

# RG analysis of random walk on a KPZ fluctuating rough surface

N.V. Antonov<sup>1,2</sup>, P.I. Kakin<sup>1</sup>, A.S. Romanchuk<sup>1</sup>,  
N.M. Gulitskiy<sup>1,2</sup>

<sup>1</sup> Department of Theoretical Physics, Saint Petersburg State University,  
Saint Petersburg, Russia

<sup>2</sup> N.N. Bogoliubov Laboratory of Theoretical Physics  
JINR, Dubna

VIII International Conference “Models in Quantum Field Theory”

7 of October, 2025

# Physics of Elementary Particles and Statistical Physics

In this talk we deal with two areas of physic: statistical physics and high energy physics:

- ▶ Fokker-Planck equation for a particle in a gravitational field;
- ▶ Kardar-Parizi-Zhang equation describing the growth of the surface;
- ▶ stochastic description of the system;
- ▶ functional integration and calculation of Feynman graphs;
- ▶ renormalization group (RG).

The problem under consideration is walking on the surface under the fluctuation of surface.

# Plan of the talk

The main steps (general scheme) are following:

- ▶ Stochastic formulation of the model;
- ▶ quantum field action and Feynman diagrams;
- ▶ divergences of the diagrams;
- ▶ renormalization, RG, RG flow and fixed points.

# Fokker-Planck equation

In the macroscopic description of the model, the particles' density  $\theta(t, \mathbf{x})$  satisfies the diffusion-type equation

$$\{\partial_t + \partial_i(F_i - \kappa_0 \partial_i)\} \theta(t, \mathbf{x}) = 0.$$

The drift  $F$  in a gravitational field obeys some symmetries and in the simplest linear approximation reads

$$F_i = -\alpha_0 \partial_i h,$$

where  $h(t, \mathbf{x})$  is the height of the surface.

Thus, particles' density  $\theta$  obeys equation

$$\partial_t \theta = \kappa_0 \partial^2 \theta + \alpha_0 \partial_i (\theta \partial_i h),$$

with height of the surface in r.h.s.

## Description of $h$

There are different possible ways to describe dependence of height  $h$  on time  $t$  and point  $\mathbf{x}$ .

For example, linear Edwards–Wilkinson model:

$$\{\partial_t - \kappa_0 \partial^2\} h(t, \mathbf{x}) = f(t, \mathbf{x}),$$

where  $f$  is a Gaussian random noise with zero mean and a given pair correlation function.

This model was studied *Universe 9, 139 (2023)*, non-trivial fixed point (all charges are non-zero) was found.

## Description of $h$ : Kardar-Parisi-Zhang equation

Now we use famous (simplest non-linear) Kardar-Parisi-Zhang equation:

$$\partial_t h = \nu_0 \partial^2 h + \frac{\lambda_0}{2} (\partial_i h) (\partial_i h) + f,$$

where  $f = f(x)$  is a random Gaussian noise with zero mean  $\langle f \rangle = 0$  and the pair correlator

$$\langle f(x) f(x') \rangle = D_0 \delta(t - t') \delta^{(d)}(\mathbf{x} - \mathbf{x}').$$

## Action functional: General rules

Theorem: any stochastic equation of the type

$$\partial_t \phi(x) = U(x, \phi) + f(x), \quad \langle f(x) f(x') \rangle = D(x, x'),$$

where  $\phi(x) = \phi(t, \mathbf{x})$  is a random field,  $U(x, \phi)$  is a  $t$ -local functional depending on the fields and their derivatives,  $f(x)$  is a random force, **is equivalent to quantum field model** of the double set of fields  $\tilde{\phi} = \{\phi, \phi'\}$  and action functional

$$S[\varphi] = \underbrace{\frac{1}{2} \varphi' D \varphi'}_{\text{noise term}} + \varphi' \underbrace{[-\partial_t \varphi + U]}_{\text{dynamics}},$$

integration over  $t$  and  $\mathbf{x}$  implied.

