

# Lecture 1

## 1. KK reduction of SUGRA

String: 10D  $\rightarrow$  4D, compact internal space

$$M^{10} = \mathbb{R}^{1,3} \times K^6$$

↑  
6dim compact space

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu + A_{\mu a} dx^\mu dy^a + \Phi_{ab} dy^a dy^b$$

4D:            metric                                  vectors                                  scalars

if one compact direction:  $\Phi(x^\mu, y) = \sum_n \phi_n(x^\mu) e^{\frac{iny}{R}}$

$$0 = \nabla_\mu \nabla^\mu \Phi \Rightarrow \left( \nabla_\mu \nabla^\mu - \frac{n^2}{R^2} \right) \phi_n = 0 \quad m_n^2 = \frac{n^2}{R^2}$$

- keep only zero modes (massless)      for small R large masses
- mass is provided by other means
  - moduli stabilization
  - brane intersections
  - Higgs mechanism
  - ...

Consider SUGRA in (1+3) dimensions

- supersymmetry: Majorana-Weyl spinors  $\bar{\Psi} = \Psi^\dagger \Gamma_0 = \Psi^T C = \tilde{\Psi}$
- 16 - components:      can be chosen
  - real
  - chiral
- $E_+ \in 16_5$  - positive
- $E_- \in 16_6$  - negative

To keep spin after comp.  $\leq 2$  (no higher spins in 4D theory)

$\text{II A: } N = (1, 1) \quad (E_+, E_-)$ $\text{II B: } N = (2, 0) \quad (E_+^1, E_+^2)$		in 4D only Majoranas: $E \in (2, 1) \oplus (1, 2)$
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Field content:

$G_{\mu\nu}, B_{\mu\nu}, \Phi$ NSNS	$C_\mu, C_{\mu\nu\kappa}$ $C, C_{\mu\nu}, C_{\mu\nu\kappa\lambda}$ RR	$\psi_M^+, \psi_M^-, \lambda^+, \lambda^-$ $\psi_M^1, \psi_M^2, \lambda^1, \lambda^2$ fermions
----------------------------------------	-----------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------

$$S = \int d^{10}x e^{-2\phi} \sqrt{-G} \left( \frac{1}{2} R[G] + \frac{1}{4} G^{\mu\nu} \partial_\mu \Phi \partial_\nu \Phi - \frac{1}{12} H_{\mu\nu\kappa} H^{\mu\nu\kappa} + \dots \right)$$

$H_{\mu\nu\kappa} = 3 \partial_{[\mu} B_{\nu\kappa]} - 3$  term flux

## 2. Reduction on a torus $T^6$ :

$$X^M \rightarrow (X^M, y^a) \quad \text{so}(1,9) \hookrightarrow \text{so}(1,3) \times \text{so}(6)$$

$$10 \rightarrow (4, 1) + (1, 6)$$

Spinors :  $16_S \rightarrow (2, 1, 4) + (1, 2, \bar{4})$

$4, \bar{4}$  - chiral spinors of  $\text{so}(6)$

$$16_C \rightarrow (2, 1, \bar{4}) + (1, 2, 4)$$

$$\eta^+, \eta^-$$

$$A = \bar{1}, 16 \in A \Leftrightarrow (\epsilon^{\alpha a}, \epsilon^{\dot{\alpha} \dot{a}}) \quad \epsilon^+ = \epsilon^+ \otimes \eta^+ + \epsilon^- \otimes \eta^-$$

$$\dot{A} = 1, \bar{16} \in \dot{A} \Leftrightarrow (\epsilon^{\dot{\alpha} \dot{a}}, \epsilon^{\alpha a}) \quad \epsilon^- = \epsilon^- \otimes \eta^+ + \epsilon^+ \otimes \eta^-$$

SUSY in 4D :  $\begin{bmatrix} \epsilon^{\alpha a} \\ \epsilon^{\dot{\alpha} \dot{a}} \end{bmatrix}$  4 spinors ;  $\begin{bmatrix} \epsilon^{\dot{\alpha} \dot{a}} \\ \epsilon^{\alpha a} \end{bmatrix}$  4 spinors

SUSY transf in  $\mathbb{R}^{1,10}$  :

$$0 = \delta \psi_M^\pm = \nabla_M \epsilon^\pm$$

$$0 = \delta \lambda = \left( \not{\partial} \phi + \frac{1}{2} H_{MNC} \nabla^{MNC} \Gamma_{11} \right) \epsilon$$

$$\epsilon = \begin{bmatrix} \epsilon^+ \\ \epsilon^- \end{bmatrix}$$

BPS eqns

SUSY transf do not change the bg.

The problem:

for torus all BPS equations are satisfied for all spinors

$T^6$  gives  $N=8$  supergravity in 4D.

- non-chiral
- too restricted
- no interesting phenomenology
- flat potential for scalars  $V(\phi_b) = 0$

Ways to more realistic models:

- Manifolds which preserve less SUSY  $\Rightarrow$  CY (still non-chiral)
- additional constraints on string spectrum  $\Rightarrow$  orientifolds
- D-brane models (break SUSY, add masses)
- fluxes to stabilize scalar moduli ( $V(\phi_b) \neq 0$ , has minima)

### 3. Two-dim. $\sigma$ -model

1. RNS string and its spectrum.

bosonic action: 
$$S_B = -\frac{1}{4\pi\alpha'} \int d^2\sigma \sqrt{-h} h^{\alpha\beta} \partial_\alpha X^\mu \partial_\beta X_\mu$$
 (assume: the string is in a flat space)

introduce SUSY in 2 ways

- target space SUSY: Green-Schwarz superstring
  - world-volume SUSY: Ramond-Neveu-Schwarz superstring
- ↪ add superpartners of the worldvolume scalar fields  $X^\mu(\sigma, \tau)$

Consider  $N=(1,1)$  in  $d=2$ ; in dimensions  $S-t=0 \cong MW$   
 $\sigma$ -model with critical dimension  $D=10$   $d=(9,1)$ ;  $d=(1,1)$   
 $\text{IIA/B GSO projection}$

Spinors in  $d=2$ :  $\{\rho_\alpha, \rho_\beta\} = 2\eta_{\alpha\beta} = 2 \text{diag}[-1, +1]$

$$\rho_0 = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}; \rho_1 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}; \left. \begin{array}{l} A^{-1} \rho_\alpha A = \pm \rho_\alpha^T \\ C^{-1} \rho_\alpha C = \pm \rho_\alpha^T \end{array} \right\} \begin{array}{l} A \\ C = \rho_1 \end{array}$$

Majorana condition:  $\bar{\psi} = \psi$   
 $\psi^T A = \psi^T C \Rightarrow \psi^* = \psi; \psi \in \mathbb{R}^2$

$\psi = \begin{bmatrix} \psi_+ \\ \psi_- \end{bmatrix}; \omega = \rho_0 \rho_1 = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$  - chirality

SUSY:  $\{Q_\pm, Q_\pm\} = (H \pm P)$ ;  $N=(p, q)$ ;  $p Q_+, q Q_-$

in the superconformal gauge (remove gravitino, vielbein, etc.)

$$S = -\frac{1}{8\pi} \int d^2\sigma \left( \frac{2}{\alpha'} \partial_\alpha X^\mu \partial^\alpha X_\mu + 2i \bar{\psi}^\mu \rho^\alpha \partial_\alpha \psi_\mu \right)$$

remaining SUSY transformations:

$$\left\{ \begin{array}{l} \sqrt{\frac{2}{\alpha'}} \delta_\epsilon X^\mu = i \bar{\epsilon} \psi^\mu \\ \delta_\epsilon \psi^\mu = \frac{1}{\sqrt{2\alpha'}} \rho^\alpha \partial_\alpha X^\mu \cdot \epsilon \end{array} \right.$$

E.o.M's: 
$$\left\{ \begin{array}{l} \partial_\alpha \partial^\alpha X^\mu = 0 \\ \rho^\alpha \partial_\alpha \psi^\mu = 0 \end{array} \right.$$

#### 4. Boundary conditions:

$$\delta S = \text{EoM's} - \frac{1}{8\pi} \int d^2\sigma \partial_\alpha \left( \frac{4}{\alpha'} \delta X^M \partial^\alpha X_M + 2i \bar{\Psi}^M \rho^\alpha \delta \Psi_M \right)$$

$$\delta S_B = -\frac{1}{2\pi\alpha'} \int d\tau \left( \delta X^M \partial_\sigma X_M \Big|_{\sigma=l} - \delta X^M \partial_\sigma X_M \Big|_{\sigma=0} \right)$$

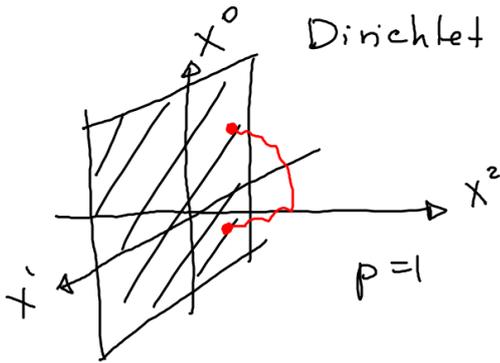
$$\delta S_F = -\frac{i}{4\pi} \int d\tau \left[ \left( \Psi_+^M \delta \Psi_{+M} - \Psi_-^M \delta \Psi_{-M} \right) \Big|_{\sigma=l} - \left( \Psi_+^M \delta \Psi_{+M} - \Psi_-^M \delta \Psi_{-M} \right) \Big|_{\sigma=0} \right]$$

#### 1) Bosonic

- closed string:  $X^M(\tau, \sigma+l) = X^M(\tau, \sigma)$

- open: Neumann:  $\partial_\sigma X^a = 0$  ( $a = 0, \dots, p$ )

- Dirichlet:  $\delta X^i(\tau, \sigma=0/l) = 0$  ( $i = p+1, \dots, 9$ )  
( $\partial_\tau X(\tau, \sigma=l) = 0$ )



#### 2) Fermionic

- closed string:

$$\Psi_+^M(\tau, \sigma+l) = \pm \Psi_+^M(\tau, \sigma)$$

$$\Psi_-^M(\tau, \sigma+l) = \pm \Psi_-^M(\tau, \sigma)$$

$$\begin{matrix} + \\ - \end{matrix} \left| \begin{matrix} R & R & NS & NS \\ R & NS & R & NS \end{matrix} \right. \text{ (can choose independently)}$$

Ramond  
Neveu-Schwarz

- open string:

$$\Psi_+(0) = \eta_1 \Psi_-(0); \quad \Psi_+(l) = \eta_2 \Psi_-(l)$$

$\eta_1$	+	+	-	-
$\eta_2$	+	-	+	-

R and NS boundary conditions

**EX** SUSY implies:  $\epsilon_+ = \pm \epsilon_-$  - kills half of the total SUSY  
BPS objects

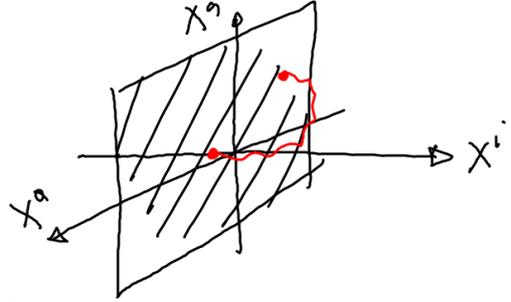
# 5. Oscillator expansion

## 1) Open string

boundary conditions:

$$NN: \partial_\sigma X^a(\tau, \sigma=0) = 0; \quad \partial_\sigma X^a(\tau, \sigma=l) = 0$$

$$DD: \delta X^i(\tau, \sigma=0) = 0; \quad \delta X^i(\tau, \sigma=l) = 0$$



$$a = \overline{0, p}$$

$$NN: X^a(\sigma, \tau) = x^a + \frac{2\pi\alpha'}{l} p^a \tau + i\sqrt{2\alpha'} \sum_{n \neq 0} \frac{1}{n} \alpha_n^a e^{-i\frac{n\pi\tau}{l}} \cos\left(\frac{n\pi\sigma}{l}\right)$$

