

# 4d Superconformal indices, 3d partition functions and many-body systems

V. P. Spiridonov

BLTP JINR and NRU HSE

## Superconformal indices of 4d, $\mathcal{N} = 1$ supersymmetric gauge field theories:

- Space-time symmetry group  $SU(2, 2|1)$ : Lorentz group,  $J_i, \bar{J}_i$ ; supertranslations,  $P_\mu, Q_\alpha, \bar{Q}_{\dot{\alpha}}$ ; special superconformal transformations,  $K_\mu, S_\alpha, \bar{S}_{\dot{\alpha}}$ ; dilatations,  $H$ ;  $U(1)_R$ -symmetry,  $R$ .
- Local gauge group  $G$  and global flavor group  $F$  (generators  $F_k$ ).
- Take  $Q := \bar{Q}_1$  and  $Q^\dagger := \bar{S}_1 \Rightarrow$

$$QQ^\dagger + Q^\dagger Q = 2\mathcal{H}, \quad \mathcal{H} = H - 2\bar{J}_3 - 3R/2$$

The superconformal index (Romelsberger, 2005; KMMR, 2005):

$$I(p, q, y_k) = \text{Tr} \left( (-1)^{\mathcal{F}} p^{\mathcal{R}/2 + J_3} q^{\mathcal{R}/2 - J_3} \prod_k y_k^{F_k} e^{-\beta \mathcal{H}} \right), \quad \mathcal{R} = H - \frac{1}{2}R.$$

- $p, q, y_k, \beta$  = group parameters for maximal Cartan generators preserving taken SUSY. Gets contributions only from BPS-states

$$Q|\psi\rangle = Q^\dagger|\psi\rangle = \mathcal{H}|\psi\rangle = 0, \quad Q^2 = (Q^\dagger)^2 = 0,$$

$\Rightarrow$  does not depend on  $\beta \Rightarrow$  a topological object, coinciding with SUSY partition function of theories on  $S^3 \times S^1$  in external fields.

Computation  $\Rightarrow$  the matrix integral:

$$I(y; p, q) = \int_G d\mu(z) \exp \left( \sum_{n=1}^{\infty} \frac{1}{n} \text{ind}(p^n, q^n, z^n, y^n) \right)$$

with the Haar measure  $d\mu(z)$  and single particle states index

$$\begin{aligned} \text{ind}(p, q, z, y) &= \frac{2pq - p - q}{(1-p)(1-q)} \chi_{adj_G}(z) \\ &+ \sum_j \frac{(pq)^{R_j/2} \chi_{r_F, j}(y) \chi_{r_G, j}(z) - (pq)^{1-R_j/2} \chi_{\bar{r}_F, j}(y) \chi_{\bar{r}_G, j}(z)}{(1-p)(1-q)}. \end{aligned}$$

$\chi_{R_F, j}(y)$ ,  $\chi_{R_G, j}(z)$  = characters of the group representations,  $R_j = R$ -charges of chiral fields.

Example:  $G = SU(2)$ ,  $F = SU(8)$ ,  $(f, f)$  chiral superfield with  $R = 1/2$  (Dolan, Osborn, 2008)

$$I_E = V(t_1, \dots, t_8; p, q) = \frac{(p; p)_{\infty} (q; q)_{\infty}}{4\pi i} \int_{\mathbb{T}} \frac{\prod_{j=1}^8 \Gamma(t_j x^{\pm 1}; p, q)}{\Gamma(x^{\pm 2}; p, q)} \frac{dx}{x},$$

$$t_j = y_j (pq)^{R/2} \Rightarrow \prod_{j=1}^8 t_j = (pq)^2, \quad (z; p)_{\infty} = \prod_{j=0}^{\infty} (1 - zp^j),$$

$$\Gamma(z; p, q) = \prod_{j, k=0}^{\infty} \frac{1 - z^{-1} p^{j+1} q^{k+1}}{1 - zp^j q^k}, \quad |q|, |p| < 1.$$

This is the elliptic hypergeometric function  
 $W(E_7)$ -symmetry  $\Rightarrow$  Seiberg duality.

V.S., 2003

The elliptic hypergeometric equation

$$\frac{\prod_{j=1}^8 \theta(\varepsilon_j z; p)}{\theta(z^2; p) \theta(qz^2; p)} (\psi(qz) - \psi(z)) + \frac{\prod_{j=1}^8 \theta(\varepsilon_j z^{-1}; p)}{\theta(z^{-2}; p) \theta(qz^{-2}; p)} (\psi(q^{-1}z) - \psi(z)) \\ + \prod_{k=1}^6 \theta\left(\frac{\varepsilon_k \varepsilon_8}{q}; p\right) \psi(z) = 0, \quad \prod_{k=1}^8 \varepsilon_k = p^2 q^2, \quad \varepsilon_8 = q\varepsilon_7,$$

where  $\theta(z) = (z; p)_\infty (pz^{-1}; p)_\infty$  and  $\psi(z) \propto V(\dots, cz, c/z, \dots; p, q)$ ,  $c = \sqrt{\varepsilon_6 \varepsilon_8}/p^2$ .

