

Spectral quantities in thermal QCD

III: baryons

Gert Aarts



Swansea University
Prifysgol Abertawe

Baryons

correlators $G^{\alpha\alpha'}(x) = \langle O^\alpha(x) \bar{O}^{\alpha'}(0) \rangle$

examples: N, Δ, Ω baryons

$$O_N^\alpha(x) = \epsilon_{abc} u_a^\alpha(x) \left(d_b^T(x) C \gamma_5 u_c(x) \right)$$

$$O_{\Delta,i}^\alpha(x) = \epsilon_{abc} \left[2u_a^\alpha(x) \left(d_b^T(x) C \gamma_i u_c(x) \right) + d_a^\alpha(x) \left(u_b^T(x) C \gamma_i u_c(x) \right) \right]$$

$$O_{\Omega,i}^\alpha(x) = \epsilon_{abc} s_a^\alpha(x) \left(s_b^T(x) C \gamma_i s_c(x) \right)$$

with C charge conjugation matrix:

$$C^\dagger C = \mathbb{1} \quad \gamma_\mu^T = -C \gamma_\mu C^{-1} \quad C^T = -C^{-1}$$

action on fermionic operator:

$$C O C^{-1} = O^{(c)} = C^{-1} \bar{O}^T \quad C \bar{O} C^{-1} = \bar{O}^{(c)} = -O^T C$$

Baryons

- essential difference with mesons: role of parity

$$\mathcal{P}O(\tau, \mathbf{x})\mathcal{P}^{-1} = \gamma_4 O(\tau, -\mathbf{x})$$

- positive/negative parity operators

$$O_{\pm}(x) = P_{\pm}O(x) \quad P_{\pm} = \frac{1}{2}(1 \pm \gamma_4)$$

- no parity doubling in Nature: nucleon ground state

positive parity: $m_+ = m_N = 0.939 \text{ GeV}$

negative parity: $m_- = m_{N^*} = 1.535 \text{ GeV}$

- thread: what happens as temperature increases?

Outline

baryons across the deconfinement transition:

- some basic thermal field theory
- lattice QCD – FASTSUM collaboration
- baryon correlators
- in-medium effects below T_c
- parity doubling above T_c
- spectral functions

FASTSUM: PRD 92 (2015) 014503 [arXiv:1502.03603 [hep-lat]]
+ JHEP 06 (2017) 034 [arXiv:1703.09246 [hep-lat]]
+ in preparation

Spectral decomposition: Positivity

$$\rho^{\alpha\beta}(x) = \sum \gamma_{\mu}^{\alpha\beta} \rho_{\mu}(x) + \mathbb{1}^{\alpha\beta} \rho_m(x)$$

- take trace with γ_4 , $P_{\pm} = (\mathbb{1} \pm \gamma_4)/2$:

$$\rho_4(p) = \frac{1}{Z} \sum_{n,m,\alpha} \left(e^{-k_n^0/T} + e^{-k_m^0/T} \right) \frac{1}{4} |\langle n|O^{\alpha}(0)|m\rangle|^2 (2\pi)^4 \delta^{(4)}(p+k_n-k_m)$$

$$\rho_{\pm}(p) = \frac{\pm 1}{Z} \sum_{n,m,\alpha} \left(e^{-k_n^0/T} + e^{-k_m^0/T} \right) \frac{1}{4} |\langle n|O_{\pm}^{\alpha}(0)|m\rangle|^2 (2\pi)^4 \delta^{(4)}(p+k_n-k_m)$$

- $\rho_4(p), \pm\rho_{\pm}(p) \geq 0$ for all ω

- take trace with $\mathbb{1}$

$$\rho_m(p) = [\rho_+(p) + \rho_-(p)]/4$$

not sign definite

Charge conjugation

charge conjugation is a symmetry (at vanishing density):

- apply to correlator

$$\begin{aligned} G(x - x') &= \langle \mathbf{T}_\tau [C O(x) \bar{O}(x') C^{-1}] \rangle = \left\langle C^{-1} \mathbf{T}_\tau \left[(O(x') \bar{O}(x))^T \right] C \right\rangle \\ &= C^{-1} G^T(x' - x) C \end{aligned}$$