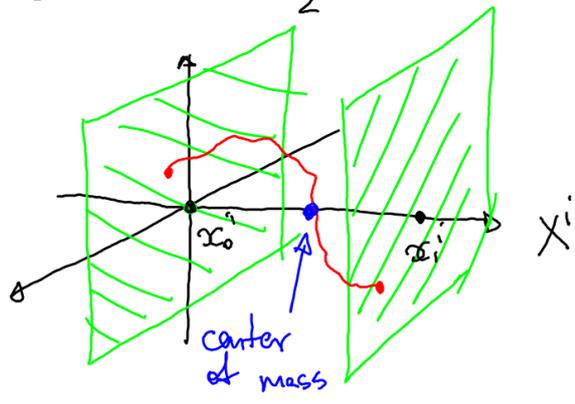
$$DD: X^i(\sigma, \tau) = x_0^i + \frac{1}{l} (x_1^i - x_0^i) \sigma + \sqrt{2\alpha'} \sum_{n \neq 0} \frac{1}{n} \alpha_n^i e^{-i\frac{n\pi\tau}{l}} \sin\left(\frac{n\pi\sigma}{l}\right)$$

center of mass momentum:

$$p^i = \int_0^l d\sigma \partial_\tau X^i = 0$$

center of mass position

$$q^i = \frac{1}{l} \int_0^l d\sigma X^i = \frac{x_0^i + x_1^i}{2}$$



For a single Dp-brane states are stuck to the brane

$$R: \psi_+^a(0) = +\psi_-^a(0)$$

$$NS: \psi_+^a(0) = -\psi_-^a(0)$$

$$\psi_\pm^m = \sum_{r \in \mathbb{Z}} b_r^m e^{\frac{i n \sigma \pm \tau}{l}} \quad \begin{matrix} r \in \mathbb{Z} : R \\ r \in \mathbb{Z} + \frac{1}{2} : NS \end{matrix}$$

## 2) Closed string

• bosons

$$\sigma_{\pm} = \sigma^0 \pm \sigma^1$$

$$\partial_{\pm} = \frac{1}{2} (\partial_0 \pm \partial_1)$$

$$\partial_{\alpha} \partial^{\alpha} X^{\mu} = 0 \Rightarrow \partial_{+-} X^{\mu} = 0$$

$$X^{\mu}(\sigma, \tau) = X^{\mu}_R(\sigma_-) + X^{\mu}_L(\sigma_+)$$

$$X^{\mu}_R(\sigma_-) = \frac{1}{2} X^{\mu} + \frac{\pi \alpha'}{e} p^{\mu} \sigma_- + i \sqrt{\frac{\alpha'}{2}} \sum_{n \neq 0} \frac{1}{n} \alpha_n^{\mu} e^{-\frac{2\pi i n}{e} \sigma_-}$$

$$X^{\mu}_L(\sigma_+) = \frac{1}{2} X^{\mu} + \frac{\pi \alpha'}{e} p^{\mu} \sigma_+ + i \sqrt{\frac{\alpha'}{2}} \sum_{n \neq 0} \frac{1}{n} \bar{\alpha}_n^{\mu} e^{-\frac{2\pi i n}{e} i \sigma_+}$$

$$\alpha_n^{\mu*} = \alpha_{-n}^{\mu}; \quad \bar{\alpha}_n^{\mu*} = \bar{\alpha}_{-n}^{\mu} \quad - \text{to have real functions}$$

Canonical momentum:

$$\Pi_{\mu} = \frac{\partial \mathcal{L}}{\partial \dot{X}^{\mu}}$$

EX check:

$$P_{\mu} = \int_0^e d\sigma \Pi_{\mu} = p_{\mu}; \quad q^{\mu}(\tau) = \frac{1}{e} \int_0^e d\sigma X^{\mu} = X^{\mu} + \frac{2\pi \alpha'}{e} p^{\mu} \tau$$

movement of the center of mass

$$J^{\mu\nu} = \int_0^e d\sigma (X^{\mu} \Pi^{\nu} - X^{\nu} \Pi^{\mu}) = L^{\mu\nu} + E^{\mu\nu} + \bar{E}^{\mu\nu}$$

$$L^{\mu\nu} = X^{\mu} p^{\nu} - X^{\nu} p^{\mu} \quad - \text{momentum of the center of mass}$$

$$E^{\mu\nu} = -i \sum_{n=1}^{\infty} \frac{1}{n} (\alpha_{-n}^{\mu} \alpha_n^{\nu} - \alpha_{-n}^{\nu} \alpha_n^{\mu})$$

• fermionic

$$\text{EoM's:} \quad \partial_+ \psi_-^{\mu} = 0; \quad \partial_- \psi_+^{\mu} = 0$$

$$R: \quad \begin{bmatrix} \psi_+ \\ \psi_- \end{bmatrix} = \sqrt{\frac{2\pi}{e}} \sum_{n \in \mathbb{Z}} \begin{bmatrix} b_n^{\mu} \\ b_n^{\mu} \end{bmatrix} e^{-\frac{2\pi i n}{e} \sigma_{\pm}}$$

$$NS: \quad \begin{bmatrix} \psi_+ \\ \psi_- \end{bmatrix} = \sqrt{\frac{2\pi}{e}} \sum_{r \in \mathbb{Z} + \frac{1}{2}} \begin{bmatrix} b_r^{\mu} \\ b_r^{\mu} \end{bmatrix} e^{-\frac{2\pi i r}{e} \sigma_{\pm}} \quad \text{adding of } \frac{1}{2} \text{ to } \sigma_{\pm} \text{ multiplies by } e^{\pm i\pi} = -1$$

Summary:

- Toroidal models have too many susy's and cannot stabilize moduli
- One needs more compl. manifolds and/or add. structures
- strings can end on D-branes
- various boundary conditions are possible

# Lecture 2 Quantization

## 1. Light cone quantization

- covariant canonical approach  $\rightarrow$  amplitudes
- path integral  $\rightarrow$  geometric aspects, non-pert. corrections etc.
- light cone framework  $\rightarrow$  easy and fast for mass spectrum

The problem with covariant quantization:

vector field:  $[A_\mu(t, \vec{x}), A_\nu(t, \vec{y})] = i \eta_{\mu\nu} \delta(\vec{x} - \vec{y})$

$$\downarrow$$

$$[a_\mu(\vec{p}), a_\nu^\dagger(\vec{q})] = 2\omega \eta_{\mu\nu} \delta(\vec{p} - \vec{q})$$

$$|\vec{p}\rangle_0 = a_0^\dagger |0\rangle \quad \langle \vec{p} | \vec{p} \rangle_0 = \langle 0 | a_0 a_0^\dagger | 0 \rangle = -2\omega < 0$$

One has to project out unphys states or <sup>negative norm state</sup>  
the corresponding operators

Choose light-cone gauge

$$X^M = (X^0, X^1, \dots, X^{D-1}) = (X^+, X^-, X^i) \quad i = 2, \dots, D-1$$

$$X^\pm = \frac{1}{\sqrt{2}} (X^0 \pm X^1) \quad ; \quad \psi^\pm = \frac{1}{\sqrt{2}} (\psi^0 \pm \psi^1)$$

$$\text{fix: } X^+ = \frac{2\alpha' p^+ \tau}{\ell} \quad ; \quad \alpha_0^+ = \bar{\alpha}_0^+ = \sqrt{\frac{\alpha'}{2}} p^+$$

$$\psi^+ = 0 \quad ; \quad b_n^+ = 0$$

ex Commutation relations for oscillation modes:

$$[q^-, p^+] = -i, \quad [q^i, p^j] = i \delta^{ij} \quad \leftarrow \alpha_0^i$$

$$n, m \neq 0 \quad [\alpha_n^i, \alpha_m^j] = n \delta^{ij} \delta_{n+m, 0} ;$$

$$\{b_r^i, b_s^j\} = \delta^{ij} \delta_{r+s, 0}$$

Ground state of NS string:  $\alpha_m^i |0\rangle_{NS} = 0 \quad m = 1, 2, \dots$

$$b_r^i |0\rangle_{NS} = 0 \quad r = \frac{1}{2}, \frac{3}{2}, \dots$$

R string:  $\alpha_m^i |0\rangle_R = 0 \quad m = 1, 2, \dots$

$$b_r^i |0\rangle_R = 0 \quad r = 1, 2, \dots$$

$$\{b_0^i, b_0^j\} = \delta^{ij} \quad \text{-- Clifford algebra of } \mathbb{R}^d$$

$|0\rangle_R$  fall into irreps of  $\text{Spin}(d)$

*r=0 - special care needed*

## 2. Mass spectrum

- open string between 2 Dp-branes

$$\alpha' m^2 = \sum_{n=1}^{\infty} \alpha_{-n}^M \alpha_n^M + \sum_{r>0} r b_{-r}^M b_r^M + \frac{1}{4\pi^2 \alpha'} (\Delta X)^2 + a$$

$$a = -\frac{1}{2} \alpha' NS$$

$$a = 0 \text{ R}$$

- closed string

$$\frac{1}{2} \alpha' m_L^2 = \sum_{n>0} \alpha_{-n}^i \alpha_n^i + \sum_{r>0} r b_{-r}^i b_r^i + a$$

$$\frac{1}{2} \alpha' m_R^2 = \sum_{n>0} \bar{\alpha}_{-n}^i \bar{\alpha}_n^i + \sum_{r>0} r \bar{b}_{-r}^i \bar{b}_r^i + a$$

$$a = \text{const}$$

$$m_L^2 = m_R^2 \quad \text{level matching constraint}$$

$D=10$  from  $SO(1, D-1)$  Poincare invariance

$$\Rightarrow \text{NS: } a = -\frac{1}{2}$$

$$\text{R: } a = 0$$

$[b_0^i, m^2] = 0 \Rightarrow$  mass states carry a repr. w.r.t. the Clifford algebra, generated by  $b_0^i$ .

3. Open string spectrum, D-brane

NS sector:  $\alpha_n^M |0; p^a\rangle_{NS} = 0$ ;  $b_{n-\frac{1}{2}}^M |0; p^a\rangle_{NS} = 0$   $n=1,2,\dots$   
 R sector:  $\alpha_n^M |0; p^a\rangle_R = 0$ ;  $b_n^M |0; p^a\rangle_R = 0$   $n=1,2,\dots$

$\{b_0^i, b_0^j\} = \delta^{ij} \Rightarrow$  ground state is an  $SO(p)$  spinor

$b_0^i = \frac{1}{\sqrt{2}} \Gamma^i$  - Clifford algebra of  $SO(p)$  - has MW spinors

real  $\Gamma^i = \begin{bmatrix} 0 & \gamma^{ia} \\ \tilde{\gamma}^{ib} & 0 \end{bmatrix}$ ; spinors  $\psi = \begin{bmatrix} \psi^a \\ \tilde{\psi}^a \end{bmatrix}$  chirality +  $\mathcal{P}_c$   
 chirality -  $\mathcal{P}_s$

Ramond ground state can be labelled:  $|a\rangle_R$   $\left\{ \begin{array}{l} |A\rangle_R \\ |\tilde{a}\rangle_R \end{array} \right.$

$b_0^i |a\rangle_R = \frac{1}{\sqrt{2}} \gamma^{ia} \tilde{a} |a\rangle_R$   $\left\{ \begin{array}{l} \alpha_n^i |A\rangle_R = 0 \\ b_n^i |A\rangle_R = 0 \end{array} \right.$   $n>0$   
 $b_0^i |\tilde{a}\rangle_R = \frac{1}{\sqrt{2}} \tilde{\gamma}^{ia} a |a\rangle_R$

$\alpha' m^2$	state	irrep of $SO(p)$		$(-1)^F$
NS sector				
$\frac{1}{4\alpha'}(pX)^2 - \frac{1}{2}$	$ 0; p^a\rangle_{NS}$	1	$\chi$	-
0	$b_{-1/2}^i  0; p^a\rangle$	$\mathcal{P}_s$	$A^M$	+
R sector				
0	$ a; p^a\rangle_R$	$\mathcal{P}_s$	$\psi$	+
0	$ \tilde{a}; p^a\rangle_R$	$\mathcal{P}_c$	$\tilde{\psi}$	-

tachyon

GSO:  
keep +'s

GSO projection: 1)  $N$   $D_p$  branes  $(-1)^F = +1$ :  $A^M_{IJ}; \psi_{IJ}$   $U(N)$  SYM  $\mathcal{N}=(1,0)$  SUSY in  $d=1+9$

2)  $D_p - \bar{D}_p$  brane  $(-1)^F = -1$   $\chi, \tilde{\psi}$  no SUSY

attraction and annihilation of the brane-antibrane system. instability

#### 4. Closed string spectrum, supergravity

NS sector:  $\alpha_{-n}^i$ ;  $b_{-n+\frac{1}{2}}^i$ ;  $n=1,2,\dots$  ground state  $|0\rangle_{NS}$  scalar

