Recent Advances in the Physics of the Inner Crust

A.I. Chugunov (Ioffe Institute)

In collaboration:

N. Chamel and N. N. Shchechilin (Université Libre de Bruxelles)

A.Y. Potekhin, N.A. Zemlyakov, M.E. Gusakov (loffe Institute)

- N.N. Shchechilin, N. Chamel, & AIC (accepted) EPJA
- N. Chamel, N.N. Shchechilin, & AIC (2025), PRC
- A. Y. Potekhin, AIC, N.N. Shchechilin, & N. Chamel, Phys. Usp. (accepted)
- N.N. Shchechilin, N. Chamel, J.M. Pearson, AIC, & A.Y. Potekhin (2024), PRC
- N.A. Zemlyakov, & AIC (2022), Particles

INFINUM-2025, 12-16 May, 2025

BLTR, JINR, Dubna, Russia

Neutron star structure



 $\rho \sim 10^{15} \text{ g/cm}^3$ $T \lesssim 10^9 {
m K}$ $B \sim 10^{12} {
m G}$ $g \sim 10^{14} \ {\rm cm/s^2}$ $R \sim 2R_{\rm g} = 4GM/c^2$ $T_{\rm cp} \sim 10^9 {\rm K}$ $T_{\rm cn} \sim 10^8 {\rm K}$

© Dany Page, UNAM $R\sim 10-14~{\rm km},~M\sim 1.4 M_{\odot}$

Neutron star are extreme objects
 They are observed
 Observations are affected by crust

Neutron star crust



Crust: nonunifrom nuclear matter with neutralizing background of electrons

Neutron star crust



Infinite and Finite Nuclear Matter: (semi)finite clusters on infinite background

That we want to know about the crust?





D.G. Yakovlev, HEA2017(?) Crust as Cinderella of NS These properties affect observations, and thus they are required for adequate interpretation of observations

<u>Typically</u>: the main mystery of NSs is the core. The crustal properties should be known accurately to avoid biases for the core properties

That we want to know about the crust?



<u>Assignment:</u> calculate these properties and provide a tractable procedure to include results into applications. *Results should be trustworthy*!

Approach:

- Calculate energy density for given baryon number density, for each considered structure
- Select optimal structure (with minimal energy)

Recent Advances in the Physics of the Inner Crust

"Everything should be made as simple as possible, but not simpler"

Attributed to Albert Einstein

According to Robinson [*Nature* **557**, 30 (2018)], it can be a compressed version of lines from a 1933 lecture by Einstein:

"It can scarcely be denied that the supreme goal of all theory is to make the irreducible basic elements as simple and as few as possible without having to surrender the adequate representation of a single datum of experience."

How to study the crust:

- Specify nucleon interaction model (energy density functional)
- Specify an approach
 - Compressible liquid drop model (CLDM)
 - Extended Thomas-Fermi (ETF)
 - Hartree-Fock(-Bogolyubov) (HFB)
- > Calculate

Spherical clusters and pasta phases

Ravenhall et al. (1984); Hashimoto et al (1984):

In the deepest layers of the crust the clusters becomes essentially nonspherical

Cluster shape:



Increase of the density

Spherical clusters and pasta phases

Ravenhall et al. (1984); Hashimoto et al (1984): In the deepest layers of the crust the clusters becomes essentially nonspherical

Inverse phase (shape of the 'holes'):

Cylinders (bucatini)

Swiss cheese

Wigner-Seitz (WS) approximation: Spheres instead as unit cells

Clusters form a perfect regular lattice

Energy per cluster = energy of the cell

WS approximation: consider spherical cells Simplify the analysis: the boundary conditions, symmetry

Compressible liquid drop model for inner crust

CLDM is rather a class of modes, than one model

CLDM does not assume that step-like profile for proton and neutron density is real. Rather, general feature of CLDMs is that they start from explicit analytical expression for energy of the cell, written as simple as possible, but (reasonable) accurate

$$E = E_{\rm in} + E_{\rm out} + E_{\rm surf} + E_C + E_e$$

Explicit expressions for all thermodynamic quantities can be obtained analytically. It guaranties absolute (up to numerical accuracy) thermodynamic consistency of the model (if properly applied)

"Everything should be made as simple as possible, but not simpler"

