



Deep Learning Methods in the NOvA Experiment

Chris Kullenberg

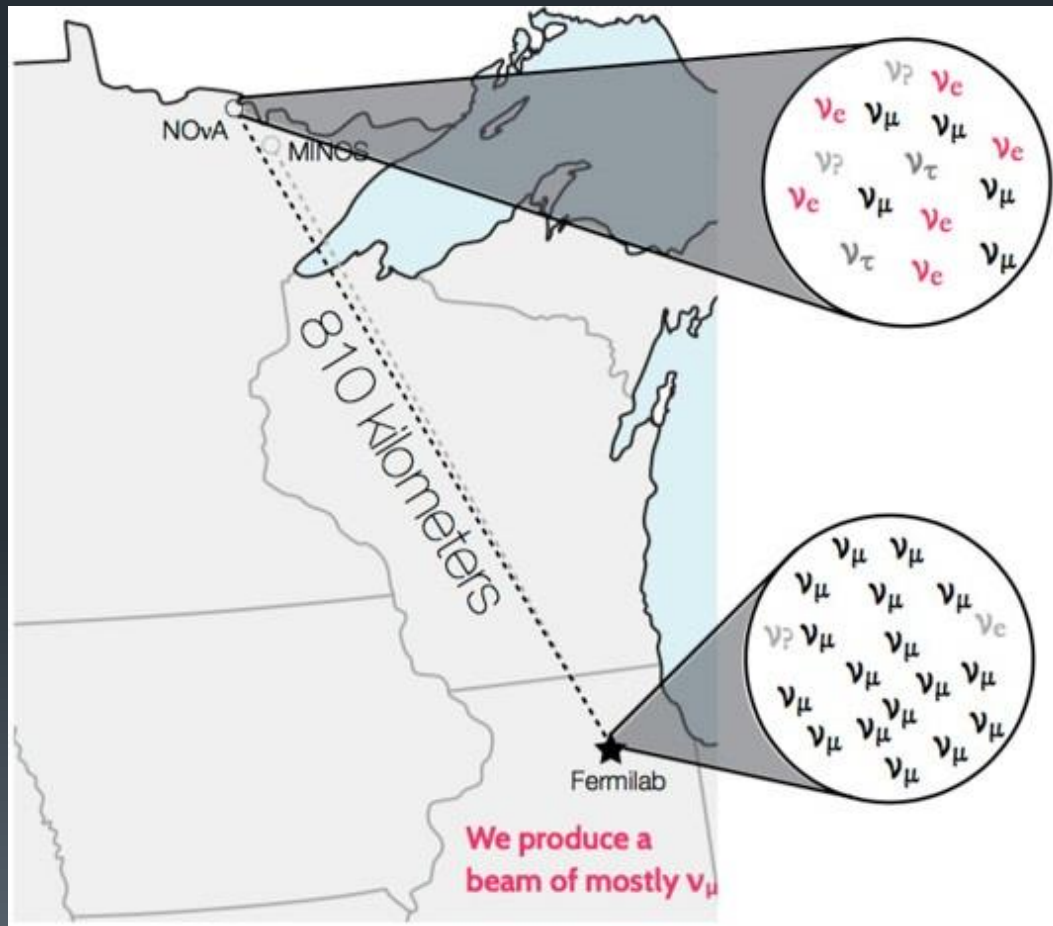
Nuclear Electronics & Computing 2019

Why NOvA?

2

NuMI Off-axis ν_e Appearance

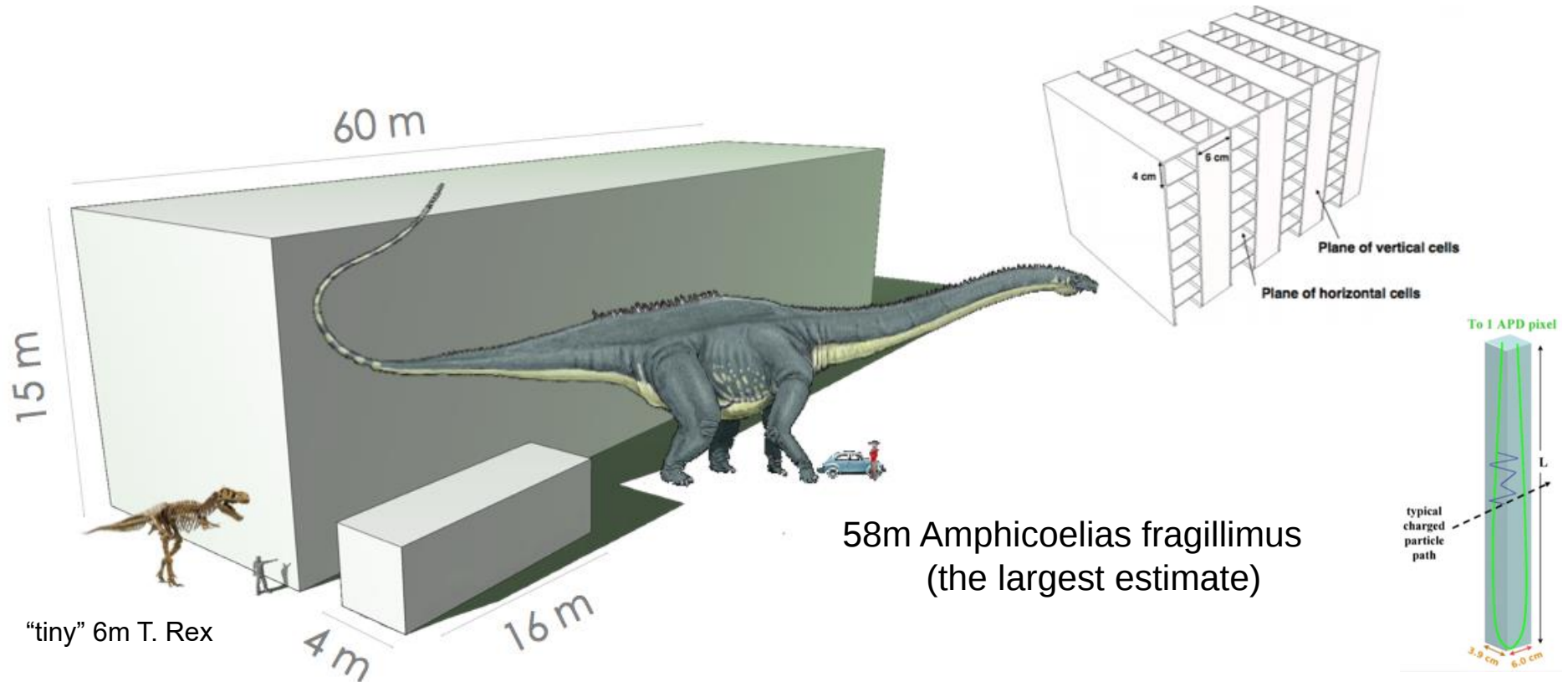
Measure neutrino oscillation parameters!
(among other interesting neutrino topics)



- Neutrino oscillations cause different neutrino flavors to appear during interaction than when created during production
- Understanding these oscillations helps us understand fundamental properties of the neutrino
- The beam composition is measured at the Near Detector (mostly muon neutrinos)
- The number of electron/muon neutrinos appearing at the Far Detector provides the oscillation measurement

The NOvA Experiment

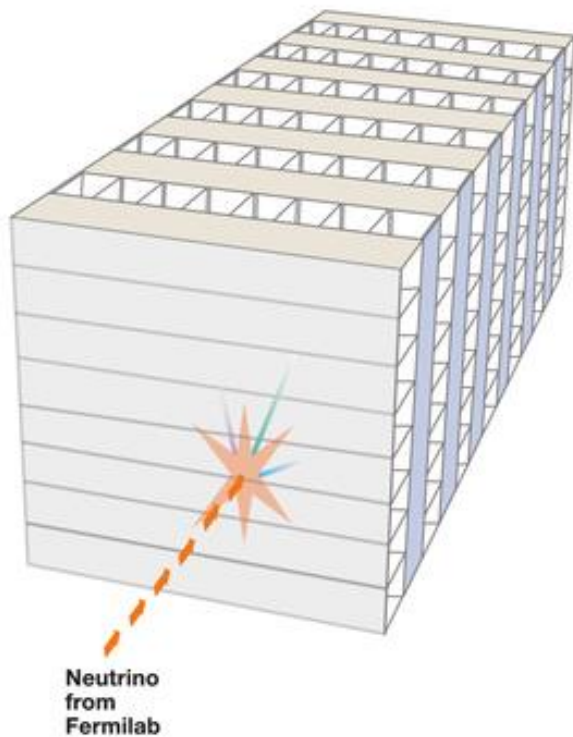
3



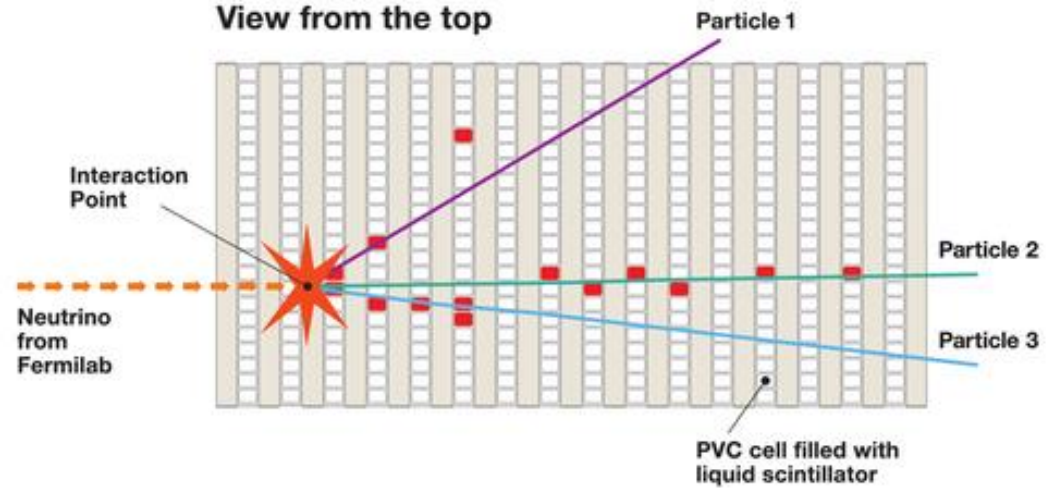
NOvA is basically a pair of neutrino detectors, with identical construction but different sizes. The Far Detector as large as the estimated size of the largest known dinosaur.

Made of alternating vertical/horizontal (X/Y) oriented tubes filled with liquid scintillator.

