

Regularization and gluing of partition functions

Aleksandr V. Ivanov

PDMI RAS & SPBGU

PMMP – 2025

Content

- 1) Introduction**
- 2) Problem statement (classical and effective actions)**
- 3) Regularization and deformation**
- 4) Quasi-locality**
- 5) Regularization in context of gluing**
- 6) The main statement**
- 7) Conclusion, corollaries, and remarks**

Historical background

Quantum field theory (standard) + FQFT (in recent years)



Perturbative methods + "functional integration"



Divergences



Regularization + renormalization

**Why are there so many regularizations?
How do we choose the appropriate one?**

Regularization:
[Brizola, Battistel, Sampaio, Nemes \(1999\)](#)
[Cherchiglia and other \(2021\)](#)
[Pauli, Villars \(1949\)](#)
[Bollini, Giambiagi \(1972\)](#)
['t Hooft, Veltman \(1972\)](#)
[Bakeyev, Slavnov \(1996\)](#)
[Stepanyantz \(2020\)](#)

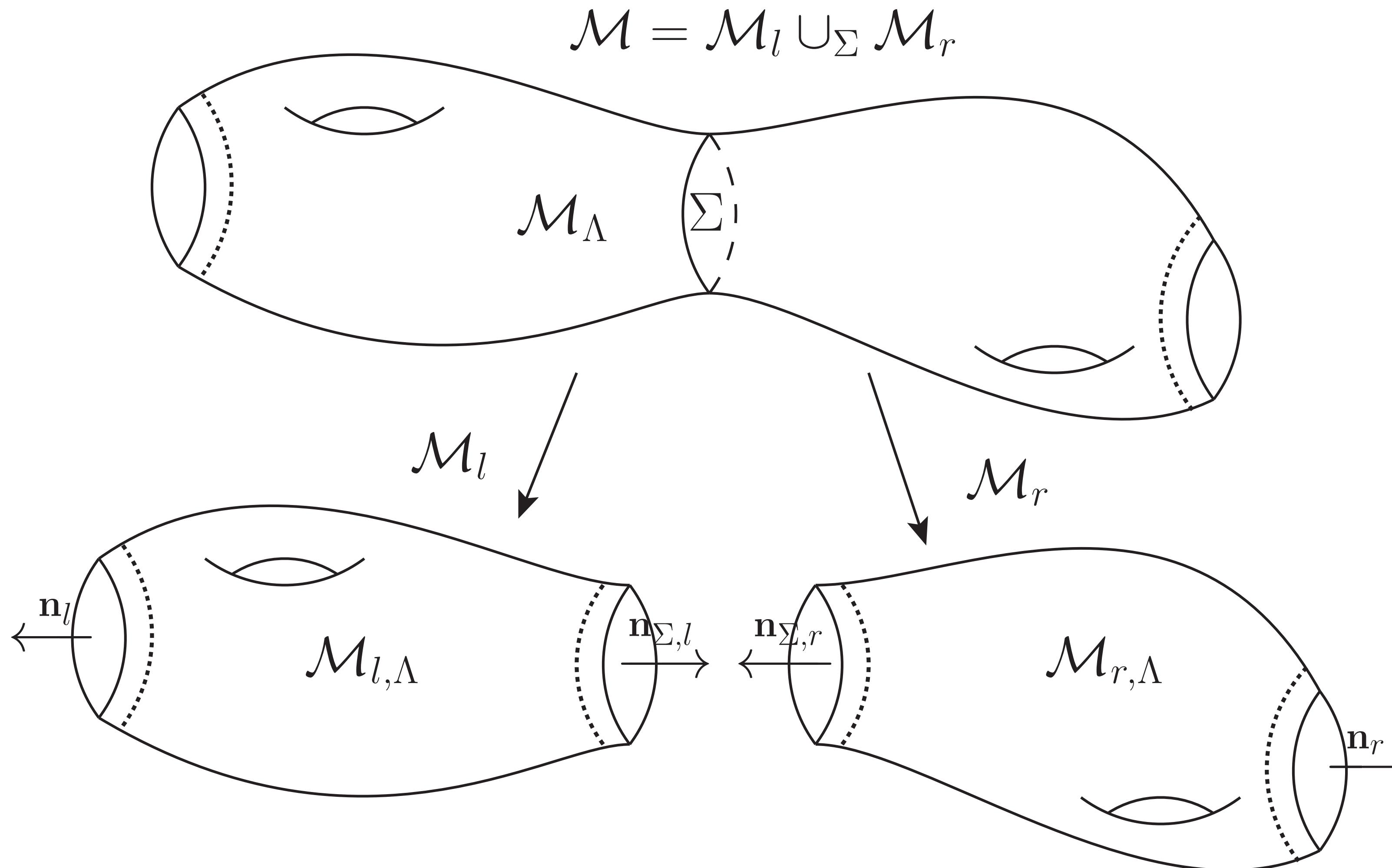
Standard QFT:
[Bogoliubov, Shirkov \(1959\)](#)
[Faddeev, Slavnov \(1978\)](#)
[Itzykson, Zuber \(1980\)](#)
[Peskin, Schroeder \(1995\)](#)

