Polarization, vorticity and shear in hadronic and heavyion collisions South Africa - JINR Workshop on Theoretical and Computational Physics BLTP JINR, Dubna, June 23, 2025

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 Polarization from quantum mechanics to hadronic reactions

- Polarization in heavy-ion collisions
- Vorticity modelling

Shear inside hadrons

Single Spin Asymmetries

Simplest example - (non-relativistic) elastic pion-nucleon scattering $\pi \vec{N} \to \pi N$ Left UpDown Right $M = a + ib(\vec{\sigma}\vec{n}) \vec{n}$ is the normal to the scattering plane. Density matrix: $\rho = \frac{1}{2}(1 + \vec{\sigma}\vec{P}),$ Differential cross-section: $d\sigma \sim 1 + A(\vec{P}\vec{n}), A = \frac{2Im(ab^*)}{|a|^2 + |b|^2}$

Properties of SSA

The same for the case of initial or final state polarization. Various possibilities to measure the effects: change sign of \vec{n} or \vec{P} : left-right or up-down asymmetry. Qualitative features of the asymmetry Transverse momentum required (to have \vec{n}) Transverse polarization (to maximize $(\vec{P}\vec{n})$) Interference of amplitudes IMAGINARY phase between amplitudes - absent in Born approximation

Phases and T-oddness

Clearly seen in relativistic approach:

 $\rho = \frac{1}{2}(\hat{p} + m)(1 + \hat{s}\gamma_5)$

Than: $d\sigma \sim Tr[\gamma_5....] \sim im\varepsilon_{sp_1p_2p_3}...$

Imaginary parts (loop amplitudes) are required to produce real observable.

 $\varepsilon_{abcd} \equiv \varepsilon^{\alpha\beta\gamma\delta} a_{\alpha} b_{\beta} c_{\gamma} d_{\delta}$ each index appears once: P- (compensate S) and T- odd.

However: no real T-violation: interchange $|i\rangle \rightarrow |f\rangle$ is the nontrivial operation in the case of nonzero phases of $< f|S|i\rangle^* = <i|S|f\rangle$.

SSA - either T-violation or the phases.

DIS - no phases ($Q^2 < 0$)- real T-violation.

Perturbative PHASES IN QCD: Kane&Pumplin&Repko'78

QCD factorization: where to borrow imaginary parts? Simplest way: from short distances - loops in partonic subprocess. Quarks elastic scattering (like q - e scattering in DIS):



Quark mass -> twist 3

Twist 4(EFP)->twist 3(ET)

The T-invariance, which is convenient to take into account just now, provides further simplifications. Note that in Tinvariant theories the phase of hadron-parton amplitudes is fully determined by the Born approximation, because the coupling constant is real (and the cuts providing the imaginary part are absent after taking the discontinuity in M_x^g). By this reason, B^A is real and B^V is pure imaginary. (This fact also provides the absence of single asymmetries in Born approximation ^(15/)). On the other hand, making use of translational in-

15. Christ A., Lee T. Phys.Rev., 1966, 143, p.1316. **DVCS: Anikin, Pire, OT'2000** $S_T <-> \Delta_T/M$



T-odd effects: Low and high energies

Unpolarized leptons scattering on the transverse polarized nucleon. Completely analogous to the asymmetry in the scattering of transverse polarized neutrons on tensor polarized deuterons - modern low energy test of T-violation.

However, 2-photon exchange between lepton and nucleon - imaginary phase (due to QED - $\sim \alpha$).



What are QCD sources?

Phases from twist 3

- Quarks only from hadrons
- Various options for factorization shift of SH separation



New option for SSA: Instead of 1-loop twist 2

 Born twist 3: Efremov, OT (85, Fermionic poles); Qiu, Sterman (91, GLUONIC poles)

Twist 3 correlators

Escape: QCD factorization - possibility to shift the borderline between large and short distances



At short distances - Loop \rightarrow Born diagram At Large distances - quark distribution \rightarrow quark-gluon correlator. Physically - process proceeds in the external gluon field of the hadron. Leads to the shift of α_S to non-perturbative domain AND "Renormalization" of quark mass in the external field up to an order of hadron's one

$$rac{lpha_{S}mp_{T}}{p_{T}^{2}+m^{2}}
ightarrow rac{Mb(x_{1},x_{2})p_{T}}{p_{T}^{2}+M^{2}}$$

Further shift of phases completely to large distances - T-odd fragmentation functions. Leading twist transversity distribution - no hadron mass suppression.

