



# Semileptonic B decays: features – anomalies - challenges

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outline

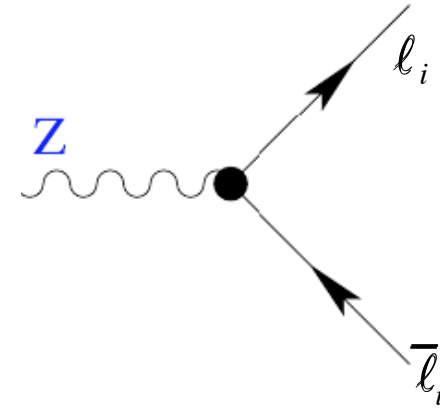
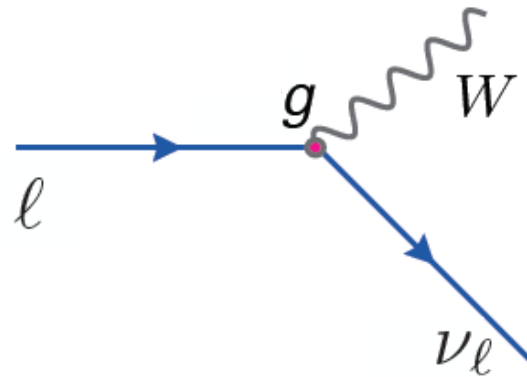
- tensions and anomalies in semileptonic B decays
- model independent analyses
- which model beyond SM?

based on F. De Fazio, F. Lopalco & PC:  
arxiv:1906.07068; JHEP 1806, 082; PRD 95, 011701(R)

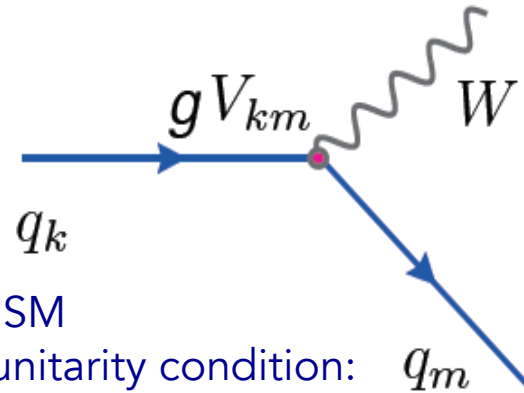
Helmoltz – DIAS International Summer School  
*Quantum Field Theory at the Limits:*  
*From strong fields to heavy quarks*  
Dubna, July 22 – August 2, 2019

$$SU(2)_L \times U(1)_Y$$

**Lepton Flavour Universality**  
 same couplings of the lepton generations to the gauge bosons

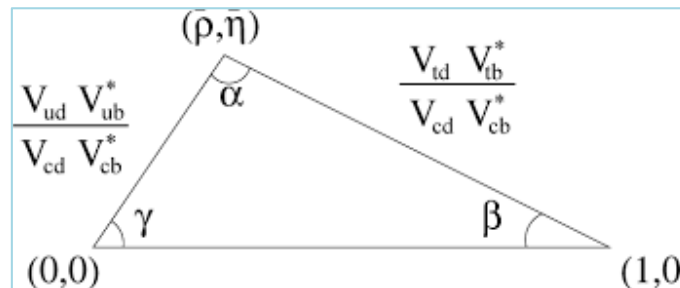


charged current quark transitions  
**unitary  $V_{CKM}$**



elements are parameters of the SM  
 relations provided by unitarity condition:  
 unitarity triangles

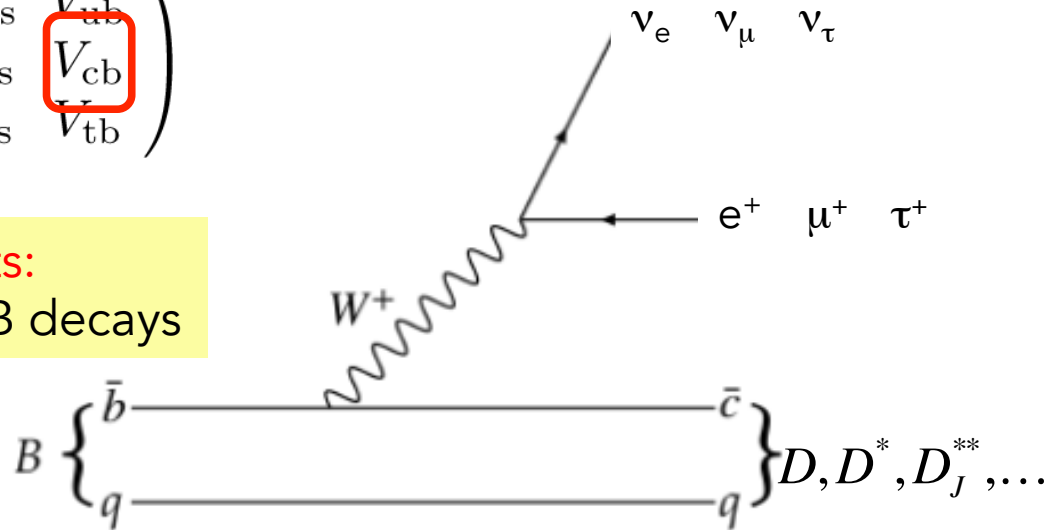
$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



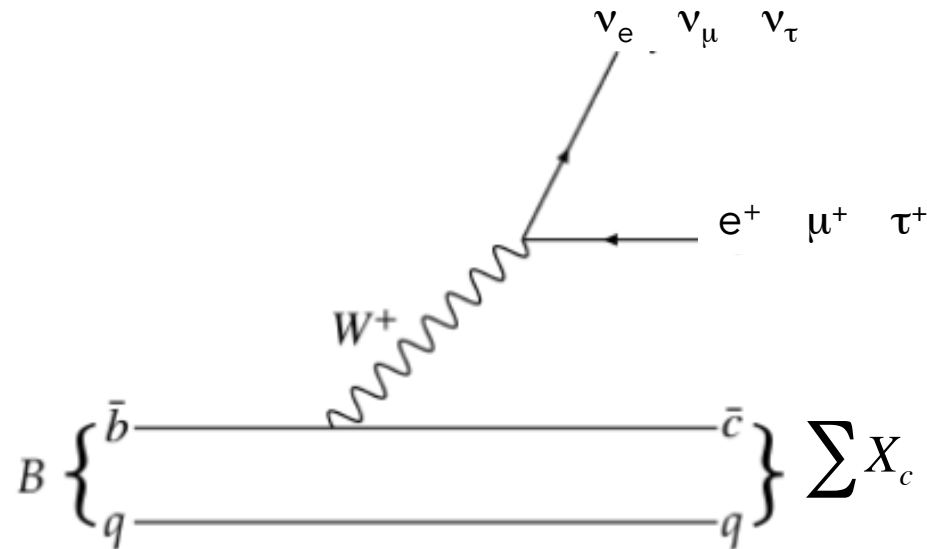
$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$|V_{cb}|$  measurements:  
use semileptonic B decays

exclusive  
processes

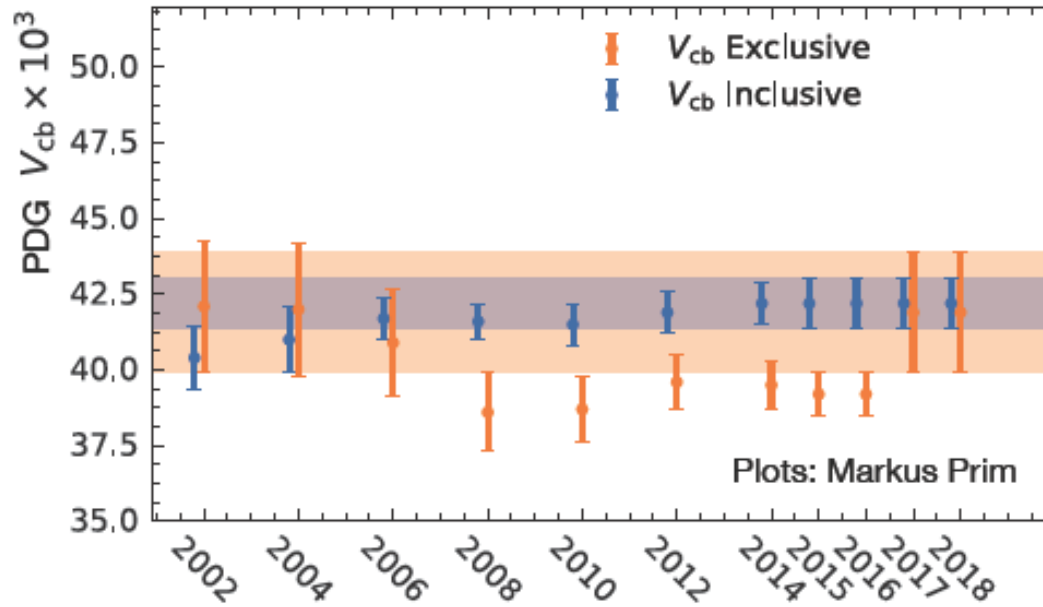


inclusive  
mode



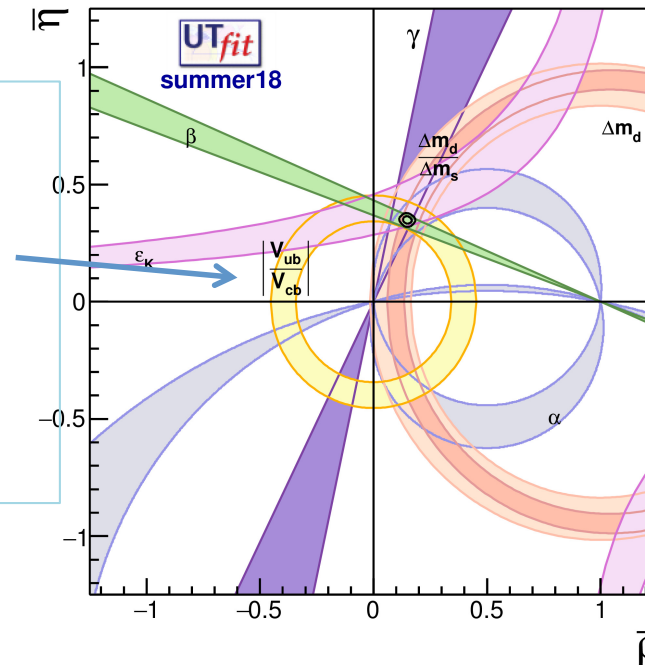
other b decay modes ( $B_c$  purely leptonic,  $\Lambda_b \rightarrow \Lambda_c \dots$ ) more rare or less precise

# $|V_{cb}|_{\text{excl}}$ vs $|V_{cb}|_{\text{incl}}$

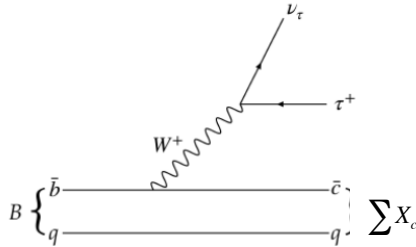


## $|V_{cb}|$ important parameter

- $|V_{ub}|/|V_{cb}|$  constrains the UT triangle
- rare decays  $|V_{tb}^* V_{ts}|^2 \rightarrow |V_{cb}|^2 (1 + O(\lambda^2))$
- .....



inclusive width  
computed using OPE



$$\begin{aligned} \Gamma &\propto \sum_X (2\pi)^4 \delta^4(P_B - P_X) |\langle X | \mathcal{H}_{eff} | B(v) \rangle|^2 \\ &= \int d^4x \langle B(v) | \mathcal{H}_{eff}(x) \mathcal{H}_{eff}^\dagger(0) | B(v) \rangle \\ &= 2 \text{Im} \int d^4x \langle B(v) | T \{ \mathcal{H}_{eff}(x) \mathcal{H}_{eff}^\dagger(0) \} | B(v) \rangle \\ &= 2 \text{Im} \int d^4x e^{-im_b v \cdot x} \langle B(v) | T \{ \tilde{\mathcal{H}}_{eff}(x) \tilde{\mathcal{H}}_{eff}^\dagger(0) \} | B(v) \rangle \end{aligned}$$

Shifman Vainshtein Uraltsev  
Georgi Bigi Chay Manohar  
Wise Neubert Mannel  
Gambino

$$\int d^4x e^{im_b v \cdot x} T \{ \tilde{\mathcal{H}}_{eff}(x) \tilde{\mathcal{H}}_{eff}^\dagger(0) \} = \sum_{n=0}^{\infty} \left( \frac{1}{2m_Q} \right)^n C_{n+3}(\mu) \mathcal{O}_{n+3}$$

OPE

$$\Gamma = \Gamma_0 + \frac{1}{m_Q} \Gamma_1 + \frac{1}{m_Q^2} \Gamma_2 + \frac{1}{m_Q^3} \Gamma_3 + \dots$$

free quark decay width    vanishes    power corrections

each  $\Gamma_i$  expanded in  $\alpha_s$

input

- $m_b$   $m_c$   $\alpha_s$

- non perturbative parameters

$$\begin{cases} 2M_H \mu_\pi^2 &= -\langle H(v) | \bar{Q}_v (iD)^2 Q_v | H(v) \rangle \\ 2M_H \mu_G^2 &= \langle H(v) | \bar{Q}_v \sigma_{\mu\nu} (iD^\mu) (iD^\nu) Q_v | H(v) \rangle \end{cases} \quad \Gamma_2$$

$$\begin{cases} 2M_H \rho_D^3 &= -\langle H(v) | \bar{Q}_v (iD_\mu) (ivD) (iD^\mu) Q_v | H(v) \rangle \\ 2M_H \rho_{LS}^3 &= \langle H(v) | \bar{Q}_v \sigma_{\mu\nu} (iD^\mu) (ivD) (iD^\nu) Q_v | H(v) \rangle \end{cases} \quad \Gamma_3$$

$$\left\{ \begin{array}{l} 5 \text{ parameters} \end{array} \right. \quad \Gamma_4$$

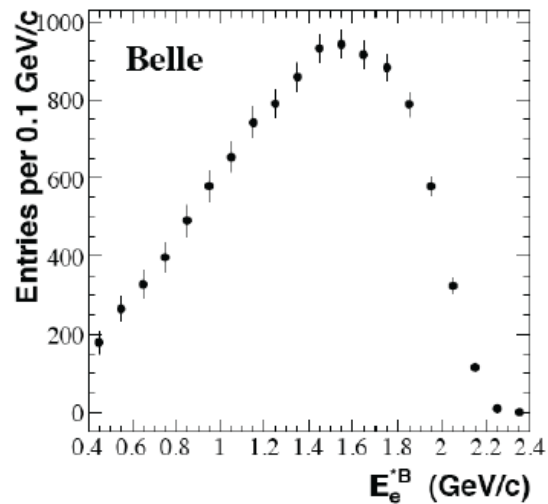
width, spectrum and moments  $\rightarrow$

$E_\ell$  charged lepton energy  
 $M_x$  hadronic invariant mass

$|V_{cb}|$   
HQE parameters

$$|V_{cb}|_{incl} = (42.46 \pm 0.88) \times 10^{-3}$$

$$\langle M_x^n \rangle = \frac{1}{\Gamma} \int dM_x M_x^n \int_{E_{cut}} dE_\ell \frac{d^2\Gamma}{dM_x dE_\ell}$$
$$\langle E_\ell^n \rangle = \frac{1}{\Gamma} \int dM_x \int_{E_{cut}} dE_\ell E_\ell^n \frac{d^2\Gamma}{dM_x dE_\ell}$$



lepton energy spectrum

$$|V_{cb}| \text{ from } \bar{B} \rightarrow D^* \ell \bar{\nu}_\ell$$

Th. uncertainties in hadronic form factors

lepton pair invariant mass distribution

behaviour close to to  $q^2_{\max}$  extrapolated

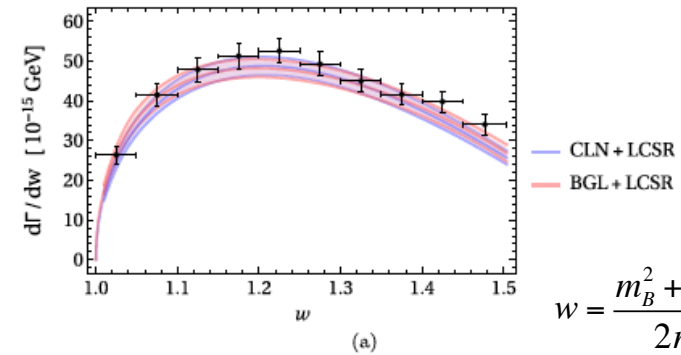
$$\rightarrow |V_{cb}|^2 F(1)^2$$

$F(1)=1$  in the large  $m_Q$  limit

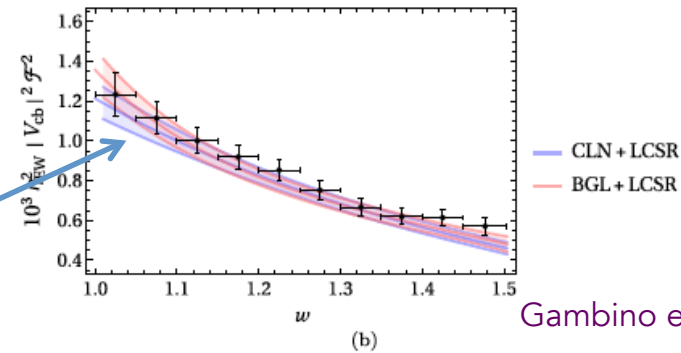
from lattice QCD:  $F(1)=0.906(13)$  (FNAL/MILC)

$F(1)=0.895(26)$  (HPQCD)

no  $O(1/m_Q)$  corrections at  $q^2_{\max}$



$$w = \frac{m_B^2 + m_{D^*}^2 - q^2}{2m_B m_{D^*}}$$



Gambino et al

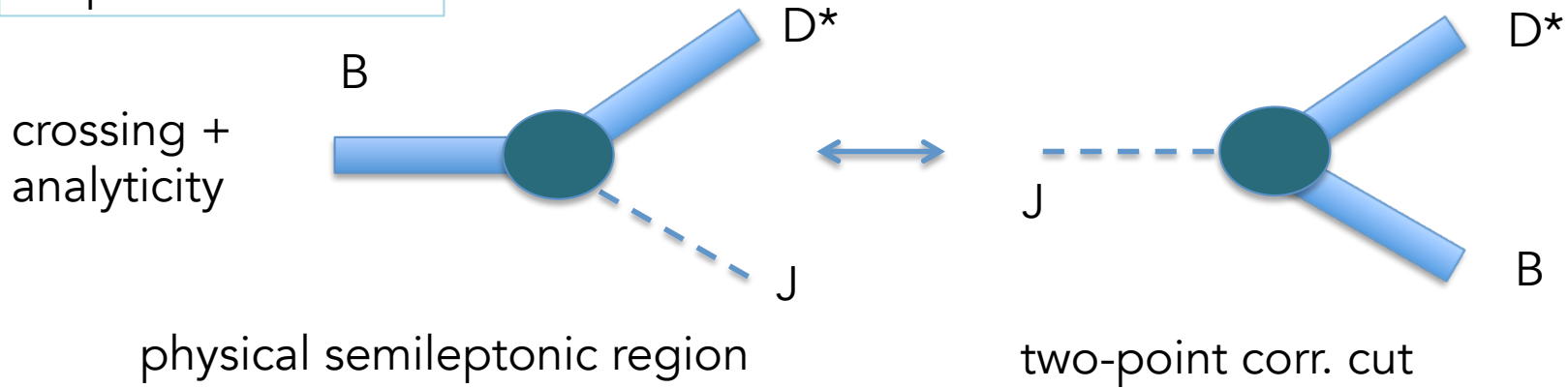
$$\begin{aligned}
\langle D^*(p_{D^*}, \epsilon) | \bar{c} \gamma_\mu (1 - \gamma_5) b | \bar{B}(p_B) \rangle = & - \frac{2V(q^2)}{m_B + m_{D^*}} i \epsilon_{\mu\nu\alpha\beta} \epsilon^{*\nu} p_B^\alpha p_{D^*}^\beta \\
& - \left\{ (m_B + m_{D^*}) \left[ \epsilon_\mu^* - \frac{(\epsilon^* \cdot q)}{q^2} q_\mu \right] A_1(q^2) \right. \\
& - \frac{(\epsilon^* \cdot q)}{m_B + m_{D^*}} \left[ (p_B + p_{D^*})_\mu - \frac{m_B^2 - m_{D^*}^2}{q^2} q_\mu \right] A_2(q^2) \\
& \left. + (\epsilon^* \cdot q) \frac{2m_{D^*}}{q^2} q_\mu A_0(q^2) \right\} \quad (2.24)
\end{aligned}$$

SM

$$\begin{aligned}
\langle D^*(p_{D^*}, \epsilon) | \bar{c} \sigma_{\mu\nu} (1 - \gamma_5) b | \bar{B}(p_B) \rangle = & T_0(q^2) \frac{\epsilon^* \cdot q}{(m_B + m_{D^*})^2} \epsilon_{\mu\nu\alpha\beta} p_B^\alpha p_{D^*}^\beta + T_1(q^2) \epsilon_{\mu\nu\alpha\beta} p_B^\alpha \epsilon^{*\beta} \\
& + T_2(q^2) \epsilon_{\mu\nu\alpha\beta} p_{D^*}^\alpha \epsilon^{*\beta} \\
& + i \left[ T_3(q^2) (\epsilon_\mu^* p_{B\nu} - \epsilon_\nu^* p_{B\mu}) + T_4(q^2) (\epsilon_\mu^* p_{D^*\nu} - \epsilon_\nu^* p_{D^*\mu}) \right. \\
& \left. + T_5(q^2) \frac{\epsilon^* \cdot q}{(m_B + m_{D^*})^2} (p_{B\mu} p_{D^*\nu} - p_{B\nu} p_{D^*\mu}) \right].
\end{aligned}$$