- use cyclicity $G(x' - x) = G(-\tau, \mathbf{x}' - \mathbf{x}) = -G(1/T - \tau, \mathbf{x}' - \mathbf{x})$
- this yields $G(\tau, \mathbf{p}) = -C^{-1} G^T(1/T - \tau, \mathbf{p}) C$
- take the trace with P_\pm (and use $(C P_\pm C^{-1})^T = P_\mp$)

$$\begin{aligned} G_\pm(\tau, \mathbf{p}) &= \text{tr} P_\pm G(\tau, \mathbf{p}) = -\text{tr} P_\pm C^{-1} G^T(1/T - \tau, \mathbf{p}) C \\ &= -\text{tr} (C P_\pm C^{-1})^T G(1/T - \tau, \mathbf{p}) = -\text{tr} P_\mp G(1/T - \tau, \mathbf{p}) \\ &= -G_\mp(1/T - \tau, \mathbf{p}) \end{aligned}$$

Charge conjugation

charge conjugation symmetry (at vanishing density):

$$G_{\pm}(\tau, \mathbf{p}) = -G_{\mp}(1/T - \tau, \mathbf{p}) \quad \rho_{\pm}(-\omega, \mathbf{p}) = -\rho_{\mp}(\omega, \mathbf{p})$$

- relates pos/neg parity channels

using $G_{+}(\tau, \mathbf{p})$ and $\rho_{+}(\omega, \mathbf{p})$

- positive- (negative-) parity states propagate forward (backward) in euclidean time
- negative part of spectrum of $\rho_{+} \leftrightarrow$ positive part of ρ_{-}

