

# Calabi-Yau manifolds and sporadic groups

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**Based on:**

Calabi-Yau manifolds and sporadic groups [arXiv:1711.09698]

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FWF

Der Wissenschaftsfonds.



# Outline

- 1 Motivation
  - Where does the moon shine?
  - Why do we care about Moonshine?
- 2 Preliminaries
  - Finite groups
  - Modular forms
  - The Old Monster
- 3 Calabi-Yau & Sporadic groups
  - Elliptic Genus
  - Weak Jacobi forms
  - Calabi-Yaus
- 4 Conclusion

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# Where does the moon shine?

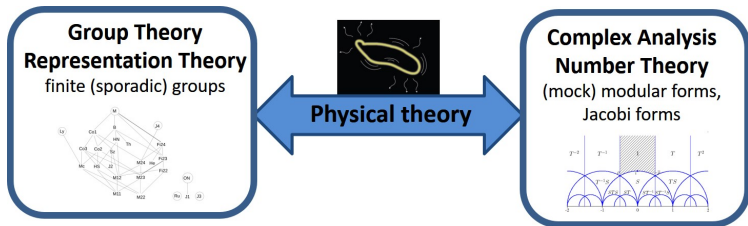
- The first thing that comes to mind is bootleg booze.

# Where does the moon shine?

- The first thing that comes to mind is bootleg booze.
- A better answer would be John MaKay's observation in 70's

$$196884 = 1 + 196883$$

- Broadly, "**Moonshine**" refers to some connection between two apparently different mathematical objects which a priori has nothing to do with each other.



# Why do we care about moonshine?

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- Physics connection :
  - Though the interplay between String theory and maths have been very fruitful to geometry, not many results are known on the number theory side. **Moonshine** seems to be a great opportunity to develop the number theoretic aspects of string theory.
  - We get to construct systems with large sym. groups.
  - Structure of BPS spectra etc.

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  - Structure of BPS spectra etc.
- Lot is going on now...
  - There are more & more examples. The meaning of "**Moonshine**" is everchanging.
  - The "**Origin**" problem is becoming clearer.
  - Of particular interest is symmetries of "**K3-ish**" string compactifications.



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# Finite Simple groups

- Just like **prime factorization** of natural numbers we can think about finite groups in terms of "**Building blocks**".
- Notion of "**Composite series**" of normal subgroups builds finite groups out of a set of "**primes**" – **finite simple groups**.
- The full classification is probably one of the greatest work of mathematics in 20<sup>th</sup> century. [Atlas, Robert Wilson.]
- There are **18 Infinite families**, e.g.
  - Alternating group of  $n$  elements  $A_n$

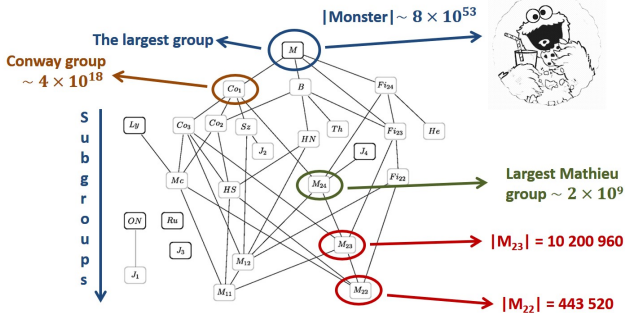
$$A_3 : (123) \longleftrightarrow (231) \longleftrightarrow (312)$$

- Cyclic group of prime order  $C_p$

$$C_p = \mathbb{Z}_p = \left\langle e^{\frac{2\pi i}{p}} \right\rangle$$

# Sporadic groups

There are **26** so called sporadic groups which don't fall into the infinite families.