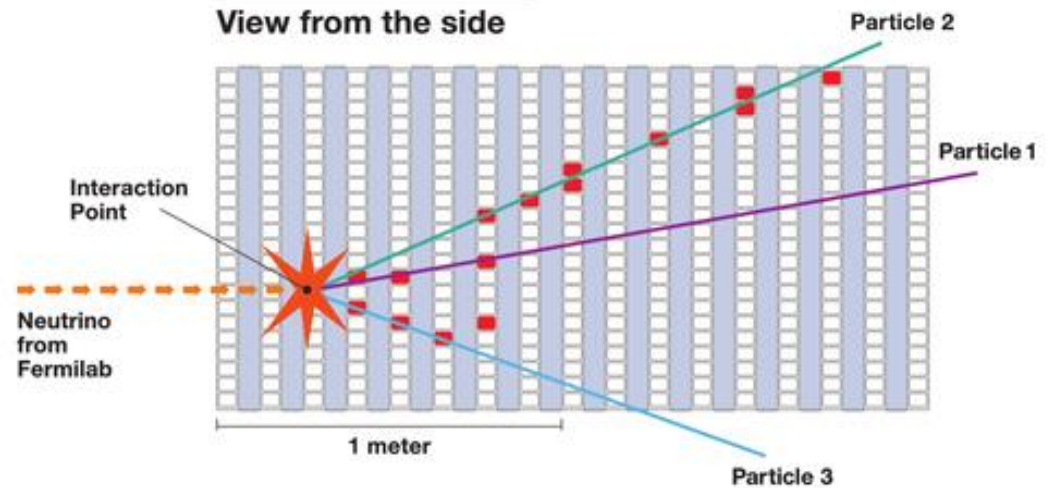
3D schematic of NOvA particle detector



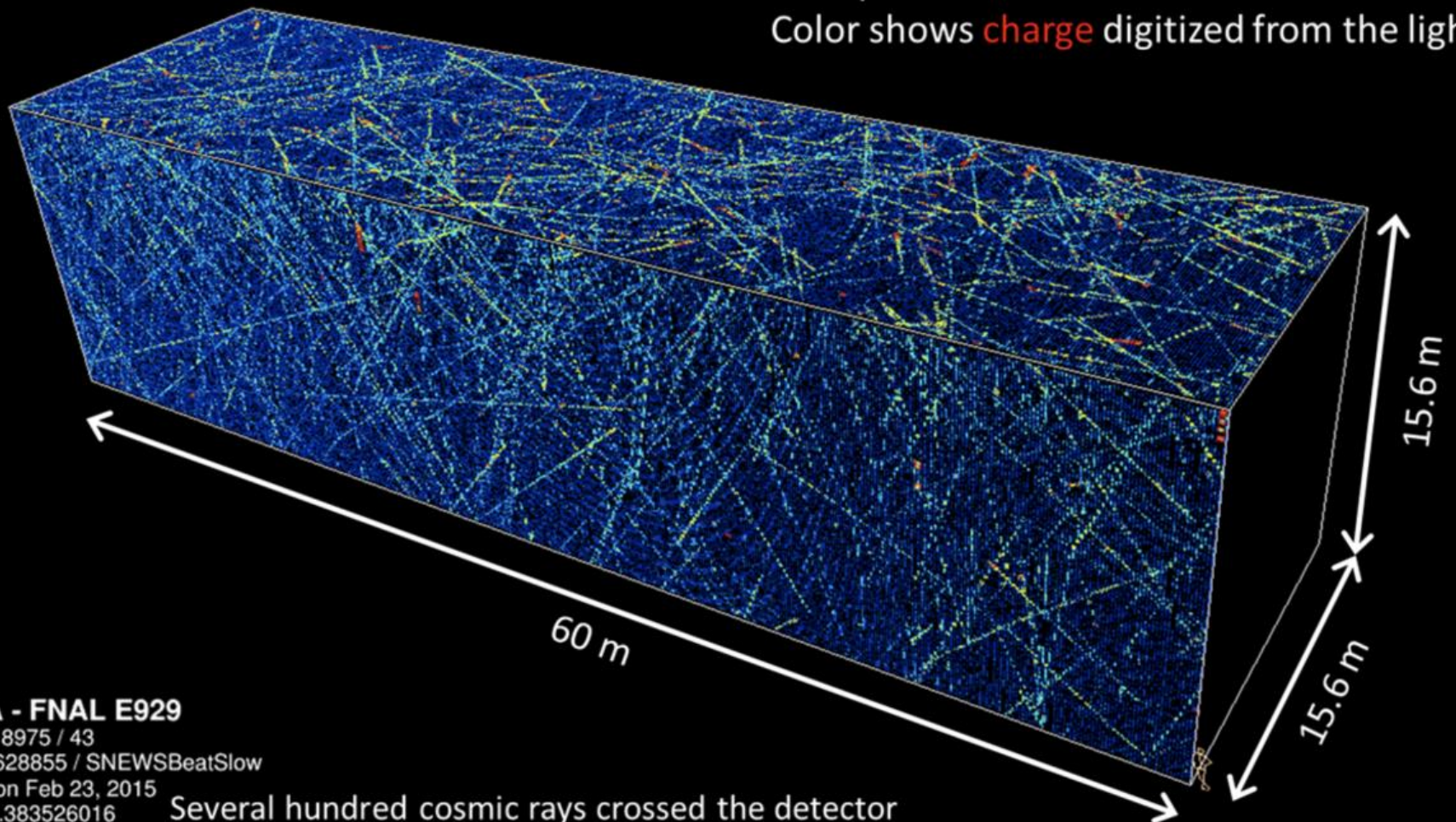
View from the top



View from the side



5ms of data at the NOvA Far Detector
Each pixel is one hit cell
Color shows **charge** digitized from the light



NOvA - FNAL E929

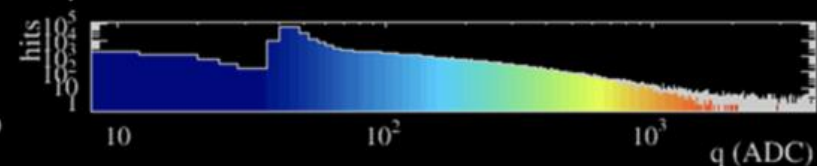
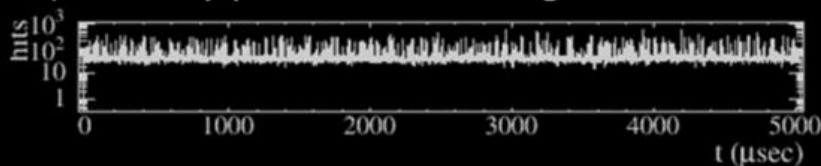
Run: 18975 / 43

Event: 628855 / SNEWSBeatSlow

UTC Mon Feb 23, 2015

14:30:1.383526016

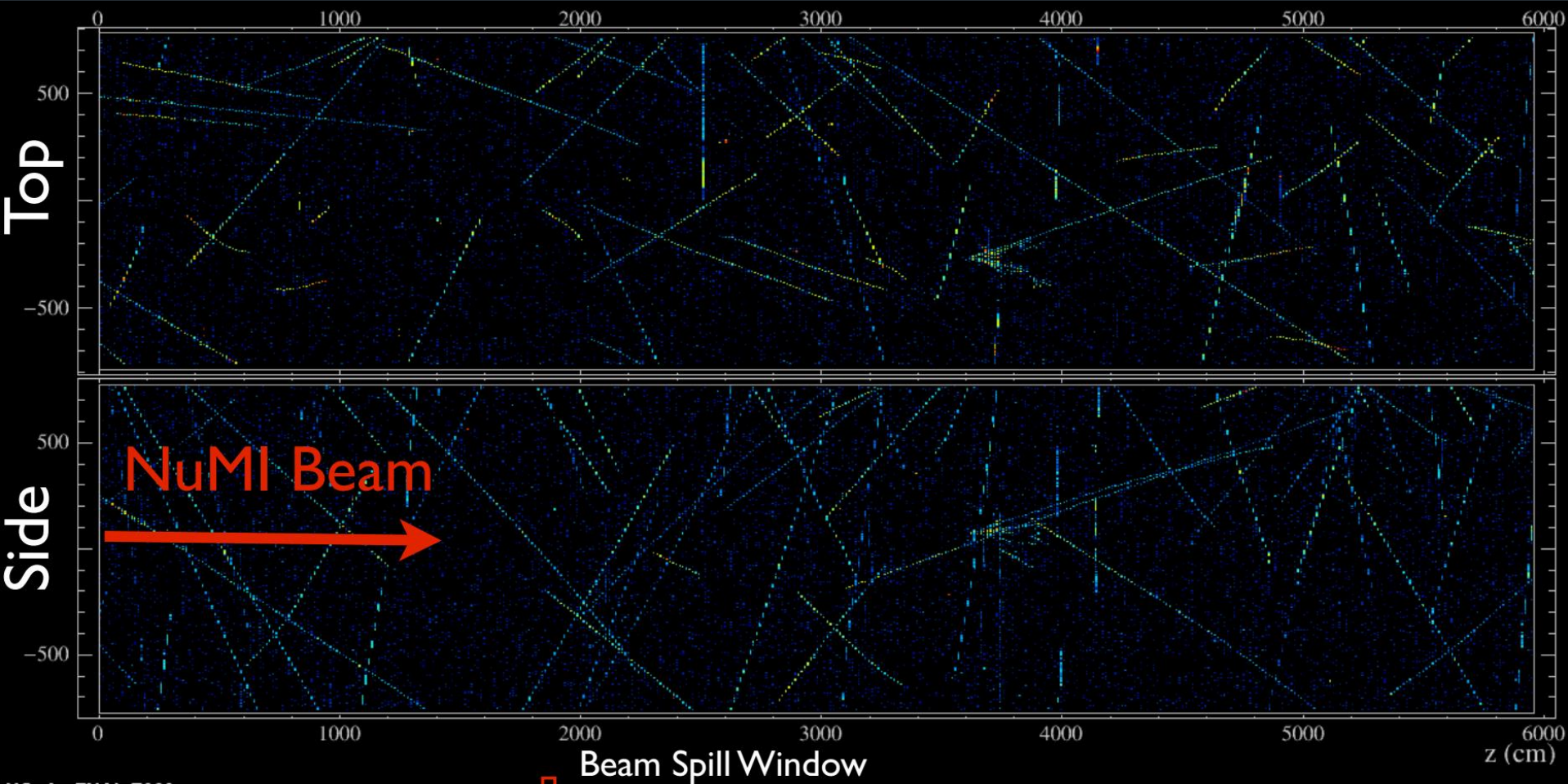
Several hundred cosmic rays crossed the detector
(the many peaks in the timing distribution below)



NOvA Data

6

550 μs window in the Far Detector



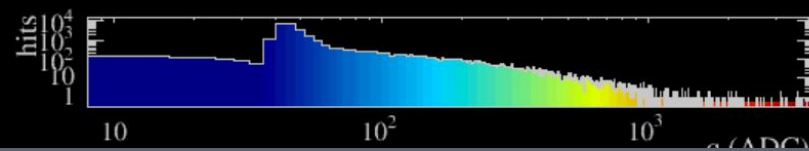
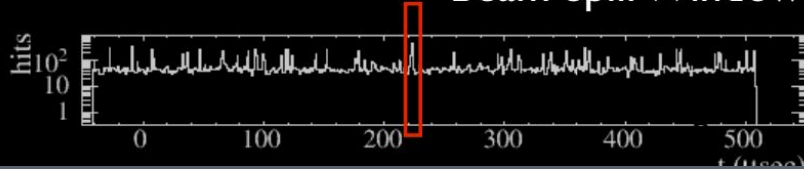
NOvA - FNAL E929

