

Lecture #3

Dark Matter Models

Dmitry Gorbunov

Institute for Nuclear Research of RAS, Moscow

**The Helmholtz International School
“Cosmology, Strings, and New Physics”**

**DIAS-TH Program at BLTP, JINR
Dubna, Russia**

Outline

- 1 Dark Matter properties
- 2 Thermal Dark Matter
- 3 Non-thermal candidates
- 4 Summary

Outline

1 Dark Matter properties

2 Thermal Dark Matter

3 Non-thermal candidates

4 Summary

So far only gravitational evidence for DM

Dark Matter properties from cosmology: $p = 0$

(If) particles:

- ① stable on cosmological time-scale
requires new (almost) conserved quantum number
- ② produced in the early Universe
some time before RD/MD-transition ($T = 0.8 \text{ eV}$)
- ③ nonrelativistic particles long before RD/MD-transition ($T = 0.8 \text{ eV}$)
(either Cold or Warm, $v_{RD/MD} \lesssim 10^{-3}$)

Otherwise no small-size structures, like dwarf galaxies:
smoothed out by free streaming

If were in thermal equilibrium: $M_X \gtrsim 1 \text{ keV}$

- ④ (almost) collisionless $p = 0, v_{\text{sound}} = 0$
- ⑤ (almost) electrically neutral CMB distortion
- ⑥ all matter inhomogeneities (perturbations) are adiabatic:

$$\delta \left(\frac{n_B}{n_{DM}} \right) = \delta \left(\frac{n_B}{n_\gamma} \right) = \delta \left(\frac{n_\nu}{n_\gamma} \right) = 0$$

Dark Matter properties from astrophysics

- ① stable on cosmological time-scale
- ② (almost) collisionless to form ellipsoidal halos
- ③ (almost) electrically neutral to be Dark
- ④ stability of globular stellar clusters $M_X \lesssim 10^3 M_\odot \approx 10^{61} \text{ GeV}$
otherwise too strong tidal forces
- ⑤ confinement in a galaxy: quantum physics!
 de Broglie wavelength: $\lambda = 2\pi/(M_X v_X) < l_{\text{galaxy}}$, for bosons
 in a galaxy $v_X \sim 0.5 \cdot 10^{-3}$ $\rightarrow M_X \gtrsim 3 \cdot 10^{-22} \text{ eV}$
 for fermions
 Pauli blocking: $M_X \gtrsim 750 \text{ eV}$

$$f(\mathbf{p}, \mathbf{x}) = \frac{\rho_X(\mathbf{x})}{M_X} \cdot \frac{1}{\left(\sqrt{2\pi} M_X v_X\right)^3} \cdot e^{-\frac{\mathbf{p}^2}{2M_X^2 v_X^2}} \Big|_{\mathbf{p}=0} \leq \frac{g_X}{(2\pi)^3}$$

Free massive scalar field

$$\mathcal{L} = \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - \frac{1}{2} m_\phi^2 \phi^2$$

Homogeneous scalar field in the expanding Universe

$$\ddot{\phi} + 3H\dot{\phi} + m_\phi^2 \phi = 0$$

Two-stage evolution:

$$m_\phi < H(t) \implies \phi = \phi_i = \text{const}$$

$$m_\phi > H(t) \implies p = \langle E_k \rangle - \langle E_p \rangle = 0, \quad \rho \sim m_\phi^2 \phi^2 \propto 1/a^3$$

- dust-like substance in the late Universe, $\Omega \propto m_\phi^{1/2} \phi_i^2$
depends on initial conditions
- pressureless at spatial scales $l > 1/m_\phi$ fuzzy DM
- isocurvature mode: $\delta\rho_\phi \propto \delta H, \quad \delta f_i$

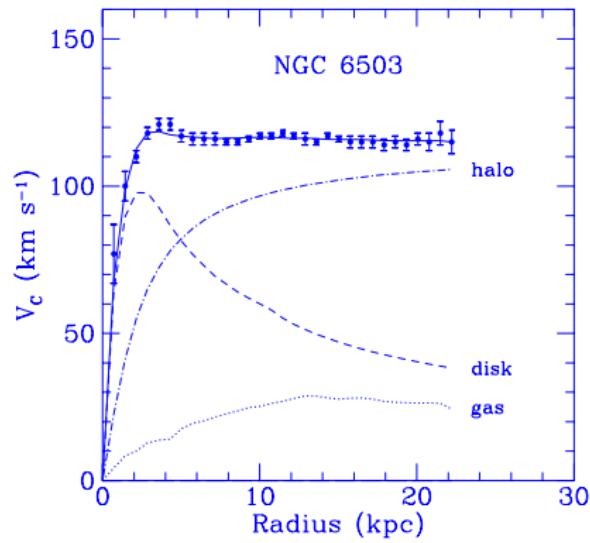
Further strengthening of the astrophysical bounds

- Fermions (collisionless):
 - conservation of the phase space density of DM particles: gives $\gtrsim 5 \text{ keV}$ when tested with dwarf spheroidal galaxies
 - free streaming gets rid of small scale inhomogeneities
 - Ly- α forest observations constrain velocity dispersion
- Very light bosons
 - produce pressure, hence non-standard gravitational potentials at distances $\propto 1/m$:
 - pulsar timing constraints
 - selfinteraction prevents the cusp formation:
 - Supermassive black holes in galactic centers
 - Caustic formation

Galactic dark halos: flat rotation curves

$$v(R) = \sqrt{G \frac{M(R)}{R}}$$

$$M(R) = 4\pi \int_0^R \rho(r) r^2 dr$$



observations:

visible matter:

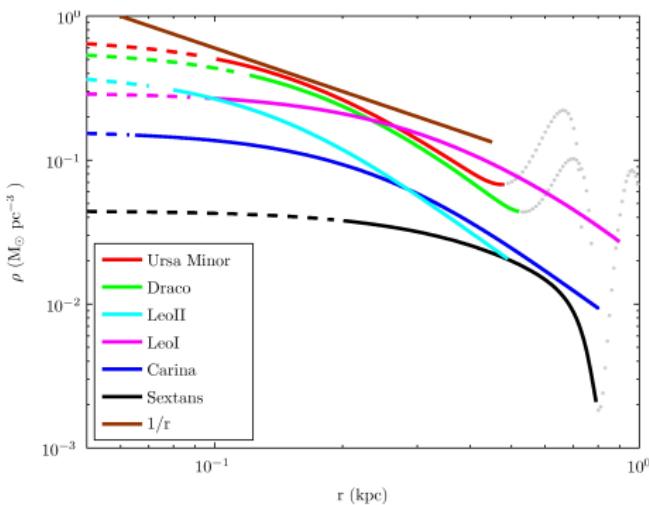
$$v(R) \simeq \text{const}$$

$$\begin{aligned} \text{internal regions } v(R) &\propto \sqrt{R} \\ \text{external ("empty") regions } v(R) &\propto 1/\sqrt{R} \end{aligned}$$