# Modular forms

For  $\tau$  in the UHP  $\supset$  (Fnd. domain) and  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z})$  :

- **Modular form** of weight  $k$ :

$$\phi_k \left( \frac{a\tau + b}{c\tau + d} \right) = (c\tau + d)^k \phi_k(\tau)$$

- **Jacobi form** of weight  $k$  and index  $m$  with  $\lambda, \mu \in \mathbb{Z}$ :

$$\begin{aligned} \phi_{k,m} \left( \frac{a\tau + b}{c\tau + d}, \frac{z}{c\tau + d} \right) &= (c\tau + d)^k e^{\frac{2\pi i m c z^2}{c\tau + d}} \phi_{k,m}(\tau, z) \\ \phi_{k,m}(\tau, z + \lambda\tau + \mu) &= (-1)^{2m(\lambda + \mu)} e^{-2\pi i m(\lambda^2 \tau + 2\lambda z)} \phi_{k,m}(\tau, z) \end{aligned}$$

- $\tau \rightarrow \tau + 1$  and  $z \rightarrow z + \mu$  allows for a Fourier expansion:

$$\phi_{k,m}(q = e^{2\pi i \tau}, y = e^{2\pi i z}) = \sum_{n,r} c(n, r) q^n y^r \quad r^2 > 4nm$$

# Eisenstein series

The Eisenstein series have the following Fourier decomposition

$$E_4(\tau) = 1 + 240 \sum_{n=1}^{\infty} \frac{n^3 q^n}{1 - q^n} = 1 + 240q + 2160q^2 + \dots,$$

$$E_6(\tau) = 1 - 504 \sum_{n=1}^{\infty} \frac{n^5 q^n}{1 - q^n} = 1 - 504q - 16632q^2 + \dots$$

Standard examples of **holomorphic** modular forms. Unfortunately, the space of holomorphic modular forms is too restrictive, it is just the ring of monomials  $E_4^\alpha E_6^\beta$

# Generalizations

- **Multipliers:** Allow for a **phase**  $\psi : SL_2(\mathbb{Z}) \rightarrow C^*$  in transformation, e.g., Dedekind eta fn.  $\eta(\tau) = e^{\frac{2\pi i}{24}} \eta(\tau + 1)$

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- **Poles:** Allow the function to have exponential growth near the cusps. (**Weakly holomorphic**). e.g. the  $J(\tau)$  function (**Hauptmodul**), For a gives pole structure at cusp  $i\infty$  and up to a constant it is a unique function which maps the fundamental domain (an  $S^2$ ) to compactified  $\mathbb{C}$  (an  $S^2$ ).

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- Now, we have a **zoo** of interesting species.

# Jacobi Theta functions

The Jacobi theta functions  $\theta_i(\tau, z)$ ,  $i = 1, \dots, 4$  are defined as

$$\begin{aligned}\theta_1(\tau, z) &= -i \sum_{n+\frac{1}{2} \in \mathbb{Z}} (-1)^{n-\frac{1}{2}} y^n q^{\frac{n^2}{2}} \\ &= -iq^{\frac{1}{8}} \left( y^{\frac{1}{2}} - y^{-\frac{1}{2}} \right) \prod_{n=1}^{\infty} (1 - q^n) (1 - yq^n) (1 - y^{-1}q^n), \\ \theta_2(\tau, z) &= \sum_{n+\frac{1}{2} \in \mathbb{Z}} y^n q^{\frac{n^2}{2}} \\ &= q^{\frac{1}{8}} \left( y^{\frac{1}{2}} + y^{-\frac{1}{2}} \right) \prod_{n=1}^{\infty} (1 - q^n) (1 + yq^n) (1 + y^{-1}q^n),\end{aligned}$$

# Jacobi Theta functions

$$\begin{aligned} \theta_3(\tau, z) &= \sum_{n \in \mathbb{Z}} y^n q^{\frac{n^2}{2}} \\ &= \prod_{n=1}^{\infty} (1 - q^n) \left(1 + yq^{n-\frac{1}{2}}\right) \left(1 + y^{-1}q^{n-\frac{1}{2}}\right), \\ \theta_4(\tau, z) &= \sum_{n \in \mathbb{Z}} (-1)^n y^n q^{\frac{n^2}{2}} \\ &= \prod_{n=1}^{\infty} (1 - q^n) \left(1 - yq^{n-\frac{1}{2}}\right) \left(1 - y^{-1}q^{n-\frac{1}{2}}\right), \end{aligned}$$

