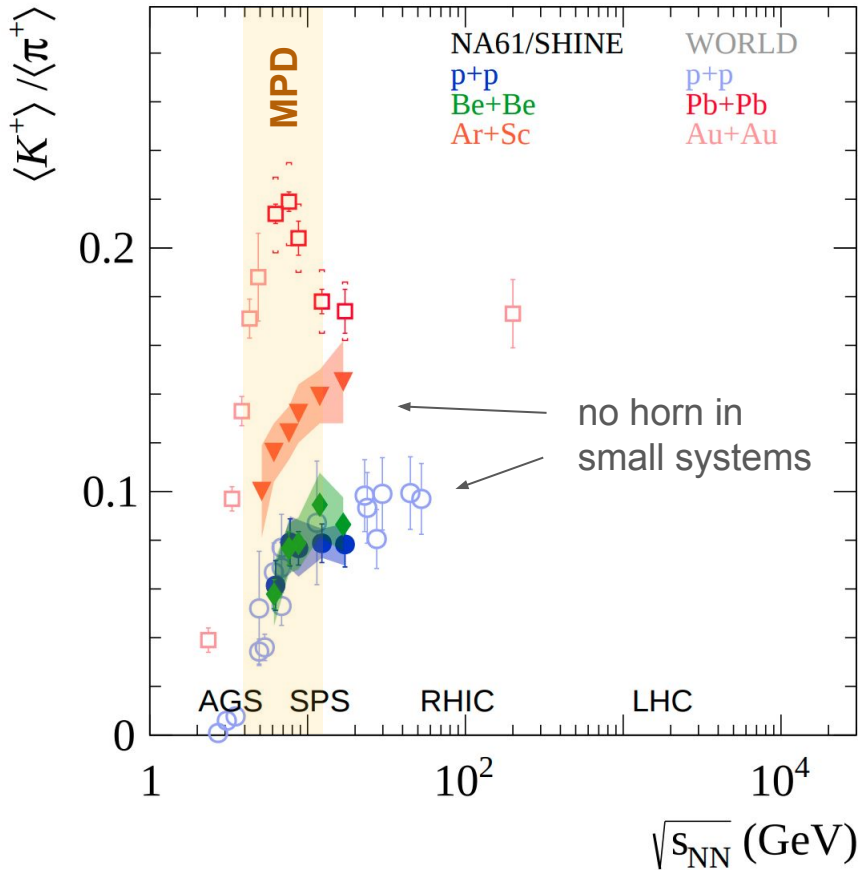
Pseudorapidity coverage



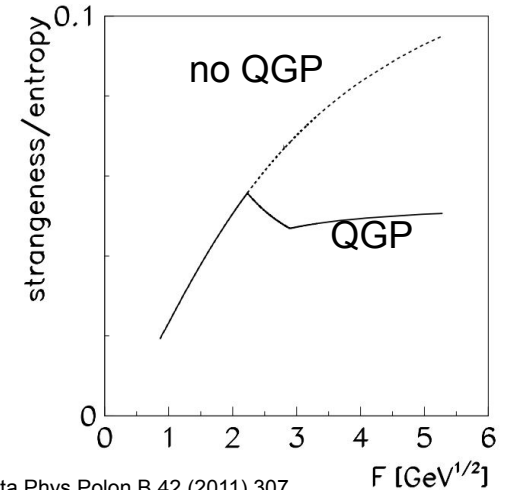
- TPC covers only ~55% of particle production yield in central events
- Forward tracker would allow us to cover more than 80%

The Horn

NA61: EPJC 84 (2024) 416

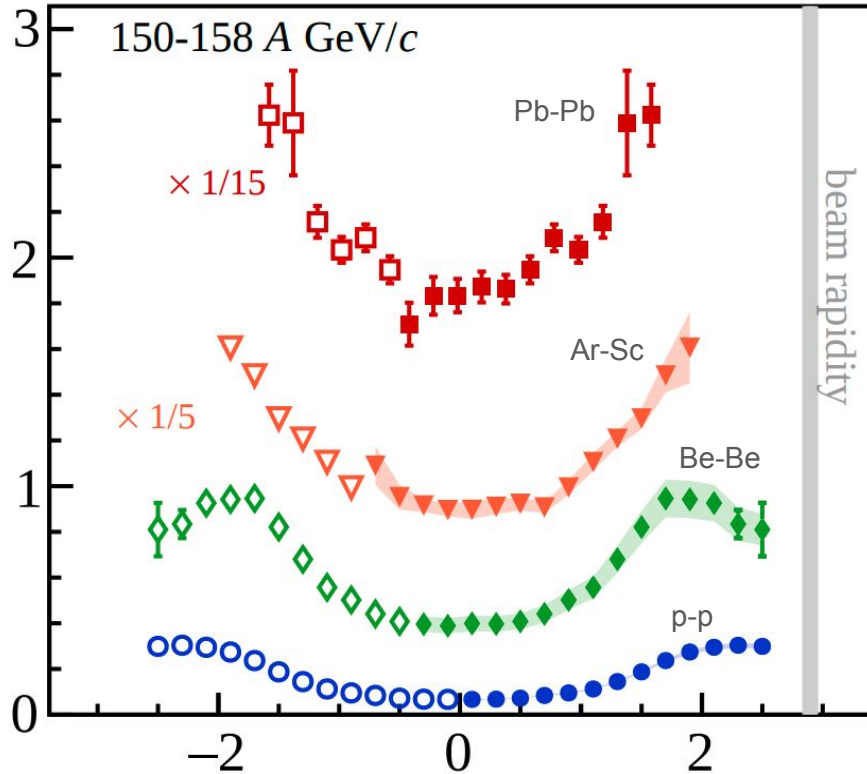


- **The horn:** sharp maximum in the ratio of strange particle to pion yields
- **Interpretation in statistical model (SMES):** change of strangeness / entropy ratio due to deconfinement transition
- For precision measurements, we need strange particle yields (K, Λ) in the full phase space including $1.2 < |\eta| < 2.0$

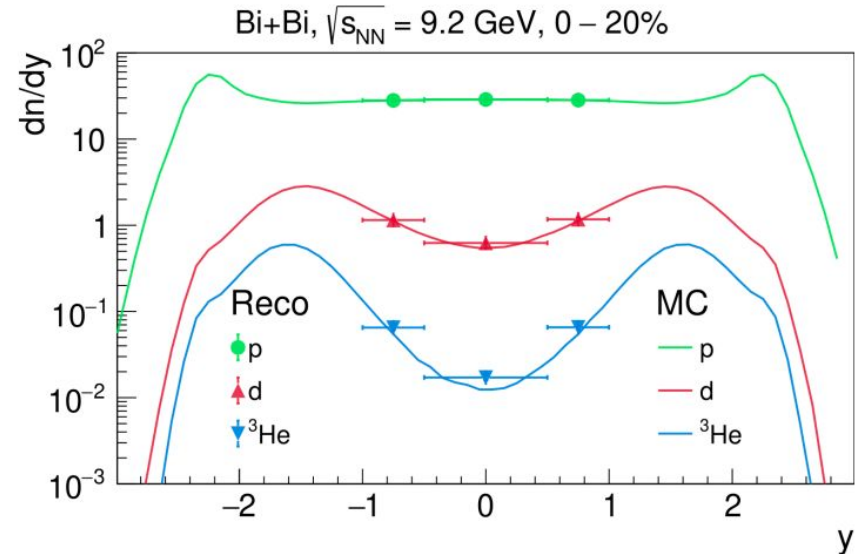


See also talk by V. Kolesnikov

Rapidity distributions for protons and light nuclei

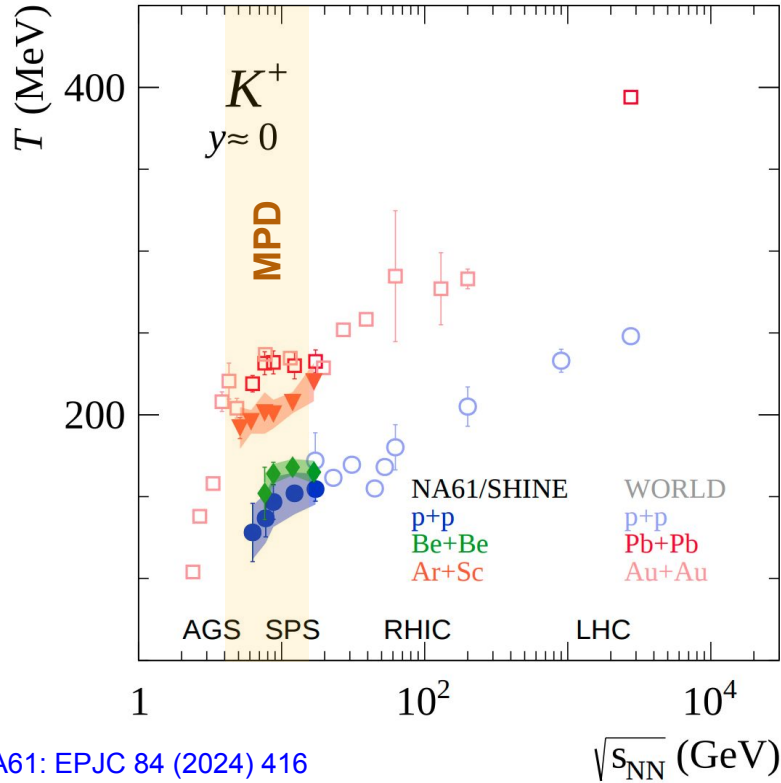


A forward tracker with PID may provide a substantial increase of MPD capability for baryon measurements (stopping, total yields)



See talk by V. Kolesnikov

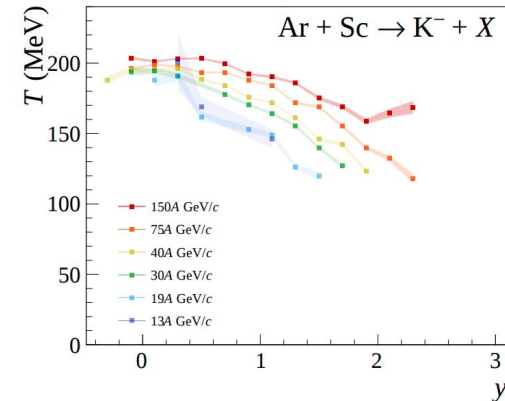
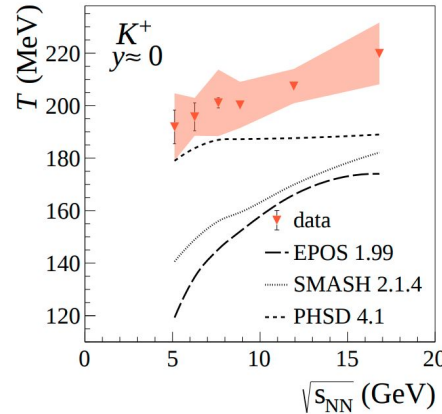
The Step



NA61: EPJC 84 (2024) 416

$$\frac{dN}{m_T dm_T} \cong C \exp\left(-\frac{m_T}{T^*}\right)$$

- **The Step:** flattening of the inverse slope parameter T^* extracted from m_T spectra of various particle species
- Interpretation in statistical model (SMES): mixed phase at early stages
- m_T or p_T spectra for various particle species at forward rapidity would be desirable



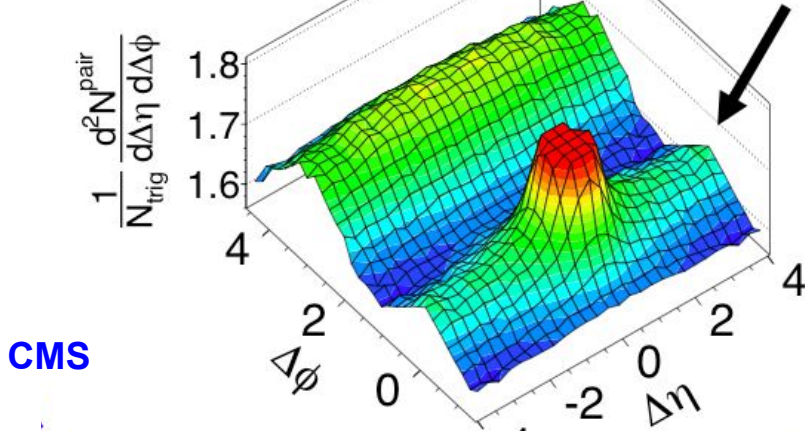
Angular correlation studies

CMS: Phys. Lett. B 718 (2013) 795

CMS pPb $\sqrt{s_{NN}} = 5.02$ TeV, $N_{trk}^{offline} \geq 110$

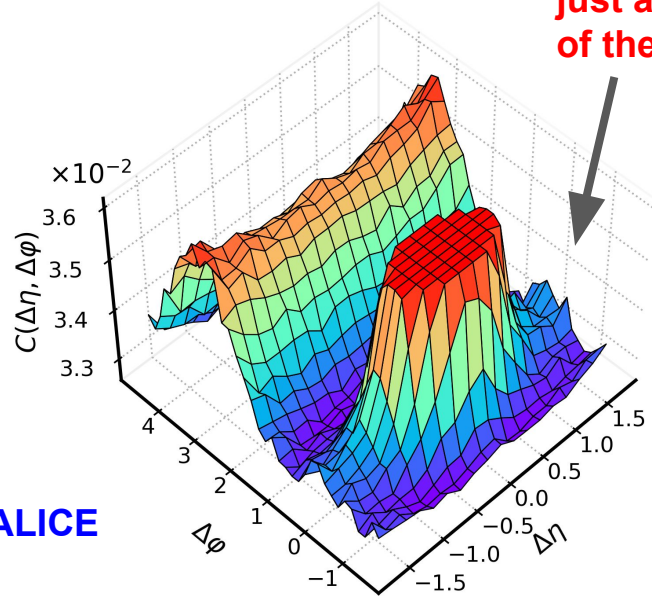
$1 < p_T < 3$ GeV/c

The ridge



CMS

The ridge or just a pedestal of the jet peak?