R sector:  $\alpha_{-n}^i$ ;  $b_{-n}^i$ ;  $n=1,2,\dots$  ground state  $|0\rangle_R$  - spinor

$$\textcircled{NS} \quad \frac{1}{2} \alpha' m^2 = \sum_{n=1}^{\infty} \alpha_{-n}^i \alpha_n^i + \sum_{n=1}^{\infty} (n-\frac{1}{2}) b_{-n+\frac{1}{2}}^i b_{n-\frac{1}{2}}^i - \frac{1}{2}$$

$$\bullet \frac{1}{2} \alpha' m^2 |0\rangle = -\frac{1}{2} |0\rangle \Rightarrow m^2(|0\rangle) = -\frac{1}{\alpha'} \text{ tachyon}$$

$$\textcircled{\bullet} \frac{1}{2} \alpha' m^2 b_{-1/2}^i |0\rangle = \frac{1}{2} b_{-1/2}^j b_{1/2}^j b_{-1/2}^i |0\rangle - \frac{1}{2} b_{-1/2}^i |0\rangle = 0 \text{ massless}$$

$$\{b_{-n}^i, b_n^j\} = \delta^{ij} \quad \{b_{\frac{1}{2}}^j, b_{-1/2}^i\}$$

$$\bullet \frac{1}{2} \alpha' m^2 \alpha_{-1}^i |0\rangle = \alpha_{-1}^j \alpha_1^j \alpha_{-1}^i |0\rangle - \frac{1}{2} \alpha_{-1}^i |0\rangle = \frac{1}{2} \alpha_{-1}^i |0\rangle$$

$$[\alpha_{-n}^i, \alpha_n^j] = n \delta^{ij} \quad [\alpha_{-1}^j, \alpha_1^i]$$

$$[\alpha_{-1}^i, \alpha_{-1}^j] = \delta^{ij} \quad m^2(\alpha_{-1}^i |0\rangle) = \frac{1}{\alpha'}$$

Massless state in the NS sector:  $\alpha_{-1/2}^i |0\rangle$  (only R mode)!

$$\textcircled{R} \quad \frac{1}{2} \alpha' m^2 = \sum_{n=1}^{\infty} \alpha_{-n}^i \alpha_n^i + \sum_{n=1}^{\infty} n b_{-n}^i b_n^i$$

$$\bullet \frac{1}{2} \alpha' m^2 |A\rangle_R = 0 \text{ - massless}$$

$$\bullet b_{-1}^i |A\rangle_R \ \& \ \alpha_{-1}^i |A\rangle_R \text{ - mass } \frac{2}{\alpha'}$$

Massless states of the closed string (both R & L modes)

$d/m^2$	State	irrep of so(9)	$(-1)^F$	$(-1)^{\tilde{F}}$
(NS, NS) - sector				
-2	$ 0\rangle_L \times  0\rangle_R$	<b>1</b>	-	-
0	$\bar{b}_{-1/2}^i  0\rangle_L \times b_{-1/2}^i  0\rangle_R$ $\delta_\sigma \quad \delta_\sigma$	$\delta_\sigma \times \delta_\sigma = 1 + 28 + 35_\sigma$ $\phi \quad B_{ij} \quad g_{ij}$	+	+
(R, R) - sector				
0	$ a\rangle_L \times  b\rangle_R$ $\delta_s \quad \delta_s$	$\delta_s \times \delta_s = 1 + 28 + 35_s$ $C_0 \quad C_2 \quad C_4^+$	+	+
	$ a\rangle_L \times  b\rangle_R$ $\delta_s \quad \delta_c$	$\delta_s \times \delta_c = \delta_\sigma + 56_\sigma$ $C_1 \quad C_3$	+	-
	$ \tilde{a}\rangle_L \times  b\rangle_R$ $\delta_c \quad \delta_s$	$\delta_c \times \delta_s = \delta_\sigma + 56_\sigma$ $C_1 \quad C_3$	-	+
	$ \tilde{a}\rangle_L \times  b\rangle_R$ $\delta_c \quad \delta_c$	$\delta_c \times \delta_c = 1 + 28 + 35_c$ $G \quad C_2 \quad C_4^-$	-	-
(NS, R)				
0	$\bar{b}_{-1/2}^i  0\rangle_L \times  a\rangle_R$ $\delta_\sigma \times \delta_s$	$\delta_\sigma \times \delta_s = \delta_c + 56_c$ $\lambda \quad \psi^i$	+	+
	$b_{-1/2}^i  0\rangle_L \times  \tilde{a}\rangle_R$ $\delta_\sigma \times \delta_c$	$\delta_\sigma \times \delta_c = \delta_s + 56_s$ $\lambda \quad \psi^i$	+	-
(R, NS)				
0	$ a\rangle_L \times b_{-1/2}^i  0\rangle_R$ $\delta_s \quad \delta_\sigma$	$\delta_s \times \delta_\sigma = \delta_c + 56_c$ $\lambda \quad \psi^i$	+	+
	$ \tilde{a}\rangle_L \times b_{-1/2}^i  0\rangle_R$ $\delta_c \quad \delta_\sigma$	$\delta_c \times \delta_\sigma = \delta_s + 56_s$ $\lambda \quad \psi^i$	-	+

$(-1)^F |0\rangle_{NS} = -|0\rangle_{NS}$   
 $(-1)^F |a\rangle_R = +|a\rangle_R$   
 $(-1)^F |\tilde{a}\rangle_R = -|\tilde{a}\rangle_R$

} (assign)  
 F - worldsheet fermion number

GSO projection

$(-1)^F = 1, (-1)^{\tilde{F}} = 1$  NS sector  
 $(-1)^F = 1, (-1)^{\tilde{F}} = \pm 1$  R sector

$$|a\rangle_L \times |b\rangle_R \iff \Psi_{ab} = \sum C_{i_1 \dots i_n} (\gamma^{i_1 \dots i_n})_a^c \mathcal{E}_{cb}$$

$$\Gamma^i = \begin{bmatrix} 0_a^b & \gamma_{aa'}^i \\ \tilde{\gamma}^{bb'} & 0_a^b \end{bmatrix}; \quad \gamma_{aa'}^i \gamma_{i_2 bb'}. \Psi_b^a \sim C^{i_1 i_2}$$

## 5. Gauge potentials and branes

IIA:	$\Phi, B_{ij}, g_{ij}$	$C_1, C_3$ $G_0, C_2, C_4^+$	$\lambda, \psi^i; \tilde{\lambda}, \psi^i$	nonchiral SUSY chiral SUSY
IIB:	$\Phi, B_{ij}, g_{ij}$		$\lambda_1, \lambda_2; \psi_1^i, \psi_2^i$	

interact with D-branes

Interaction with  $(p+1)$ -forms:

•  $p=0$ , particle  $S = -mc \int ds + \int A_p j^r dt$

$$e \int A_p \dot{X}^r dt = e \int A_p dx^r = e \int A_{(1)}$$

•  $p=1$ , string  $S = \int d^2\sigma (\sqrt{-h} h^{ab} G_{\mu\nu} + \epsilon^{ab} B_{\mu\nu}) \partial_a X^\mu \partial_b X^\nu$   
 $= \int d^2\sigma \sqrt{-h} h^{ab} G_{\mu\nu} \partial_a X^\mu \partial_b X^\nu + \int B_{(2)}$

•  $\forall p$   $p$ -brane  $S = \int d^{p+1}\xi \sqrt{-\det h_{ab}} + \int C_{(p+1)}$

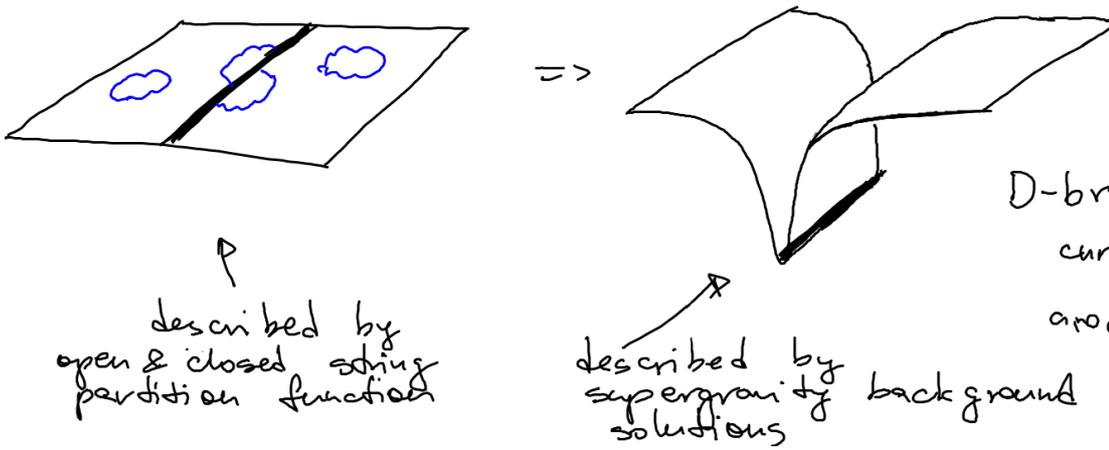
gauge invariance:  $\int C_{(p+1)}$  is not gauge inv. if the brane is not closed

IIA:	D0	D2	⋮	D4	D6
IIB:	D(-1) instanton	D1	D3	D5	D7

### Summary:

- open strings can end on multiple D-branes producing  $U(N)$  SYM on D-brane worldvolume
- open strings are bound to the wv of D-branes
- GSO projection removes tachyon
- tachyon is a sign of  $D-\bar{D}$  instability
- closed strings interact with D-branes via RR gauge fields

1. Geometry of D-branes



D-brane generates curved geometry around its world-volume

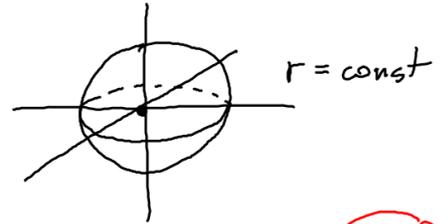
Backreaction on geometry  $\rightarrow$  supergravity description.

- $p=0$  example: charged black hole in 4d (Reissner-Nordström solution)

$$\int ds^2 = f(r) dt^2 - f(r)^{-1} dr^2 - r^2 d\Omega^2 ; f(r) = 1 - \frac{2M}{r} + \frac{Q^2}{r^2}$$

$A_0 = \frac{Q}{r}$  - electromagnetic potential

charge:  $q_e = \int_{\Sigma_{(2)}} *F_{(2)}$  ,  $q_m = \int_{\Sigma_{(2)}} F_{(2)}$  ;  
 electric magnetic



$$q_e = * \int F_{(2)} = \int \epsilon_{\mu\nu\rho\sigma} F^{\rho\sigma} dx^\mu dx^\nu = \int \sqrt{g} \epsilon_{\theta\phi} F^{\theta\phi} d\theta d\phi = \frac{Q}{r^2} Q$$

$q_m = 0$  (no magn. charge)

charge of the source

Solves EoM's with sources:

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = T_{\mu\nu} \quad T^{00} = (2M \delta^{(3)}(\vec{x}), 0, 0, 0)$$

$$\nabla_\mu F^{\mu\nu} = j^\nu \quad j^\mu = (q_e \delta^{(3)}(\vec{x}), 0, 0, 0)$$

equations of motion with sources

Effective action:  $S_{p=0} = -M \int ds + q_e \int A_{(1)}$

$$S_{Full} = \int d^4x \sqrt{g} (R[g] + F_{\mu\nu} F^{\mu\nu}) + S_{p=0}$$

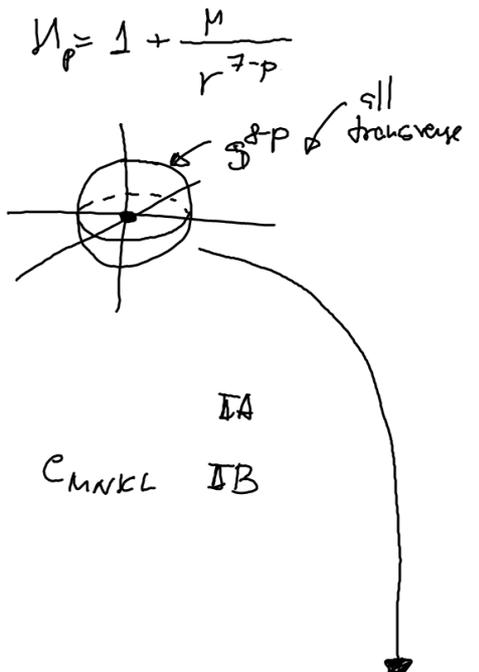
BPS:  $Q=M$   $f(r) = \left(1 - \frac{M}{r}\right)^2 = \left(1 + \frac{M}{r}\right)^{-2}$  ;  $r = M + \rho$

$$ds^2 = \eta^{-2} dt^2 - \eta^2 (d\rho^2 + \rho^2 d\Omega^2) ; \underbrace{(\partial_1^2 + \partial_2^2 + \partial_3^2)}_{\rho, \theta, \varphi} \eta(\rho) = \delta^{(3)}(\vec{x})$$

harmonic function

• 100: In supergravity: critical black p-brane solution (preserve  $\frac{1}{2}$  of supersymmetry)