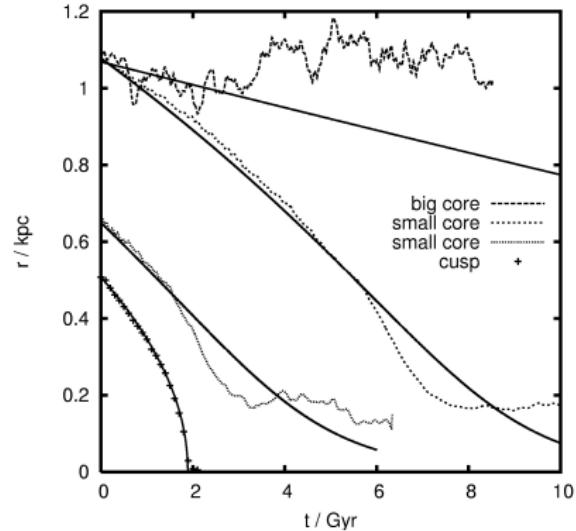
CDM Problems at small-scales ... ?

- NFW profile fits nicely DM in galaxy clusters $\rho \propto r^{-1}(r+r_c)^{-2}$
- Dwarf galaxy density profiles: $\rho_M(r) \propto r^{-(0.5-1.5)}$ cusp
most DM-dominated objects

Cores observed (?)

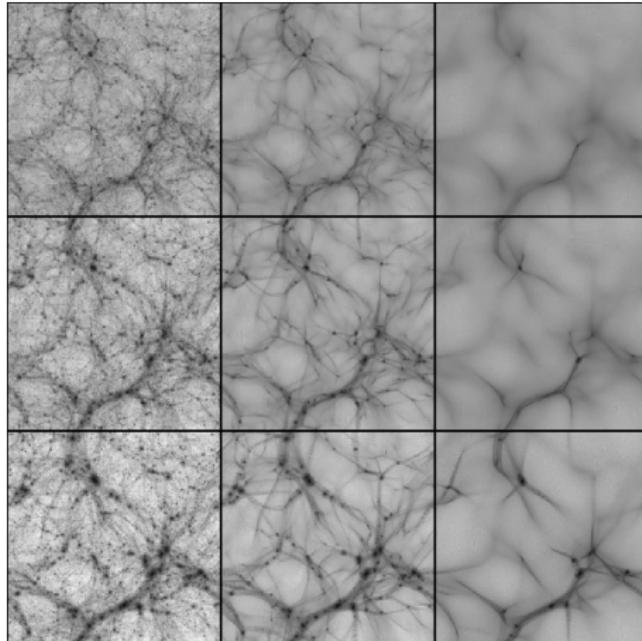


5 Clusters in the Fornax dSph

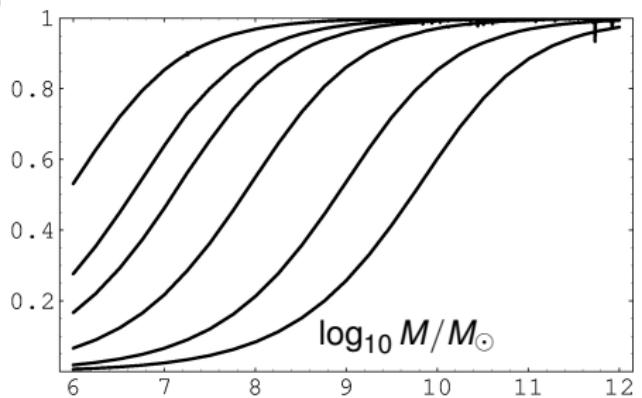


CDM Problems . . . ?

- Missing satellites: $\frac{dN_{obj}}{d \ln M} \propto \frac{1}{M}$ no-scale 100 instead of 1000
- “Too big to fail” problem
- Solved (?) by Warm Dark Matter (sterile neutrino, gravitino) free-streaming



$$\left(\frac{dN_{obj}}{d \ln M} \right)_{WDM} / \left(\frac{dN_{obj}}{d \ln M} \right)_{CDM}$$



Dark Matter: many well-motivated candidates

- WIMPs related to EW scale, SUSY
- sterile neutrinos active neutrino oscillations
- light scalar field string theory
- axion strong CP-problem
- gravitino local SUSY
- Heavy relics GUTs
- (Topological) defects GUTs
- Massive Astrophysical Compact Heavy Objects
- Primordial black hole (remnants) Phase transitions
exotic inflation, reheating

Multicomponent Dark Matter ?