FQFT:
[Atiyah \(1988\)](#)
[Segal \(2004\)](#)
[Reshetikhin \(2010\)](#)
[Stolz, Teichner \(2011\)](#)
[Cattaneo, Mnev, Reshetikhin \(2018\)](#)
[Kandel, Mnev, Wernli \(2021\)](#)

Renormalization:
[Faddeev, Slavnov \(1978\)](#)
[Collins \(1984\)](#)
[Zavialov \(1990\)](#)
[Kazakov \(2009\)](#)

Cutoff regularization:
[Oleszczuk \(1994\)](#)
[Liao \(1997\)](#)
[Bagaev \(2008\)](#)
[Cynolter, Lendvai \(2015\)](#)
[Ivanov, Kharuk \(2019—н.в.\)](#)

Manifolds



Scalar theory

$$S_0[\phi; \mathcal{M}] = \frac{1}{2} \sum_{\alpha \in I} \int_{V_\alpha} d^n x g_\alpha^{1/2}(x) \left(g_\alpha^{\mu\nu}(x) \left(\partial_{x^\mu} \phi_\alpha(x) \right) \left(\partial_{x^\nu} \phi_\alpha(x) \right) + m^2 \phi_\alpha^2(x) \right) \chi_\alpha(\varphi_\alpha^{-1}(x))$$

Examples:
Cubic k=3, n=1,...,6
Quartic k=3,4, n=1,...,4
Sextic k=3,...,6, n=1,...,3

$$S_{\text{int}}[\phi; \mathcal{M}] = \sum_{k=3}^{+\infty} \sum_{\alpha \in I} \int_{V_\alpha} d^n x t_k g_\alpha^{1/2}(x) \phi_\alpha^k(x) \chi_\alpha(\varphi_\alpha^{-1}(x))$$

$$\begin{aligned} \mathcal{A} &= \{(U_\alpha, \varphi_\alpha)\}_{\alpha \in I} \\ \varphi_\alpha(U_\alpha) &= V_\alpha \subset \mathbb{R}^n \\ \sum_{\alpha \in I} \chi_\alpha(p) &= 1 \end{aligned}$$

$S_{\text{cl}}[\cdot; \mathcal{M}] = S_0[\cdot, \mathcal{M}] + S_{\text{int}}[\cdot; \mathcal{M}]$ is **classical action**

Quadratic forms

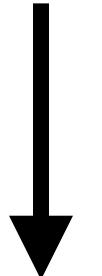
$$i \in \{l, r\}$$

$$\eta_i \in C^\infty(Y_i, \mathbb{R})$$

$$\eta_\Sigma \in C^\infty(\Sigma, \mathbb{R})$$

$$S_{l,r}(\eta_l, \eta_r; \mathcal{M}) = \int_{Y_l} d^{n-1}q_1 \int_{Y_r} d^{n-1}q_2 \eta_l(q_1) \left(N_l(q_1) N_r(q_2) G(q_1, q_2) \right) \eta_r(q_2) = S_{r,l}(\eta_r, \eta_l; \mathcal{M})$$

$$S_{i,\Sigma}(\eta_i, \eta_\Sigma; \mathcal{M}_i) = \int_{Y_i} d^{n-1}q_1 \int_{\Sigma} d^{n-1}q_2 \eta_i(q_1) \left(N_i(q_1) N_{\Sigma,i}(q_2) G_i(q_1, q_2) \right) \eta_\Sigma(q_2) = S_{\Sigma,i}(\eta_\Sigma, \eta_i; \mathcal{M}_i)$$



$$\eta_i \rightarrow \phi^{\eta_i}, \phi_i^{\eta_i}$$

$$\eta_\Sigma \rightarrow \phi_i^{\eta_\Sigma}$$

$$S_0[\phi + \phi^{\eta_l + \eta_r}, \mathcal{M}] = S_0[\phi, \mathcal{M}] + S_0[\phi^{\eta_l}, \mathcal{M}] + S_0[\phi^{\eta_r}, \mathcal{M}] - S_{l,r}(\eta_l, \eta_r; \mathcal{M})$$

$$S_0[\phi_i + \phi_i^{\eta_i + \eta_\Sigma}, \mathcal{M}_i] = S_0[\phi_i, \mathcal{M}_i] + S_0[\phi_i^{\eta_i}, \mathcal{M}_i] + S_0[\phi_i^{\eta_\Sigma}, \mathcal{M}_i] - S_{i,\Sigma}(\eta_i, \eta_\Sigma; \mathcal{M}_i)$$