- have  $9-p$  transverse directions
  - are defined by harmonic function
  - interact with  $(p+1)$ -form potential
- charge -  $q_e = \int_{S^{8-p}} \omega_{(8-p)} = \int_{S^{8-p}} *_{10} F_{(p+2)}$
- point-like in transverse



Supergravity:  $g_{MN}, B_{MN}, \Phi; C_M, C_{MN}, C_{MNL}$  IA  
 need to specify all these fields

Solutions:  $ds^2 = H_p^{-\frac{1}{2}} (\underbrace{dt^2 - dx^1^2 - \dots - dx^{p^2}}_{\text{world volume}}) - H_p^{\frac{1}{2}} (\underbrace{dr^2 + r^2 d\Omega_{(8-p)}^2}_{\text{transverse}})$

the only non-vanishing gauge field  $\left. \begin{aligned} C_{01\dots p} &= H^{-1} - 1 \\ e^{-2\phi} &= H^{\frac{p-3}{2}} \end{aligned} \right\}$

Charge:  $q = \int_{S^{8-p}} * F_{p+2} = \int_{S^{8-p}} \epsilon_{\mu_1 \dots \mu_{8-p}} \nu_{1\dots p+2} F^{\nu_1 \dots \nu_{p+2}} dx^{\mu_1} \dots dx^{\mu_{8-p}}$

$F_{012\dots pr} = -H^{-2} \partial_r H; F^{012\dots pr} = H^{\frac{p}{2}} F_{012\dots pr}$

$q \sim \int \underbrace{r^{8-p} d\Omega_{(8-p)}}_{\text{volume}} \cdot \underbrace{H^{-\frac{p}{2}+2}}_{\sqrt{-g}} \cdot \underbrace{(-H^2 \partial_r H)}_F \cdot H^{\frac{p}{2}} = (7-p) \mu \cdot \Omega_{(8-p)}$

$p=7$  - special case, log divergence

Such solutions preserve  $\frac{1}{2}$  of the total amount of SUSY:

IIA:  $g_{\mu\nu} = e_f^a e_b^\nu \eta_{ab}; B_{\mu\nu}; \Phi; C_{(2)}, C_{(3)}$   
 $\psi_\mu = \begin{bmatrix} \psi_\mu^+ \\ \psi_\mu^- \end{bmatrix}; \lambda = \begin{bmatrix} \lambda^+ \\ \lambda^- \end{bmatrix}$  - 32-comp non-chiral spinors

SUSY:  $\delta_\epsilon e_\mu^a = -i \bar{\epsilon} \Gamma^a \psi_\mu$  |  $\delta_\epsilon \psi_\mu = \nabla_\mu \epsilon - \frac{1}{8} \Gamma_{11} H_{\mu\nu\rho} \Gamma^{\nu\rho} \epsilon + \dots$   
 $\delta_\epsilon B_{\mu\nu} = -2i \bar{\epsilon} \Gamma_{[\mu} \Gamma_{\nu]} \psi_\nu$  |  $\delta \lambda = (\partial \phi + \frac{1}{12} \Gamma_{11} H) \epsilon + \dots$   
 $\vdots$

IIA:  $(1 \pm \Gamma_0 \dots \Gamma_p \sigma_p) \epsilon = 0$        $\sigma_p = i(-\Gamma_{11})^{\frac{p+2}{2}}$   
 IIB:  $(1 \pm \Gamma_0 \dots \Gamma_p \sigma_p) \epsilon^{12} = 0$        $\sigma_p = P_{\frac{p+3}{2}} ; P_n = \begin{cases} \sigma^1 & \text{even} \\ i\sigma^2 & \text{odd} \end{cases}$

EG

	0	1	2	3	4	5	6	7	8	9
D3	x	x	x	x	.	.	.	.	.	.

breaks  $SO(1,9) \leftarrow SO(1,3) \times SO(6)$   
 w-v th.      R-sym

$16_s \rightarrow (2, 1, 4) + (1, 2, \bar{4}) ; \epsilon_{1/2}^+ = (\epsilon^+ \otimes \eta^+)_{1/2} + (\epsilon^- \otimes \eta^-)_{1/2}$

Grammars: 4:  $\hat{\Gamma}_m = \gamma_m \otimes \mathbb{1} ; \gamma_5 = -i \gamma^0 \gamma^1 \gamma^2 \gamma^3 ; \gamma_5 \epsilon^\pm = \pm \epsilon^\pm$   
 6:  $\hat{\Gamma}_a = \gamma_5 \otimes \Gamma_a ; \hat{\Gamma}_0 \hat{\Gamma}_1 \hat{\Gamma}_2 \hat{\Gamma}_3 = i \gamma_5 \otimes \mathbb{1} ; \gamma_5^2 = 1$

$\sigma_3 = P_3 = i\sigma^2$   
 $(1 \pm (i\gamma_5 \otimes \mathbb{1}) \cdot P_3) \begin{bmatrix} \epsilon^1 \\ \epsilon^2 \end{bmatrix} = 0$   
 $\geq P_\pm ; P_\pm \cdot P_\pm = P_\pm ; P_+ \cdot P_- = 0$

the condition implies; } a projector  $\Rightarrow$  kills half of the space

$\epsilon^1 = i \gamma_5 \otimes \mathbb{1} \epsilon^2$       only one spinor survives

$\epsilon^{1\pm} = \epsilon_{1\pm}^+ \otimes \eta^+ + \epsilon_{1\pm}^- \otimes \eta^-$   
 $\epsilon^A = \begin{bmatrix} \epsilon_{1A}^{+A} \\ \epsilon_{1A}^{-A} \end{bmatrix} ; N=4 \text{ SUSY with } SU(4) \text{ R-symmetry}$

Theory on D3:  $N=4 \text{ D=1+3 SYM}$

- can we see this dynamically?
- why p can be  $> 3$  if the string spectrum produces only  $C_0, C_1, C_2, C_3, C_4$ ?

2. Dynamics of D-branes

$S_{F1} = \int d^2\sigma (\sqrt{-h} h^{ab} G_{\mu\nu} \partial_a X^\mu \partial_b X^\nu + \epsilon^{ab} B_{\mu\nu} \partial_a X^\mu \partial_b X^\nu)$   
 gauge transformation:  $\delta_\Lambda B_{\mu\nu} = 2 \partial_\mu \Lambda_\nu$  - superfield

$\delta_\Lambda S_{F1} = 2 \int d^2\sigma \epsilon^{ab} \partial_\mu \Lambda_\nu \partial_a X^\mu \partial_b X^\nu = 2 \int d^2\sigma \epsilon^{ab} \partial_a \Lambda_\nu \partial_b X^\nu =$   
 $= 2 \int d^2\sigma \epsilon^{ab} \partial_a (\Lambda_\nu \partial_b X^\nu) = 2 \int d\tau \Lambda_\nu \partial_\tau X^\nu \Big|_{\sigma=0}^{\sigma=\pi} =$   
 $= 2 \int d\tau \Lambda_\alpha \partial_\tau X^\alpha ; \Lambda_\alpha - \text{gauge transformation localized on } D_p$

Compensating field:  $\Delta S_{F1} = \int d\tau A_\alpha \partial_\tau X^\alpha$   
 $\delta_\Lambda A_\alpha = -\Lambda_\alpha ; \delta_\lambda A_\alpha = \partial_\alpha \lambda - \text{w-v gauge transf.}$

$$F_{ap} = 2 \partial_a \underline{A_p} ; \quad - \text{not gauge inv.}$$

$$F_{ap} = 2 \partial_a \underline{A_p} + B_{ap} - \text{gauge inv.} \quad B_{ap} = B_{\mu\nu} \frac{\partial X^\mu}{\partial y^a} \frac{\partial X^\nu}{\partial y^p} - \text{projection on the w.r.}$$

$$F_2 = dA_1 + B_2 ; \quad A_1 \text{ interacts with open string ends}$$

Open string action:

$$S = \frac{1}{4\pi\alpha'} \int d^2z \partial X^\mu \bar{\partial} X_\mu - i \int_0^{2\pi} d\theta \dot{x}^\mu A_\mu|_{r=1}$$

 Flux does not give simple change of the endpoint

Calculate effective action for disk string diagrams

Background field method:



$$X^\mu(z, \bar{z}) = X_B^\mu(z, \bar{z}) + X_b^\mu(\theta) = X_B^\mu(z, \bar{z}) + \xi_0^\mu + y(\theta) ;$$

$$\text{On the boundary } A_\mu(\xi + y) = -\frac{1}{2} F_{\mu\nu}(\xi) y^\nu + \dots$$

$$\text{In the partition function: } DX = \prod_{z, \bar{z} \in D^2} dX^\mu \cdot d\xi, \cdot \prod_{z, \bar{z} \in \partial D} dy$$

Integrate out string oscillations:  $\frac{dX^\mu}{dy^\mu}$

Bulk d.o.f.  $\uparrow$  center of mass  
boundary string d.o.f.

Effective action (exact in  $\alpha'$ , one-disk)

$$S_{\text{eff}} = \frac{1}{(4\pi\alpha')^{\frac{D-2}{2}} g_s} \int d^{10} \xi \sqrt{\det(\delta_{\mu\nu} + 2\alpha' d' F_{\mu\nu})} ;$$

static D9 with w.r.  $A_\mu$  field

For general  $D_p$  on general bg:

$$S_{D_p} = -T_p \int d^{p+1} \xi \sqrt{\det(g_{ab} + 2\alpha' d' F_{ab})} ; \quad T_p = \frac{1}{\sqrt{l'}} \frac{1}{(2\pi\alpha')^p}$$

$$\text{w.r.} \quad F_{ab} = 2 \partial_a \underline{A_b} + \frac{1}{2\alpha' d'} B_{ab} ;$$

$$\text{Pull back: } \left. \begin{aligned} g_{ab} &= \partial_a X^\mu \partial_b X^\nu g_{\mu\nu} \\ B_{ab} &= \partial_a X^\mu \partial_b X^\nu B_{\mu\nu} \end{aligned} \right\} \begin{array}{l} \text{interaction with closed} \\ \text{strings} \end{array}$$

### 3. Worldvolume gauge theory

choose gauge for w-r d.o.f.s:

$$X^\mu(\xi) = (\xi^0, \dots, \xi^p, \underbrace{\Phi^1(\xi), \dots, \Phi^{\frac{9-p}{2}}(\xi)}_{\Phi^I})$$

background:  $G_{\mu\nu} = \eta_{\mu\nu}, B_{\mu\nu} = 0$

(bending brane in flat space)

$$g_{ab} = \partial_a \Phi^\alpha \partial_b \Phi^\beta \delta_{\alpha\beta} + \eta_{ab}$$

consider D3

$$S = - \left( \frac{1}{(2\pi\alpha')^2} \frac{1}{g_s} \right) \int d^4 \xi \sqrt{-\det(\eta_{ab} + 2\alpha' \partial_a \Phi^\alpha \partial_b \Phi^\beta \delta_{\alpha\beta} + 2\alpha' d' F_{ab})}$$

$$= T_p \quad ; \quad T_p = \frac{1}{(2\pi\alpha')^2 g_s} \quad - \text{tension of the brane}$$

Using  $\log \det M = \text{Tr} \log M$  and expanding in field values:

$$S = - T_p \pi^2 d'^2 \int d^4 \xi \left( F^2 + \frac{1}{2\alpha'^2} \partial \Phi^2 \right) - T_p \cdot V_{p+1}$$

$$\Rightarrow \boxed{\left( \frac{g_{YM}}{2\alpha'} \right)^2 = T_p}$$

- On D3-brane w.r.v. :
- small field fluctuations give SYM
  - BG is flat (closed string space)

### 4. Coupling to RR potentials

IA action for:  $S_{RR} = \int_{M_{10}} \left[ -G_2 \wedge *G_2 - G_4 \wedge *G_4 + dC_3 \wedge dC_3 \wedge B_2 \right]$

RR fields

$$G_2 = dC_1 \quad ; \quad G_4 = dC_3 + H_3 \wedge C_1$$

gauge transf:

$$\delta B_2 = d\lambda_1$$

$$\delta C_1 = d\lambda_0 \Rightarrow \delta G_2 = 0$$

$$\delta C_3 = d\lambda_2 + H_3 \wedge \lambda_0 \Rightarrow \delta G_4 = 0$$

} the action is invariant

•  $\delta S_{D2} = \int \delta C_3 = \int H_3 \wedge \lambda_0 \neq 0$  not gauge inv.

• What are gauge potentials for D4, D6?