γ, v, H, He

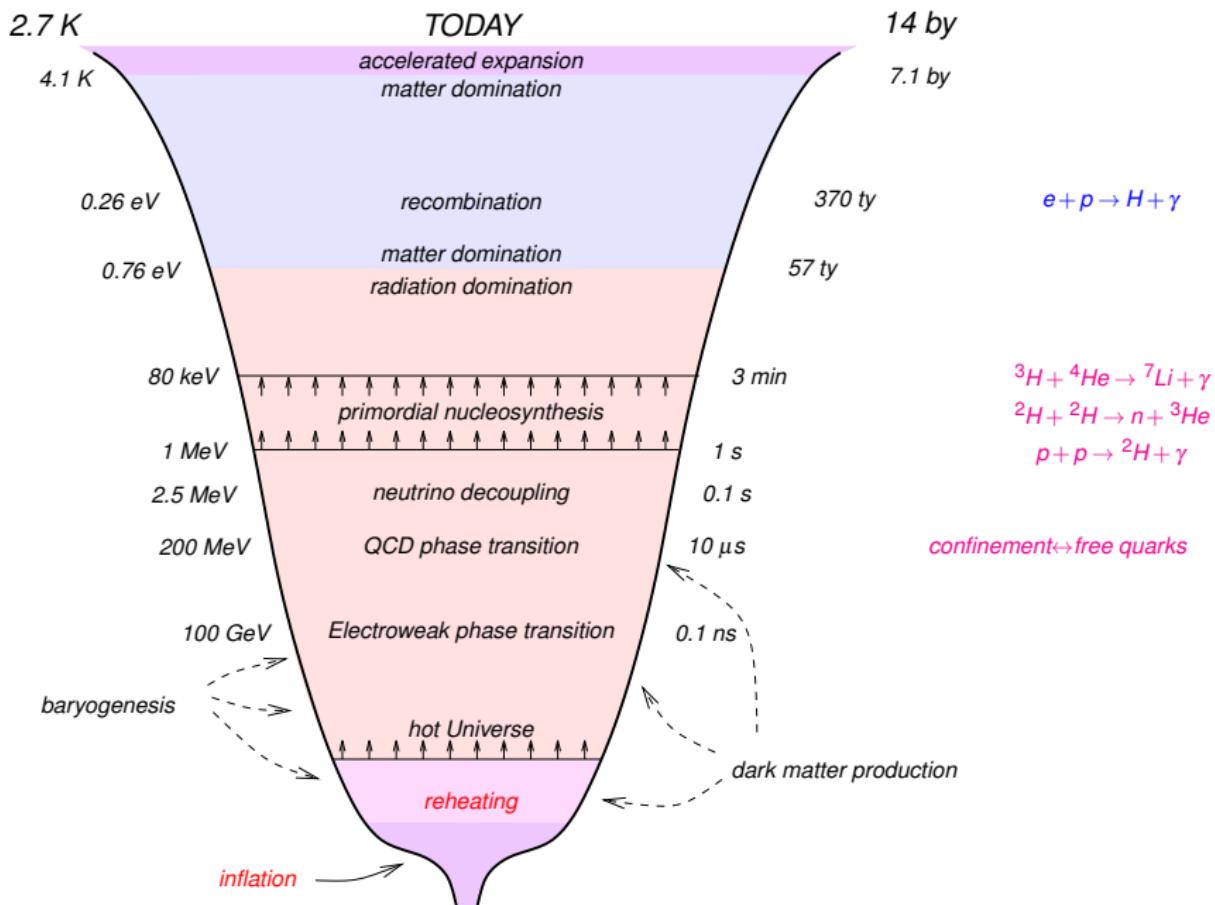
Outline

1 Dark Matter properties

2 Thermal Dark Matter

3 Non-thermal candidates

4 Summary



Decoupling of relativistic Dark Matter

Assumptions

- ① DM particles are in equilibrium in plasma
- ② DM decouple from plasma at temperature $T_d \gtrsim M_X$,
so they are relativistic
(e.g. neutrino)

Later on

$$n_X(T_d) = g_X \cdot \left(\frac{1}{\frac{3}{4}}\right) \cdot \frac{\zeta(3)}{\pi^2} T_d^3$$

useful

$$n_X a^3 = \text{const}, \quad s a^3 = \text{const} \quad \Rightarrow \quad \frac{n_X}{s} = \text{const} = \# \frac{g_X}{g_*(T_d)}$$

DM particle mass M_X fixes Ω_X :

$$\Omega_X = \frac{M_X \cdot n_{X,0}}{\rho_c} = \frac{M_X \cdot s_0}{\rho_c} \frac{n}{s} \approx 0.2 \times \frac{M_X}{100 \text{ eV}} \left(\frac{g_X}{2}\right) \cdot \left(\frac{100}{g_*(T_d)}\right)$$

– NO heavy stable feebly coupled to SM particles !

– NO realistic DM models:

Pauli blocking prevents fermionic DM

$$\frac{p_X}{M_X} \propto \frac{a_d}{a} \sim \frac{3T}{M_X} \left(\frac{g_*(T)}{g_*(T_d)}\right)^{1/3}$$

too energetic for the proper structure formation

Decoupling of relativistic Dark Matter

Can we save the relativistic Dark Matter ??

one can try, say, nonstandard cosmological evolution

with entropy production

- ① hot stage (radiation domination) $\rho \propto 1/a^4$
- ② add new nonrelativistic particles decoupled from plasma $\rho \propto 1/a^3$
- ③ later they start to dominate
 - intermediate stage of matter domination
 - terminates before BBN !!
- ④ both relativistic DM density and entropy density decrease
- ⑤ new nonrelativistic particles decay reheating the Universe
 - entropy production
 - $T > 3 \text{ MeV}$

Decoupling of nonrelativistic Dark Matter

Assumptions:

- ① no $X - \bar{X}$ asymmetry either $X = \bar{X}$ or $n_X = n_{\bar{X}}$
- ② @ $T \lesssim M_X$ in thermal equilibrium with plasma (e.g. neutrons)

$$n_X = n_{\bar{X}} = g_X \left(\frac{M_X T}{2\pi} \right)^{3/2} e^{-M_X/T}$$



freeze-out temperature T_f

$$H \equiv T^2/M_{\text{Pl}}^*$$

$$n_X \langle \sigma_{\text{ann}} v \rangle = H(T_f) \longrightarrow T_f = \frac{M_X}{\ln \left(\frac{g_X M_X M_{\text{Pl}}^* \sigma_0}{(2\pi)^{3/2}} \right)} .$$

Bethe formula:

$$\text{s-wave: } \sigma_{\text{ann}} = \frac{\sigma_0}{v}$$

Weakly Interacting Massive Particles

density after freeze-out:

$$n_X(T_f) = \frac{T_f^2}{M_{\text{Pl}}^* \sigma_0}$$

present density:

$$n_X(T_0) = \left(\frac{a(T_f)}{a(T_0)} \right)^3 n_X(T_f) = \left(\frac{s_0}{s(T_f)} \right) n_X(T_f) \propto \frac{1}{T_f}$$

$X + \bar{X}$ contribution to critical density:

$$\begin{aligned} \Omega_X &= 2 \frac{\cancel{M}_X n_X(T_0)}{\rho_c} = 7.6 \frac{s_0 \ln \left(\frac{g_X M_{\text{Pl}}^* \cancel{M}_X \sigma_0}{(2\pi)^{3/2}} \right)}{\rho_c \sigma_0 M_{\text{Pl}} \sqrt{g_*(T_f)}} \\ &= 0.1 \cdot \left(\frac{(10 \text{ TeV})^{-2}}{\sigma_0} \right) \frac{10}{\sqrt{g_*(T_f)}} \ln \left(\frac{g_X M_{\text{Pl}}^* \cancel{M}_X \sigma_0}{(2\pi)^{3/2}} \right) \cdot \frac{1}{2h^2} \end{aligned}$$

WIMPs: discussion

$$\Omega_x = 0.1 \cdot \left(\frac{(10 \text{ TeV})^{-2}}{\sigma_0} \right) \frac{10}{\sqrt{g_*(T_f)}} \ln \left(\frac{g_x M_{\text{Pl}}^* M_x \sigma_0}{(2\pi)^{3/2}} \right) \cdot \frac{1}{2h^2}$$

this is Cold Dark Matter, $v_{RD/MD} \ll 10^{-3}$

WIMPs may form dark halos (clumps) much lighter than

dwarf galaxies

Weakly IMPs are mostly welcome (e.g. LSP in SUSY)