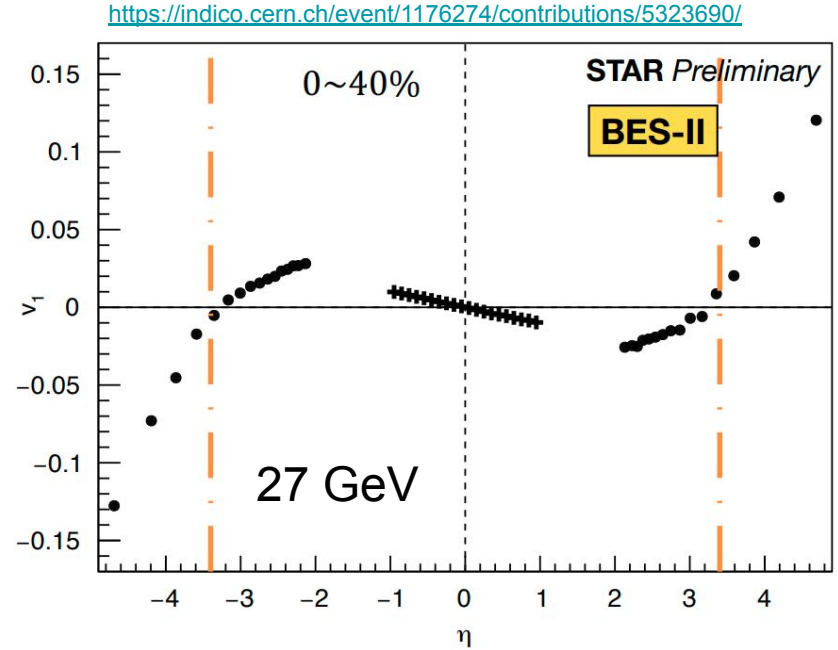
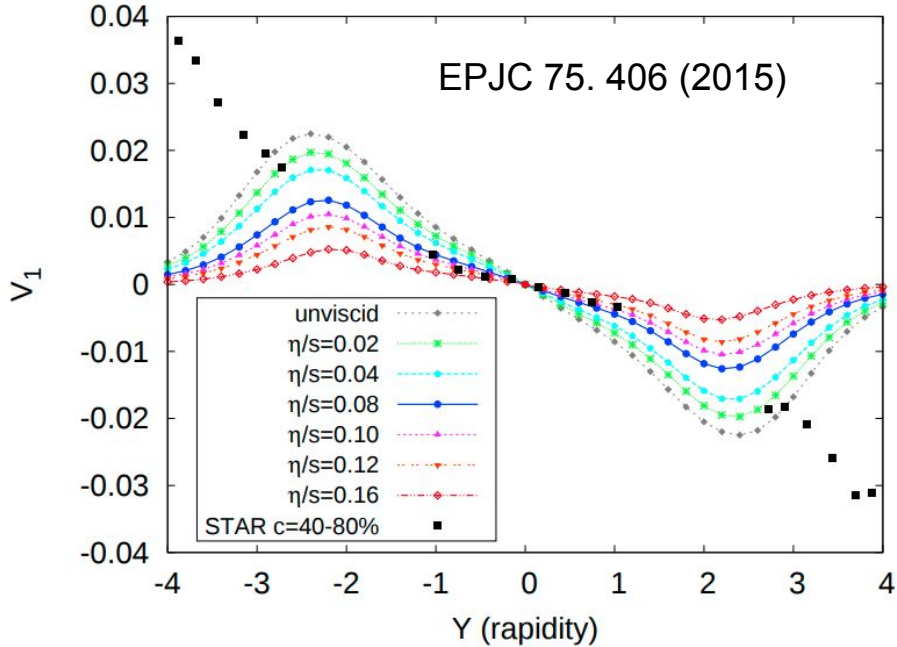
ALICE

ALI-PREL-538420

Angular correlation studies strongly profit from extended pseudorapidity coverage:

- stay away from jet peak
- much higher statistics for 4-particle and 8-particle cumulants
- study decorrelation effects vs η ...

Directed flow of charged pions

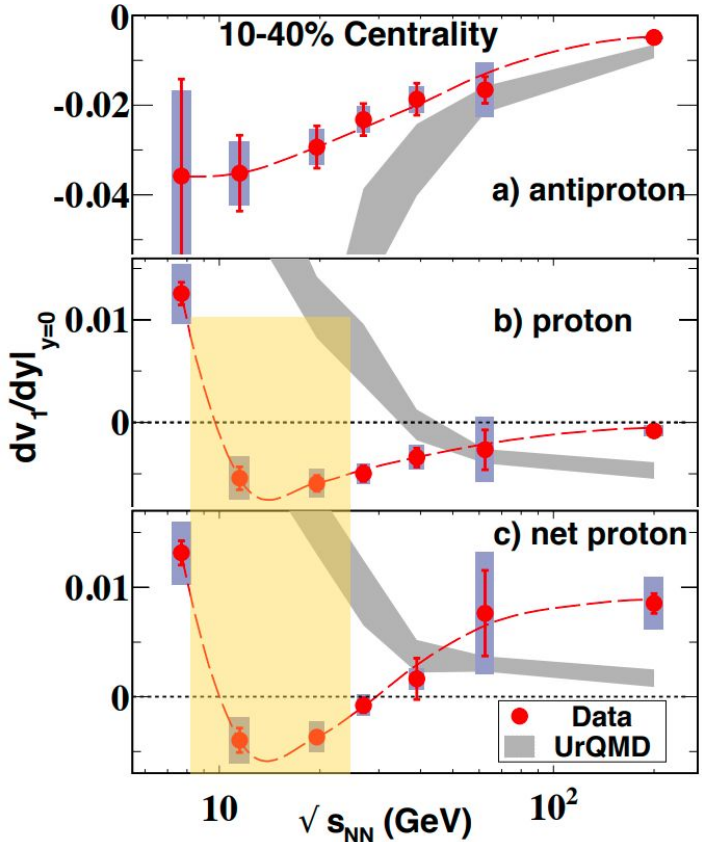


- $v_1(\eta)$ sensitive to the shear **viscosity to entropy** (η/s) ratio
- $v_1(\eta)$ in both spectator and participant regions may provide insights into the **baryon stopping** mechanism (see 2211.16408)
- **Need wide rapidity coverage!**

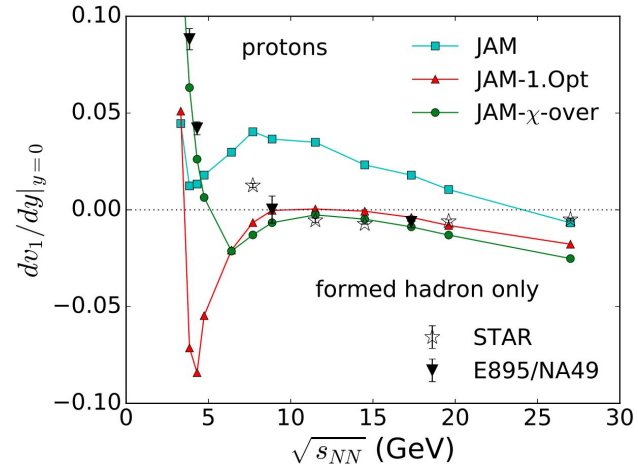
Directed flow of net protons

STAR BES II

10-40% Centrality



PLB 769 (2017) 543



- Model predicts sign change at ~ 5 GeV with 1st order phase transition
- proton and net-proton v_1 change sign around 10-20 GeV
- Need wide rapidity coverage to measure v_1 shape

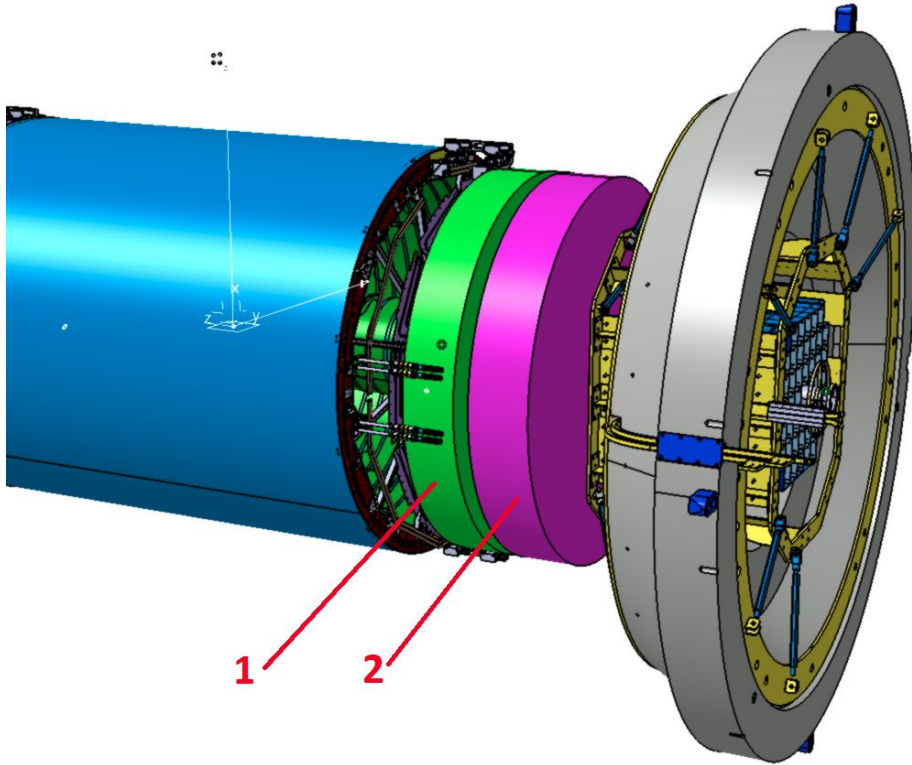
And more...

- **thermal photons** via conversions on TPC endcaps
- global **polarization of Λ hyperons**: rapidity dependence?
- improve precision of **centrality and reaction plane** determination
- improved **trigger efficiency** for small systems
- possibility to access various observables of the **SPD physics program** (need continuous readout)
- aspects of **non-perturbative QCD**, e.g. diffractive studies, QCD instanton
- and more ...

More ideas/suggestions for the physics program are highly welcome!

**Can we actually integrate the tracker in
the current MPD setup?**

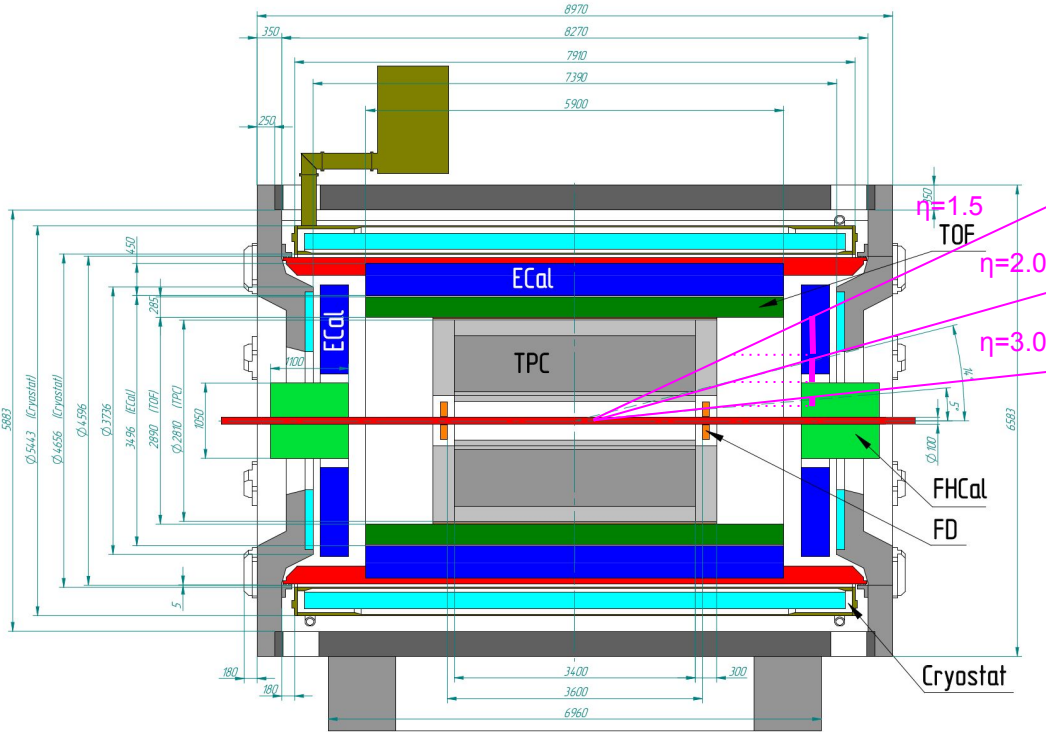
Limitations from realistic 3D model



- Radial limitations:
 - $R_{\text{inner}} = 357 \text{ mm}$
 - $R_{\text{outer}} = 1300 \text{ mm}$
- Two volumes possible:
 - Green: z from 2100 to 2450 mm
 - Pink: z from 2550 to 2950 mm
 - The gap due to beam pipe support (can be eliminated if beam pipe is fixed to the tracker volume)
- Resulting pseudorapidity coverage:
 - $\eta_{\text{min}} = 1.55$
 - $\eta_{\text{max}} = 2.47$