EOM for  $C_3$ :

$$d * G_4 - dC_3 \wedge H_3 = 0 \quad ; \quad *G_4 - C_3 \wedge H_3 = -dC_5$$

$$*G_4 = -G_6 \quad ; \quad G_6 = dC_5 + H_3 \wedge C_3$$

D4

EOM for  $C_1$ :

$$d * G_2 - H_3 \wedge *G_4 = 0 = d(*G_2 - H_3 \wedge C_5) = 0$$

$$*G_2 = G_8 \quad ; \quad G_8 = dC_7 + H_3 \wedge C_5$$

new potential / D6

BI for  $G_8 \Leftrightarrow$  EOM for  $G_2$

EM duality:

MW EOM:  $d * F_2 = 0 \Leftrightarrow \partial_\mu F^{\mu\nu} = 0$

BI:  $\left. \begin{aligned} d F_2 = 0 &\Leftrightarrow F_2 = dA_1 \\ \text{dualize } *F_2 = \tilde{F}_2 = d\tilde{A}_1 \end{aligned} \right\} \begin{aligned} d F_2 = 0 &\Leftrightarrow d * \tilde{F}_2 = 0 \\ d \tilde{F}_2 = 0 &\Leftrightarrow d * F_2 = 0 \end{aligned}$

$q_e = \int * F_2$  ;  $q_m = \int * \tilde{F}_2 = \int F_2$

electric magnetic

# Democratic formulation (pseudo-action)

$$S = \int_{M_{10}} [-G_2 \wedge *G_2 - G_4 \wedge *G_4 - G_6 \wedge *G_6 - G_8 \wedge *G_8]$$

- 1)  $\delta C_1: -d*G_2 + H_3 \wedge *G_4 = 0$
- 2)  $\delta C_3: -d*G_4 + H_3 \wedge *G_6 = 0$
- 3)  $\delta C_5: -d*G_6 + H_3 \wedge *G_8 = 0$
- 4)  $\delta C_7: -d*G_8 = 0$

additionally impose:

- $*G_8 = -G_2$
- $*G_6 = G_4$
- $*G_4 = -G_6$
- $*G_2 = G_8$

$$\rightarrow dG_2 = 0 \Rightarrow \boxed{G_2 = dC_1}$$

$$\textcircled{2}: 0 = -dG_4 - H_3 \wedge G_2 = -dG_4 - H_3 \wedge dC_1 \Rightarrow$$

$$\boxed{G_4 = dC_3 + H_3 \wedge C_1}$$

$$\textcircled{3}: 0 = dG_6 + H_3 \wedge G_4 = dG_6 + H_3 \wedge dC_3 \Rightarrow$$

$$\boxed{G_6 = dC_5 + H_3 \wedge C_3}$$

$$\textcircled{1}: 0 = -dG_8 - H_3 \wedge G_6 = -dG_8 - H_3 \wedge dC_5 \Rightarrow$$

$$\boxed{G_8 = dC_7 + H_3 \wedge C_5}$$

The same equations of motion

naive expression:  $\tilde{S}_{WZ} = \int d^{p+1} \xi C_{p+1}$  not gauge invariant under

$$\delta_\lambda C_{p+1} = d\lambda_p + H_3 \wedge \lambda_{p-2}$$

$$\delta_\lambda \tilde{S}_{WZ} = \int d^{p+1} \xi H_3 \wedge \lambda_{p-2}$$

$$\hat{S}_{WZ} = \int d^{p+1} \xi \left( C_{p+1} + B_2 \wedge C_{p-1} + \frac{1}{2} B_2 \wedge B_2 \wedge C_{p-3} + \dots \right)$$

$$\delta_\lambda \hat{S}_{WZ} = \int d^{p+1} \xi \left( d\lambda_p + \cancel{H_3 \wedge \lambda_{p-2}} + B_2 \wedge d\lambda_{p-2} + \underbrace{B_2 \wedge H_3 \wedge \lambda_{p-4}}_{d(B_2 \wedge \lambda_{p-2}) - \cancel{H_3 \wedge \lambda_{p-2}}} \right)$$

$\hat{S}_{WZ}$  not gauge inv. under  $\delta_\lambda B_2 = d\Lambda$

fix:  $B_2 \rightarrow F_2$  ← completely gauge invariant

$$\boxed{S_{WZ} = \int d^{p+1} \xi [e^{F_2} \wedge e]_{p+1}}$$

- gauge inv. WZ term.

Lecture 4 Open string on D-branes intersecting at angles

1. Boundary conditions

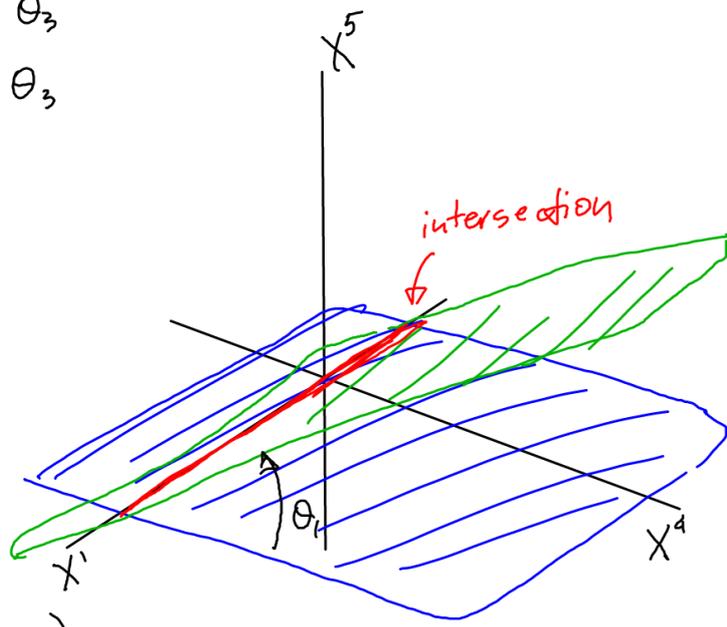
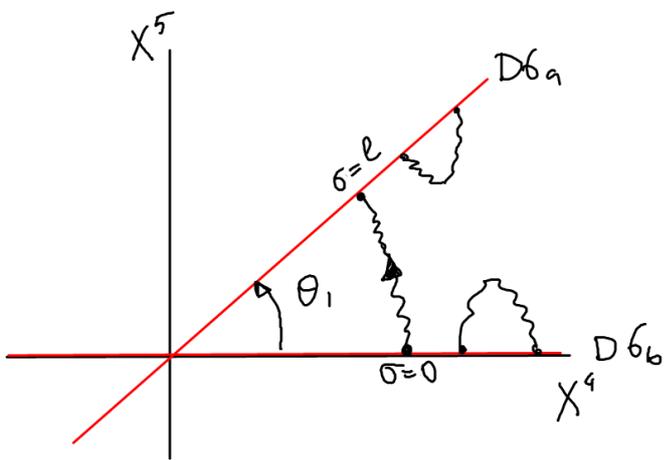
$D_p$  branes carries  $D=(p+1)$  SYM (maximal)

Possible 4dim truncations: • take  $D3 \rightarrow$  non-chiral theory with too much SUSY

- multiple branes which intersect at  $\frac{\pi}{2}$  (possibly unstable)
- intersection at general angle.

2 D6 branes on  $\mathbb{R}^{1,9} \times T^2 \times T^2 \times T^2$

	0	1	2	3	4	5	6	7	8	9
$D6_a$	x	x	x	x	$\theta_1$		$\theta_2$		$\theta_3$	
$D6_b$	x	x	x	x	$\theta_2$		$\theta_3$		$\theta_3$	



Boundary conditions (string b/w two branes)

$$\left. \begin{aligned} \partial_\sigma X^4 = 0 & \quad N \\ \partial_\tau X^5 = 0 & \quad D \end{aligned} \right\} \sigma = 0$$

rotated boundary conditions  
 $\downarrow$   
 twisted string spectrum

$$\left. \begin{aligned} \partial_\sigma (X^4 \cos \theta_1 + X^5 \sin \theta_1) = 0 & \quad N \\ \partial_\tau (-X^4 \sin \theta_1 + X^5 \cos \theta_1) = 0 & \quad D \end{aligned} \right\} \sigma = l$$

at  $\sigma=0$ :  
satisfied

$$X^4 = i \sqrt{2\alpha'} \sum_n \frac{1}{n} \alpha_n \cos\left(\frac{n\sigma\pi}{l}\right) e^{\frac{i n \tau}{l}}$$

$$X^5 = \sqrt{2\alpha'} \sum_n \frac{1}{n} \beta_n \sin\left(\frac{n\sigma\pi}{l}\right) e^{\frac{i n \tau}{l}}$$

at  $\sigma=l$ :

$$-\alpha_n \sin(\pi n) \cdot \cos \theta_1 + \beta_n \cos(\pi n) \sin \theta_1 = 0$$

$$-\alpha_n \cos(\pi n) \sin \theta_1 + \beta_n \sin(\pi n) \cos \theta_1 = 0$$

$n \in \mathbb{Z}$   
does NOT solve

looks like sum of angles:  
 $\hookrightarrow$

$$1) \alpha_n = +\beta_n \Rightarrow \alpha_n \sin(\pi n - \theta) = 0 \Rightarrow n_+ = m + \frac{\theta}{\pi}, m \in \mathbb{Z}$$

$$2) \alpha_n = -\beta_n \Rightarrow \alpha_n \sin(\pi n + \theta) = 0 \Rightarrow n_- = m - \frac{\theta}{\pi}, m \in \mathbb{Z}$$

## 2. Oscillator expansion:

$$X^4 = i\sqrt{2\alpha'} \sum_{n \neq 0} \left( \frac{\alpha_{n+}}{n+} \cos \frac{n+\theta\pi}{e} e^{\frac{i(n+\theta)\tau}{e}} + \frac{\alpha_{n-}}{n-} \cos \frac{n-\theta\pi}{e} e^{-\frac{i(n-\theta)\tau}{e}} \right)$$

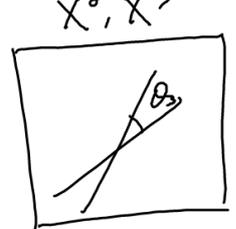
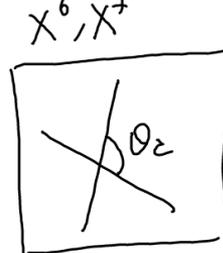
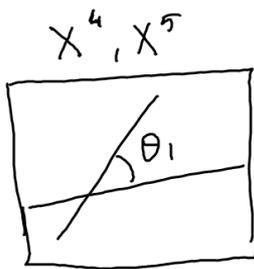
$$X^5 = \sqrt{2\alpha'} \sum_{n \neq 0} \left( \frac{\alpha_{n+}}{n+} \sin \frac{n+\theta\pi}{e} e^{\frac{i(n+\theta)\tau}{e}} - \frac{\alpha_{n-}}{n-} \sin \frac{n-\theta\pi}{e} e^{-\frac{i(n-\theta)\tau}{e}} \right)$$

Check:  $\theta = 0$ :  $\alpha_{n+} \pm \alpha_{n-}$  will be osc. in  $N$  and  $D$  directions

In total one has

1)  $X^0, X^1, X^2, X^3$ :  $\alpha_{-n}^a, \alpha_n^a$  - Neum. directions,  $n \in \mathbb{Z}$   
 $\psi_{-r}^a, \psi_r^a$ ,  $r \in \mathbb{Z} + \frac{1}{2}$  NS  
 $0 \in \mathbb{R} \rightarrow$  ground state ferm.