The most general known solvable (discrete) Schrödinger equation  
= a particular eigenvalue equation for the one-particle Hamiltonian  
of integrable many-body van Diejen model (generalized Ruijsenaars model)

V.S., 2004

**NB:** Quantum mechanical wave function  $\sim$  QFT partition function

**$4d \rightarrow 3d$  reduction:**

Dolan, Vartanov, V.S., 2011

$$\Gamma(e^{-2\pi v u}; e^{-2\pi v \omega_1}, e^{-2\pi v \omega_2}) \underset{v \rightarrow 0^+}{=} e^{-\pi \frac{2u - \omega_1 - \omega_2}{12v\omega_1\omega_2}} \gamma^{(2)}(u; \omega_1, \omega_2). \quad \text{Ruijsenaars, 1997}$$

Faddeev's (1994) modular dilogarithm, or hyperbolic gamma function

$$\gamma^{(2)}(u; \omega) = e^{-\frac{\pi i}{2} B_{2,2}(u; \omega)} \frac{(\tilde{\mathbf{q}} e^{2\pi i \frac{u}{\omega_1}}; \tilde{\mathbf{q}})_\infty}{(e^{2\pi i \frac{u}{\omega_2}}; \mathbf{q})_\infty}, \quad \mathbf{q} = e^{2\pi i \frac{\omega_1}{\omega_2}}, \quad \tilde{\mathbf{q}} = e^{-2\pi i \frac{\omega_2}{\omega_1}},$$

$$B_{2,2}(u; \omega) = \frac{1}{\omega_1 \omega_2} \left( \left( u - \frac{\omega_1 + \omega_2}{2} \right)^2 - \frac{\omega_1^2 + \omega_2^2}{12} \right),$$

Then 
$$V(e^{-2\pi v g_k}; e^{-2\pi v \omega_1}, e^{-2\pi v \omega_2}) \underset{v \rightarrow 0^+}{=} e^{\frac{\pi}{4v} \left( \frac{1}{\omega_1} + \frac{1}{\omega_2} \right)} I_h(\underline{g}), \quad \text{Rains, 2006}$$

$$I_h(\underline{g}) = \int_{-i\infty}^{i\infty} \frac{\prod_{j=1}^8 \gamma^{(2)}(g_j \pm z; \omega_1, \omega_2)}{\gamma^{(2)}(\pm 2z; \omega_1, \omega_2)} \frac{dz}{2i\sqrt{\omega_1 \omega_2}},$$

SUSY partition function of a particular  $3d$  field theory on the squashed  $S_b^3$ .

A particular  $3d$   $\mathcal{N} = 2$  quiver gauge field theory on duality wall of  $4d$   $\mathcal{N} = 4$  theory

Hosomichi, Lee, Park, 2010

$$G = U(1) \times U(2) \times \dots \times U(N-1), \quad F = U(N)$$

with the explicit partition function

$$\Psi_{\underline{\lambda}_n}(\underline{x}_n; g) = \Lambda_n(\lambda_n) \Psi_{\underline{\lambda}_{n-1}}(\underline{x}_{n-1}; g), \quad \underline{x}_n = (x_1, \dots, x_n), \quad \Psi_{\lambda_1}(x_1) = e^{\frac{2\pi i}{\omega_1 \omega_2} \lambda_1 x_1},$$

$$(\Lambda_n(\lambda_n) f)(\underline{x}_n) = \frac{\gamma^{(2)}(g)^{n-1}}{(n-1)!} \int_{\mathbb{R}^{n-1}} \Lambda(\underline{x}_n, \underline{y}_{n-1}; \lambda) f(\underline{y}_{n-1}) \prod_{k=1}^{n-1} \frac{dy_k}{\sqrt{\omega_1 \omega_2}},$$

$$\Lambda(\underline{x}_n, \underline{y}_{n-1}; \lambda) = e^{\frac{2\pi i}{\omega_1 \omega_2} \lambda (\sum_{i=1}^n x_i - \sum_{i=1}^{n-1} y_i)} \prod_{i=1}^n \prod_{j=1}^{n-1} K(x_i - y_j) \prod_{\substack{i,j=1 \\ i \neq j}}^{n-1} \mu(y_i - y_j),$$

$$K(x) = \gamma^{(2)}(\tfrac{1}{2}g^* \pm ix), \quad \mu(x) = \frac{\gamma^{(2)}(g^* \pm ix)}{\gamma^{(2)}(\pm ix)}, \quad g^* = \omega_1 - \omega_2 - g.$$

Origin:  $K$  - bifundamental chiral fields,  $g$  - mass term,  $\mu$  - vector supermultiplet,  $\lambda_j$  - FI terms.