# Jacobi forms

$$\phi_{0,1}(\tau, z) = 4 \left( \left( \frac{\theta_2(\tau, z)}{\theta_2(\tau, 0)} \right)^2 + \left( \frac{\theta_3(\tau, z)}{\theta_3(\tau, 0)} \right)^2 + \left( \frac{\theta_4(\tau, z)}{\theta_4(\tau, 0)} \right)^2 \right)$$

$$= \frac{1}{y} + 10 + y + \mathcal{O}(q),$$

$$\phi_{-2,1}(\tau, z) = \frac{\theta_1(\tau, z)^2}{\eta(\tau)^6}$$

$$= -\frac{1}{y} + 2 - y + \mathcal{O}(q),$$

$$\phi_{0,\frac{3}{2}}(\tau, z) = 2 \frac{\theta_2(\tau, z)}{\theta_2(\tau, 0)} \frac{\theta_3(\tau, z)}{\theta_3(\tau, 0)} \frac{\theta_4(\tau, z)}{\theta_4(\tau, 0)}$$

$$= \frac{1}{\sqrt{y}} + \sqrt{y} + \mathcal{O}(q).$$

# Monster moonshine

- The **irreducible representations** of the Monster group have dimensions 1, 196 883, 21 296 876, ...
- The J-function, that appears in many places in string theory, enjoys the expansion

$$J(q) = \frac{1}{q} + 196884q + 21493760q^2 + \dots$$

The diagram illustrates the expansion of the J-function with annotations. A red bracket groups the terms  $\frac{1}{q} + 196884q$ , with a red tick mark above it. Below this, a green box contains '1' and a blue box contains '196883', with a '+' sign between them. Another red bracket groups the terms  $196884q + 21493760q^2$ , with a red tick mark above it. Below this, a green box contains '1', a blue box contains '196883', and a red box contains '21296876', with '+' signs between them.

# Monster moonshine

- The **irreducible representations** of the Monster group have dimensions 1, 196 883, 21 296 876, ...
- The  $J$ -function, that appears in many places in string theory, enjoys the expansion

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The diagram shows the expansion of the J-function with brackets and boxes highlighting the coefficients. The first term is  $\frac{1}{q}$ . The second term is  $196884q$ , which is shown as  $1 + 196883$  with a red bracket above it and a blue box around the 196883. The third term is  $21493760q^2$ , which is shown as  $1 + 196883 + 21296876$  with a red bracket above it and blue boxes around the 1, 196883, and 21296876.

- **Concrete realization** : The (left-moving) bosonic string compactified on a  $\mathbb{Z}_2$  orbifold of  $R^{24}/\Lambda$  with  $\Lambda$  the **Leech lattice** (even, self-dual) has as its **1-loop partition function** the  $J(q)$ -function. [*Frenkel, Lepowsky, Meurman '88*]

# Monster moonshine

$$Z(q) = \text{Tr}_H q^{L_0 - \frac{c}{24}} = J(q) = \frac{1}{q} + 196884q + 21493760q^2 + \dots$$

no massless  $q^0$  states

tachyon of the bosonic string

supermassive string states

- The symmetry group of the compactification space  $R^{24}/\Lambda/\mathbb{Z}_2$  is the **Monster group**.
- **Virasoro algebra** : Expand the  $J(q)$ -function in terms of Virasoro characters (traces of Verma modules)

$$ch_{h=0}(q) = \frac{q^{-c/24}}{\prod_{n=2}^{\infty} (1 - q^n)} ; \quad ch_h(q) = \frac{q^{h-c/24}}{\prod_{n=1}^{\infty} (1 - q^n)}$$



# Monster moonshine

$$J(q) = \frac{1}{q} + 196884q + 21493760q^2 + \dots$$
$$= \boxed{1} \text{ch}_0(q) + \boxed{196883} \text{ch}_2(q) + \boxed{21296876} \text{ch}_3(q) + \dots$$

- Other realizations in terms of **23 Niemeier lattices**. Construct from **ADE** root systems with glueing vectors. They are related to **Umbral moonshine**. Adds **const.** to  $J(q)$ .
- Interesting for mathematicians not so interesting for **physicists**
  - Compactification of the bosonic string:
    - We have a **tachyon** (instability).
    - Spacetime theory has **no fermions**.
  - Additionally, only two spacetime dimensions are non-compact.
- There exists various **supersymmetric** generalizations of mainly **Extremal CFT** constructions with different **"Moonshine"**.

