



Disparity in sound speeds: implications for unitarity and effective potential in quantum field theory

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Yulia Ageeva (INR RAS)
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- ① Motivation
- ② Unitarity relation and unitarity bound
- ③ Challenging generalized optical theorem: theory with two scalars
- ④ Effective potential
- ⑤ Results and outlook



- ▶ Probability conservation, embodied in the **unitarity** of the S -matrix, has long been one of the most powerful consistency checks on quantum field theories (QFTs).
- ▶ **Unitarity relation and partial wave expansions** are fundamental tools for ensuring probability.
- ▶ While most classic studies assume relativistic dispersion with a universal ($c = 1$) speed of light \rightarrow many contemporary frameworks (from multi-field inflation to Lorentz-violating extensions of the Standard Model) request scalar degrees of freedom that propagate with **different sound speeds**.



- ▶ Thus, we consider a theory which contains **massive scalar fields with different sound speeds**.
- ▶ We derive unitarity relations for partial wave amplitudes of $2 \rightarrow 2$ scattering, with explicit formulas for contributions of two-particle intermediate states.
- ▶ Making use of these relations, we obtain unitarity bounds.
- ▶ These bounds can be used for estimating the strong coupling scale of a pertinent EFT.
- ▶ Moreover, we derive one-loop **Coleman–Weinberg** potential for small splitting $\delta = u_2 - u_1$ ($u_2 = c_s + \delta$ and $u_1 = c_s$) and RG flow modification: all quartic β -functions scale $\propto (c_s - \frac{3}{2}\delta)$, revealing an “accidental” fixed line $\delta = \frac{2}{3}c_s$.



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- The quadratic action reads

$$S = \sum_i S_{\phi_i}, \quad S_{\phi_i} = \int d^4x \left(\frac{1}{2} \dot{\phi}_i^2 - \frac{1}{2} u_i^2 (\vec{\nabla} \phi_i)^2 - \frac{1}{2} m_i^2 \phi_i^2 \right),$$

$$E_{p_i}^2 = u_i^2 p_i^2 + m_i^2.$$

- Now, we consider an initial state:

$$|\psi, \beta\rangle = \sqrt{2E_{p_1}} \sqrt{2E_{p_2}} a_{p_1}^\dagger a_{p_2}^\dagger |0\rangle,$$

and the same form we have for the final state $|\psi', \beta'\rangle$.

- Notation β refers to the types of the two particles, $\beta = \{\phi_i, \phi_j\}$, while notation ψ is a shorthand for the pair of momenta, $\psi = \{\vec{p}_1, \vec{p}_2\}$.



- ▶ Start with the scalar product of $|\psi', \beta'\rangle$ and $|\psi, \beta\rangle$ states

$$\langle \psi', \beta' | \psi, \beta \rangle = (2\pi)^6 2E_{p_1} 2E_{p_2} \delta^{(3)}(\vec{p}_1' - \vec{p}_1) \delta^{(3)}(\vec{p}_2' - \vec{p}_2) \delta_{\beta' \beta},$$

- ▶ Unitarity of S-matrix, $SS^\dagger = S^\dagger S = 1$ implies

$$T - T^\dagger = iTT^\dagger = iT^\dagger T.$$

- ▶ In terms of two-particle state of definite angular momentum one has

$$-i \left(T_{m'\beta'; m\beta}^{(l)} - T_{m\beta; m'\beta'}^{(l)*} \right) = \int d^4\mathcal{P}'' \sum_{m'', \beta''} \frac{1}{N(\beta'')} T_{m'\beta'; m''\beta''}^{(l)} T_{m\beta; m''\beta''}^{(l)*},$$

$$N(\beta) \equiv 2(2\pi)^5 \frac{(u_{1\beta}^2 E_2 + u_{2\beta}^2 E_1)}{p}, \quad p \equiv |\vec{p}| = |\vec{p}_1| = |\vec{p}_2|,$$

$$\text{where } E = E_1 + E_2 = \sqrt{u_1^2 p^2 + m_1^2} + \sqrt{u_2^2 p^2 + m_2^2}.$$