**Surprise:** the eigenfunction of hyperbolic Ruijsenaars Hamiltonian (Hallnas, Ruijsenaars, 2014)

$$H \Psi_{\underline{\lambda}_n}(\underline{x}_n; g) = \left( \sum_{j=1}^n e^{\frac{2\pi}{\omega_2} \lambda_j} \right) \Psi_{\underline{\lambda}_n}(\underline{x}_n; g), \quad H = \sum_{j=1}^n \prod_{k=1, \neq j}^n \frac{\text{sh } \frac{\pi}{\omega_2} (x_j - x_k - ig)}{\text{sh } \frac{\pi}{\omega_2} (x_j - x_k)} e^{-i\omega_1 \partial_{x_i}}.$$

Full solution: Belousov, Derkachov, Kharchev, Khoroshkin, 2023

## Quantum integrable many-body systems

Calogero model (1969-1971)

$$H(\underline{p}, \underline{x}) = \frac{1}{2} \sum_{n=1}^N (p_n^2 + \omega^2 x_n^2) + g^2 \sum_{m,n=1; m \neq n}^N \frac{1}{(x_n - x_m)^2}, \quad [x_n, p_m] = i\hbar \delta_{nm}$$

Trigonometric(hyperbolic) and elliptic extensions: Sutherland, Inozemtsev, etc.

Relativistic generalization: Ruijsenaars, van Diejen

Two particle hyperbolic Ruijsenaars Hamiltonians in the center of mass

$$H_h = \sum_{\varepsilon=\pm 1} \frac{\operatorname{sh} \frac{\pi}{\omega_2}(x - i\varepsilon g)}{\operatorname{sh} \frac{\pi}{\omega_2} x} e^{\varepsilon \omega_1 p}, \quad H'_h = \sum_{\varepsilon=\pm 1} \frac{\operatorname{sh} \frac{\pi}{\omega_1}(x - i\varepsilon g)}{\operatorname{sh} \frac{\pi}{\omega_1} x} e^{\varepsilon \omega_2 p}, \quad p = -i \frac{d}{dx}$$

Hyperbolic wave function:

$$F_\lambda^g(x) = \gamma^{(2)}(g; \omega) \int_{\mathbb{R}} e^{\frac{2\pi i}{\omega_1 \omega_2} \lambda z} \gamma^{(2)}\left(\frac{1}{2}g^* \pm \frac{1}{2}ix \pm iz; \omega\right) \frac{dz}{\sqrt{\omega_1 \omega_2}}$$

Eigenvalue problems

$$H_h F_\lambda^g(x) = 2 \operatorname{ch} \frac{\pi \lambda}{\omega_2} F_\lambda^g(x), \quad H'_h F_\lambda^g(x) = 2 \operatorname{ch} \frac{\pi \lambda}{\omega_1} F_\lambda^g(x).$$

Standard degenerations: 1)  $x \rightarrow \omega_1 x$ ,  $g = b\omega_1$ ,  $\omega_1 \rightarrow 0$ , 2)  $x$  fixed,  $g = b\omega_1$ ,  $\omega_1 \rightarrow 0$ ,

$$1) H_h \rightarrow \frac{x - ib}{x} e^p + \frac{x + ib}{x} e^{-p}, \quad 2) H_h \rightarrow -\partial_x^2 - \pi^2 \frac{b(b-1)}{\text{sh}^2 \pi x}.$$

**New complex degenerations** (Sarkissian, V.S., 2022; Belousov, Sarkissian, V.S., 2025)

3) rational relativistic:  $\delta \rightarrow 0$ ,  $N \in \mathbb{Z}$ ,  $N \rightarrow \infty$ ,  $N\delta \rightarrow \alpha \in \mathbb{R}$  in

$$\omega_1 = i + \delta, \quad \omega_2 = -i + \delta, \quad x = n + u\delta, \quad g = i(r + h\delta), \quad \lambda = N + \beta.$$

$$H_{cr}(b) = \sum_{\varepsilon=\pm 1} \frac{z \pm b}{z} e^{\varepsilon \partial_z}, \quad H'_{cr}(b') = \sum_{\varepsilon=\pm 1} \frac{z' \pm b'}{z'} e^{\varepsilon \partial_{z'}}, \quad e^{\partial_z} := e^{\partial_n} e^{-i\partial_u}, \quad e^{\partial_{z'}} := e^{-\partial_n} e^{-i\partial_u},$$

$$z = \frac{n + iu}{2}, \quad b = \frac{r + ih}{2}, \quad z - z' = n, \quad b - b' = r, \quad n, r \in \mathbb{Z}.$$