NP

FF parametrization



$$m_\ell^2 \leq q^2 \leq (m_B - m_{D^*})^2$$

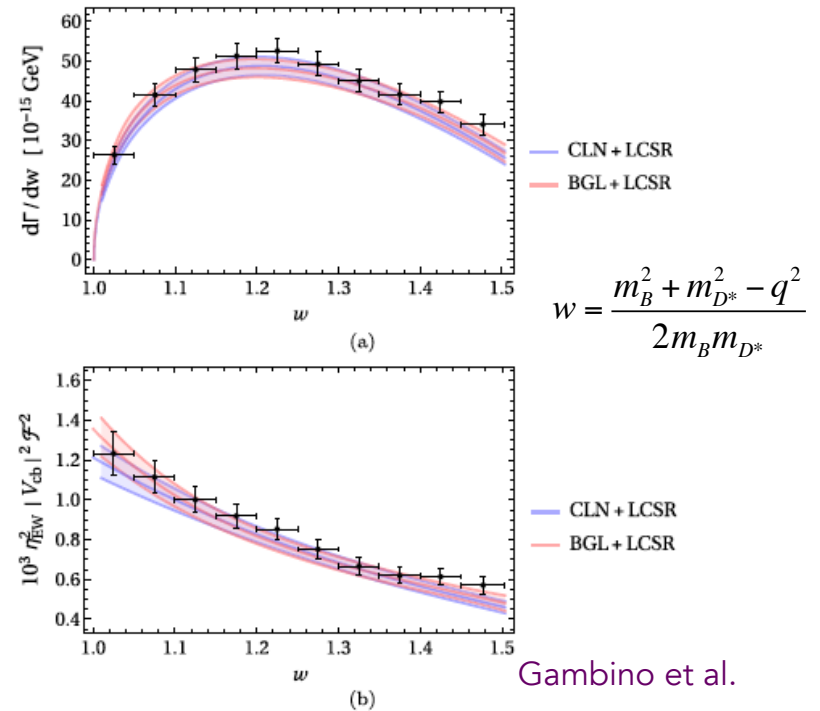
$$q^2 \geq (m_B + m_{D^*})^2$$



$$|V_{cb}| \text{ from } \bar{B} \rightarrow D^* \ell \bar{\nu}_\ell$$

Th. uncertainties in hadronic form factors

- value at maximum  $q^2$   $F(1)$
- shape close to maximum  $q^2$



Caprini Lellouch Neubert

**CLN:** Heavy Quark Theory relations

$$\begin{aligned}
 h_{A_1}(w) &= h_{A_1}(1) [1 - 8\rho^2 z + (53\rho^2 - 15)z^2 - (231\rho^2 - 91)z^3] \\
 R_1(w) &= R_1(1) - 0.12(w - 1) + 0.05(w - 1)^2 \\
 R_2(w) &= R_2(1) + 0.11(w - 1) - 0.06(w - 1)^2 \\
 R_0(w) &= R_0(1) - 0.11(w - 1) + 0.01(w - 1)^2,
 \end{aligned}$$

5 parameters

Boyd Grinstein Lebed

**BGL:** analyticity  
+ crossing symm.

$$f(z) = \frac{1}{P_f(z)\phi_f(z)} \sum_{n=0}^N a_n z^n$$

$$\sum_{n=0}^N |a_n|^2 \leq 1$$

$$\begin{aligned}
 z &= \frac{\sqrt{t_+ - t} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - t} + \sqrt{t_+ - t_0}} \\
 t_\pm &= (M_B \pm M_{D^*})^2 \\
 t_0 &= t_+ - \sqrt{t_+(t_+ - t_-)}
 \end{aligned}$$

Blatsche factors ( $B_c^{(n)}$  poles) + phase space functions

parameters

$|V_{cb}|_{excl}$  vs  $|V_{cb}|_{incl}$

$$|V_{cb}|_{excl}^{D^*} = (39.27 \pm 0.56_{th} \pm 0.49_{exp}) \times 10^{-3}$$

$$|V_{cb}|_{excl}^D = (40.85 \pm 0.98) \times 10^{-3}$$

LQCD+CLN+BABAR+Belle+LHCb

↑ Caprini Lellouch Neubert

Gambino et al  
Grinstein et al  
Berlochner et al

$$|V_{cb}|_{excl}^{D^*} = (41.9_{-1.9}^{+2.0}) \times 10^{-3}$$

LQCD+BGL+Belle (dataset in 1701.0827)

↑ Boyd Grinstein Lebed

new BABAR  
and Belle

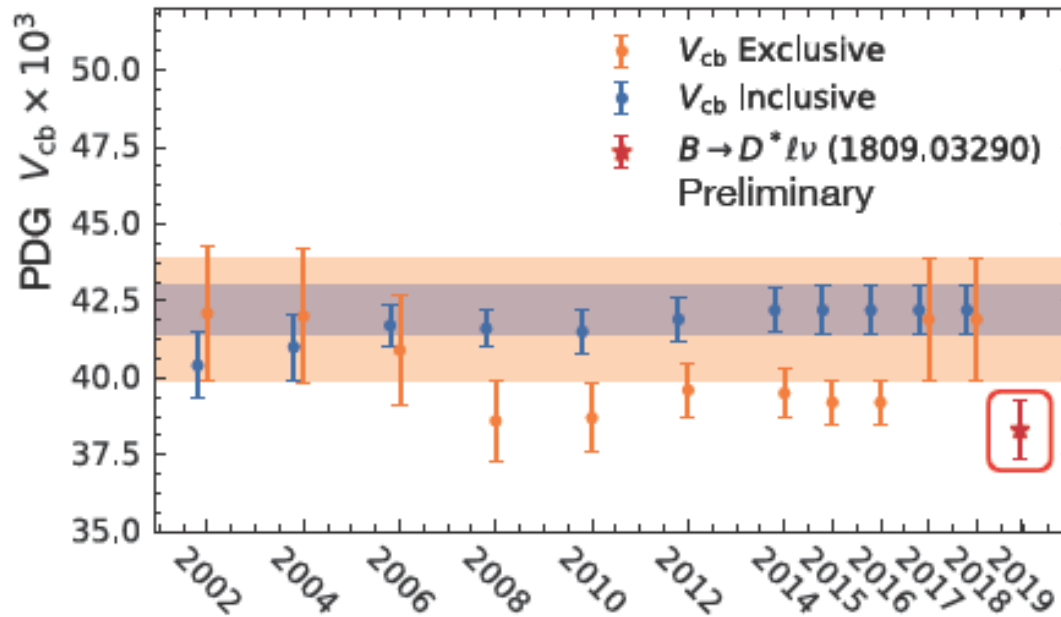
$$|V_{cb}|_{excl}^{D^*} = (38.36 \pm 0.90) \times 10^{-3}$$

LQCD+BGL+BABAR (1903.10002)

LQCD+BGL+Belle (1809.03290)

$$|V_{cb}|_{incl} = (42.46 \pm 0.88) \times 10^{-3}$$

Heavy FLavour AVeraging group

$|V_{cb}|_{\text{excl}}$  vs  $|V_{cb}|_{\text{incl}}$ 


plot: M. Prim

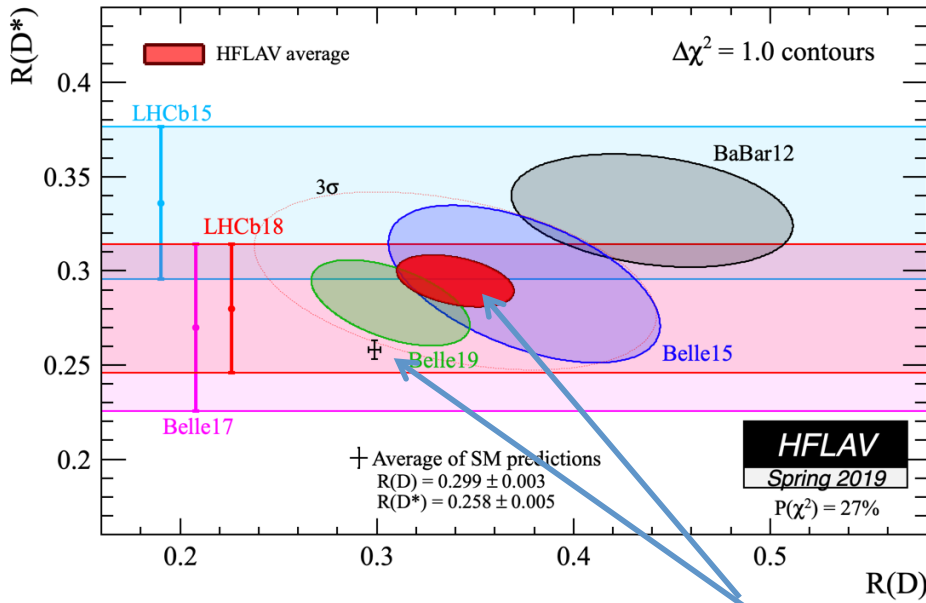
- confirmation of the lattice QCD result for  $F(1)$  required (SM solution of the puzzle)
- however, another anomaly has been detected in  $b \rightarrow c$  semileptonic modes

# anomalies in $R(D^{(*)})$

$$R^0(D) = \frac{\mathcal{B}(\bar{B}^0 \rightarrow D^+ \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B}^0 \rightarrow D^+ \ell^- \bar{\nu}_\ell)}$$

$$R^0(D^*) = \frac{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \ell^- \bar{\nu}_\ell)}$$

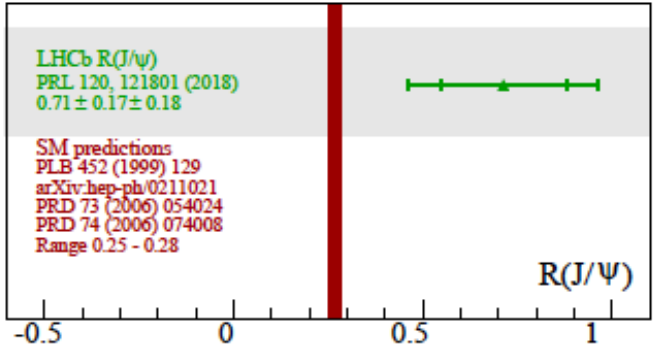
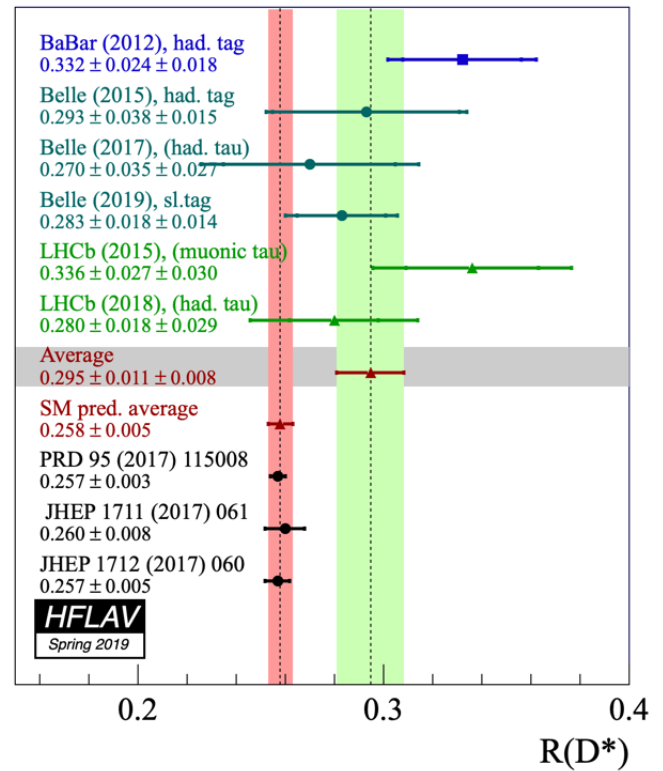
$\ell = e, \mu$



**3.1  $\sigma$  from SM**

Fajfer et al.

form factor uncertainties largely cancel out in the ratios



$$R(J/\psi) = \frac{\mathcal{B}(B_c^+ \rightarrow J/\psi \tau^+ \nu_\tau)}{\mathcal{B}(B_c^+ \rightarrow J/\psi \mu^+ \nu_\mu)}$$

M. Ivanov et al.

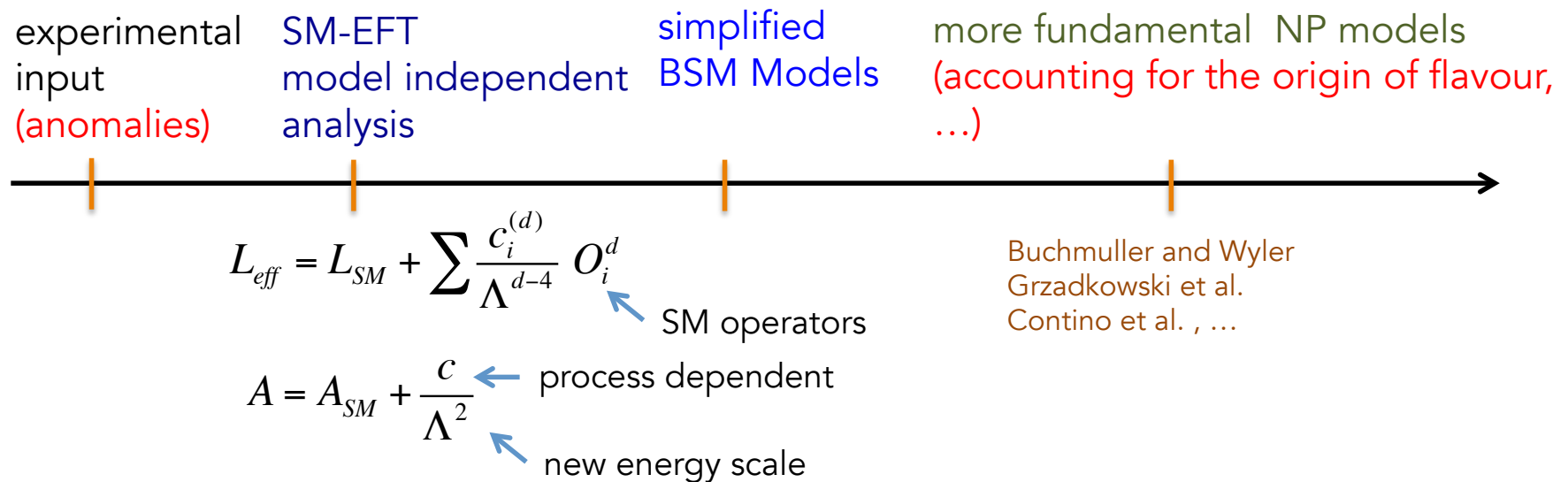
**$\rightarrow \tau/\mu, e$  universality questioned**

SM or BSM effects?

Are the  $R(D^{(*)})$  and  $|V_{cb}|$  issues different problems  
or  
are they related?

SM successful theory of physics phenomena up to the ew scale  
 SM not expected to hold much above that scale, but its (eventual)  
 extension is unknown

pragmatic approach



flavour ansatz: NP mainly coupled to the third fermion family

R(D<sup>\*</sup>)

consider additional operators

example: operator enhancing B to  $\tau$  semileptonic modes and leaving  $\tau(B_c)$  quite unaffected

Biancofiore De Fazio PC

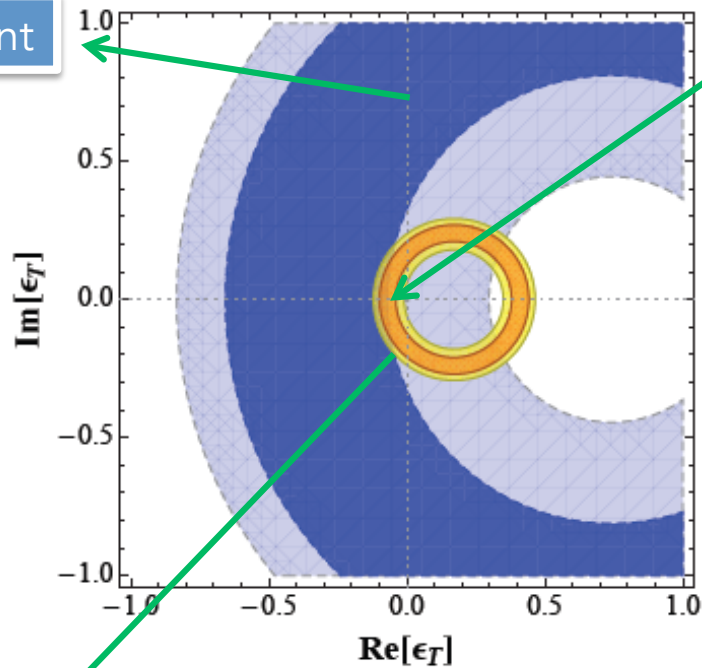
$$H_{eff} = H_{eff}^{SM} + H_{eff}^{NP} = \frac{G_F}{\sqrt{2}} V_{cb} [\bar{c}\gamma_\mu(1-\gamma_5)b\bar{\ell}\gamma^\mu(1-\gamma_5)\bar{\nu}_\ell + \epsilon_T^\ell \bar{c}\sigma_{\mu\nu}(1-\gamma_5)b\bar{\ell}\sigma^{\mu\nu}(1-\gamma_5)\bar{\nu}_\ell]$$

$$\epsilon_T^{\mu,e} = 0, \epsilon_T^\tau \neq 0$$

common range

analyze the phenomenological consequences

R(D) constraint



R(D<sup>\*</sup>) constraint

## $|V_{cb}|$ problem

### arguments against a NP option

- for  $H_{\text{eff}}$  with new four-fermion operators (S,P,T) and **massless leptons, at zero recoil** no interference between SM and NP contributions
- same NP effect in all modes

Crivellin Pokorski, PRL 114, 011802 (2015)

The arguments can be evaded:

- consider new operators in  $H_{\text{eff}}$  (example: tensor)
- relax the assumption that it contributes only for  $\tau$  lepton
- keep non vanishing  $m_\ell$   $\ell=e,\mu,\tau$  and  $m_e \neq m_\mu$

De Fazio PC, PRD 95, 011701(R)

same NP example:

$$H_{\text{eff}} = \frac{G_F}{\sqrt{2}} V_{cb} [\bar{c}\gamma_\mu(1-\gamma_5)b\bar{\ell}\gamma^\mu(1-\gamma_5)\bar{\nu}_\ell + \epsilon_T^\ell \bar{c}\sigma_{\mu\nu}(1-\gamma_5)b\bar{\ell}\sigma^{\mu\nu}(1-\gamma_5)\bar{\nu}_\ell]$$



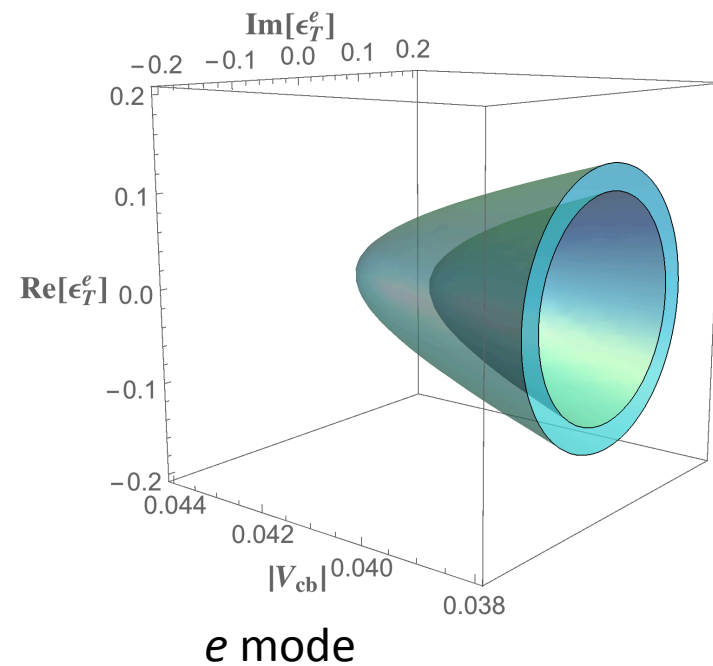
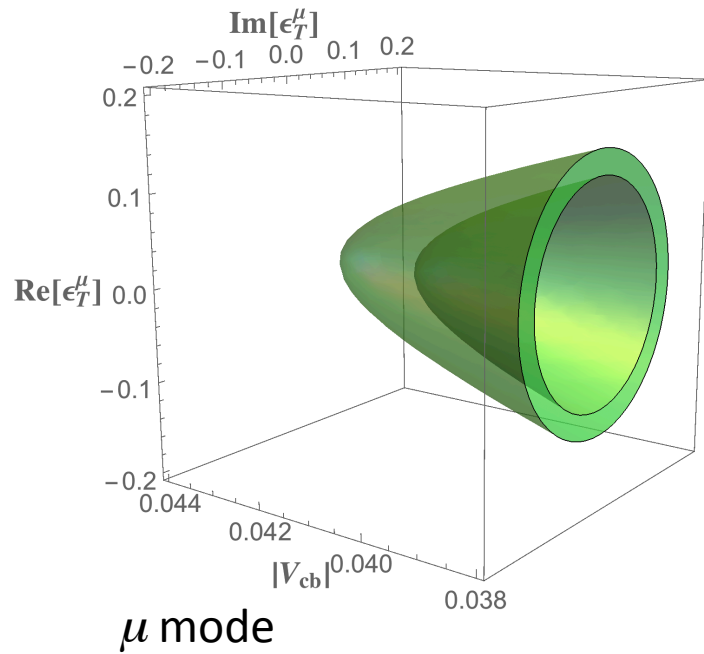
$$B \rightarrow X_c \ell \nu_\ell$$

$$\Gamma = \Gamma_{SM} + |\varepsilon_T|^2 \Gamma_{NP} + \text{Re}(\varepsilon_T) \Gamma_{INT}$$

