

Deep Underground Neutrino Experiment: Status and Prospects (for the DUNE Collaboration)

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Plan of the Talk

- Neutrino Oscillation Status
- The Goals of DUNE Experiment
- DUNE Experiment Collaboration and Organization
- Status of Neutrino Beam, Near and Far Detectors
- DUNE Physics Measurements
- Liquid Argon TPC Development Path to LBNF/DUNE
- DUNE Timeline
- Summary

Neutrino Oscillation: Quick Reminder

• The three neutrino mixing:

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} = \begin{pmatrix} Big & Big & Small \\ Big & Big & Big \\ Big & Big & Big \end{pmatrix}$$
$$= \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \times \begin{pmatrix} \cos \theta_{13} & 0 & e^{-i\delta_{CP}} \sin \theta_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{CP}} \sin \theta_{13} & 0 & \cos \theta_{13} \end{pmatrix} \times \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$$

 θ_{12} measured from $P(\bar{v}_{e}^{0} \rightarrow \bar{v}_{x}^{0})$ by reactor \bar{v}_{e} and solar v_{e} . θ_{13} measured from $P(\bar{v}_e \rightarrow \bar{v}_e)$ by reactor \bar{v}_e . θ_{13} and δ measured from $P(\bar{v}_{\mu}^{0} \rightarrow \bar{v}_{e}^{0})$ by accelerator v_{μ} .

 θ_{23} measured from $P(\bar{\nu}_{\mu}) \rightarrow \bar{\nu}_{\mu}$) by atmospheric ν_{μ} and accelerator ν_{μ} .

• Neutrino oscillation parameters:

PMNS matrix:3 mixing angles: $\theta_{12}, \theta_{23}, \theta_{13}$ 1 phase: $\delta \Rightarrow$ CP-violation in v-sectorMass differences:2 mass difference scales: $\Delta m_{12}^2, \Delta m_{23}^2$

Neutrino Oscillation Results

- Current understanding
- -Mass squared differences:

 $\begin{array}{l} \Delta m^2{}_{21} \approx 7.5 \ x \ 10^{\text{-5}} eV^2 \\ |\Delta m^2{}_{32}| \approx 2.5 \ x \ 10^{\text{-3}} eV^2 \end{array}$

-Mixing angles:

$$\begin{split} & sin^2\theta_{12}\approx 0.31\\ & sin^2\theta_{23}\approx 0.45-0.55\\ & sin^2\theta_{13}\approx 0.02 \end{split}$$

-Absolute mass scale is unknown.

Please see related talks: -V. Pantuev's Tritium β-decay talk -A. Babic's 0v2β-decay talk



Neutrino Oscillation Questions

Recently measured what is v_e component in the v_3 mass eigenstate, i.e. θ_{13} .

Missing information in 3x3 mixing scheme:

- 1. Is the μ - τ mixing maximal? -Only know $\sin^2\theta_{23} \approx 0.45 - 0.55$
- 2. What is the mass hierarchy? -Normal or inverted?
- 3. Do neutrinos exhibit CP violation, i.e. is $\delta_{CD} \neq 0$?

$$P(v_{\mu} \to v_{e}) - P(\bar{v}_{\mu} \to \bar{v}_{e}) = -16s_{12}c_{12}s_{13}c_{13}^{2}s_{23}c_{23}\sin\delta\sin\left(\frac{\Delta m_{12}^{2}}{4E}L\right)\sin\left(\frac{\Delta m_{13}^{2}}{4E}L\right)\sin\left(\frac{\Delta m_{23}^{2}}{4E}L\right)\sin\left(\frac{\Delta m_{23}^{2}}{4E}L\right)\sin\left(\frac{$$

Why are quark and neutrino mixing matrices so different? 4.