2 Unitarity relation

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- ▶ Then in terms of PWA

$$a_{l,\beta'\beta} = \frac{1}{32\pi} \int d(\cos \gamma) \cdot P_l(\cos \gamma) M_{\beta'\beta},$$

which related to $T_{m'\beta';m\beta}^{(l)}$ as

$$T_{m'\beta';m\beta}^{(l)} = 16\pi \cdot (2\pi)^4 \delta^{(4)}(\mathcal{P}^{\mu'} - \mathcal{P}^{\mu}) \delta_{m'm} a_{l,\beta'\beta},$$

we obtain

$$\text{Im } a_{l,\alpha\beta} = \sum_{\gamma} \frac{2p_{\gamma}}{(E_2 u_{1\gamma}^2 + E_1 u_{2\gamma}^2)} a_{l,\alpha\gamma} a_{l,\beta\gamma}^*.$$

- ▶ If one takes $u_{1\gamma} = u_{2\gamma} = 1$, then this relation coincides with the standard one.



- Both cases of distinguishable and identical particles can be generalized as follows:

$$\text{Im } a_{I,\alpha\beta} = \sum_{\gamma} g_{\gamma} a_{I,\alpha\gamma} a_{I,\gamma\beta}^*,$$

where

$$g_{\gamma} = \frac{2p_{\gamma}}{(E_2 u_{1\gamma}^2 + E_1 u_{2\gamma}^2)} \quad \text{distinguishable ,}$$
$$g_{\gamma} = \frac{1}{2u_{\gamma}^2} \frac{p_{\gamma}}{E_{p_{\gamma}}} \quad \text{identical .}$$



- ▶ One can introduce the rescaled amplitudes as

$$a_{I,\alpha\beta} = \frac{\tilde{a}_{I,\alpha\beta}}{\sqrt{g_\alpha g_\beta}},$$

- ▶ Then the optical theorem reads

$$\text{Im } \tilde{a}_{I,\alpha\beta} = \sum_{\gamma} \tilde{a}_{I,\alpha\gamma} \tilde{a}_{I,\gamma\beta}^*.$$

- ▶ The unitarity bound is

$$|\text{Re } \tilde{a}_{I,\alpha\alpha}| \leq \frac{1}{2},$$

what is written for the diagonal part of $\tilde{a}_{I,\alpha\beta}$ and it is right for any eigenvalue of \tilde{a}_I .



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3 Two-scalar model and tree amplitudes

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- ▶ Now we explicitly illustrate that the obtained unitarity relation is carried out in the lowest order by the coupling constants.
- ▶ To this end, consider

$$S = \int d^4x \left[\frac{1}{2} \dot{\phi}_1^2 + \frac{1}{2} \dot{\phi}_2^2 - \frac{1}{2} u_1^2 (\partial \phi_1)^2 - \frac{1}{2} u_2^2 (\partial \phi_2)^2 \right. \\ \left. - \frac{1}{2} m_1^2 \phi_1^2 - \frac{1}{2} m_2^2 \phi_2^2 + \frac{\lambda_1}{4!} \phi_1^4 + \frac{\lambda_2}{4!} \phi_2^4 + \frac{\lambda_3}{4} \phi_1^2 \phi_2^2 \right].$$

- ▶ There are three two-particle states $\alpha = (\phi_1, \phi_1)$, $\beta = (\phi_1, \phi_2)$, and $\gamma = (\phi_2, \phi_2)$ in this theory.
- ▶ Tree-level PWAs is given by

$$a_{0,\text{tree}} = \frac{1}{32\pi} \int_{-1}^1 d(\cos\theta) P_0(\cos\theta) M_{\text{tree}} = \frac{M_{\text{tree}}}{16\pi} = \frac{1}{16\pi} \begin{pmatrix} \lambda_1 & 0 & \lambda_3 \\ 0 & \lambda_3 & 0 \\ \lambda_3 & 0 & \lambda_2 \end{pmatrix}.$$