$\Gamma_{SM, NP, INT}$  expanded in  $1/m_Q$

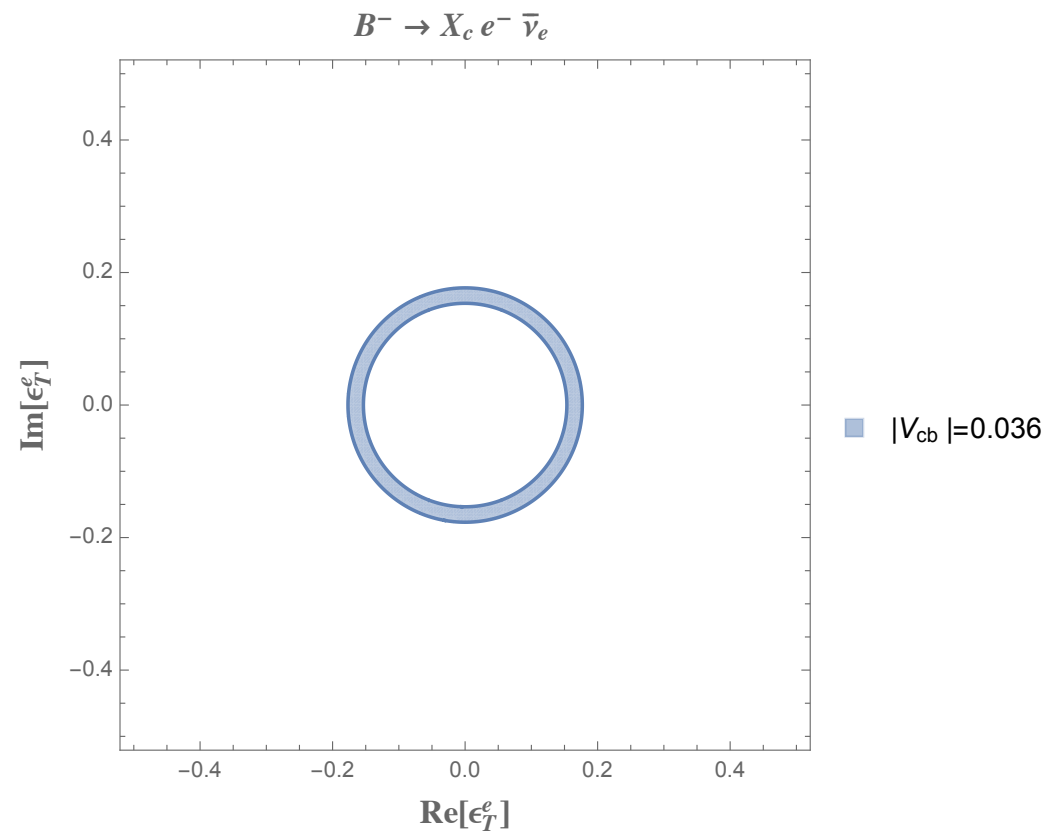
parameter space  $(\text{Re}(\varepsilon_T^\ell), \text{Im}(\varepsilon_T^\ell), |V_{cb}|)$

input (PDG)  $B(B^+ \rightarrow X_c e^+ \nu_e) = (10.8 \pm 0.4) \times 10^{-2}$



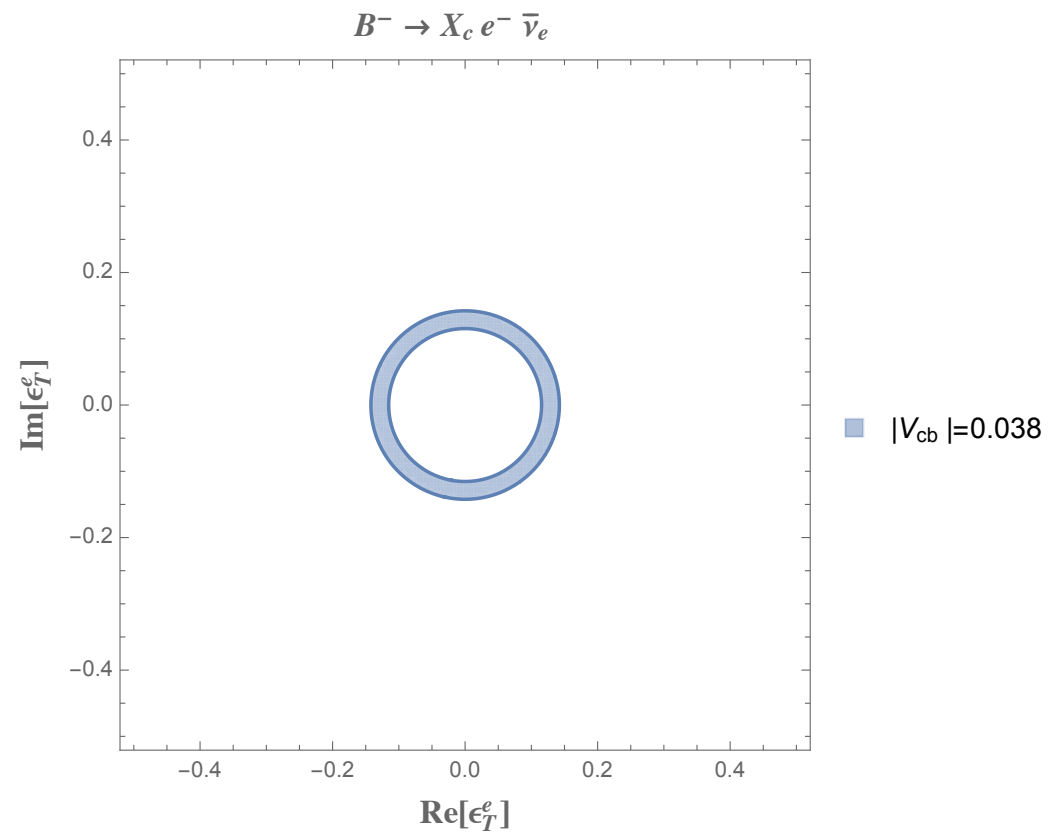
$B \rightarrow X_c \ell \nu_\ell$   
allowed regions in parameter space

allowed  $\epsilon_T'$  correlated to  $|V_{cb}|$



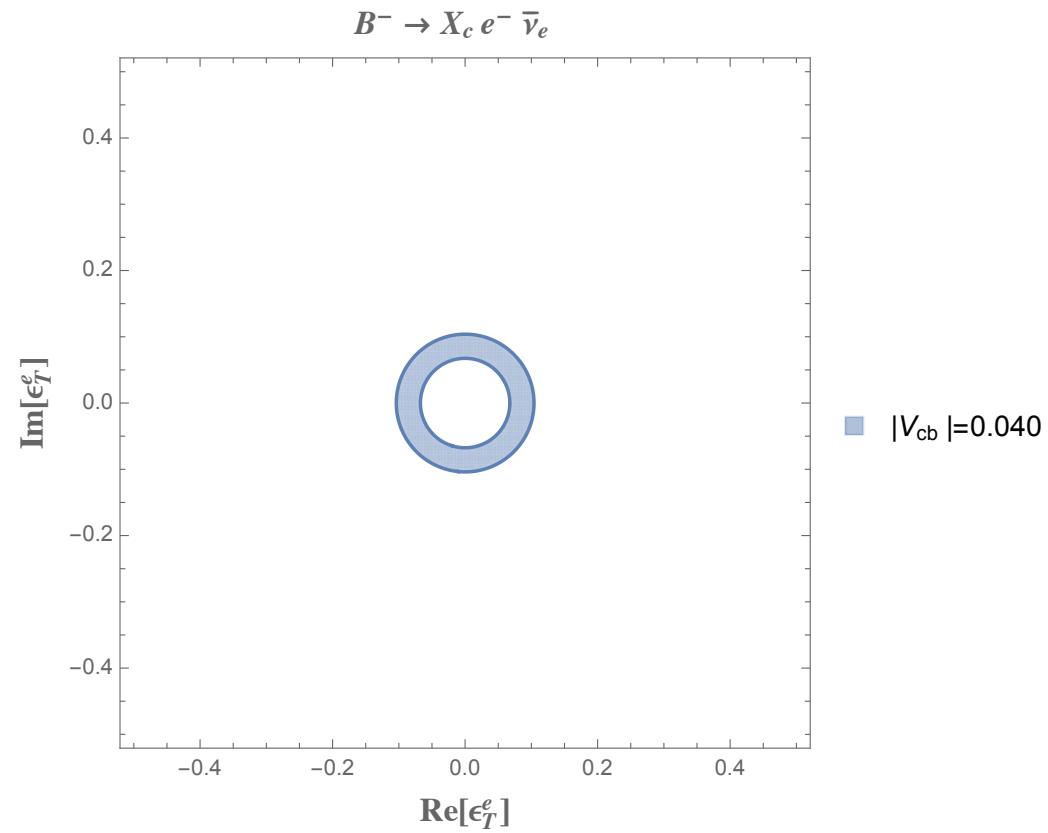
$B \rightarrow X_c \ell \nu_\ell$   
allowed regions in parameter space

allowed  $\epsilon_T'$  correlated to  $|V_{cb}|$



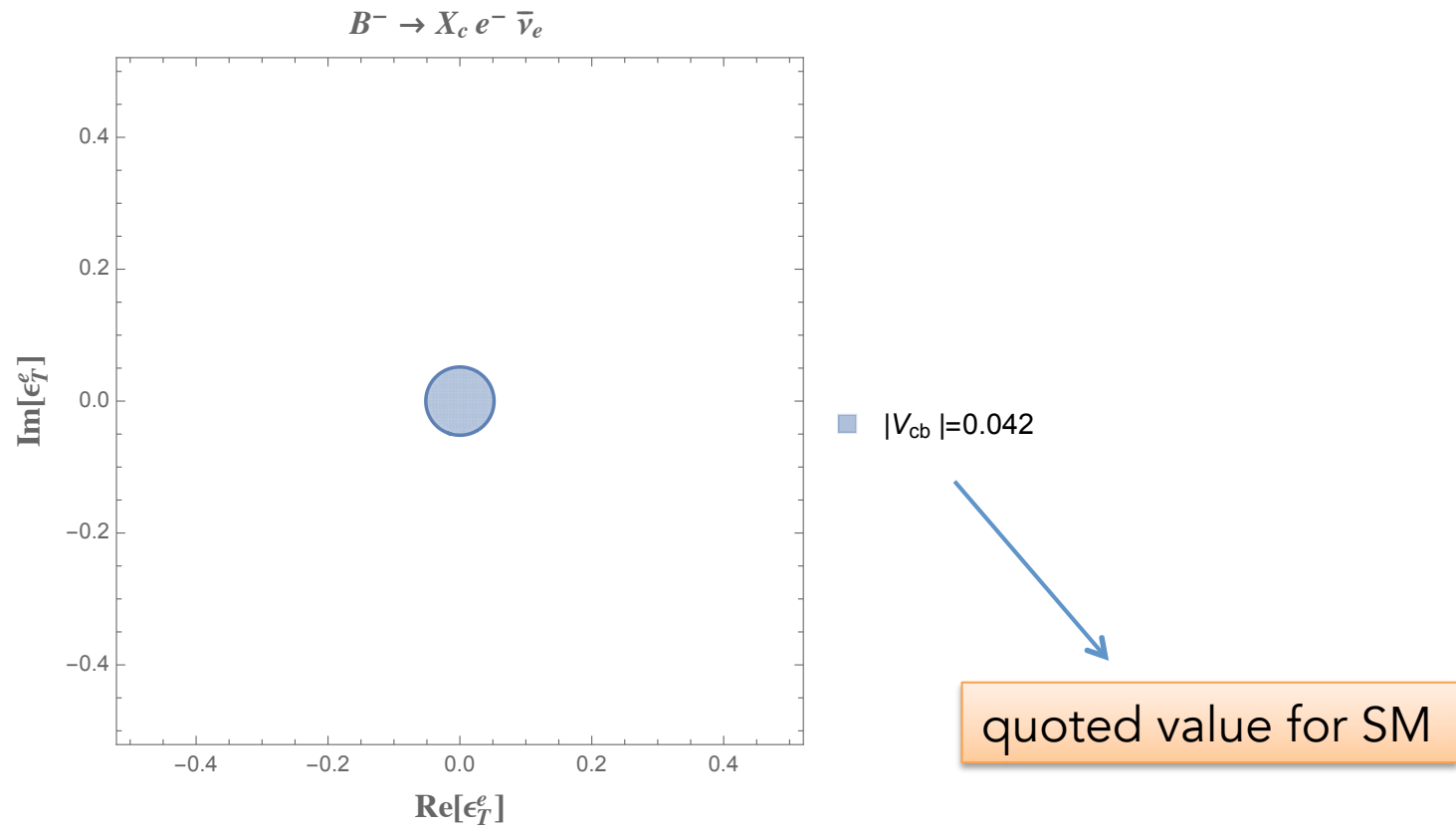
$B \rightarrow X_c \ell \nu_\ell$   
allowed regions in parameter space

allowed  $\epsilon_T'$  correlated to  $|V_{cb}|$



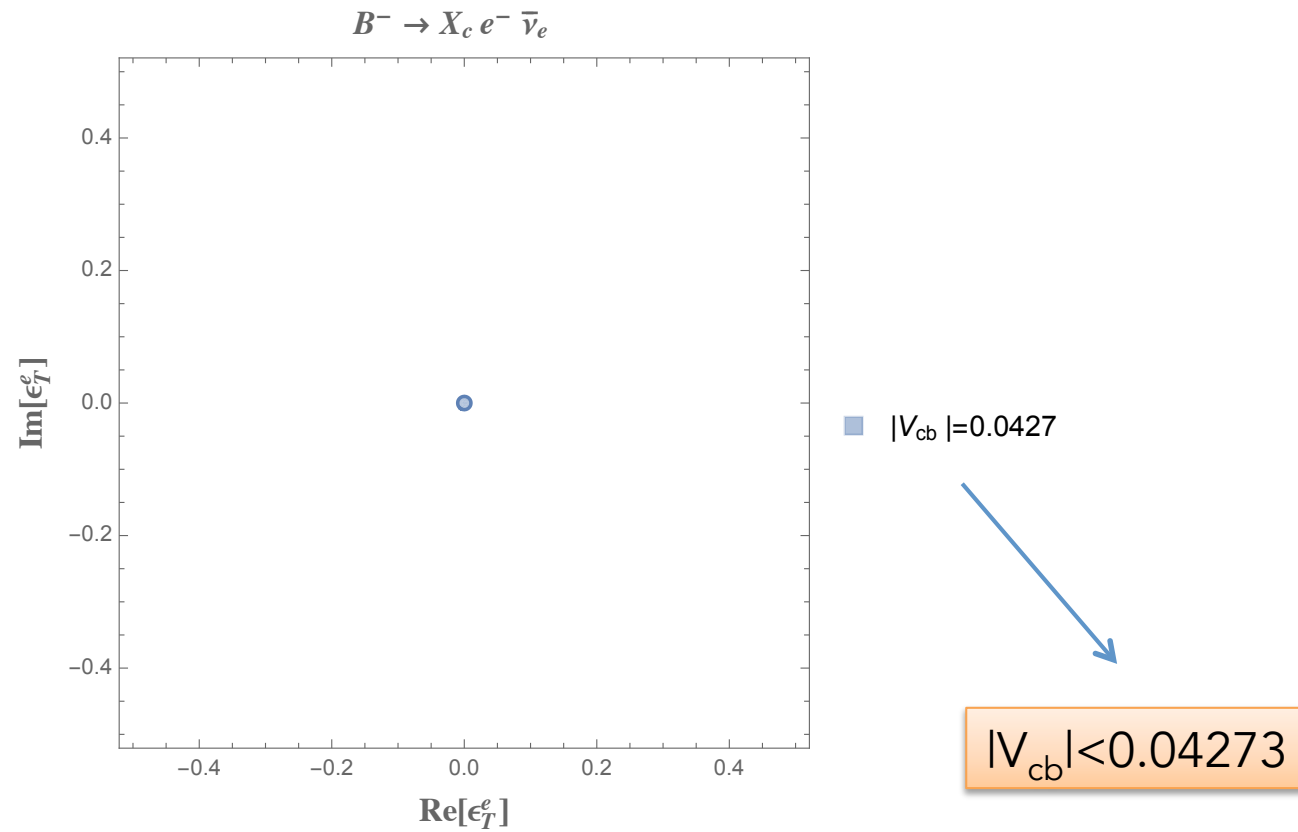
$B \rightarrow X_c \ell \bar{\nu}_\ell$   
allowed regions in parameter space

allowed  $\epsilon_T'$  correlated to  $|V_{cb}|$



$B \rightarrow X_c \ell \nu_\ell$   
allowed regions in parameter space

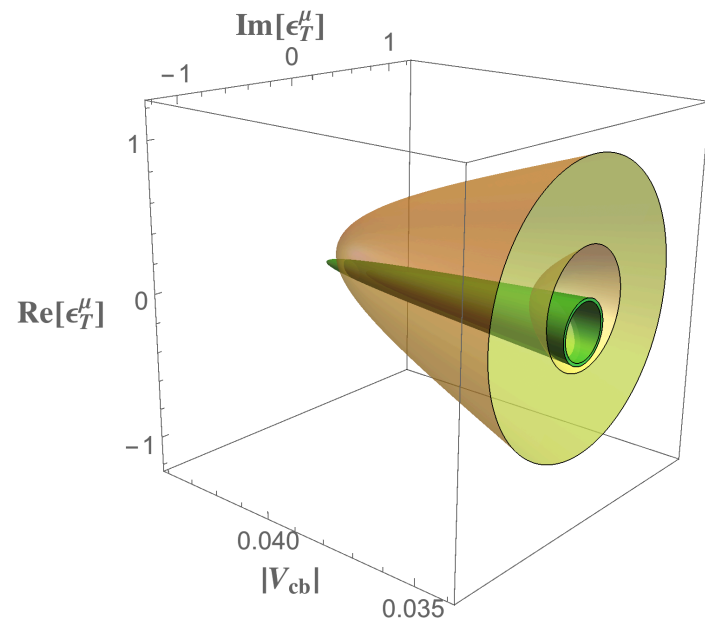
allowed  $\epsilon_T'$  correlated to  $|V_{cb}|$



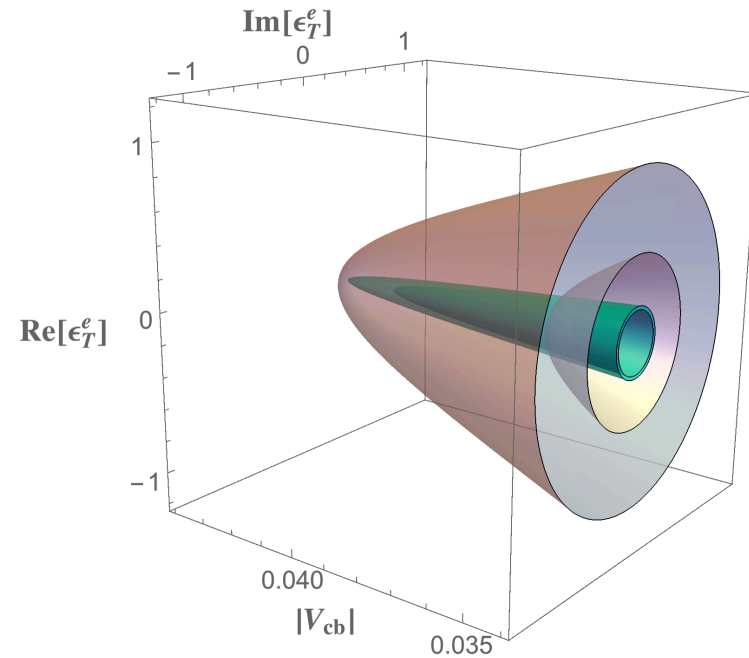
$B \rightarrow D \ell \nu_\ell + B \rightarrow X_c \ell \nu_\ell$ : allowed regions

$$B(B^+ \rightarrow \bar{D}^0 e^+ \nu_e) = (2.38 \pm 0.04 \pm 0.15) \times 10^{-2}$$

$$B(B^+ \rightarrow \bar{D}^0 \mu^+ \nu_\mu) = (2.25 \pm 0.04 \pm 0.17) \times 10^{-2}$$



$\mu$  mode



e mode

inner regions: inclusive  
outer regions: exclusive

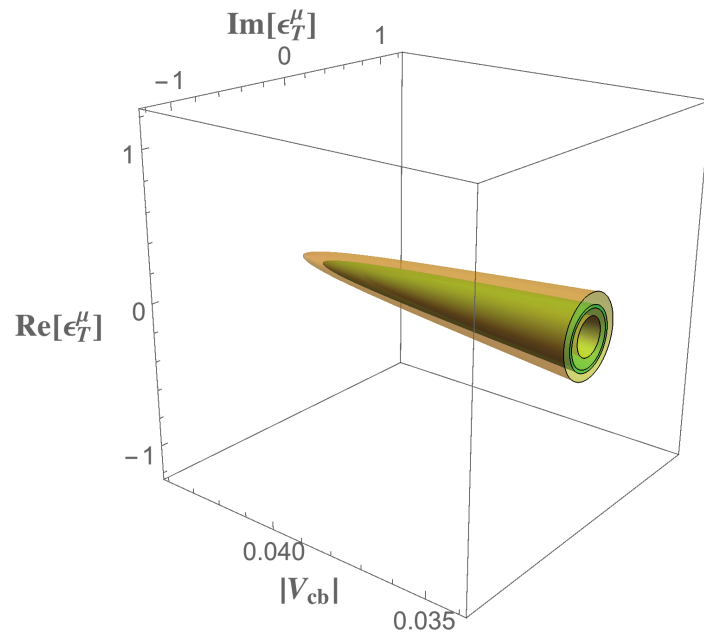
effect of the lepton mass:  
the symmetry axes of the two regions do not coincide in the case of  $\mu$

$$B \rightarrow D^* \ell \nu_\ell + B \rightarrow X_c \ell \nu_\ell$$

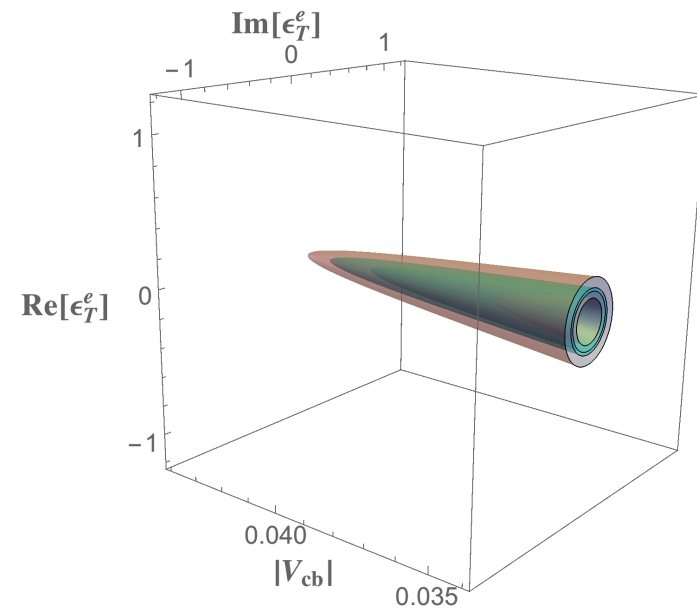
$$B(B^+ \rightarrow \bar{D}^{*0} e^+ \nu_e) = (5.50 \pm 0.05 \pm 0.23) \times 10^{-2}$$

$$B(B^+ \rightarrow \bar{D}^{*0} \mu^+ \nu_\mu) = (5.34 \pm 0.06 \pm 0.37) \times 10^{-2}$$

BABAR, PRD79, 012002 (2009)