Effective action

$$e^{-W_{\text{eff}}[\sqrt{\hbar}\eta; \mathcal{M}]/\hbar} = \mathcal{N}^{-1}(\mathcal{M}) \int_{\mathcal{H}(\sqrt{\hbar}\eta; \mathcal{M})} \mathcal{D}\phi e^{-S_{\text{cl}}[\phi; \mathcal{M}]/\hbar}$$



$$W_{\text{eff}}[0; \mathcal{M}] \Big|_{\{t_k=0\}_{k=3}^{+\infty}} = 0 \quad \text{normalization}$$

$$\phi \rightarrow \sqrt{\hbar}\phi^\eta + \sqrt{\hbar}\phi \quad \text{shift}$$

$$S(\phi + \phi^\eta; \mathcal{M}) = \exp\left(-S_{\text{int}}[\sqrt{\hbar}\phi + \sqrt{\hbar}\phi^\eta; \mathcal{M}]/\hbar\right) = 1 + \sum_{k \geq 3} \hbar^{k/2-1} \left(\prod_{i=1}^k \int_{\mathcal{M}} d^n p_i \right) S_k(p_1, \dots, p_k) (\phi + \phi^\eta)(p_1) \dots (\phi + \phi^\eta)(p_k)$$

$$e^{-W_{\text{eff}}[\sqrt{\hbar}\eta; \mathcal{M}]/\hbar} = e^{-S_0[\phi^\eta; \mathcal{M}]} \left(S(\delta_\psi + \phi^\eta; \mathcal{M}) e^{g(\psi; \mathcal{M})} \right) \Big|_{\psi=0}$$

, where

$$g(\psi; \mathcal{M}) = \frac{1}{2} \int_{\mathcal{M}} d^n p_1 \int_{\mathcal{M}} d^n p_2 \psi(p_1) G(p_1, p_2) \psi(p_2)$$

Questions

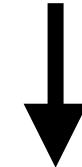
Effective actions contain divergences!



What type of regularization should we use?

We have three effective actions!