3 One-loop optical theorem check

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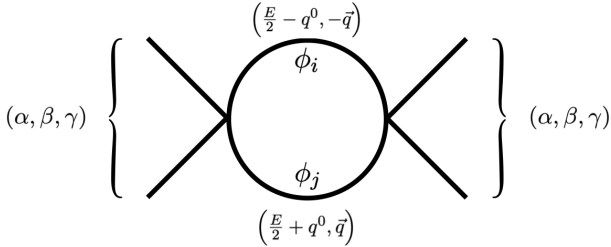


Figure: The 1-loop s-wave diagram. We recall the notations: $\alpha = (\phi_1, \phi_1)$, $\beta = (\phi_1, \phi_2)$, and $\gamma = (\phi_2, \phi_2)$. This picture includes all possible processes: **(1)** $\alpha \rightarrow \alpha$ through fields ϕ_2 (so $\phi_i = \phi_j = \phi_2$ in that case and both vertices are λ_3); **(2)** $\alpha \rightarrow \alpha$ through fields ϕ_1 ; **(3)** $\alpha \rightarrow \gamma$ through fields ϕ_1 ; **(4)** $\alpha \rightarrow \gamma$ through fields ϕ_2 ; **(5)** $\gamma \rightarrow \gamma$ through fields ϕ_1 ; **(6)** $\gamma \rightarrow \gamma$ through field ϕ_2 ; **(7)** $\beta \rightarrow \beta$ through fields ϕ_1 and ϕ_2 .



3 One-loop optical theorem check

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- ▶ On the other hand, we need to evaluate the integrals like:

$$\begin{aligned} iM_{1\text{-loop}}^{(1)} &= \frac{1}{2}(i\lambda_3)^2 \int \frac{d^4 q}{(2\pi)^4} \frac{i}{(\frac{l}{2} - q)^2 - m_2^2 + i\epsilon} \frac{i}{(\frac{l}{2} + q)^2 - m_2^2 + i\epsilon} \\ &= \frac{\lambda_3^2}{2} \int \frac{d^4 q}{(2\pi)^4} \frac{1}{(\frac{E}{2} - q^0)^2 - u_2^2 \vec{q}^2 - m_2^2 + i\epsilon} \frac{1}{(\frac{E}{2} + q^0)^2 - u_2^2 \vec{q}^2 - m_2^2 + i\epsilon}, \end{aligned}$$

- ▶ Using notations $E_{(2)} \equiv \frac{E}{2}$ and $u_2 p_{(2)} \equiv \sqrt{E_{(2)}^2 - m_2^2}$ we obtain

$$\text{Im } M_{\text{loop}}^{(1)} = \frac{\lambda_3^2}{16\pi} \frac{p_{(2)}}{E_{(2)}} \frac{1}{2u_2^2}.$$

- ▶ The subscript “(2)” reflects that there is the particles related to ϕ_2 field “run” in the loop.



3 Bound for the specific model: example

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- ▶ Last but not least we present the specific bound for the current model. Let us consider only $\alpha \rightarrow \alpha$ process, since the derivations for the rest are totally the same. For chosen $\alpha \rightarrow \alpha$ process we immediately arrive to the bound in the form:

$$\left| \frac{\lambda_1}{16\pi} \frac{p_{(1)}}{2u_1^2 E_{(1)}} \right| \leq \frac{1}{2}, \quad l=0, \quad E_{(1)} = \sqrt{u_1^2 p_{(1)}^2 + m_1^2}.$$

- ▶ In terms of energy only

$$\left| \frac{\lambda_1}{16\pi} \frac{\sqrt{E_{(1)}^2 - m_1^2}}{2u_1^3 E_{(1)}} \right| \leq \frac{1}{2}.$$



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- ▶ To compute the one-loop correction, we consider small fluctuations around the background fields $\phi_1 = \phi_{1c} + \delta\phi_1$ and $\phi_2 = \phi_{2c} + \delta\phi_2$.
- ▶ For constant background fields $\phi_1 = \phi_{1c}$ and $\phi_2 = \phi_{2c}$, the kinetic terms vanish, and the classical potential is obtained directly from the potential terms in the Lagrangian:

$$V_{\text{classical}}(\phi_{1c}, \phi_{2c}) = \frac{1}{2}m_1^2\phi_{1c}^2 + \frac{1}{2}m_2^2\phi_{2c}^2 + \frac{\lambda_3}{4}\phi_{1c}^2\phi_{2c}^2 + \frac{\lambda_1}{4!}\phi_{1c}^4 + \frac{\lambda_2}{4!}\phi_{2c}^4.$$