**Can we measure track momentum
at forward rapidities
with existing solenoid field?**

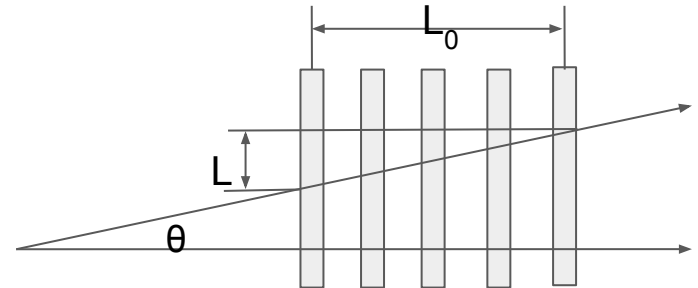
The problem



- Momentum resolution in the solenoid field is driven by the radial distance available for track curvature measurement
- Strongly degrades towards large η
- Two options to improve momentum resolution:
 - minimize multiple scattering effects (reduce effective radiation length)
 - improve hit resolution

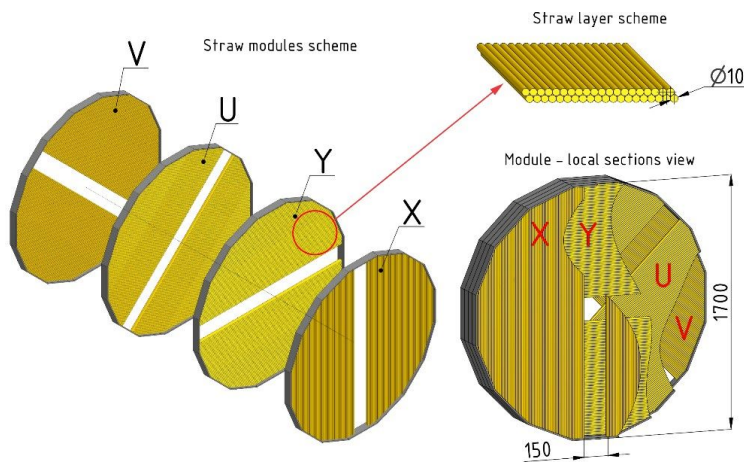
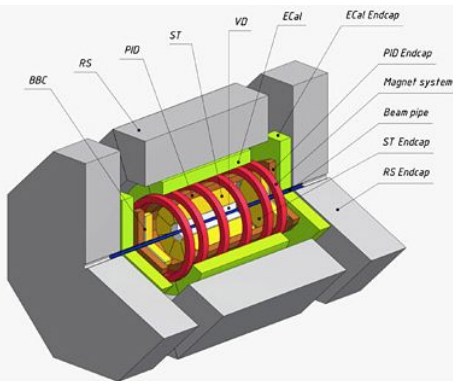
$$\left. \frac{\Delta p_T}{p_T} \right|_{\text{m.s.}} = \frac{N}{(N+1)(N-1)} \frac{0.0136 \text{ GeV}}{0.3\beta BL} \sqrt{\frac{d_{\text{tot}}}{X_0 \cos \theta}} \left(1 + 0.038 \ln \frac{d}{X_0 \cos \theta} \right)$$

$$\left. \frac{\Delta p_T}{p_T} \right|_{\text{res.}} = \frac{\sigma_{\text{hit}} p_T}{0.3BL^2} \sqrt{\frac{720N^3}{(N-1)(N+1)(N+2)(N+3)}}$$



Possible technology: straws similar to SPD endcap tracker?

SPD endcap tracker proposal



NA62

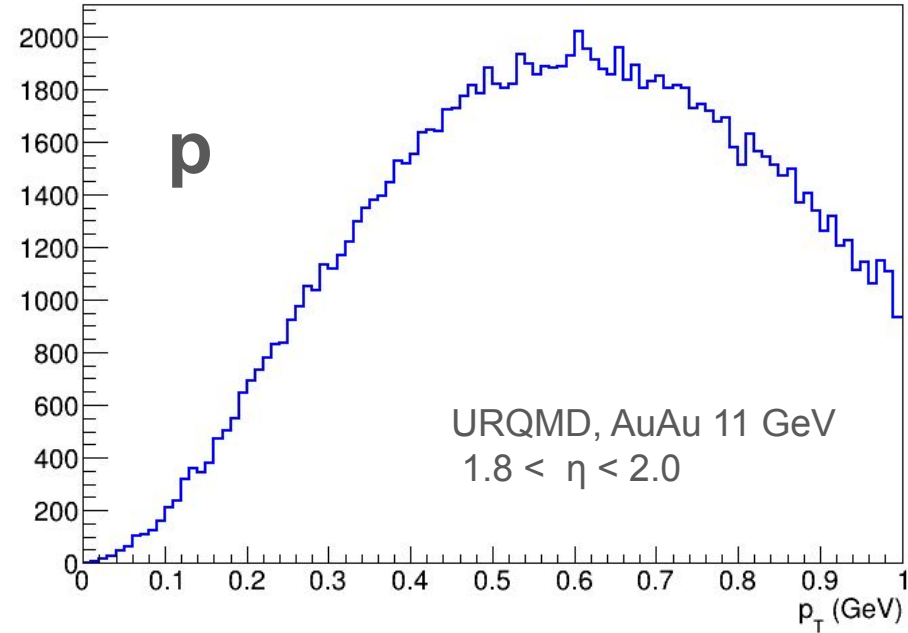
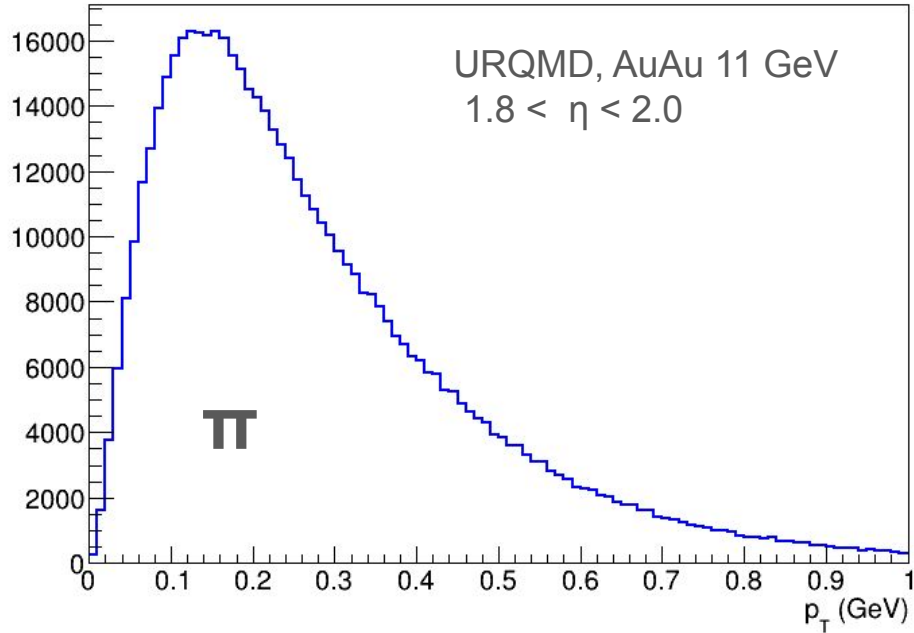


SPD TDR: [2404.08317](#)

[NA62 TDR](#)

- Hit resolution $\sim 80 - 100\mu\text{m}$
- Small material budget ($\sim 1\% X_0$)
- Large areas (not feasible with silicon detectors)

Transverse momentum spectra at forward rapidity



- Pion p_T : mainly below 1 GeV, ideally need to go down to 0.1 GeV to catch the maximum
- Proton p_T goes far beyond 1 GeV...
- Let's try 0.1 - 1 GeV region for the moment

Use ACTS for tracking

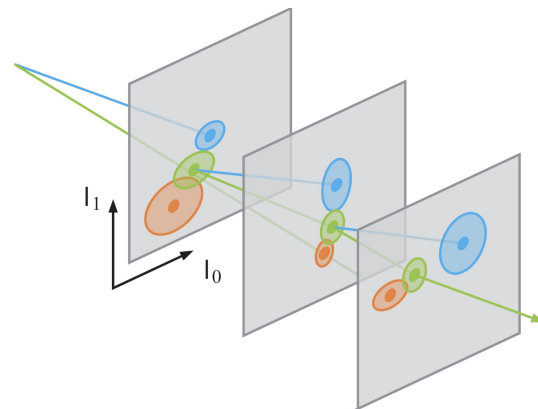
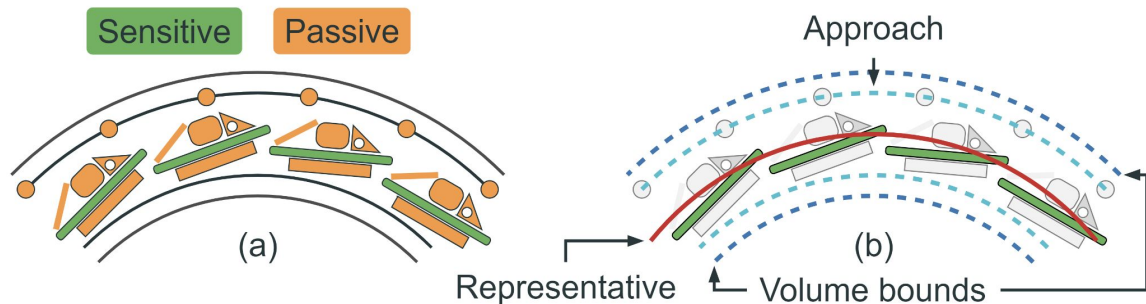
<https://acts.readthedocs.io/>

- A Common Tracking Software project
- Contains:
 - Box generator or interface to read external particles
 - Fatras (fast simulation tool) or interface to read hits
 - Digitization algorithm (smearing etc)
 - Seeding (several algorithms, including truth seeding)
 - Track finding/fitting with Combinatorial KF
- Accounting for energy losses, multiple scattering etc.
- Supporting multi-core execution, GPU etc.

```
// Start sequencer
ActsExamples::Sequencer sequencer(sequencerCfg);

if (inputDir.Contains("none")){ // particle gun + fatras simulation
  sequencer.addReader(std::make_shared<ActsExamples::EventGenerator>(evgenCfg, logLevel));
  sequencer.addElement(std::make_shared<ActsExamples::FatrasSimulation>(fatrasCfg, logLevelFatras));
} else { // read particles and hits from input file
  sequencer.addReader(std::make_shared<ActsExamples::RootParticleReader>(particleReaderCfg, logLevel));
  sequencer.addReader(std::make_shared<ActsExamples::RootSimHitReader>(simhitReaderCfg, logLevel));
}

sequencer.addAlgorithm(std::make_shared<ActsExamples::DigitizationAlgorithm>(digiCfg, logLevelDigi));
sequencer.addAlgorithm(std::make_shared<ActsExamples::SpacePointMaker>(spCfg, logLevel));
sequencer.addAlgorithm(std::make_shared<ActsExamples::SeedingAlgorithm>(seedingCfg, logLevelSeed));
sequencer.addAlgorithm(std::make_shared<ActsExamples::TrackParamsEstimationAlgorithm>(paramsEstimationCfg, logLevel));
sequencer.addAlgorithm(std::make_shared<ActsExamples::TrackFindingAlgorithm>(trackFindingCfg, logLevelFinder));
sequencer.addAlgorithm(std::make_shared<ActsExamples::TrackTruthMatcher>(trackTruthMatcherCfg, logLevelMatcher));
sequencer.addWriter(std::make_shared<ActsExamples::RootParticleWriter>(particleWriterCfg, logLevel));
sequencer.addWriter(std::make_shared<ActsExamples::RootSimHitWriter>(simhitWriterCfg, logLevel));
sequencer.addWriter(std::make_shared<ActsExamples::RootMeasurementWriter>(measWriterCfg, logLevelMeasWriter));
sequencer.addWriter(std::make_shared<ActsExamples::RootSpacepointWriter>(spWriterCfg, logLevel));
sequencer.addWriter(std::make_shared<ActsExamples::RootSeedWriter>(seedWriterCfg, logLevel));
sequencer.addWriter(std::make_shared<ActsExamples::RootTrackStatesWriter>(trackStatesWriterCfg, logLevel));
sequencer.addWriter(std::make_shared<ActsExamples::RootTrackSummaryWriter>(trackSummaryWriterCfg, logLevel));
```



$$\vec{x} = (l_0, l_1, \phi, \theta, q/p, t)^T$$

Getting used to ACTS tracking algorithms...