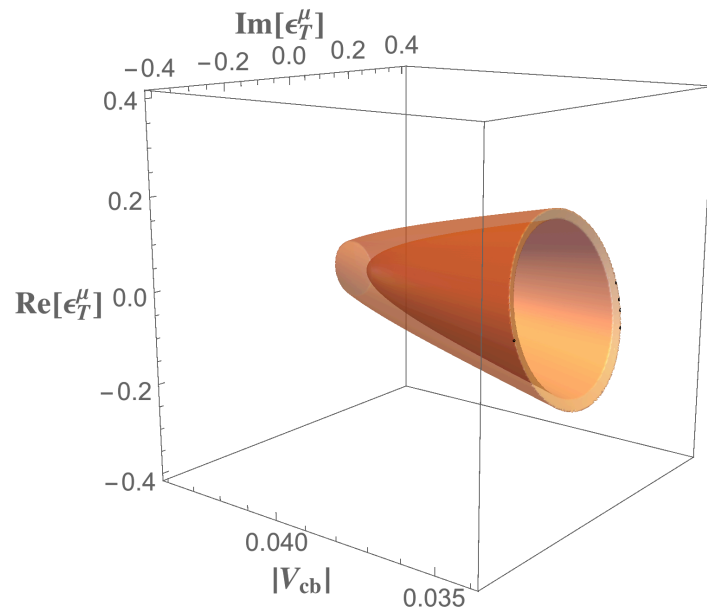
$\mu$  mode



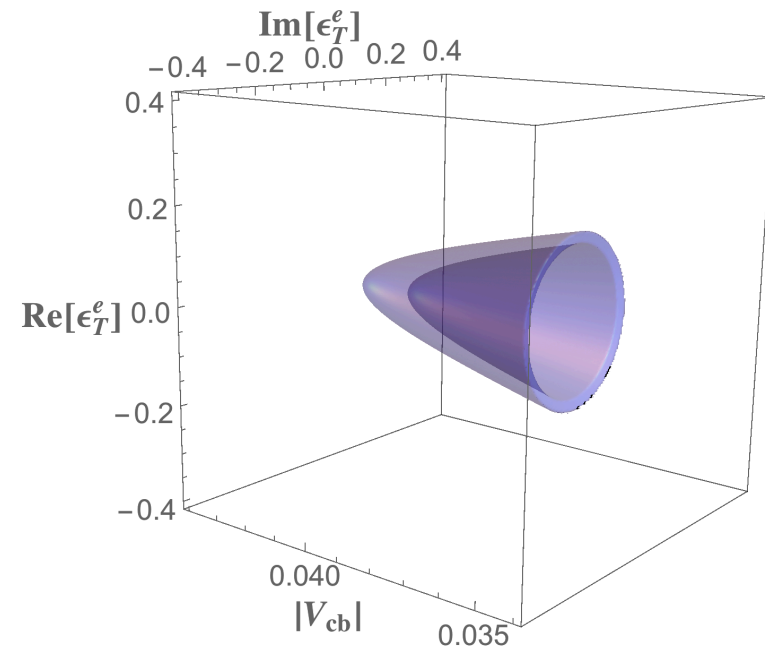
e mode

inner regions: inclusive  
outer regions: exclusive





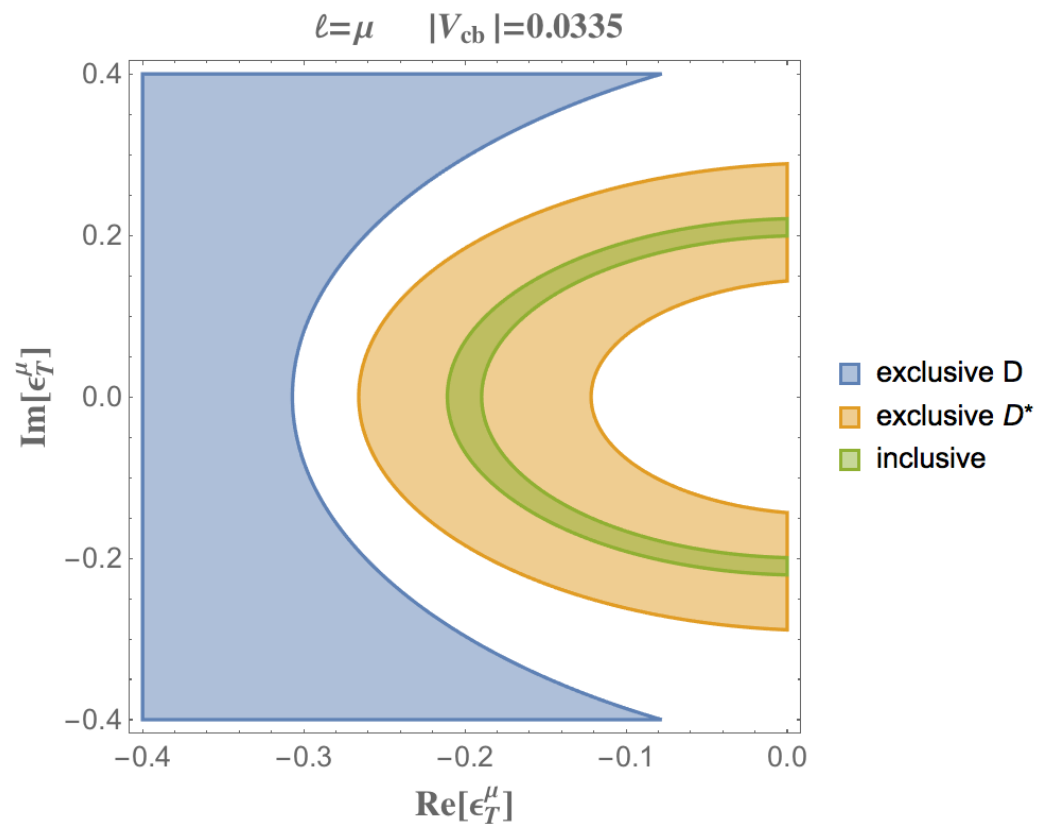
$\mu$  mode



$e$  mode

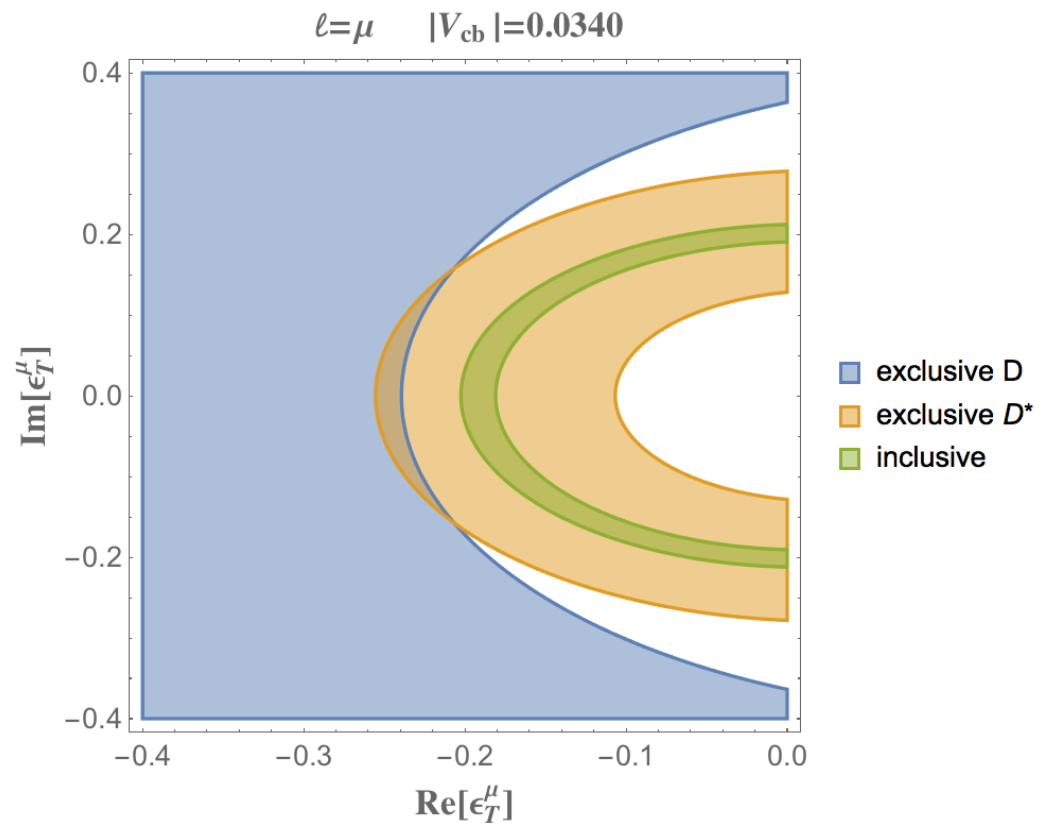
projections in the  $(\text{Re } \epsilon_T, \text{Im } \epsilon_T)$  plane

$\mu$  mode



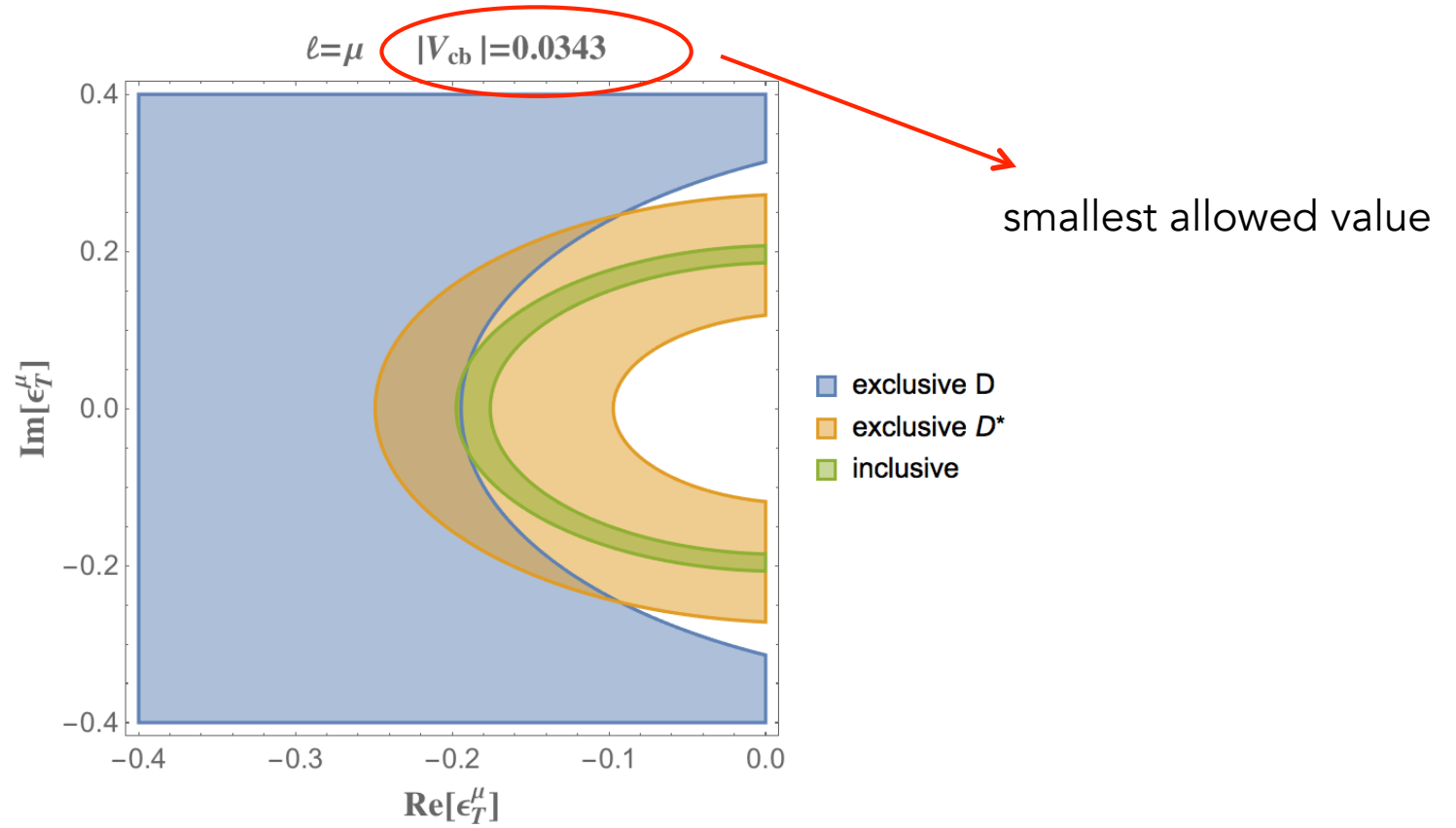
projections in the  $(\text{Re } \epsilon_T, \text{Im } \epsilon_T)$  plane

$\mu$  mode



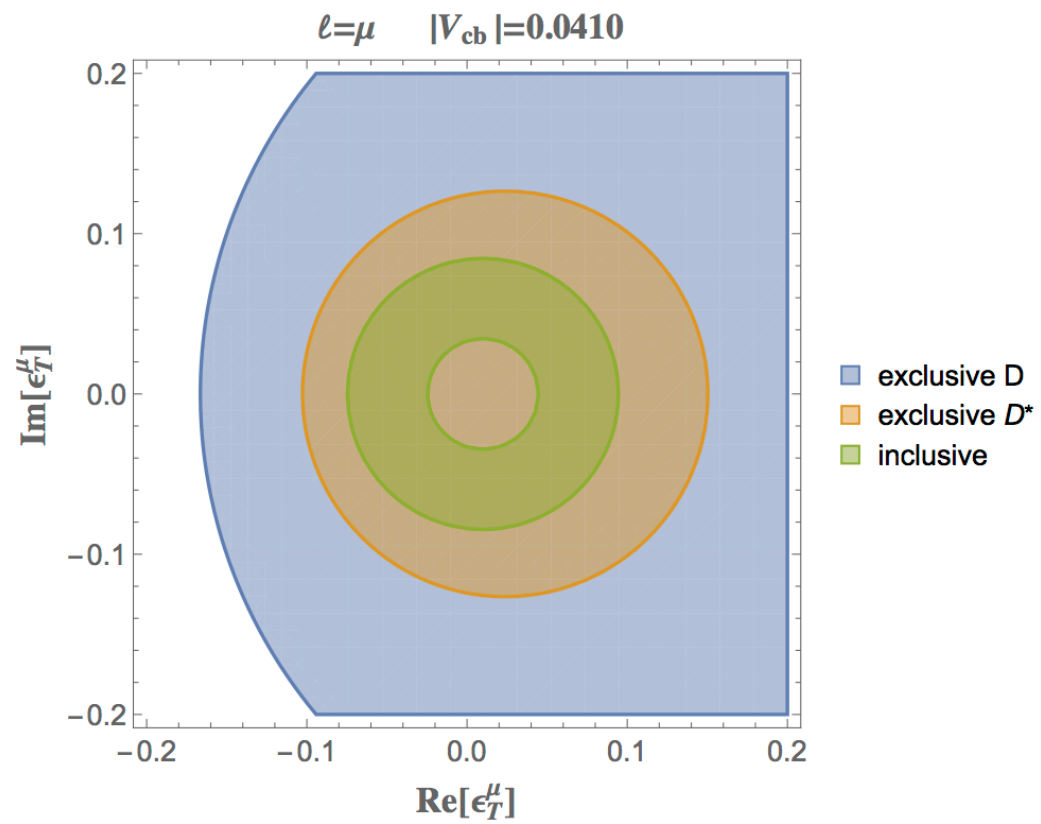
projections in the  $(\text{Re } \epsilon_T, \text{Im } \epsilon_T)$  plane

$\mu$  mode



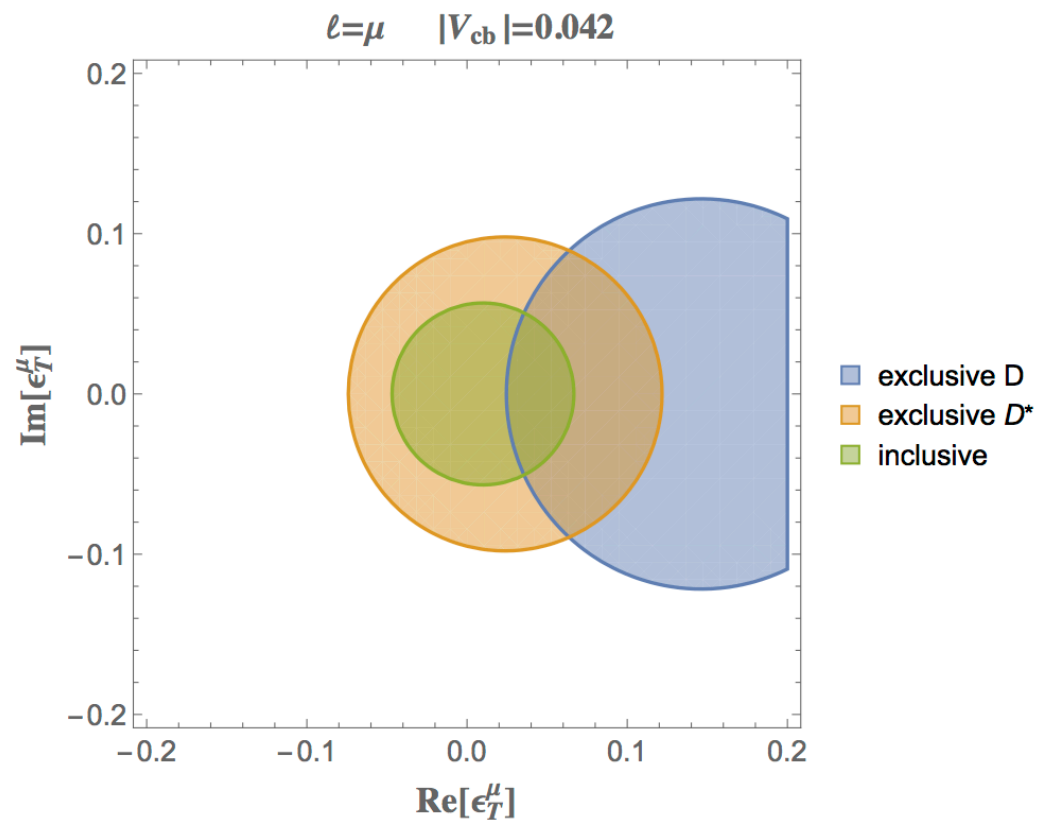
projections in the  $(\text{Re } \epsilon_T, \text{Im } \epsilon_T)$  plane

$\mu$  mode



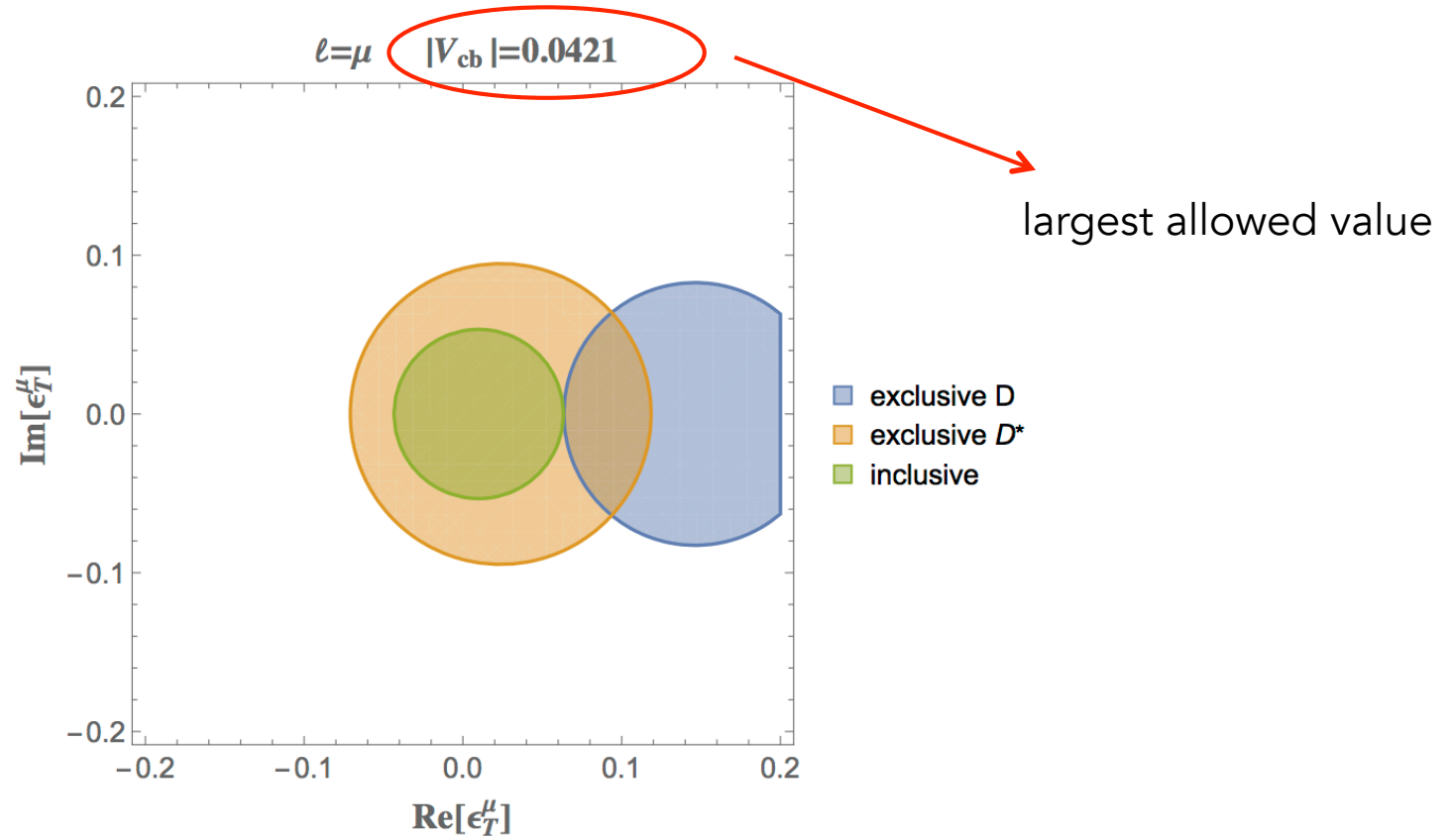
projections in the  $(\text{Re } \epsilon_T, \text{Im } \epsilon_T)$  plane

$\mu$  mode



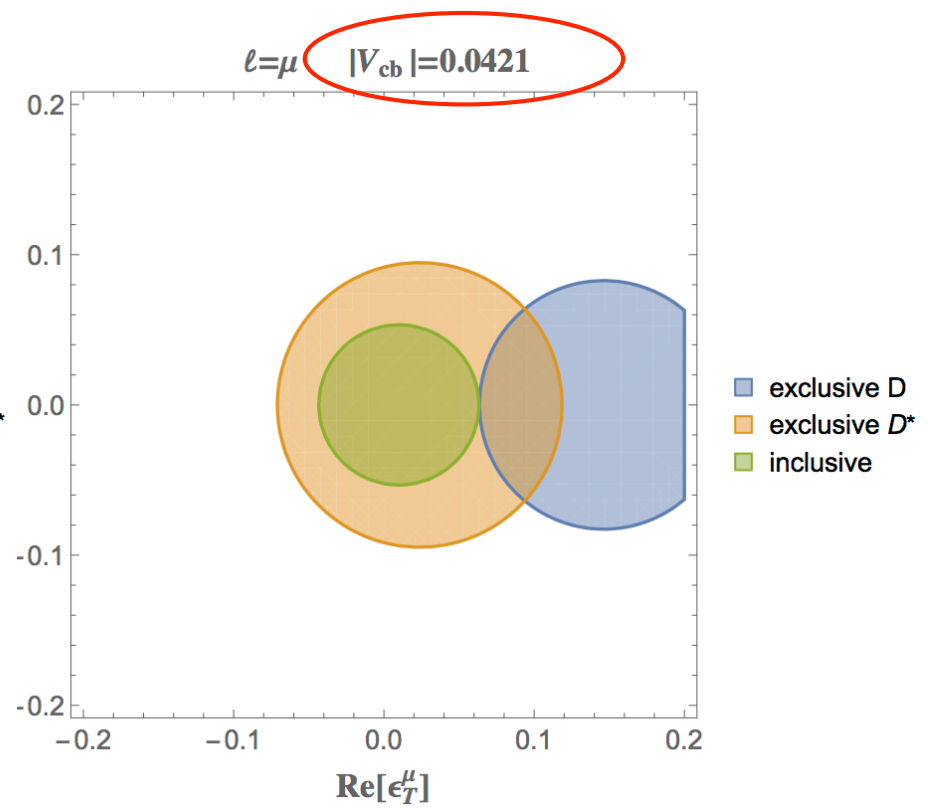
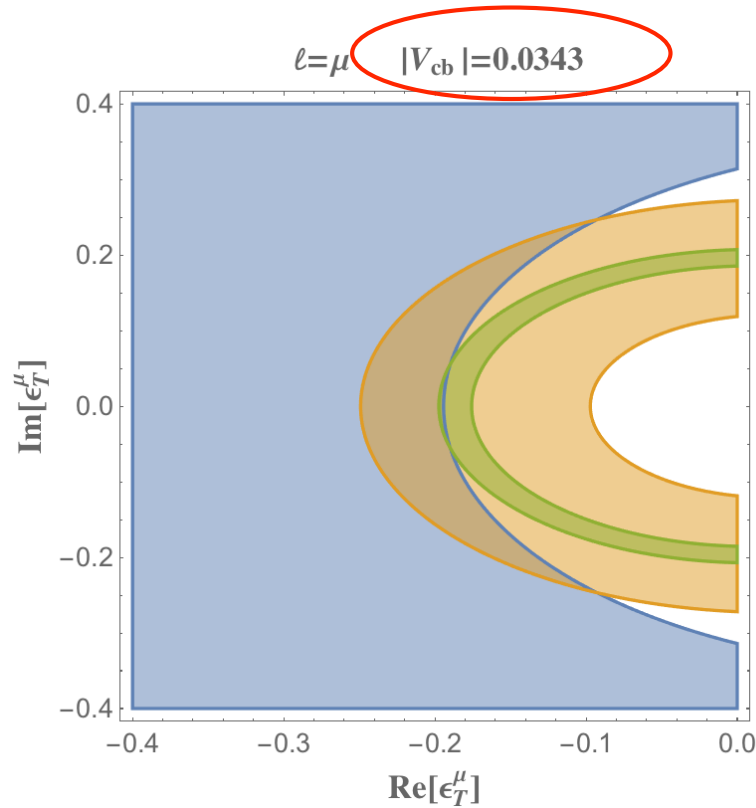
projections in the  $(\text{Re } \epsilon_T, \text{Im } \epsilon_T)$  plane