Run: 18620 / 13

Event: 178402 / --

UTC Fri Jan 9, 2015

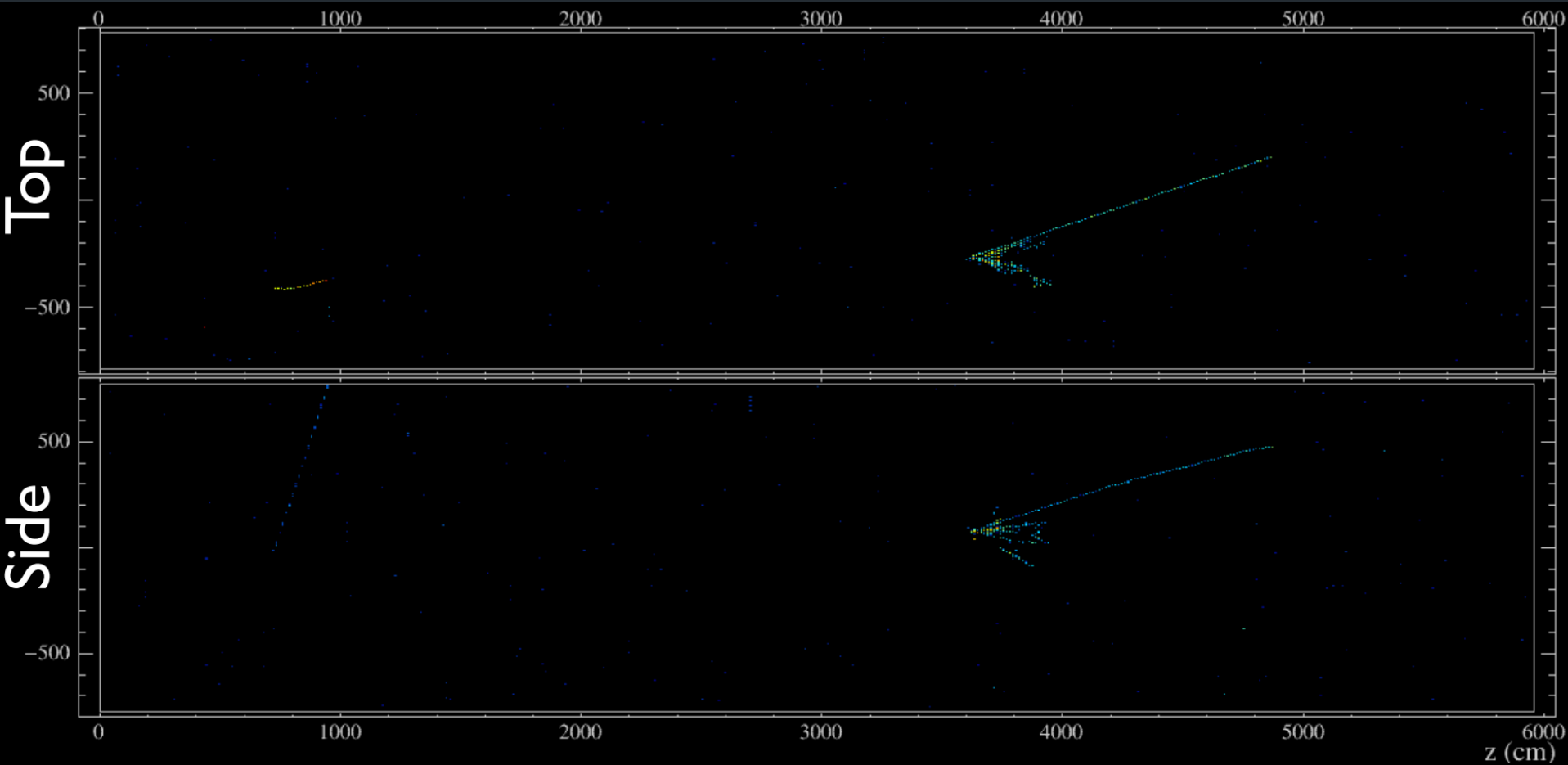
00:13:53.087341608



NOvA Data

7

10 μ s window during active beam



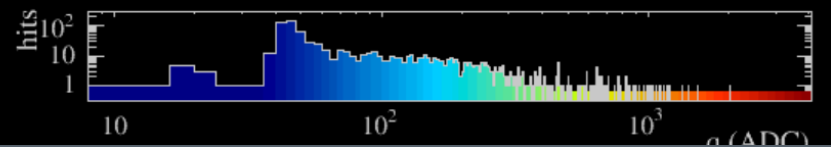
NOvA - FNAL E929

Run: 18620 / 13

Event: 178402 / --

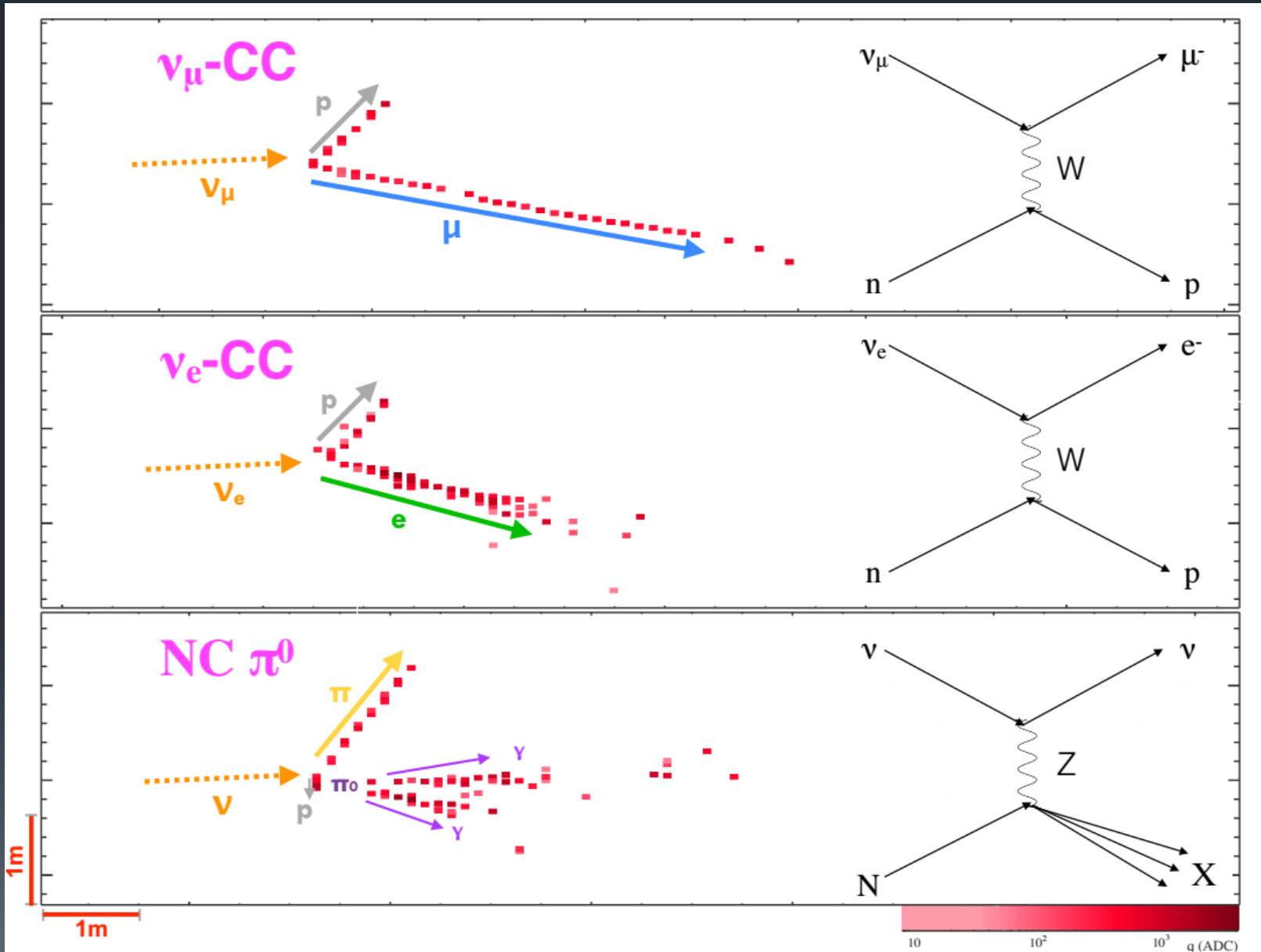
UTC Fri Jan 9, 2015

00:13:53.087341608



How to Distinguish Neutrino Types?

8



ν_μ

ν_e

NC

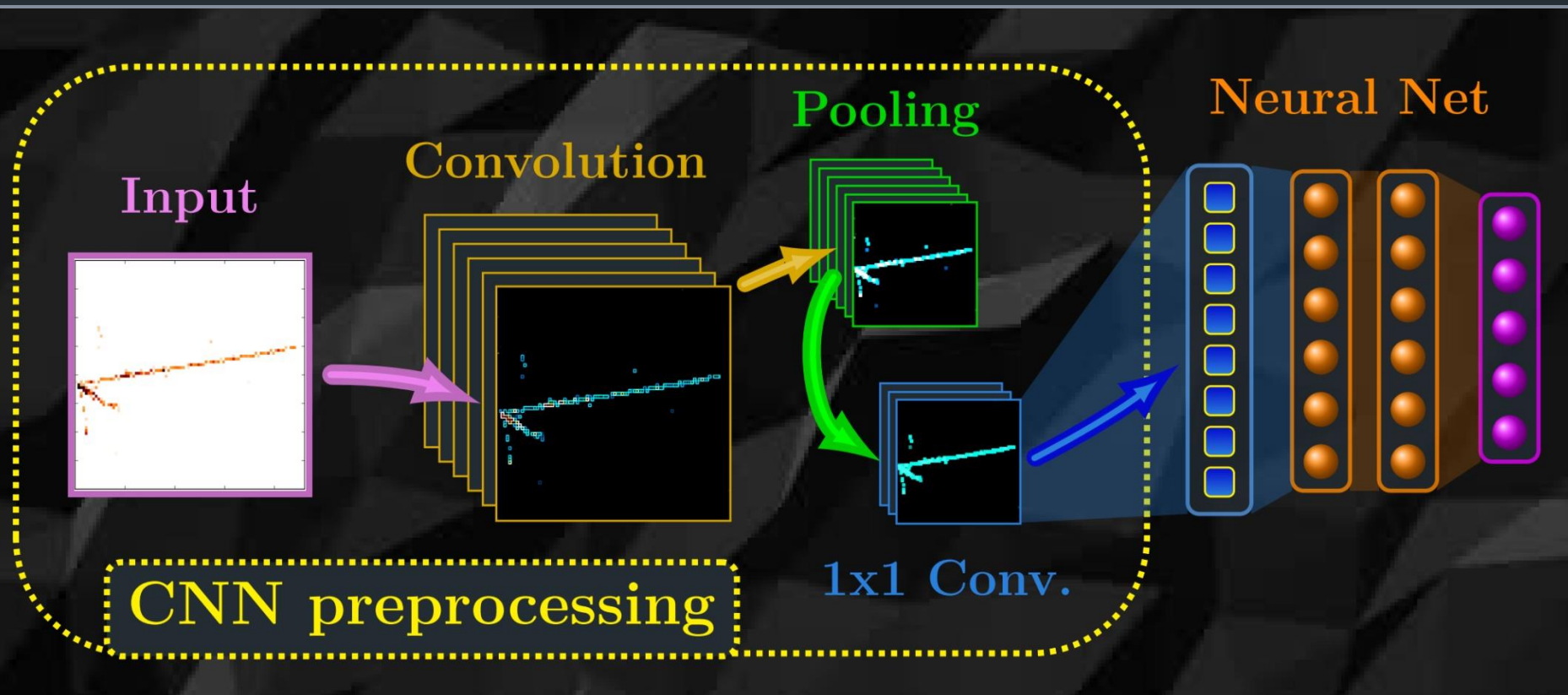
Categorize Events Using CNN

9

A Convolutional Neural Network uses image preprocessing to reduce data flowing to the Neural Network, while identifying and retaining useful patterns.

Preprocessing generally consists of:

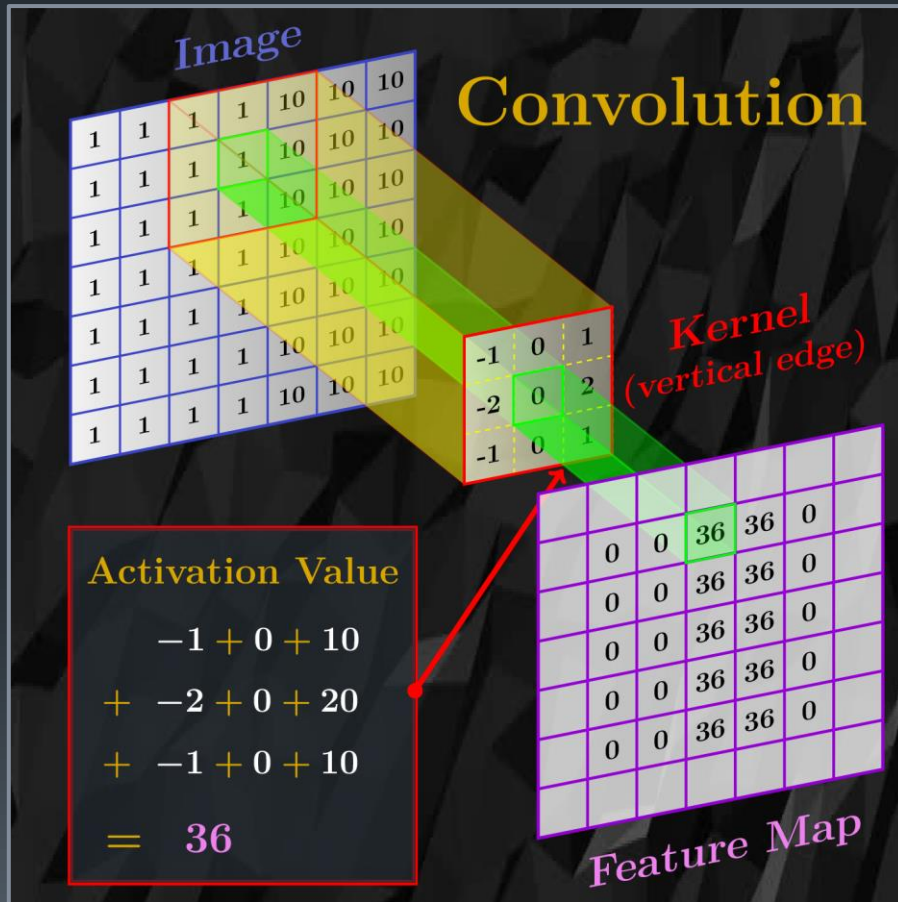
Multiple layers of **Convolution** (pattern searching), **Pooling** (information reduction), and “**1x1 Convolution**” (information reduction and pattern interweaving)