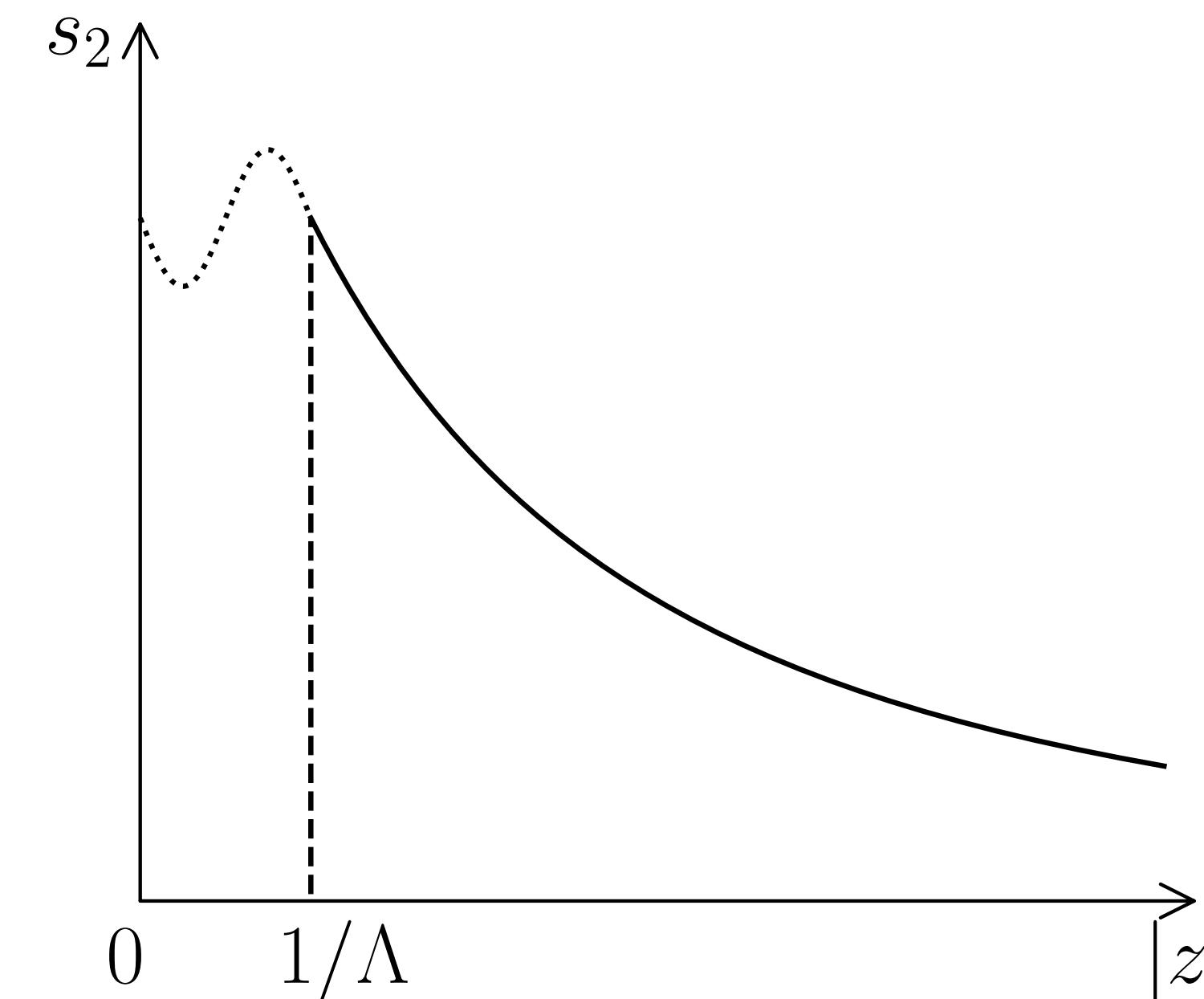
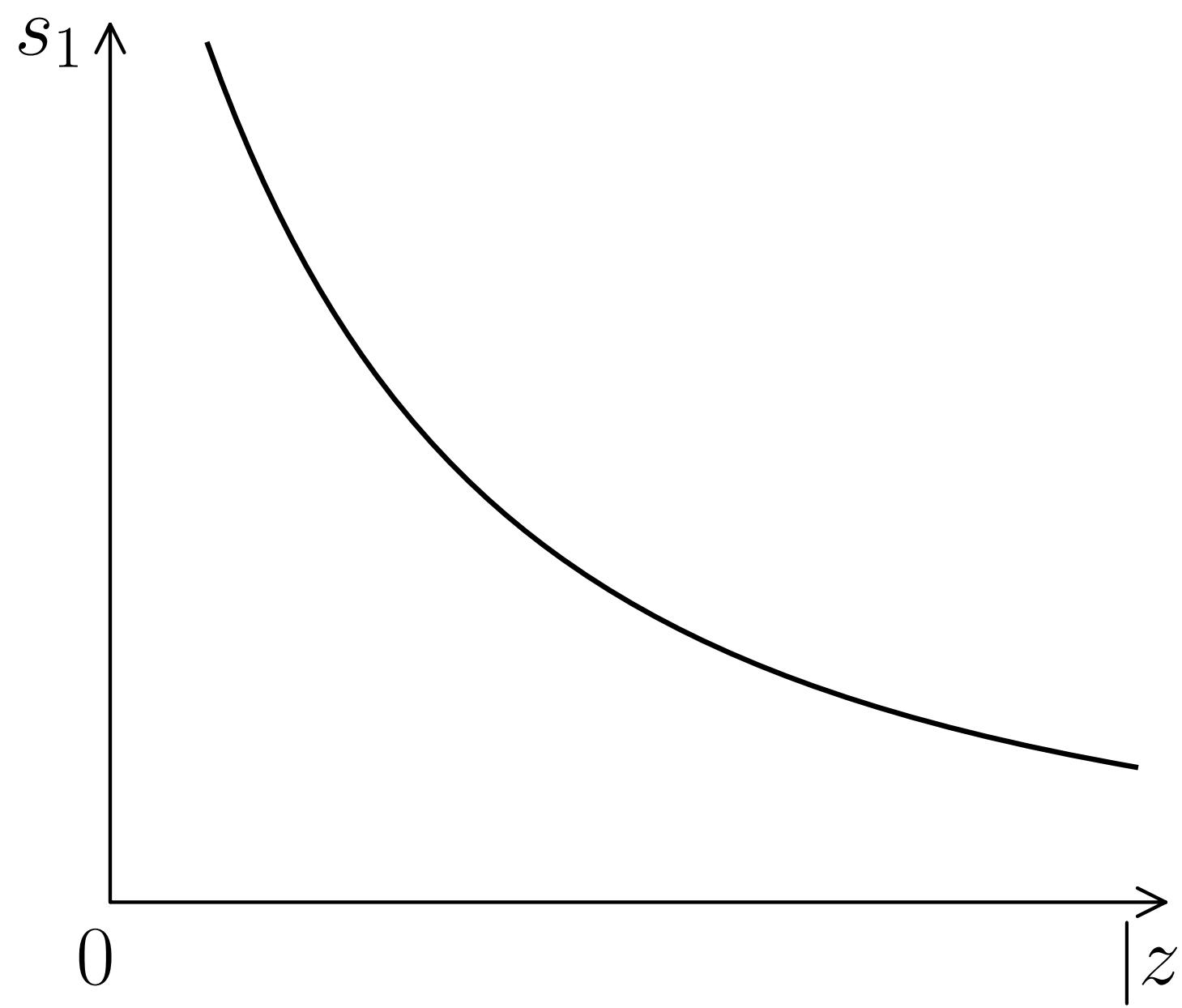
on \mathcal{M}_l , \mathcal{M}_r , and \mathcal{M}