The Goals of DUNE Experiment

- Primary focus of the DUNE science program is on fundamental open questions in particle physics and astro-particle physics:
 - Neutrino Oscillation Physics

 -CPV in the leptonic sector
 "Our best bet for explaining why there is matter in the universe"
 -Mass Hierarchy
 -Precision Oscillation Physics & testing the 3-flavor paradigm

 Nucleon Decay

 -Predicted in beyond the Standard Model theories [but not yet seen]
 e.g. the SUSY-favored mode, p → K⁺ v

 Supernova burst physics & astrophysics

-Galactic core collapse supernova, sensitivity to ν_{e}

Time information on neutron star or even black-hole formation

- DUNE Ancillary Science Program
 - -Other LBL oscillation physics with BSM sensitivity
 - -Oscillation physics with atmospheric neutrinos
 - -Neutrino Physics in the near detector
 - -Search for signatures of Dark Matter

Any would be a major discovery

The DUNE Collaboration

From Sep/04/2016 909 Collaborators 154 Institutions 29 Nations



Deep Underground Neutrino Experiment (DUNE)



Major features of the DUNE experiment are:

- A high-intensity wide-band neutrino beam originating at FNAL
 - -1.2 MW proton beam upgradable to 2.4 MW
- A highly capable near detector to measure the neutrino flux
- A ~40 kt fiducial mass liquid argon far detector
 - -Located 1300 km baseline at SURF's 1.5 km underground level (2300 mwe)
 -Staged construction of four ~10 kt detector modules. First module to be installed starting in 2021.

India

Deep Underground Neutrino Experiment (DUNE)



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India

Project Organization: DUNE – LBNF Relationship

- Detectors and Science Collaboration will be managed separately from the neutrino facility and infrastructure
- LBNF (Long Baseline Neutrino Facility): DOE/Fermilab hosted project with international participation
 - -LBNF houses, and delivers beam (i.e. beamline) to detectors built by the DUNE collaboration
 - -LBNF responsibilities are:
 - ✓ Neutrino beamline
 - \checkmark Near detector conventional facilities
 - $\checkmark\,$ Far detector cavern and conventional facilities
- DUNE (Deep Underground Neutrino Experiment) is responsible for
 - -Far and Near Detectors
 - -Scientific Research Program



LBNF/DUNE Neutrino Beam



- 60 120 GeV Proton beam energy
- Initial power 1.2 MW upgradable to 2.4 MW
 -PIP II complete before start of data taking
- Up to 10²¹ protons on target per year
- Good coverage 1 to 5 GeV



DUNE Near Detector current reference design

Goal is to precisely measure the neutrino fluxes ν_e, ν_e, ν_μ, and ν_μ
 -Percent level neutrino flux determination

-Precision neutrino cross section measurements

- NOMAD-inspired Fine-Grained Tracker (FGT), consisting of:
 -Central straw-tube tracking system (215,040 channels)
 -Lead-scintillator sampling ECAL
 -RPC-based muon tracking systems
 -Magnetic Spectrometer (0.4 T)
- Integrated nuclear targets: Ar, (C₃H₆)_n, Ca, C, Fe, etc.
 Sufficient for 10 times the un-oscillated far detector neutrino rate from the high pressure argon targets



• Design still being optimized -Quantifying the benefits of augmenting the ref. design with a LArTPC or high-pressure gaseous argon TPC.

DUNE Far Detector Staged Approach

Four-Cavern Layout at the Sanford Underground Research Facility (SURF) at the • 4850 foot Level (4300 m.w.e.) -Four independent 10-kt (fiducial mass) Far Detector liquid argon TPC modules -Allows for staged construction of the Far Detector -Gives flexibility for evolution of liquid argon (LAr) TPC technology design Far Detector – Cryostat / Cryogenic Systems Layout Cryostat 2 -Free standing steel supported membrane cryostat design Cryostat 1 1117175 Central utility cavern Cryostat 4 Cryostat 3

Sanford Underground Research Facility, Lead, S. Dakota

- Site has long & storied history as home to neutrino experiments
- LBNF scope: 4 detector chambers, utility cavern, connecting drifts
- Extensive preparatory work for LBNF/DUNE already done
- DOE approval pending to begin excavation & surface building construction



Liquid Argon Time Projection Chamber (TPC) Operation



- Ionization charge drifts to finely segmented collection planes.
 - -high resolution data
 - high event selection efficiency and efficient background rejection
- Scintillator light detected to determine interaction time.



