

The Quantum Primordial Black Holes in Early Universe, Inflationary Cosmology and Relic Gravitational Waves

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1. Primordial Black Holes

The most general scenario is a collapse of high matter densities.

The mass **of pbh** $M(t_M)$, formed during the time t after the big bang

$$M(t_M) \approx \frac{c^3 t_M}{G} \approx 10^{15} \left(\frac{t}{10^{-23}} \text{sec} \right) g. \quad (1)$$

From (1) **pbh** have a wide range of masses, in particular for the Planck time $t_M \approx 10^{-43}$ s, **pbh** has a Planck mass $M(t_M) \approx 10^{-5} g$, quantum-gravitational effects will be significant.

Quantum black holes **qbh** are currently understood as Schwarzschild black holes, $r = r_{qbh} \propto l_p$ and mass $m = m_{qbh} \propto M_p$.

However, due to formula (1), **qbh** can be formed in the Early Universe as **pbh** in the Planck time $\approx 10^{-43}$ s. Regardless of the way **qbh is formed**, quantum gravitational effects are essential for them.

In all cases, one can find quantum-gravitational corrections for the main characteristics of black holes, which will be significant for **qbh**.

In particular, if the Generalized Uncertainty Principle (GUP) is valid in

the transition to high (Planck) energies (*Nouicer, PLB,2007*):

$$(\delta X)(\delta P) \geq \frac{\hbar}{2} \langle \exp \frac{\alpha^2 l_p^2}{\hbar^2} P^2 \rangle$$

In first order $(\delta X)(\delta P) \geq \frac{\hbar}{2} \left(1 + \frac{\alpha^2 l_p^2}{\hbar^2} (\delta P)^2 \right) \quad (2^*)$

then there can exist a Planck black hole (which will be called “minimal” below) with a minimum mass M_0 and radius of the event horizon r_{min} :

$$M_0 \propto m_p, r_{min} = r_{M_0} = l_{min} \propto l_p; \hbar = c = k_B = 1, \text{ then } l_p^2 = G, m_p^2 = 1/G.$$

Semiclassical approximation , Hawking temperature, $T_H = \frac{1}{8\pi G M}$

Within the framework of GUP, quantum-gravitational corrections (qgc):

$$T_{H,q} = \frac{1}{8\pi M l_p^2} \exp \left(-\frac{1}{2} W \left(-\frac{1}{e} \left(\frac{M_0}{M} \right)^2 \right) \right),$$

$W \left(-\frac{1}{e} \left(\frac{M_0}{M} \right)^2 \right)^2$ – value at the corresponding point of the Lambert function

$W(u), \quad W(u)e^{W(u)} = u; W(u) - \text{Lambert W-function.}$

$W(u)$ is the multifunction for complex variable $u = x + yi$. However for

example for real $u = x, -\frac{1}{e} \leq u \leq 0$, $W(u)$ is the single-valued continuous function with two branches W_0, W_{-1} is present picture .

Johann Heinrich Lambert (1728--1777)



Corless, R. M.; Gonnet, G. H.; Hare, D. E. G.; Jeffrey, D. J.; Knuth, D. E. (1996). ["On the Lambert W function"](#) (PDF). *Advances in Computational Mathematics*. **5**: 329–359.

$T_{H,q}$ can be expanded into a series in terms of a small parameter $(M_0/M)^2$ with the the leading first term:

$$T_{H,q} \simeq \frac{1}{8\pi M l_p^2} \left(1 + \frac{1}{2e} \left(\frac{M_0}{M} \right)^2 + \frac{5}{8e^2} \left(\frac{M_0}{M} \right)^4 + \frac{49}{48e^3} \left(\frac{M_0}{M} \right)^6 + \dots \right),$$

Then, within the framework of GUP, $M \rightarrow M_q$:

$$T_{H,q} \simeq \frac{1}{8\pi M_q l_p^2}; M_q \doteq M \exp \left(\frac{1}{2} W \left(-\frac{1}{e} \left(\frac{M_0}{M} \right)^2 \right) \right)$$

For Schwarzschild black holes, and $c = \hbar = 1; r_M = 2MG \rightarrow r_{M_q} = 2M_q G$.

