

QCD phase diagram and its dualities



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Russian
Science
Foundation



Фонд развития
теоретической физики
и математики

K.G. Klimenko, IHEP
T.G. Khunjua, MSU

in the broad sense our group stems from
Department of Theoretical Physics, Moscow State University
Prof. V. Ch. Zhukovsky
strong connections with
prof. D. Ebert, Humboldt University of Berlin

details can be found in

Phys.Rev. D100 (2019) no.3, 034009 arXiv:1907.04151 [hep-ph]
JHEP 1906 (2019) 006 arXiv:1901.02855 [hep-ph]
Eur.Phys.J. C79 (2019) no.2, 151, arXiv:1812.00772 [hep-ph],
Phys.Rev. D98 (2018) no.5, 054030 arXiv:1804.01014 [hep-ph],
Phys.Rev. D97 (2018) no.5, 054036 arXiv:1710.09706 [hep-ph]
Phys.Rev. D95 (2017) no.10, 105010 arXiv:1704.01477 [hep-ph]



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БАЗИС

Фонд развития
теоретической физики
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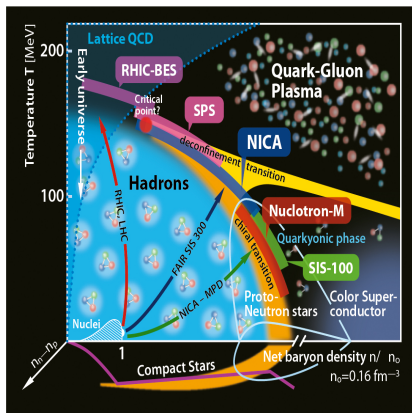
QCD at T and μ

(QCD at extreme conditions)

- ▶ neutron stars
- ▶ heavy ion collisions
- ▶ Early Universe

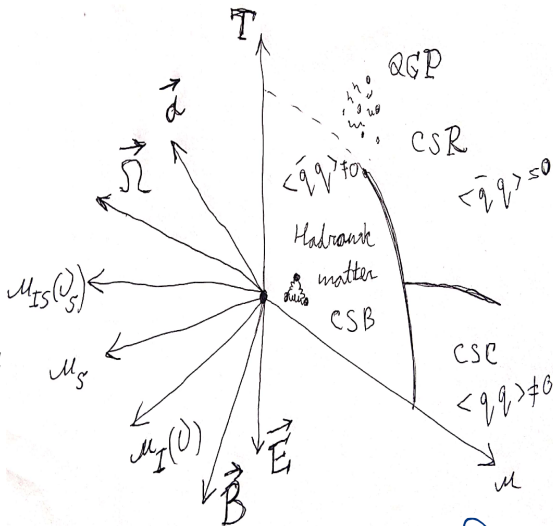
Methods of dealing with QCD

- ▶ First principle calculation
– lattice QCD
- ▶ Effective models
- ▶



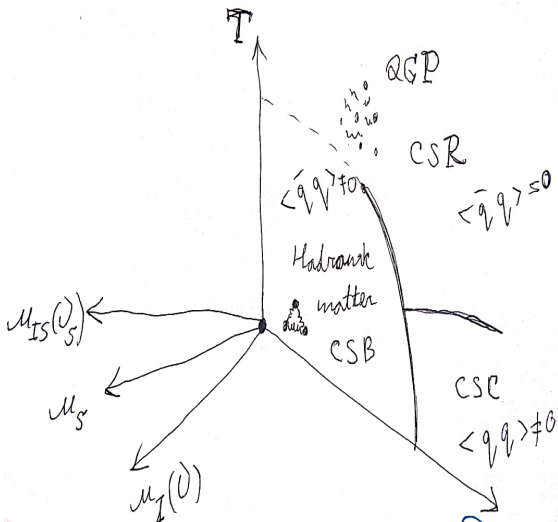
More than just QCD at (μ, T)

- ▶ more chemical potentials μ_i
- ▶ magnetic fields
(see talk of A. Kotov)
- ▶ rotation of the system $\vec{\Omega}$
(see talk of Prof. Fukushima)
- ▶ acceleration \vec{a}
(see talk of Prof. Zakharov and G. Prohorov/Prof. Teryaev)
- ▶ finite size effects (finite volume and boundary conditions)



More than just QCD at (μ, T)

- ▶ **more chemical potentials** μ_i
- ▶ magnetic fields
- ▶ rotation of the system
- ▶ acceleration
- ▶ finite size effects (finite volume and boundary conditions)



Baryon chemical potential μ_B

Allow to consider systems with non-zero baryon densities.

$$\frac{\mu_B}{3} \bar{q} \gamma^0 q = \mu \bar{q} \gamma^0 q,$$



Baryon chemical potential μ_B

Allow to consider systems with non-zero baryon densities.