Far Detector Reference Design: Single-phase LAr TPC

- Liquid Argon Time projection chamber with both charge and optical readout.
- First 10kt detector will be single phase

- 17.1/13.8/11.6 Total/Active/Fiducial mass
- 3 Anode Plane Assemblies (APA) wide (wire planes)

-Cold electronics 384,000 channels

- Cathode planes (CPA) at 180kV -3.6 m drift length
- Photon detection for event interaction time determination for underground physics

Far Detector Reference Design: Single-phase LAr TPC

- Liquid Argon Time projection chamber with both charge and optical readout.
- First 10kt detector will be single phase

• MicroBooNE example: mm spatial resolution

Alternative Far Detector Design: Dual-phase LAr TPC

- DUNE collaboration recognizes the potential of the dual-phase technology
 -A dual-phase implementation of the DUNE far detector is presented as an alternative design in the CDR (Conceptual Design Report).
 - -DUNE strongly supports the WA105 development program at the CERN neutrino platform
 - -If demonstrated, could form basis of second or subsequent 10-kt far detector modules

Neutrino Oscillation Strategy

- Measure neutrino spectra at 1300 km in a wide-band beam -Determine MH and θ_{23} octant, probe CPV, test 3-flavor paradigm and search for neutrino NSI in a <u>single experiment</u>
- Long baseline:
 - Matter effects are large $\sim 40\%$
- Wide-band beam:

Measure ν_e appearance and ν_μ disappearance over range of energies MH & CPV effects are separable

Neutrino Oscillation Strategy (cont.)

Physics (MH, θ₂₃, θ₁₃, δ) extracted from combined analysis of 4 samples:
 -CDR estimates, assuming: CDR optimized beam, 56% LBNF uptime, FastMC detector response

-Physics inputs: $\delta = 0, \theta_{23} = 45^{\circ}$, others from NuFIT: Gonzalez-Garcia, Maltoni, Schwetz, JHEP 1411 (2014)

m v mode / 150 kt-MW-yr	Ve appearance	${oldsymbol u}_{\mu}$ disappearance
Signal events (NH / IH)	945 (521)	7929
Wrong-sign signal (NH /IH)	13 (26)	511
Beam ve background	204	_
NC background	17	76
Other background	22	29

Anti-v mode / 150 kt-MW-yr	$\overline{\nu}_{e}$ appearance	$\overline{\mathbf{\nu}_{\mu}}$ disappearance
Signal events (NH / IH)	168 (438)	2639
Wrong-sign signal (NH /IH)	47 (28)	1525
Beam ve background	105	_
NC background	9	41
Other background	13	18

DUNE Sensitivity to CP Violation

• Sensitivity to CP Violation, after 300 kt-MW-yrs (3.5 + 3.5 yrs x 40kt @ 1.07 MW)

• Experimental configuration (geometry, flux, detector response) used for sensitivity calculations shown here is published in **arXiV:1606.09550**

DUNE Sensitivity to CP Violation (vs Exposure)

Significance with which the CP violation can be determined for 25%, 50%, 75% of δ_{CP}

• DUNE Strengths: LArTPC technology, flexible wide-band beam, Near Detector, direction resolution for atmospheric neutrinos

DUNE Mass Hierarchy Sensitivity

• Significance with which the mass hierarchy can be determined as a function of the value of δ_{CP} for an exposure of 300 kt \cdot MW (3.5 + 3.5 yrs x 40kt @ 1.07 MW)

DUNE Mass Hierarchy Sensitivity (vs Exposure)

- DUNE can definitively determine the neutrino mass hierarchy
- For a favorable CP phase this could be achieved in a few years!
- Improvements in beam design can greatly improve the sensitivity thus reducing the time needed for a definitive measurement

Neutrinos from Supernovae

• About 99% of the gravitational binding energy of the proto-neutron star goes into neutrinos.

-A large theory effort is underway to understand neutrino related dynamics of the supernova. Both oscillations, mass, and self-interactions have large effects on observables e.g. mass hierarchy could have very distinct effects on the spectrum.

Nucleon Decay

- Imaging, dE/dx, calorimetric capabilities of LArTPC enable sensitive, background-free searches
- Many modes accessible, superior detection efficiency for K production modes:

SUSI-iavoicup / K v	SUSY-favored	$p \rightarrow$	$K^+ \bar{v}$,
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Kaon observed entering ICARUS TPC in CNGS run

Technical Design & Large-scale Prototypes

Please see J. Kisiel's talk on ICARUS

- DUNE 10-kt LArTPC Modules represent O(50x) scale-up w.r.t. largest LArTPC modules to date (ICARUS), 100x scale-up w.r.t. MicroBooNE
- Operation of large-scale prototypes an important ingredient of DUNE program