How are they related to each other before and after the regularization process?

Regularization in Euclidean case

$$G(x) = \frac{|x|^{2-n}}{(n-2)S_{n-1}} \longrightarrow G^{\mathbf{f}, \Lambda}(x) = \frac{\Lambda^{n-2}}{(n-2)S_{n-1}} \mathbf{f}(|x|^2 \Lambda^2) + \frac{1}{(n-2)S_{n-1}} \begin{cases} \Lambda^{n-2}, & |x| \leq 1/\Lambda \\ |x|^{2-n}, & |x| > 1/\Lambda \end{cases}$$



Regularization in Euclidean case

$$H_\alpha^\Lambda(\phi)(x) = \int_{S^{n-1}} \frac{d^{n-1}\sigma(\hat{x}_k)}{S_{n-1}} \dots \int_{S^{n-1}} \frac{d^{n-1}\sigma(\hat{x}_1)}{S_{n-1}} \phi\left(x + \Lambda^{-1} \sum_{i=1}^k \hat{x}_i \alpha_i\right)$$

$$H_{\tilde{\omega}, \alpha}^\Lambda(G)(x) = \int_{\mathbb{R}^n} d^n x_k \frac{\omega_k(|x - x_k| \Lambda / \alpha_k)}{(\alpha_k / \Lambda)^n} \dots \int_{\mathbb{R}^n} d^n x_1 \frac{\omega_1(|x_2 - x_1| \Lambda / \alpha_1)}{(\alpha_1 / \Lambda)^n} G(x_1)$$

Quasi-locality

+

The smoothness is controlled
by the choice of the operator kernel!