$$T_{H,q} \simeq \frac{1}{8\pi M l_p^2} \left(1 + \frac{1}{2e} \left(\frac{r_{min}}{r_M} \right)^2 + \frac{5}{8e^2} \left(\frac{r_{min}}{r_M} \right)^4 + \frac{49}{48e^3} \left(\frac{r_{min}}{r_M} \right)^6 + \dots \right),$$

$$\left(\frac{M_0}{M} \right)^2 = \frac{r_{min}^2}{r_M^2} \doteq \alpha_{r_M},$$

$$\exp \left(\pm \frac{1}{2} W \left(-\frac{1}{e} \left(\frac{M_0}{M} \right)^2 \right) \right) = \exp \left(\pm \frac{1}{2} W \left(-\frac{1}{e} \alpha_{r_M} \right) \right)$$

3 Primordial Black Holes and "Quantum Shifts" (QS) for Cosmological Parameters in Inflation Models

The metric of a Schwarzschild black hole

$$ds^2 = -\left(1 - \frac{2MG}{r}\right) dt^2 + \left(1 - \frac{2MG}{r}\right)^{-1} dr^2 + r^2 d\Omega^2 \quad (*)$$

When studying the Early Universe for **PBHs**, (*) is replaced by the Schwarzschild-de Sitter (SdS) metric,

$$ds^2 = -f(\tilde{r}) dt^2 + \frac{d\tilde{r}^2}{f(\tilde{r})} + \tilde{r}^2 d\Omega^2 \quad (**)$$

where $f(\tilde{r}) = 1 - \frac{2GM}{\tilde{r}} - \frac{\Lambda \tilde{r}^2}{3} = f(\tilde{r}) = 1 - \frac{2GM}{\tilde{r}} - \frac{\tilde{r}^2}{L^2}$,

$L = \sqrt{3/\Lambda}$, M is the black hole mass, \tilde{r} is a small quantity, and Λ is the cosmological term. In this case for small radii $r_{\text{SdS},M} \approx 2GM = r_M$.

In the general case, in particular in inflationary cosmology, last metric is written in terms of conformal time η :

$$ds^2 = a^2(\eta) \left\{ -d\eta^2 + \left(1 + \frac{\mu^3 \eta^3}{r^3}\right)^{4/3} \left[\left(\frac{1 - \mu^3 \eta^3 / r^3}{1 + \mu^3 \eta^3 / r^3}\right)^2 dr^2 + r^2 d\Omega^2 \right] \right\},$$

where $\mu = (GMH_0/2)^{1/3}$, where H_0 is the deSitter Hubble parameter

$$a = a(\eta) = -\frac{1}{H_0\eta}, \eta < 0; \quad d\eta = \frac{dt}{a(t)}, \eta = \int_0^t \frac{dt'}{a(t')}.$$

Here r it satisfies the condition $r_0 < r < \infty$ and the value $r_0 = -\mu\eta$ corresp. to the black hole singularity. $\mu = (r_M H_0/4)^{1/3}$, with high accuracy.

$\mu = \text{const}$, (**Prokopec, Reska, JCAP 2011**). Then if in formula "shifts" $r_M: r_M \mapsto \widetilde{r}_M$, then "shifts" accordingly, and $H_0: H_0 \mapsto \widetilde{H}_0$ so that $\mu = (r_M H_0/4)^{1/3} = (\widetilde{r}_M \widetilde{H}_0/4)^{1/3}$, $\widetilde{H}_0 = \frac{r_M}{\widetilde{r}_M} H_0$ and **all others cosm.param.** All "shifts" relatively **qgc**

3.1 Initially, a primordial black hole is considered in the absence of absorption and emission processes. Since $\mu = \text{const}$, the replacement $r_M \mapsto r_{M_q}$ leads to $H_0 \rightarrow H_{0,q}$ that satisfies

$$\mu = (r_M H_0/4)^{\frac{1}{3}} = (r_{M_q} H_{0,q}/4)^{\frac{1}{3}}, H_{0,q} = H_0 \exp\left(-\frac{1}{2} W\left(-\frac{1}{e} \alpha_{r_M}\right)\right) (!)$$

And **all cosm.param.** (**q-deformation**)

3.2 Case of “minimal” absorption of particles by a black hole .

Let M the initial mass of the black hole with the area of the event horizon be A . **Bekenstein 1973**: the minimum increment of the area of the black hole event horizon absorbing the particle of energy and size R was estimated E : $(\Delta A)_0 \simeq 4l_p^2(\ln 2)ER$. In quantum consideration $R \sim 2\delta X$ and $E \sim \delta P$. Semiclassical Picture.

low energies $E \ll E_p$, that is, **HUP**, $(\delta X)(\delta P) \geq \frac{\hbar}{2}$, $(\Delta A)_0 \simeq 4l_p^2(\ln 2)$.