Sivers function

- D. Sivers: Hadron spin/quark transverse momentum correlation
- J. Collins: violates T-invariance!
- In JINR (A. Efremov, OT understood that and did not try to introduce)
- S. Brodsky, D.-S. Hwang, I. Schmidt,
 - J. Collins '02 FSI (Wilson lines)
- Gluonic poles (twist 3 suppression compensated by pole)!

A-polarisation

- Self-analyzing (spin-momentum couplins) in weak decay
- Directly related to s-quarks polarization: complementary probe of strangeness
- Hadronic processes
- Disappearance-probe of QCD matter formation (Hoyer; Jacob, Rafelsky: '87): Randomization – smearing – of the scattering plane

Global polarization

- Global polarization normal to REACTION plane
- Predictions (Z.-T.Liang et al.): large orbital angular momentum -> large polarization
- Search by STAR (Selyuzhenkov et al.'07) : polarization NOT found at % level!
- Due to locality of LS coupling while large orbital angular momentum is distributed
- How to transform rotation to spin?

Anomalous mechanism – polarization similar to C(A)VE (talks of V. Zakharov, G. Prokhorov). LS-> classical/quantum Phases -> dissipation

• 4-Velocity is also a GAUGE FIELD (V.I. Zakharov et al): $\mu q = \mu J_0 V^0 \rightarrow \mu J_y V^y$

 $e_j A_\alpha J^\alpha \Rightarrow \mu_j V_\alpha J^\alpha$

 Triangle anomaly leads to polarization of quarks and hyperons (Rogachevsky, Sorin, OT '10)

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- Analogous to anomalous gluon contribution to nucleon spin (Efremov, OT'88)
- 4-velocity instead of gluon field!

Prediction

of polarization decrease with energy

O. Rogachevsky, A. Sorin, O. Teryaev Chiral vortaic effect and neutron asymmetries in heavy-ion collisions PHYSICAL REVIEW C 82, 054910 (2010)

One would expect that polarization is proportional to the anomalously induced axial current [7]

$$j_A^{\mu} \sim \mu^2 \left(1 - \frac{2\mu n}{3(\epsilon + P)} \right) \epsilon^{\mu\nu\lambda\rho} V_{\nu} \partial_{\lambda} V_{\rho},$$

where *n* and ϵ are the corresponding charge and energy densities and *P* is the pressure. Therefore, the μ dependence of polarization must be stronger than that of the CVE, leading to the effect's increasing rapidly with decreasing energy.

This option may be explored in the framework of the program of polarization studies at the NICA [17] performed at collision points as well as within the low-energy scan program at the RHIC.



"Anomalous" mechanism

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Prediction of decrease with energy One would expect that polarization is proportional to the anomalously induced axial current [7] to chemical potential) $j_A^{\mu} \sim \mu^2 \left(1 - \frac{2\mu n}{3(\epsilon + P)} \right) \epsilon^{\mu\nu\lambda\rho} V_{\nu} \partial_{\lambda} V_{\rho},$

Prediction of P~1% $\langle P_{\Lambda} \rangle \sim \frac{\langle \mu^2 \rangle \mathcal{N}_c H}{2\pi^2 \langle N_{\Lambda} \rangle}$

BAZNAT, GUDIMA, SORIN, AND TERYAEV

PHYSICAL REVIEW C 88, 061901(R) (2013)

For numerical estimate at NICA energies, we take (see Fig. 3) $H = 30 \text{ fm}^2(c = 1)$ and, as typical values, $\langle \mu^2 \rangle = 900 \text{ MeV}^2$, $\langle N_{\Lambda} \rangle = 15$ to get $\langle P_{\Lambda} \rangle \sim 0.8\%$. This value is

Postdiction of larger polarization

antilambdas

ALEXANDER SORIN AND OLEG TERYAEV PHYSICAL REVIEW C 95, 011902(R) (2017)

The proportionality of the polarization to the square of the chemical potential related to C-even parity of axial current leads to the same sign of polarization of Λ and $\bar{\Lambda}$ hyperons. The smaller number of the latter should result in a larger fraction of the axial charge, corresponding to each antihyperon and to a larger absolute value of polarization. Detailed numerical sim-

at the RHIC.