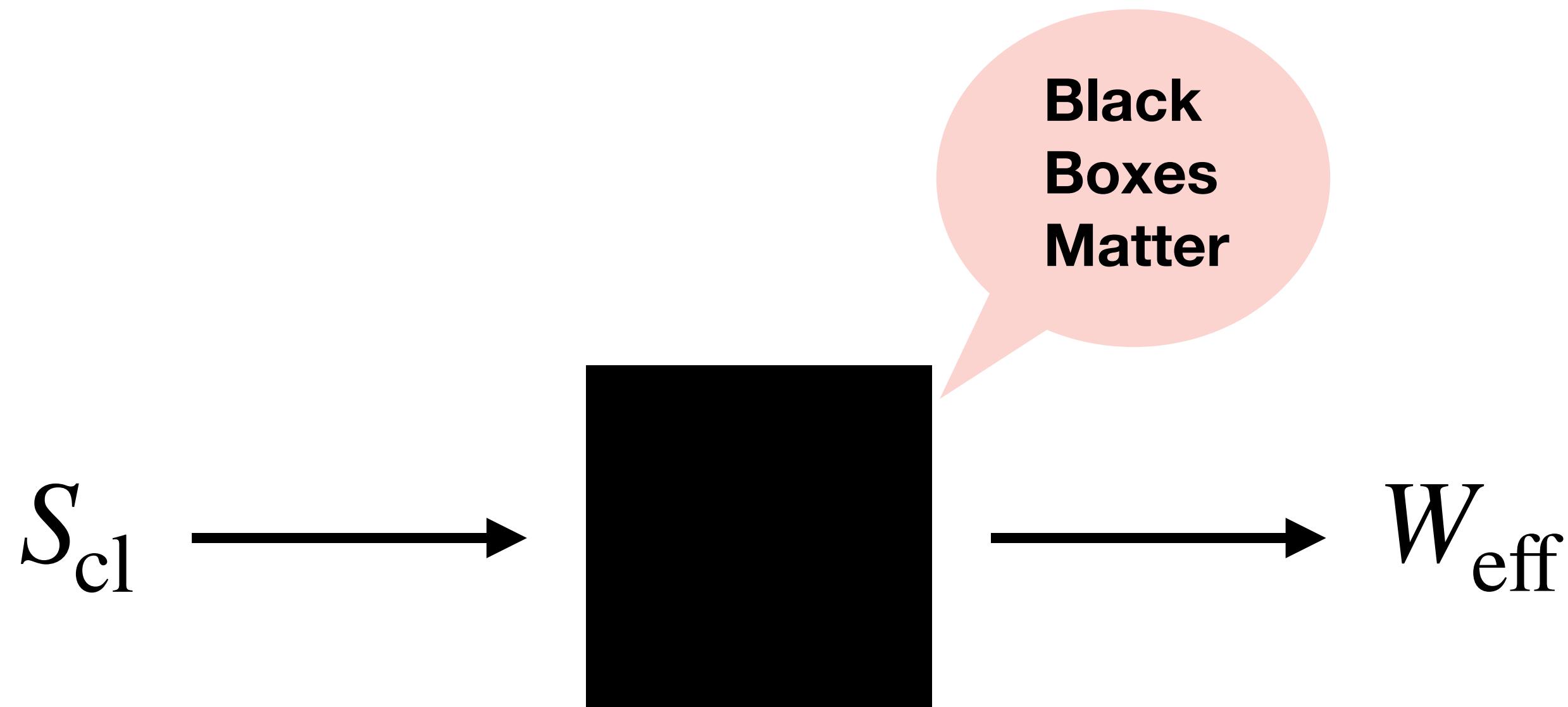


Regularization by using the deformation!

$$\phi(x) \rightarrow \phi_\omega^\Lambda(x) \equiv H_\omega^\Lambda(\phi)(x) = \int_{\mathbb{R}^n} d^n x_1 \Lambda^n \omega(|x - x_1| \Lambda) \phi(x_1)$$

$$\phi(x_1) \phi(x_2) \sim G(x_1 - x_2) \rightarrow \phi_\omega^\Lambda(x_1) \phi_\omega^\Lambda(x_2) \sim H_\omega^\Lambda(H_\omega^\Lambda(G))(x_1 - x_2)$$

What should we deform?



Rules:

- 1) Deform the classical action;
- 2) Do not deform the quadratic form.

Generalization

$$S_{\text{cl}}[\cdot, \mathcal{M}] = S_0[\cdot, \mathcal{M}] + S_{\text{int}}[\cdot, \mathcal{M}] \quad \longleftrightarrow \quad S_{\text{cl}}^\Lambda[\cdot, \mathcal{M}] = S_0[\cdot, \mathcal{M}] + S_{\text{int}}[H_\omega^\Lambda(\cdot), \mathcal{M}_\Lambda]$$

\updownarrow

regularization

\updownarrow

$$W_{\text{eff}}$$

\updownarrow

$$W_{\text{eff}}^\Lambda$$

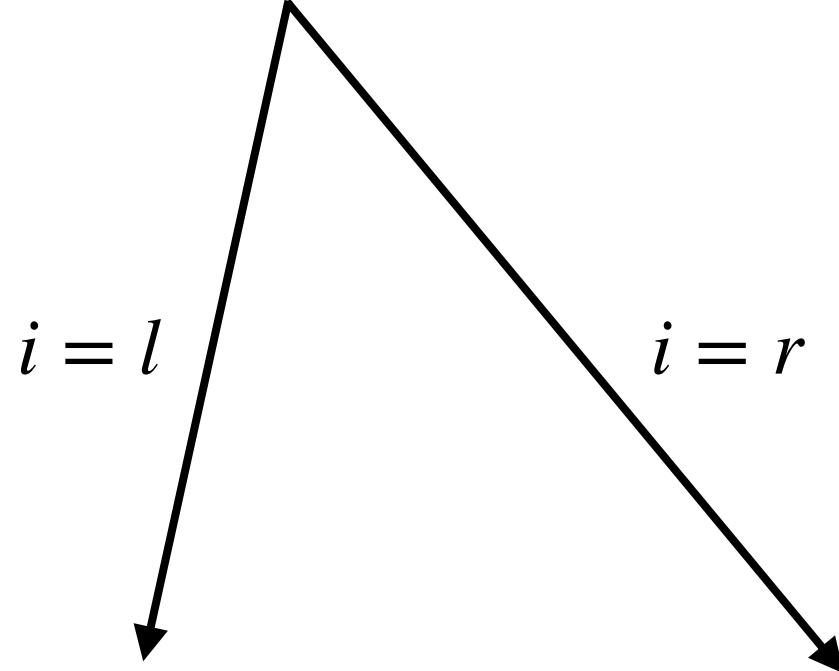
It is possible to take such kernels that
 $\omega(p, p_1; \Lambda) = \omega_i(p, p_1; \Lambda) = 0,$
 $i \in \{l, r\}, p \in \mathcal{M}_{i,\Lambda}, p_1 \in \mathcal{M}_i.$