$\mu$  mode



projections in the  $(\text{Re } \epsilon_T, \text{Im } \epsilon_T)$  plane

$\mu$  mode



$\mu$  mode

$$|V_{cb}| \in [0.0343, 0.0421]$$

e mode

$$|V_{cb}| \in [0.0360, 0.0427]$$

all constraints fulfilled for  $|V_{cb}| \in [0.036, 0.042]$

SM-NP interference sizable for  $\mu$



a connection between  $R(D^{(*)})$  and  $|V_{cb}|$  could be found

impact on observables in exclusive processes

$$\bar{B} \rightarrow D^*(D\pi)\ell^-\bar{\nu}_\ell$$

$$\bar{B} \rightarrow D^*(D\gamma)\ell^-\bar{\nu}_\ell$$

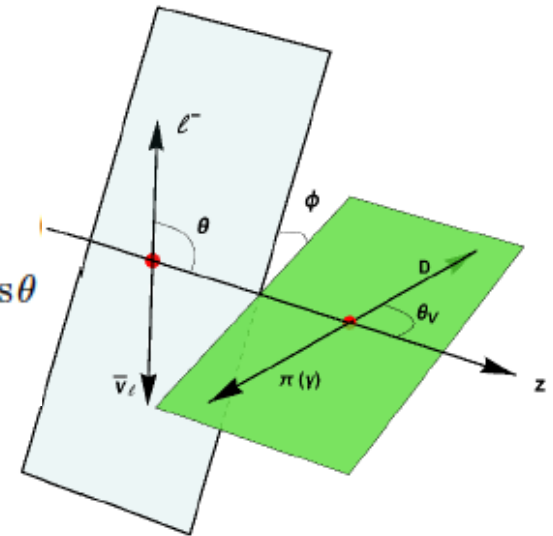
De Fazio PC JHEP 1806, 082

important for  $B_s \rightarrow D_s^*$

- effects of FF parametrization: BGL vs CLN
- disentangling SM from NP - example: tensor case

four dimensional decay distribution

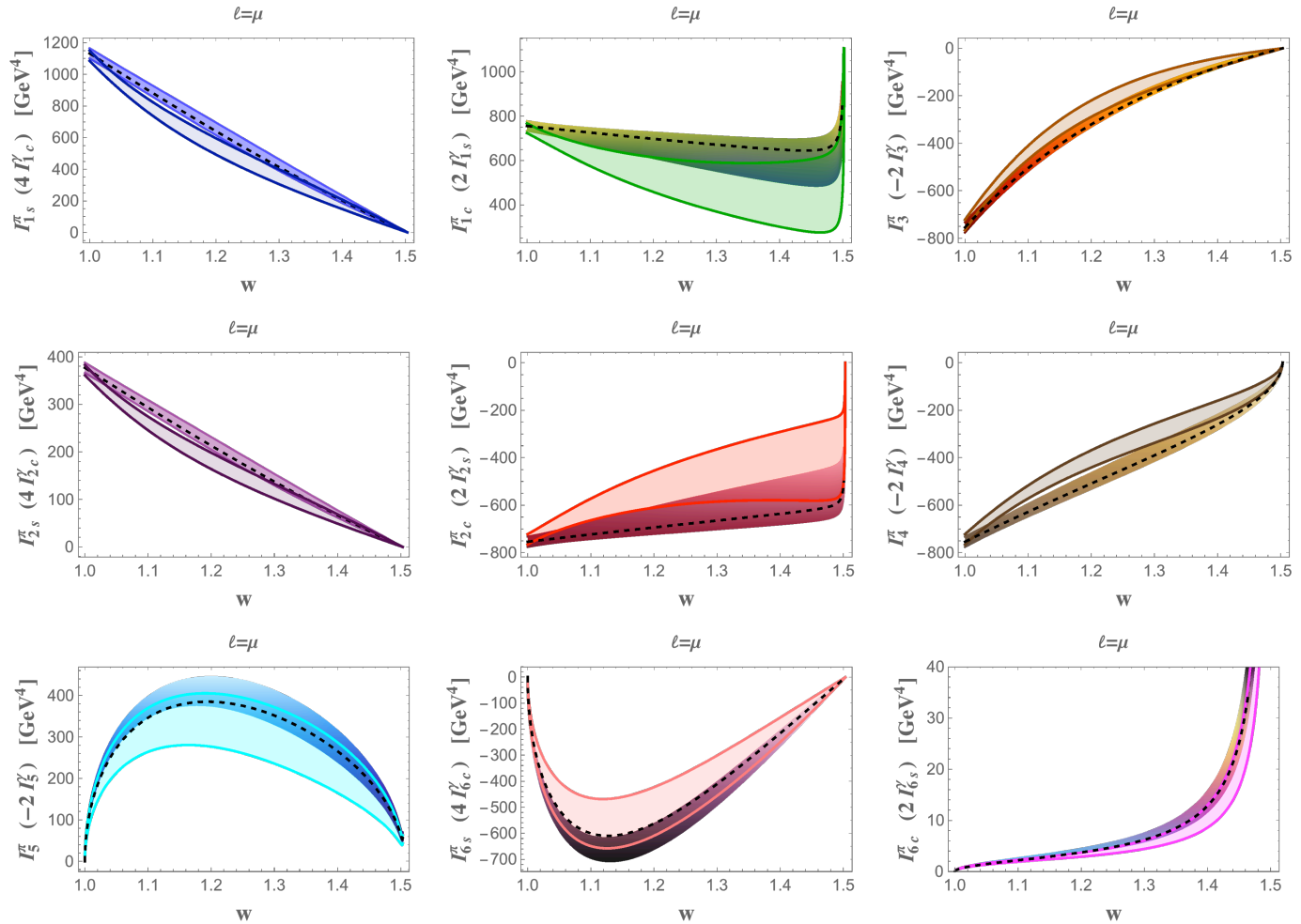
$$\frac{d^4\Gamma(\bar{B} \rightarrow D^*(\rightarrow D\pi)\ell^-\bar{\nu}_\ell)}{dq^2 d\cos\theta d\phi d\cos\theta_V} = \mathcal{N}_\pi |\vec{p}_{D^*}| \left(1 - \frac{m_\ell^2}{q^2}\right)^2 \left\{ \begin{aligned} & I_{1s}^\pi \sin^2\theta_V + I_{1c}^\pi \cos^2\theta_V \\ & + (I_{2s}^\pi \sin^2\theta_V + I_{2c}^\pi \cos^2\theta_V) \cos 2\theta \\ & + I_3^\pi \sin^2\theta_V \sin^2\theta \cos 2\phi + I_4^\pi \sin 2\theta_V \sin 2\theta \cos \phi \\ & + I_5^\pi \sin 2\theta_V \sin \theta \cos \phi + (I_{6s}^\pi \sin^2\theta_V + I_{6c}^\pi \cos^2\theta_V) \cos \theta \\ & + I_7^\pi \sin 2\theta_V \sin \theta \sin \phi \end{aligned} \right\},$$



angular coefficient functions

- sensitive to FF parametrization
- some of them vanish in SM
- relations between  $D\pi$  and  $D\gamma$  modes

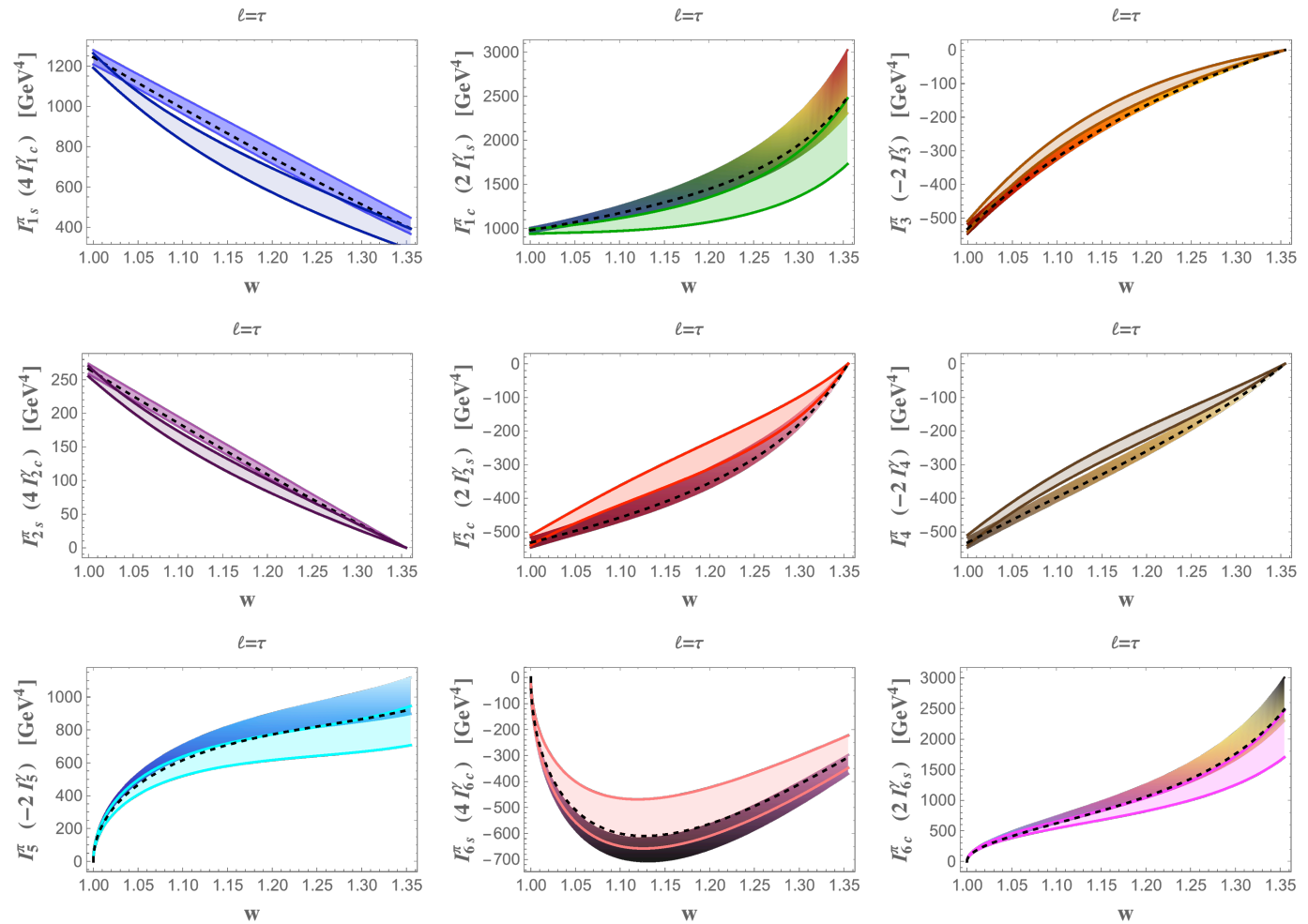
SM: BGL vs CLN  
 $\mu$  mode



darker regions: CLN  
 lighter regions: BGL

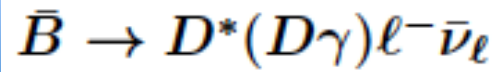
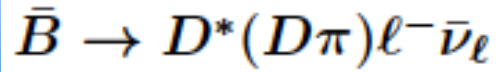
there are coefficients more sensitive to the parametrization

SM: BGL vs CLN  
 $\tau$  mode



darker regions: CLN  
 lighter regions: BGL

there are coefficients more sensitive to the parametrization

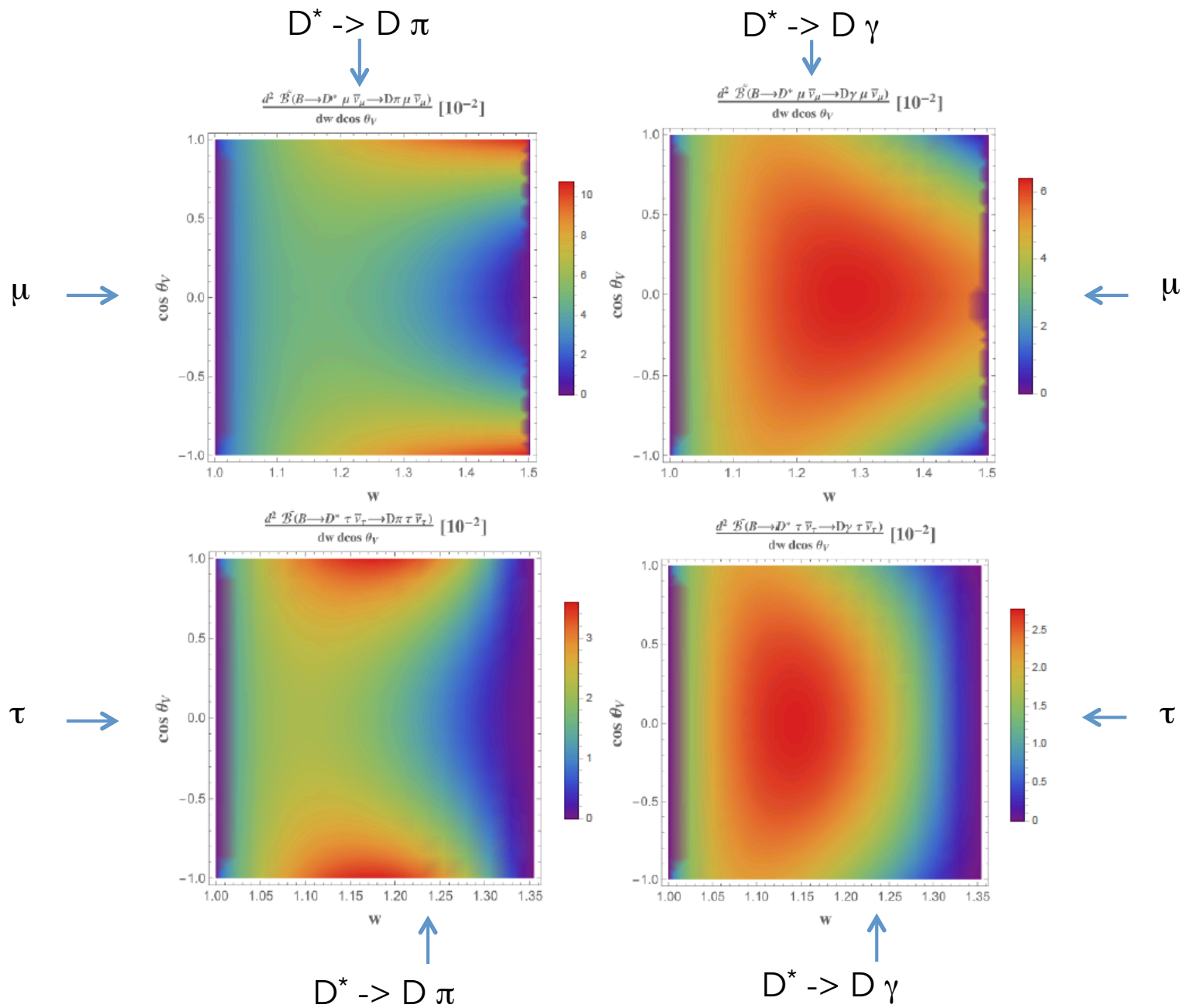


- fit of the experimental differential distribution  $\rightarrow$  angular coefficients  $\rightarrow$  FF

SM

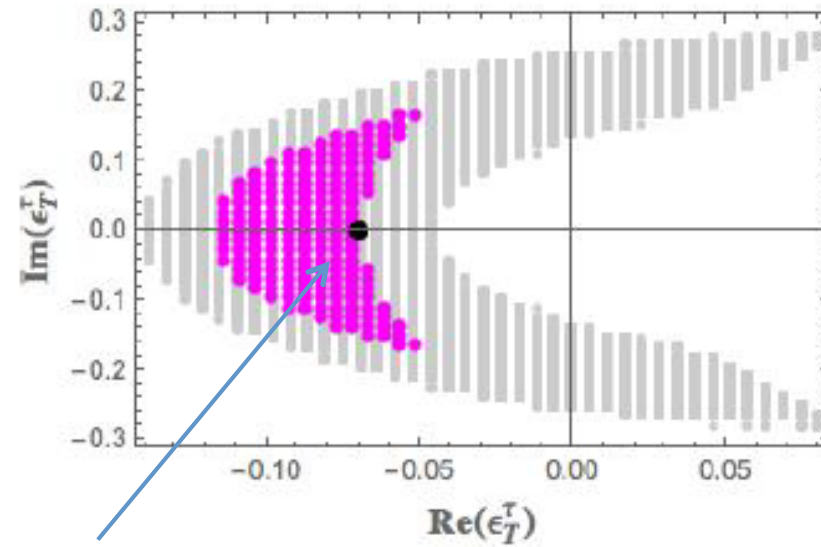
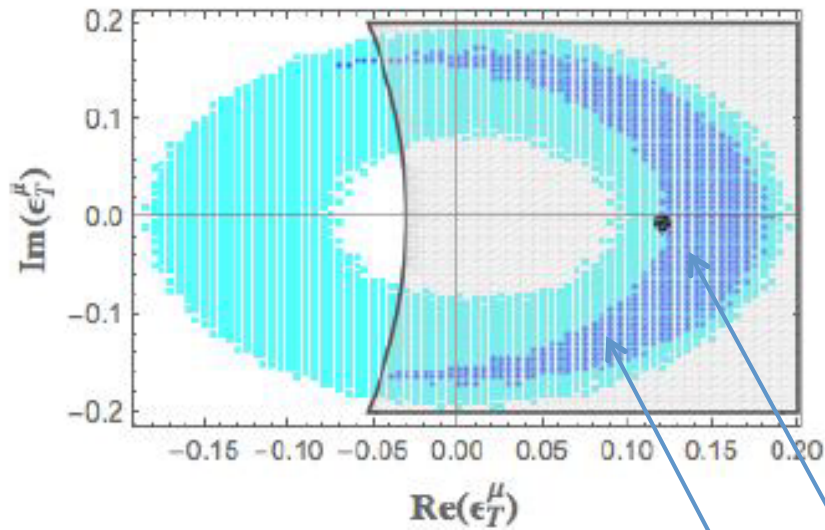
$$\begin{aligned}
 A_1(q^2) &= \frac{1}{4(m_B + m_{D^*})} \left\{ \sqrt{\frac{4I_{1s}^\pi}{m_\ell^2 + 3q^2} - \frac{I_{6s}^\pi}{q^2}} + \sqrt{\frac{4I_{1s}^\pi}{m_\ell^2 + 3q^2} + \frac{I_{6s}^\pi}{q^2}} \right\}, \\
 A_2(q^2) &= \frac{(m_B + m_{D^*})}{4\lambda(m_B^2, m_{D^*}^2, q^2)} \left\{ (m_B^2 - m_{D^*}^2 - q^2) \left[ \sqrt{\frac{4I_{1s}^\pi}{m_\ell^2 + 3q^2} - \frac{I_{6s}^\pi}{q^2}} + \sqrt{\frac{4I_{1s}^\pi}{m_\ell^2 + 3q^2} + \frac{I_{6s}^\pi}{q^2}} \right] \right. \\
 &\quad \left. - 4\sqrt{2}m_{D^*}\sqrt{q^2} \sqrt{-\frac{I_{2c}^\pi}{q^2 - m_\ell^2}} \right\}, \\
 V(q^2) &= \frac{(m_B + m_{D^*})}{4\lambda^{1/2}(m_B^2, m_{D^*}^2, q^2)} \left\{ \sqrt{\frac{4I_{1s}^\pi}{m_\ell^2 + 3q^2} - \frac{I_{6s}^\pi}{q^2}} - \sqrt{\frac{4I_{1s}^\pi}{m_\ell^2 + 3q^2} + \frac{I_{6s}^\pi}{q^2}} \right\}, \\
 A_0(q^2) &= \frac{1}{2} \frac{\sqrt{q^2}}{\lambda^{1/2}(m_B^2, m_{D^*}^2, q^2)} \sqrt{\frac{(q^2 - m_\ell^2)I_{1c}^\pi + (q^2 + m_\ell^2)I_{2c}^\pi}{m_\ell^2(q^2 - m_\ell^2)}}.
 \end{aligned} \tag{3.8}$$

complementarity  $D^* \rightarrow D \pi$  with  $D^* \rightarrow D \gamma$



## SM vs NP

- $\epsilon_T^\mu$ ,  $\epsilon_T^\tau$  non vanishing
- choose  $\epsilon_T^\mu$  in the region to fix the  $|V_{cb}|$  tension
- determine  $\epsilon_T^\tau$  to reproduce  $R(D)$  &  $R(D^*)$