Attributed to Albert Einstein

Compressible liquid drop model for inner crust

CLDM is rather a class of modes, than one model

General feature of these models is that they start from explicit analytical expression (for energy)

$$E = E_{\rm in} + E_{\rm out} + E_{\rm surf} + E_C + E_e$$

Nuclear energy inside cluster:

$$E_{\rm in} = \epsilon_{\rm nm}(n_{ni}, n_{pi})V_i$$

Nuclear energy outside cluster:

$$E_{\text{out}} = \epsilon_{\text{nm}}(n_{no}, n_{po})V_o$$

Surface energy

 $E_{\text{surf}} = \sigma(\ldots)S + \ldots$

Coulomb energy: two uniformly charged balls

$$E_C = \frac{3}{5} \frac{Z^2 e^2}{R_N} \left(1 - \frac{3}{2} u^{1/3} + \frac{1}{2} u \right) + \dots$$

Energy of electrons (uniform degenerate ideal gas) $E_e = \epsilon_e(n_e)(V_o + V_i)$

Surface energy? What is it? Something artificial???

Surface energy is natural. It can be calculated

Centelles et al. Nucl. Phys. A, 635 (1998), 193

Realistic two-phase system

Reference two-phase system

 $E_{\text{two phase}}, N_{\text{two phase}}, Z_{\text{two phase}}$

Surface energy is natural. It can be calculated

Centelles et al. Nucl. Phys. A, 635 (1998), 193

Realistic two-phase system

$$E_{\text{two phase}}, N_{\text{two phase}}, Z_{\text{two phase}}$$

$$n_{ni}, n_{pi}$$
 n_{no}

Reference two-phase system

$$E_{\text{ref}} = \epsilon_i V_i + \epsilon_o V_o,$$

$$N_{\text{ref}} = n_{ni} V_i + n_{no} V_o,$$

$$Z_{\text{ref}} = n_{pi} V_i$$

$$E_{\text{surf}} = E_{\text{two phase}} - E_{\text{ref}}$$
$$N_{\text{surf}} = N_{\text{two phase}} - N_{\text{ref}}$$
$$Z_{\text{surf}} = Z_{\text{two phase}} - Z_{\text{ref}}$$

Can be nulled by choose of the reference system

- Surface energy is a correction, required to reproduce two phase system energy
- (Neutron) adsorption is required for thermodynamically consistent description of two phase boundary
- Surface energy describe two-phase thermodynamics precisely

Surface energy is natural. It can be calculated

Centelles et al. Nucl. Phys. A, 635 (1998), 193

Realistic two-phase system

Reference two-phase system

$$E_{\text{two phase}}, N_{\text{two phase}}, Z_{\text{two phase}}$$

$$E_{\text{ref}} = \epsilon_i V_i + \epsilon_o V_o,$$

$$N_{\text{ref}} = n_{ni} V_i + n_{no} V_o,$$

$$Z_{\text{ref}} = n_{pi} V_i$$

$$E_{\text{surf}} = E_{\text{two phase}} - E_{\text{ref}}$$
$$N_{\text{surf}} = N_{\text{two phase}} - N_{\text{ref}}$$
$$Z_{\text{surf}} = Z_{\text{two phase}} - Z_{\text{ref}}$$

Can be nulled by choose of the reference system

Basic element of CLDM is a prescription to calculate the surface properties (=correction to the reference energy). It should be:

- > Tractable
- Enough accurate

 $\begin{aligned} & \textbf{Compressible liquid drop model: example} \\ & \epsilon = u \, \epsilon^{\text{nm}}(n_{ni}, \, n_{pi}) + (1 - u) \, \epsilon^{\text{nm}}(n_{no}, \, 0) + \frac{E_s(\nu_s, r_p)}{V_c} + \frac{E_C(n_{pi}, r_p, w)}{V_c} + \epsilon_e(n_e). \end{aligned}$

 $2r_c$

Explicit (algebraic) expression for the energy density

6 parameters:

$$n_{ni}, n_{pi}, n_{no}, \nu_s, V_c, r_p$$

Minimization at fixed n_b

System of 5 algebraic (nonlinear) equations With clear physical meaning:

- Chemical equilibrium inside the cell (2 eqs.)
- Beta-equilibrium (1 eq)
- Mechanical equilibrium (1 eq)
- Optimal size of the cell (1 eq)

 $n_{no}, n_{po} = 0$ $\left(n_{ni},\;n_{pi}
ight)$ $2r_p$

Gusakov, AIC (2020)