We can fully explore the model !!

lectures by S.Demidov

- Direct searches for Galactic Dark Matter ($v \sim 10^{-3}$)

$$X + \text{nuclei} \rightarrow X + \text{nuclei} + \Delta E$$

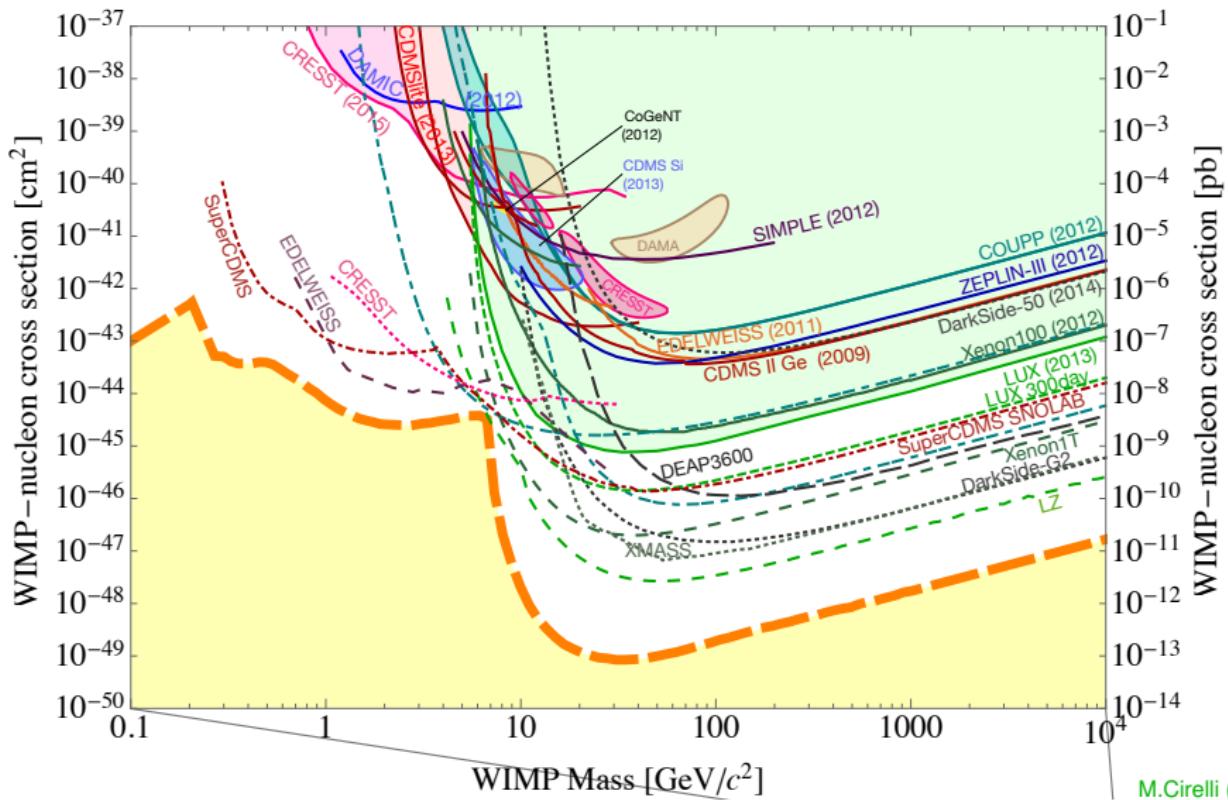
- Can search for WIMPs in cosmic rays: products of WIMPs annihilation (in Galactic center, dwarf galaxies, Sun)

$$X + \bar{X} \rightarrow p\bar{p}, e^+e^-, \nu, \gamma, \dots$$

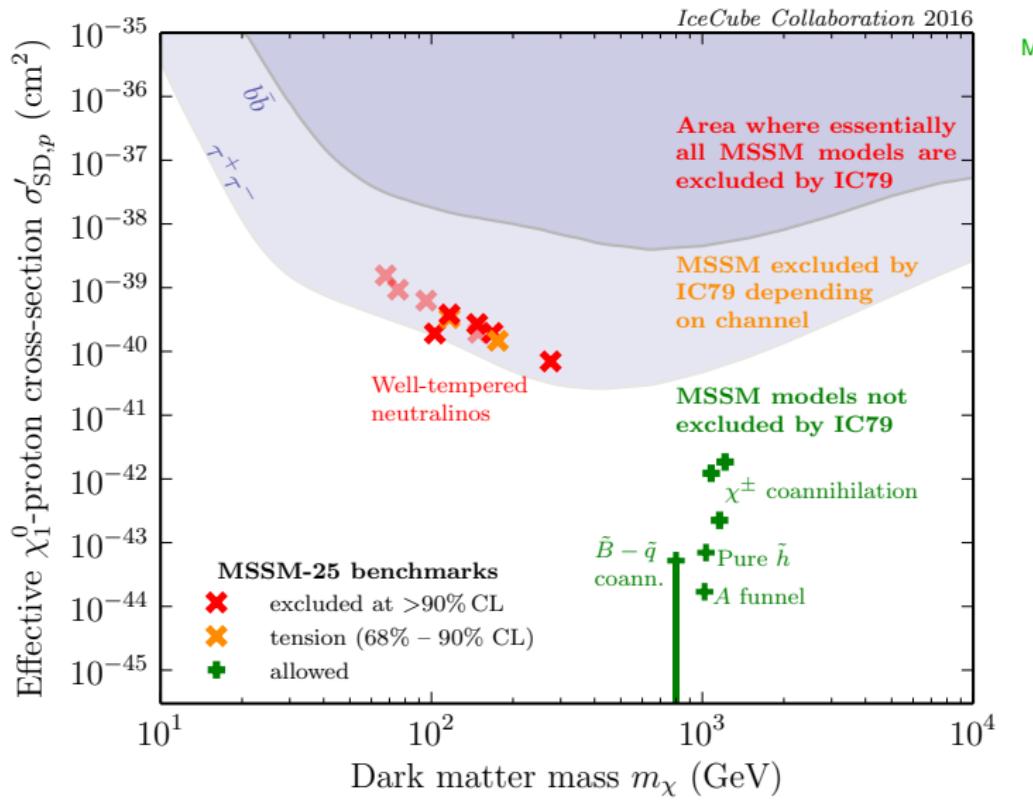
- Can search for WIMPs in collision experiments (LHC):