$$\frac{\mu_B}{3} \bar{q} \gamma^0 q = \mu \bar{q} \gamma^0 q,$$

Isotopic chemical potential μ_I

Allow to consider systems with isospin imbalance ($n_n \neq n_p$).

$$\frac{\mu_I}{2} \bar{q} \gamma^0 \tau_3 q = \nu (\bar{q} \gamma^0 \tau_3 q)$$

$$n_I = n_u - n_d \quad \longleftrightarrow \quad \mu_I = \mu_u - \mu_d$$

see talk of F. Cuteri



chiral (axial) chemical potential

Allow to consider systems with chiral imbalance (difference between densities of left-handed and right-handed quarks).

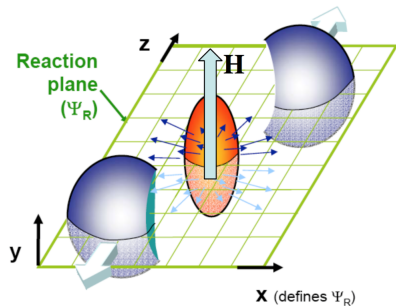
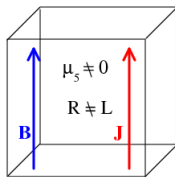
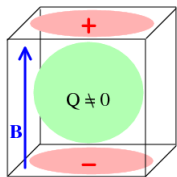
$$n_5 = n_R - n_L \quad \longleftrightarrow \quad \mu_5 = \mu_R - \mu_L$$

The corresponding term in the Lagrangian is

$$\mu_5 \bar{q} \gamma^0 \gamma^5 q$$

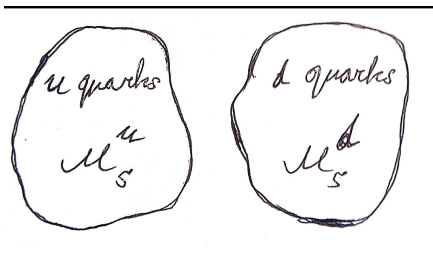
See talk by A. V. Andrianov





$$\vec{J} \sim \mu_5 \vec{B},$$





$$\mu_5^u \neq \mu_5^d \quad \text{and} \quad \mu_{I5} = \mu_5^u - \mu_5^d$$

Term in the Lagrangian — $\frac{\mu_{I5}}{2} \bar{q} \tau_3 \gamma^0 \gamma^5 q = \nu_5 (\bar{q} \tau_3 \gamma^0 \gamma^5 q)$

$$n_{I5} = n_{u5} - n_{d5}, \quad n_{I5} \longleftrightarrow \nu_5$$



Chiral imbalance n_5 and hence μ_5 can
be generated in parallel magnetic and electric
fields

$$\vec{E} \parallel \vec{B}$$

M. Ruggieri, M. Chernodub, H. Warringa et al



Chiral isospin imbalance n_{I5} and hence μ_{I5} can be generated in parallel magnetic and electric fields $\vec{E} \parallel \vec{B}$

μ_{I5} and μ_5 are generated by $\vec{E} \parallel \vec{B}$



Generation of Chiral imbalance in dense
quark matter



Chiral imbalance could appear in dense matter

- ▶ Chiral separation effect
(Thanks to Igor Shovkovy)
- ▶ Chiral vortical effect



Different chemical potentials and matter content

$$\mu = \frac{\mu_B}{3}, \quad \nu = \frac{\mu_I}{2}, \quad \mu_5, \quad \nu_5 = \frac{\mu_{I5}}{2}$$



We consider a NJL model, which describes dense quark matter with two massless quark flavors (u and d quarks).

$$\mathcal{L} = \bar{q} \left[\gamma^\nu i \partial_\nu + \frac{\mu_B}{3} \gamma^0 + \frac{\mu_I}{2} \tau_3 \gamma^0 + \frac{\mu_{I5}}{2} \tau_3 \gamma^0 \gamma^5 + \mu_5 \gamma^0 \gamma^5 \right] q + \frac{G}{N_c} \left[(\bar{q}q)^2 + (\bar{q}i\gamma^5\vec{\tau}q)^2 \right]$$

q is the flavor doublet, $q = (q_u, q_d)^T$, where q_u and q_d are four-component Dirac spinors as well as color N_c -plets; τ_k ($k = 1, 2, 3$) are Pauli matrices.