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# Elliptic genus

**Elliptic genus** : Defined for a **SCFT** with  $N = (2, 2)$  or more **SUSY**. An index is invariant under deformations of the theory, e.g. masses going to zero in Witten Index.

$$\begin{aligned}
 Z_{\text{Witten}} &= \text{Tr}(-1)^F \\
 &= n_B - n_F \\
 &= \text{Tr}((-1)^F q^H)
 \end{aligned}$$

Bosons  
Fermions

$$Z_{\text{elliptic}}(q, y) = \text{Tr}_{\text{RR}} \left( \overbrace{(-1)^{F_L} q^{L_0 - \frac{c}{24}} y^{J_0}}^{\text{Chemical potential for U(1) in left-moving N=2 theory}} \overbrace{(-1)^{F_R} \bar{q}^{\bar{L}_0 - \frac{\bar{c}}{24}}}^{\text{No dependence on } \bar{q}!} \right)$$

# $N = 2$ Characters

- For central charge  $c = 3d$  and highest weight state  $|\Omega\rangle$  with eigenvalues  $h, l$  w.r.t.  $L_0$  and  $J_0$ .

$$\text{ch}_{d, h - \frac{c}{24}, \ell}^{\mathcal{N}=2}(\tau, z) = \text{tr}_{\mathcal{H}_{h, \ell}}((-1)^F q^{L_0 - \frac{c}{24}} e^{2\pi i z J_0})$$

- In the Ramond sector **unitarity** requires  $h \geq \frac{c}{24} = \frac{d}{8}$ .
- Massless (BPS)** representations exist for  $h = \frac{d}{8}; \ell = \frac{d}{2}, \frac{d}{2} - 1, \frac{d}{2} - 2, \dots, -(\frac{d}{2} - 1), -\frac{d}{2}$ . For  $\frac{d}{2} > \ell \geq 0$

$$\text{ch}_{d, 0, \ell \geq 0}^{\mathcal{N}=2}(\tau, z) = (-1)^{\ell + \frac{d}{2}} \frac{(-i)\theta_1(\tau, z)}{\eta(\tau)^3} y^{\ell + \frac{1}{2}} \sum_{n \in \mathbb{Z}} q^{\frac{d-1}{2}n^2 + (\ell + \frac{1}{2})n} \frac{(-y)^{(d-1)n}}{1 - yq^n}$$

- Massive (non-BPS)** representations exist for  $h > \frac{d}{8}; \ell = \frac{d}{2}, \frac{d}{2} - 1, \dots, -(\frac{d}{2} - 1), -\frac{d}{2}$  and  $\ell \neq 0$  for  $d = \text{even}$ .

$$\text{ch}_{d, h - \frac{c}{24}, \ell > 0}^{\mathcal{N}=2}(\tau, z) = (-1)^{\ell + \frac{d}{2}} q^{h - \frac{d}{8}} \frac{i\theta_1(\tau, z)}{\eta(\tau)^3} y^{\ell - \frac{1}{2}} \sum_{n \in \mathbb{Z}} q^{\frac{d-1}{2}n^2 + (\ell - \frac{1}{2})n} (-y)^{(d-1)n}$$

# Weak Jacobi forms

- The space of weak Jacobi forms of even weight  $k$  and integer index  $m$  is generated by [Zagier et. al. '85; Gritsenko '99]

$$E_4(\tau), E_6(\tau), \phi_{-2,1}(\tau, z), \phi_{0,1}(\tau, z)$$

- Simple combinatorics gives the space  $J_{0,m}$  of Jacobi forms of weight 0 and index  $m$ , is generated by  $m$  functions for  $m = 1, 2, 3, 4, 5$ . In particular, we have

$$J_{0,1} = \langle \phi_{0,1} \rangle,$$

$$J_{0,2} = \langle \phi_{0,1}^2, E_4 \phi_{-2,1}^2 \rangle,$$

$$J_{0,3} = \langle \phi_{0,1}^3, E_4 \phi_{-2,1}^2 \phi_{0,1}, E_6 \phi_{-2,1}^3 \rangle,$$

$$J_{0,4} = \langle \phi_{0,1}^4, E_4 \phi_{-2,1}^2 \phi_{0,1}^2, E_6 \phi_{-2,1}^3 \phi_{0,1}, E_4^2 \phi_{-2,1}^4 \rangle,$$

$$J_{0,5} = \langle \phi_{0,1}^5, E_4 \phi_{-2,1}^2 \phi_{0,1}^3, E_6 \phi_{-2,1}^3 \phi_{0,1}^2, E_4^2 \phi_{-2,1}^4 \phi_{0,1}, E_4 E_6 \phi_{-2,1}^5 \rangle$$

# Weak Jacobi forms

- The functions  $J_{0, \frac{d}{2}}$  above appear in the elliptic genus of Calabi-Yau  $d = 2, 4, 6, 8, 10$  target manifolds.
- Coefficients can be fixed in terms of a few topological numbers of the CY  $d$ -fold.