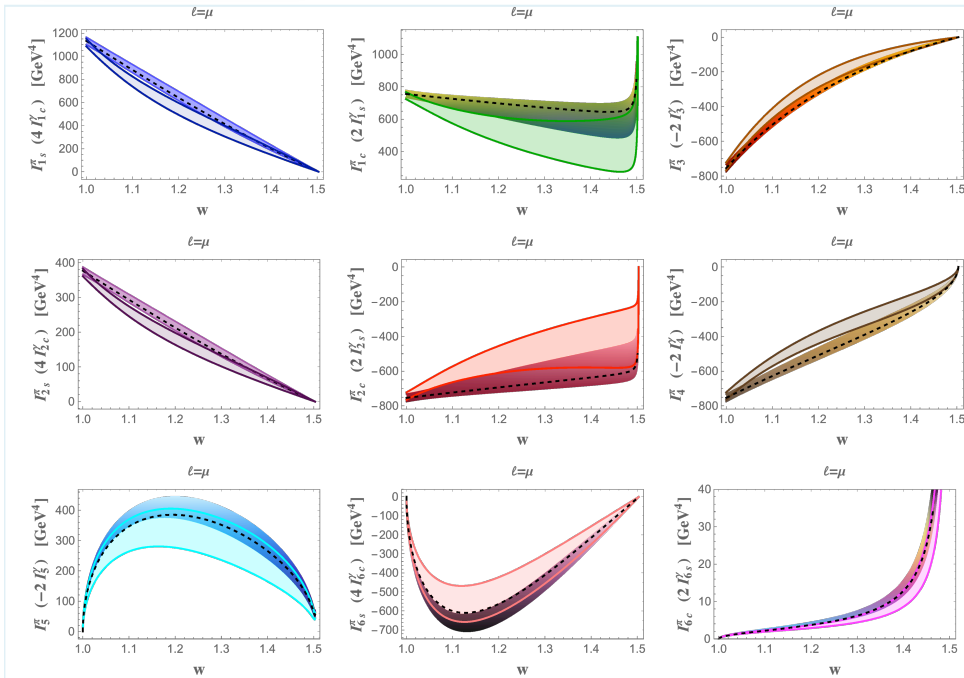


benchmark points

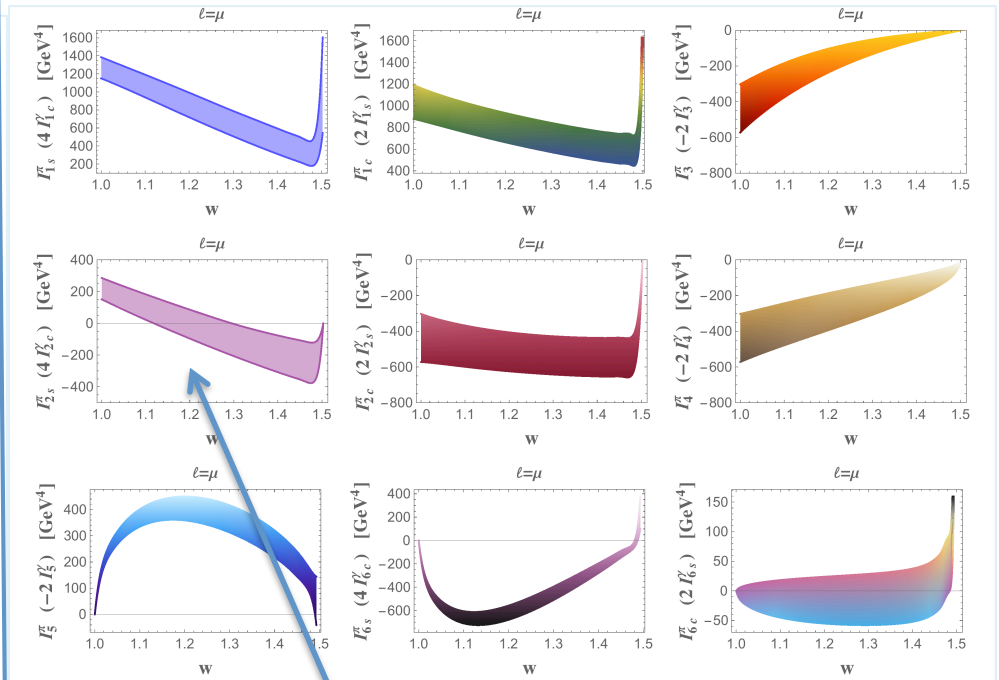
dark region = minimal  $\chi^2$

# SM vs NP $\mu$ mode

## SM



## NP

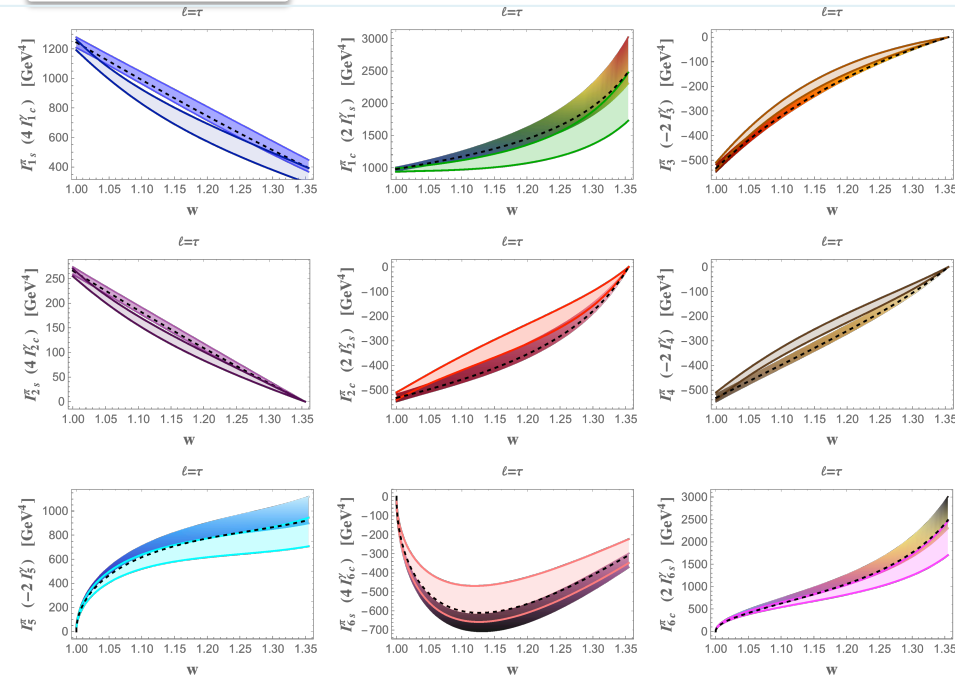


size modified in NP  
some coefficients display a zero absent in SM ( $I_{2s}^\pi$  or  $I_{2c}^\gamma$ )

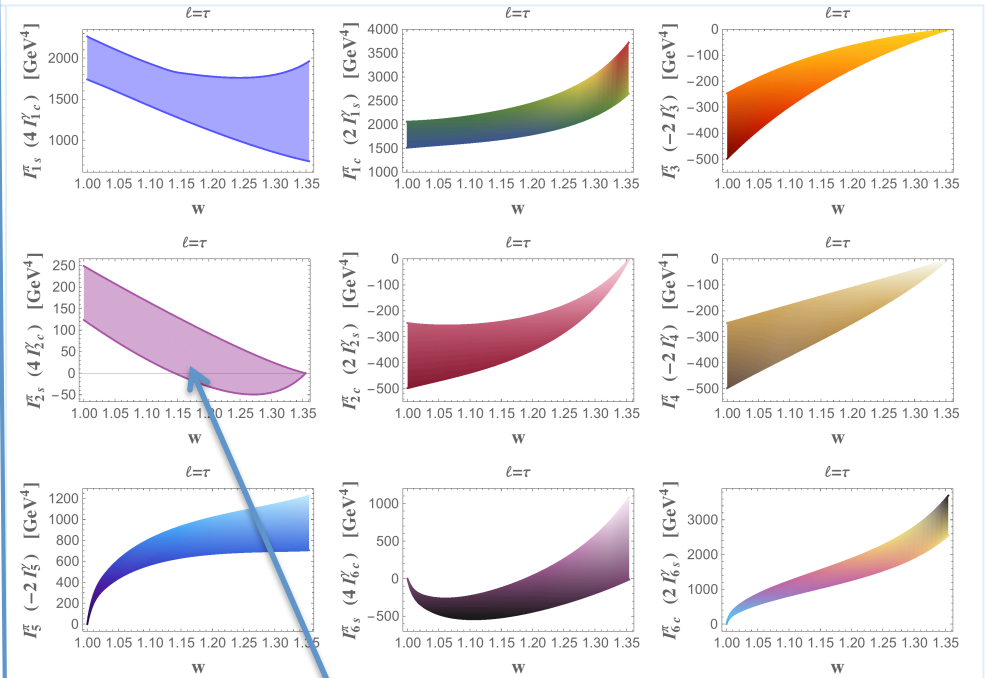


# SM vs NP $\tau$ mode

## SM

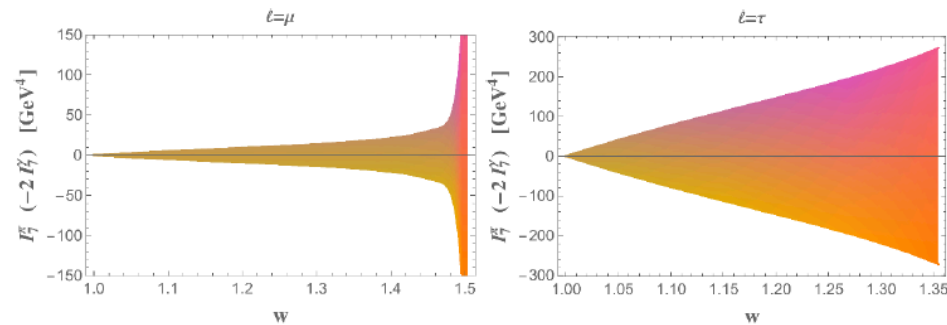


## NP



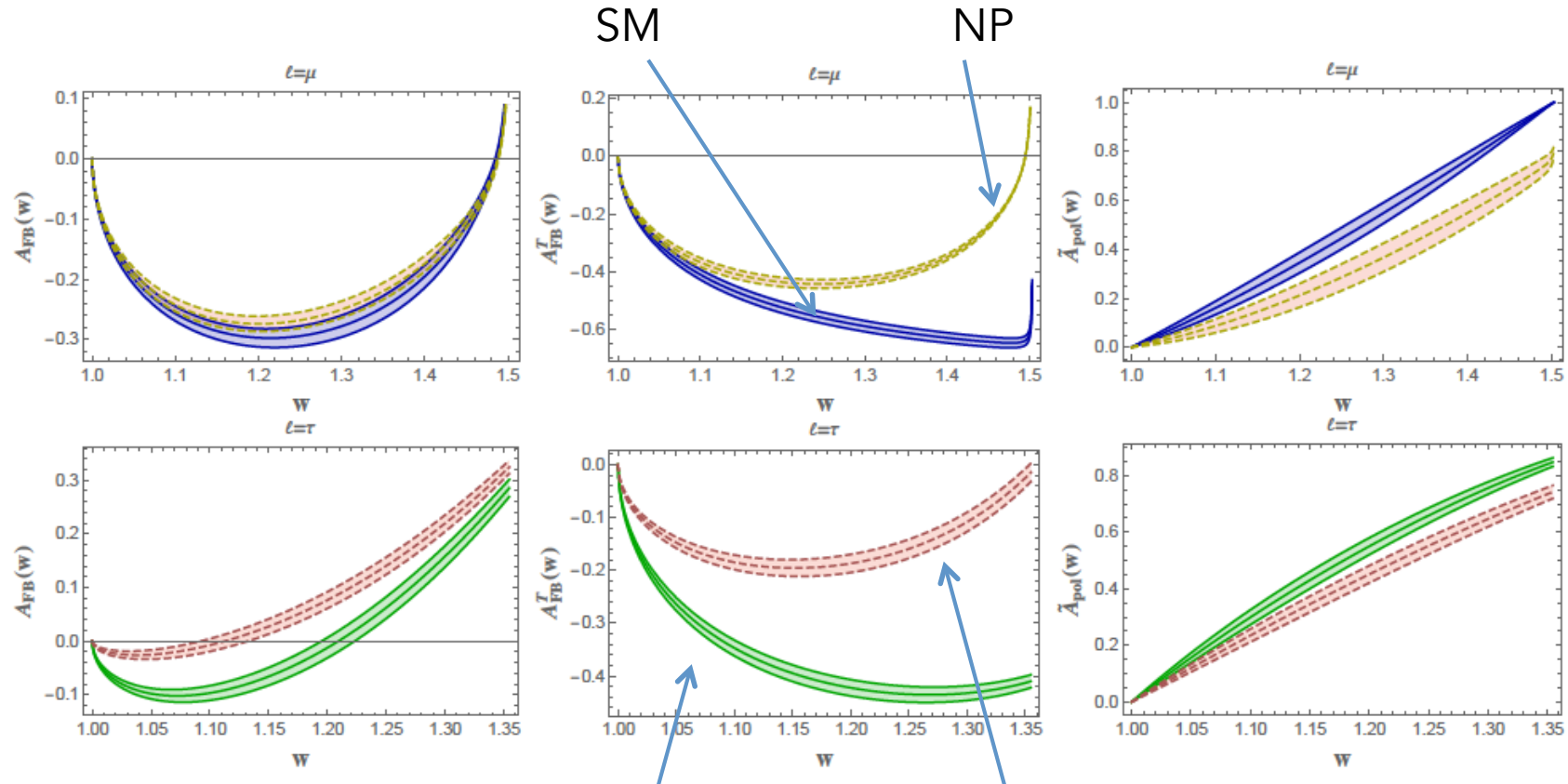
size modified in NP  
some coefficients display a zero absent in SM ( $I_{2s}^\pi$  or  $I_{2c}^\gamma$ )

$I_7$  vanishes in SM, not in NP



# SM vs NP at the benchmark points

$$A_{\text{FB}}(q^2) = \left[ \int_0^1 d\cos\theta \frac{d^2\Gamma}{dq^2 d\cos\theta} - \int_{-1}^0 d\cos\theta \frac{d^2\Gamma}{dq^2 d\cos\theta} \right] / \frac{d\Gamma}{dq^2}.$$



SM

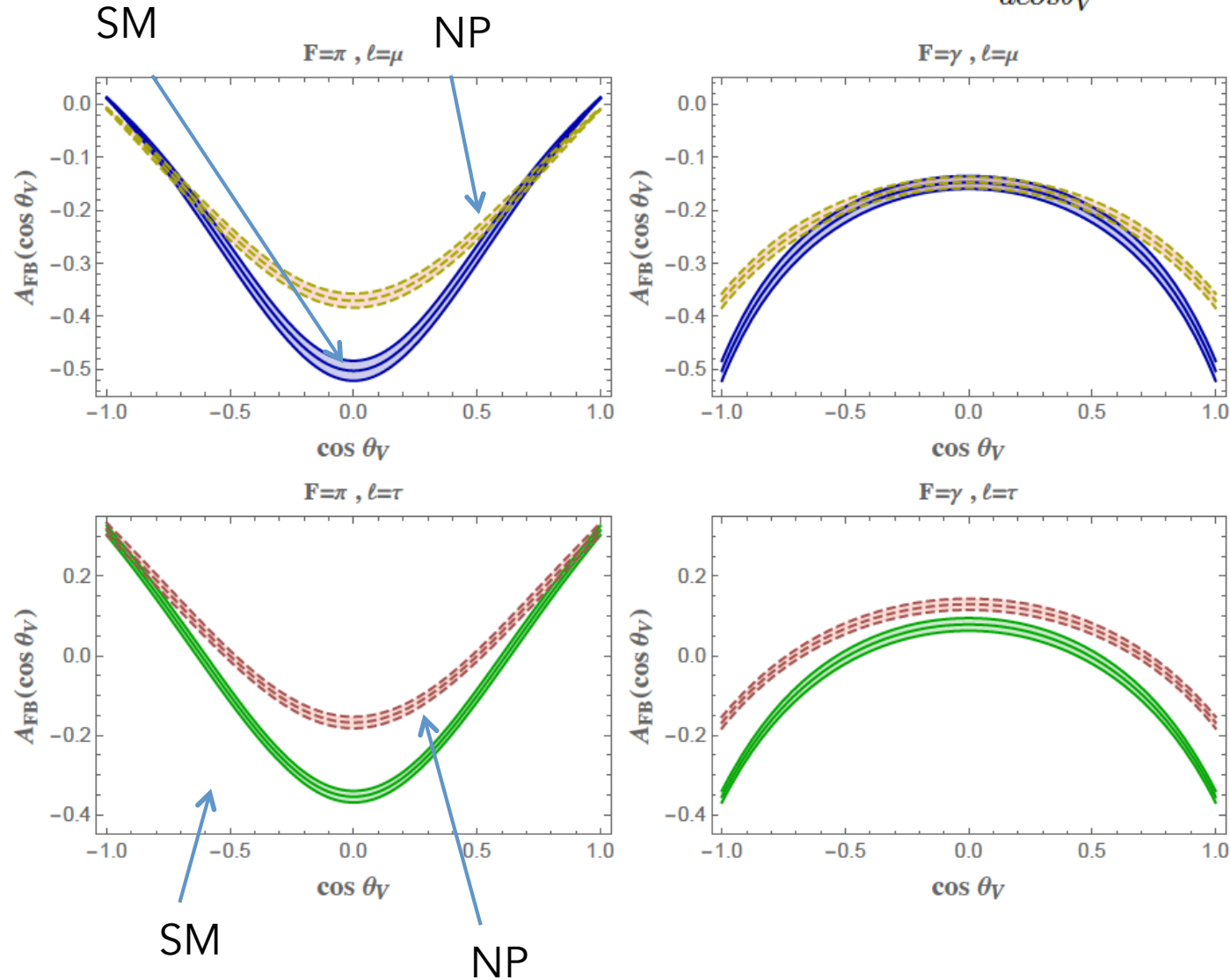
NP

transverse  $A_{\text{FB}}$

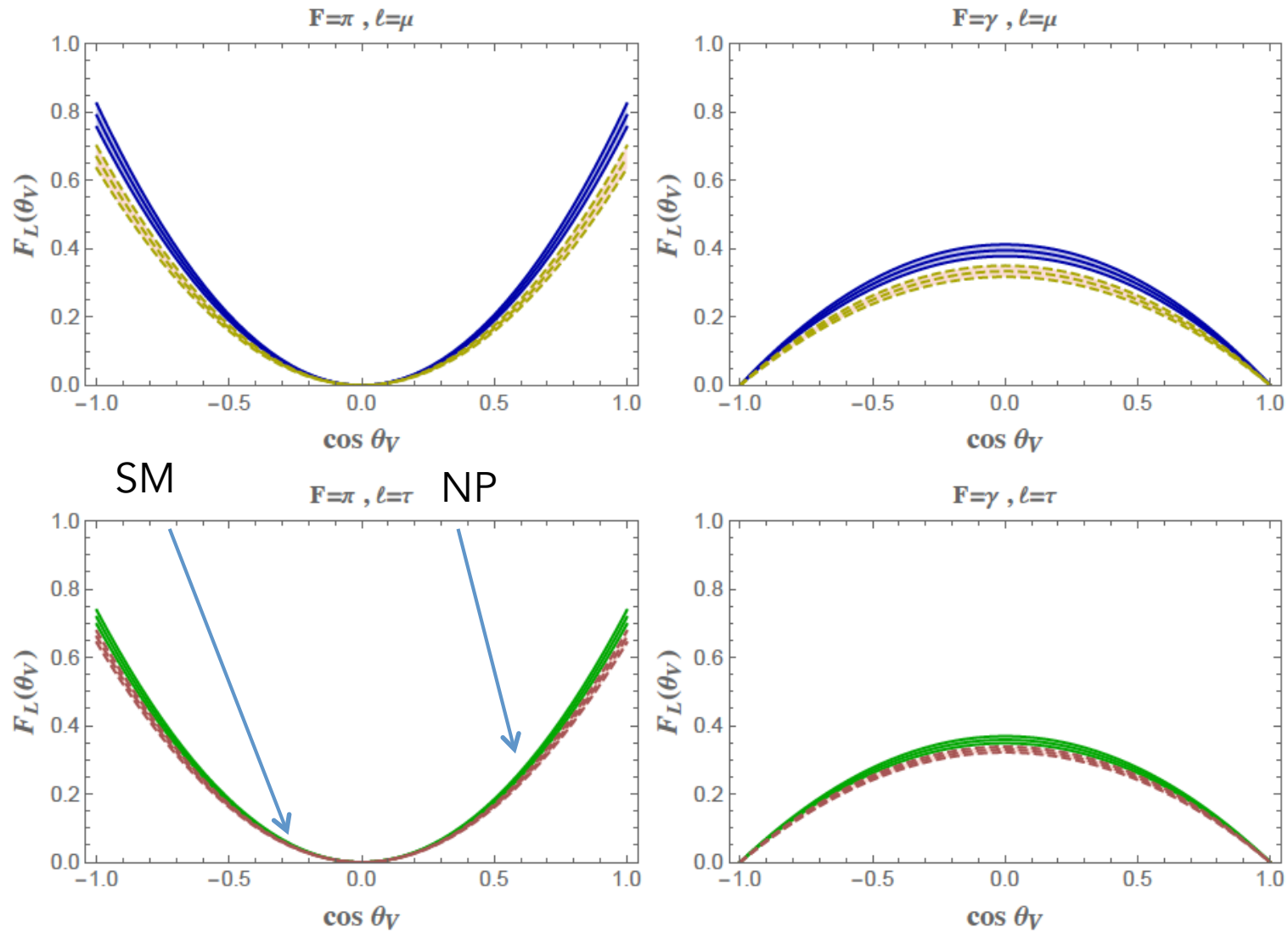
$$\frac{dA_{\text{pol}}^{D^*}(q^2)}{dq^2} = 2 \frac{d\Gamma_L}{dq^2} / \frac{d\Gamma_T}{dq^2} - 1.$$

# SM vs NP at the benchmark points

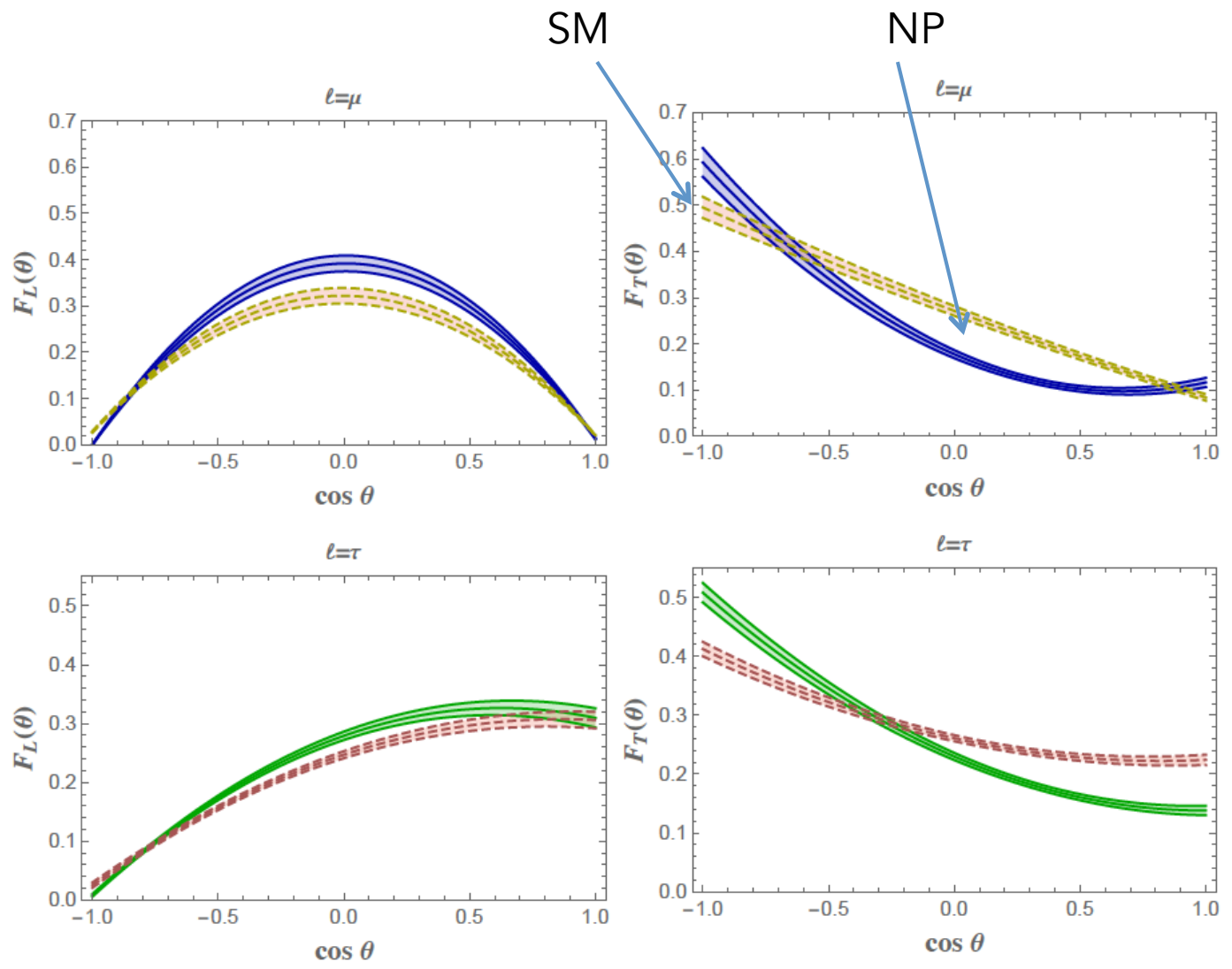
$$A_{\text{FB}}(\cos\theta_V) = \frac{\left[ \int_0^1 d\cos\theta \frac{d^2\Gamma}{d\cos\theta_V d\cos\theta} - \int_{-1}^0 d\cos\theta \frac{d^2\Gamma}{d\cos\theta_V d\cos\theta} \right]}{\frac{d\Gamma}{d\cos\theta_V}}$$