To find the thermodynamic potential we use a semi-bosonized version of the Lagrangian

$$\tilde{L} = \bar{q} \left[i\not{\partial} + \mu\gamma^0 + \nu\tau_3\gamma^0 + \nu_5\tau_3\gamma^0\gamma^5 + \mu_5\gamma^0\gamma^5 - \sigma - i\gamma^5\pi_a\tau_a \right] q - \frac{N_c}{4G} \left[\sigma^2 + \pi_a^2 \right].$$

$$\sigma(x) = -2\frac{G}{N_c}(\bar{q}q); \quad \pi_a(x) = -2\frac{G}{N_c}(\bar{q}i\gamma^5\tau_a q).$$

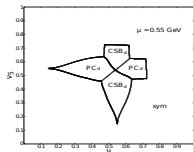
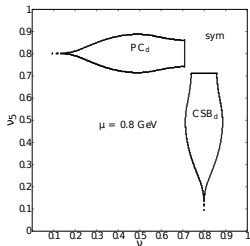
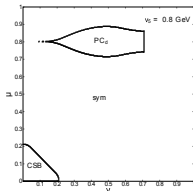
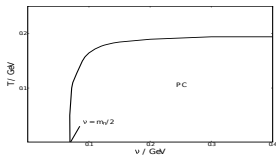
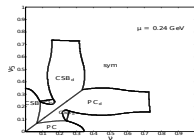
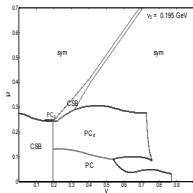
Condensates ansatz $\langle\sigma(x)\rangle$ and $\langle\pi_a(x)\rangle$ do not depend on spacetime coordinates

$$\langle\sigma(x)\rangle = M, \quad \langle\pi_1(x)\rangle = \Delta, \quad \langle\pi_2(x)\rangle = 0, \quad \langle\pi_3(x)\rangle = 0.$$

where M and Δ are already constant quantities.



Symmetry 2019, 11(6),
778



Chiral imbalance lead to the generation of PC in dense quark matter (PC_d)



Dualities



Dualities

It is not related to holography or gauge/gravity
duality

it is the dualities of the phase structures of
different systems



The TDP

$$\Omega(T, \mu, \mu_i, \dots, \langle \bar{q}q \rangle, \dots)$$



The TDP

$$\Omega(T, \mu, \mu_i, \dots, \langle \bar{q}q \rangle, \dots)$$

$$\Omega(T, \mu, \mu_i, \dots, M, \Delta, \dots)$$



The TDP

$$\Omega(T, \mu, \mu_i, \dots, \langle \bar{q}q \rangle, \dots) \quad \Omega(T, \mu, \mu_i, \dots, M, \Delta, \dots)$$

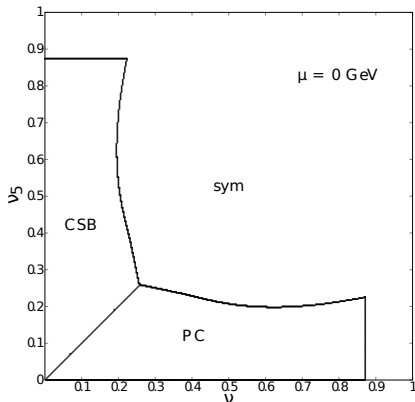
The TDP (phase diagram) is invariant under
Interchange of - condensates - matter content

$$\Omega(M, \Delta, \mu_I, \mu_{I5})$$

$$M \longleftrightarrow \Delta, \quad \nu \longleftrightarrow \nu_5$$

$$\Omega(M, \Delta, \mu_I, \mu_{I5}) = \Omega(\Delta, M, \mu_{I5}, \mu_I)$$





$$\mathcal{D} : M \longleftrightarrow \Delta, \quad \nu \longleftrightarrow \nu_5$$

Duality between chiral symmetry breaking and pion condensation

$$\text{PC} \longleftrightarrow \text{CSB} \quad \nu \longleftrightarrow \nu_5$$

Figure: NJL model results



$$\mathcal{L}_{\text{QCD}} = \sum_{f=u,d} \bar{q}_f (i\not{D} - m_f) q_f - \frac{1}{4} \mathcal{G}_{\mu\nu,a} \mathcal{G}_a^{\mu\nu}.$$

$$\mathcal{L}_{\text{NJL}} = \sum_{f=u,d} \bar{q}_f \left[i\gamma^\nu \partial_\nu - m_f \right] q_f + \frac{G}{N_c} \left[(\bar{q}q)^2 + (\bar{q}i\gamma^5 \vec{\tau}q)^2 \right]$$

m_f is current quark masses

In the chiral limit $m_f = 0$ the Duality \mathcal{D} is exact

$$m_f : \frac{m_u + m_d}{2} \approx 3.5 \text{ MeV}$$

In our case typical values of $\mu, \nu, \dots, T, \dots \sim 10 - 100 \text{ s MeV}$, for example, 200-400 MeV