 Need understand production as well as operational issues
 Provides opportunities for Test Beam data
 - ✓ Direct Link to DUNE Science Program
- Key Steps/Milestones include operation of large-scale prototypes
 -Two ProtoDUNE Detectors (Single-Phase & Dual-Phase) operational at CERN in 2018

✓ Provides key risk mitigation opportunity for Far Detector modules
 -DUNE Technical Design Report to be reviewed in 2019

✓ Done in context of both US DOE process and international organizations

LArTPC Development Path to LBNF/DUNE

ProtoDUNE Detector Status

- EHN1 Extension now in construction
- Beneficial Occupancy, Sept. '16
- Cryostats complete, April '17
- Test-Beam Operations in 2018
- H2/H4 tertiary beam lines:
 0.5-5 GeV/c e, μ, π, K, p +/- beams

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ProtoDUNE-SP

- Single-phase TPC prototype
 - Will sit in H4 beam line @ CERN
 - Will consist of 4 full-size APA's plus CPA's → 2 x 3.6m drift regions
 - Will install photon detectors of different fabrication methods
 - Plan for operation in 2018
- Will be a key test of:
 - DUNE Detector components
 - Construction methods
 - Installation procedures
 - Commissioning
 - Detector response to particles

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Dual phase protoDUNE - WA105 6x6x6m³

DUNE/LBNF Timeline

- July 2015 "CD-1 Refresh" review. Conceptual design review.
- Dec. 2015 CD-3a CF Far Site. Needed to authorize far site conventional facilities work including underground excavation and outfitting.
- 2017 Ongoing shaft renovation at SURF complete.
- 2017 Start of far site conventional facilities.
- 2018 Testing of "full-scale" far detector elements at CERN.
- 2019 Technical Design review.
- 2021 Ready for start of installation of the first far detector module.
- 2024 start of physics with one detector module. Additional far detector modules every ~2 years.
- 2026 Beam available.
- 2026 Near detector available.
- 2028 DUNE construction finished.
- Reach an exposure of 120 kt-MW-yr by 2035.

Summary DUNE Status and Prospects

• The DUNE collaboration has formed and is managed as other international HEP collaborations (LHC model).

-The scope of DUNE is a high power beam, high precision near detector, and four far liquid argon detectors each with over 10 kt fiducial mass.

-The baseline will be 1,300 km and the detector will be at SURF 4850 ft.

- Capability of making major discoveries in
 - -Long-baseline oscillation physics
 - -Nucleon decay
 - -Neutrino astrophysics
 - -Other areas
- Expect to start far site construction in 2017.
- Will be testing "full-scale" detector elements at CERN in 2018.
- Start of physics in 2024 with first 10 kton detector (beam available in 2026).
- Many opportunities for early discoveries

Backup Slides

Neutrino Oscillation

• Neutrinos produced in weak decays are linear combinations of mass eigenstates

• Neutrino flavor content evolves in time with L/E i.e. "oscillates"

Neutrino oscillation described by

 -amplitude, determined by mixing matrix U_{ij}
 -wavelength, determined by (mass)_{ij}² differences
 -matter effects

Neutrino Mixing

• Three neutrino mixing $\begin{pmatrix} \nu_e \\ \nu_{\mu} \\ \nu_{\tau} \end{pmatrix} = \begin{bmatrix} U_{e1}^* & U_{e2}^* & U_{e3}^* \\ U_{\mu1}^* & U_{\mu2}^* & U_{\mu3}^* \\ U_{\tau1}^* & U_{\tau2}^* & U_{\tau3}^* \end{bmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$ $U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_1/2} & 0 \\ 0 & 0 & e^{i\alpha_2/2} \end{pmatrix}$ $s_{ij} = \sin \theta_{ij}$ $c_{ij} = \cos \theta_{ij}$ Electron neutrino appearance example: ۲ $\boldsymbol{\delta}$ = CP-violating phase

$$\begin{split} P(\nu_{\mu} \to \nu_{e}) &\simeq \overline{\sin^{2} \theta_{23}} \sin^{2} 2\theta_{13} \frac{\sin^{2}(\Delta_{31} - aL)}{(\Delta_{31} - aL)^{2}} \Delta_{31}^{2} &\Delta_{ij} = \Delta m_{ij}^{2} L / 4E_{v} \\ &+ \sin 2\theta_{23} \sin 2\theta_{13} \sin 2\theta_{12} \frac{\sin(\Delta_{31} - aL)}{(\Delta_{31} - aL)} \Delta_{31} \frac{\sin(aL)}{(aL)} \Delta_{21} \cos(\Delta_{31} + \delta_{CP}) \\ &+ \cos^{2} \theta_{23} \sin^{2} 2\theta_{12} \frac{\sin^{2}(aL)}{(aL)^{2}} \Delta_{21}^{2}, \end{split}$$