$$X + \bar{X} \leftrightarrow \text{SM} + \text{SM}' + \dots$$

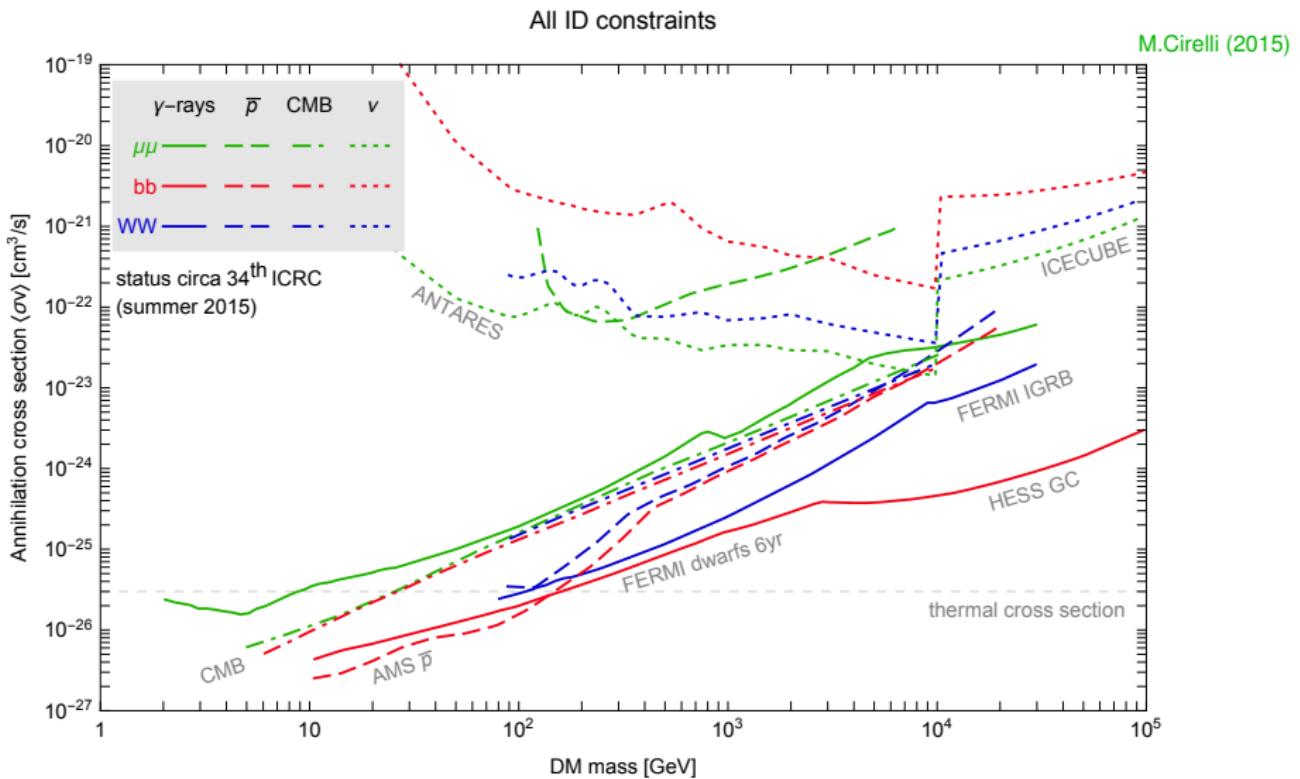
Prospects in WIMP searches



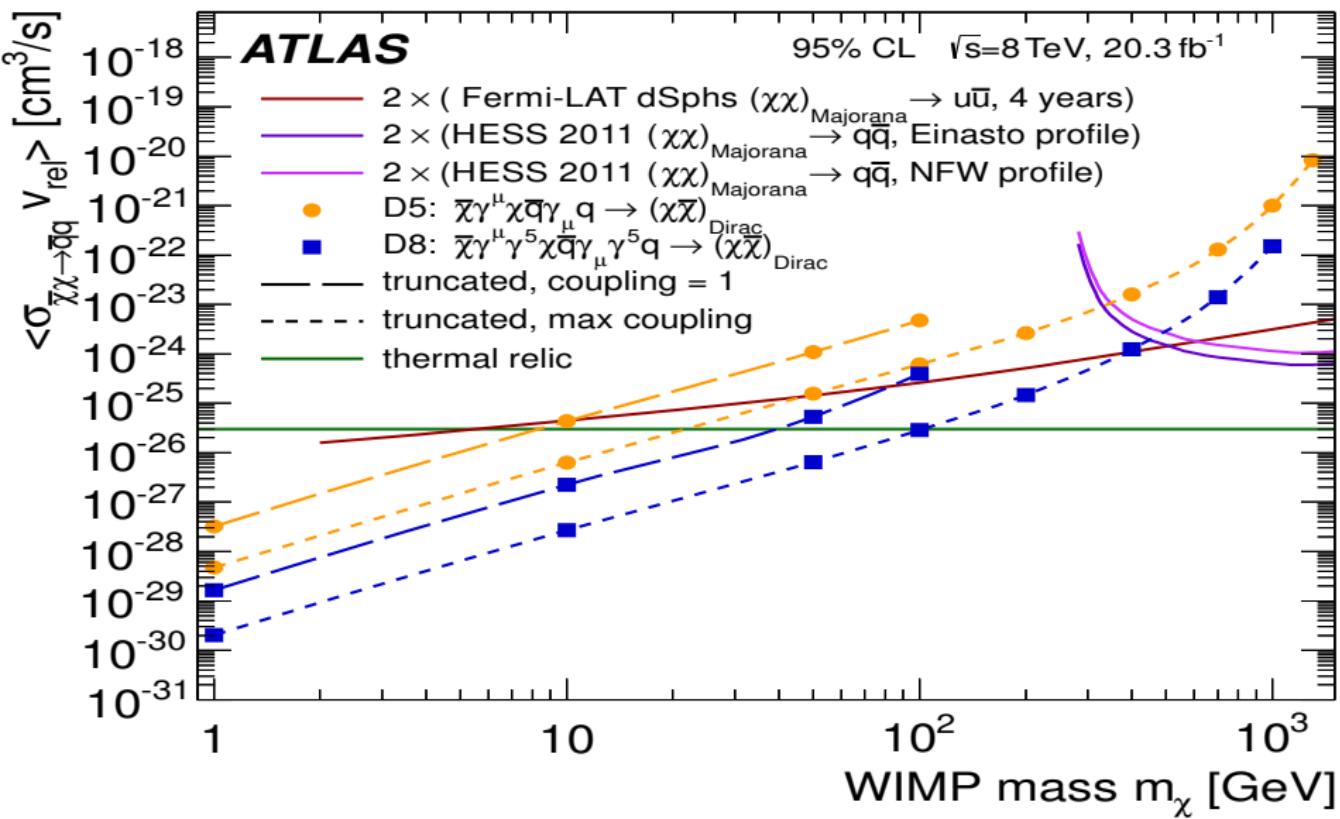
Constraining the DM model parameter space



Present indirect limits on DM annihilation (clumps..)