One can work in the chiral limit $m_f \rightarrow 0$

$$m_f = 0 \quad \rightarrow \quad m_\pi = 0$$

physical m_f a few MeV \rightarrow physical $m_\pi \sim 140 \text{ MeV}$



Duality between CSB and PC is **approximate** in
physical point



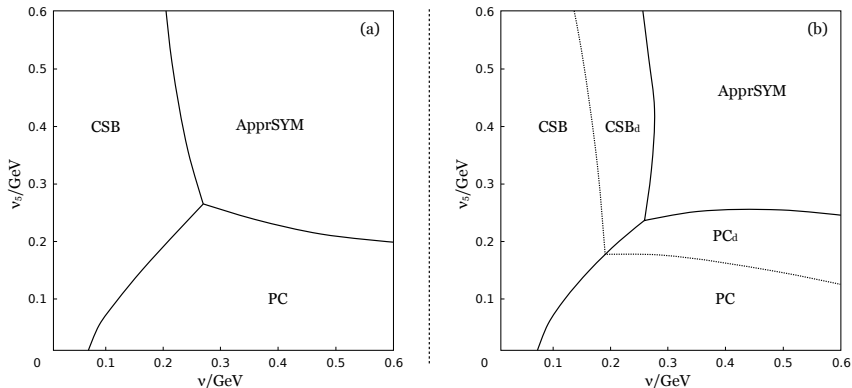


Figure: (ν, ν_5) phase diagram



Other Dualities

They are not that strong but still...

They could still be useful



The TDP

$$\Omega(T, \mu, \nu, \nu_5, \mu_5; M, \Delta)$$

Let us assume that there is no PC

$$\Delta = 0$$

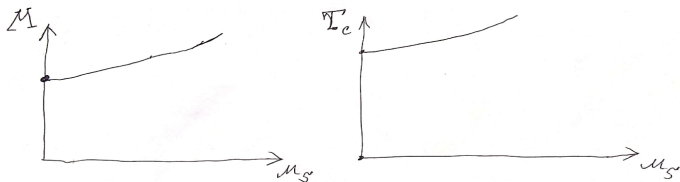
The TDP (phase diagram) is invariant under

$$\mu_5 \longleftrightarrow \nu_5$$



Chiral symmetry breaking phenomenon
does not feel the difference between
 μ_5 and ν_5



QCD at non-zero μ_5 

catalysis of CSB by chiral imbalance:

- ▶ increase of $\langle \bar{q}q \rangle$ as μ_5 increases
- ▶ increase of critical temperature T_c of chiral phase transition (crossover) as μ_5 increases



$M(\nu_5)$ the same as $M(\mu_5)$

all the results can be obtained by duality only (no PC)

catalysis of CSB by chiral isospin imbalance:

- ▶ increase of $\langle \bar{q}q \rangle$ as μ_{I5} increases
- ▶ increase of critical temperature T_c of chiral phase transition (crossover) as μ_{I5} increases



The TDP

$$\Omega(T, \mu, \nu, \nu_5, \mu_5; M, \Delta)$$

Let us assume that there is no CSB

$$M = 0$$

The TDP (phase diagram) is invariant under

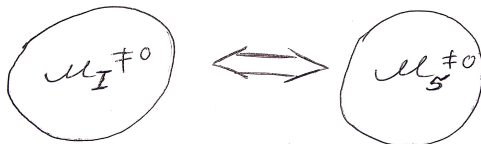
$$\mu_5 \longleftrightarrow \nu$$



Pion condensation phenomenon
does not feel the difference between
 ν and μ_5



Two completely different systems



It was shown that chiral imbalance
generates pion condensation in dense
matter $n_B \neq 0$

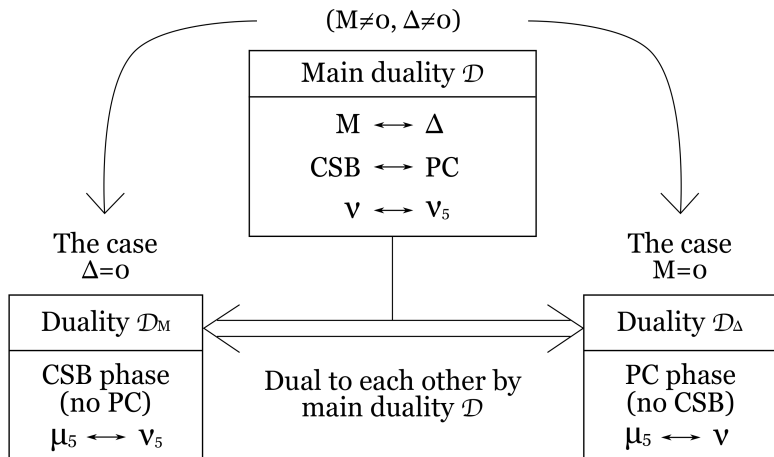
$$\mu_5 \rightarrow \text{PC with } n_B \neq 0$$