TD approach to gauge anomaly (D.T. Son and P. Surowka; S.-Z. Yang, J.-H. Gao and Z.-T. Liang) -> gravitational anomaly

Gravitational chiral anomaly in hydrodynamics

G. Yu. Prokhorov, O.V. Teryaev, V.I. Zakharov, Phys. Rev. Lett. 129, 151601 (2022)

In heavy ion collisions (in particular, at NICA) a relativistic quantum liquid is formed with extremely high vorticity ω^μ and acceleration a^ν. The axial current is related to the hyperon polarization. New contributions to current and polarization:

KVE:
$$j_A^{\mu} = \lambda_1(\omega_{\nu}\omega^{\nu})\omega^{\mu} + \lambda_2(a_{\nu}a^{\nu})\omega^{\mu}$$

Transport coefficients

- The novel kinematical vortical effect (KVE) depends only on vorticity and acceleration, but is independent of temperature and chemical potential, and is determined by a quantum anomaly in curved space.
- The effect exists even when there are **no gravitational** fields ('Cheshire cat" or "Structural stability of hydrodynamics")
- Derivation is essentially making use of the method developed (for the case of electromagnetic fields) In the paper
- Besides further exploration of the anomalous transport the collaboration in investigations of various polarization effects in hadronic and heavyi-ion collisions iwould be of great importance for us. Both the theoretical studies and modeling for experiments (in particular, at NICA and HIAF) are of interest



Connection with anomaly and gravity:

$$\lambda_{1} - \lambda_{2} = 32\mathcal{N}$$

$$\nabla_{\mu} j^{\mu}_{A} = \mathcal{N} \epsilon^{\mu\nu\alpha\beta} R_{\mu\nu\lambda\rho} R_{\alpha\beta}{}^{\lambda\rho}$$

[27] Shi-Zheng Yang, Jian-Hua Gao, and Zuo-Tang Liang, Constraining non-dissipative transport coefficients in global equilibrium, Symmetry **14**, 948 (2022). Microworld: where is the fastest possible rotation?

- Non-central heavy ion collisions (Angular velocity ~ c/Compton wavelength)
- ~25 orders of magnitude faster than Earth's rotation
- Differential rotation vorticity
- P-odd :May lead to various P-odd effects
- Calculation in kinetic quark gluon string model (DCM/QGSM) – Boltzmann type eqns + phenomenological string amplitudes): Baznat,Gudima,Sorin,OT, PRC'13,16

Rotation in HIC and related quantities

- Non-central collisions orbital angular momentum
- L=Σrxp
- Differential pseudovector characteristics vorticity
- ω = curl v
- Pseudoscalar helicity
- H ~ <(v curl v)>
- Maximal helicity Beltrami chaotic flows
 v || curl v



$$\vec{v}(x, y, z, t) = \frac{\sum_i \sum_j \vec{P}_{ij}}{\sum_i \sum_j E_{ij}}$$

 Vorticity – from discrete partial derivatives

Vorticity studies in BLTP

- Direct hydrodynamic approach Yu.B. Ivanov
- Advanced kinetic approaches PHSD E.Kolomeitsev, N. Tsefelnik, V. Voronyuk
- Effect to pion condensation
 D.N. Voskresensky
- Lattice studies of phase transitions under rotation V. Braguta, A. Roenko

Angular momentum conservation and helicity

- Helicity vs orbital angular momentum (OAM) of fireball
- (~10% of total)

Conservation of OAM with a good accuracy!



Structure of velocity and vorticity fields (NICA@JINR-5 GeV/c)



Distribution of velocity ("Small Bang")

3D/2D projection

z-beams direction

x-impact paramater



Distribution of vorticity ("small galaxies")

 Layer (on core corona borderline) patterns







Velocity and vorticity patterns

Velocity

 Vorticity pattern – vortex sheets due to L BUT cylinder symmetry!



Vortex sheet (fixed direction of L)



Vortex sheet (Average over L directions)



Sections of vorticity patterns

Front and side views



Beltrami limit: is it close?

Cauchy-Schwarz inequality:
 r²=<(v curl v)>²/<(curl v)²><v²> ≤ 1

 Maximal average cosine ~ 0.1



FIG. 4. (Color online) Time dependence of Cauchy-Schwarz bound for helicity in Au + Au at $s^{1/2} = 5$ GeV at impact parameter b = 8 fm(a); the integrated squares of velocity (b), vorticity (c), and helicity (d).