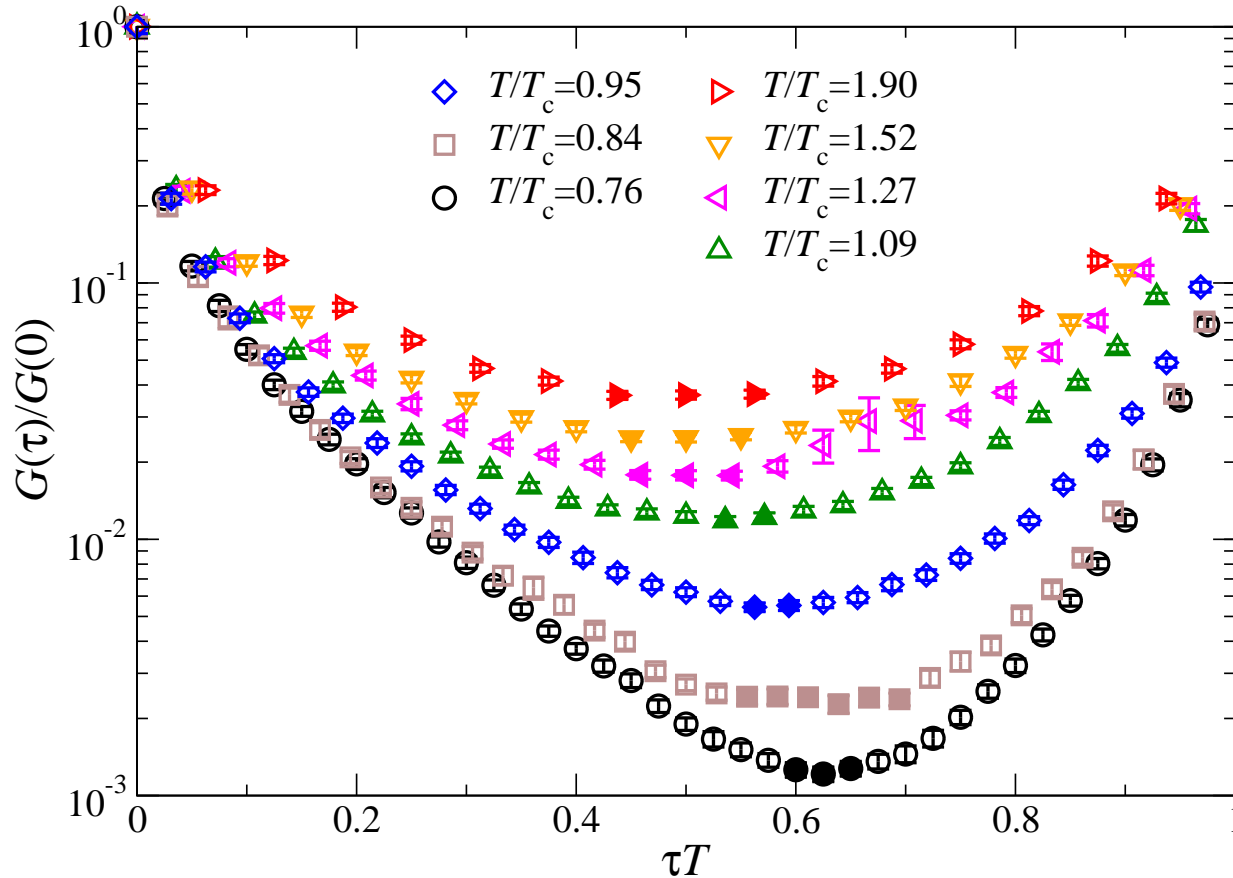
example: single state

$$G_{+}(\tau) = A_{+}e^{-m_{+}\tau} + A_{-}e^{-m_{-}(1/T-\tau)}$$

$$\rho_{+}(\omega)/(2\pi) = A_{+}\delta(\omega - m_{+}) + A_{-}\delta(\omega + m_{-})$$

Nucleon correlators

- euclidean correlator $G_+(\tau)$



- not symmetric around $\tau = 1/2T$ below T_c
- more symmetric as temperature increases

Chiral symmetry

- propagator

$$G^{\alpha\beta}(x) = \sum_{\mu} \gamma_{\mu}^{\alpha\beta} G_{\mu}(x) + \mathbb{1}^{\alpha\beta} G_m(x)$$

- chiral symmetry $\{\gamma_5, G\} = 0 \Rightarrow G_m = 0$

- hence

$$G_+(\tau, \mathbf{p}) = -G_-(\tau, \mathbf{p}) = G_+(1/T - \tau, \mathbf{p}) = 2G_4(\tau, \mathbf{p})$$

degeneracy of \pm parity channels

$$\rho_+(p) = -\rho_-(p) = \rho_+(-p) = 2\rho_4(p)$$

- parity doubling
- in Nature at $T = 0$: no chiral symmetry/parity doubling

Parity and chiral symmetry

however, if chiral symmetry is unbroken ($m_q = 0$ and no SSB)

- degeneracy between pos/neg parity channels already at the level of the correlators

what happens at the confinement/deconfinement transition?

- $SU(2)_A$ chiral symmetry restored
- expect degeneracies to emerge
- how does this affect mass spectrum?
- role of $m_s > m_{u,d}$?

Aside: nonzero chemical potential

- charge conjugation: baryon number changes sign
- also change $\mu \rightarrow -\mu$ to keep invariance

- relations:

$$G_{\pm}(\tau, \mathbf{p}; \mu) = -G_{\mp}(1/T - \tau, \mathbf{p}; -\mu)$$
$$\rho_{\pm}(-\omega, \mathbf{p}; \mu) = -\rho_{\mp}(\omega, \mathbf{p}; -\mu)$$

- single groundstate

$$G_{+}(\tau; \mu) = A_{+}(\mu)e^{-(m_{+}-\mu)\tau} + A_{-}(-\mu)e^{-(m_{-}+\mu)(1/T-\tau)}$$
$$-G_{-}(\tau; \mu) = A_{-}(\mu)e^{-(m_{-}-\mu)\tau} + A_{+}(-\mu)e^{-(m_{+}+\mu)(1/T-\tau)}$$