LHC limits for annihilation



If thermal CDM but not Weakly IMPs?

We still can study the model if DM annihilates (partly) into SM particles

- But DM particle X can be light and feebly coupled (t -channel)

$$\sigma_0 \sim \frac{\xi^4}{M_X^2}$$

ξ is not a gauge coupling within GUT !

- With small σ_0 one needs entropy production
- σ_0 may be increased by **s-channel resonance**, $M_Y \approx 2M_X$
- annihilation can be amplified by **co-annihilation channels**, $X + A \rightarrow SM$
- With light messengers between Dark and Visible sectors many estimates change, say $\sigma_0 = \sigma_0(v)$
- DM interaction at freeze-out and now are not the same
say, **Sommerfield enhancement** of the annihilation of slow particles $v \sim 10^{-3}$

Outline

- 1 Dark Matter properties
- 2 Thermal Dark Matter
- 3 Non-thermal candidates
- 4 Summary

Dark Matter: non-thermal production

- ➊ in the primordial plasma of SM particles
(via scatterings, oscillations):
gravitino
sterile neutrino of 1-50 keV
- ➋ at phase transitions:
axion of $10^{-4} - 10^{-7}$ eV
Q-balls
strangelets (?)
- ➌ during reheating (after inflation?):
 - ▶ perturbatively:
black holes
any guy coupled (only) to inflaton
 - ▶ non-perturbatively:
inflaton decays
production by external (inflaton) field
Bose-enhancement of
coherent production by external field
- ➍ while the Universe expands:
gravity produces any particles at $H \sim M_X$

Gravitino production

$$\mathcal{L} = \frac{1}{F} \partial^\mu \psi \cdot J_\mu^{SUSY}, \quad \tilde{G}_\mu \rightarrow \tilde{G}_\mu + i\sqrt{4\pi} \frac{M_{Pl}}{F} \partial_\mu \psi$$

$$m_{3/2} = \sqrt{\frac{8\pi}{3}} \frac{F}{M_{Pl}} \longleftrightarrow \Lambda = 0$$

$$1 \text{ TeV} \lesssim \sqrt{F} \lesssim M_{Pl}, \quad 2 \cdot 10^{-4} \text{ eV} \lesssim m_{3/2} \lesssim M_{Pl}$$

LSP in low scale SUSY breaking models

$$2 \cdot 10^{-4} \text{ eV} \lesssim m_{3/2} \lesssim 100 \text{ GeV} \longrightarrow \sqrt{F} \lesssim 10^{10} \text{ GeV}$$

Thermal equilibrium is forbidden

(fermion; would be hot DM):

$$\Omega_{3/2} = \frac{m_{3/2} \cdot n_{3/2}}{\rho_c} = 0.2 \left(\frac{m_{3/2}}{200 \text{ eV}} \right) \left(\frac{g_{3/2}}{2} \right) \left(\frac{210}{g_*(T_d)} \right) \frac{1}{2h^2}$$

Gravitino production in scatterings and decays

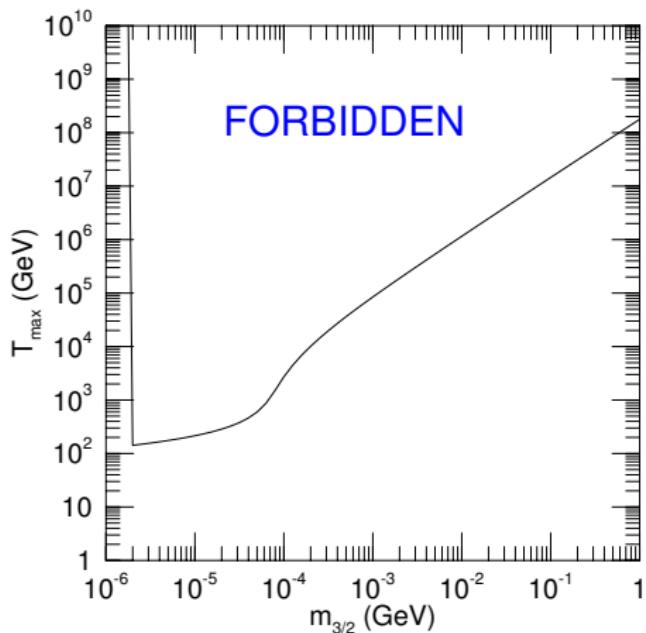
$$\tilde{X}_i \rightarrow \tilde{G} + X_i, \quad X_i + X_j \rightarrow \tilde{X}_k + \tilde{G}$$

$$\Gamma \propto \frac{1}{F^2} \propto \frac{1}{m_{3/2}^2}, \quad \sigma \propto \frac{1}{F^2} \propto \frac{1}{m_{3/2}^2}$$

$$\begin{aligned} \frac{dn_{3/2}}{dt} + 3Hn_{3/2} \\ = \sum_i \Gamma_{\tilde{X}_i} \cdot \gamma_i^{-1} \cdot n_{\tilde{X}_i} + \sum_{i,j} \langle \sigma_{ij} \rangle \cdot n_{X_i} n_{X_j}, \end{aligned}$$

$$\begin{aligned} \frac{d}{dT} \left(\frac{n_{3/2}}{s} \right) = - \sum_i \Gamma_{\tilde{X}_i} \cdot \frac{n_{\tilde{X}_i}}{\gamma_i \cdot sHT} - \sum_{i,j} \frac{\langle \sigma_{ij} \rangle \cdot n_{X_i} n_{X_j}}{sHT}, \\ \propto \frac{1}{T^3} \quad \propto \text{const} \end{aligned}$$

$$\begin{aligned} \Omega_{3/2} \sim & \left(\frac{200 \text{ keV}}{m_{3/2}} \right) \cdot \left(\frac{T_{max}}{10 \text{ TeV}} \right) \\ & \times \left(\frac{M_S}{1 \text{ TeV}} \right)^2 \cdot \left(\frac{15}{\sqrt{g_*(T_{max})}} \right) \cdot \frac{1}{2h^2} \end{aligned}$$



... issues of QFT description
of a gauge theory at finite temperature

Outcome depends on initial conditions !!!