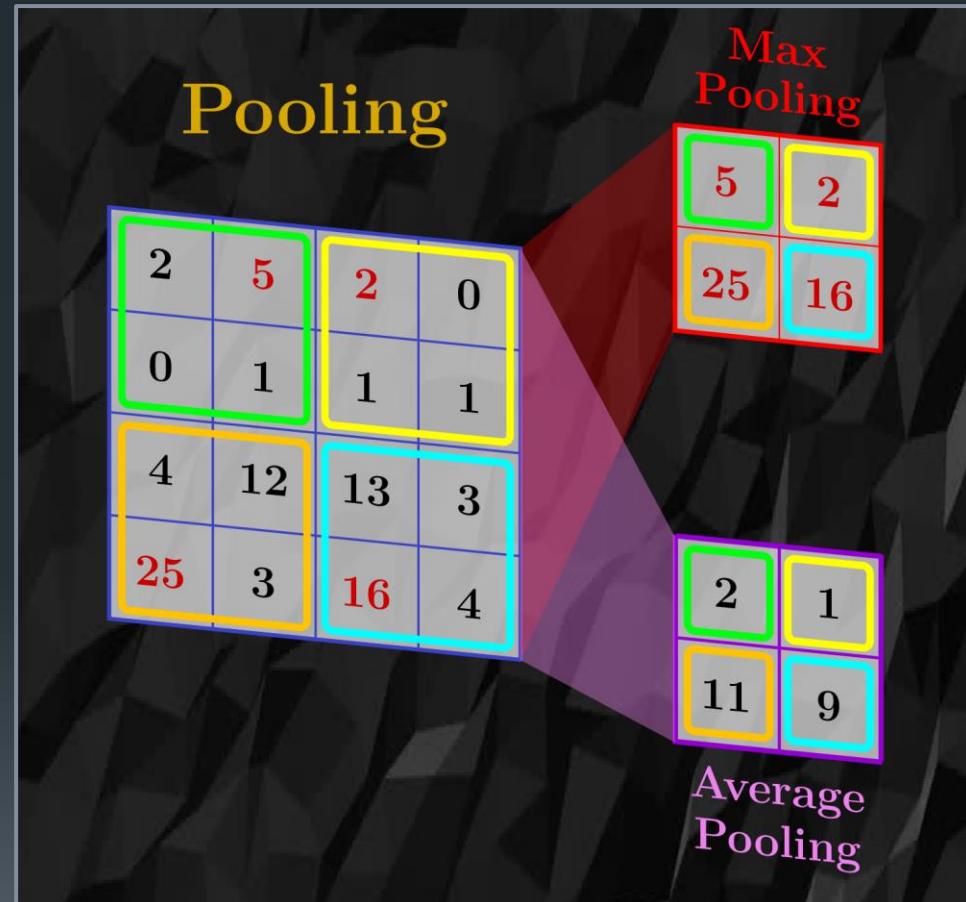
Basic Network Layers

10

Kernels (patterns) used in convolution are learned by the network!



(pattern search)

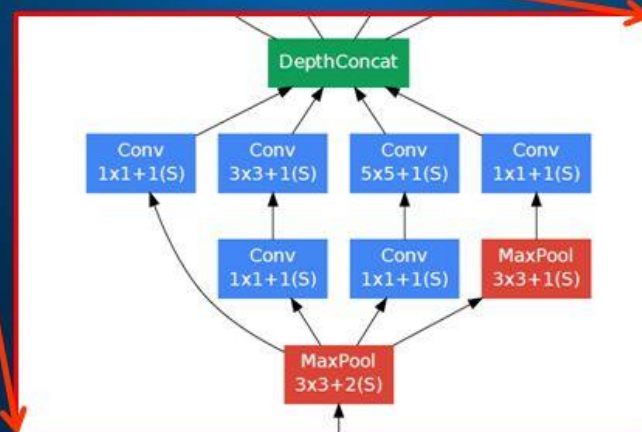
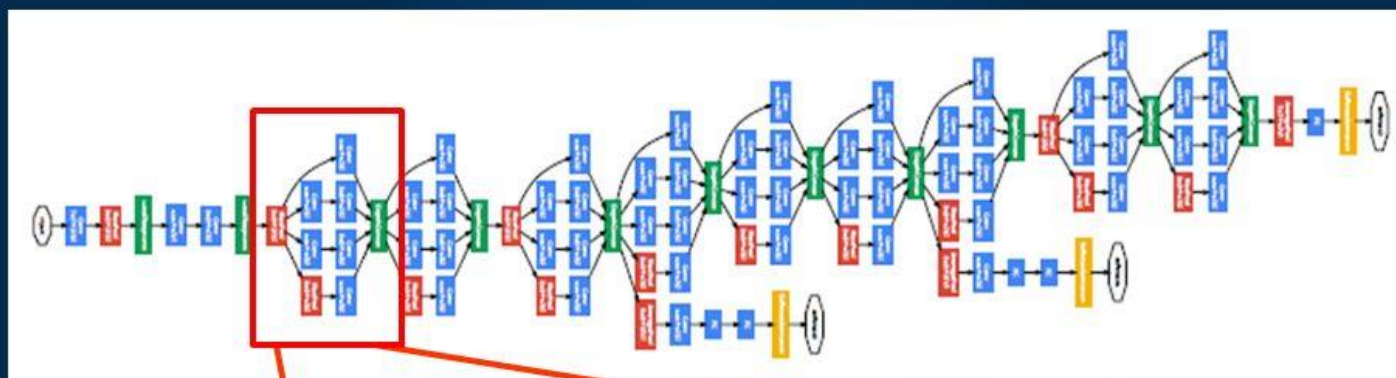


(information reduction)

Network Inspiration

11

GoogLeNet (2014)



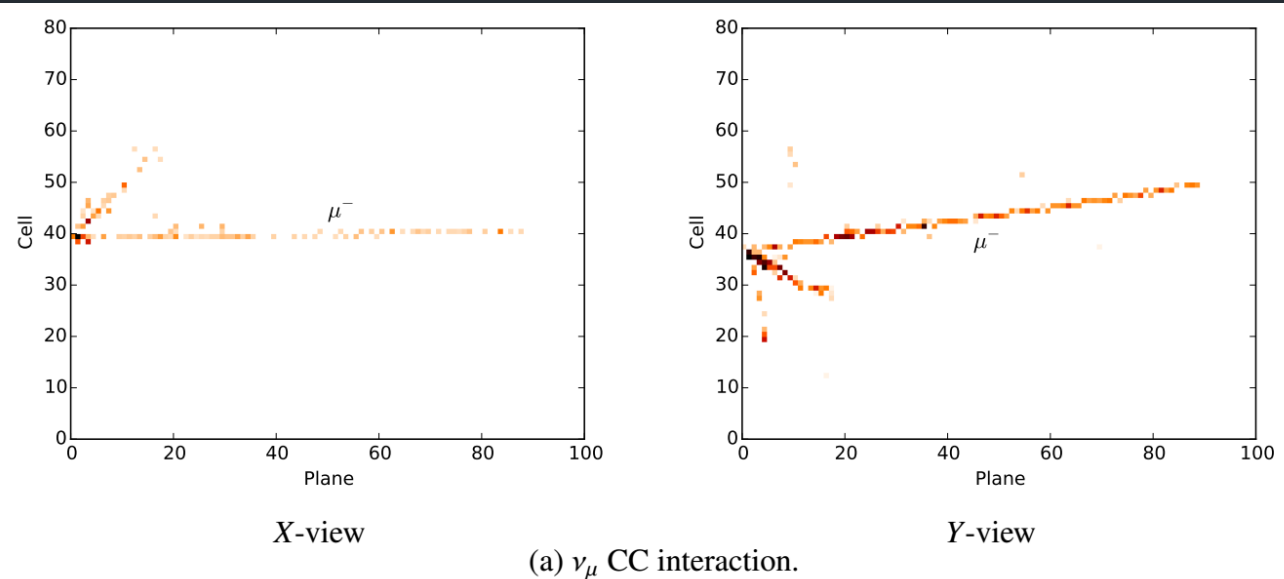
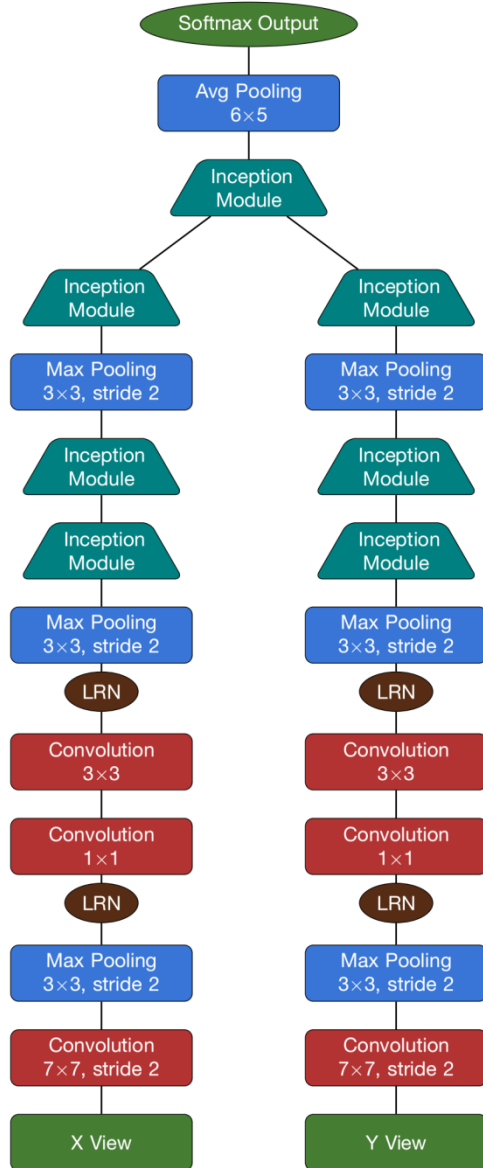
Inception layer

13

Inception modules are groups of Convolution/Pooling layers that include 1x1 Conv. to reduce the parameters in the network, allowing for deeper networks.

NOvA's Event Classification CNN

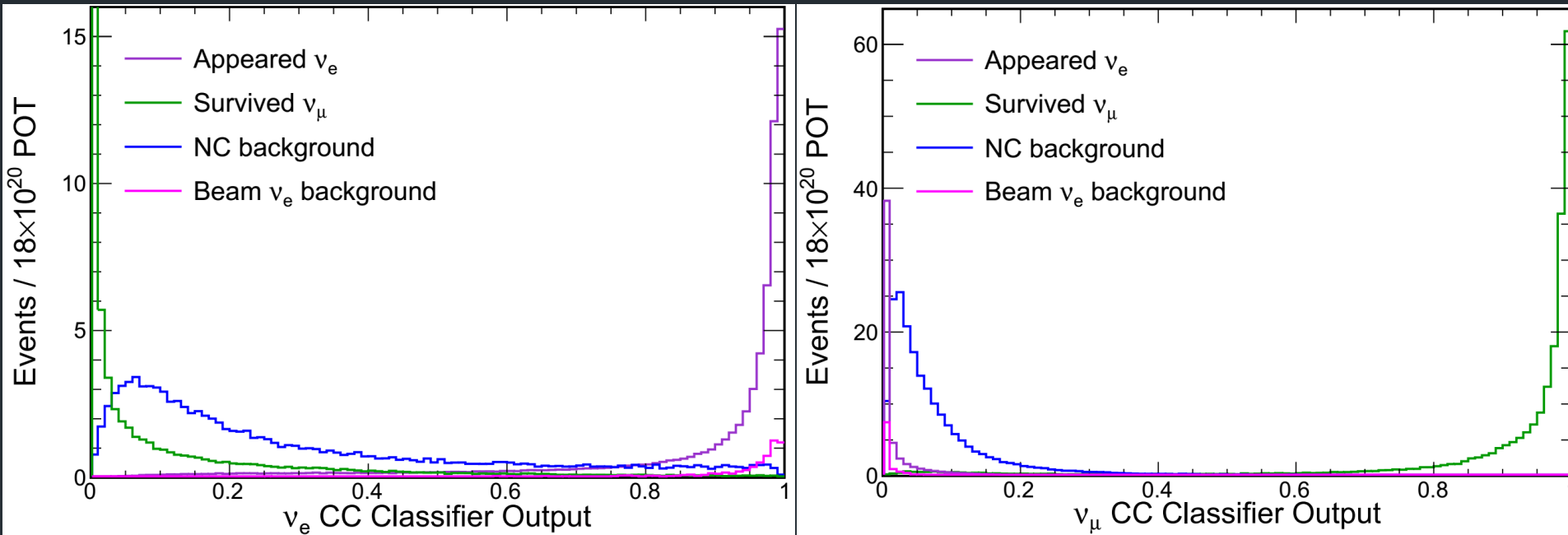
12



- 80x100 matrix of energy deposits are extracted from the detector data. Greatly reduces data flow through network
- X and Y-views are sent separately through the two towers of NOvA's network
- The towers are merged, and the network outputs a value for each interaction type, which is Softmax normalized