Considering “ideal” tracker:

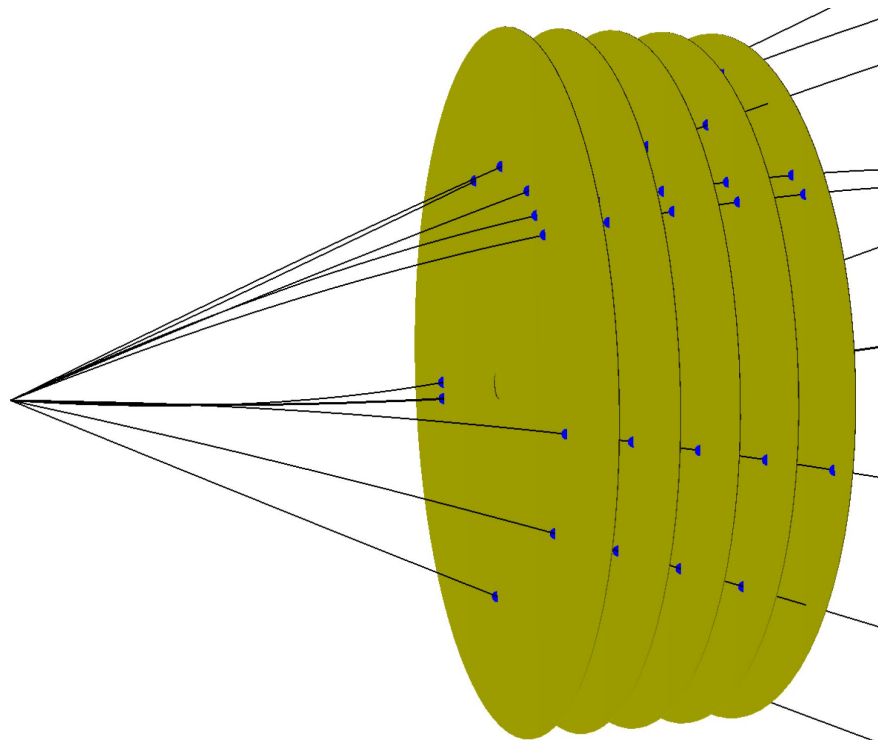
- 5 tracking layers placed between 210 and 300 cm
- $R_{\text{inner}} = 35.7 \text{ cm} \rightarrow \eta_{\text{max}} = 2.47$
- $R_{\text{outer}} = 130 \text{ cm} \rightarrow \eta_{\text{min}} = 1.55$
- Thickness per layer: 200 μm silicon $\sim 0.2\% X_0$
- Gaussian smearing in x and y with $\sigma = 80 \mu\text{m}$

Simulation config:

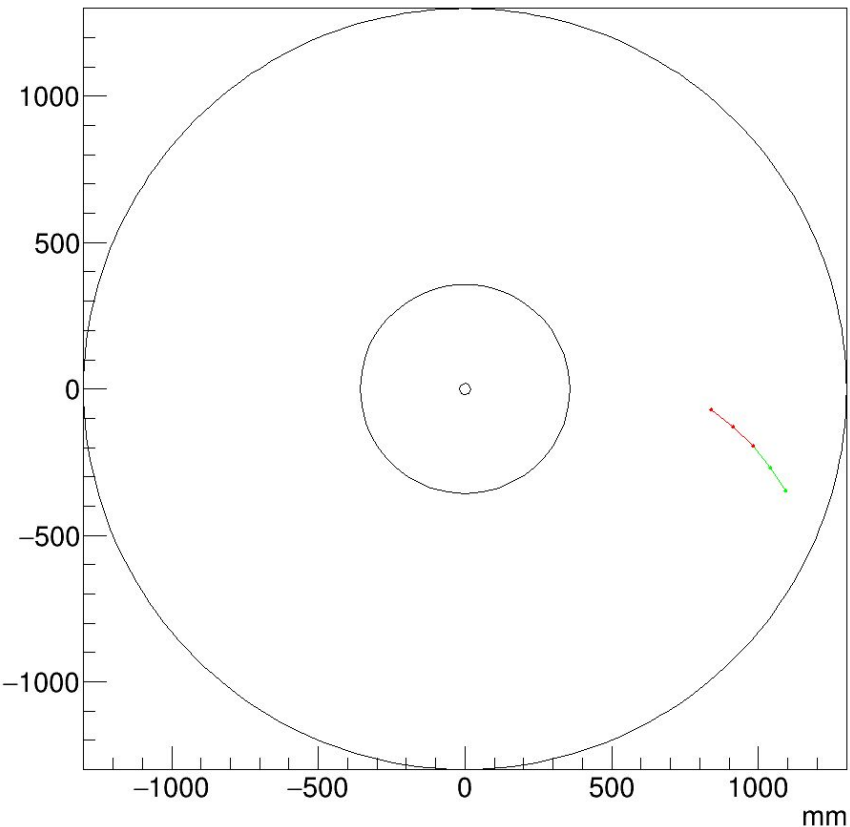
- **Particle gun** (π or p) with p_T from 0.1 to 1 GeV
- Build-in **fatras transport** (only EM processes)
- **Seed finding** using hits on first three layers (adopte seed finding algorithm for cylindrical layers)
- Track finding with **combinatorial Kalman filter**

Study:

- seeding and tracking efficiency vs p_T and η
- p_T resolution vs p_T and η
- pulls (residuals normalized to estimated uncertainty)



Example event: pion 110 MeV at $\eta = 1.6$

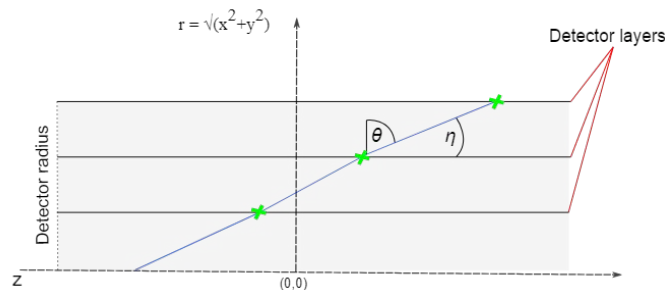
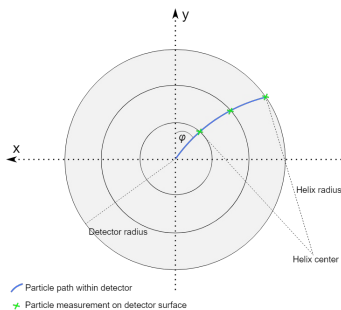


Visualization: hits in xy plane

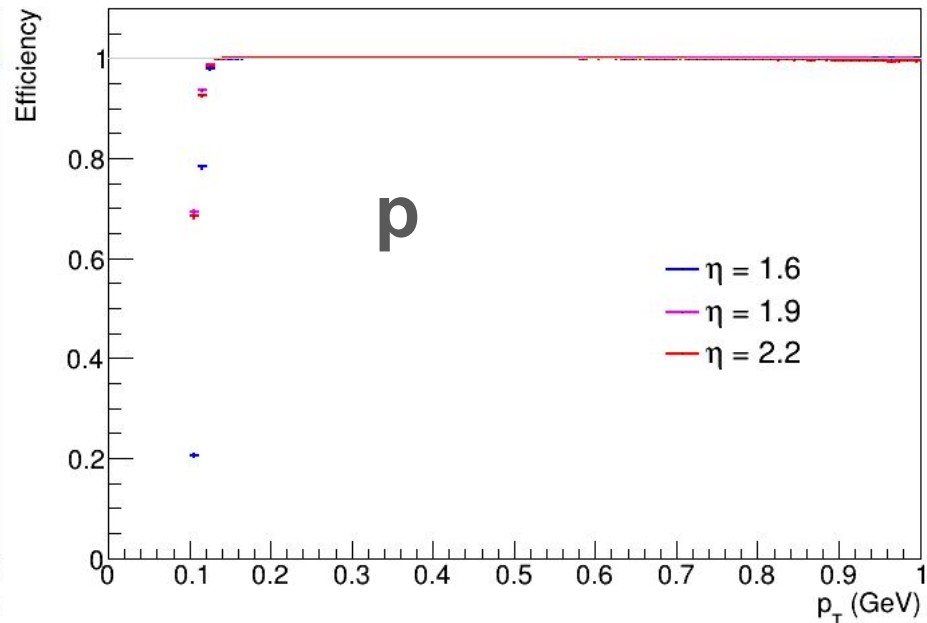
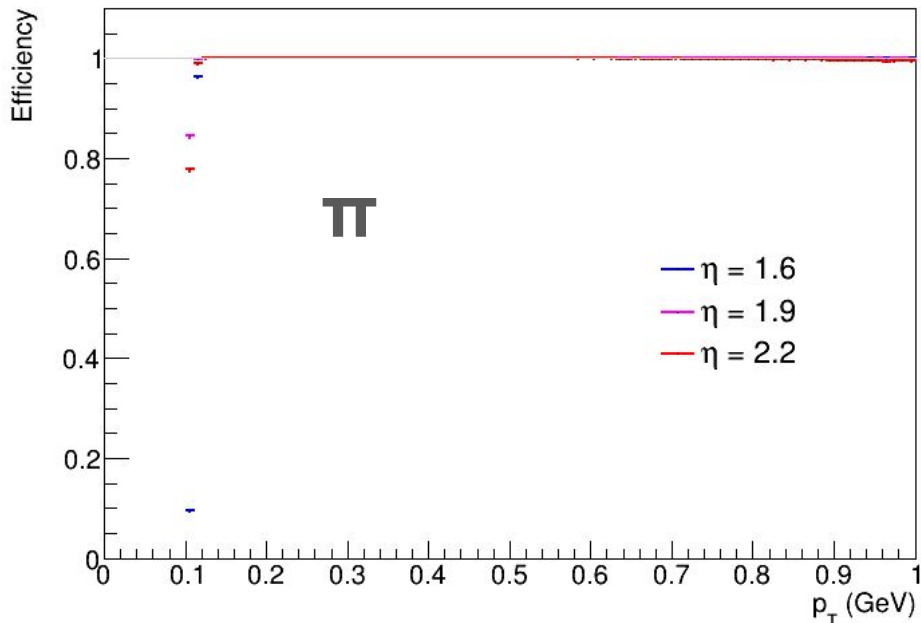
- green - findable primary (5 hits, $p_T > 100$ MeV)
- red - found seed

Seeding algorithm:

- xy plane: helix pointing to $(x,y) \sim (0,0)$.
impact parameter in $r < \text{impactMax} \sim r_{\text{Min}}$
- rz plane: angular difference between two doublets
consistent with expected mult. scattering
- selection on impact parameter in z direction

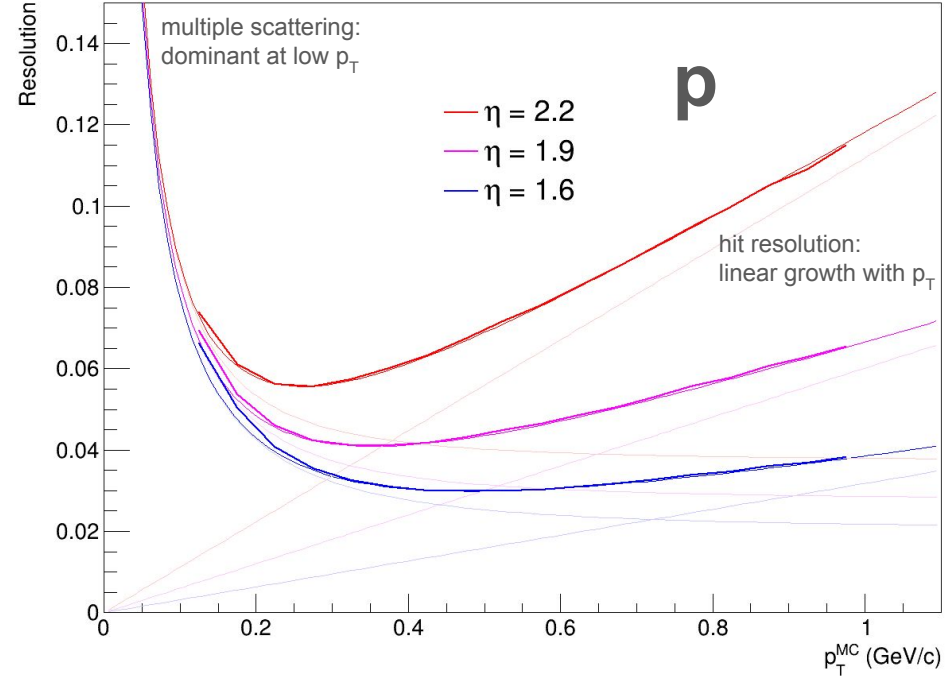
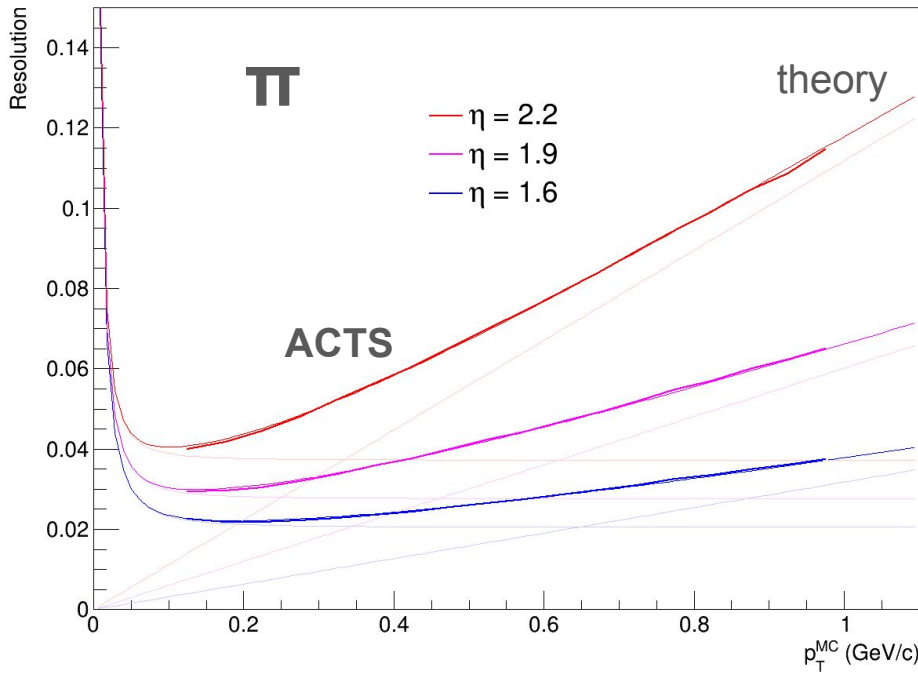