PHYSICAL REVIEW C 88, 061901(R) (2013)

RAPID COMMUNICATIONS

Helicity separation in QGSM PRC88 (2013) 061901

- Total helicity integrates to zero BUT
- Mirror helicities below and above the reaction plane required by boost!
- Confirmed in HSD (OT, Usubov, PRC92 (2015)
 014906
- zz: vorticity quadrupole structure



Back to hadrons:

Pressure inside protons from matrix elements of energy momentum tensors (gravitational formfactors)

The pressure distribution inside the proton

LETTER



Road to timelike GrFFs: Crossing for DVCS and GPD

- DVCS -> hadron pair production in the collisions of real and virtual photons
- GPD -> Generalized Distribution Amplitudes (Diehl,Gousset, Pire,OT'98)





New EMT formfactor : "Shear viscosity" (OT'2020)

- From spherically symmetric object to fluid (EoS!)
- $T^{\mu\lambda} = (e+p) v^{\mu}v^{\lambda} p g^{\mu\lambda}$
- V^µ = P^µ/M : correct normalization but no coordinate dependence
- Another suggestion (OT'19):
- $V^{\mu} = (P^{\mu} + a(t) k_T^{\mu}) / (M^2 + a^2(t) k_T^2)^{\frac{1}{2}}$
- Viscosity: η dv^μ/d x_T ^λ ~ E η p^{[μ} Δ^{λ]}
- NO such term in total EMT (but can be for quarks separately)
- Naïve T-oddness: phases in GPD channel from decays in TDA
- Phases <-> dissipation: polarization in pionic superfluidity model (V. I. Zakharov, OT' 17)
Timelike GrFF: Viscosity in GDA channel

- Viscosity:will correspond to Exotic J^{PC}=1⁻⁺ meson (studied long ago without mentioning gravity: Anikin, Pire, Szymanowski,OT, Wallon'06)
- Spin: related to structure of matrix element: One index of EMT (0th in rest frame) is carried by momentum and other by polarization vector - just what we need for viscosity
- NO for conserved EM: zero coupling for (G)DA!
- πη pairs observation instead of π π required
- Smallness of viscosity: related to smallness of exotic GDAs and ExEP violation?!

Estimate of viscosity

(e+p) $v^{\mu}v^{\lambda} \sim A P^{\mu}P^{\lambda}$

- $\eta dv^{\mu}/d x_T^{\lambda} \sim E_{\eta} p^{[\mu} \Delta^{\lambda]}$
- TD: e+p -> Ts
- η/s (> 1/(4π))~ E_ηT /AM (smallness due to ExEP and small coupling to exotics)
- Correct dependence on Planck constant recovered via Δ^{λ} ->- iT d /d x_T^{λ}
- Song,OT,Yoshida,2503.11316: relation in QCD factorization to structure of pseudoscalar mesons: η/s ~ 0.05

From time like FFs to HICs:properly averaged momentum correlations

• Shear : $dv^{\mu}/d x_{T \lambda} \sim \langle p_{i} p_{j} \rangle / T$

• Vorticity: $dv^{[\mu/d x_{T \lambda]}} \sim \langle p_{[i} p_{j]} \rangle / \pi$

Helicity: < v curl v> ~ e _{ijk} < p_i p_j p_k >/ Ћ

Shear for deuterons: Spin 1 EMT and inclusive processes

- Forward matrix element -> density matrix
- Contains P-even term: tensor polarization S $^{\alpha\beta}$ New EMT FF < P|T |P>= A P P + T S
- Symmetric and traceless: correspond to (average) shear forces
- Cf with spin ¹/₂ and spin 1 vector polarization : P-odd vector polarization requires another vector (q) to form vector product

SUM RULEs

- Efremov,OT'82 : zero sum rules:
- Current conservation: 1 moment: also in parton model by Close and Kumano (90)
- EMT conservation: 2 moment (forward analog of Ji's SR: $\Sigma B=0$ <=> $\Sigma T=0$)
- Average shear force (compensated between quarks and gluons)
- Gravity and (Ex)EP (zero average shear separately for quarks and gluons) OT'09
- No monopole spin-gravity coupling!