Axion: Natural but fine-tuned

Theory and Nature:

$$\Delta \mathcal{L} \propto \theta G_{\mu\nu} G_{\lambda\rho} \epsilon^{\mu\nu\lambda\rho}$$

$$\theta < 10^{-9}$$

nonantropic parameter!

$$\theta \rightarrow \theta + a(x)/f_a$$

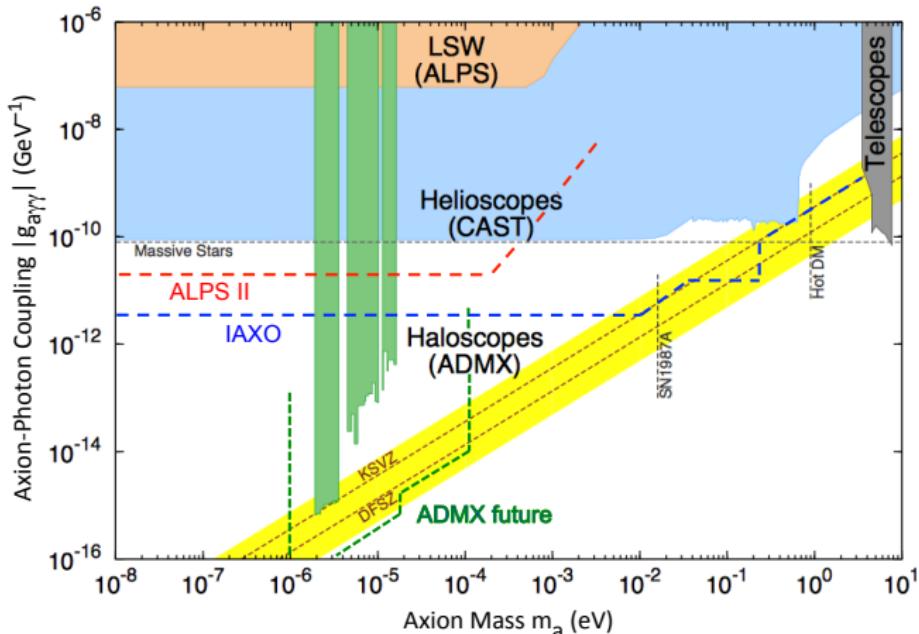
$$m_{\text{axion}} \simeq f_\pi m_\pi / f_a$$

$$\mathcal{L} \propto g_{a\gamma\gamma} \times a(x) F_{\mu\nu} F^{\mu\nu}$$

Dark Matter region

$$\frac{\Omega_{\text{axion}}}{\Omega_{DM}} = \bar{\theta}_i^2 \cdot \left(\frac{4 \cdot 10^{-6} \text{ eV}}{m_{\text{axion}}} \right)^{1.2}$$

\hat{O}_{n+4}/M_{Pl}^n make axion superheavy



P.W. Graham et al (2016)

Three Generations of Matter (Fermions) spin $\frac{1}{2}$

		I	II	III	
Quarks	mass →	2.4 MeV	1.27 GeV	171.2 GeV	
	charge →	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	
	name →	Left up Right	Left charm Right	Left top Right	
		d	s	b	
Leptons	mass →	4.8 MeV	104 MeV	4.2 GeV	
	charge →	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	
	name →	Left down Right	Left strange Right	Left bottom Right	
		ν_e	ν_μ	ν_τ	
Leptons	mass →	<0.0001 eV	~ 10 keV	~ 0.01 eV	$\sim \text{GeV}$
	charge →	0	0	0	
	name →	Left electron neutrino Right sterile neutrino	Left muon neutrino Right sterile neutrino	Left tau neutrino Right sterile neutrino	
		e	μ	τ	
Leptons	mass →	0.511 MeV	105.7 MeV	1.777 GeV	
	charge →	-1	-1	-1	
	name →	Left electron Right	Left muon Right	Left tau Right	
Bosons (Forces) spin 1					
Z^0					
91.2 GeV 0 0 0 weak force					
W^\pm					
80.4 GeV ± 1 spin 0 Higgs boson					

Seesaw mechanism: $M_N \gg 1 \text{ eV}$

With $m_{active} \lesssim 1 \text{ eV}$ we work in the seesaw (type I) regime:

$$\mathcal{L}_N = \bar{N} i\partial N - f \bar{L}_e^c \tilde{H} N - \frac{M_N}{2} \bar{N}^c N + \text{h.c.}$$

Higgs gains $\langle H \rangle = v/\sqrt{2}$ and then

$$\mathcal{V}_N = \frac{1}{2} \begin{pmatrix} \bar{v}_e & \bar{N}^c \end{pmatrix} \begin{pmatrix} 0 & v \frac{f}{\sqrt{2}} \\ v \frac{f}{\sqrt{2}} & M_N \end{pmatrix} \begin{pmatrix} v_e \\ N \end{pmatrix} + \text{h.c.}$$

For a hierarchy $M_N \gg M^D = v \frac{f}{\sqrt{2}}$ we have

flavor state $v_e = U v_1 + \theta N$ with $U \approx 1$ and

active-sterile mixing: $\theta = \frac{M^D}{M_N} = \frac{v f}{2 M_N} \ll 1$

and mass eigenvalues

$$\approx M_N \quad \text{and} \quad -m_{active} = \theta^2 M_N \ll M_N$$

Sterile neutrino: well-motivated keV-mass Dark Matter

- massive fermions giving mass to active neutrino through mixing (seesaw)

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

- unstable, $N \rightarrow \nu\nu\nu$ is always open
but exceeding the age of the Universe if

(applicable for $M_N < M_W$)

$$\theta^2 < 1.5 \times 10^{-7} \left(\frac{50 \text{ keV}}{M_N} \right)^5$$

- with seesaw constraint $m_a \sim \theta^2 M_N$

$$\tau_{N \rightarrow 3\nu} \sim 1 / \left(G_F^2 M_N^5 \theta_{\alpha N}^2 \right) \sim 1 / \left(G_F^2 M_N^4 m_\nu \right) \sim 10^{11} \text{ yr} (10 \text{ keV}/M_N)^4$$

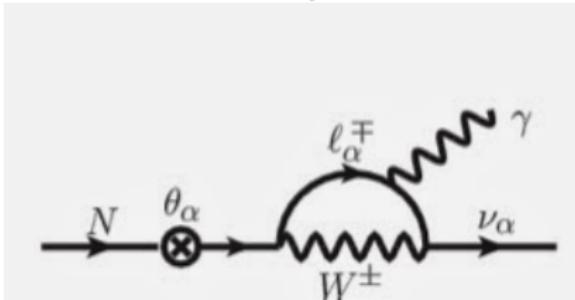
Sterile neutrino: indirect searches

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

- unstable, but exceeding the age of the Universe if

$$\frac{\theta^2}{3 \times 10^{-3}} < \left(\frac{10 \text{ keV}}{M_N} \right)^5$$