Tracking efficiency without TPC endcap



- Perfect efficiency for pions and protons in all eta regions
- Drop at 0.1 GeV due to limitation of the default seeding algorithm (curvature radius should be larger than $R_{\max}/2$)

Momentum resolution



$$\left. \frac{\Delta p_T}{p_T} \right|_{\text{m.s.}} = \frac{N}{(N+1)(N-1)} \frac{0.0136 \text{ GeV}}{0.3\beta BL} \sqrt{\frac{d_{\text{tot}}}{X_0 \cos \theta}} \left(1 + 0.038 \ln \frac{d}{X_0 \cos \theta} \right)$$

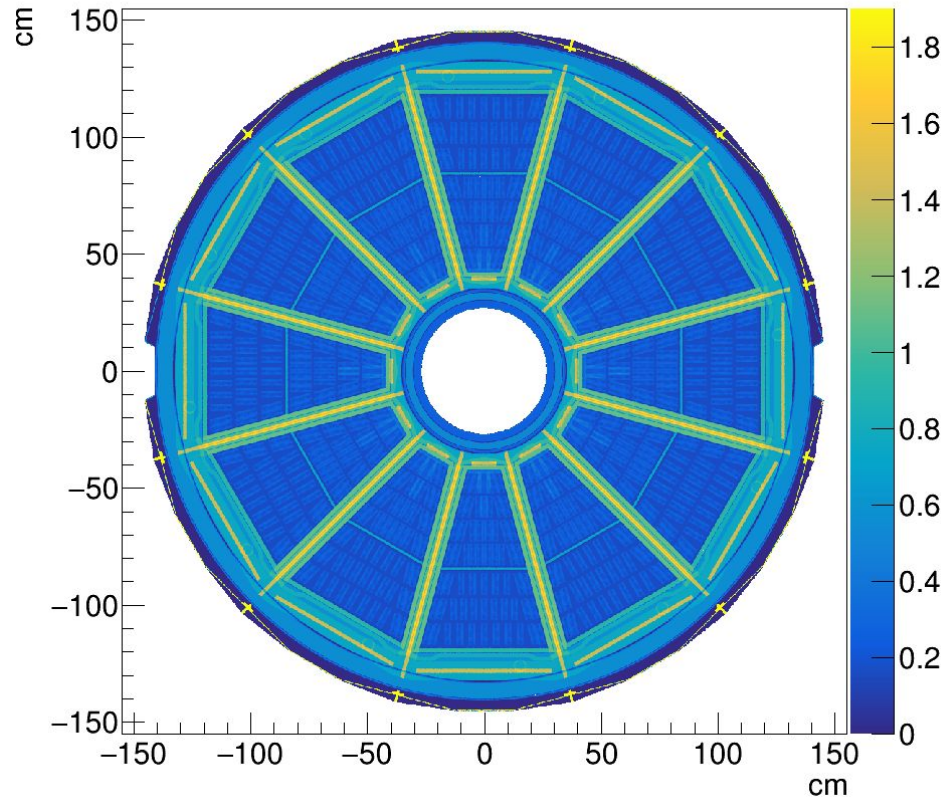
$$\left. \frac{\Delta p_T}{p_T} \right|_{\text{res.}} = \frac{\sigma_{\text{hit}} p_T}{0.3BL^2} \sqrt{\frac{720N^3}{(N-1)(N+1)(N+2)(N+3)}}$$

- momentum resolution is within 3-11% depending on p_T and pseudorapidity
- strong effect of multiple scattering for protons at low p_T
- perfect agreement of ACTS fits with theory

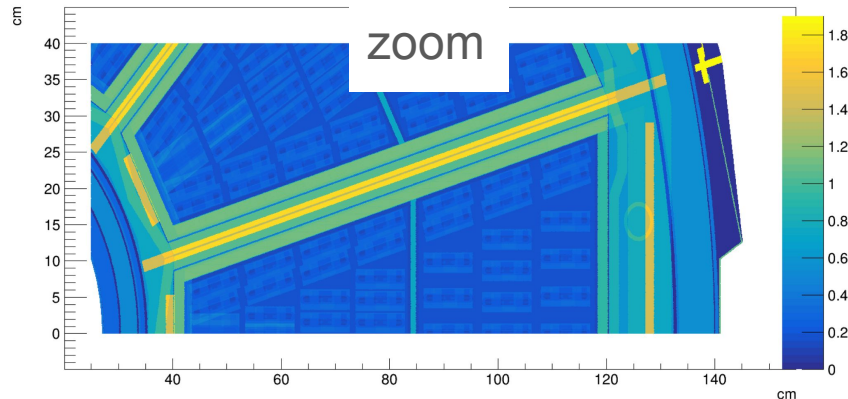
**What is the impact of TPC endcaps
on the track resolution?**

Radiation length of TPC endcaps in mpdroot

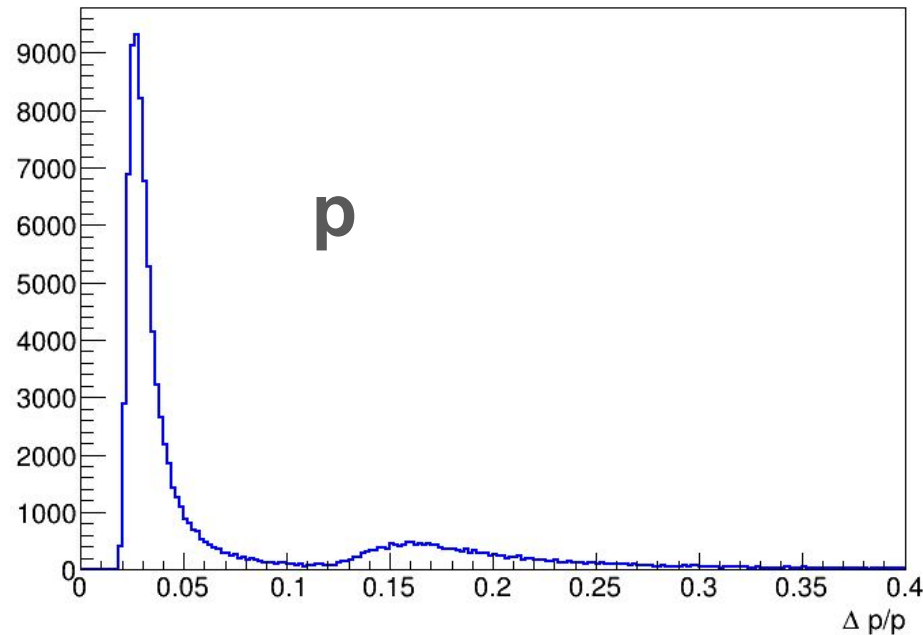
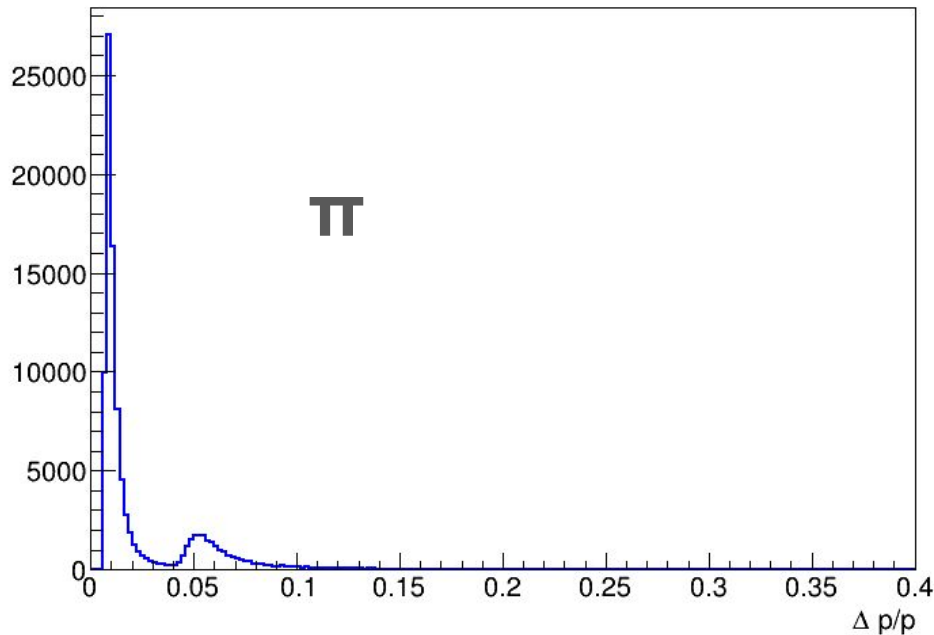
Integrated radiation length: $160 < z < 200$ cm



- Using standard fairroot tools:
 - particle gun with geantinos
 - `fRun->SetRadLenRegister(kTRUE)`
 - Analysing "RadLen" branch with `TClonesArray` of "FairRadLenPoint"
- All structures (e.g. FEC) are clearly visible
- $\sim 0.2-0.3 X_0$ in ROC region
- $\sim 1.1 X_0$ in the frame region



Typical energy loss of pions and protons ($\eta \sim 1.6$, $p_T = 0.35$ GeV)

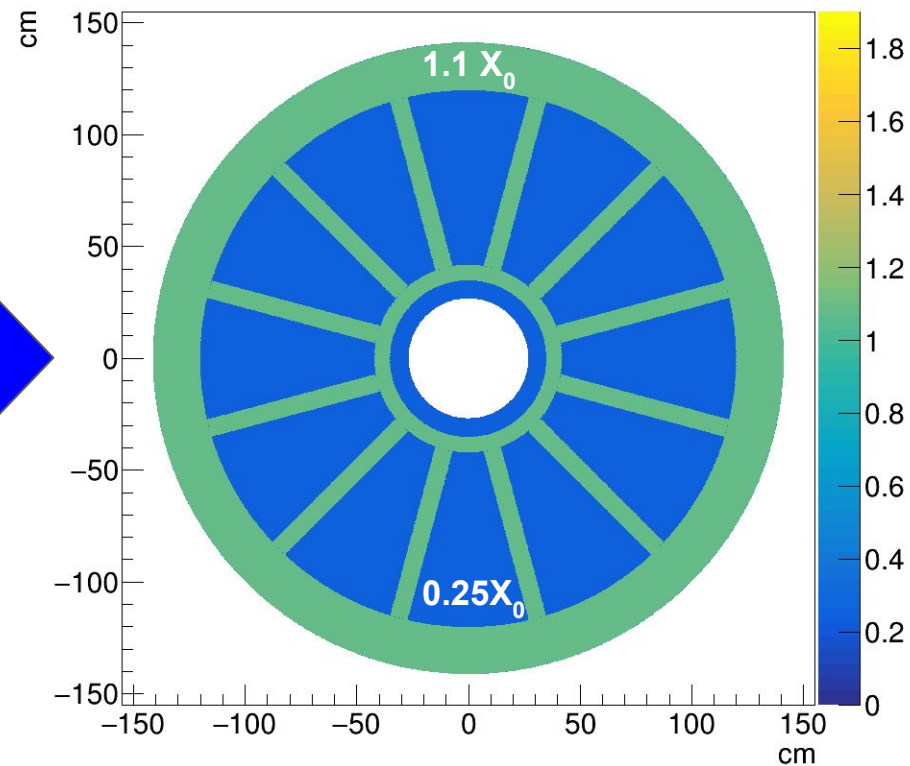
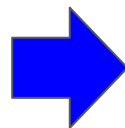
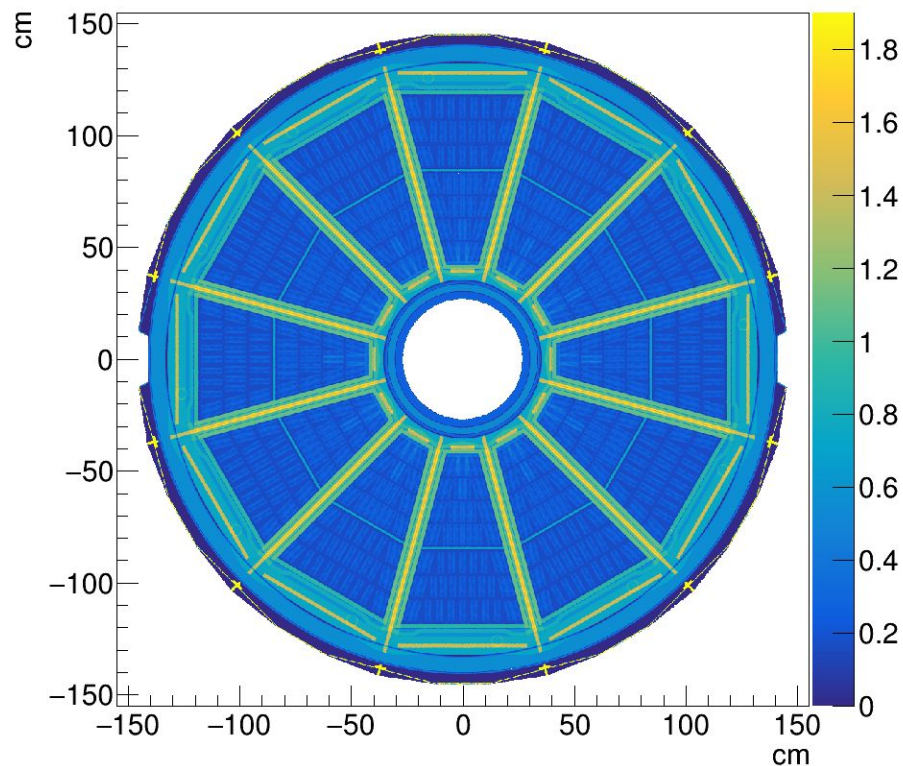