Manifestation of post-Newtonian (Ex)EP for spin 1 hadrons

- Tensor polarization -coupling of EMT to spin in forward matrix elements - inclusive processes
- Second moments of tensor distributions should sum to zero

$$A_{T} = \frac{\sigma_{+} + \sigma_{-} - 2\sigma_{0}}{3\bar{\sigma}} \text{ (AVE,OT'!)} \int_{0}^{1} C_{i}^{T}(x) dx = 0$$

$$\langle P, S | \bar{\psi}(0) \gamma^{\nu} D^{\nu_{1}} \dots D^{\nu_{n}} \psi(0) | P, S \rangle_{\mu^{2}} = i^{-n} M^{2} S^{\nu\nu_{1}} P^{\nu_{2}} \dots P\nu_{n} \int_{0}^{1} C_{q}^{T}(x) x^{n} dx$$

$$\sum_{q} \langle P, S | T_{i}^{\mu\nu} | P, S \rangle_{\mu^{2}} = 2P^{\mu} P^{\nu} (1 - \delta(\mu^{2})) + 2M^{2} S^{\mu\nu} \delta_{1}(\mu^{2}) = 0 \text{ for ExE} \int_{0}^{1} \int_{0}^{1} C_{i}^{T}(x) dx = 0$$

$$\langle P, S | T_{g}^{\mu\nu} | P, S \rangle_{\mu^{2}} = 2P^{\mu} P^{\nu} \delta(\mu^{2}) - 2M^{2} S^{\mu\nu} \delta_{1}(\mu^{2})$$

$$\sum_{q} \int_0^1 C_i^T(x) x dx = \delta_1(\mu^2)$$

HERMES – data on tensor spin str_{PRL 95, 242001 (2005)} function

- Isoscalar target proportional to the sum of u and d quarks – combination required by (Ex)EP
- Second moments compatible to zero better than the first one (collective tensor polarized glue << sea)



Fragmentation functions

Tensor polarized fragmentation functions: (Szvmanowski, Schaefer,

OT′99)

A. Schäfer et al. / Physics Letters B 464 (1999) 94-100



 Suggestion'21: zero SRs (analogous to momentum SR) may probe the (Ex)EP for hadrons inside partons (EIC: gluons) NICA (Nuclotron based Ion Colider fAcility)

- the flagship project in HEP of Joint Institute for Nuclear Research (JINR)

Main targets of "NICA Complex":

- study of hot and dense baryonic matter
- investigation of hadronic spin structure through various

polarization phenomena

cm

- development of accelerator facility for HEP @ JINR providing

intensive beams of relativistic ions from p to Au

polarized protons and deuterons







Conclusions

 Polarization vorticity and shear appear in various branches of High-Energy Physics

 Also relations to condenced matter theory, gravity...

Studies at NICA plannes

How to add SSAs?

- NOT just sum (P<1!)</p>
- Similar to velocities in special relativity $\begin{pmatrix} OT, 2204.08796 \text{ and } PRC \end{pmatrix} \\ \vec{P}_0 = \vec{n} \frac{2\Im(ab^*)}{|a|^2 + |b|^2} P_f = \frac{(|a|^2 + |b|^2)P + 2\Im(ab^*)}{|a|^2 + |b|^2 + 2P\Im(ab^*)} = \frac{P + P_0}{1 + PP_0} \quad \hbar \leftrightarrow c \qquad P_f^2 = \frac{(\vec{P} + \vec{P}_0)^2 - [\vec{P}\vec{P}_0]^2}{(1 + (\vec{P}\vec{P}_0))^2}$

- Transition from "macro" to micro" d.o.f.
- Dominance of FSI (Polarizing FF) or ISI (Sivers) corresponds to Galilean $(c \rightarrow \infty)$ and Carroll $(c \rightarrow 0)$ limits (in preparartion)

CONCLUSIONS

- SSA in QCD phases: stem from AV-1978
- To be studied at EIC, SPD,...
- HIC: QFT/Statistics/gravity
- phases<-> dissipation?
- Quantum<-> classical?
- "Macro" <-> "Micro" ?
- Relation to LS in Condenced matter?