## Weak Jacobi forms

- The functions  $J_{0, \frac{d}{2}}$  above appear in the **elliptic genus** of Calabi-Yau  $d = 2, 4, 6, 8, 10$  target manifolds.
- **Coefficients** can be fixed in terms of a few topological numbers of the **CY  $d$ -fold**.
- Weight zero, **half integer index** Jacobi forms, follows from

$$J_{2k, m + \frac{1}{2}} = \phi_{0, \frac{3}{2}} J_{2k, m-1}, \quad m \in \mathbb{Z}$$

- In particular,  $\phi_{0, \frac{3}{2}}$  and  $\phi_{0, \frac{3}{2}} \phi_{0, 1}$  are, up to rescaling, the unique Jacobi forms of weight 0 and index  $\frac{3}{2}$  and  $\frac{5}{2}$ , respectively.
- Generally, the space  $J_{0, m + \frac{3}{2}}$  is spanned by  $m$  functions for  $m = 1, 2, 3, 4, 5$  and these functions are the ones given in **previous slide** multiplied by  $\phi_{0, \frac{3}{2}}$ .
- **Summary** : Space of Jacobi forms  $J_{0, \frac{d}{2}}$  is generated by very few functions for small  $d$ . **Carries little info.** about the CY.

# Calabi-Yaus

- $\mathcal{Z}_{CY_d}(\tau, z) = \sum_{p=0}^d (-1)^p \chi_p(CY_d) y^{\frac{d}{2}-p} + \mathcal{O}(q)$
- Various signed indices  $\chi_p(CY_d) = \sum_{r=0}^d (-1)^r h^{p,r}$ .
- Euler no. :  $\mathcal{Z}_{CY_d}(\tau, 0) = \chi_{CY_d} = \sum_{p=0}^d (-1)^p \chi_p(CY_d)$ .



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- The Elliptic genus **carries little info.** about the particular CY.
- For larger  $d$  many different Calabi-Yau  $d$ -folds will give rise to the **same elliptic genus** since the number of Calabi-Yau manifolds **grows much faster** with  $d$  than the number of basis elements of  $J_{0, \frac{d}{2}}$ .

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- **Question** : If one finds interesting expansion coefficients in **higher dimensional manifolds**, i.e the expansion coefficients are given in terms of irreducible representations of a particular **sporadic group**, does this imply that all manifolds with such elliptic genus are connected to the particular sporadic group, or only a few or none?

# Calabi-Yaus

- **Calabi-Yau 1-fold** : For the standard torus  $T^2$  the elliptic genus vanishes,  $\mathcal{Z}_{T^2}(\tau, z) = 0$ . The same holds true for any even dimensional torus  $\mathcal{Z}_{T^{2n}}(\tau, z) = 0, \forall n \in \mathbb{N}$ . This is due to the fermionic zero modes in the right moving Ramond sector.

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- **Calabi-Yau 2-fold** : Non-trivial example is  $K3$  surface. Elliptic genus is a Jacobi form that appears in **Mathieu Moonshine**.

[Tachikawa et.al. '10 ; Gaberdiel et.al. '10, Mukai '88]

$$\mathcal{Z}_{K3}(\tau, z) = 2\phi_{0,1}(\tau, z) = -20 \text{ch}_{2,0,0}^{\mathcal{N}=2}(\tau, z) + 2 \text{ch}_{2,0,1}^{\mathcal{N}=2}(\tau, z) - \sum_{n=1}^{\infty} A_n \text{ch}_{2,n,1}^{\mathcal{N}=2}(\tau, z)$$

The **coefficients** are irreps of  $M_{24}$ .