For all energies: $E \leq E_p$, **GUP**, $(\Delta \hat{A})_{0,q} \approx 4l_p^2 \ln 2 \exp\left(-\frac{1}{2}W\left(-\frac{1}{e}\alpha_{r_M}\right)\right)$.

3.3 Black Hole Evaporation and qgc

Similarly can be obtained analog (!) for **Black Hole Evaporation**

$$H_0 \mapsto \mathcal{H}_{0,q} = \frac{R(M_{Evap})}{R(M_{q,Evap})} H_0,$$

where $R(M_{Evap})$ – radius **qbh** after evaporation in semiclassical approximation and $R(M_{q,Evap})$ – **in quant-grav. picture (qgc)**

for $t_{Evap} = t_{Infl} - t_M$.

4 Black Holes Formation Probability Corrections

It is assumed that non-relativistic particles with a mass $m < m_p$ dominate in the pre-inflationary period and, for convenience, denote the Schwarzschild radius as R_S . $N(\mathbf{R}, \mathbf{t})$ the number of particles in ball with physical radius $R = R(t)$ and volume $V_R(t)$.

Due to above formulae, it is necessary to replace the Schwarzschild radius r_M with

$$r_{M_q} = r_M \exp\left(\frac{1}{2}W\left(-\frac{1}{e}\alpha_{r_M}\right)\right); \quad M_q = M \exp\left(\frac{1}{2}W\left(-\frac{1}{e}\alpha_{r_M}\right)\right)$$

$$\text{Thus, } r_{M_q} < r_M; M_q < M. \Rightarrow \mathcal{P}_{form}(M_q) > \mathcal{P}_{form}(M)$$

5. q -deformation of Friedmann Equations

Well-known Friedman Equation without term with curvature

$$\frac{a'^2}{a^4} = \frac{8\pi}{3} G\rho,$$

$a(\eta) \rightarrow a(\eta)_q$; Quantum Deformation (**QD**)

$$\frac{a_q'^2}{a_q^4} = \frac{a'^2}{\exp\left(W\left(-\frac{1}{e}\alpha_{r_M}\right)\right)a^4} = \frac{8\pi}{3} G\rho$$

or

$$\begin{aligned}\frac{a'^2}{a^4} &= \frac{8\pi}{3} G\rho \exp\left(W\left(-\frac{1}{e}\alpha_{r_M}\right)\right) \doteq \frac{8\pi}{3} G\rho_q, \\ \rho_q &\doteq \rho \exp\left(W\left(-\frac{1}{e}\alpha_{r_M}\right)\right) < \rho.\end{aligned}$$

Similarly procedure for other Friedman equations, in part

$$2\frac{a''}{a^3} - \frac{a'^2}{a^4} = -\frac{8\pi}{3} Gp$$

In result $p_q \doteq p \exp \left(W \left(-\frac{1}{e} \alpha_{r_M} \right) \right) < p$.

And the equation of the covariant energy conservation remains unaltered with replacement of $\rho \mapsto \rho_q, p \mapsto p_q$

$$[\rho' = -3 \frac{a'}{a} (\rho + p)] \rightarrow [\rho'_q = -3 \frac{a'}{a} (\rho_q + p_q)]$$

6. Enhancement of Non-Gaussianity by the qgcs-corrections

Deviation from the Gaussian distribution for the random field $g(\mathbf{x})$ is a nonzero value of the three-point correlator

$$\langle g_{k_1} g_{k_2} g_{k_3} \rangle = (2\pi)^3 \delta_{k_1+k_2+k_3}^3 B_g(k_1, k_2, k_3) \neq 0,$$

where $g_{\mathbf{k}_i}, i = 1, 2, 3$ represent the Fourier transform $g(\mathbf{x})$ in the momentum k_i and the quantity B_g , named the *bispectrum*, is a measure of non-Gaussianity for the random field $g(\mathbf{x})$.

We can show that, with due regard for the foregoing **qgcs**, the absolute value of the *bispectrum* B_g in the inflation pattern for different random fields is growing.

6.1 Non-gaussianity corrections from the inflaton self-interaction

$\delta\phi$ – perturbation of inflaton ϕ .

We suppose that the metric perturbations $\delta g \approx 0$.