Spin-gravity interactions

- How to describe hadron spin/gravity(inertia) couplings?
- Matrix elements of Energy- Momentum Tensor
- May be studied in non-gravitational experiments/theory
- Simple interpretation in comparison to EM field case

Gravitational Formfactors

 $\langle p'|T^{\mu\nu}_{q,g}|p\rangle = \bar{u}(p') \Big[A_{q,g}(\Delta^2) \gamma^{(\mu} p^{\nu)} + B_{q,g}(\Delta^2) P^{(\mu} i \sigma^{\nu)\alpha} \Delta_{\alpha}/2M] u(p)$

Conservation laws - zero Anomalous Gravitomagnetic Moment : $\mu_G = J$ (g=2)

 $P_{q,g} = A_{q,g}(0) \qquad A_q(0) + A_g(0) = 1$

 $J_{q,g} = \frac{1}{2} \left[A_{q,g}(0) + B_{q,g}(0) \right] \qquad A_q(0) + B_q(0) + A_g(0) + B_g(0) = 1$

- May be extracted from high-energy experiments/NPQCD calculations
- Describe the partition of angular momentum between quarks and gluons
- Describe interaction with both classical and TeV gravity

Generalized Parton Diistributions (related to matrix elements of non local operators) – models for both EM and Gravitational Formfactors (Selyugin,OT '09)

Smaller mass square radius (attraction vs repulsion!?)

$$\begin{split} \rho(b) &= \sum_{q} e_{q} \int dx q(x, b) &= \int d^{2} q F_{1}(Q^{2} = q^{2}) e^{i \vec{q} \cdot \vec{b}} \\ &= \int_{0}^{\infty} \frac{q dq}{2\pi} J_{0}(q b) \frac{G_{E}(q^{2}) + \tau G_{M}(q^{2})}{1 + \tau} \end{split}$$

$$\rho_0^{\rm Gr}(b) = \frac{1}{2\pi} \int_\infty^0 dq q J_0(qb) A(q^2)$$



FIG. 17: Difference in the forms of charge density F_1^P and "matter" density (A)

Electromagnetism vs Gravity

Interaction – field vs metric deviation

- $M = \langle P' | J^{\mu}_{q} | P \rangle A_{\mu}(q) \qquad \qquad M = \frac{1}{2} \sum_{q,G} \langle P' | T^{\mu\nu}_{q,G} | P \rangle h_{\mu\nu}(q)$
- Static limit

 $\langle P|J^{\mu}_{q}|P\rangle = 2e_{q}P^{\mu}$

$$\sum_{q,G} \langle P | T_i^{\mu\nu} | P \rangle = 2P^{\mu}P^{\nu}$$
$$h_{00} = 2\phi(x)$$

$$M_0 = \langle P | J^{\mu}_q | P \rangle A_{\mu} = 2e_q M \phi(q) \qquad M_0 = \frac{1}{2} \sum_{q,G} \langle P | T^{\mu\nu}_i | P \rangle h_{\mu\nu} = 2M \cdot M \phi(q)$$

Mass as charge – equivalence principle

Gravitomagnetism

• Gravitomagnetic field (weak, except in gravity waves) – action on spin from $M = \frac{1}{2} \sum_{q,G} \langle P' | T_{q,G}^{\mu\nu} | P \rangle h_{\mu\nu}(q)$

$$\vec{H}_J = \frac{1}{2} rot \vec{g}; \ \vec{g}_i \equiv g_{0i}$$

spin dragging twice smaller than EM

- Lorentz force similar to EM case: factor $\frac{1}{2}$ cancelled with 2 from $h_{00} = 2\phi(x)$ Larmor frequency same as EM $\omega_J = \frac{\mu_G}{I}H_J = \frac{H_L}{2} = \omega_L \vec{H}_L = rot\vec{g}$
- Orbital and Spin momenta dragging the same -Equivalence principle

Experimental test of PNEP

Reinterpretation of the data on G(EDM) search
PHYSICAL REVIEW LETTERS

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Search for a Coupling of the Earth's Gravitational Field to Nuclear Spins in Atomic Mercury

B. J. Venema, P. K. Majumder, S. K. Lamoreaux, B. R. Heckel, and E. N. Fortson Physics Department, FM-15, University of Washington, Seatile, Washington 98195 (Received 25 September 1991)

 If (CP-odd!) GEDM=0 -> constraint for AGM (Silenko, OT'07) from Earth rotation – was considered as obvious (but it is just EP!) background

 $\mathcal{H} = -g\mu_N \boldsymbol{B} \cdot \boldsymbol{S} - \zeta \hbar \boldsymbol{\omega} \cdot \boldsymbol{S}, \quad \zeta = 1 + \chi$

 $|\chi(^{201}\text{Hg}) + 0.369\chi(^{199}\text{Hg})| < 0.042$ (95%C.L.)