2)  $X^4, X^5, \theta_1$   $\alpha_{n_{\pm}}^1, \psi_{r_{\pm}}^1$ ,  $n_{\pm} = m \pm \frac{\theta_1}{\pi}$ ,  $r_{\pm} = r \pm \theta_1 + \frac{1}{2}$   
 $X^6, X^7, \theta_2$   $\alpha_{n_{\pm}}^2, \psi_{r_{\pm}}^2$ ,  $n_{\pm} = m \pm \frac{\theta_2}{\pi}$ ,  $r_{\pm} = r \pm \theta_2 + \frac{1}{2}$   
 $X^8, X^9, \theta_3$   $\alpha_{n_{\pm}}^3, \psi_{r_{\pm}}^3$ ,  $n_{\pm} = m \pm \frac{\theta_3}{\pi}$ ,  $r_{\pm} = r \pm \theta_3 + \frac{1}{2}$  } in twisted directions  $\psi^I = \frac{\theta^I}{\pi}$



Commutation relations:  $[\alpha_n, \alpha_m] = n \delta_{n+m} \Rightarrow [\alpha_n, \alpha_{-n}] = n > 0$   
 $\{\psi_r, \psi_s\} = \delta_{r+s}$  ↑ raising

$$[\alpha_{n_{\pm}}^I, \alpha_{n'_{\mp}}^J] = n_{\pm} \delta_{n+n'} \delta^{IJ} \quad n \in \mathbb{Z}, I, J = 1, 2, 3$$

$$\{\psi_{r_{\pm}}^I, \psi_{s_{\mp}}^J\} = \delta_{r+s} \delta^{IJ}; \quad r \in \mathbb{Z} + \frac{1}{2} \text{ NS}$$

string types:  $aa, bb$  - localized on  $D6_a$  &  $D6_b$ , produce  $D=1+6$  SYM  
 $ab, ba$  - localized on the intersection  
 produce  $D=1+3$  theory

## 3. Spectrum

$$d' M^2 = N_B + N_F + a \sum_I \sqrt{I} - a; \quad a = \frac{1}{2} NS$$

$$N_B = \sum_{n>0}^{d=1+3} \alpha_{-n}^a \cdot \alpha_n^a + \sum_{I=1}^3 \left[ \sum_{n>0} (\alpha_{-n+}^I \alpha_{n+}^I + \alpha_{-n-}^I \alpha_{n-}^I) + \alpha_{-V^I}^I \alpha_{V^I}^I \right]$$

$$N_F^R = \sum_{r \in \mathbb{Z} + \frac{1}{2}} r \psi_{-r}^a \psi_r^a + \sum_{I=1}^3 \left[ \sum_{r>0} (r_+ \psi_{-r_+}^I \psi_{r_+}^I + r_- \psi_{-r_-}^I \psi_{r_-}^I) + \theta^I \psi_{-V^I}^I \psi_{V^I}^I \right]$$

$$N_F^{NS} = \sum_{r>0} r \psi_{-r}^a \psi_r^a + \sum_{I=1}^3 \sum (r_+ \psi_{-r_+}^I \psi_{r_+}^I + r_- \psi_{-r_-}^I \psi_{r_-}^I) \quad r_{\pm} = n \pm \sqrt{I} + \frac{1}{2} \text{ NS}$$

• NS sector:  $(\alpha_n^a, \psi_{n+\frac{1}{2}}^a, \alpha_{n+}^I, \alpha_{n-}^I, \psi_{n\pm\frac{1}{2}}^I) |0\rangle_{NS} \rightarrow$  ground state

Mass $d'M^2$	State	Field	$(-1)^F$
$\frac{1}{2}(\sum_I V^I - 1)$	$ 0\rangle_{NS}$	massive scalar $\tau$	-1
$\frac{1}{2}\sum_I V^I$	$\psi_{-\frac{1}{2}}^a  0\rangle_{NS}$	massive vector $B^a$	+1
$\frac{1}{2}(-V^1 + V^2 + V^3)$	$\psi_{-\frac{1}{2}+}^1  0\rangle_{NS}$	} $\Phi^{1,2,3,4}$ massive scalars	+1
$\frac{1}{2}(V^1 - V^2 + V^3)$	$\psi_{\frac{1}{2}+}^2  0\rangle_{NS}$		+1
$\frac{1}{2}(V^1 + V^2 - V^3)$	$\psi_{\frac{1}{2}+}^3  0\rangle_{NS}$		+1
$1 - \frac{1}{2}\sum_I V^I$	$\psi_{-\frac{1}{2}+V^1}^1, \psi_{-\frac{1}{2}+V^2}^2, \psi_{-\frac{1}{2}+V^3}^3  0\rangle_{NS}$		+1

$$d'M^2 \psi_{-\frac{1}{2}+V^I}^I |0\rangle_{NS} = (\frac{1}{2} \mp V^I) + \frac{1}{2}(V^1 + V^2 + V^3) - \frac{1}{2} = \frac{1}{2} \sum_I V^I \mp V^I$$

with - has lower mass

• R sector

$\{\psi_0^a, \psi_0^b\} = \delta^{ab}$  - 1+3 dim  $\delta$ -matrices  
 ground state:  $|d\rangle_R, |\bar{d}\rangle_R$  - 1+3 dim chiralities

Mass	State	Field	$(-1)^F$
0	$ d\rangle_R$	4d spinor left $\psi$	+1
0	$ \bar{d}\rangle_R$	4d spinor right $\bar{\psi}$	-1
$\sum_I V^I$	$\psi_{-\frac{1}{2}}^1 \psi_{-\frac{1}{2}}^2 \psi_{-\frac{1}{2}}^3  d\rangle_R$	4d massive spinor $\xi$	+1
$\sum_I V^I$	$\psi_{-\frac{1}{2}}^1 \psi_{-\frac{1}{2}}^2 \psi_{-\frac{1}{2}}^3  \bar{d}\rangle_R$	4d massive spinor $\bar{\xi}$	-1

• Open string between  $D6_a - D6_b$  provides chiral massless fermion!

- Condition for supersymmetry:  $D6_b: \epsilon_L = \Gamma^0 \Gamma^1 \Gamma^2 \Gamma^3 \Gamma^4 \Gamma^5 \Gamma^6 \epsilon_R$   
 $\Gamma^{4'}$  is  $\Gamma^4$  rotated by  $\theta^1$   $D6_a: \epsilon_L = \Gamma^0 \Gamma^1 \Gamma^2 \Gamma^3 \Gamma^{4'} \Gamma^{6'} \Gamma^{8'} \epsilon_R$

$\exists$   $SO(6)$  rotation  $\Theta: D6_a \rightarrow D6_b \Rightarrow \Gamma^{4'} \Gamma^{6'} \Gamma^{8'} = \Theta^{-1} \Gamma^{468} \Theta$

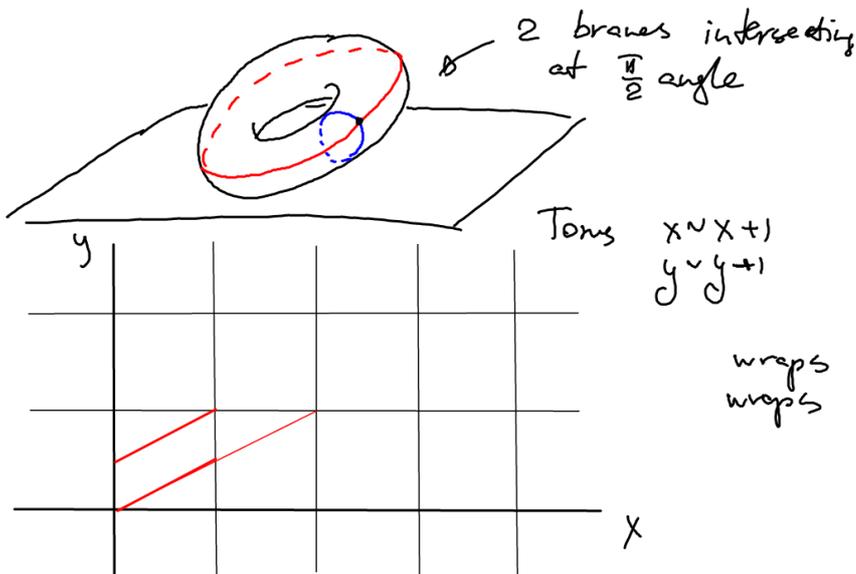
Subgroup of  $SO(6)$  which preserves 1 spinor is  $SU(3) \Rightarrow$  SUSY:  $\Theta \epsilon = \epsilon$   
 $(\theta_1, \theta_2, \theta_3)$  must give an  $SU(3)$  element inside  $SO(6)$   
 $(\theta_1 \pm \theta_2 \pm \theta_3) \bmod 2\pi = 0 \Rightarrow (\nu_1 \pm \nu_2 \pm \nu_3) \bmod 2 = 0$

- $\nu_1 + \nu_2 + \nu_3 = 0 \Rightarrow$  massless:  $(B^a, \psi, \dot{\xi})$   $N=1$  vector
- other signs &  $\nu_1 + \nu_2 + \nu_3 = 2$  massless  $(\Phi^{\frac{1}{2}}, \psi)$   $N=1$  chiral  
*what is needed for string building*

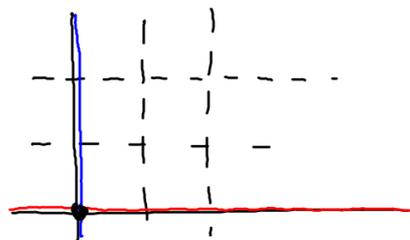
- Summary 1:
- brane intersections provide massive particles
  - D6 model gives  $d=4$  theory with realistic spectrum
  - D6 can model  $N=1$  SUSY  $\Rightarrow$  MSSM-like models

#### 4. Brane wrappings and generations

The space:  $\mathbb{R}^{1,4} \times \mathbb{T}^2 \times \mathbb{T}^2 \times \mathbb{T}^2$

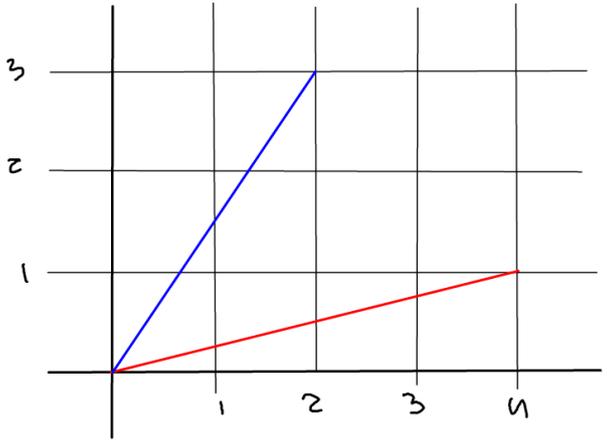


branes on branes can intersect multiple times, depending on winding numbers



denote branes by integer primes  
 $(m, n) \in \mathbb{Z}^2$

Multiple branes:

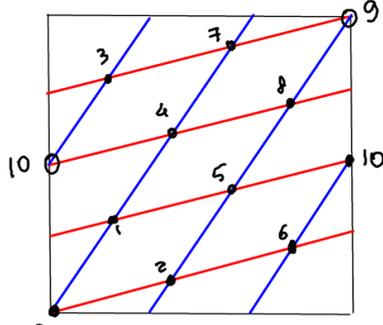


$l_1 = (4, 1), l_2 = (2, 3)$

how many intersections?

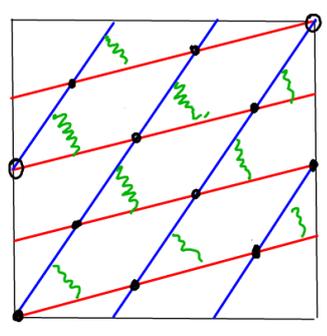
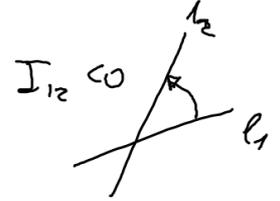
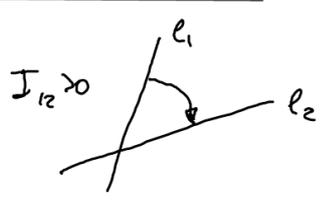
10 intersections

Coincident branes have 0 intersections



$$I_{12} = \det \begin{bmatrix} l_2 & l_1 \end{bmatrix} = \det \begin{bmatrix} 2 & 4 \\ 3 & 1 \end{bmatrix}$$

$\uparrow$   
 a topological number of the configuration



- 10 different types of strings b/w 2 branes
- each has the same spectrum
- $I_{12}$  generations
- all localized in 1+3 dimension since  $\phi$ 's are small