Event Classification Network Results

13



Published. 2016

JINST 11 (2016) no.09, P09001

A Convolutional Neural Network Neutrino Event Classifier

A. Aurisano, A. Radovic, D. Rocco, A. Himmel, M. D. Messier, E. Niner, G. Pawloski, F. Psihas, A. Sousa, P. Vahle

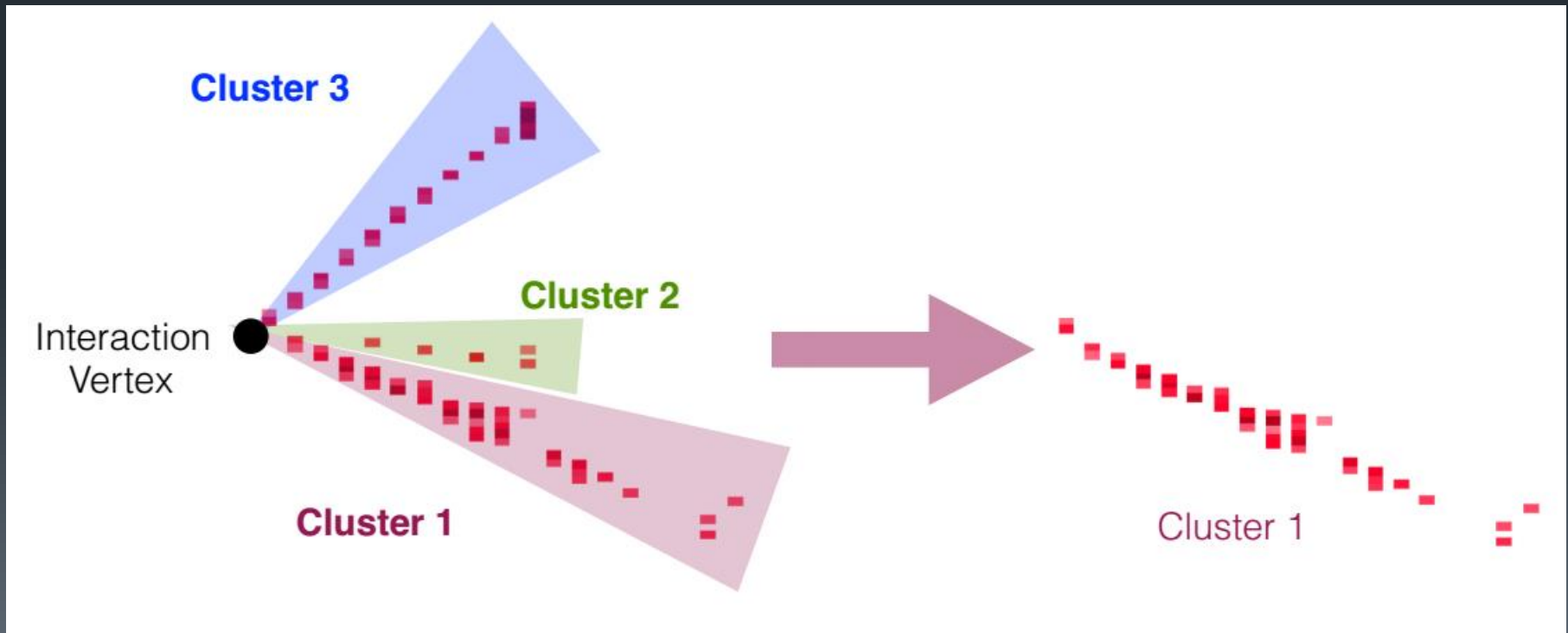
(Submitted on 5 Apr 2016 (v1), last revised 12 Aug 2016 (this version, v3))

Particle Identification

14

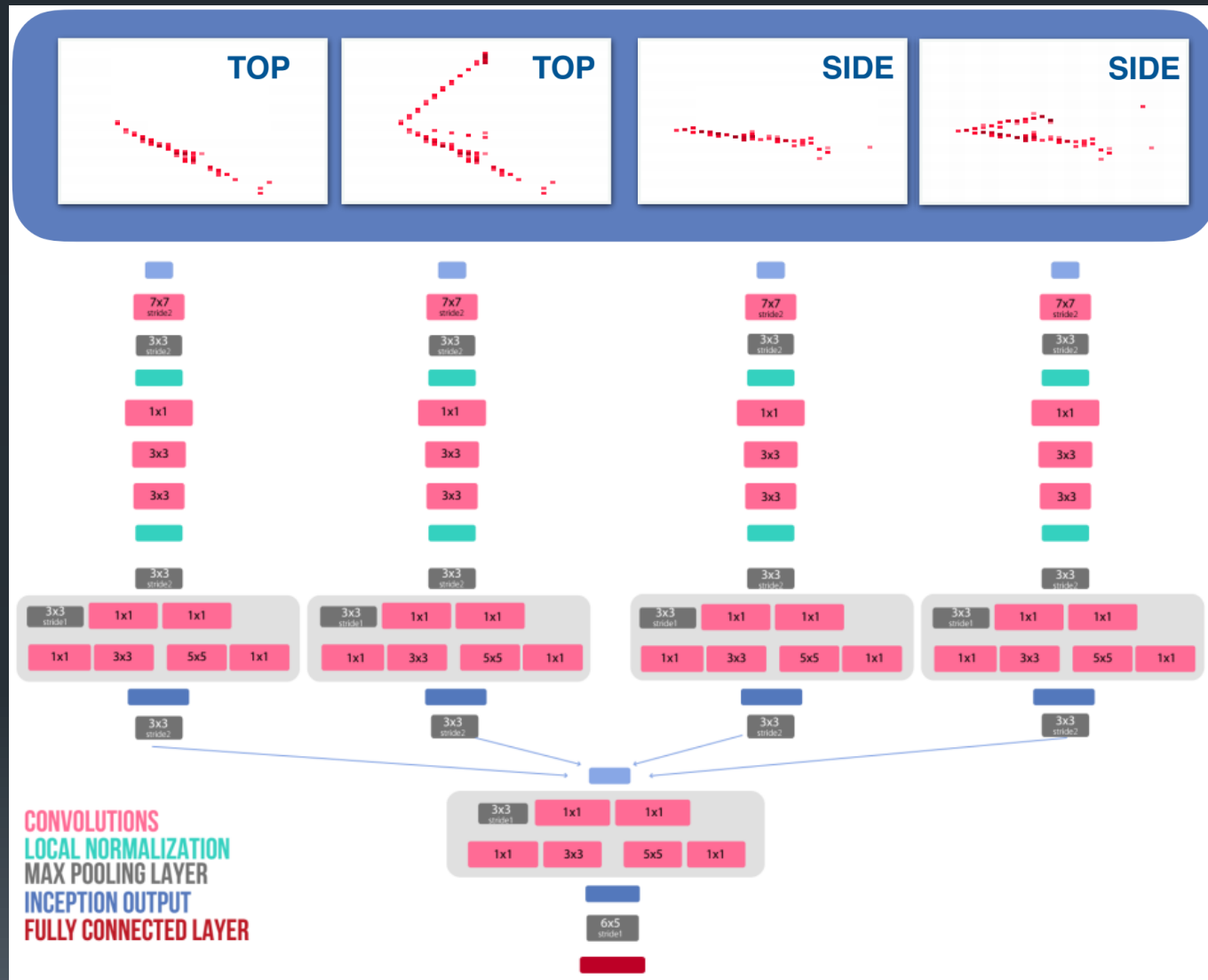
Particle identification is necessary for in-depth physics analysis.

- Neutrino interactions in the detector are simulated
- Hits are clustered into tracks
- An interaction vertex is determined
- Single particle tracks are separated for training



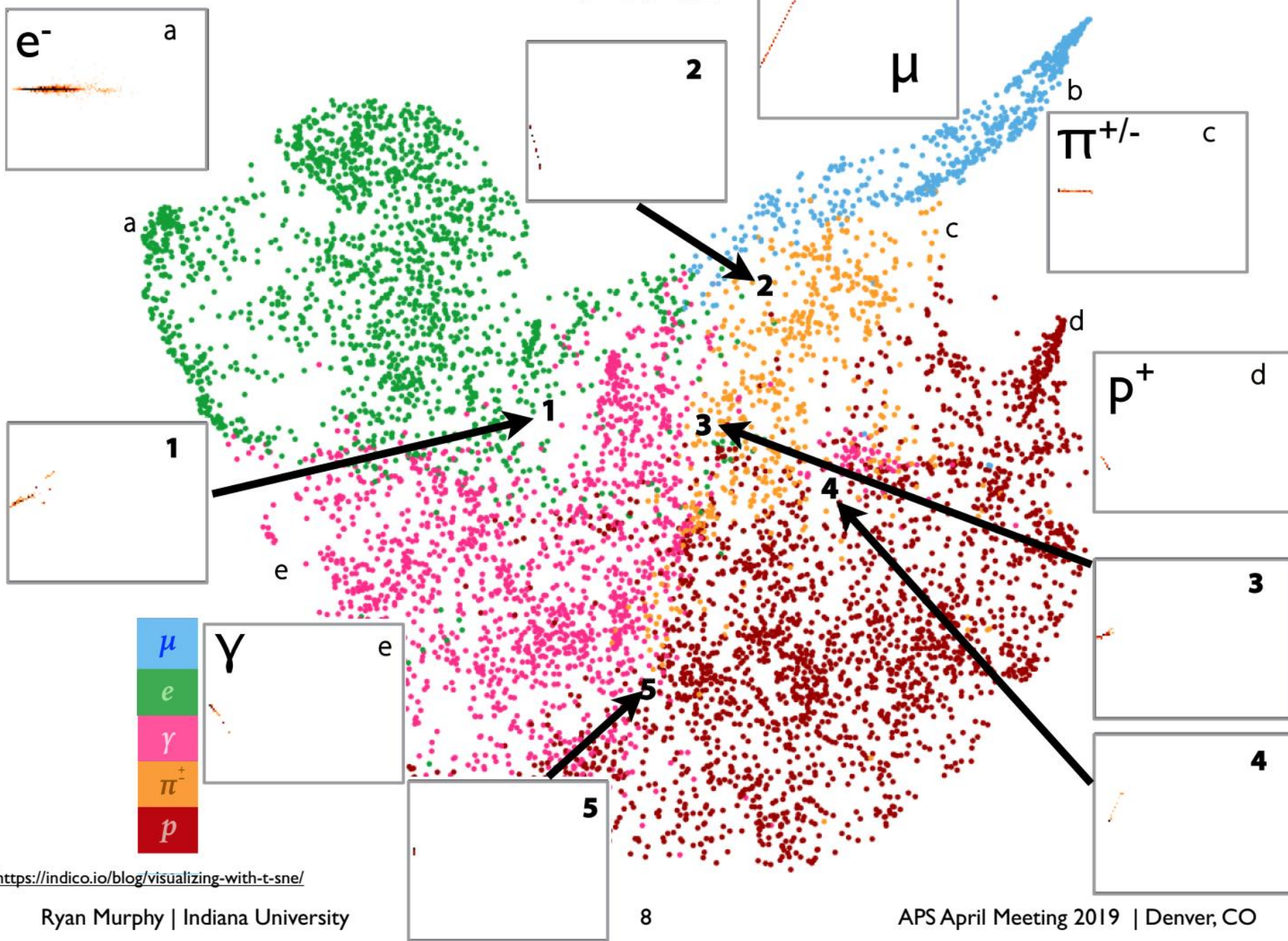
Particle ID Network

15



Context provides up to an 11% improvement in efficiency and purity!