- chiral symmetry unbroken

$$G_{+}(\tau, \mathbf{p}; \mu) = -G_{-}(\tau, \mathbf{p}; \mu) = G_{+}(1/T - \tau, \mathbf{p}; -\mu) = 2G_{4}(\tau, \mathbf{p}; \mu)$$
$$\rho_{+}(p; \mu) = -\rho_{-}(p; \mu) = \rho_{+}(-p; -\mu) = 2\rho_{4}(p; \mu)$$

FASTSUM

- anisotropic $N_f = 2 + 1$ Wilson-clover ensembles

FASTSUM collaboration

GA (Swansea)

Chris Allton (Swansea)

Simon Hands (Swansea)

Seyong Kim (Sejong University)

Maria-Paola Lombardo (Frascati)

Sinead Ryan (Trinity College Dublin)

Don Sinclair (Argonne)

Jonivar Skullerud (Maynooth)

Ale Amato (Swansea->Helsinki->)

Davide de Boni (Swansea)

Wynne Evans (Swansea->Bern->)

Pietro Giudice (Swansea->Münster->)

Tim Harris (TCD->Mainz->Milan)

Benjamin Jäger (Swansea->ETH)

Aoife Kelly (Maynooth)

Bugra Oktay (Utah->)

Kristi Praki (Swansea)

This work

GA, Chris Allton, Simon Hands, Kristi Praki, Jonivar Skullerud

Davide de Boni, Benjamin Jäger

PRD 92 (2015) 014503, arXiv:1502.03603 [hep-lat]

JHEP 06 (2017) 034, arXiv:1703.09246 [hep-lat]

in preparation

FASTSUM ensembles

- $N_f = 2 + 1$ dynamical quark flavours, Wilson-clover
- many temperatures, below and above T_c
- anisotropic lattice, $a_s/a_\tau = 3.5$, many time slices
- strange quark: physical value
- two light flavours: somewhat heavy $m_\pi = 384(4)$ MeV

N_s	24	24	24	24	24	24	24	24
N_τ	128	40	36	32	28	24	20	16
T/T_c	0.24	0.76	0.84	0.95	1.09	1.27	1.52	1.90
N_{cfg}	140	500	500	1000	1000	1000	1000	1000
N_{src}	16	4	4	2	2	2	2	2

- tuning and $N_\tau = 128$ data from HadSpec collaboration

FASTSUM ensembles

anisotropic lattice $a_\tau < a_s$: why?

- many more time slices compared to isotropic lattice
- coarser spatial lattice/finer temporal lattice
- use fixed-scale approach:
change temperature by changing N_τ , not cutoff
- ideally suited for spectroscopy:
many time slices and many temperatures

downsides:

- nonperturbative tuning of anisotropic parameters
"renormalisation of the speed of light"
- multi-dimensional parameter space

Baryon correlators

computed all octet and decuplet baryon correlators

$$\begin{array}{ll} S = 0: & N \quad \Delta \\ S = -1: & \Lambda \quad \Sigma \quad \Sigma^* \\ S = -2: & \Xi \quad \Xi^* \\ S = -3: & \Omega \end{array}$$