- Two-peak structure corresponding to particles crossing 25% and 110% X_0 regions
- Mean energy loss can be corrected by KF

Toy TPC model with realistic endcap radiation length

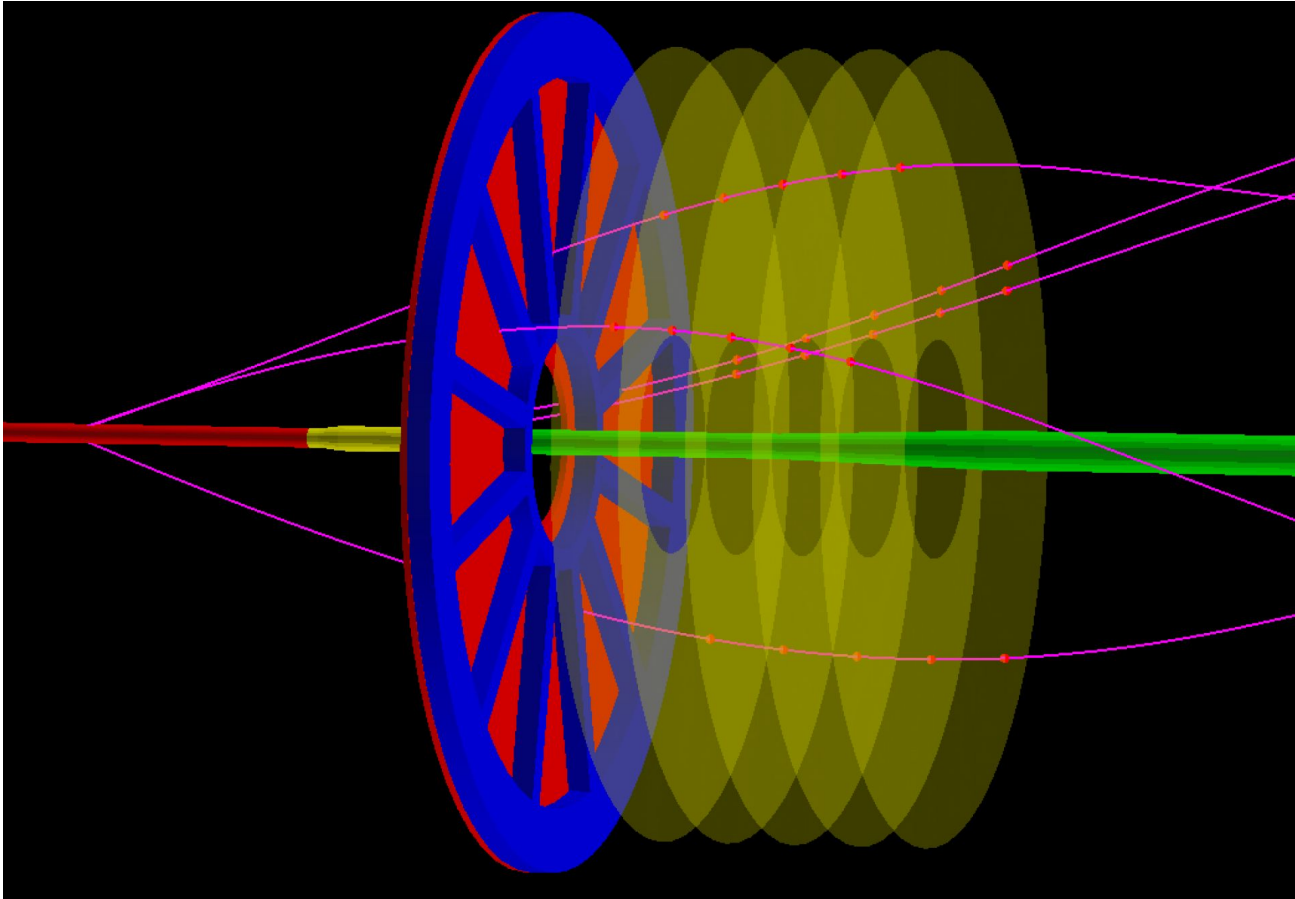
Integrated radiation length: $160 < z < 200$ cm

Integrated radiation length: $160 < z < 200$ cm

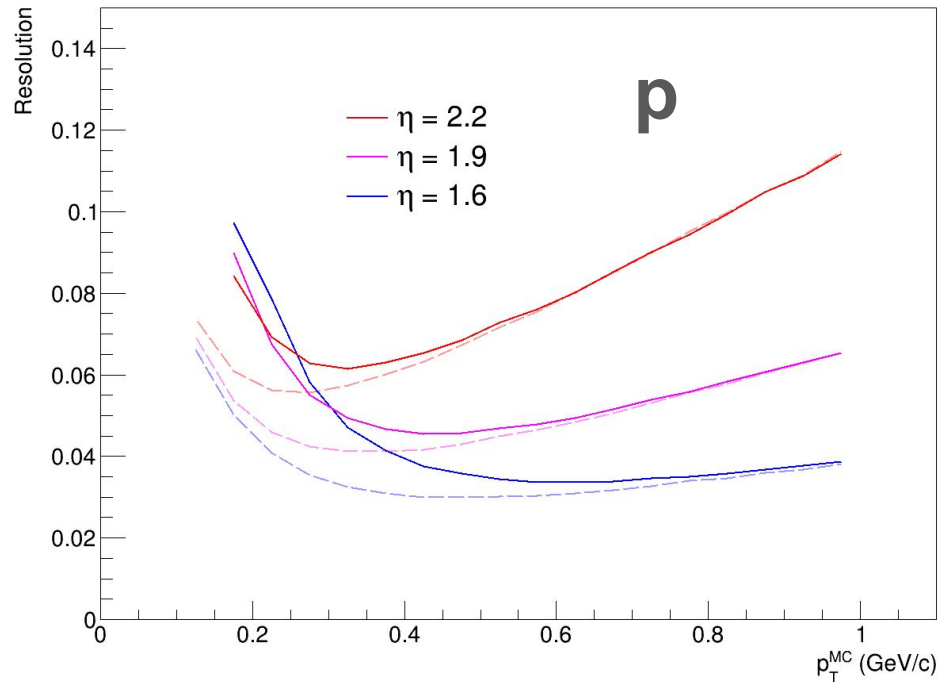
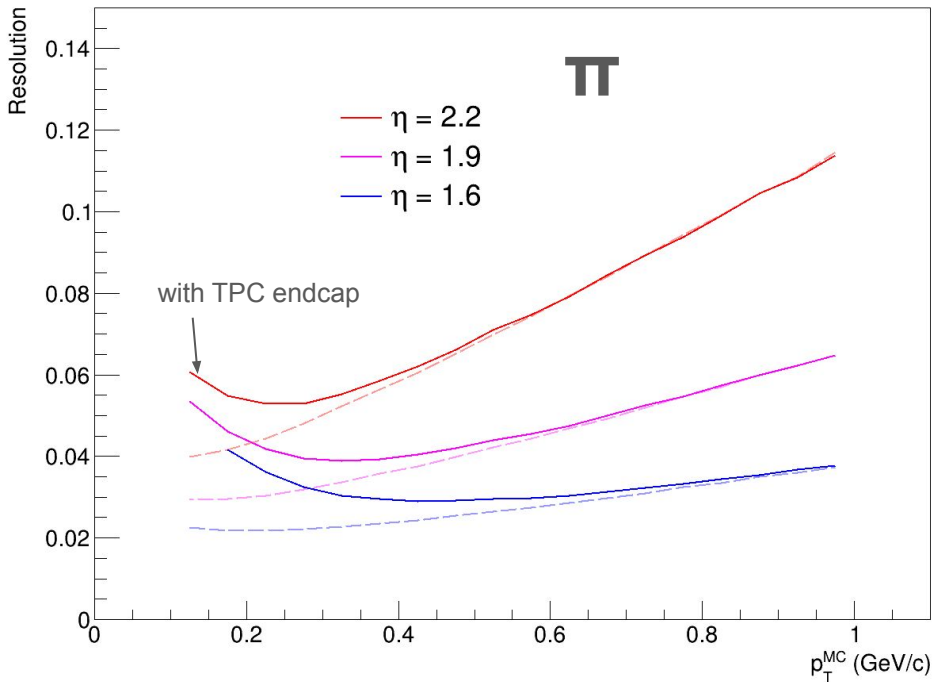


- Toy model with ROC-like and Frame-like layers

Toy TPC+FWD model in mpdroot



Momentum resolution in the ROC region (25% X_0)

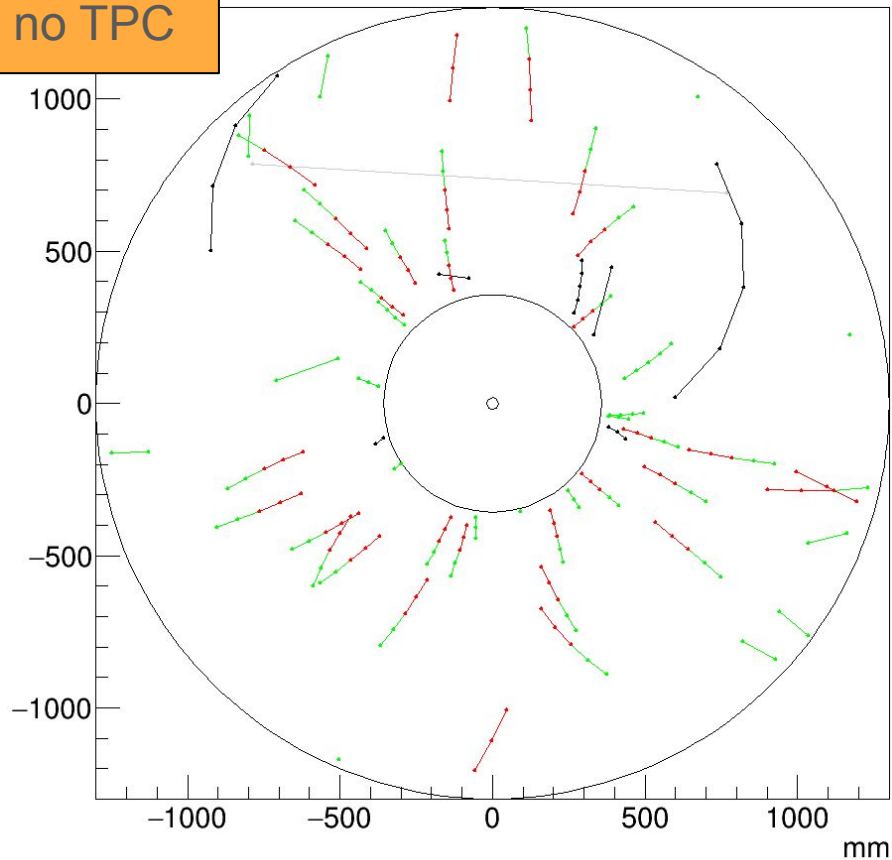


- Significant degradation of momentum resolution at low p_T
⇒ but still better than 10%
- Combine TPC and forward tracks to improve resolution?