Then *bispectrum* B_g in this case

$$\mathbf{B}_{\delta\phi}^{self} \sim H_*^2 V_*''', \quad \mathbf{B}_{\delta\phi}^{self} \equiv \mathbf{B}_{\delta\phi, M}^{self}$$

where the asterisk denotes a value of the corresponding quantity

during inflation which is taken to be constant

$$\mathbf{B}_{\delta\phi, q}^{self} \equiv \mathbf{B}_{\delta\phi, M_q}^{self} \sim H_{q*}^2 V_{q*}''' = \exp\left(-2W\left(-\frac{1}{e}\alpha_{r_M}\right)\right) H_*^2 V_*''';$$

$$\mathbf{B}_{\delta\phi, M_q}^{self} = \exp\left(-2W\left(-\frac{1}{e}\alpha_{r_M}\right)\right) \mathbf{B}_{\delta\phi}^{self}, \quad \left|\mathbf{B}_{\delta\phi, M_q}^{self}\right| > \left|\mathbf{B}_{\delta\phi}^{self}\right|.$$

6.2 The Non-Gaussianity Correction of Field Perturbations from Gravitational interactions

In the above analysis of non-Gaussianity there was no metric perturbation because the gravitational interaction was excluded. When it is taken into consideration, the non-Gaussianity in this case arises from the metric perturbation and the *bispectrum* takes the following form:

$$\mathbf{B}_{\delta\phi, M}^{grav} \equiv \mathbf{B}_{\delta\phi}^{grav} \sim -\frac{1}{8}H_*^4 \left(\frac{V'}{V}\right).$$

Then similarly 6.1

$$\mathbf{B}_{\delta\phi, M_q}^{grav} = \exp\left(-2W\left(-\frac{1}{e}\alpha_{r_M}\right)\right) \mathbf{B}_{\delta\phi}^{grav}, \quad \left|\mathbf{B}_{\delta\phi, M_q}^{grav}\right| > \left|\mathbf{B}_{\delta\phi}^{grav}\right|$$

6.3 Non-Gaussianity Correction for the Tensor Primordial Perturbations

$$\mathbf{B}_M^{tens} \equiv \mathbf{B}^{tens} \sim \frac{H_*^4}{m_p^4}.$$

$$\mathbf{B}_{M_q}^{tens} = \exp\left(-2W\left(-\frac{1}{e}\alpha_{r_M}\right)\right) \mathbf{B}^{tens}; \quad \left|\mathbf{B}_{M_q}^{tens}\right| > \left|\mathbf{B}^{tens}\right|$$

In all cases the same (universal) Non-Gaussianity Enhancement coefficient

$$\exp\left(-2W\left(-\frac{1}{e}\alpha_{r_M}\right)\right) > 1.$$

**7. The Quantum PBH in Pre-inflation Era and RGW. Beginning, (*E. Lifshits, 1946;*
L.P. Grishchuk, 1974, 1975, 1976, ... 1993, ...)**

$$\bar{g}_{ij} = g_{ij} + h_{ij}(x_i) \quad (i, j = 0, 1, 2, 3),$$

$$|h_{ij}| \ll |g_{ij}|$$

$h_{00} = h_{0\alpha} = 0, (\alpha = 1, 2, 3); h^{ij}_{;j} = 0$ - gauge conditions (; covariant derivative with respect ds^2)

$$ds^2 = a(\eta)^2[-d\eta^2 + dr^2 + r^2 d\Omega^2], \quad ds_q^2 = a(\eta)_q^2[-d\eta^2 + dr^2 + r^2 d\Omega^2]$$

Next, the ansatz $h_{\alpha\beta}(\eta, x) = h(\eta)G_{\alpha\beta}(k, x); G_{\alpha\beta}$ - combination of plane-waves solutions with the two polarizations $\exp(\pm ikx)$.

The evolution equation for the temporal part of the wave

$$h''(k, \eta) + 2 \frac{a'(\eta)}{a(\eta)} h'(k, \eta) + k^2 h(k, \eta) = 0,$$

What is the definition $h(k, \eta) \equiv \frac{\mu(k, \eta)}{a(\eta)}$

$$\mu''(\eta) + \left(k^2 - \frac{a''(\eta)}{a(\eta)}\right) \mu(\eta) = 0, \rightarrow \mu(\eta)_q'' + \left(k_q^2 - \frac{a_q''(\eta)}{a(\eta)}\right) \mu(\eta)_q = 0 \quad (7.1)$$

Here $k = |k|$ is the wave-number, this is the same as the wave number $k = a\omega$.