Equivalence principle for moving particles

- Compare gravity and acceleration: gravity provides EXTRA space components of metrics h_{zz} = h_{xx} = h_{yy} = h₀₀
- Matrix elements DIFFER

 $\mathcal{M}_g = (\epsilon^2 + p^2) h_{00}(q), \qquad \mathcal{M}_a = \epsilon^2 h_{00}(q)$

- Ratio of accelerations: $R = \frac{\epsilon^2 + p^2}{\epsilon^2}$ confirmed by explicit solution of Dirac equation (Silenko, OT, '05)
- Arbitrary fields Obukhov, Silenko, OT '09,'11,'13

Gravity vs accelerated frame for spin and helicity

- Spin precession well known factor 3 (Probe B; spin at satellite – probe of PNEP!) – smallness of relativistic correction (~P²) is compensated by 1/ P² in the momentum direction precession frequency
- Helicity flip the same!
- No helicity flip in gravitomagnetic field another formulation of PNEP (OT'99)

Gyromagnetic and Gravigyromagnetic ratios

- Free particles coincide
- $< P+q|T^{mn}|P-q> = P^{m}<P+q|J^{n}|P-q>/e up to the
 terms linear in q$
- Special role of g=2 for any spin (asymptotic freedom for vector bosons)
- Should Einstein know about PNEP, the outcome of his and de Haas experiment would not be so surprising
- Recall also g=2 for Black Holes. Indication of "quantum" nature?!

Cosmological implications of PNEP

- Necessary condition for Mach's Principle (in the spirit of Weinberg's textbook) -
- Lense-Thirring inside massive rotating empty shell (=model of Universe)
- For flat "Universe" precession frequency equal to that of shell rotation
- Simple observation-Must be the same for classical and quantum rotators – PNEP!



More elaborate models - Tests for cosmology ?!

Torsion – acts only on spin (violates EP)

Dirac eq+FW transformation-Obukhov, Silenko, OT, arXiv:1410.6197

Hermitian Dirac Hamiltonian

$$\begin{split} e_{i}^{\widehat{0}} &= V \,\delta_{i}^{0}, \qquad e_{i}^{\widehat{a}} = W^{\widehat{a}}{}_{b} \left(\delta_{i}^{b} - cK^{b} \,\delta_{i}^{0} \right) \\ ds^{2} &= V^{2}c^{2}dt^{2} - \delta_{\widehat{a}\widehat{b}}W^{\widehat{a}}{}_{c}W^{\widehat{b}}{}_{d} \left(dx^{c} - K^{c}cdt \right) \left(dx^{d} - K^{d}cdt \right) \\ \mathcal{F}^{b}{}_{a} &= VW^{b}{}_{\widehat{a}}, \qquad \Upsilon = V\epsilon^{\widehat{a}\widehat{b}\widehat{c}}\Gamma_{\widehat{a}\widehat{b}\widehat{c}}, \qquad \Xi^{a} = \frac{V}{c} \,\epsilon^{\widehat{a}\widehat{b}\widehat{c}} \left(\Gamma_{\widehat{0}\widehat{b}\widehat{c}} + \Gamma_{\widehat{b}\widehat{c}\widehat{0}} + \Gamma_{\widehat{c}\widehat{0}\widehat{b}} \right) \end{split}$$

• Spin-torsion coupling
$$-\frac{\hbar cV}{4} \left(\Sigma \cdot \check{T} + c\gamma_5 \check{T}^0\right)$$

$$\check{T}^{\alpha} = -\frac{1}{2} \eta^{\alpha\mu\nu\lambda} T_{\mu\nu\lambda}$$

• FW – semiclassical limit – precession $\Omega^{(T)} = -\frac{c}{2}\check{T} + \beta\frac{c^3}{8}\left\{\frac{1}{\epsilon'}, \left\{p, \check{T}^{\hat{0}}\right\}\right\} + \frac{c}{8}\left\{\frac{c^2}{\epsilon'(\epsilon' + mc^2)}, \left(\left\{p^2, \check{T}\right\} - \left\{p, (p \cdot \check{T})\right\}\right)\right\}$

Experimental bounds for torsion

Magnetic field+rotation+torsion

$$H = -g_N rac{\mu_N}{\hbar} B \cdot s - \omega \cdot s - rac{c}{2} \check{T} \cdot s_N$$

Same '92 EDM experiment $\frac{\hbar c}{4} |\check{\mathbf{T}}| \cdot |\cos \Theta| < 2.2 \times 10^{-21} \, \text{eV}, \quad |\check{\mathbf{T}}| \cdot |\cos \Theta| < 4.3 \times 10^{-14} \, \text{m}^{-1}$