t-SNE

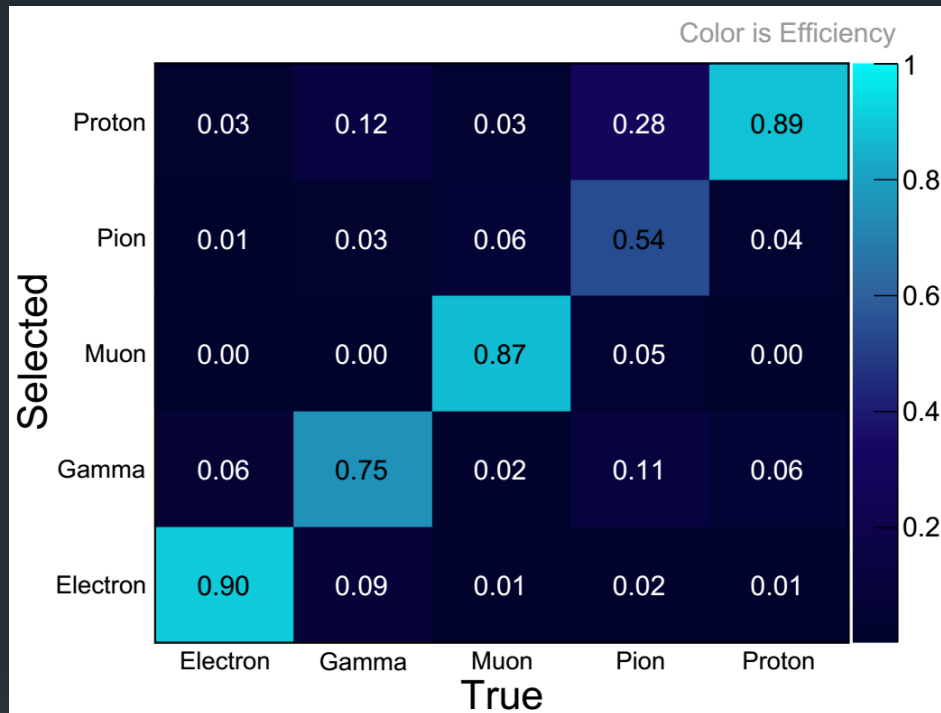


<https://indico.io/blog/visualizing-with-t-sne/>

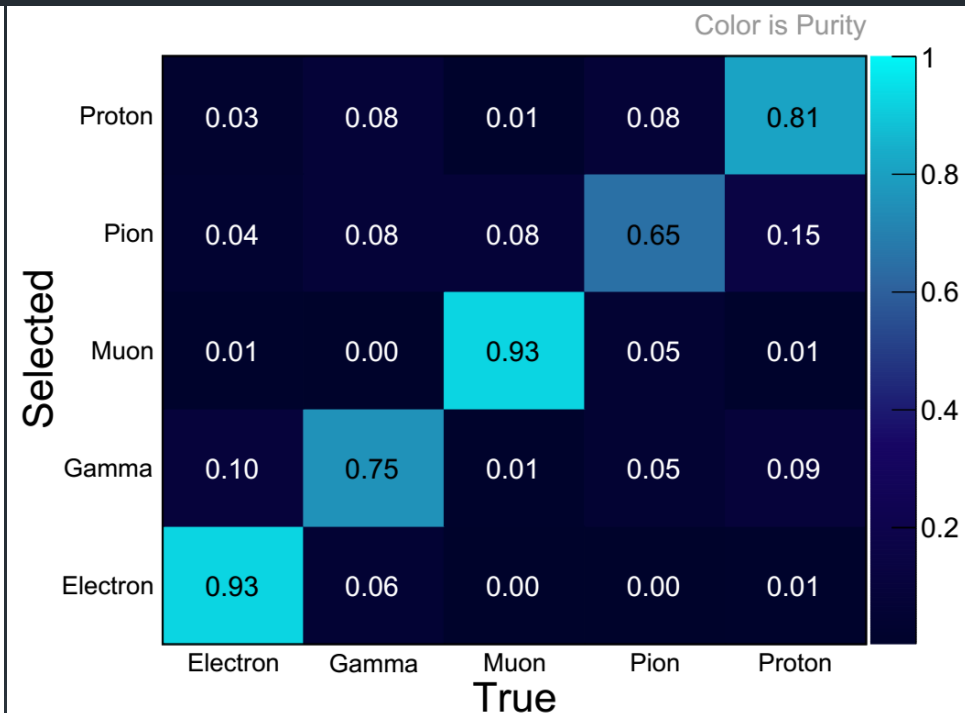
Particle ID Network Results

17

Efficiency



Purity



Using this network as an input to the electron neutrino energy estimator the energy resolution is 11%, an improvement of 20% compared to previous methods.



Submitted to PRD

[arxiv:1906.00713](https://arxiv.org/abs/1906.00713)

Context-Enriched Identification of Particles with a Convolutional Network for Neutrino Events

F. Psihas, E. Niner, M. Groh, R. Murphy, A. Aurisano, A. Himmel, K. Lang, M. D. Messier, A. Radovic, A. Sousa

Future Implementations/Improvements

18

- Continue to improve event classification network and particle ID network
- NOvA has created a CNN to improve ν_e and electron energy estimation:
[PRD: DOI: 10.1103/PhysRevD.99.012011](https://arxiv.org/abs/10.1103/PhysRevD.99.012011)
- Creation of LSTM network to improve ν_μ energy estimation
- CNN to reduce cosmic ray background
- The NOvA test beam detector will provide labeled data from single-particle interactions allowing for data-driven checks of deep learning methods
- And more....

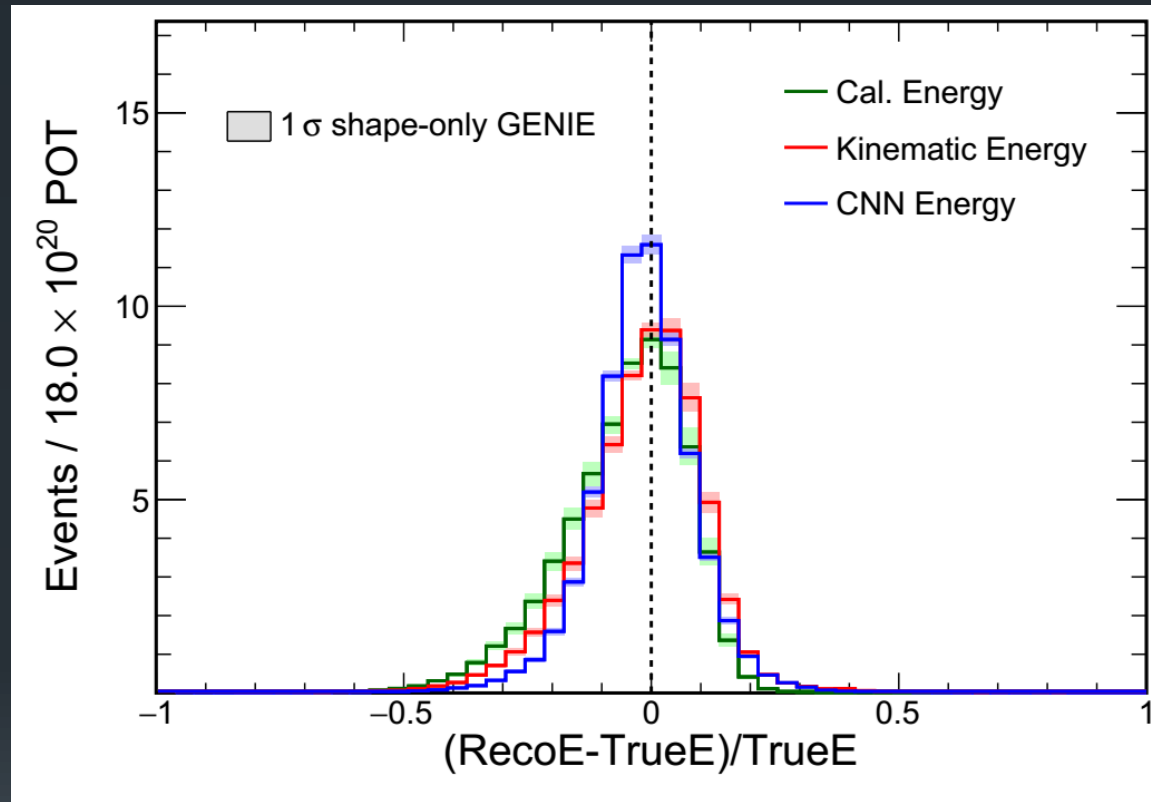


Backup Slides

ν_e Energy Estimator Network

20

- Uses flat neutrino flux to increase low energy events
- 0.98 Million ν_e interactions
0.75/0.23 Mil. train/validate
- Keras/Tensorflow
- Used SHERPA to optimize hyperparameters

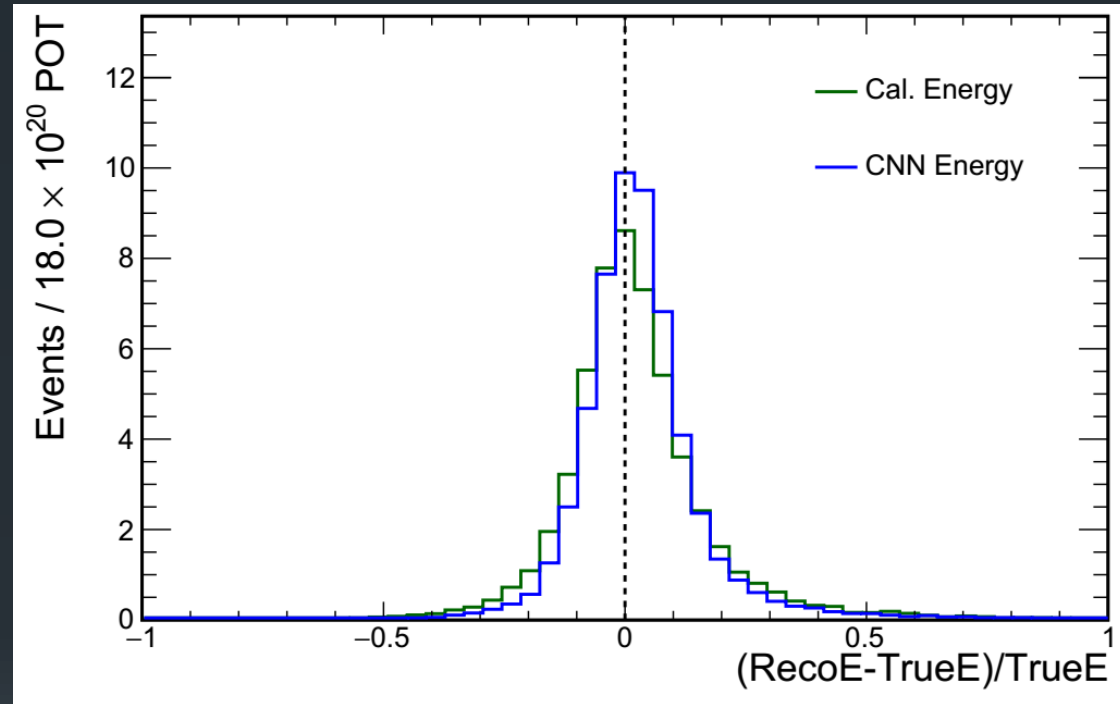


The network uses a modified version of the classification network, where the event's vertex position is concatenated with the preprocessing output to correct for readout threshold.

Electron Energy Estimator Network

21

- Uses standard NOvA neutrino flux
- 660 K electron tracks
610 K / 5 K train/validate
- Keras/Tensorflow
- Used SHERPA to optimize hyperparameters

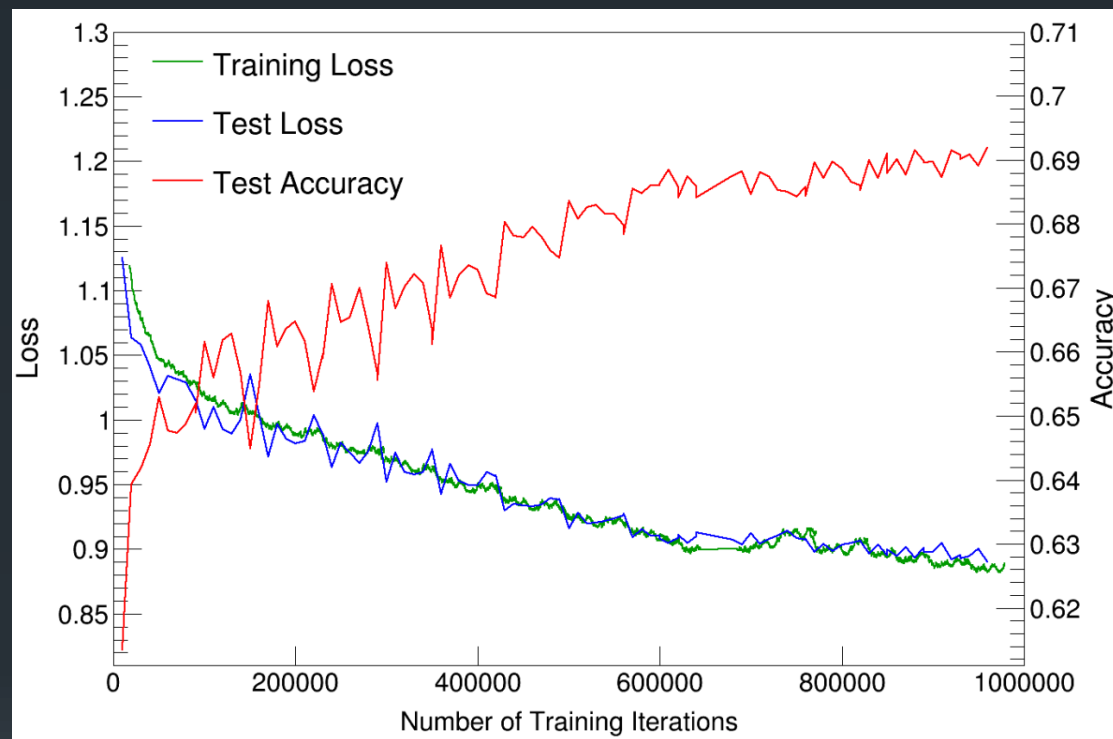


Uses the same network structure as the ν_e energy estimator, but with different weights. Both use 151x141 pixel detector readouts as input, which include 99.5% of hits.