Inner crust: Equation of state

Compare two phases (A and B) with same

 $n_{ni}, n_{pi}, n_{no}, u = V_{\text{cluster}} / V_{\text{cell}}$

$$\epsilon^{A} = \frac{E^{A}}{V_{\text{cell}}^{A}} = u\epsilon^{\text{nm}}(n_{ni}, n_{pi}) + (1-u)\epsilon^{\text{nm}}(n_{no}, 0) + \underbrace{\frac{E_{\text{surf}}^{A}}{V_{\text{cell}}^{A}} + \frac{E_{C}^{A}}{V_{\text{cell}}^{A}}}_{\downarrow} + \epsilon_{e}(n_{e} = un_{pi})$$

$$\epsilon^{B} = \frac{E^{B}}{V_{\text{cell}}^{B}} = u\epsilon^{\text{nm}}(n_{ni}, n_{pi}) + (1-u)\epsilon^{\text{nm}}(n_{no}, 0) + \underbrace{\frac{E_{\text{surf}}^{B}}{V_{\text{cell}}^{B}} + \frac{E_{C}^{B}}{V_{\text{cell}}^{B}}}_{\downarrow} + \epsilon_{e}(n_{e} = un_{pi})$$

Compare two phases (A and B) with same

$$n_{ni}, n_{pi}, n_{no}, u = V_{\text{cluster}} / V_{\text{cell}}$$

(adjusted to the phase A)

$$V_c = a^3$$

$$E_{\text{surf}} = \sigma_s g_s(u) a^2$$

$$E_C = (en_e)^2 w(u) a^5$$

Size of the cell is optimal for each phase:

$$E_{\text{surf}}^A = 2E_C^A$$
$$E_{\text{surf}}^B = 2E_C^B$$

Shape	$g_s(u)$	w(u)
sphere	$(6\pi^{1/2}u)^{2/3}$	$(9\pi)^{1/3}(2u^{5/3}-3u^2+u^{8/3})/(5\cdot 2^{1/3})$
spaghetti	$(4\pi u)^{1/2}$	$u^2(u - 1 - \ln u)/2$
lasagna	2	$\pi u^2 (1-u)^2/6$

Compare two phases (A and B) with same

 $n_{ni}, n_{pi}, n_{no}, u = V_{\text{cluster}}/V_{\text{cell}}$

$$V_c = a^3$$

$$E_{\text{surf}} = \sigma_s g_s(u) a^2$$

$$E_C = (en_e)^2 w(u) a^5$$

Size of the cell for each phase:

$$E_{\text{surf}}^A = 2E_C^A$$
$$E_{\text{surf}}^B = 2E_C^B$$

 $\left(\frac{g_s^B}{a^A}\right)^{2/3} \left(\frac{w^B}{w^A}\right)^{1/3} < 1$ Phase A is unstable (have larger energy, than phase B)

WS approximation:

$$u_{\rm t} = [0.19, 0.35, 0.65, 0.81]$$

Phase transitions at certain filling fractions (for any nucleon interaction).

Compare two phases (A and B) with same

 $n_{ni}, n_{pi}, n_{no}, u = V_{\text{cluster}}/V_{\text{cell}}$

$$V_c = a^3$$

$$E_{\text{surf}} = \sigma_s g_s(u) a^2$$

$$E_C = (en_e)^2 w(u) a^5$$

$$\left(\frac{g_{\rm s}^B}{g_{\rm s}^A}\right)^{2/3} \left(\frac{w^B}{w^A}\right)^{1/3} > 1$$

Account for lattice structure $u_{t} = [0.215, 0.355, 0.645, 0.785]$

Phase transitions at certain filling fractions (for any nucleon interaction).

Shchechilin et al. (accepted)

Size of the cell for each phase:

$$E_{\text{surf}}^A = 2E_C^A$$
$$E_{\text{surf}}^B = 2E_C^B$$

Pasta within Extended Thomas-Fermi calculations

(some details will follow latter)

Amount of pasta is model-dependent

Figure from Shchechilin et al. (accepted)

Extended Thomas-Fermi calculations (almost) universal filling fractions for transitions

Extended Thomas-Fermi calculations (almost) universal filling fractions for transitions

Extended Thomas-Fermi calculations (almost) universal filling fractions for transitions

- Symmetry energy affect amount of pasta via u(n) dependence.
- The lower symmetry energy, the weaker is u(n) dependence and the amount of pasta is larger
- Crust-core transition agrees well with the instability of the core matter
- Crust (pasta)-core phase transition predicted to be of the first order, but very weak

Zemlyakov & AIC (2022): Spheres -> spaghetti transition is not associated with fission instability