$$ds^2 \rightarrow ds_q^2, k \rightarrow k_q = \frac{2\pi a_q}{\lambda} = a_q \omega; h(k, \eta) \rightarrow h(k, \eta)_q; \mu(\eta) \rightarrow \mu(\eta)_q = \mu(\eta, k_q).$$

$$7.a \quad \left(k^2 \gg \frac{a''}{a}\right), k_q^2 \gg \frac{a_q''}{a_q} = \frac{a''}{a} ; \text{i. e. } \underline{\text{high frequency waves}}$$

Eq. (7.1) for 7.a -- *harmonic oscillator with the varying frequency where solution is a free wave* $\mu(\eta)_q = \mu(\eta, k_q) = e^{\pm i k_q \eta}$, and then *corr. amplitude*

$h(\eta)_q = a(\eta)_q^{-1} \sin(k_q \eta + \phi)$ *decreases adiabatically in an expanding universe*

$$a_q^{-1} = a^{-1} \exp\left(-\frac{1}{2} W\left(-\frac{1}{e} \alpha_{r_M}\right)\right) \text{ and thus sharper than } a^{-1}$$

7.b $(k^2 \ll \frac{a''}{a}); \quad k_q^2 \ll \frac{a_q''}{a_q} = \frac{a''}{a};$ general solution (7.1) is a linear combination

of pair

$$\mu_{1,q} = a(\eta)_q = \mu_1 \exp\left(\frac{1}{2} W\left(-\frac{1}{e} \alpha_{r_M}\right)\right),$$

$$\mu_{2,q} = a(\eta)_q \int d\eta a(\eta)_q^{-2} = \mu_2 \exp\left(-\frac{1}{2} W\left(-\frac{1}{e} \alpha_{r_M}\right)\right).$$

In expanding Universe μ_1 will grow faster than μ_2 and similarly for $\mu_{1,q}$ and $\mu_{2,q}$.

However for *q*-deformation this growth is dampened by $\exp\left(\pm \frac{1}{2} W\left(-\frac{1}{e} \alpha_{r_M}\right)\right)$.

Nevertheless general solution (7.1) μ_q for 7.b: $\mu_q \approx \mu_{1,q}$ and L.P. Grishchuk 1993 showed that the amplitude of $h_{\alpha\beta}$ (and in present case $(h_{\alpha\beta}(\eta, x)_q)$) is the constant if 7.b. The amplitude of $h_{\alpha\beta}$ will grow if 7.b is not satisfied for $\eta \rightarrow 0$.

This phenomenon is “superadiabatic” or “parametric” amplification of gravitational waves.

Other scenarios of pbhs presence: quantum tunneling, finite temperature

D. J. Gross, M.J. Perry, and M.J. Yaffe, Phys. Rev. D 25, 330 (1982);

radiation-dominated stage of universe $p = \frac{1}{3}\rho$; \Rightarrow the Quantum PBH

Other scenarios of RGWs appearing in pbhs presence:

7.2 *Alexander D. Dolgov, Damian Ejlli,*

Relic gravitational waves from light primordial black holes,

Phys.Rev.D 84 (2011) 024028;

7.3 *Martti Raidal, Ville Vaskonen, Hardi Veermäe,*

Gravitational Waves from Primordial Black Hole Mergers,

JCAP 09 (2017) 037

8. Conclusion and Further Steps

In this way it has been demonstrated that, within the scope of natural assumptions, the *qgcs* calculated for pbhs arising in the pre-inflationary epoch contribute significantly to the inflation parameters, enhancing non-Gaussianity in the case of cosmological perturbations. Besides, with due regard for these *qgcs*, the probability of arising pbhs is higher. Based on these results, the following steps may be planned to study the corrections of cosmological parameters and cosmological perturbations due to *qgcs* for *pbhs* in the pre-inflationary era:

8.1. Comparison of these results obtained in Section 6 with the experimental data accumulated by space observatories: (*Planck Collaboration*), (*WMAP Collaboration*), (*Hubble*), (*James Webb*),... and solution of direct and *inverse* problems;

8.2. Elucidation of the fact, how closely the author's results are related to general approaches to inclusion of the *quantum-gravitational effects* in studies of inflationary perturbations (for example, *Claus Kiefer and other*);

8.3. These studies are part of the following program:

Pbhs formed in pre-inflation era → *pbhs* formed in the inflation result →
→ *Astroparticle physics* in the presence of **these *pbhs*** (or same *Cosmomicrophysics*,
Maxim Khlopov and other).