$$\phi_\omega^\Lambda(p) \equiv H_\omega^\Lambda(\phi)(p) = \int_{\mathcal{M}} d^n p_1 \omega(p, p_1; \Lambda) \phi(p_1)$$

The support is in a small ball and tends to zero, when the regularization is removed.

Gluing

$$Z(\eta; \mathscr{M}_{i,\Lambda}) = e^{-W_{\text{eff}}[\sqrt{\hbar}\eta;\mathscr{M}_i]/\hbar} = e^{-S_0[\phi_i^\eta;\mathscr{M}_i]} \bigg(S(\delta_\psi + \phi_i^\eta; \mathscr{M}_i) e^{g_i(\psi_i; \mathscr{M}_i)} \bigg) \bigg|_{\psi_i=0}$$



$$\begin{aligned} i &\in \{l,r\} \\ \eta &= \eta_i + \eta_\Sigma \\ S_0[\phi_i^{\eta_i+\eta_\Sigma},\mathscr{M}_i] &= S_0[\phi_i^{\eta_i},\mathscr{M}_i] + S_0[\phi_i^{\eta_\Sigma},\mathscr{M}_i] - S_{i,\Sigma}(\eta_i,\eta_\Sigma;\mathscr{M}_i) \end{aligned}$$

$$\int_{\mathscr{H}_0(\Sigma)} \mathcal{D}\eta_\Sigma \, Z(\eta_l+\eta_\Sigma; \mathscr{M}_{l,\Lambda}) Z(\eta_r+\eta_\Sigma; \mathscr{M}_{r,\Lambda}) =$$

$$= e^{-S_0[\phi_l^{\eta_l};\mathscr{M}_l]-S_0[\phi_r^{\eta_r};\mathscr{M}_r]}e^{S_{l,\Sigma}(\eta_l,\delta_\eta;\mathscr{M}_l)+S_{r,\Sigma}(\eta_r,\delta_\eta;\mathscr{M}_r)}S(\mathrm{H}_\omega^\Lambda(\delta_{\psi_l}+\phi_l^{\delta_\eta}+\phi_l^{\eta_l});\mathscr{M}_{l,\Lambda})\times$$

$$\times S(\mathrm{H}_\omega^\Lambda(\delta_{\psi_r}+\phi_r^{\delta_\eta}+\phi_r^{\eta_r});\mathscr{M}_{r,\Lambda})e^{g_l(\psi_l;\mathscr{M}_l)}e^{g_r(\psi_r;\mathscr{M}_r)}e^{g_0(\eta;\Sigma)}\bigg|_{\psi_l=0,\psi_r=0,\eta=0}$$