# D\* polarization fractions



# D\* polarization fractions



## tests of LFU using the angular coefficient functions

$$R_i^{\ell_1 \ell_2} = \frac{\int_{w=1}^{w_{\max}(\ell_1)} (\tilde{I}_i^\pi(w))_{\ell_1} dw}{\int_{w=1}^{w_{\max}(\ell_2)} (\tilde{I}_i^\pi(w))_{\ell_2} dw}$$

$$\tilde{I}_i = \left(1 - \frac{m_\ell^2}{q^2}\right)^2 |\vec{p}_{D^*}|_{BRF} I_i$$

SM

	$\ell_1 = \tau, \ell_2 = \mu$	$\ell_1 = \tau, \ell_2 = e$	$\ell_1 = \mu, \ell_2 = e$
$R_{1s}^\pi$	$0.263 \pm 0.006$	$0.262 \pm 0.005$	$0.9957 \pm 0.0001$
$R_{1c}^\pi$	$0.28 \pm 0.02$	$0.28 \pm 0.02$	$1.008 \pm 0.004$
$R_{2s}^\pi$	$0.134 \pm 0.003$	$0.133 \pm 0.003$	$0.9923 \pm 0.0002$
$R_{2c}^\pi$	$0.079 \pm 0.005$	$0.077 \pm 0.005$	$0.975 \pm 0.002$
$R_3^\pi$	$0.153 \pm 0.004$	$0.152 \pm 0.004$	$0.9932 \pm 0.0002$
$R_4^\pi$	$0.112 \pm 0.004$	$0.111 \pm 0.004$	$0.9891 \pm 0.0004$
$R_5^\pi$	$0.30 \pm 0.02$	$0.30 \pm 0.02$	$0.999 \pm 0.001$
$R_{6s}^\pi$	$0.197 \pm 0.004$	$0.196 \pm 0.004$	$0.9943 \pm 0.0001$
$R_{6c}^\pi$	$5.90 \pm 0.45$	$76000 \pm 7000$	$12900 \pm 200$

NP

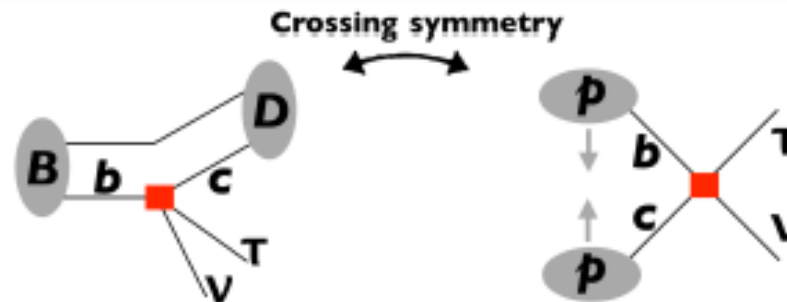
	$\ell_1 = \tau, \ell_2 = \mu$	$\ell_1 = \tau, \ell_2 = e$	$\ell_1 = \mu, \ell_2 = e$
$R_{1s}^\pi$	$0.32 \pm 0.01$	$0.304 \pm 0.008$	$0.957 \pm 0.002$
$R_{1c}^\pi$	$0.36 \pm 0.03$	$0.34 \pm 0.02$	$0.956 \pm 0.003$
$R_{2s}^\pi$	$0.37 \pm 0.02$	$0.38 \pm 0.02$	$1.04 \pm 0.01$
$R_{2c}^\pi$	$0.082 \pm 0.006$	$0.080 \pm 0.006$	$0.973 \pm 0.002$
$R_3^\pi$	$0.183 \pm 0.005$	$0.182 \pm 0.005$	$0.9932 \pm 0.0002$
$R_4^\pi$	$0.131 \pm 0.005$	$0.130 \pm 0.005$	$0.9890 \pm 0.0004$
$R_5^\pi$	$0.35 \pm 0.03$	$0.33 \pm 0.03$	$0.96 \pm 0.01$
$R_{6s}^\pi$	$0.150 \pm 0.006$	$0.152 \pm 0.006$	$1.012 \pm 0.003$
$R_{6c}^\pi$	$-11.6 \pm 1.5$	$-944 \pm 40$	$81.2 \pm 9.1$
$R_7^\pi$	0	0	$184 \pm 2$

new measurement  
Belle 2018

$$\frac{B(B^0 \rightarrow D^{*-} e^+ \nu_e)}{B(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu)} = 1.01 \pm 0.01 \pm 0.03$$

collider constraints on the new operators:

crossing symmetry connects to mono-tau events at the LHC



Camalich Grejjo Ruiz Alvarez

excess in  $pp \rightarrow \tau + \text{transverse missing energy}$  should be observed

synergy between  $pp$  and B physics

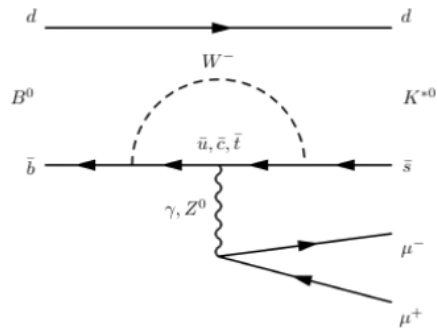
- 4d angular distributions in  $\bar{B} \rightarrow D^*(D\pi)\ell\bar{\nu}_\ell$   $\bar{B} \rightarrow D^*(D\gamma)\ell\bar{\nu}_\ell$  can disentangle non SM effects
- as alternative to conventional SM solutions, a NP option to solve the  $|V_{cb}|_{\text{excl}}$  vs  $|V_{cb}|_{\text{incl}}$  tension seems still viable, related to  $R(D^{(*)})$
- precision era: importance of separate theoretical and experimental analyses for electrons – muons - taus

} example

see also Becirevic et al.



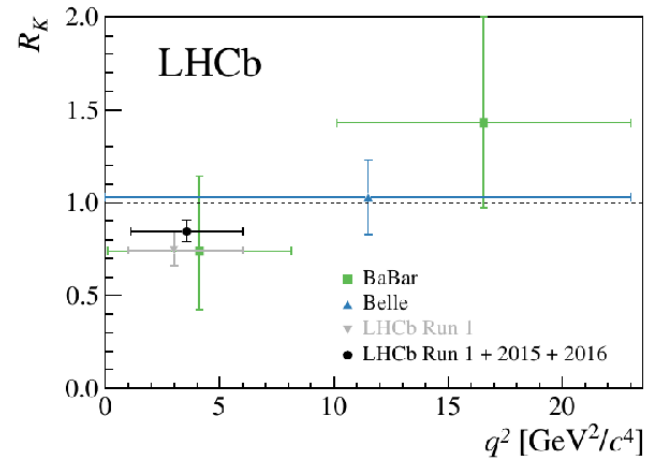
# LFU anomalies in FCNC processes



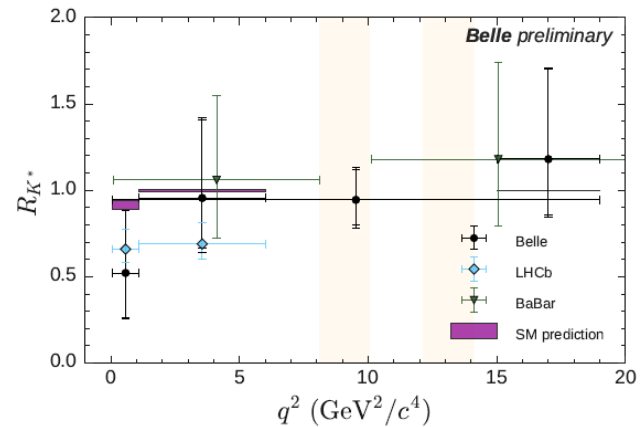
$$R(K^{(*)}) = \frac{BR(B \rightarrow K^{(*)} \mu \mu)}{BR(B \rightarrow K^{(*)} e e)} = 1 \pm \underbrace{O(10^{-3})}_{\text{neglect lepton mass}} \pm \underbrace{O(10^{-2})}_{\text{QED}}$$

Bordone Isidori Pattori

- $R(K)$ :  $2.5 \sigma$  from SM
- $R(K^*)$  (2 bins of  $q^2$ ):  $2.6 \sigma$

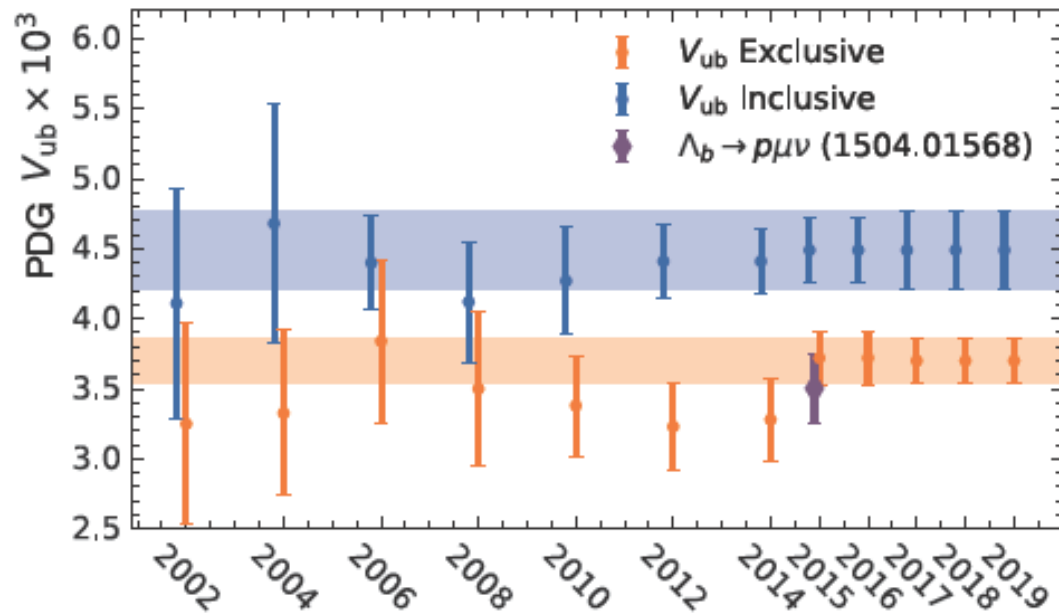


$$R(K) = 0.846^{+0.060}_{-0.054} (stat)^{+0.016}_{-0.014} (syst)$$



CKM suppressed  $b \rightarrow u$  transition

tension in  $|V_{ub}|_{\text{excl}}$  vs  $|V_{ub}|_{\text{incl}}$



plot: M. Prim

no tests of LFU:  $R(\pi)$   $R(\rho)$

# Include in the SM effective Hamiltonian all D=6 operators

De Fazio Loparco PC

$$\begin{aligned}
 H_{\text{eff}}^{b \rightarrow u \ell \nu} = \frac{G_F}{\sqrt{2}} V_{ub} \left\{ (1 + \epsilon_V^\ell) (\bar{u} \gamma_\mu (1 - \gamma_5) b) (\bar{\ell} \gamma^\mu (1 - \gamma_5) \nu_\ell) \right. \\
 + \epsilon_S^\ell (\bar{u} b) (\bar{\ell} (1 - \gamma_5) \nu_\ell) + \epsilon_P^\ell (\bar{u} \gamma_5 b) (\bar{\ell} (1 - \gamma_5) \nu_\ell) \\
 \left. + \epsilon_T^\ell (\bar{u} \sigma_{\mu\nu} (1 - \gamma_5) b) (\bar{\ell} \sigma^{\mu\nu} (1 - \gamma_5) \nu_\ell) \right\} + h.c. ,
 \end{aligned}$$

- experimental bounds
- consequences

$$B^- \rightarrow \ell \bar{\nu}_\ell$$

$$\Gamma(B^- \rightarrow \ell^- \bar{\nu}_\ell) = \frac{G_F^2 |V_{ub}|^2 f_B^2 m_B^3}{8\pi} \left(1 - \frac{m_\ell^2}{m_B^2}\right)^2 \left| \left(\frac{m_\ell}{m_B}\right) (1 + \epsilon_V^\ell) + \frac{m_B}{m_b + m_u} \epsilon_P^\ell \right|^2$$

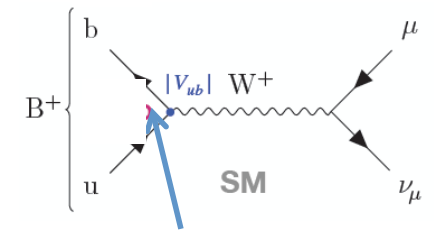
$$\bar{B} \rightarrow \pi \ell \bar{\nu}_\ell$$

$$\frac{d\Gamma}{dq^2}(B^- \rightarrow \pi \ell^- \bar{\nu}_\ell) = \frac{G_F^2 |V_{ub}|^2 \lambda^{1/2}(m_B^2, m_\pi^2, q^2)}{128 m_B^3 \pi^3 q^2} \left(1 - \frac{m_\ell^2}{q^2}\right)^2$$

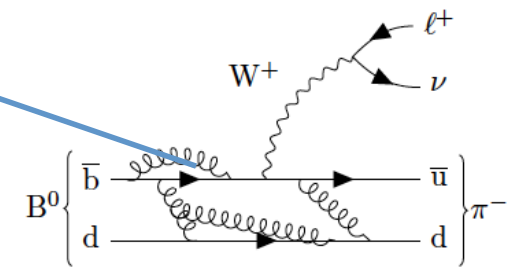
$$\times \left\{ \left| m_\ell (1 + \epsilon_V^\ell) + \frac{q^2 \epsilon_S^\ell}{m_b - m_u} \right|^2 (m_B^2 - m_\pi^2)^2 f_0^2(q^2) + \right.$$

$$\left. + \lambda(m_B^2, m_\pi^2, q^2) \left[ \frac{1}{3} \left| m_\ell (1 + \epsilon_V^\ell) f_+(q^2) + \frac{4q^2}{m_B + m_\pi} \epsilon_T^\ell f_T(q^2) \right|^2 \right. \right.$$

$$\left. \left. + \frac{2q^2}{3} \left| (1 + \epsilon_V^\ell) f_+(q^2) + 4 \frac{m_\ell}{m_B + m_\pi} \epsilon_T^\ell f_T(q^2) \right|^2 \right] \right\} .$$

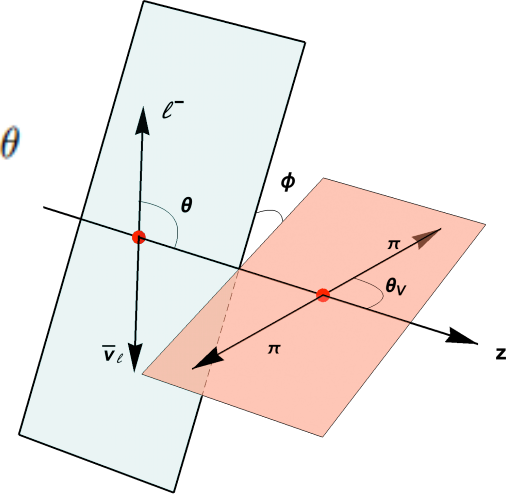


$$\langle 0 | \bar{u} \gamma_\mu \gamma_5 b | \bar{B}(p) \rangle = i f_B p_\mu$$



$$\bar{B} \rightarrow \rho(770)\ell\bar{\nu}_\ell$$

$$\begin{aligned} \frac{d^4\Gamma(\bar{B} \rightarrow \rho(\rightarrow \pi\pi)\ell^-\bar{\nu}_\ell)}{dq^2 d\cos\theta d\phi d\cos\theta_V} &= \mathcal{N}_\rho |\vec{p}_\rho| \left(1 - \frac{m_\ell^2}{q^2}\right)^2 \left\{ I_{1s}^\rho \sin^2\theta_V + I_{1c}^\rho \cos^2\theta_V \right. \\ &+ (I_{2s}^\rho \sin^2\theta_V + I_{2c}^\rho \cos^2\theta_V) \cos 2\theta \\ &+ I_3^\rho \sin^2\theta_V \sin^2\theta \cos 2\phi + I_4^\rho \sin 2\theta_V \sin 2\theta \cos \phi \\ &+ I_5^\rho \sin 2\theta_V \sin \theta \cos \phi + (I_{6s}^\rho \sin^2\theta_V + I_{6c}^\rho \cos^2\theta_V) \cos \theta \\ &\left. + I_7^\rho \sin 2\theta_V \sin \theta \sin \phi \right\}, \end{aligned}$$



$$\bar{B} \rightarrow a_1(1260)\ell\bar{\nu}_\ell$$

$$\begin{aligned} \frac{d^4\Gamma(\bar{B} \rightarrow a_1(\rightarrow \rho_{\parallel(\perp)}\pi)\ell^-\bar{\nu}_\ell)}{dq^2 d\cos\theta d\phi d\cos\theta_V} &= \mathcal{N}_{a_1}^{(\perp)} |\vec{p}_{a_1}| \left(1 - \frac{m_\ell^2}{q^2}\right)^2 \left\{ I_{1s,\parallel(\perp)}^{a_1} \sin^2\theta_V + I_{1c,\parallel(\perp)}^{a_1} (3 + \cos 2\theta_V) \right. \\ &+ \left( I_{2s,\parallel(\perp)}^{a_1} \sin^2\theta_V + I_{2c,\parallel(\perp)}^{a_1} (3 + \cos 2\theta_V) \right) \cos 2\theta \\ &+ I_{3,\parallel(\perp)}^{a_1} \sin^2\theta_V \sin^2\theta \cos 2\phi + I_{4,\parallel(\perp)}^{a_1} \sin 2\theta_V \sin 2\theta \cos \phi \\ &+ I_{5,\parallel(\perp)}^{a_1} \sin 2\theta_V \sin \theta \cos \phi \\ &+ \left( I_{6s,\parallel(\perp)}^{a_1} \sin^2\theta_V + I_{6c,\parallel(\perp)}^{a_1} (3 + \cos 2\theta_V) \right) \cos \theta \\ &\left. + I_{7,\parallel(\perp)}^{a_1} \sin 2\theta_V \sin \theta \sin \phi \right\}. \end{aligned}$$

the new operators contribute in different ways to the different processes

	$\epsilon_V^l$	$\epsilon_S^l$	$\epsilon_P^l$	$\epsilon_T^l$
$B^- \rightarrow l^- \bar{\nu}_l$	✓		✓	
$\bar{B} \rightarrow \pi l^- \bar{\nu}_l$	✓	✓		✓
$B \rightarrow \rho l \bar{\nu}_l$	✓		✓	✓
$B \rightarrow a_1 l \bar{\nu}_l$	✓	✓		✓

## data

$$B(\bar{B}^0 \rightarrow \pi^+ \ell^- \bar{\nu}_\ell) = (1.50 \pm 0.06) \times 10^{-4}$$

$$B(\bar{B}^0 \rightarrow \rho^+ \ell^- \bar{\nu}_\ell) = (2.94 \pm 0.21) \times 10^{-4}$$

$$B(B^- \rightarrow \mu^- \bar{\nu}_\ell) = (6.46 \pm 2.2 \pm 1.60) \times 10^{-7}$$

$[2.0, 10.7] \times 10^{-7}$  at 90%CL

$$B(B^- \rightarrow \tau^- \bar{\nu}_\ell) = (1.09 \pm 0.24) \times 10^{-4}$$

$$B(B^- \rightarrow e^- \bar{\nu}_\ell) < 9.8 \times 10^{-7}$$

$$B(\bar{B}^0 \rightarrow \pi^+ \tau^- \bar{\nu}_\ell) < 2.5 \times 10^{-4}$$

## B- $\rightarrow$ $\pi$ form factors

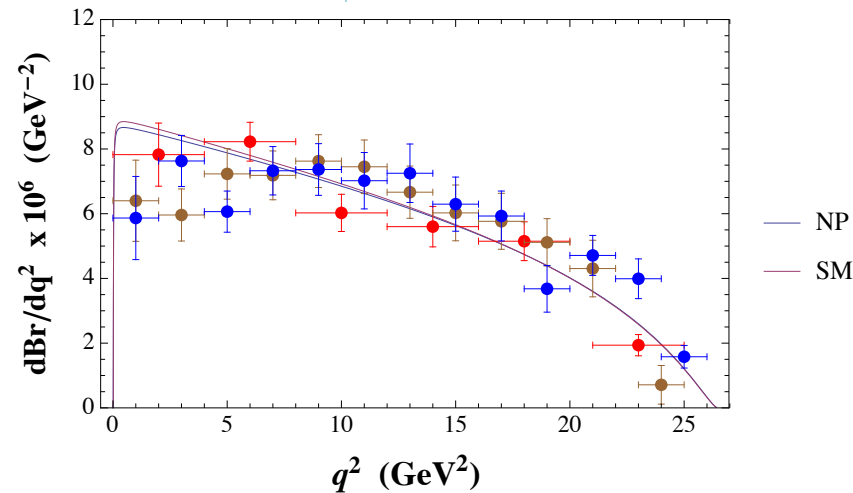
$$f_{+,T}(t) = \frac{1}{1 - \frac{q^2}{m_{pole}^2}} \sum_{n=0}^{N-1} a_n \left[ z(t)^n - \frac{n}{N} (-1)^{n-N} z(t)^N \right]$$

$$f_0(t) = \sum_{n=0}^{N-1} a_n z(t)^n, \quad z(t) = \frac{\sqrt{t_+ - t} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - t} + \sqrt{t_+ - t_0}}.$$