$$20 = 23 - 3 \cdot 1,$$

$$-2 = -2 \cdot 1,$$

$$A_1 = 45 + \underline{45},$$

$$A_2 = 231 + \underline{231},$$

$$A_3 = 770 + \underline{770}$$

# Calabi-Yaus

- **Calabi-Yau 3-fold** : Unfortunately, rather **uninteresting** expansion in  $N = 2$  characters

$$\mathcal{Z}_{CY_3}(\tau, z) = \frac{\chi_{CY_3}}{2} \phi_{0, \frac{3}{2}} = \frac{\chi_{CY_3}}{2} \left( \text{ch}_{3,0, \frac{1}{2}}^{\mathcal{N}=2}(\tau, z) + \text{ch}_{3,0, -\frac{1}{2}}^{\mathcal{N}=2}(\tau, z) \right)$$

**Doesn't** mean no connection to moonshine, e.g. By Heterotic Type II duality connection between **Vafa's New SUSY index** (One loop correction to prepotential in Het. side) connects to Gromov-Witten inv. on Type II side. [*Wrase '14 ; Aradhita's talk*]

# Calabi-Yaus

- **Calabi-Yau 3-fold** : Unfortunately, rather **uninteresting** expansion in  $N = 2$  characters

$$\mathcal{Z}_{CY_3}(\tau, z) = \frac{\chi_{CY_3}}{2} \phi_{0, \frac{3}{2}} = \frac{\chi_{CY_3}}{2} \left( \text{ch}_{3,0, \frac{1}{2}}^{N=2}(\tau, z) + \text{ch}_{3,0, -\frac{1}{2}}^{N=2}(\tau, z) \right)$$

**Doesn't** mean no connection to moonshine, e.g. By Heterotic Type II duality connection between **Vafa's New SUSY index** (One loop correction to prepotential in Het. side) connects to Gromov-Witten inv. on Type II side. [*Wrase '14 ; Aradhita's talk*]

- **Calabi-Yau 4-folds** : Coefficients of  $J_{0,2}$  is fixed by Euler no.  $\chi_{CY_4}$  and  $\chi_0 = \sum_r (-1)^r h^{0,r} = h^{0,0} + h^{0,4} = 2$  (for gen.  $CY_4$ )

$$\mathcal{Z}_{CY_4}(\tau, z) = \frac{\chi_{CY_4}}{144} (\phi_{0,1}^2 - E_4 \phi_{-2,1}^2) + \chi_0 E_4 \phi_{-2,1}^2$$

Obvious e.g. is  $\mathcal{Z}_{K3 \times K3}(\tau, z) = 4\phi_{0,1}^2$  (not a gen.  $CY_4$ ), exhibits an  $M_{24} \times M_{24}$  symmetry. Many, other connections.

[*work in progress, Cheng et.al.*]

# Calabi-Yau 5-folds

- Elliptic genus is proportional to  $\phi_{0, \frac{3}{2}} \phi_{0,1}$  and we can fix the prefactor in terms of the Euler number  $\chi_{CY_5}$ .

$$\begin{aligned} \mathcal{Z}_{CY_5}(\tau, z) &= \frac{\chi_{CY_5}}{24} \phi_{0, \frac{3}{2}} \phi_{0,1} \\ &= -\frac{\chi_{CY_5}}{48} \left[ 22 \left( \text{ch}_{5,0, \frac{1}{2}}^{\mathcal{N}=2}(\tau, z) + \text{ch}_{5,0, -\frac{1}{2}}^{\mathcal{N}=2}(\tau, z) \right) \right. \\ &\quad \left. - 2 \left( \text{ch}_{5,0, \frac{3}{2}}^{\mathcal{N}=2}(\tau, z) + \text{ch}_{5,0, -\frac{3}{2}}^{\mathcal{N}=2}(\tau, z) \right) \right. \\ &\quad \left. + \sum_{n=1}^{\infty} A_n \left( \text{ch}_{5,n, \frac{3}{2}}^{\mathcal{N}=2}(\tau, z) + \text{ch}_{5,n, -\frac{3}{2}}^{\mathcal{N}=2}(\tau, z) \right) \right] \end{aligned}$$

- In particular, for **CY 5-folds** with  $\chi_{CY_5} = -48$  we find essentially the same expansion coefficients as in **Mathieu moonshine**, while for  $\chi_{CY_5} = -24$  we find essentially the same coefficients as for **Enriques moonshine**.

## Twined Elliptic Genus

- Since, the elliptic genus is effectively the same for a huge class of 5-folds, it stands to reason that we should check the **Twining**s by elements of  $M_{24}$ .
- We didn't expect to **weed out** the huge class of potential CY's we scanned.