New(based on Gemmel et al '10)

 $\frac{\hbar c}{2} |\check{\boldsymbol{T}}| \cdot |(1 - \mathcal{G}) \cos \Theta| < 4.1 \times 10^{-22} \,\mathrm{eV}, \qquad |\check{\boldsymbol{T}}| \cdot |\cos \Theta| < 2.4 \times 10^{-15} \,\mathrm{m}^{-1}, \\ \mathcal{G} = g_{He}/g_{Xe}$

Generalization of Equivalence principle

 Various arguments: AGM ≈ 0 separately for quarks and gluons – most clear from the lattice (LHPC/SESAM)



Recent lattice study (M. Deka et al. <u>arXiv:1312.4816</u>)

Sum of u and d for Dirac (T1) and Pauli (T2) FFs



Extended Equivalence Principle=Exact EquiPartition

- In pQCD violated
- Reason in the case of ExEP- no smooth transition for zero fermion mass limit (Milton, 73)
- Conjecture (O.T., 2001 prior to lattice data) – valid in NP QCD – zero quark mass limit is safe due to chiral symmetry breaking
- Gravity-proof confinement (should the hadrons survive enetering Black Hole?)?!

Conclusionss

- Rotation in heavy-ion collisions essentially non-inertial frame
- Related P-odd effects are not numerically large (smearing) but may be observable



BACKUP SLIDES

Sum rules for EMT (and OAM)

- First (seminal) example: X. Ji's sum rule ('96). Gravity counterpart – OT'99
- Burkardt sum rule looks similar: can it be derived from EMT?
- Yes, if provide correct prescription to gluonic pole (OT'14)

Pole prescription and Burkardt SR

- Pole prescription (dynamics!) provides ("T-odd") symmetric part!
- SR: $\sum \int dx T(x,x) = 0$ twist 3 still not founs - prediction!) $\sum \int \int dx_1 dx_2 \frac{T(x_1, x_2)}{x_1 - x_2 + i\varepsilon} = 0$ (but relation of gluon Sivers to
- Can it be valid separately for each quark flavour: nodes (related to "sign problem")?
- Valid if structures forbidden for TOTAL EMT do not appear for each flavour
- Structure contains besides S gauge vector n: If GI separation of EMT forbidden: SR valid separately!

Another manifestation of post-Newtonian (E)EP for spin 1 hadrons

- Tensor polarization coupling of gravity to spin in forward matrix elements inclusive processes
- Second moments of tensor distributions should sum to zero

 $\langle P, S | \bar{\psi}(0) \gamma^{\nu} D^{\nu_1} \dots D^{\nu_n} \psi(0) | P, S \rangle_{\mu^2} = i^{-n} M^2 S^{\nu\nu_1} P^{\nu_2} \dots P_{\nu_n} \int_0^1 C_q^T(x) x^n dx$ $\sum \langle P, S | T_i^{\mu\nu} | P, S \rangle_{\mu^2} = 2P^{\mu} P^{\nu} (1 - \delta(\mu^2)) + 2M^2 S^{\mu\nu} \delta_1(\mu^2)$

$$\langle P, S | T_g^{\mu\nu} | P, S \rangle_{\mu^2} = 2 P^{\mu} P^{\nu} \delta(\mu^2) - 2 M^2 S^{\mu\nu} \delta_1(\mu^2)$$

$$\sum_{q} \int_{0}^{1} C_{i}^{T}(x) x dx = \delta_{1}(\mu^{2}) = 0 \text{ for ExEP}$$

HERMES – data on tensor spin structure function PRL 95, 242001 (2005)

- Isoscalar target proportional to the sum of u and d quarks – combination required by EEP
- Second moments compatible to zero better than the first one (collective glue << sea) – for valence: $\int_{-1}^{1} C_{i}^{T}(x) dx = 0$



CONCLUSIONS

- Spin-gravity interactions may be probed directly in gravitational (inertial) experiments and indirectly – studing EMT matrix element
- Torsion and EP are tested in EDM experiments
- SR's for deuteron tensor polarizationindirectly probe EP and its extension separately for quarks and gluons
EEP and AdS/QCD

- Recent development calculation of Rho formfactors in Holographic QCD (Grigoryan, Radyushkin)
- Provides g=2 identically!
- Experimental test at time –like region possible