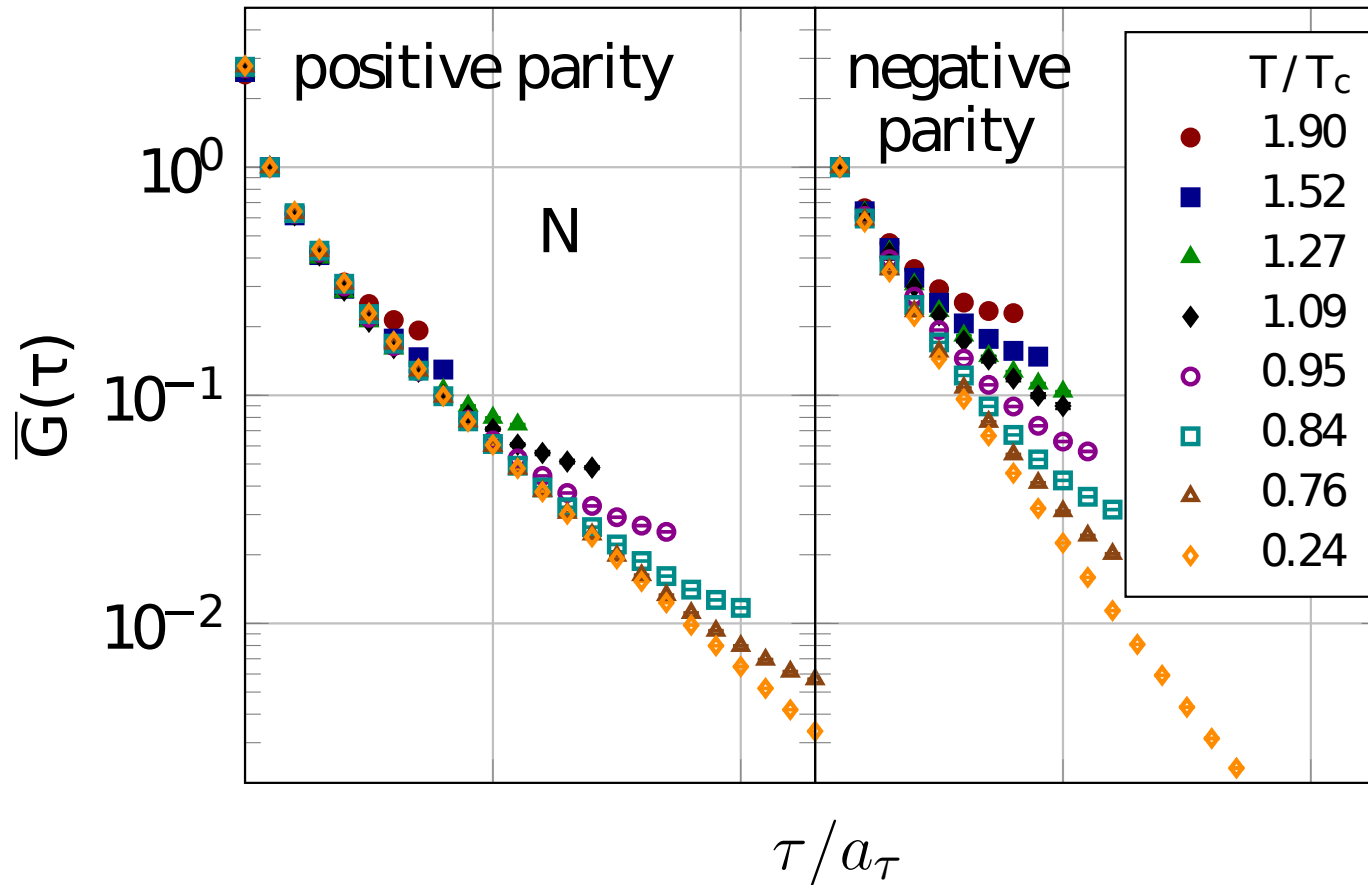
for each baryon: positive and negative parity channels

technical remarks

- studied various interpolation operators
- Gaussian smearing for multiple sources and sinks
- same smearing parameters at all temperatures