- DM sterile neutrinos can be searched at X-ray telescopes because of two-body radiative decay give limits in absence of the feature

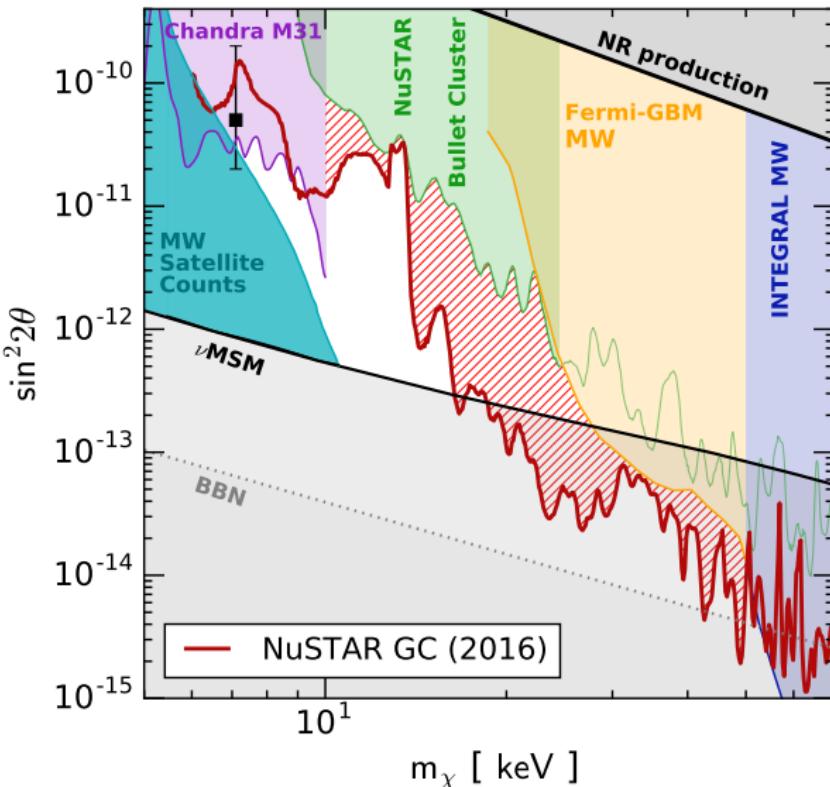


a narrow line ($\delta E_\gamma / E_\gamma \sim v \sim 10^{-3}$)
at photon frequency $E_\gamma = M_N / 2$

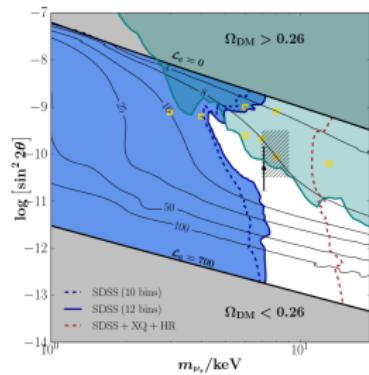
$$\frac{\theta^2}{10^{-11}} \lesssim \left(\frac{10 \text{ keV}}{M_N} \right)^4$$

... present searches

1609.00667, 1706.03118

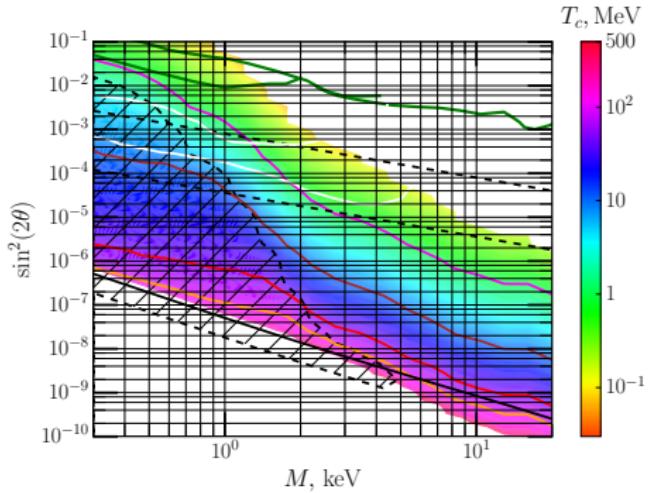
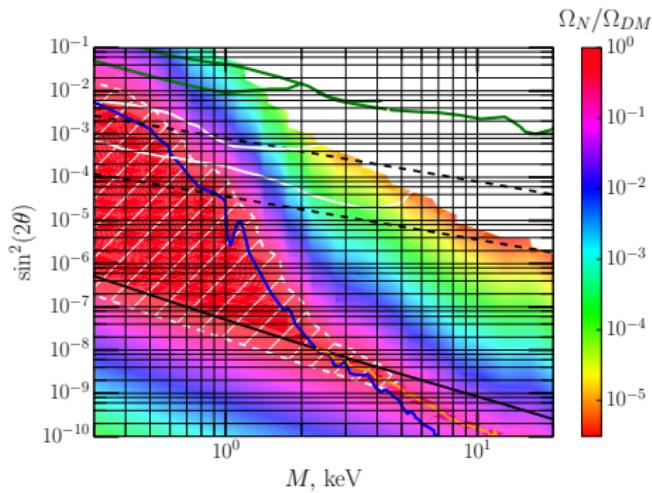


- upper limits on mixing: from X-ray searches
- lower limits on mass: from structure formation with $p_N \sim T$, DM free streaming too fast at $T = 1$ eV



Results

for details see [1705.02184](#)



Important:

$$m_a \sim \theta^2 M_N$$

- ① seesaw light sterile neutrino (dashed lines)
- ② can be directly tested !! (green and white lines)

Outline

- 1 Dark Matter properties
- 2 Thermal Dark Matter
- 3 Non-thermal candidates
- 4 Summary

Summary (I)

- ① We need DM both in past (cosmology)
and at present (astrophysics)
- ② For stability a symmetry is needed
- ③ There are claimed discrepancies between CDM simulations
and observations of small scale structures,
observations of central regions of dwarf galaxies
- ④ WDM? selfinteracting DM? no proof
- ⑤ Structures: DM cannot be hot (e.g. SM neutrinos can not help)
- ⑥ WIMPs (neutralino) are natural candidates for Cold Dark Matter
- ⑦ Much more options for WIMP-like candidates...
- ⑧ Generally, heavy and/or feebly coupled thermal relics
are forbidden !!