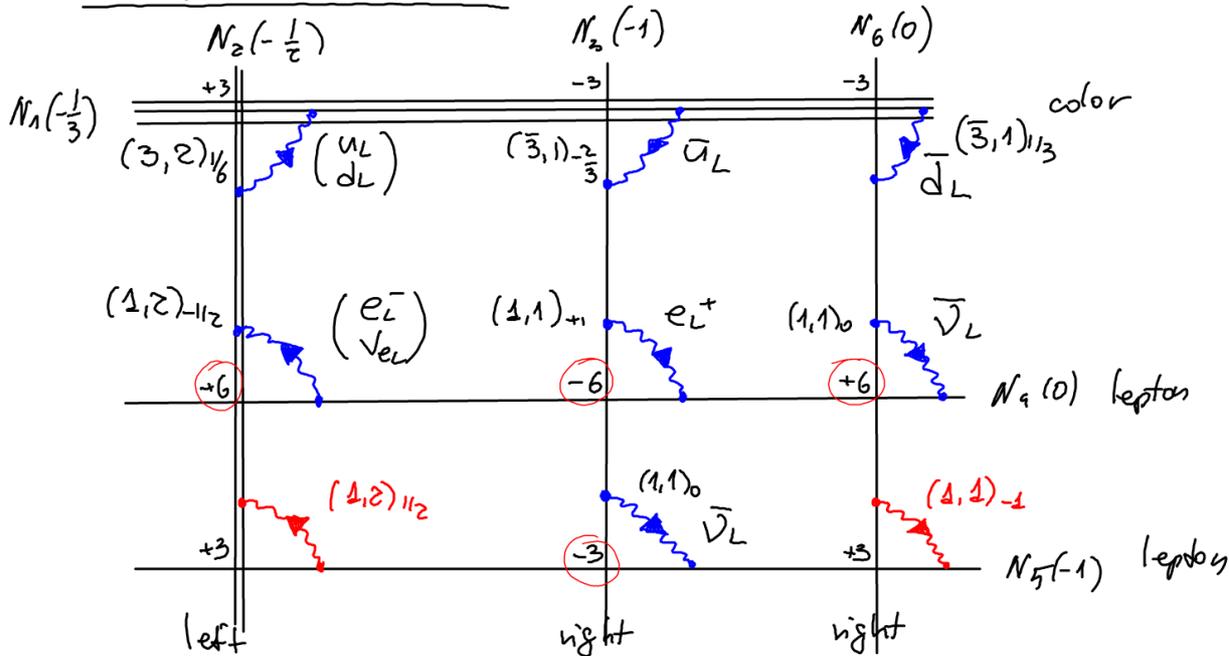
5. Standard model particle content:

the group is  $SU(3)_c \times SU(2) \times U(1)$   
 $(q_c, q_w)_Y$

multiplet	fields and generations
$(3, 2)_{1/6}$	$\begin{bmatrix} u_L \\ d_L \end{bmatrix} \quad \begin{bmatrix} c_L \\ s_L \end{bmatrix} \quad \begin{bmatrix} t_L \\ b_L \end{bmatrix}$
$(\bar{3}, 1)_{-2/3}$	$\bar{u}_L \quad \bar{c}_L \quad \bar{t}_L$
$(\bar{3}, 1)_{1/3}$	$\bar{d}_L \quad \bar{s}_L \quad \bar{b}_L$
$(1, 2)_{-1/2}$	$\begin{bmatrix} \nu_{eL} \\ e_L^- \end{bmatrix} \quad \begin{bmatrix} \nu_{\mu L} \\ \mu_L^- \end{bmatrix} \quad \begin{bmatrix} \nu_{\tau L} \\ \tau_L^- \end{bmatrix}$
$(1, 1)_1$	$e_L^+ \quad \mu_L^+ \quad \tau_L^+$
$(1, 1)_0$	$\bar{\nu}_{eL} \quad \bar{\nu}_{\mu L} \quad \bar{\nu}_{\tau L}$
$(1, 2)_{1/2}$	$h$

- 3 generations
- 3 gauge groups
- left and right have different properties
- $Y$  is different

## 6. D6 brane model



- $\begin{pmatrix} U_L \\ D_L \end{pmatrix}$  :  $\left. \begin{array}{l} \bullet \text{ ends on } N_1 = 3 \text{ color branes} \\ \bullet \text{ starts on } N_2 = 2 \text{ left branes} \end{array} \right\} (3, 2)$
- $\bullet Y = -\frac{1}{3} - (-\frac{1}{2}) = -\frac{1}{3} + \frac{1}{2} = \frac{1}{6}$
  - $\bullet$  intersection numbers:

branes	$T_1^2$	$T_2^2$	$T_3^2$
color $N_1 = 3$	$(1, 2)$	$(1, -1)$	$(1, -2)$
left $N_2 = 2$	$(1, 1)$	$(1, -2)$	$(-1, 5)$
right $N_3 = 1$	$(1, 1)$	$(1, 0)$	$(-1, 5)$
leptonic $N_4 = 1$	$(1, 2)$	$(-1, 1)$	$(1, 1)$
leptonic $N_5 = 1$	$(1, 2)$	$(-1, 1)$	$(2, -7)$
right $N_6 = 1$	$(1, 1)$	$(3, -4)$	$(1, -5)$

$$I_{12} = \det \begin{bmatrix} 1 & 1 \\ 2 & 1 \end{bmatrix} \times \det \begin{bmatrix} 1 & 1 \\ -1 & -2 \end{bmatrix} \times \det \begin{bmatrix} 1 & -1 \\ -2 & 5 \end{bmatrix} =$$

$$= (1-2) \times (-2+1) \times (5-2) = 3 \text{ generations of left quarks}$$

- $\bullet$  Spectrum is reproduced
- $\bullet$  RR cancellation condition is satisfied
- $\bullet$  Adding orientifolds gives image branes  $\Rightarrow$  reduces extra states
- $\bullet$  need electroweak symmetry breaking (brane rotation and recombination)
- $\bullet$  still huge choice in brane wrapping numbers, non-dynamical
- $\bullet$  sizes of div and moduli stabilization
- $\bullet$  hierarchy problem

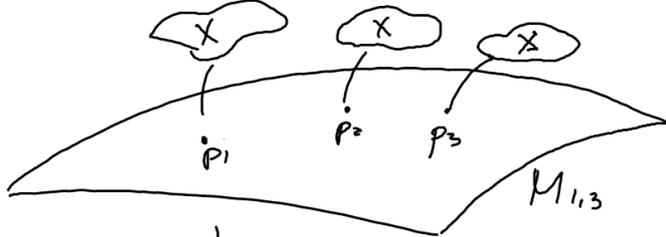
Lecture 5. Cosmological models with branes

String + Supergravity compactification problem:  $10 = 4 + 6$

- issues with compactification
- cosmological issues

1) Issues with compactification

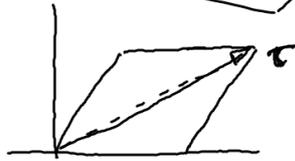
Choose space as  $M_{1,3} \times X_6$



Moduli  $\rightarrow$  fields on  $M_{1,3}$

EG:  $Vol(X) (x^0, x^1, x^2, x^3)$   
 $\uparrow$   
 volume modulus

EG:  $\mathbb{T}^2$



complex structure modulus on  $\mathbb{T}^2$

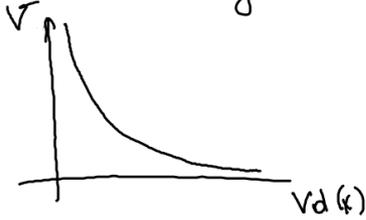
the way of defining complex coord. on  $\mathbb{T}^2$

moduli for CY's:

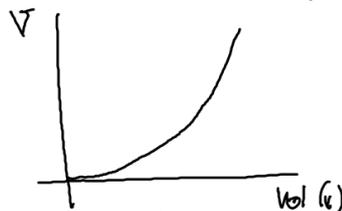
- complex structure
- Kähler

$\leftarrow$  way of defining  $\omega_{CY}$  on the manifold

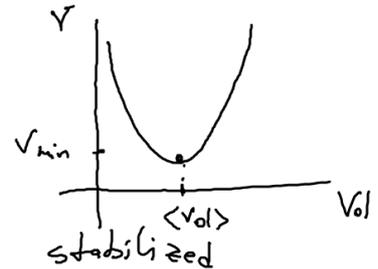
$\hookrightarrow$  in 4d theory = scalar fields with a potential



decompactify



breaks the model



stabilized

One (or many) of the moduli acts as the inflaton

2) Cosmological constraints

- $N \sim 60$  e-foldings  $\frac{9}{a_0} \sim e^{60}$
- slow-roll single field inflation



$$\mathcal{L} = \sqrt{-g} \left( -\frac{1}{2} (\partial\phi)^2 - V(\phi) \right)$$

slow-roll;  $\left\{ \begin{array}{l} \frac{1}{2} \dot{\phi} \ll V - \text{kinetic term does not contr.} \\ \ddot{\phi} \ll M \dot{\phi} - \text{large friction} \end{array} \right.$

$$\left. \begin{array}{l} \epsilon = \frac{1}{2} \left( \frac{V' M_{Pl}}{V} \right)^2 \ll 1 \\ \eta = \frac{V'' M_{Pl}^2}{V} ; |\eta| \ll 1 \end{array} \right\} \text{conditions for slow-roll inflation}$$

Inflation candidates:

- distance between branes
- orientation of branes
- size and deformations of the internal manifold
- axion field

fields from

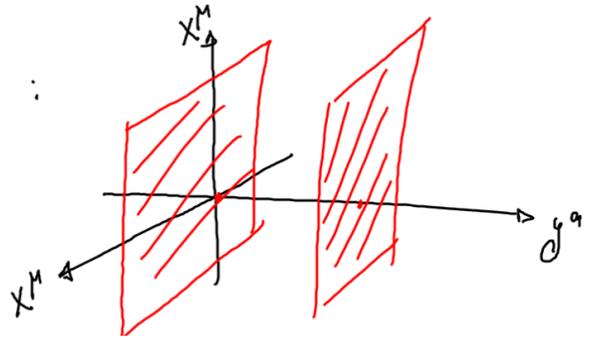
- open string (brane inflation)
- closed string (moduli inflation)

## 2. D3-D3 brane inflation

open string between D3 branes

mass	field	GSO
$\frac{1}{\alpha' a^2} (\Delta X)^2 - \frac{1}{2}$	$X$	-
0	$A^M$	+
0	$\psi$	+
0	$\bar{\psi}$	-

set-up :



GSO = -1 : D3-D3 unstable config.

### Estimate potential between D3 (D3) branes

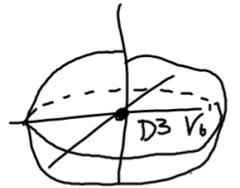
- gravitational :

$$g_{00} = H^{-1/2} \sim 1 - \frac{M}{2r^4}$$

$$V_g = -\frac{M}{4r^4}$$

- from RR charge

$$\star d \star F_5 = j_4 \Rightarrow \int_{V_6} dF_5 = \int_{V_6} \star j_4 = \sum_i Q_i \text{ inside } V_6$$



1. If  $V_6$  is compact  $\Rightarrow \partial V_6 = 0 \Rightarrow \sum_i Q_i = 0$

charges should be compensated inside the compact space (transverse)

2. If the transverse space is non-compact

The potential  $V_R = \pm \frac{M}{4r^4}$  ;

$$V_{GR} \sim -\frac{M}{r^4} (1 \pm 1) \quad \begin{matrix} \text{D3-D3} \\ \text{D3-D3 do not interact} \end{matrix}$$

$\bar{D}3$  moves towards the D3 brane distance  $r$  can be inflation

total :  $V_{\text{tot}} = T_3 \left( 1 - \frac{1}{8\pi^2 r^4 T_3} \right)$

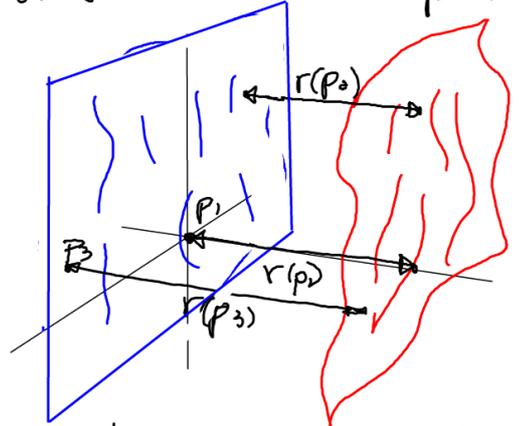
mass  $M_S^2 = \alpha'^{-1}$  ;  $l_S = 2\pi \alpha'$  ;  $T^{-1} = 2\pi \alpha'$   
length tension of string

$T_3 = \frac{1}{(2\pi)^3 \alpha'^2 g_s}$  - tension of the D3 brane

The potential is valid when;

$M_s^{-1} \ll r \ll d$ ,  $d$  - size of the transverse compact space  
 instability  $\uparrow$  simple geometry

Kinetic term for the inflaton  
 inflaton field  $r(x^M)$



brane is allowed to have small fluctuations

DBI action:

$$S_{DBI} = -T_3 \int d^4 \xi \sqrt{|\det h_{ab}|}$$

$$h_{ab} = \partial_a X^M \partial_b X^N G_{MN}$$

gauge fix  $\xi^a = x^a$

Minkowski external space

$$X^M = (x^M, \bar{y}^a)$$

coord. of the antibrane

$$\det (\eta_{ab} + \partial_a \bar{y}^a \partial_b \bar{y}^b \delta_{ab})$$

$$= 1 + \eta^{ab} \partial_a \bar{y}^a \partial_b \bar{y}^b \delta_{ab} + \dots$$