- v_e appearance amplitude depends on θ_{13} , θ_{23} , δ_{CP} , and mass hierarchy (sign Δm_{31}^2). -Large value of $\sin^2 2\theta_{13}$ allows significant v_e appearance sample. $-\delta_{CP}$ and the term *a* switch signs in going from the $v_{\mu} \rightarrow v_{e}$ to the $\overline{v}_{\mu} \rightarrow \overline{v}_{e}$

Bi-Probability Plots

• These are older unofficial bi-probability plots -show interplay of $P(v_{\mu} \rightarrow v_{e})$ and $P(\overline{v}_{\mu} \rightarrow \overline{v}_{e})$ vs mass-hierarchy option and δ_{CP} -values.

Why is CP-violation (i.e. $\delta_{CP} \neq 0$) with neutrinos so important?

neutrino

-Striking feature of the Universe: only matter, virtually no anti-matter!

-Observation of CP-violation would make it more likely that the baryon-antibaryon asymmetry of the universe arose through leptogenesis.

-The theory of leptogenesis is linked to the see-saw theory and as a consequence the light neutrinos are Majorana and have GUT-scale partners. $v \leftarrow \begin{cases} Familiar \\ light \end{cases}$

Very heavy neutrino }

-The matter-antimatter asymmetry of the universe may be explained through CP-violating decays of the heavy partners, producing a state with unequal numbers of Standard Model leptons and antileptons.

 $N \rightarrow L^- + \phi^+$ and $N \rightarrow L^+ + \phi^- (\phi^+, \phi^- - Standard-Model Higgs)$

-The Standard Model processes convert such a state into the world around us with an unequal number of baryons and antibaryons.

-It is thought that CP-violation would be very unlikely to appear in the heavy sector without happening in light neutrinos.

Timeline

DUNE Oscillation Physics Milestones

Rapidly reach scientifically interesting sensitivities:
 e.g. in best-case scenario for CPV (δ_{CP} = +π/2) : with 60 – 70 kt.MW.year reach 3σ CPV sensitivity
 e.g. in best-case scenario for MH :

with 20 - 30 kt.MW.year reach 5σ MH sensitivity

Physics milestone	Exposure kt · MW · year (reference beam)	Exposure kt · MW · year (optimized beam)
$1^{\circ} \theta_{23}$ resolution ($\theta_{23} = 42^{\circ}$)	70	45
CPV at 3σ ($\delta_{\rm CP} = +\pi/2$)	70	60 🗲 🗕
CPV at 3σ ($\delta_{\rm CP} = -\pi/2$)	160	100
CPV at 5σ ($\delta_{\rm CP} = +\pi/2$)	280	210
MH at 5σ (worst point)	400	230
10° resolution ($\delta_{\rm CP} = 0$)	450	290
CPV at 5σ ($\delta_{ m CP}=-\pi/2$)	525	320
CPV at 5σ 50% of $\delta_{ m CP}$	810	550
Reactor θ_{13} resolution	1200	850
$(\sin^2 2\theta_{13} = 0.084 \pm 0.003)$		
CPV at 3σ 75% of $\delta_{ m CP}$	1320	850

• There is genuine potential for early physics results

DUNE 35-ton Single-Phase Prototype

- Phase-II of program w/ membrane cryostat
 - ✓ First phase established Ar purity capability
 - ✓ 2^{nd} phase install, operate LBNE style TPC

5000

4000

3000

2000

1000

1000

- ✓ operations Feb-Mar 2016
- \checkmark Purity \rightarrow Success!
- ✓ TPC / Scint Det. Ops → Success!
 -Incl. operation @ 250 V/cm
- Not everything worked well:
 Noise environment not good
 Early end due to mechanical failure leading to LAr contamination