- ▶ Expanding the Lagrangian up to quadratic order in the fluctuations

$$\begin{aligned} \mathcal{L}_{\text{quad}} = & \frac{1}{2}(\partial_0\delta\phi_1)^2 - \frac{u_1^2}{2}(\nabla\delta\phi_1)^2 + \frac{1}{2}(\partial_0\delta\phi_2)^2 - \frac{u_2^2}{2}(\nabla\delta\phi_2)^2 - \\ & - \frac{1}{2}m_{1,\text{eff}}^2\delta\phi_1^2 - \frac{1}{2}m_{2,\text{eff}}^2\delta\phi_2^2 - \lambda_3\phi_{1c}\phi_{2c} \cdot \delta\phi_1\delta\phi_2, \end{aligned}$$

with

$$m_{1,\text{eff}}^2 = m_1^2 + \frac{\lambda_3}{2}\phi_{2c}^2 + \frac{\lambda_1}{2}\phi_{1c}^2, \quad m_{2,\text{eff}}^2 = m_2^2 + \frac{\lambda_3}{2}\phi_{1c}^2 + \frac{\lambda_2}{2}\phi_{2c}^2.$$



- The standard evaluations lead to

$$V_{1\text{-loop}} = \frac{1}{2} \int \frac{d^3\mathbf{k}}{(2\pi)^3} (\omega_+ + \omega_-), \quad (2)$$

where $\mathbf{k}^2 = k_x^2 + k_y^2 + k_z^2$ and

$$\omega_{\pm}^2(\mathbf{k}) = \frac{(u_1^2 + u_2^2)\mathbf{k}^2 + m_{\pm} \pm \sqrt{((u_1^2 - u_2^2)\mathbf{k}^2 + m_{\pm})^2 + 4s^2}}{2}, \quad (3)$$

where $s = \lambda_3 \phi_{1c} \phi_{2c}$.

- The integration in (2) with (3) cannot be performed analytically, so we make the expansion when the sound speeds difference is relatively small.



- For simplicity considering massless case one obtains that beta functions of chosen theory are given by

$$\beta_{\lambda_1} = \frac{3(\lambda_3^2 + \lambda_1^2)}{16\pi^2 c_s^4} (c_s - 3/2\delta),$$

$$\beta_{\lambda_2} = \frac{3(\lambda_3^2 + \lambda_2^2)}{16\pi^2 c_s^4} (c_s - 3/2\delta),$$

$$\beta_{\lambda_3} = \frac{\lambda_3(\lambda_1 + \lambda_2 + 4\lambda_3)}{16\pi^2 c_s^4} (c_s - 3/2\delta).$$

- So far in our approximation the original beta function scales as $c_s - 3/2\delta$, surprisingly leading us the vanishing of beta function at special $\delta = 2/3c_s$.



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- We are going to address the optical theorem and unitarity relation in the case of fully anisotropic dispersion relation, i.e. for theory with the following Lagrangian

$$S = \sum_i S_{\phi_i} ,$$

$$S_{\phi_i} = \int d^4x \left(\frac{1}{2} \dot{\phi}_i^2 - \frac{1}{2} u_{x,i}^2 (\partial_x \phi_i)^2 - \frac{1}{2} u_{y,i}^2 (\partial_y \phi_i)^2 - \frac{1}{2} u_{z,i}^2 (\partial_z \phi_i)^2 - \frac{1}{2} m_i^2 \phi_i^2 \right) .$$

- It is possible to rewrite optical theorem as

$$2 p_{init} (u_1^2 E_2 + u_2^2 E_1) \sum_X \sigma(A \rightarrow X) = \text{Im} M(A \rightarrow A),$$

where $|A\rangle$ is a two-particle state. As usual, the optical theorem states that the imaginary part of the forward scattering amplitude is proportional to the total scattering cross section.

- Then the Froissart bound can be derived from the [analyticity](#).