4) non-relativistic of Sutherland type:  $\delta \rightarrow 0$ ,  $x = N + \beta$ ,  $N \in \mathbb{Z}$ ,  $N \rightarrow \infty$ ,  $N\delta \rightarrow \alpha$ ,

$$\gamma = \alpha + i\beta, \quad g = i(r + h\delta), \quad H_h \rightarrow -\partial_\gamma^2 - \pi^2 \frac{b(b-1)}{\text{sh}^2 \pi \gamma}, \quad H'_h \rightarrow -\partial_{\bar{\gamma}}^2 - \pi^2 \frac{b'(b'-1)}{\text{sh}^2 \pi \bar{\gamma}},$$

A degeneration of the hyperbolic gamma function

Sarkissian, V.S., 2020

$$\gamma^{(2)}(i\sqrt{\omega_1 \omega_2}(n + u\delta); \omega) \underset{\delta \rightarrow 0^+}{=} e^{\frac{\pi i}{2} n^2} (4\pi \delta)^{iu-1} \Gamma(u, n), \quad \sqrt{\frac{\omega_1}{\omega_2}} = i + \delta,$$

where  $\Gamma(u, n)$  is the complex gamma function

$$\Gamma(u, n) = \frac{\Gamma(\frac{n+iu}{2})}{\Gamma(1 + \frac{n-iu}{2})}, \quad n \in \mathbb{Z}.$$

Limiting wave function  $\propto$

$$F_{\alpha,\beta}^{r,h}(u, n) = \frac{1}{4\pi\Gamma(h, r)} \sum_{k \in \mathbb{Z} + \epsilon} \int_{-\infty}^{\infty} e^{-2\pi i(\beta k + \alpha y)} \Gamma\left(\frac{1}{2}h \pm \frac{1}{2}u \pm y, \frac{1}{2}r \pm \frac{1}{2}n \pm k\right) dy,$$

where  $\epsilon \in \{0, \frac{1}{2}\}$ , satisfies eigenvalue problems

$$H_{cr}(1-b) F_{\alpha\beta}^{r,h}(u, n) = -2 \operatorname{ch} \pi\gamma F_{\alpha\beta}^{r,h}(u, n), \quad H'_{cr}(1-b') F_{\alpha\beta}^{r,h}(u, n) = -2 \operatorname{ch} \pi\bar{\gamma} F_{\alpha\beta}^{r,h}(u, n).$$

and their duals

$$H_c F_{\alpha,\beta}^{r,h}(u, n) = -(\pi z)^2 F_{\alpha,\beta}^{r,h}(u, n), \quad H'_c F_{\alpha,\beta}^{r,h}(u, n) = -(\pi z')^2 F_{\alpha,\beta}^{r,h}(u, n),$$

$$H_c = -\partial_\gamma^2 - 2\pi b \coth(\pi\gamma) \partial_\gamma - (\pi b)^2, \quad H'_c = -\partial_{\bar{\gamma}}^2 - 2\pi b' \coth(\pi\bar{\gamma}) \partial_{\bar{\gamma}} - (\pi b')^2.$$

5) complementary rational relativistic:  $\omega_1 = 1 + i\delta, \quad \omega_2 = 1 - i\delta, \quad \delta \rightarrow 0,$

$$x = i(-k + u\delta), \quad g = \ell - h\delta, \quad H_h \rightarrow \sum_{\varepsilon=\pm 1} \frac{z \pm b}{z} e^{\varepsilon \partial_z}, \quad H'_h \rightarrow \sum_{\varepsilon=\pm 1} \frac{z' \pm b'}{z'} e^{-\varepsilon \partial_{z'}}$$

6) complimentary non-relativistic:  $\delta \rightarrow 0, \quad N \in \mathbb{Z}, \quad N \rightarrow \infty, \quad N\delta \rightarrow \alpha, \quad x = i(N + \beta),$

$$g = \ell + h\delta, \quad y = \alpha + i\beta, \quad H_h \rightarrow -\partial_{\bar{y}}^2 - \pi^2 \frac{b'(b'+1)}{\operatorname{sh}^2 \pi \bar{y}}, \quad H'_h \rightarrow -\partial_y^2 - \pi^2 \frac{b(b-1)}{\operatorname{sh}^2 \pi y}, \quad b' = -\bar{b}.$$