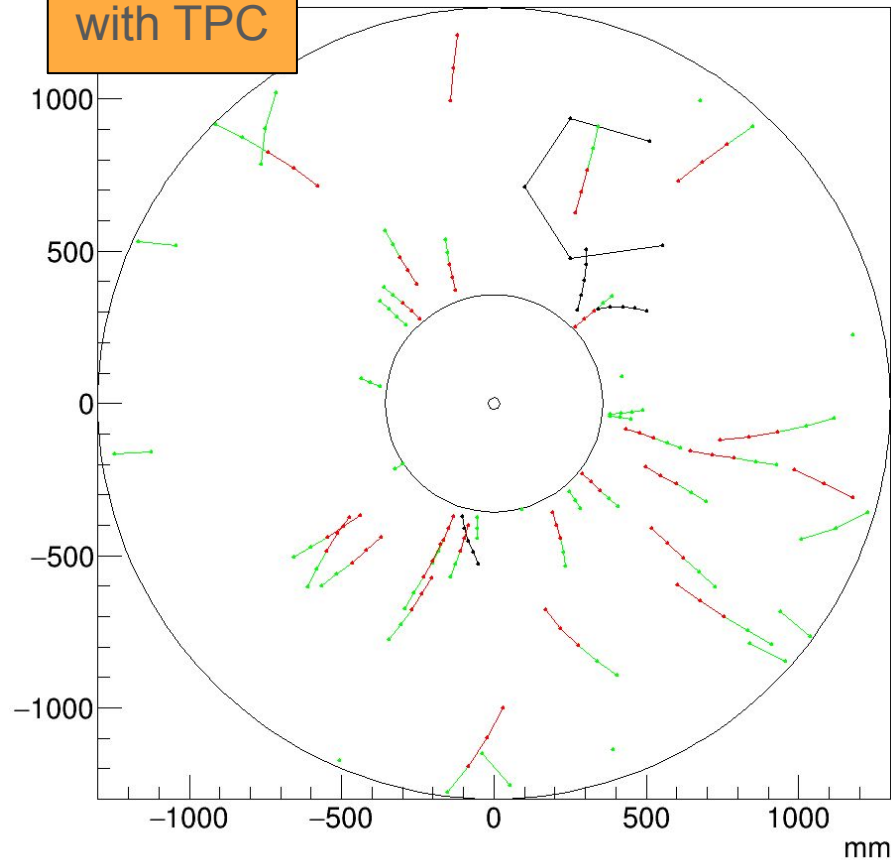
Track finding at forward rapidity in high multiplicity collisions?

Event displays with/without TPC endcap

no TPC



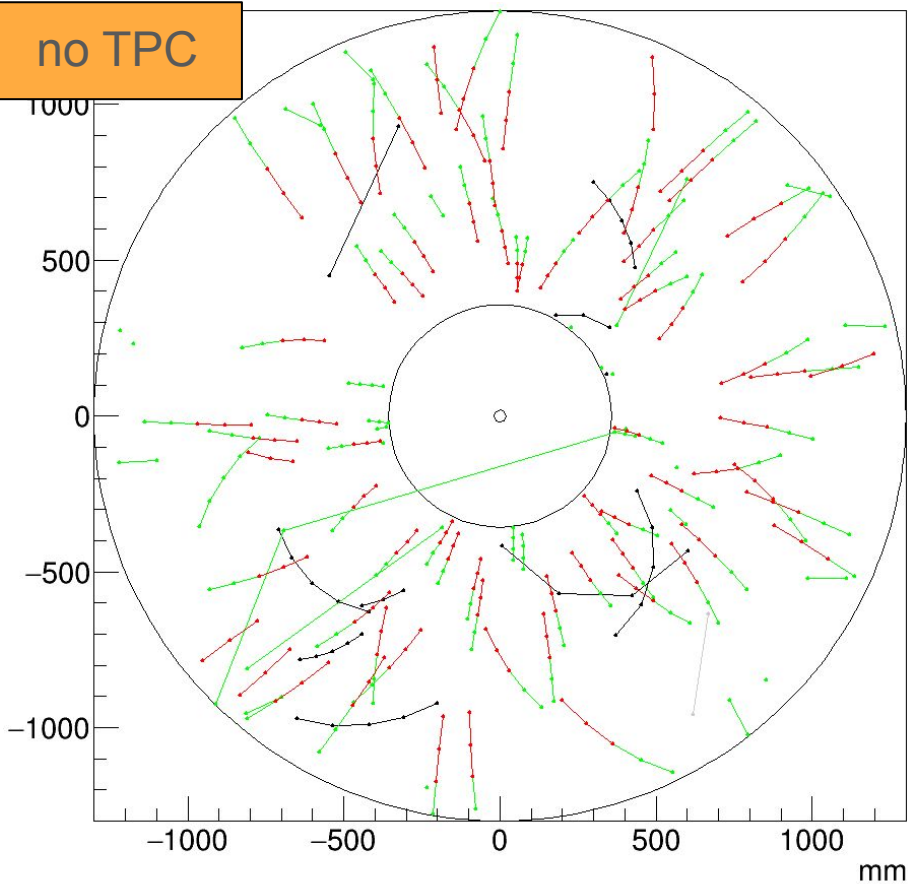
with TPC



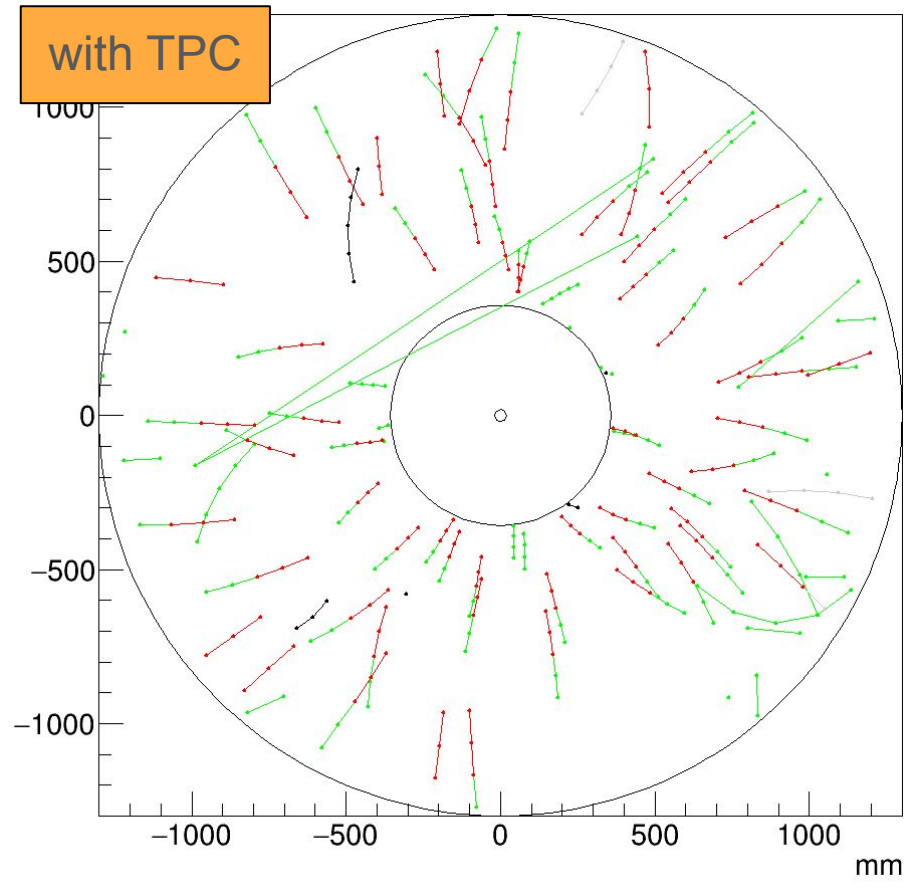
- black: tracks below 0.1 GeV threshold

Event displays with/without TPC: **high multiplicity**

no TPC

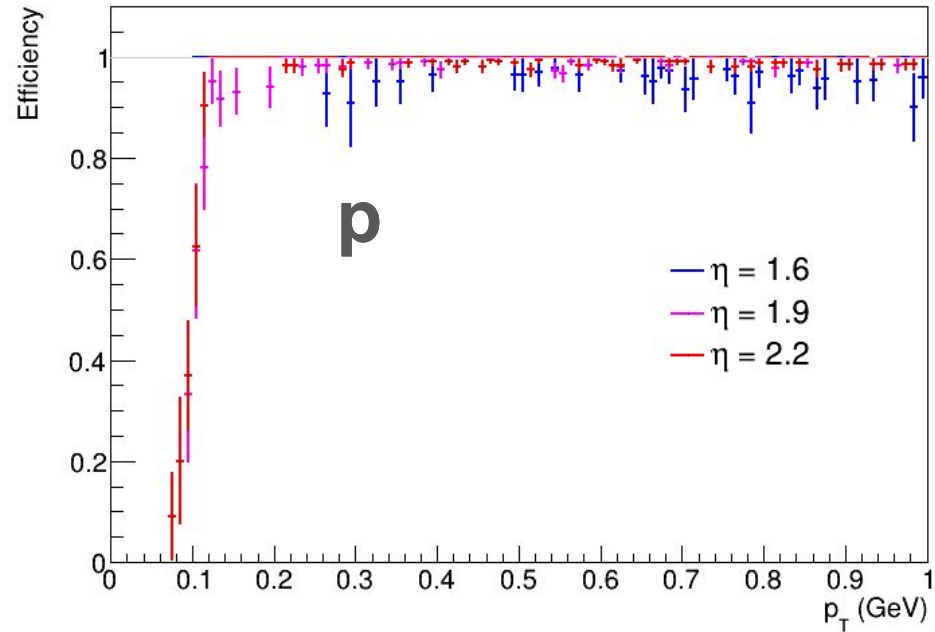
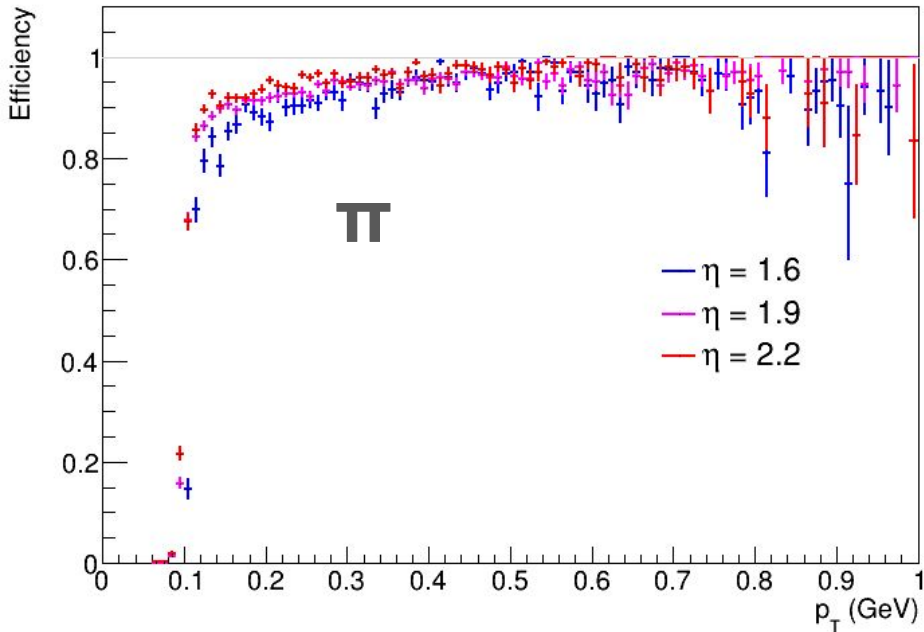