- ▶ ν and μ_5 has the same effect on PC
- ▶ $\nu \rightarrow \text{PC}$

So it can be

$$\mu_5 \rightarrow \text{PC and } \mu_5 \rightarrow \text{PC with } n_B \neq 0$$





Dualities on the lattice



Dualities on the lattice

$(\mu_B, \mu_I, \mu_{I5}, \mu_5)$

$\mu_B \neq 0$ impossible on lattice but if $\mu_B = 0$



$\mu_B \neq 0$ impossible on lattice but if $\mu_B = 0$

► **QCD at μ_5** — (μ_5, T)

V. Braguta, A. Kotov et al, ITEP lattice group

► **QCD at μ_I** — (μ_I, T)

G. Endrodi, B. Brandt et al, Emmy Noether junior research group, Goethe-University Frankfurt, Institute for Theoretical Physics ()



No lattice calculations at μ_{I5}

QCD at μ_{I5}

But

there is duality $\mathcal{D}_M : \mu_5 \longleftrightarrow \nu_5$ if $\Delta = 0$

at $\mu_I = 0$ there is no PC ($\Delta = 0$)

- ▶ $M(\mu_5) = M(\nu_5)$
- ▶ $T_c(\mu_5) = T_c(\nu_5)$



$$M \longleftrightarrow \Delta, \quad \nu_5 \longleftrightarrow \nu$$



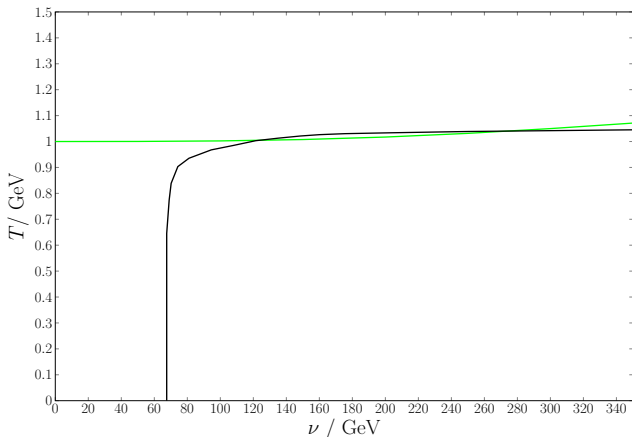
$$M \longleftrightarrow M \longleftrightarrow \Delta, \quad \mu_5 \longleftrightarrow \nu_5 \longleftrightarrow \nu$$

So in this particular case you have a duality

$$M \longleftrightarrow \Delta, \quad \mu_5 \longleftrightarrow \nu$$

- ▶ $M(\mu_5) = \Delta(\nu)$
- ▶ $T_c^M(\mu_5) = T_c^\Delta(\nu_5)$





T_c^M as a function of μ_5 (green line) and $T_c^\Delta(\nu)$ (black)



A number of papers predicted **anticatalysis** (T_c decrease with μ_5) of dynamical chiral symmetry breaking

A number of papers predicted **catalysis** (T_c increase with μ_5) of dynamical chiral symmetry breaking

lattice results show the **catalysis**

(ITEP lattice group, V. Braguta, A. Kotov, et al)

But unphysically large pion mass

Duality \Rightarrow catalysis of chiral symmetry breaking



- ▶ **Large N_c orbifold equivalences** connect gauge theories with different gauge groups and **matter content** in the large N_c limit.

M. Hanada and N. Yamamoto, JHEP 1202 (2012) 138, arXiv:1103.5480 [hep-ph], PoS

LATTICE 2011 (2011), arXiv:1111.3391 [hep-lat]

- two gauge theories with gauge groups G_1 and G_2
- but with different μ_1 and μ_2

Duality

$$G_1 \longleftrightarrow G_2, \quad \mu_1 \longleftrightarrow \mu_2$$

or

Phase structure (G_1 at μ_1) \longleftrightarrow Phase structure (G_2 at μ_2)



Duality

QCD at $\mu_1 \longleftrightarrow$ QCD at μ_2

- ▶ QCD with μ_2 --- sign problem free,
- ▶ QCD with μ_1 --- sign problem (no lattice)

Investigations of (QCD with μ_2)_{on lattice} \implies (QCD with μ_1)



Inhomogeneous phases (case)