Shchechilin et al. (accepted)

$$u = 1 - \frac{1}{V_{\text{cell}} n_{pi}} \int_{\text{cell}} n_p(\mathbf{r}) d^3 r$$

Compressible liquid drop model and pasta phases: account for curvature corrections

Compare two phases (A and B) with same

 $n_{ni}, n_{pi}, n_{no}, u = V_{\text{cluster}}/V_{\text{cell}}$

$$V_c = a^3$$

$$E_{surf} = \sigma_s g_s(u) a^2 + \frac{\sigma_c g_c(u) a}{E_C}$$

$$E_C = (en_e)^2 w(u) a^5$$

Size of the cell for each phase:

$$\tilde{E}_{\text{surf}}^A = 2E_C^A \\ \tilde{E}_{\text{surf}}^B = 2E_C^B$$

Shape	$g_s(u)$	$g_c(u)$	w(u)
sphere	$(6\pi^{1/2}u)^{2/3}$	$2(48\pi^2 u)^{1/3}$	$(9\pi)^{1/3}(2u^{5/3}-3u^2+u^{8/3})/(5\cdot 2^{1/3})$
spaghetti	$(4\pi u)^{1/2}$	2π	$u^2(u - 1 - \ln u)/2$
lasagna	2	0	$\pi u^2 (1-u)^2/6$

Shchechilin et al. (accepted)

Compressible liquid drop model and pasta phases: account for curvature corrections

Shchechilin et al. (accepted)

Compressible liquid drop model and pasta phases: account for curvature corrections

Energy density functional (Wigner-Kirkwood expansion of the Bloch density matrix) Kirzhnits (1957), Hodges (1973), Grammaticos & Voros (1979), Brack et al. (1985)

$$E_{\rm ETF} = \int_{\rm cell \ kinetic \ nuclear \ Coulomb \ electron} (\tau_4 + \epsilon_{\rm nuc} + \epsilon_C + \epsilon_e) \, dV$$

Nucleon profile optimization: (accurate) Euler-Lagrange equations

$$\frac{\partial \delta E_{\rm ETF}}{\partial \delta n_p} = \mu_p; \quad \frac{\partial \delta E_{\rm ETF}}{\partial \delta n_n} = \mu_n; \quad \mu_n - \mu_p = \mu_e$$

Real life nucleon profile optimization: minimization over parametrized profiles

$$n_q(r) = n_{Bq} + n_{\Lambda q} \frac{1}{1 + \exp\left(\frac{r - C_q}{a_q}\right)}$$

- > In some sense similar to CLDM: cell energy depend on a set of parameters
- > Much more computation extensive when CLDM (integrals should be taken numerically)

Choose of the functional form of the profiles:

Use of insufficiently smooth parametrizations may reduce accuracy (and affect results)

Choose of the functional form of the profiles:

Use of insufficiently smooth parametrizations may reduce accuracy (and affect results)

Choose of the functional form of the profiles:
 Profiles should not be too smooth

Shchechilin et al. (2024), PRC

Choose of the functional form of the profiles: Profiles should not be too smooth – it is not realistic and do not allow to minimize energy properly. Even pasta sequence can be affected!

Extended Thomas-Fermi + Strutinsky intergral

BSK24

Account for shell effects (via Strutinsky integral) affect the pasta sequence

Shchechilin et al. (2024), PRC

Hartree-Fock within WS approximation $E_{\rm HF} = \int d^3 \boldsymbol{r} \, \mathcal{E}_{\rm HF} \Big[n_q(\boldsymbol{r}), \tau_q(\boldsymbol{r}), \boldsymbol{J}_{\boldsymbol{q}}(\boldsymbol{r}), n_e(\boldsymbol{r}) \Big] \,,$

Self-consistent HF equations for the nucleons

$$\sum_{\sigma'} h_q(\boldsymbol{r})_{\sigma\sigma'} \varphi_k^{(q)}(\boldsymbol{r}, \sigma') = \epsilon_k^{(q)} \varphi_k^{(q)}(\boldsymbol{r}, \sigma); \quad \varphi_\alpha^{(q)}(\boldsymbol{r}, \sigma) = \mathcal{R}_\alpha^{(q)}(r) \mathcal{Y}_{\ell j m}(\theta, \phi, \sigma)$$

Boundary conditions within WS approximation:

Dirichlet boundary conditions

$$\mathcal{R}^{(q)}_{\alpha}(R) = 0$$

$$\left. \frac{d\mathcal{R}_{\alpha}^{(q)}}{dr} \right|_{r=R} = 0$$

Hartree-Fock within WS approximation $E_{\rm HF} = \int d^3 \boldsymbol{r} \, \mathcal{E}_{\rm HF} \Big[n_q(\boldsymbol{r}), \tau_q(\boldsymbol{r}), \boldsymbol{J}_{\boldsymbol{q}}(\boldsymbol{r}), n_e(\boldsymbol{r}) \Big] \,,$

Self-consistent HF equations for the nucleons

$$\sum_{\sigma'} h_q(\boldsymbol{r})_{\sigma\sigma'} \varphi_k^{(q)}(\boldsymbol{r}, \sigma') = \epsilon_k^{(q)} \varphi_k^{(q)}(\boldsymbol{r}, \sigma); \quad \varphi_\alpha^{(q)}(\boldsymbol{r}, \sigma) = \mathcal{R}_\alpha^{(q)}(r) \mathcal{Y}_{\ell j m}(\theta, \phi, \sigma)$$

Pressure:

$$P_{\rm HF} = -\mathcal{E}_{\rm HF}(R) + \frac{1}{4\pi} \sum_{q} \sum_{\alpha(q)} g_{\alpha}^{(q)} \epsilon_{\alpha}^{(q)} \mathcal{R}_{\alpha}^{(q)}(R)^2 + \tilde{\mu}_e n_e \,.$$

Boundary conditions within WS approximation:

Dirichlet boundary conditions

$$\mathcal{R}^{(q)}_{\alpha}(R) = 0$$

Neumann boundary conditions

$$\left.\frac{d\mathcal{R}_{\alpha}^{(q)}}{dr}\right|_{r=R} = 0$$

No contribution to pressure from neutrons

Affect pressure by discretization of energies

The errors incurred by the choice of approximate boundary conditions can propagate to global thermodynamic properties. Without sacrificing the Wigner-Seitz approximation, the equation of state can be more reliably calculated within the ETF approach.

Chamel et al. (2025)

Pressure within ETF approach (WS approximation)

Definition:
$$P = -\frac{dE}{dV} = -\frac{dE_{cell}}{dV_{cell}}$$

ETF, full minimization of nucleon profiles

Associated with gradient terms in ETF

$$P_{\text{ETF}} = -\mathcal{E}_{\text{ETF}}(R) + \mu_e n_e(R) + \sum_q \mu_q n_q(R) = P_{\text{hom}}(n_n(R), n_p(R), n_e(R)) + \delta P^{\nabla}$$
Pressure is determined by density at the boundary!

ETF, parametrized profiles

$$P_{\text{ETF}} = P_{\text{hom}}(n_n(R), n_p(R), n_e(R)) + \delta P^{\nabla} + \underbrace{\delta P_{\text{Coul,dir}}}_{I} + \underbrace{\delta P_{\text{nuc}}}_{I}$$
Corrections, associated with non-optimal density at the boundary
[due to restricted (parametrized) profiles]
Chamel et al. (2025)

Pressure within ETF approach (and WS approximation)

At lower densities corrections are dominated by electron polarization

$$P_{\text{Coul,dir}} = -\frac{2\pi}{5}e^2 n_e^2 R^2 \left(1 - \frac{5}{3}\frac{\langle r^2 \rangle}{R^2}\right)$$

At higher densities corrections are dominated by limited flexibility of parametrized nucleon profiles (number density at the cell boundary is not enough accurate)

Chamel et al. (2025)

Adiabatic index within ETF approach

Chamel et al. (2025)

Summary

- \blacktriangleright CLDM can be applied to describe $P(\rho)$
- Amount of pasta and crust-core transition is sensitive to the symmetry energy at relevant density (the larger symmetry energy, the larger is an amount of pasta)

 \succ Pasta phase transitions are controlled by energy difference $\sim 1 {
m keV}/{
m nucleon}$

- At this precision, results depend on the approach (even for given density functional)
- If shell effects are neglected, the pasta phase transition occurs at the filling fractions, which are rather model-independent (u~0.12 for spheres -> spaghetti)
- CLDM without curvature corrections is not enough accurate to consider pasta phase transitions (but it can predict filling fractions)
- > The amount of pasta depend on the symmetry energy at the relevant densities
- Without sacrificing the Wigner-Seitz approximation, the equation of state can be more reliably calculated within the ETF approach.

D.G. Yakovlev, HEA2017(?) Crust as Cinderella of NS Avoiding to surrender the adequate representation of observations, controlled by the crust

Glitches, Transients (shallow heating), Magnetars,....