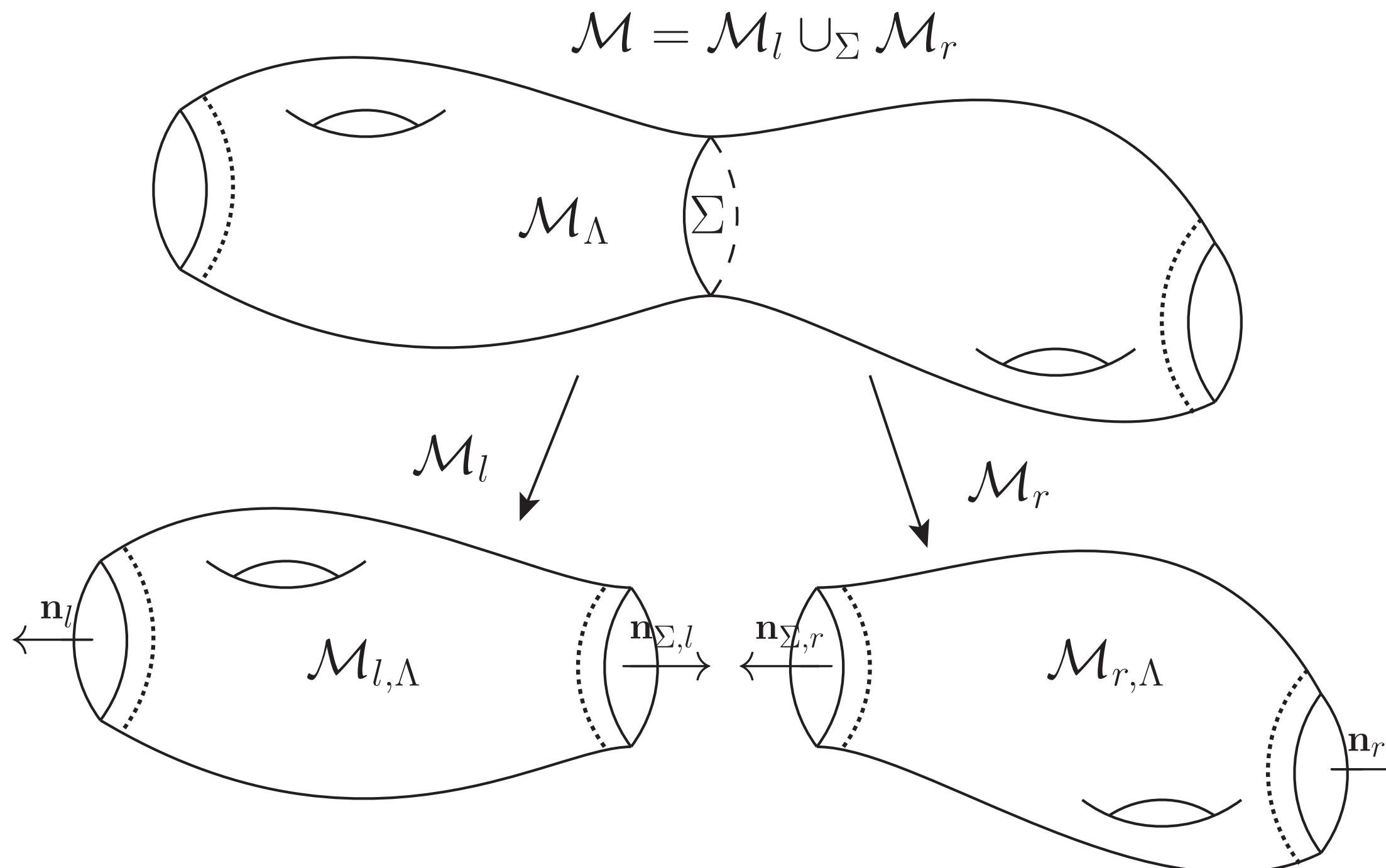
Gluing of partition functions

$$\int_{\mathcal{H}_0(\Sigma)} \mathcal{D}\eta_\Sigma Z(\eta_l + \eta_\Sigma; \mathcal{M}_{l,\Lambda}) Z(\eta_r + \eta_\Sigma; \mathcal{M}_{r,\Lambda}) = Z(\eta_l + \eta_r; \mathcal{M}_{l,\Lambda} \cup \mathcal{M}_{r,\Lambda})$$

$$\lim_{\mathcal{M}_{l,\Lambda} \cup \mathcal{M}_{r,\Lambda} \rightarrow \mathcal{M}_\Lambda} \int_{\mathcal{H}_0(\Sigma)} \mathcal{D}\eta_\Sigma Z(\eta_l + \eta_\Sigma; \mathcal{M}_{l,\Lambda}) Z(\eta_r + \eta_\Sigma; \mathcal{M}_{r,\Lambda}) = Z(\eta_l + \eta_r; \mathcal{M}_\Lambda)$$

It is valid for all dimensions!

**It works in the case of
non-renormalizable models!**



Applications in scalar models

1) Three-loop calculation in sextic model for n=3

Model:

Lipatov (1976)

Pisarski (1983)

Bardeen, Moshe, Bander (1984)

Gudmundsdottir, Rydnell, Salomonson (1984)

Hager (2002)

Gracey (2020)

Kharuk (2024)
See «ZNS POMI»

2) Three-loop calculation in quartic model for n=4

Model:

Kleinert, Schulte-Frohlinde (2001)

Vasil'ev (2004)

Other regularizations

Jack, Osborn (1982)

Brizola, Battistel, Sampaio (1999)

3) Three-loop calculation in cubic model for n=5

Ivanov (2024)

arXiv: 2402:14549

Ivanov, Kharuk (2024)

arXiv: 2404:07513

An example of the action density for the sextic model: $t_1\phi + \frac{1}{2}\phi(A_0 + m^2)\phi + \sum_{k=3}^6 \frac{t_k}{k!}\phi^k$

Many thanks!