## Action functional: General rules

What does it mean:

- ▶ statistical average is equivalent to functional integration with weight  $\exp S[\phi]$ ;
- ▶ classical random field  $\rightarrow$  quantum field;
- ▶ we may use all techniques from quantum field theory: Feynman graphs, renormalization group, operator product expansion, *etc.*



# Actions functional

Quantum field action:

$$S(\Phi) = \theta' \left[ -\partial_t \theta + \nu_0 \partial^2 \theta + \alpha_0 \partial_i (\theta \partial_i h) \right] + S_h(h, h'),$$

where

$$S_h(h, h') = \frac{1}{2} h' h' + h' \left[ -\partial_t h + \nu_0 \partial^2 h + \frac{\lambda_0}{2} (\partial_i h)(\partial_i h) \right].$$

All integrations are implied:

$$h' h' \equiv \int dt \int d^d x h'(t, x) h'(t, x).$$

# Actions functional

According to general rules both models contain three propagators

$$\langle \theta \theta' \rangle_0 = \text{---} \perp, \quad \langle h h' \rangle_0 = \text{~~~~} \perp, \quad \langle h h \rangle_0 = \text{~~~~}, \quad (1)$$

and two vertices

$$\theta \theta' h = \text{---} \times \text{~~~~}, \quad h' h h = \text{~~~~} \times \text{~~~~}. \quad (2)$$

The propagators are

$$\langle h h \rangle_0 = \frac{1}{\omega^2 + \nu_0^2 k^4}, \quad \langle h h' \rangle_0 = \frac{1}{-i\omega + \nu_0 k^2}, \quad \langle \theta \theta' \rangle_0 = \frac{1}{-i\omega + \kappa_0 k^2},$$

# Actions functional

Logarithmic dimension is  $d = 2$  and there are four divergent Green functions:  $\langle hh' \rangle$ ,  $\langle \theta\theta' \rangle$ ,  $\langle h'h' \rangle$  and  $\langle \theta\theta'h \rangle$ .

Renormalized action has form:

$$S_R(\Phi) = \theta' \left[ -\partial_t \theta + \varkappa Z_3 \partial^2 \theta + \alpha Z_4 \partial_i (\theta \partial_i h) \right] + S_{hR}(h, h'),$$

$$S_{hR}(h, h') = \frac{1}{2} Z_1 h' h' + h' \left[ -\partial_t h + Z_2 \nu \partial^2 h + \frac{\lambda}{2} (\partial_i h) (\partial_i h) \right].$$

Three charges are

$$g_0 = \lambda_0 \nu_0^{-3/2}, \quad w_0 = \alpha_0 \nu_0^{-3/2}, \quad u_0 = \frac{\varkappa_0}{\nu_0}.$$

# Renormalization constants

One-loop answers for constants  $Z$  are

$$Z_1 = 1 - \frac{1}{16\pi} \frac{g^2}{\varepsilon}, \quad Z_2 = 1,$$
$$Z_3 = 1 + \frac{1}{8\pi} \frac{w^2}{\varepsilon} \frac{(u-1)}{u(u+1)^2}, \quad Z_4 = 1 + \frac{1}{8\pi} \frac{w}{\varepsilon} \frac{(w-g)}{(u+1)^2}.$$

Since the field  $\theta$  is passive the constants  $Z_1$  and  $Z_2$  coincide with their analogs in pure KPZ model:

$$Z_1^{-1} = 1 + \frac{1}{16\pi} \frac{g^2}{\varepsilon}, \quad Z_2 = 1.$$

## RG functions

RG functions (*beta*-functions) are

$$\beta_g = -g \left( \frac{\varepsilon}{2} + \frac{1}{2} \frac{g^2}{16\pi} \right),$$

$$\beta_w = -w \left( \frac{\varepsilon}{2} + \frac{1}{2} \frac{g^2}{16\pi} - \frac{w(w-g)}{8\pi} \frac{1}{(u+1)^2} \right),$$

$$\beta_u = \frac{w^2}{8\pi} \frac{(u-1)}{(u+1)^2}.$$

Fixed points are governed by the rule

$$\beta(g_*) = \beta(w_*) = \beta(u_*) = 0.$$

Fixed point is IR-attractive if real parts of all eigenvalues of the matrix  $\Omega_{ik} \equiv \partial_i \beta_k(g_*, w_*, u_*)$  are positive.