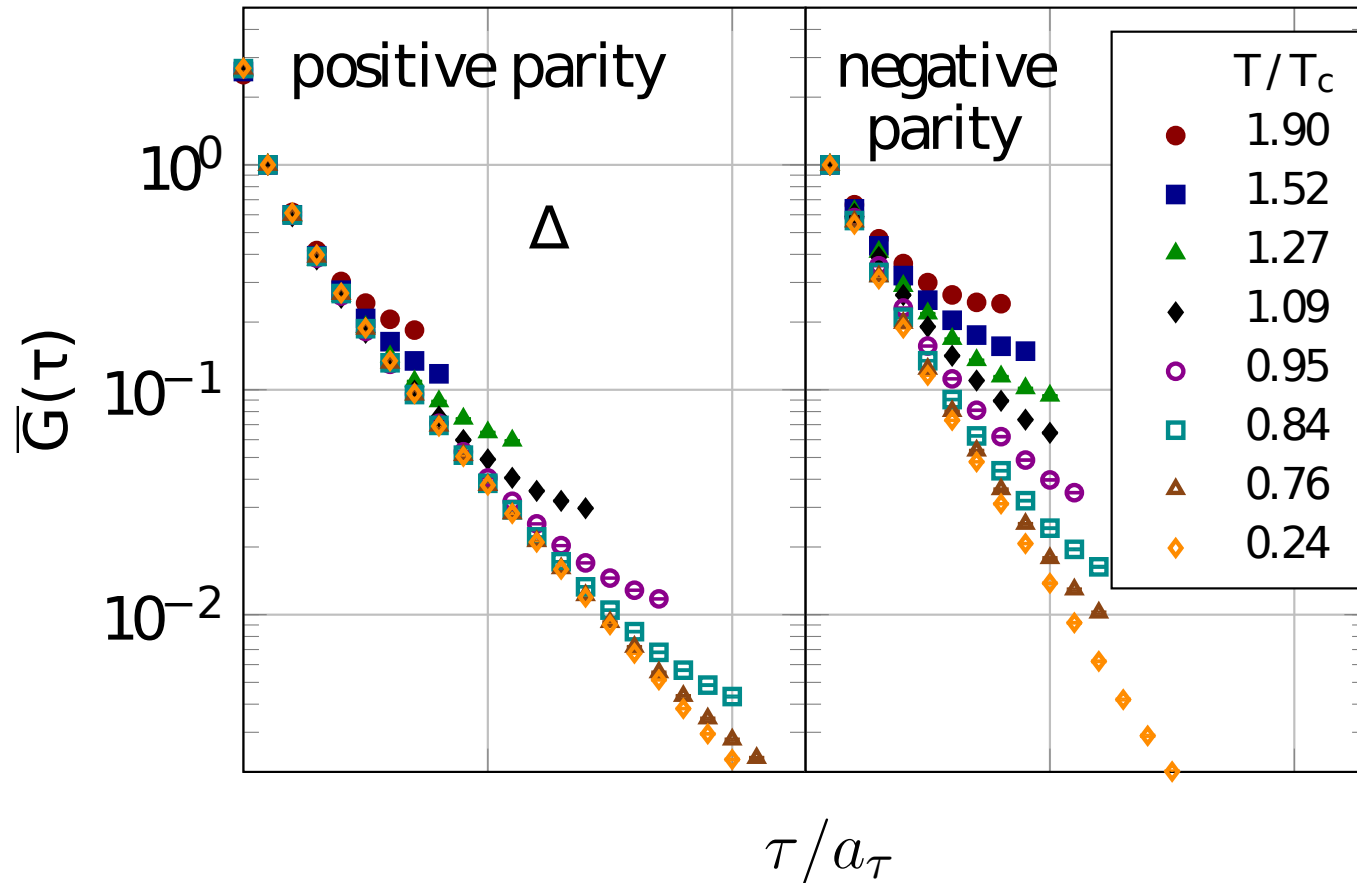
Lattice correlators

- nucleon



- pos/neg parity channels nondegenerate
- more T dependence in negative-parity channel