Homogeneous case

$\langle \sigma(x) \rangle$ and $\langle \pi_a(x) \rangle$

$$\langle \sigma(x) \rangle = M, \quad \langle \pi_{\pm}(x) \rangle = \Delta, \quad \langle \pi_3(x) \rangle = 0.$$



In vacuum the quantities $\langle\sigma(x)\rangle$ and $\langle\pi_a(x)\rangle$ do not depend on space coordinate x .

in a dense medium the ground state expectation values of bosonic fields might depend on spatial coordinates

CDW ansatz for CSB

the single-plane-wave LOFF ansatz for PC

$$\begin{aligned}\langle\sigma(x)\rangle &= M \cos(2kx^1), & \langle\pi_3(x)\rangle &= M \sin(2kx^1), \\ \langle\pi_1(x)\rangle &= \Delta \cos(2k'x^1), & \langle\pi_2(x)\rangle &= \Delta \sin(2k'x^1)\end{aligned}$$

equivalently

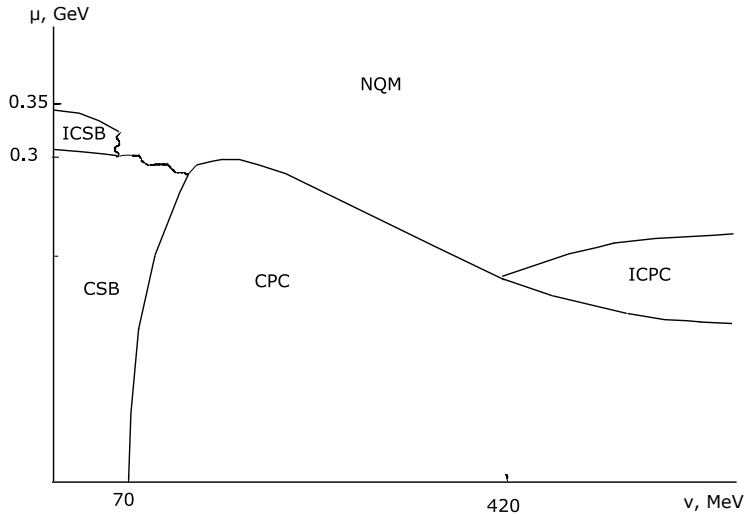
$$\langle\pi_{\pm}(x)\rangle = \Delta e^{\pm 2k'x^1}$$



Duality in inhomogeneous case is shown

$$\mathcal{D}_I : \quad M \longleftrightarrow \Delta, \quad \nu \longleftrightarrow \nu_5, \quad k \longleftrightarrow k'$$

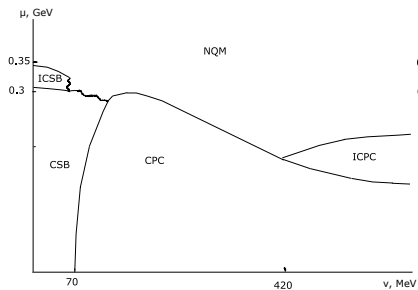
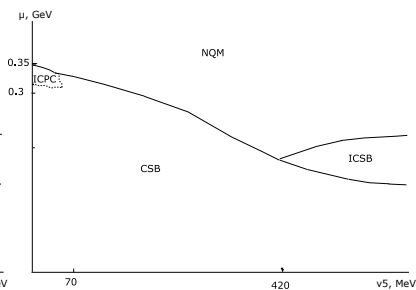




Combined schematic (ν, μ) -phase diagram.



- ▶ exchange axis ν to the axis ν_5 ,
- ▶ rename the phases ICSB \leftrightarrow ICPC, CSB \leftrightarrow CPC, and NQM phase stays intact here

Figure: (ν, μ) -phase diagramFigure: (ν_5, μ) -phase diagram

Duality between CSB and PC was found in

- In the framework of NJL model
- In the large N_c approximation (or mean field)
 - In the chiral limit



QCD Lagrangian is

$$\mathcal{L} = i\bar{\psi}\gamma^\mu D_\mu\psi + \bar{\psi}\left[\mu\gamma^0 + \frac{\mu_I}{2}\tau_3\gamma^0 + \frac{\mu_{I5}}{2}\tau_3\gamma^0\gamma^5 + \mu_5\gamma^0\gamma^5\right]\psi$$

$$\mathcal{D}: \quad \psi_R \rightarrow i\tau_1\psi_R$$

$$\mu_I \leftrightarrow \mu_{I5}$$

$$\bar{\psi}\psi \leftrightarrow i\bar{\psi}\gamma^5\tau_1\psi$$

$$M \longleftrightarrow \Delta, \quad \nu \longleftrightarrow \nu_5, \quad \mu_I \longleftrightarrow \mu_{I5}$$