## Twined Elliptic Genus

- Since, the elliptic genus is effectively the same for a huge class of 5-folds, it stands to reason that we should check the **Twinings** by elements of  $M_{24}$ .
- We didn't expect to **weed out** the huge class of potential CY's we scanned.
- **Toric code** : The Calabi-Yau manifolds we are interested in are **hypersurfaces in weighted projective** ambient spaces. A particular Calabi-Yau  $d$ -fold that is a hypersurface in the weighted projective space  $\mathbb{C}P_{w_1 \dots w_{d+2}}^{d+1}$  is determined by a solution of  $p(\Phi_1, \dots, \Phi_{d+2}) = 0$ , where the  $\Phi_i$  denote the **homogeneous coordinates** of the weighted projective space and  $p$  is a **transverse polynomial** of degree  $m = \sum_i w_i$ .

# Twined Elliptic Genus

- Mapping [Benini et.al.] : Two-dim. **gauged linear sigma model** with  $N = (2, 2)$  SUSY.
  - $U(1)$  gauge field under which the chiral multiplets  $\Phi_i$  have **charge  $w_i$** . Additionally, **one extra** chiral multiplet  $X$  with  $U(1)$  **charge  $-m$** .
  - Invariant superpotential  $W = X\rho(\Phi_1, \dots, \Phi_{d+2})$
  - The **F-term** equation  $\partial W/\partial X = \rho = 0$  restricts us to the **Calabi-Yau hypersurface** above.
  - **R-charge** : Zero for  $\Phi_i$  and 2 for  $X$ .

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  - R-charge : Zero for  $\Phi_i$  and 2 for  $X$ .
- Refined Elliptic genus : Extra chemical potential  $x = e^{2\pi i u}$

$$\mathcal{Z}_{\text{ref}}(\tau, z, u) = \text{Tr}_{RR} \left( (-1)^{F_L} y^{J_0} q^{L_0 - \frac{d}{8}} x^Q (-1)^{F_R} \bar{q}^{\bar{L}_0 - \frac{d}{8}} \right)$$

## Twined Elliptic Genus

- Contribution to **Refined elliptic genus** :
  - Each chiral multiplet of  **$U(1)$  charge  $Q$**  and  **$\mathcal{R}$ -charge  $R$**

$$\mathcal{Z}_{\text{ref}}^{\Phi}(\tau, z, u) = \frac{\theta_1\left(\tau, \left(\frac{R}{2} - 1\right)z + Qu\right)}{\theta_1\left(\tau, \frac{R}{2}z + Qu\right)}$$

- Abelian vector field

$$\mathcal{Z}_{\text{ref}}^{\text{vec}}(\tau, z) = \frac{i\eta(\tau)^3}{\theta_1(\tau, -z)}$$

- Combined :

$$\mathcal{Z}_{\text{ref}}(\tau, z, u) = \frac{i\eta(\tau)^3}{\theta_1(\tau, -z)} \frac{\theta_1(\tau, -mu)}{\theta_1(\tau, z - mu)} \prod_{i=1}^{d+2} \frac{\theta_1(\tau, -z + w_i u)}{\theta_1(\tau, w_i u)}$$

- Standard** elliptic genus is obtained by integrating over  $u$ . The integral **localizes** to sum over contour integrals around poles of  $u$  in the integrand.

# Twined Elliptic Genus

$$\begin{aligned} \mathcal{Z}_{CY_d}(\tau, z) &= \sum_{k, \ell=0}^{m-1} \frac{e^{-2\pi i \ell z}}{m} \prod_{i=1}^{d+2} \frac{\theta_1\left(\tau, \frac{w_i}{m}(k + \ell\tau + z) - z\right)}{\theta_1\left(\tau, \frac{w_i}{m}(k + \ell\tau + z)\right)} \\ &= \sum_{k, \ell=0}^{m-1} \frac{y^{-\ell}}{m} \prod_{i=1}^{d+2} \frac{\theta_1\left(q, e^{\frac{2\pi i w_i k}{m}} q^{\frac{w_i \ell}{m}} y^{\frac{w_i}{m}} - 1\right)}{\theta_1\left(q, e^{\frac{2\pi i w_i k}{m}} q^{\frac{w_i \ell}{m}} y^{\frac{w_i}{m}}\right)} \end{aligned}$$

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Twine the elliptic genus by an **Abelian** symmetry  $g$  :

$$g : \Phi_i \rightarrow e^{2\pi i \alpha_i} \Phi_i, \quad i = 1, 2, \dots, d+2$$

It effectively, leads to a **shift** of the original  $z$  coordinate (i.e. the second argument) of the  $\theta_1$ -functions **for each  $\Phi_i$  by  $\alpha_i$** .