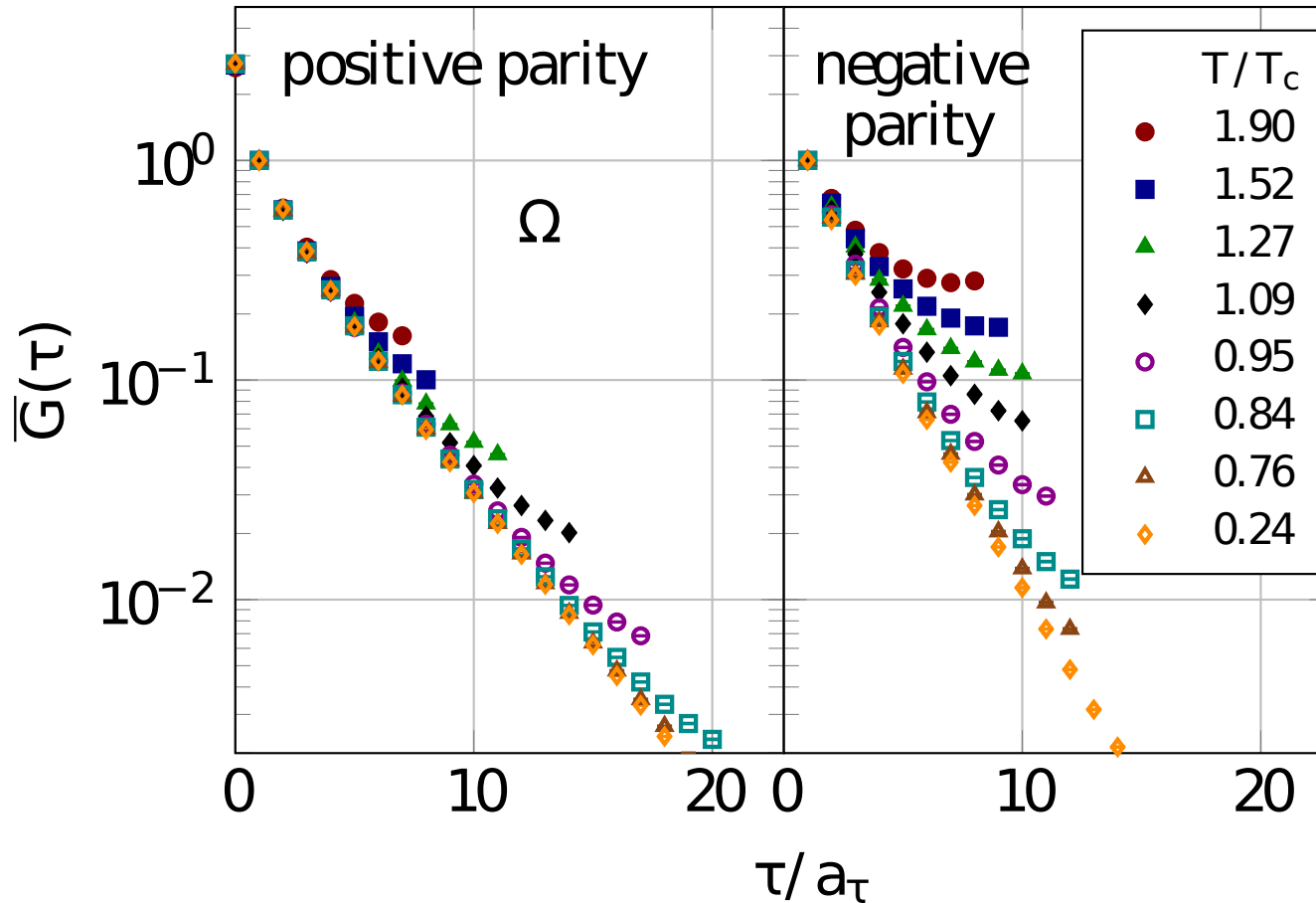
Lattice correlators



- at low T pos/neg parity channels nondegenerate
- more T dependence in negative-parity channel

Lattice correlators

● Ω



- at low T pos/neg parity channels nondegenerate
- more T dependence in negative-parity channel