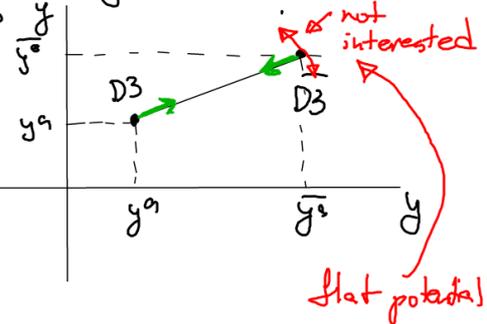
$$= 1 + \eta^{ab} \partial_a r \partial_b r$$

$$r(x)^2 = (\bar{y}^a - y^a)^2$$

interested only in transverse movements

$$\bar{y}^a - y^a = r \cdot n^a$$

only one modulus of the configuration is relevant



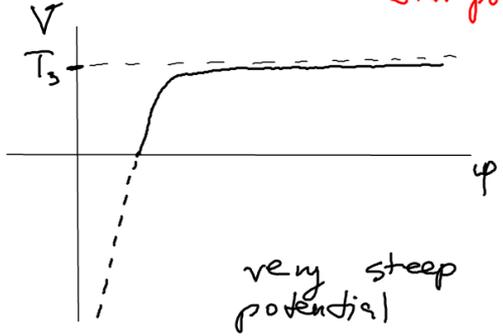
$$\mathcal{L} = -T_3 \left( 1 + \frac{1}{2} (\partial r)^2 \right) + \frac{T_3}{8\pi^4 r^4}$$

$$\phi = \sqrt{T_3} \cdot r$$

$$\mathcal{L} = -\frac{1}{2} (\partial \phi)^2 - \left( T_3 - \frac{c}{\phi^4} \right)$$

$$c = \frac{T_3^2}{8\pi^2}$$

chaotic inflation



The tachyon:  $d^4 m_\chi^2 = \frac{1}{4\pi \alpha' T_3} \varphi^2 - \frac{1}{2}$   
 brane-antibrane annihilation ends inflation and kills the inflaton

Slow-roll parameter  $\eta = \frac{V'' M_{pl}^2}{V} \approx -\frac{20c M_{pl}^2}{T_3 \phi^6}$

4 dim Planck mass:  $M_{pl}^2 = (8\pi G_N)^{-1}$ ;  $\frac{1}{16\pi G_{10}} \int d^{10} x \sqrt{-g_{10}} R_{10} \rightarrow \frac{1}{16\pi G_N} \int d^4 x \sqrt{-g_4} R_4$

$G_{10}^{-1} = G_N^{-1} \cdot \text{Vol}_6$ ;  $G_{10}^{-1} = T_3^2 \alpha'^3$  ← from field theory limit of ST

$M_{pl}^2 = \pi^2 T_3^2 \text{Vol}_6$

all together:  $\eta \approx 2 \frac{\text{Vol}_6}{r^6} \approx \left( \frac{L}{r} \right)^6$   $r \gg L$  brane movement larger than space size

### 3. Warped brane models

Way out of the large  $\eta$  problem: consider warped geometries

- closed string moduli (fluxes, geometry)
- additional sources (D-branes, O-planes)

Full 10D geometry:  $ds^2 = h(y)^{-1/2} g_{\mu\nu} dx^\mu dx^\nu + \underbrace{h(y)^{1/2} \tilde{g}_{mn}(y)}_{g_{mn}(y) \text{ internal metric}} dy^m dy^n$

IIB theory:

$$S_{\text{IIB}} = \frac{1}{2\kappa_{10}^2} \int d^{10}x \sqrt{g} \left[ R - \frac{2\hat{\rho} \tau \partial^\mu \tau}{2(\partial_\mu \tau)^2} - \frac{G_3 \wedge * G_3}{12 \partial_\mu \tau} - \frac{F_5 \wedge * F_5}{4 \cdot 5!} \right]$$

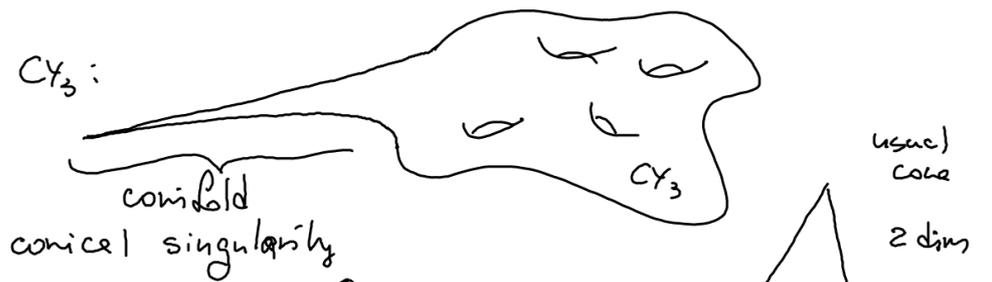
$$+ \frac{1}{8\pi \kappa_{10}^2} \int \frac{1}{\partial_\mu \tau} C_4 \wedge G_3 \wedge G_3 ; \quad G_3 = F_3 - \tau H_3 ; \quad \tau = C_0 + i e^{-\phi}$$

$H_3 = dB_2 ; \quad F_3 = dC_2 ; \quad F_5 = dC_4 + \frac{1}{2} B_2 \wedge F_3 - \frac{1}{2} C_2 \wedge H_3$  - gauge inv. field str

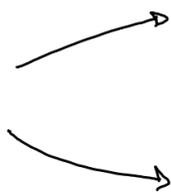
$dF_5 = H_3 \wedge F_3$  - important for brane back reaction

Very particular class of  $CY_3$ :  
with long throat

Conifold  $\sum_{i=1}^4 \omega_i^2 = 0$



resolution:



$\Rightarrow \sum_{i=1}^4 \omega_i^2 = z \neq 0$  (complex structure moduli)

$X_5 = S^3 \wedge S^2 = \frac{SU(2) \times SU(2)}{U(1)} = T^{1,1}$  coset space

Approximately:

$ds^2 = h^{1/2}(r) (dr^2 + r^2 dS_{X_5}^2)$

To keep EoM's solved:

$\frac{1}{4\pi^2 \alpha'} \int_A F_3 = M \in \mathbb{Z} ; \quad \frac{1}{4\pi^2 \alpha'} \int_B H_3 = -k \in \mathbb{Z}$

$A, B$  - 3-cycles;  $A: \sum_{i=1}^4 x_i^2 = z$  ;  $B: x_4^2 - \sum_{i=1}^3 y_i^2 = z$  ;  
 $\omega_i = x_i + i y_i$  ; 3 dim ; 3 dim

These stabilize  $z = e^{-\frac{2\pi k}{M g_s}} =: a^3(r_0)$

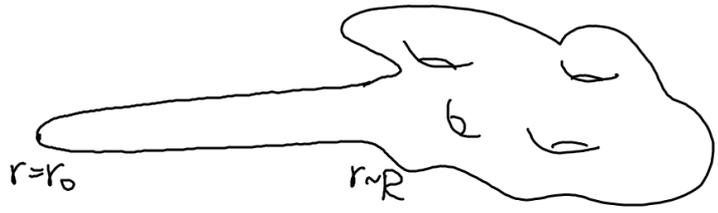
The conifold geometry becomes precisely

$$ds^2 = h(r)^{-1/2} dx^n dx_n + h(r)^{1/2} (dr^2 + r^2 dS^2) ; h(r) \approx \frac{R^4}{r^4}$$

$$R^4 = \frac{27}{4} \pi g_s M K \alpha'^2$$

New information in the model

- $M, K$  - amount of flux
  - $a(r_0)$  - value of the complex structure modulus  $z$
- } change potential for the inflaton



$r_0 < r < R$  - one is in the throat

As before: • add  $D3$   
• add  $\bar{D3}$  } moves

1)  $D3$  in the throat does not feel a force

$D3$  interacts with  $F_5 \Rightarrow dF_5 = H_3 \wedge F_3 \neq 0$  !

$$S = -\tau_3 \int d^4x h(r)^{-1} \sqrt{1 - h(r)(\partial r)^2} \pm \tau_3 \int d^4x C_{0123}$$

EOMs imply:  $C_{0123} = h(r)^{-1} E_{0123}$

for static brane:  $S \sim -T_3 (1 \pm 1) \left(\frac{r_1}{R}\right)^4 \int d^4x$   
 $D3$  brane floats  $\rightarrow$  the potential  
 $\bar{D3}$  drains into the throat

2) Interaction energy between  $D3$  and  $\bar{D3}$  branes:

branes perturb the background:  $h(r) \rightarrow h(r) + \delta h(r)$   
 $C_4(r) \rightarrow C_4(r) + \delta C_4(r)$

EOM's:  $\Delta_6 \delta h = \frac{R^4}{N} \delta^6(\vec{r} - \vec{r}_1)$ ;  $C_{0123} = h(r)^{-1} E_{0123}$

$\hookrightarrow \delta h = \frac{R^4}{N r_1^4}$ ;  $\delta C_4 = -\frac{\delta h}{h^2}$ ; define  $\varphi = \sqrt{T_3} r_1$

$$\mathcal{L} = -\frac{1}{2} (\partial\varphi)^2 - z T_3 a_0^4 \left(1 - a_0^4 \frac{R^4 T_3^2}{N \varphi^4}\right)$$

$\eta = a_0^4 \cdot \eta_0$ ;  $\epsilon = a_0^8 E_0$  ← warped parameters

$a_0$  can be chosen to keep  $\eta$  &  $\epsilon$  within the slow-roll range

#### 4. $\eta$ -problem

Stabilize moduli of the model

- $U^a$  - complex structure of CY  $\rightarrow$  stabilized by fluxes  $F_3, H_3$
- $\tau$  - axio-dilaton  $\rightarrow$  stabilized by  $F_3, H_3$
- $T$  - Kähler modulus;  $\text{Im} T \sim (\text{Vol} M_6)^{2/3}$  unstabilized

$\hookrightarrow$  massless scalar field.

KICLT  $\rightarrow$  instanton corrections  $\rightarrow \eta \sim \frac{2}{3}$   $\rightarrow$  need a mechanism to fix this

$\underbrace{\hspace{15em}}_{\eta\text{-problem}}$

## Addendum. $SU(4)$ and $SO(6)$

Consider  $\mathbb{C}^6 \simeq so(4, \mathbb{C}) := \{ A \in Mat_{4 \times 4} \mathbb{C} ; A^{Tr} = -A \}$

$$(x^a, y^a)$$

$\uparrow \quad \uparrow$   
 $\mathbb{C} \quad \mathbb{C}$  complex coord.

defined as  $\left\{ \begin{array}{l} A^{ab} = \epsilon^{abc} (x_c - i y_c) \\ A^{c4} = x^a + i y^a \end{array} \right.$

group action of  $SL(4, \mathbb{C})$ :

$$SL(4, \mathbb{C}) : so(4; \mathbb{C}) \rightarrow so(4; \mathbb{C}) ; \text{ action on } \mathbb{C}^6$$

$$\rho(g)(A) := g A g^{Tr}$$

two signs are possible

$$\mathbb{R}^6 \longleftrightarrow \left. \begin{array}{l} *A = \underbrace{-A^+}_{A^{**}} \Rightarrow (*A)^{\mu\nu} = \frac{1}{2} \epsilon^{\mu\nu\rho\sigma} A_{\rho\sigma} \\ \uparrow \text{Hodge} \\ A^{**} \\ -A^{\mu\nu*} = A^{\mu\nu*} \end{array} \right\} so^-(4, \mathbb{C})$$

Restriction induces action of  $SU(4)$  on  $\mathbb{R}^6$  and covering of  $SO(6, \mathbb{R})$

$$x^a + i y^a = x^{a*} + i y^{a*}$$

can choose  $x^a, y^a \in \mathbb{R}$

$$(x^1, y^1, x^2, y^2, x^3, y^3) \rightarrow \mathbb{R}^6$$

We are interested in rotations:  $\theta_1$  in  $(x_1, y_1)$ ,  $\theta_2$  in  $(x_2, y_2)$ ,  $\theta_3$  in  $(x_3, y_3)$

$$A'_{\mu\nu} \text{ is made of } x'_a + i y'_a = e^{i\theta_a} (x_a + i y_a) \leftarrow so(6) \text{ rotation}$$

The corresponding  $SU(4)$  matrix is recovered as  $A' = g \cdot A \cdot g^{Tr}$ , which is

$$g = \text{diag} \left[ e^{\frac{i}{2}(\theta_1 - \theta_2 - \theta_3)}, e^{-\frac{i}{2}(\theta_1 - \theta_2 + \theta_3)}, e^{-\frac{i}{2}(\theta_1 + \theta_2 - \theta_3)}, e^{\frac{i}{2}(\theta_1 + \theta_2 + \theta_3)} \right]$$

setting any of the entries to 1 gives an  $SU(3)$  matrix