$$\begin{aligned} i\bar{\psi}^C\sigma_2\tau_2\gamma^5\psi &\leftrightarrow i\bar{\psi}^C\sigma_2\tau_2\gamma^5\psi, & \bar{\psi}^C\sigma_2\tau_2\psi &\leftrightarrow \bar{\psi}^C\sigma_2\tau_2\psi \\ \bar{\psi}\tau_2\psi &\leftrightarrow \bar{\psi}\tau_3\psi, & \bar{\psi}\tau_1\psi &\leftrightarrow i\bar{\psi}\gamma^5\psi, & i\bar{\psi}\gamma^5\tau_2\psi &\leftrightarrow i\bar{\psi}\gamma^5\tau_3\psi \end{aligned}$$



$$\mathcal{D} \in SU_R(2) \quad \in SU_L(2) \times SU_R(2)$$

$$\mu_I \leftrightarrow \mu_{I5}$$

$M \neq 0$ breaks the chiral symmetry

Duality \mathcal{D} is a remnant of chiral symmetry



$$\tilde{\mathcal{D}} \in SU_R(2) \times U_A(1)$$

$$\mu_I \leftrightarrow \mu_{I5}$$

$U_A(1)$ is anomalous



$$\tilde{D} \in SU_R(2) \times U_A(1)$$

$$\mu_I \leftrightarrow \mu_{I5}$$

$U_A(1)$ is anomalous

The NJL Lagrangian

$$\mathcal{L} = i\bar{\psi}\gamma^\mu\partial_\mu\psi + G_1\left\{(\bar{\psi}\psi)^2 + (i\bar{\psi}\vec{\tau}\gamma^5\psi)^2\right\} + G_2\left\{(i\bar{\psi}\gamma^5\psi)^2 + (\bar{\psi}\vec{\tau}\psi)^2\right\}$$

$$\bar{\psi}\psi \leftrightarrow \bar{\psi}\tau_1\psi, \quad \bar{\psi}\tau_1\psi \leftrightarrow \bar{\psi}\psi, \quad i\bar{\psi}\gamma^5\tau_1\psi \leftrightarrow i\bar{\psi}\gamma^5\psi, \quad \bar{\psi}\tau_3\psi \leftrightarrow i\bar{\psi}\gamma^5\tau_2\psi$$

The transformation should be

$$\mu_I \leftrightarrow \mu_{I5}, \quad G_1 \leftrightarrow G_2$$



$$\mathcal{L} = i\bar{\psi}\gamma^\mu\partial_\mu\psi + G_1\left\{(\bar{\psi}\psi)^2 + (i\bar{\psi}\vec{\tau}\gamma^5\psi)^2\right\} + G_2\left\{(i\bar{\psi}\gamma^5\psi)^2 + (\bar{\psi}\vec{\tau}\psi)^2\right\} \\ + H_1(i\bar{\psi}\sigma_2\lambda_2\gamma^5\psi^C)(i\bar{\psi}^C\sigma_2\lambda_2\gamma^5\psi) + H_2(\bar{\psi}\sigma_2\lambda_2\psi^C)(\bar{\psi}^C\sigma_2\lambda_2\psi)$$

$$\bar{\psi}\psi \leftrightarrow \bar{\psi}\tau_1\psi, \quad \bar{\psi}\tau_1\psi \leftrightarrow \bar{\psi}\psi, \quad i\bar{\psi}\gamma^5\tau_1\psi \leftrightarrow i\bar{\psi}\gamma^5\psi, \quad \bar{\psi}\tau_3\psi \leftrightarrow i\bar{\psi}\gamma^5\tau_2\psi \\ |\bar{\psi}^C\sigma_2\lambda_2\psi|^2 \leftrightarrow |i\bar{\psi}^C\sigma_2\lambda_2\gamma^5\psi|^2$$

The transformation should be

$$\mu_I \leftrightarrow \mu_{I5}, \quad G_1 \leftrightarrow G_2, \quad H_1 \leftrightarrow H_2$$



$$\text{QCD: } SU_L(2) \times SU_R(2)$$

$$\text{QC}_2\text{D: } SU(4)$$



$$\mathcal{D} \in SU(4)$$

$$\mu \leftrightarrow \nu, \quad \Delta \leftrightarrow \Delta_{CSC}$$

$$\bar{\psi}\psi \rightarrow \bar{\psi}\psi, \quad i\bar{\psi}\gamma^5\tau_3\psi \rightarrow i\bar{\psi}\gamma^5\tau_3\psi, \quad i\bar{\psi}\gamma^5\psi \rightarrow i\bar{\psi}\gamma^5\psi, \quad \bar{\psi}\tau_3\psi \rightarrow \bar{\psi}\tau_3\psi$$