## LCSR + Lattice QCD

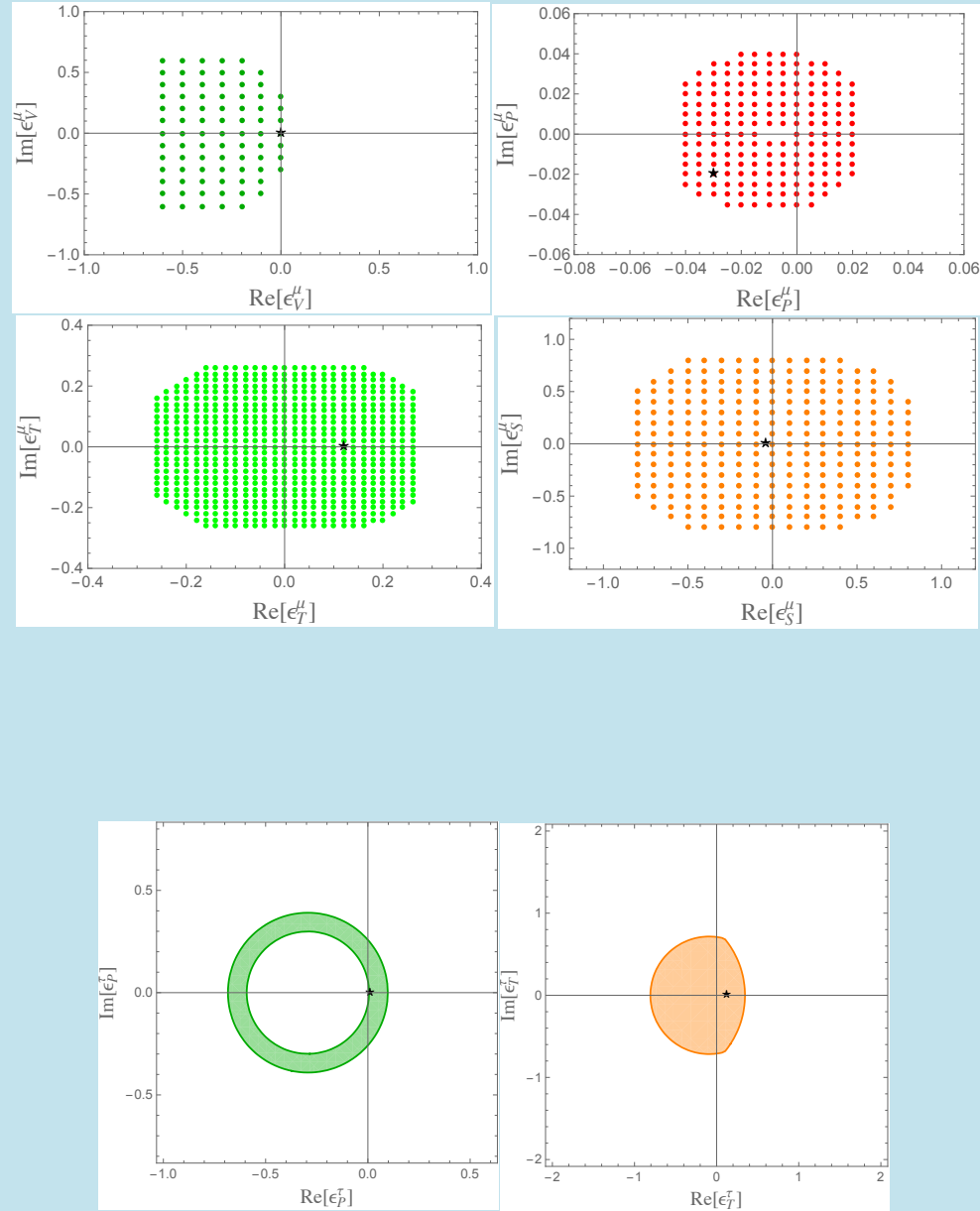
	$f_+^{B \rightarrow \pi}$	$f_0^{B \rightarrow \pi}$	$f_T^{B \rightarrow \pi}$
$a_0$	0.416 (20)	0.492 (20)	0.400 (21)
$a_1$	-0.430	-1.35	-0.50
$a_2$	0.114	2.50	0.00076
$a_3$			0.534

Khodjamirian et al.  
FLAG



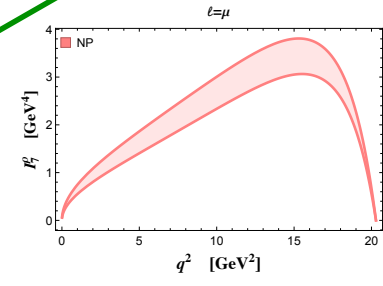
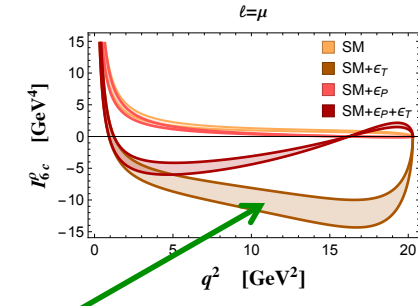
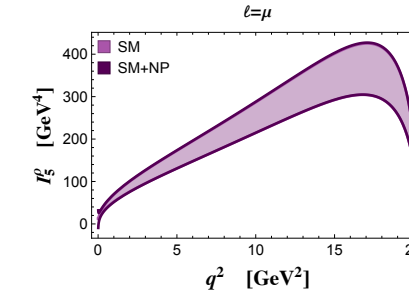
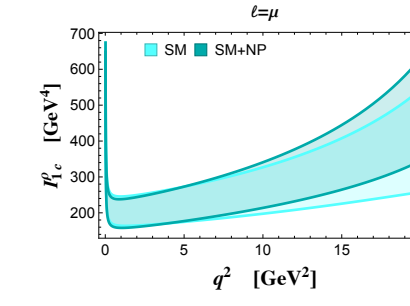
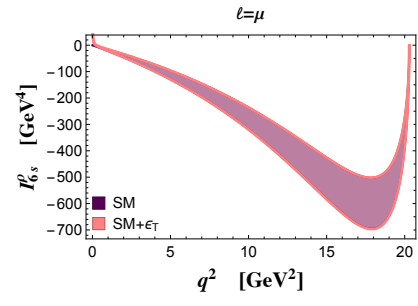
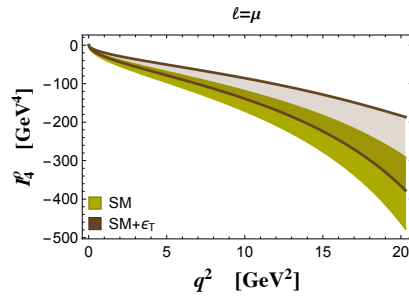
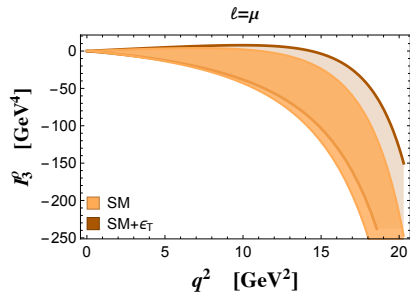
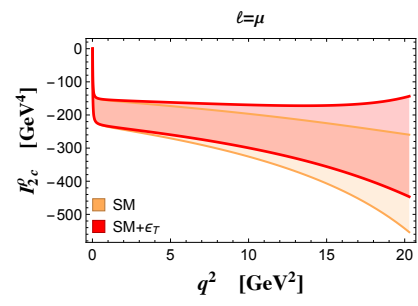
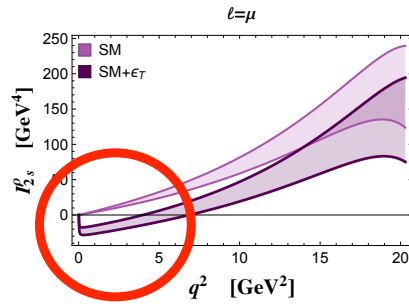
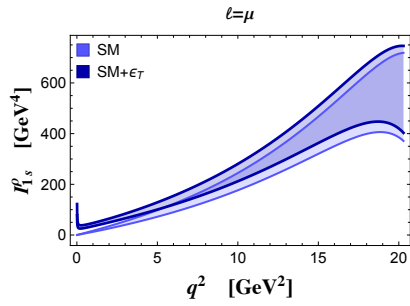
## B- $\rightarrow$ $\rho$ form factors from Light Cone QCD sum rules

parameter space

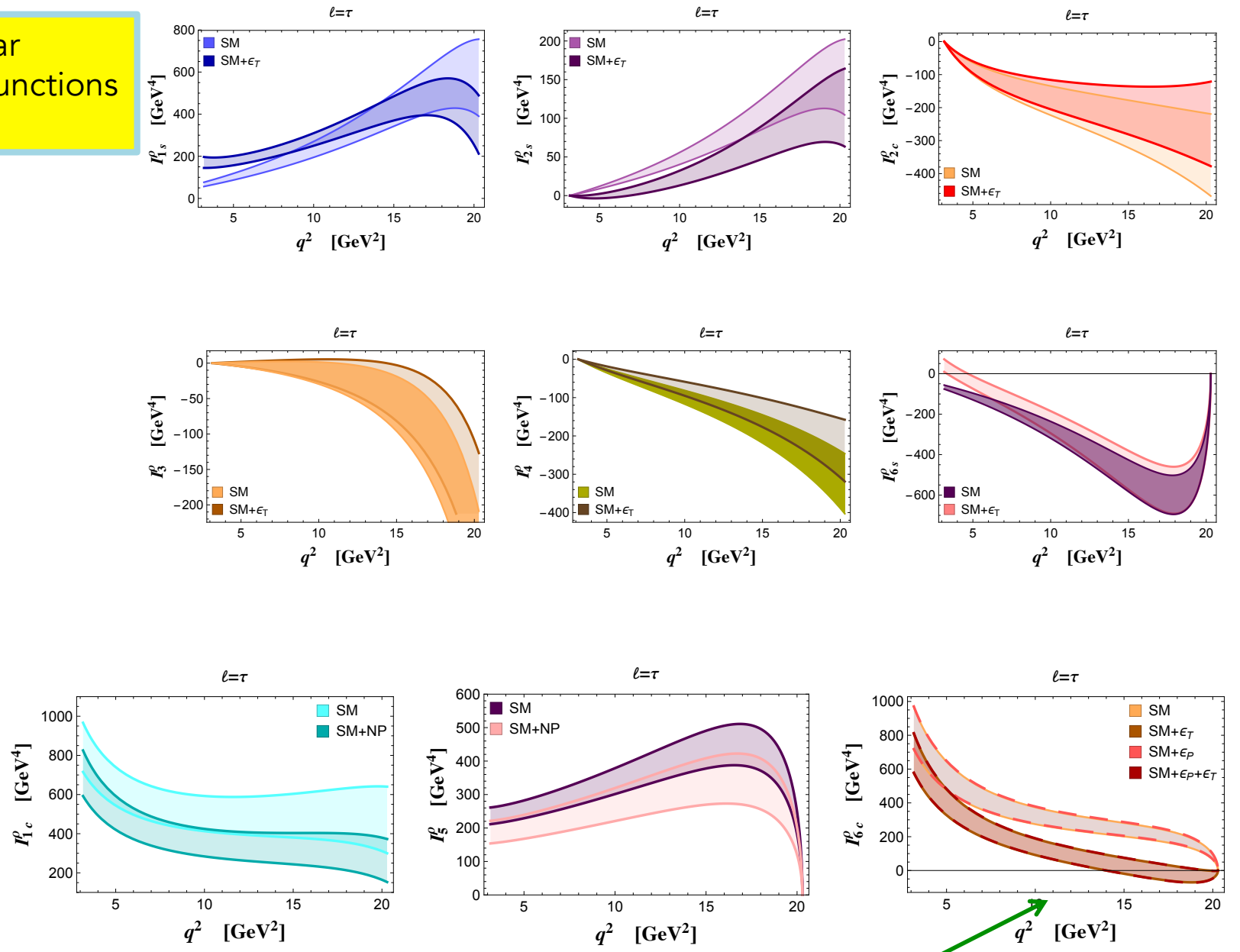




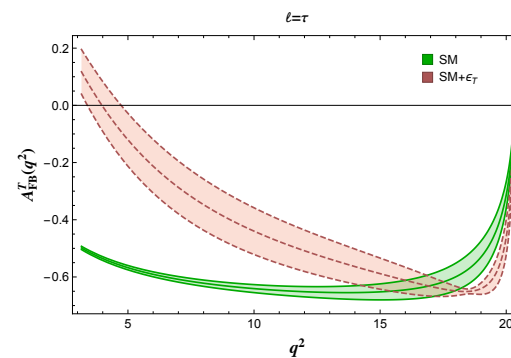
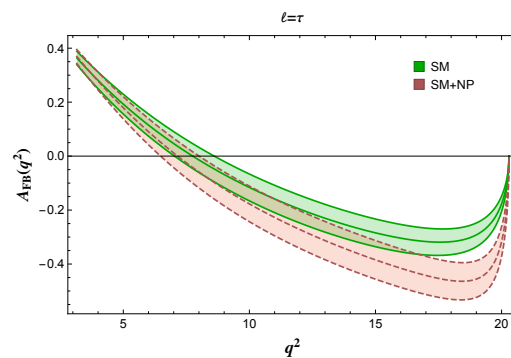
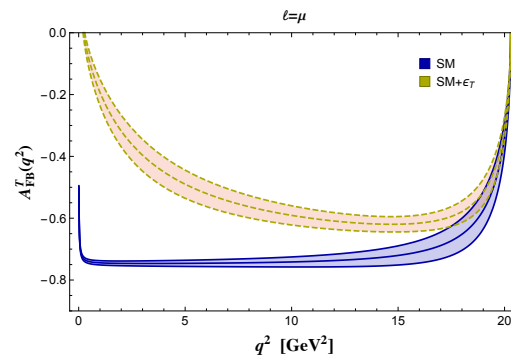
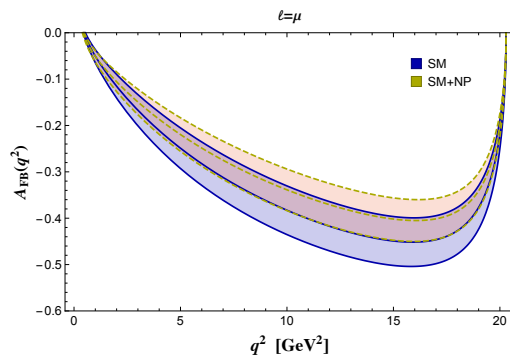
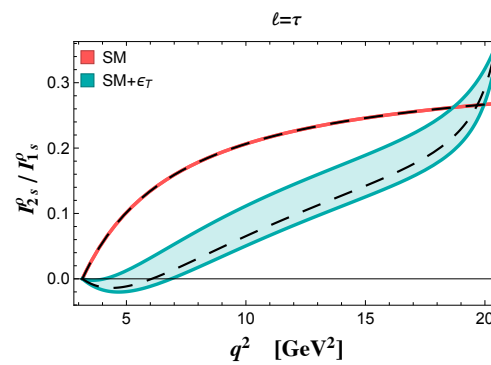
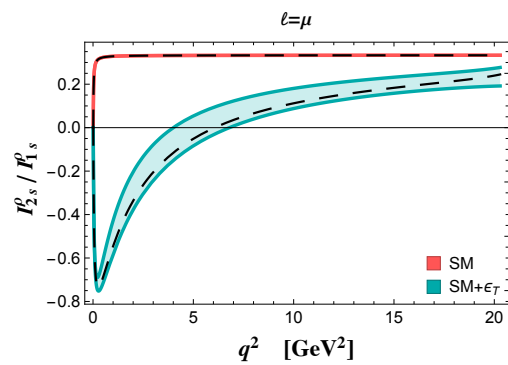
B → ρ angular coefficient functions μ mode



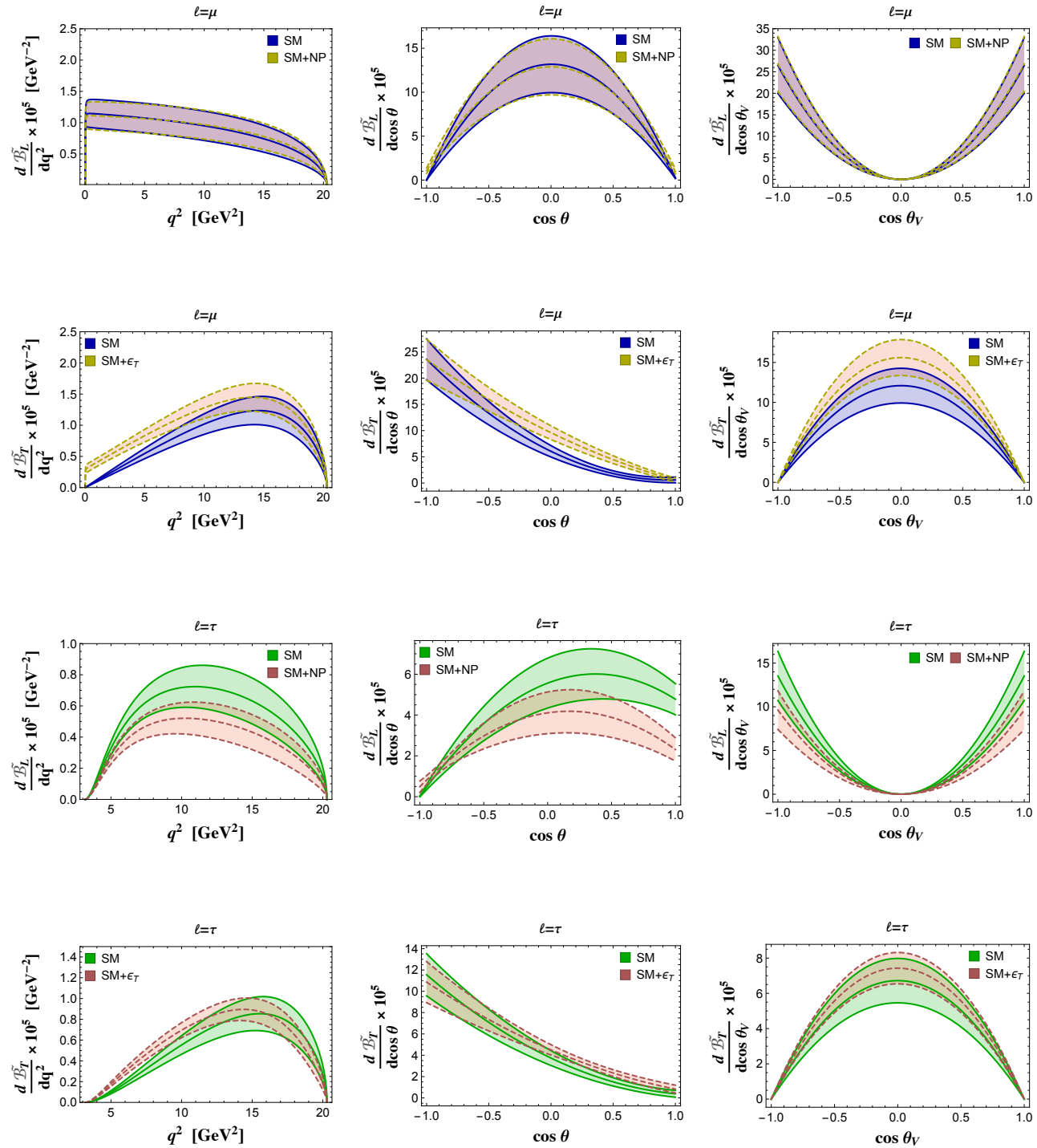
B- $\rightarrow$   $\rho$  angular  
coefficient functions  
 $\tau$  mode



$$R_{2s/1s}^\rho(q^2) = \frac{I_{2s}^\rho(q^2)}{I_{1s}^\rho(q^2)}$$

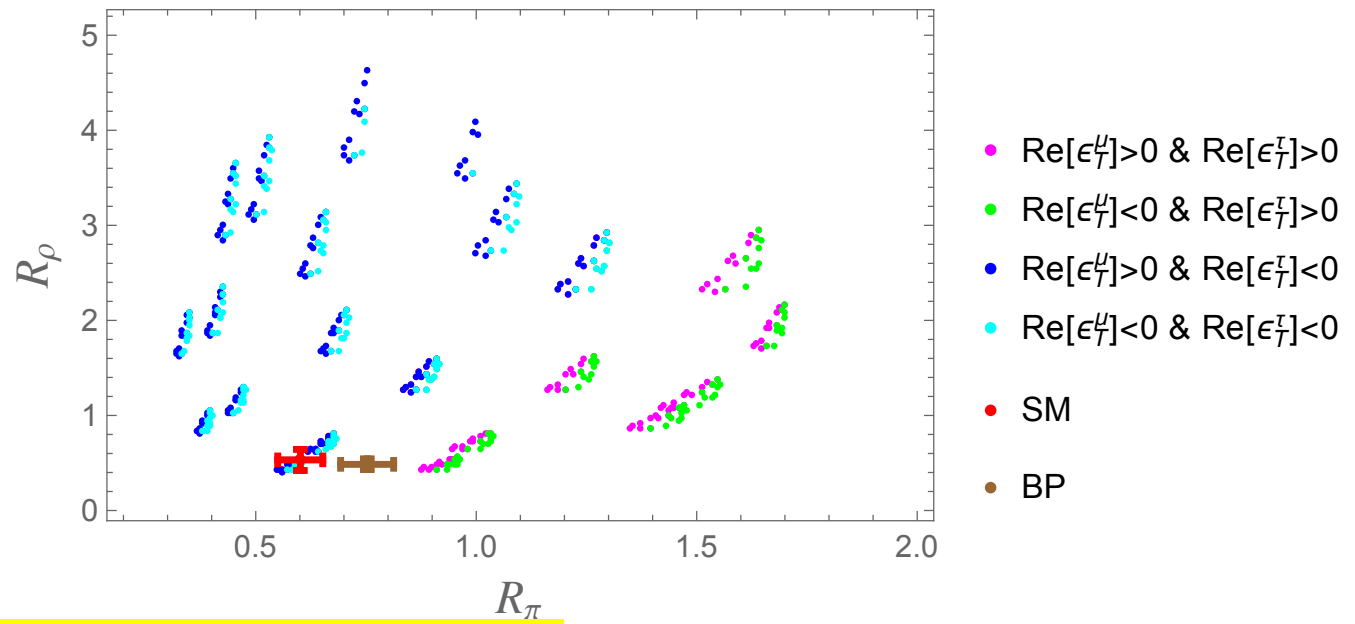


charged lepton  
FB asymmetry



distributions

$R(\rho)$  vs  $R(\pi)$



large deviations are possible for tauonic modes

## Which SM extension?

## simplified BSM models

Scrutinized candidates:

Spin 0, 1 leptoquark (LQ) → predicted in GUT/compositeness frameworks coupled to quarks and leptons

$$L = y_{ij} \bar{Q}_i S_3 L_j + z_{ij} \bar{Q}_i S_3 Q_j + h.c.$$

$$\frac{1}{\Lambda^2} (\bar{c} \gamma^\mu P_L b) (\tau \gamma^\mu P_L \nu) + \text{tensor} + \dots$$

← O(2 TeV)

SU(2) singlet vector leptoquark  $U_1$

Aebischer et al., Alonso et al., Barbieri et al., Calibbi et al., Fajfer et al., Hiller et al., Bhattacharaya et al., Buttazzo et al., ...

SU(2) triplet scalar leptoquark  $S_3$

Kowalska et al., Dorsnee et al., Becirevic et al., ...

major focus on FCNC anomalies

331 models

Buras De Fazio Girrbach...

.....

## CONCLUSIONS & CHALLENGES

- Flavour a puzzling sector of the Standard Model
- Anomalies have been observed: they look quite robust (but no disagreement with SM at  $5\sigma$ ) and seem to follow a coherent pattern, pointing to BSM
- New precision measurements foreseen in the next future (LHCb, Belle II, and searches for signatures in pp)
- Theoretical efforts required for more precise predictions, to identify the regularities and to explore the possible new paths.