with TPC



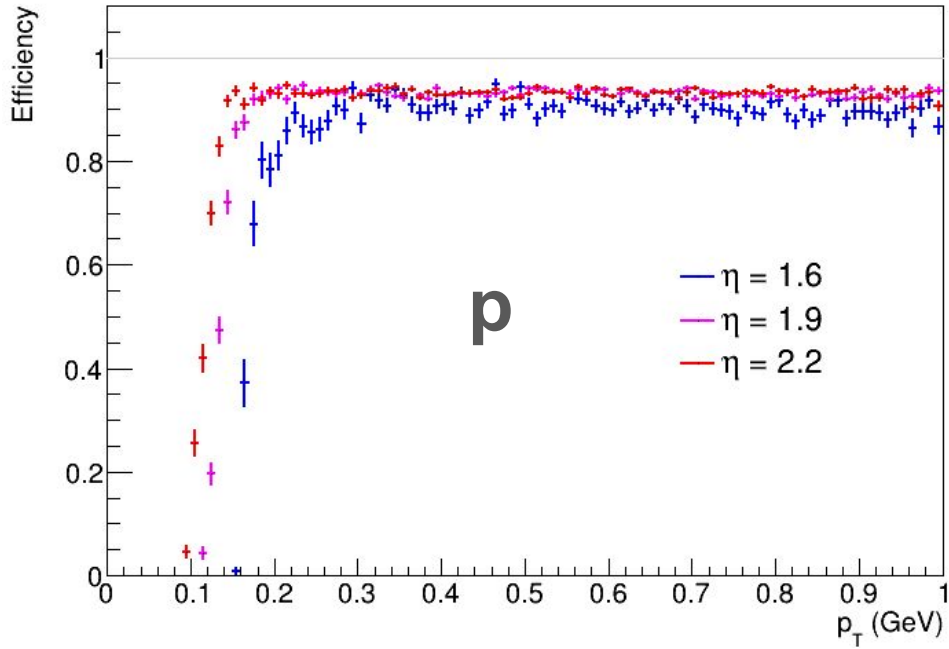
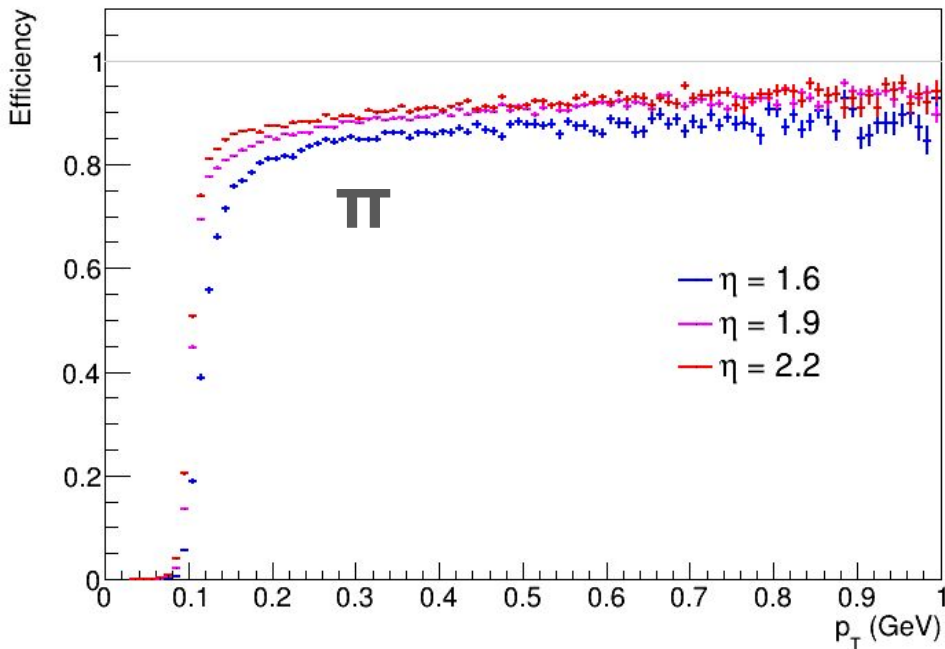
- black: tracks below 0.1 GeV threshold, gray: secondaries

Tracking efficiency without TPC endcap



- Reasonably high efficiency, especially for protons
- Reduced efficiency for pions explained by pion decays

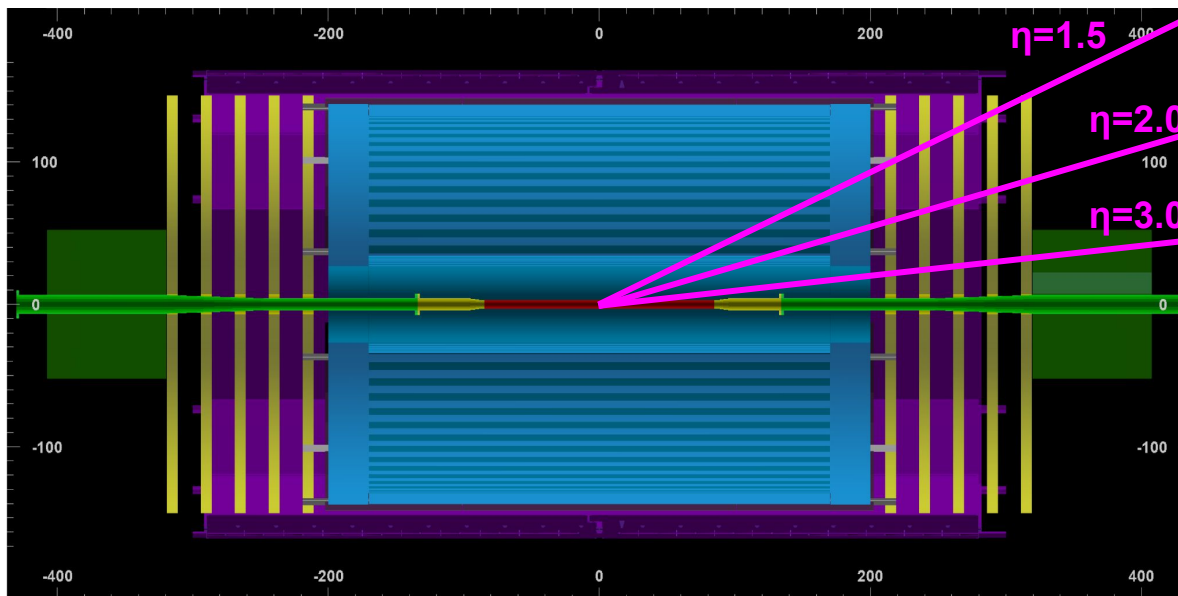
Tracking efficiency with ROC (25% X_0), but without frame



- 5%-ish efficiency losses due to nuclear interactions with TPC endcap (Al ~ 2.2 cm):
 - pion interaction length for Al ~ 40 cm
 - nuclear interaction length for Al ~ 50 cm

PID at forward rapidities?

Time-of-flight measurements?

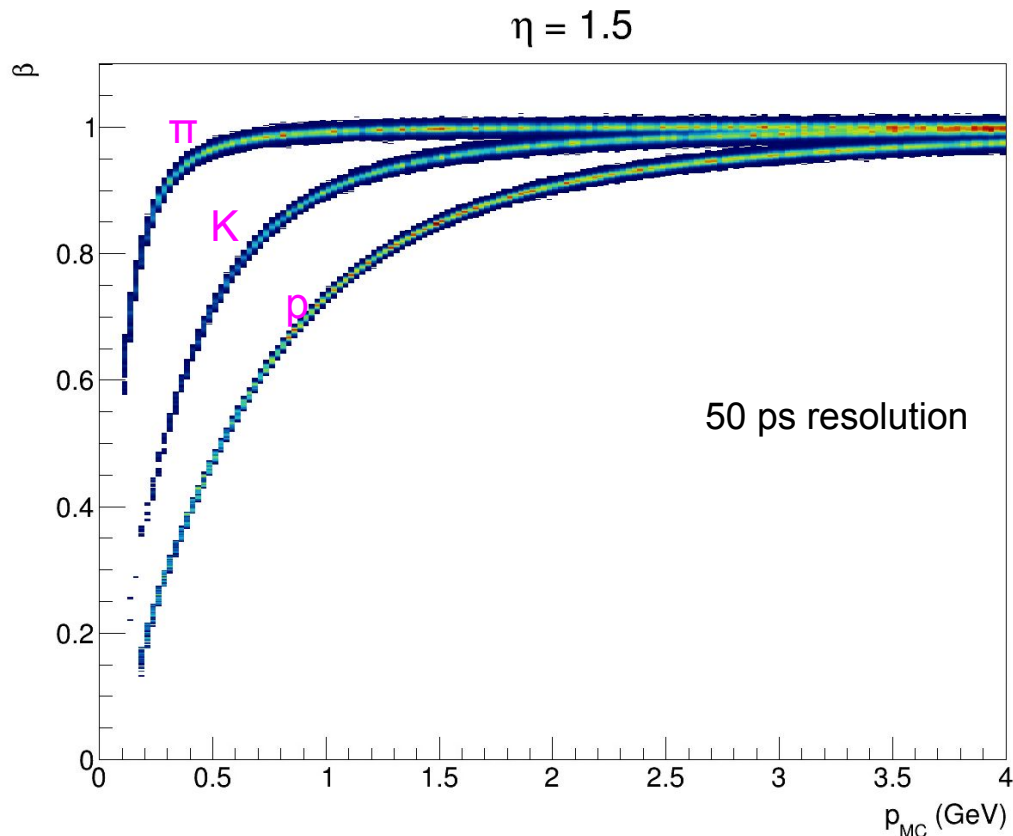


- TOF: replace the last station with RPCs?
 - ~ 50 ps resolution
 - At relatively large distance (~ 3 m)
 - BUT: poor momentum resolution...

See talks by Yongjie Sun and Vadim Babkin

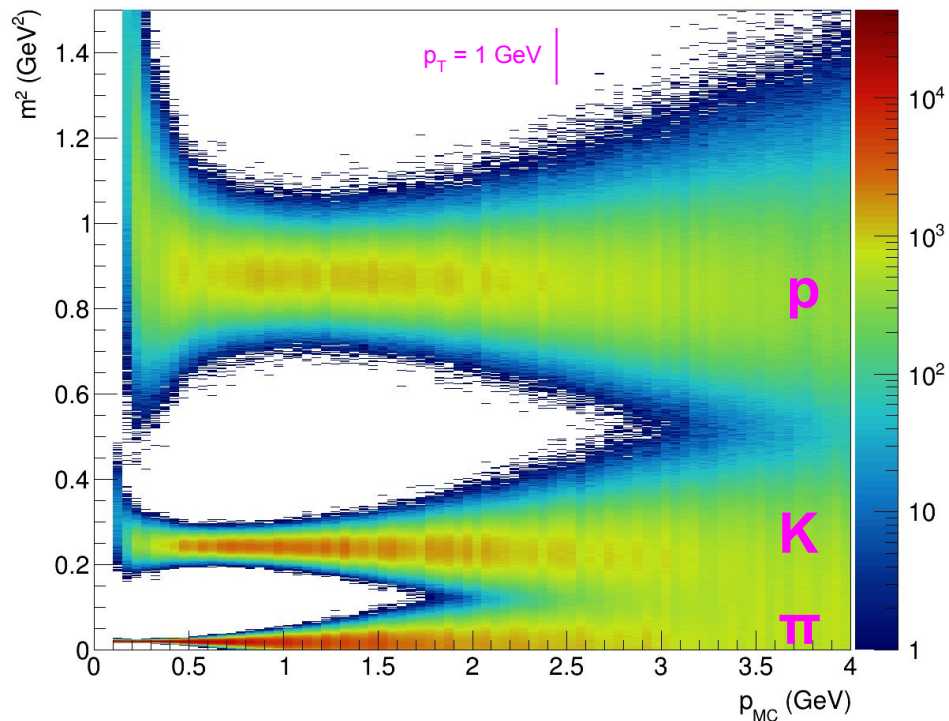
Toy model for TOF resolution estimates

- Generate π , K, p with box generator in different η ranges
- Extract time (t_{MC}) and track length (L) from the measurement in the last station
- Apply Gaussian smearing to the MC time to mimic reconstructed time t
- Derive $\beta = L/t/c$
- Smear MC momentum according to theoretical momentum resolution estimates
- Derive m^2

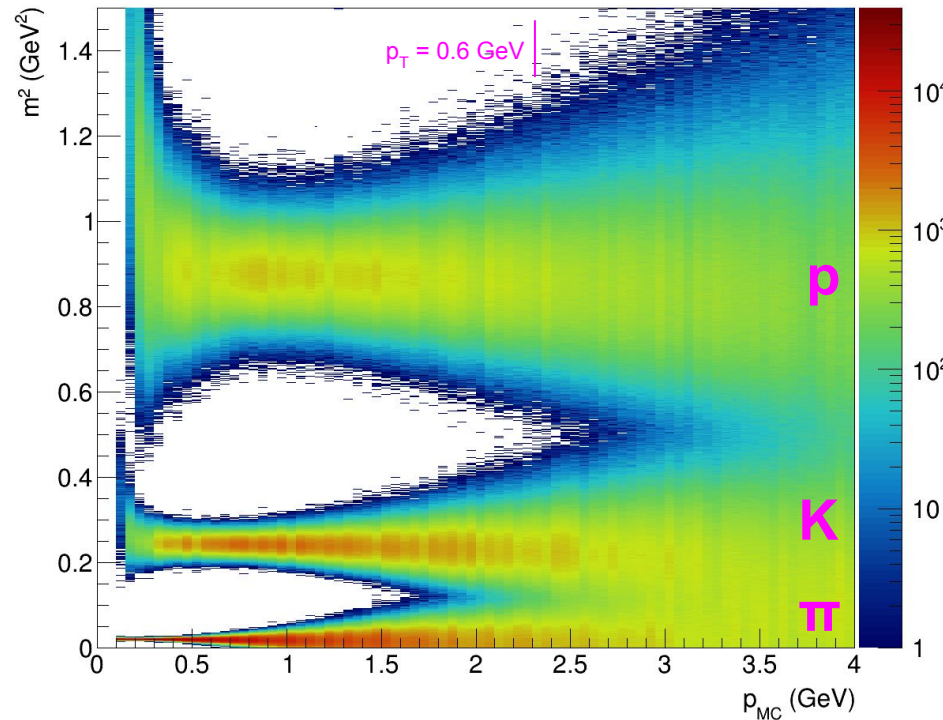


Squared mass distributions for 50 ps TOF resolution

$\eta = 1.5$



$\eta = 2.0$



- Momentum resolution also plays an important role for PID
- Good π/K and K/p separation

Conclusions and next steps

- Strong physics potential of the forward tracker
 - need further polishing and detailed quantitative studies
 - **new ideas are highly welcome!**
- First tracker prototype implemented in mpdroot
- Realistic track finding and track fitting using ACTS
 - **tools are ready to study various detector options**
- Track momentum can be measured with reasonable precision up to $\eta \sim 2.2$
- Particle identification with TOF looks feasible
- NEXT:
 - study the impact of momentum/PID resolution effects on physics observables
 - **choose detector technology: your input is highly welcome!**
 - more realistic simulations and further optimization of the forward tracker setup