# Twining for $CY_5$

- A list of 5 757 727 CY 5-folds that can be described by reflexive polytopes is given on the TU website.
- Out of these 5 757 727 CY 5-folds only **19 353** are described by transverse polynomials in weighted projective spaces.

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- A list of 5 757 727 CY 5-folds that can be described by reflexive polytopes is given on the TU website.
- Out of these 5 757 727 CY 5-folds only **19 353** are described by transverse polynomials in weighted projective spaces.
- For generic  $\chi_{CY_5}$  (the constant sitting in front of  $\mathcal{Z}_{CY_5}(\tau, z)$ ) we can perform the twining. e.g. For the hypersurface in the weighted projective space  $\mathbb{C}P_{1,1,1,3,5,9,10}^6$  with  $\chi = -170\,688$  and

$$\mathcal{Z}_{CY_5}(\tau, z) = 3556 \cdot \left[ 22 \left( \text{ch}_{5,0,\frac{1}{2}}^{\mathcal{N}=2}(\tau, z) + \text{ch}_{5,0,-\frac{1}{2}}^{\mathcal{N}=2}(\tau, z) \right) \cdots \right]$$

- For the  $\mathbb{Z}_2$  symmetry

$$\mathbb{Z}_2 : \begin{cases} \Phi_1 \rightarrow -\Phi_1, \\ \Phi_2 \rightarrow -\Phi_2, \end{cases}$$



## Twining for $CY_5$

- Corresponding twined elliptic genus

$$\mathcal{Z}_{CY_5}^{tw,2A}(\tau, z) = 14 \cdot \left[ \begin{aligned} &2 \left( \text{ch}_{5,0,\frac{1}{2}}^{\mathcal{N}=2}(\tau, z) + \text{ch}_{5,0,-\frac{1}{2}}^{\mathcal{N}=2}(\tau, z) \right) \\ &- 2 \left( \text{ch}_{5,0,\frac{3}{2}}^{\mathcal{N}=2}(\tau, z) + \text{ch}_{5,0,-\frac{3}{2}}^{\mathcal{N}=2}(\tau, z) \right) \\ &+ 6 \left( \text{ch}_{5,1,\frac{3}{2}}^{\mathcal{N}=2}(\tau, z) + \text{ch}_{5,1,-\frac{3}{2}}^{\mathcal{N}=2}(\tau, z) \right) + \dots \end{aligned} \right]$$

which is a twined constant, 14 instead of 3556, multiplied by the 2A series of  $M_{24}$ .

- Generically, in most cases the  $\mathbb{Z}_2$  twining produced a linear combination of 1A and 2A conjugacy classes of  $M_{24}$  hence killing the scope of  $M_{24}$  symmetry. Cases, which reproduced say 2A were lifted by higher order twinings.

# Overview of the talk

- 1 Motivation
  - Where does the moon shine?
  - Why do we care about Moonshine?
- 2 Preliminaries
  - Finite groups
  - Modular forms
  - The Old Monster
- 3 Calabi-Yau & Sporadic groups
  - Elliptic Genus
  - Weak Jacobi forms
  - Calabi-Yaus
- 4 Conclusion

## Final comments

- It is not absolutely settled as to whether the Mathieu moonshine in  $K3$  is a property of the manifold or not? Same questions can be asked for higher dim Calabi-Yau and it seems to be property of the Jacobi form rather than the manifold.

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- We also study CY 5-folds with Euler number different from  $\pm 48$ , whose elliptic genus expansion agrees with the  $K3$  elliptic genus expansion only up to a prefactor, is that the product spaces  $K3 \times CY_3$  have an elliptic genus that likewise agrees with the  $K3$  elliptic genus expansion only up to a prefactor.

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- The conclusions we drew rules out single copy of Mathieu moonshine but in some cases the reasoning allows for multiple copies but I agree it is preposterous.
- If the goal is to connect the weight zero Jacobi forms to interesting jacobi forms coming from Umbral moonshine, it seems product of CYs doesn't work.

*THANK YOU*