$$i\bar{\psi}\gamma^5\tau_1\psi \rightarrow \frac{-i\bar{\psi}\sigma_2\gamma^5\tau_2\psi^C - i\bar{\psi}^C\sigma_2\gamma^5\tau_2\psi}{2}$$

$$i\bar{\psi}\gamma^5\tau_2\psi \rightarrow \frac{-\bar{\psi}\sigma_2\gamma^5\tau_2\psi^C + \bar{\psi}^C\sigma_2\gamma^5\tau_2\psi}{2}$$



$$\mathcal{D} \in SU(4)$$

$$\mu \leftrightarrow \nu_5, \quad M \leftrightarrow \Delta_{CSC}$$

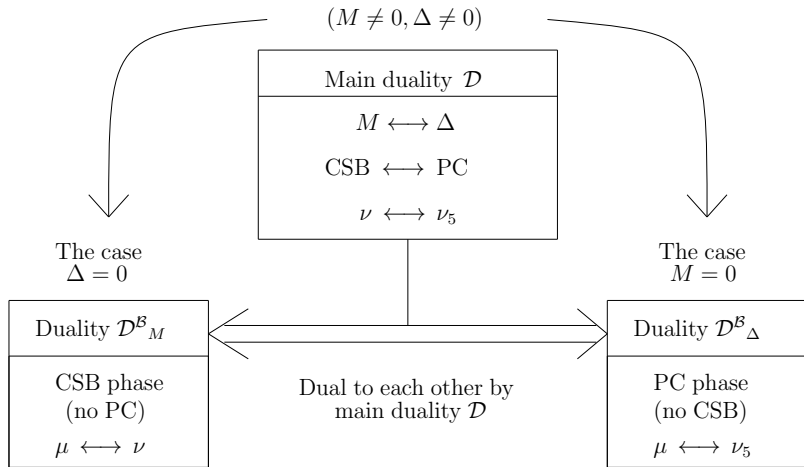
$$\bar{\psi}\psi \rightarrow \frac{-i\bar{\psi}\sigma_2\gamma^5\tau_2\psi^C - i\bar{\psi}^C\sigma_2\gamma^5\tau_2\psi}{2}, \quad i\bar{\psi}\gamma^5\tau_3\psi \rightarrow \frac{-\bar{\psi}\sigma_2\gamma^5\tau_2\psi^C + \bar{\psi}^C\sigma_2\gamma^5\tau_2\psi}{2}$$



Dualities concerning baryon density

They could be even more usefull





CSB phenomenon
does not feel the difference between μ and ν
if there is no pion condensation phenomenon

**in finite volume there cannot be a breaking of
continuous symmetry**

on lattice to get pion condensation (breaking of $U_{\tau_3}(1)$) one
adds the pion source λ



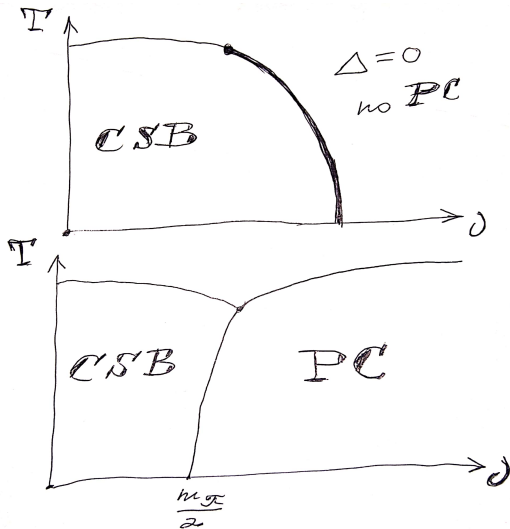
CSB phenomenon
does not feel the difference between μ and ν
if there is no pion condensation phenomenon

probably

if $\lambda = 0$ then there is no symmetry breaking and pion
condensation

it is unphysical and there should be (M, Δ)
but artificially one can probe the local minimum $(M, 0)$





Let us assume that $\nu \neq 0$
as a rule there is PC and there is no CSB

$$M = 0, \quad \mu \longleftrightarrow \nu_5$$

PC at non-zero μ \longleftrightarrow PC at non-zero ν_5



- ▶ $(\mu_B, \mu_I, \nu_5, \mu_5)$ phase diagram was studied
PC in dense matter with chiral imbalance
- ▶ It was shown that there exist dualities
- ▶ There have been shown ideas how dualities can be used
- ▶ Duality is **not just entertaining mathematical property** but an **instrument with very high predictivity power**
- ▶ (μ_B, ν_5) phase diagram is **quite rich** and contains various **inhomogeneous phases**