# Fixed points

1. IR attractive if  $\varepsilon < 0$

$$g_* = 0, \quad w_* = 0 \quad \text{for all } u_*;$$

2. saddle point, eigenvalues of  $\Omega_{ik}$  are  $\{-\frac{\varepsilon}{2}, \varepsilon, \frac{\varepsilon}{2}\}$

$$g_* = 0, \quad w_*^2 = 16\pi\varepsilon, \quad u_* = 1;$$

3. IR attractive if  $\varepsilon > 0$

$$g_*^2 = -16\pi\varepsilon, \quad w_* = 0 \quad \text{for all } u_*;$$

4. saddle point, eigenvalues of  $\Omega_{ik}$  are  $\{\varepsilon, -\frac{\varepsilon}{2}, -\frac{\varepsilon}{2}\}$

$$g_*^2 = -16\pi\varepsilon, \quad w_* = g_*, \quad u_* = 1;$$

5. point in the system  $g_*, w_*, y_* = \frac{1}{u_*}$

$$y_* = 0, \quad g_*^2 = -16\pi\varepsilon \quad \text{for all } w_*;$$

6. point in the system  $g_*, \tilde{w}_* = w_*/u_*^{3/2}, u_*$

$$u_* = 0, \quad g_*^2 = -16\pi\varepsilon \quad \text{for all } \tilde{w}_*.$$

# Kardar-Parizi-Zhang model itself

Kardar-Parizi-Zhang model corresponds to action

$$S_h(h, h') = \frac{1}{2} h' h' + h' \left[ -\partial_t h + \nu_0 \partial^2 h + \frac{\lambda_0}{2} (\partial_i h)(\partial_i h) \right]$$

with the only *beta*-function (the same as in the full model!)

$$\beta_g = -g \left( \frac{\varepsilon}{2} + \frac{1}{2} \frac{g^2}{16\pi} \right).$$

The only IR attractive nontrivial fixed point reads

$$g_*^2 = -16\pi\varepsilon.$$

## Kardar-Parizi-Zhang model itself

However, various non-perturbative considerations imply existence of a strong-coupling scaling regime for all  $d \geq 1$ .

Within the RG framework, it is natural to associate this strong-coupling (non-trivial) regime with a certain non-perturbative IR attractive fixed point, not “visible” in the perturbative expression.

This point governs the IR behaviour for  $\varepsilon > 0$  and  $g_* > 0$  for it.



## Full model

Since  $\beta_g = 0$  for some unknown point  $g_*$  we are left with two *beta*-functions:

$$\beta_w = -w \left( \frac{\varepsilon}{2} + \frac{1}{2} \frac{g^2}{16\pi} - \frac{w(w-g)}{8\pi} \frac{1}{(u+1)^2} \right),$$

$$\beta_u = \frac{w^2}{8\pi} \frac{(u-1)}{(u+1)^2}.$$

which have solutions  $w_* = g_*$  (unknown) and  $u_* = 1$ .

## Full model: stability

Fixed point is IR-attractive if real parts of all eigenvalues of the matrix  $\Omega_{ik} \equiv \partial_i \beta_k(g_*, w_*, u_*)$  are positive.

What we have:  $\Omega_{gg} > 0$ ,  $\Omega_{ug} = \Omega_{wg} = 0$ .

$\Omega_{wu} \sim (u - 1) = 0$  at  $u_* = 1$ .

Thus, the matrix  $\Omega_{ik}$  is block triangular and its eigenvalues coincide with the diagonal elements which reads

$$\partial_w \beta_w = \partial_u \beta_u = w_*^2 / (32\pi) > 0.$$

Thus, this hybrid point is IR attractive, lies in the physical range of parameters and, therefore, governs the IR asymptotic behaviour of the Green's functions of our model.

## Conclusion

We applied methods of **quantum field theory** to the model of moving of the particle on the surface described by KPZ equation.

- ▶ The key point is the possibility to reformulate initial stochastic problem into some quantum field theory.
- ▶ Feynman graphs are divergent. Renormalization group allows us to work with these objects and, moreover, provides critical dimensions of measurable quantities.
- ▶ KPZ model itself has well-known problem of negative coordinate of fixed point; as a consequence our model has the same result.
- ▶ If we use well-known non-perturbative result for KPZ model, two others *beta*-functions connecting with walking of the particle will produce good (positive) IR attractive non-trivial fixed point.

Research was supported by RSF grant 24-22-00220 “Quantum field theory methods in statistical physics problems: models of self-organized criticality and random walks”.

Thank you for your attention!