Classification Network Training

22

- 4.7 Million events for training (80%/20% train/validate)
- Mini-Batches of 32 events
- Dropout rate of 0.4
- 1 week of GPU-hours on 2 NVIDIA Tesla K40s
- Uses Caffe

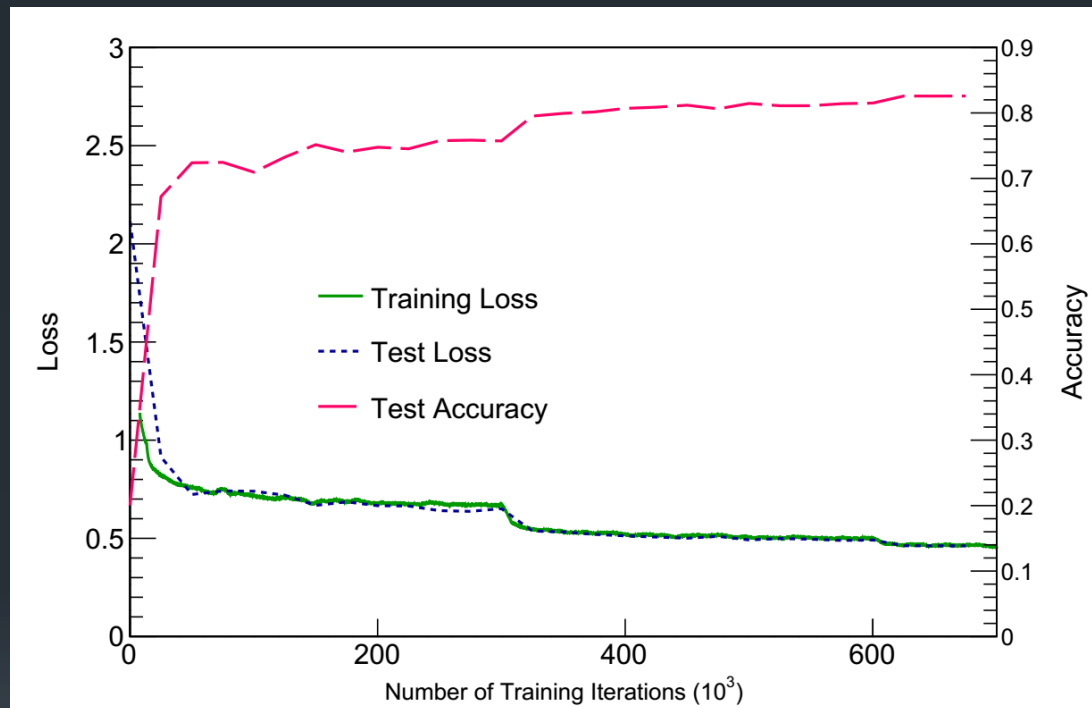


Pixel intensities varied with Gaussian noise, and a portion of events were reflected along the z-axis (nearly parallel to beam) to increase sample size and reduce the importance of individual pixel intensities.

Particle ID Network Training

23

- 2.95 Million particles for training (80%/20% train/validate)
- Mini-Batches of 64 events
- 700,000 iterations
- 4 NVIDIA Tesla K20 GPUs
- Uses Caffe



Event selection of π^0 decays (photon pairs) has a purity of 92%, which is a great improvement over 60% gotten from previous methods!

Reducing Cosmic rays

★ Under development

NOvA is exploring cosmic ray background reduction with CNNs.

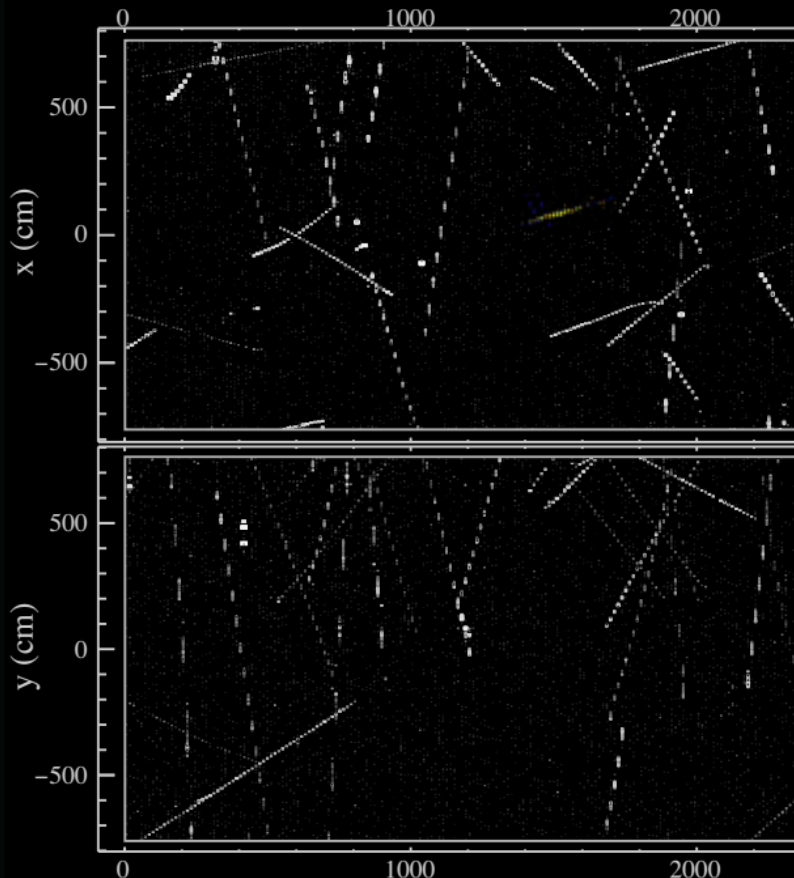
INPUTS: X and Y views of the whole detector

CVN-cosmic veto uses a CVN architecture with Resnet-18 backbone

Using TensorFlow and HDF5s.

Evaluated on GPUs.

The target is to reject time slices of full detector readout.



NOvA - FNAL E929

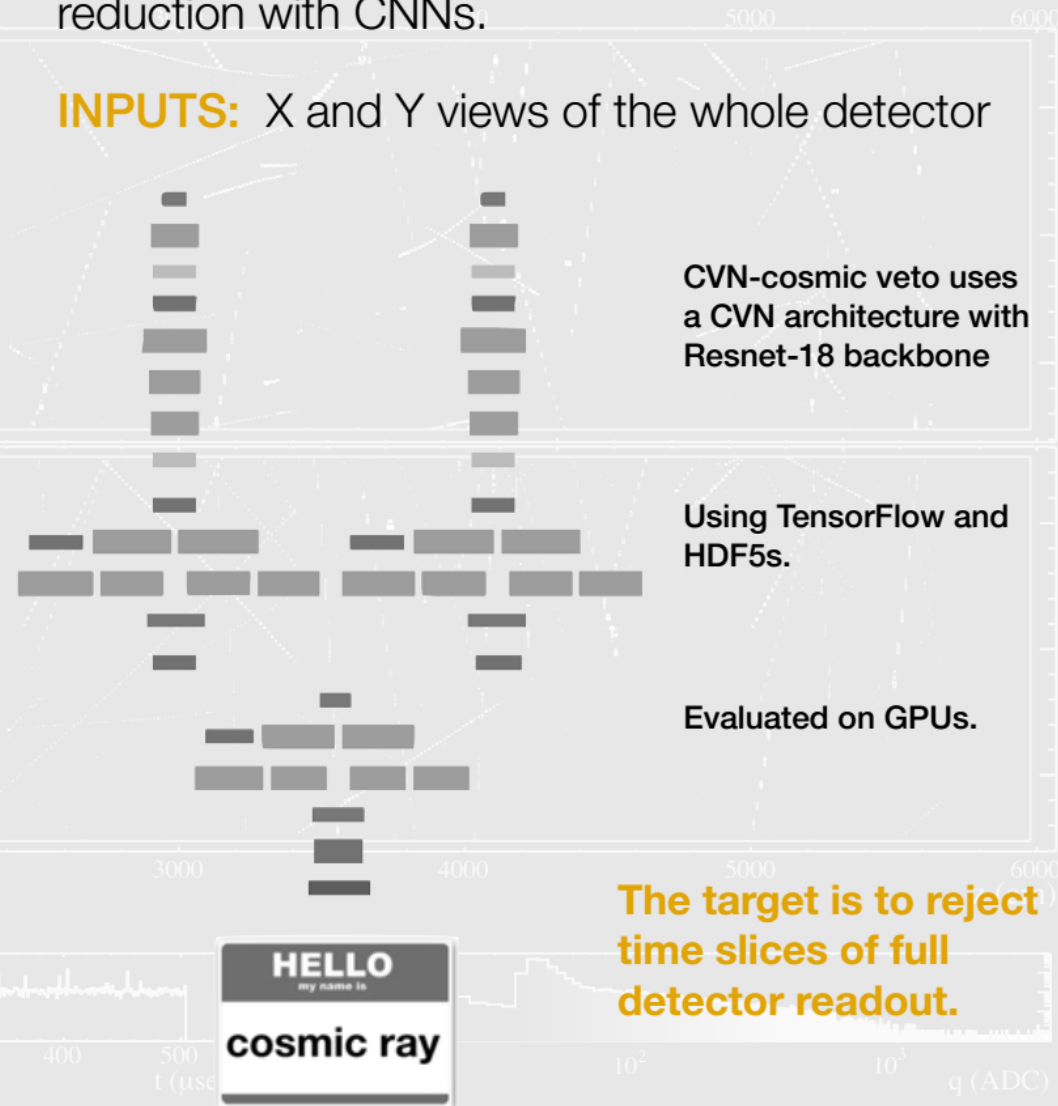
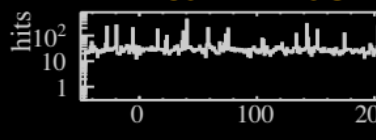
Run: 14828 / 38

Event: 192569 / --

UTC Tue Apr 22, 2014

21:41:51.422846016

Beam window



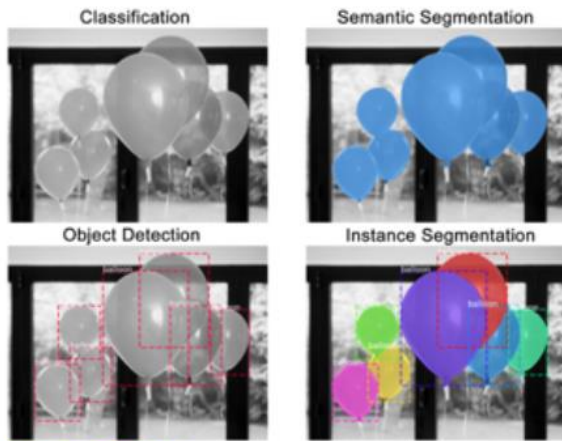
Particle Identification with CNNs

We use our Deep CNN classifier to identify each cluster.



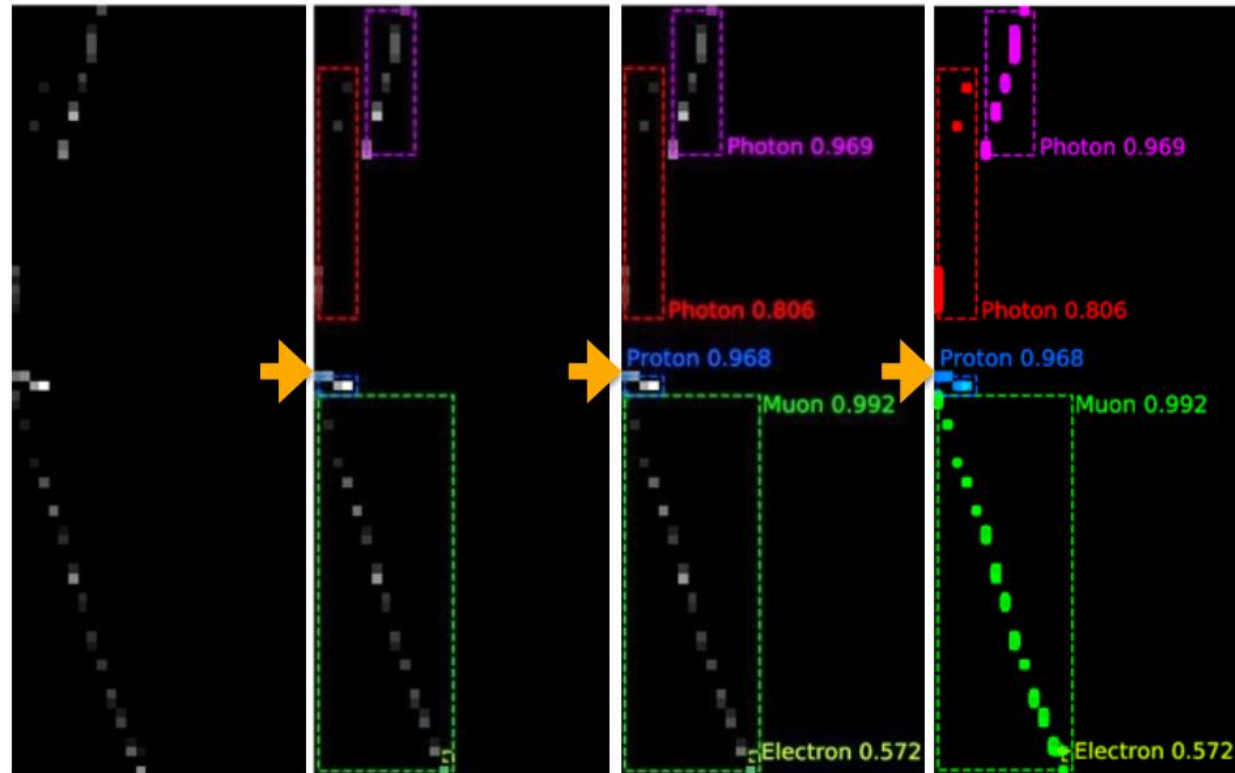
Localizing + Clustering + Identification

★ In development



MASK-RCNN

We use an adaptation of MASK-RCNN to cluster and identify all activity.

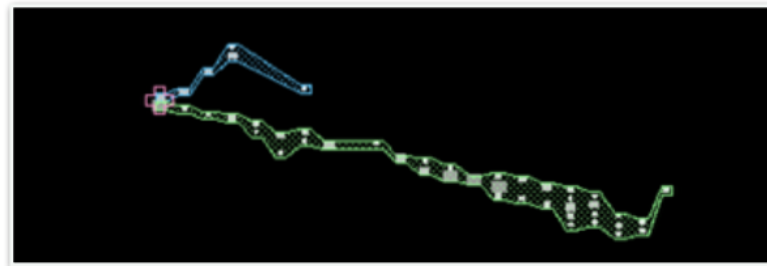
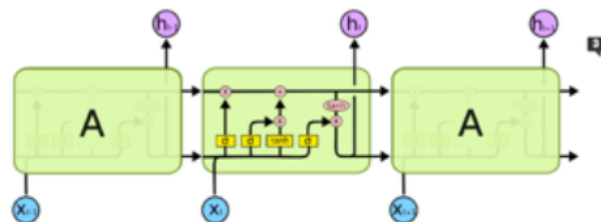


Energy Reconstruction with LSTMs

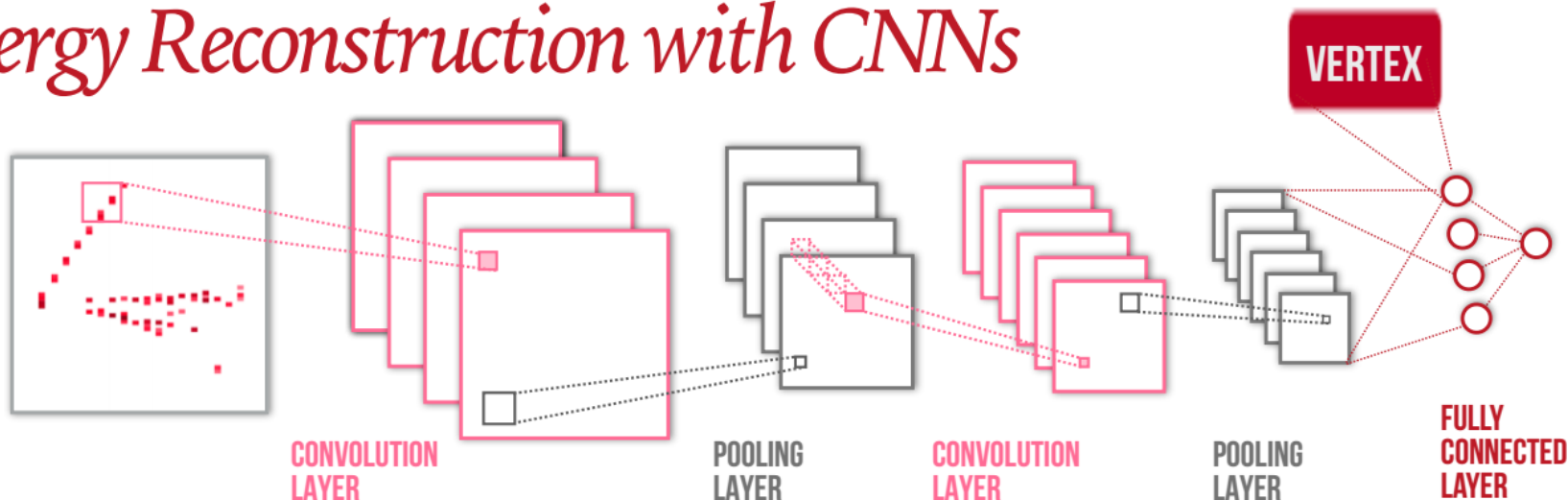
Recurrent Neural Networks:

Sequential network using the current state of the system + the output from last iteration.

LSTM: RNN + Long term memory cell



Energy Reconstruction with CNNs



The target is Energy instead of PID values.

see poster
from [yesterday's session K01.00029](#)



Published. 2019

[Phys.Rev. D99 \(2019\) no.1, 012011](#)

Improved Energy Reconstruction in NOvA with Regression Convolutional Neural Networks

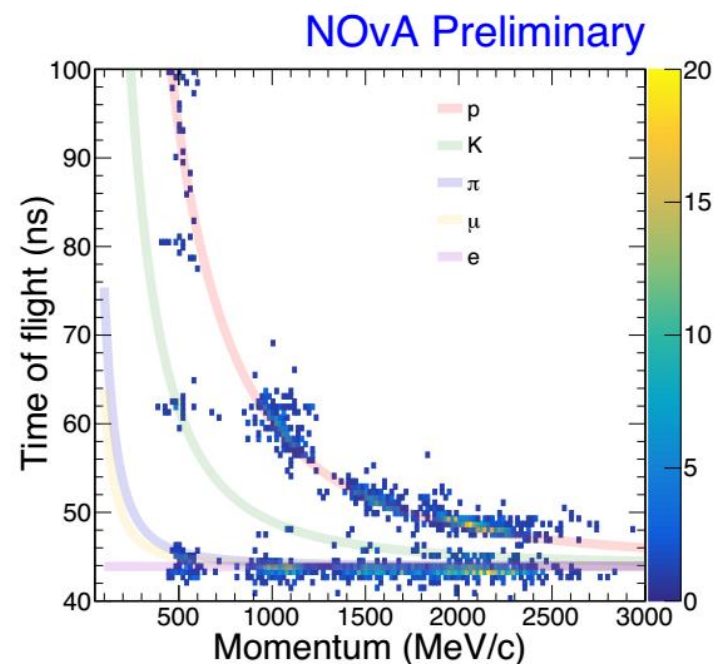
Pierre Baldi, Jianming Bian, Lars Hertel, Lingge Li

NOvA Test Beam

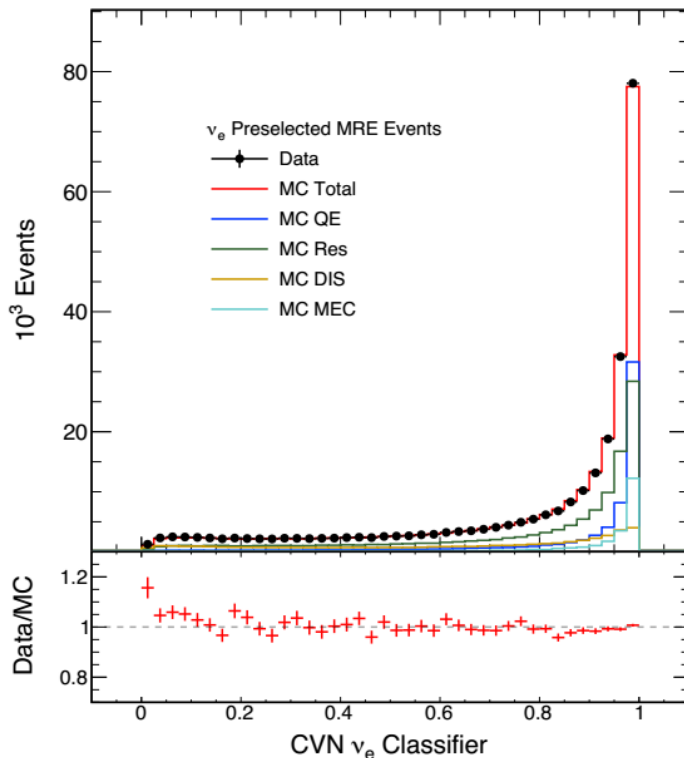
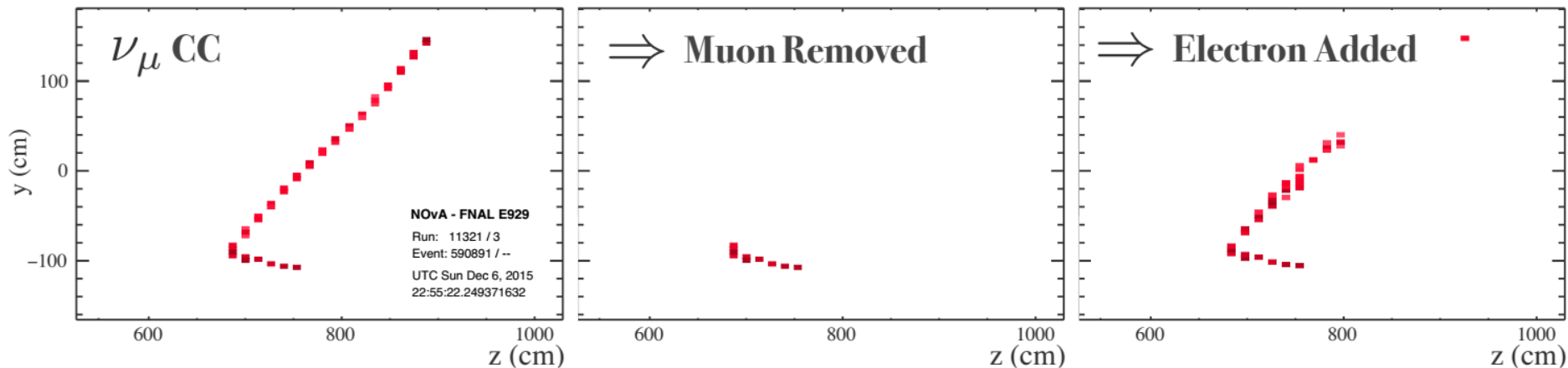


The NOvA test beam detector is being currently taking data and will continue throughout 2019.

With a **library of labeled data from single particle interactions** of known identity and momentum, NOvA will expand the data-driven checks of our deep learning algorithms.



Data-driven test example



MRE (Muon Removed - Electron added):

Select a muon neutrino interaction with traditional ID methods.

Remove the muon hits and replace them with a single simulated electron of matching momentum.

Data/MC comparisons show less than 1% difference in efficiency.

PID	Sample	Preselection	PID	Efficiency	Efficiency diff %
CVN	Data	262884	188809	0.718222	-0.36%
	MC	277320	199895	0.720809	