Baryons in the hadronic phase

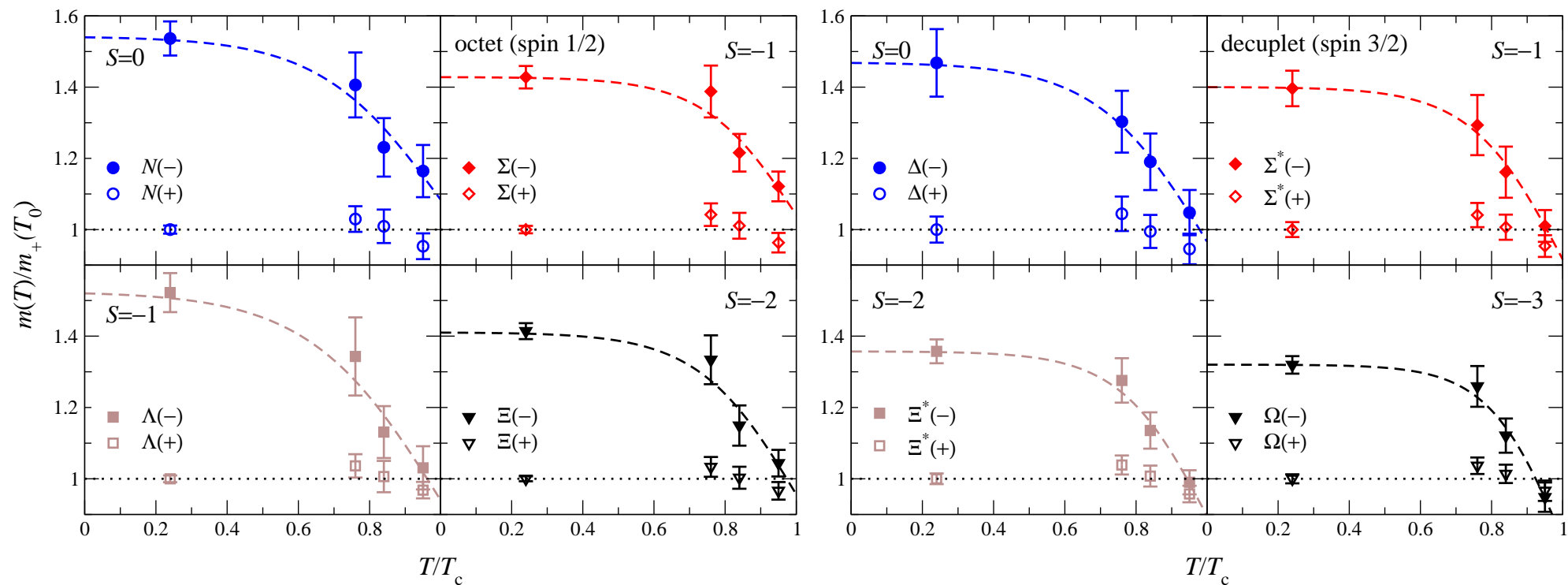
- determine masses of pos/neg parity groundstates
- in-medium effects

Masses of pos/neg parity groundstates (in MeV)

S	T/T_c	0.24	0.76	0.84	0.95	PDG ($T = 0$)
0	m_+^N	1158(13)	1192(39)	1169(53)	1104(40)	939
	m_-^N	1779(52)	1628(104)	1425(94)	1348(83)	1535
	m_+^Δ	1456(53)	1521(43)	1449(42)	1377(37)	1232
	m_-^Δ	2138(114)	1898(106)	1734(97)	1526(74)	1700
-1	m_+^Σ	1277(13)	1330(38)	1290(44)	1230(33)	1193
	m_-^Σ	1823(35)	1772(91)	1552(65)	1431(51)	1750
	m_+^Λ	1248(12)	1293(39)	1256(54)	1208(26)	1116
	m_-^Λ	1899(66)	1676(136)	1411(90)	1286(75)	1405–1670
	$m_+^{\Sigma^*}$	1526(32)	1588(40)	1536(43)	1455(35)	1385
	$m_-^{\Sigma^*}$	2131(62)	1974(122)	1772(103)	1542(60)	1670–1940
-2	m_+^Ξ	1355(9)	1401(36)	1359(41)	1310(32)	1318
	m_-^Ξ	1917(27)	1808(92)	1558(76)	1415(50)	1690–1950
	$m_+^{\Xi^*}$	1594(24)	1656(35)	1606(40)	1526(29)	1530
	$m_-^{\Xi^*}$	2164(42)	2034(95)	1810(77)	1578(48)	1820
-3	m_+^Ω	1661(21)	1723(32)	1685(37)	1606(43)	1672
	m_-^Ω	2193(30)	2092(91)	1863(76)	1576(66)	2250

Baryons in the hadronic phase

masses $m_{\pm}(T)$, normalised with m_{+} at lowest temperature



in each channel:

- emerging degeneracy around T_c
- negative-parity masses reduced as T increases
- positive-parity masses nearly T independent

Baryons in the hadronic phase

findings

- positive-parity masses nearly T independent
- negative-parity masses reduced as T increases
- characteristic behaviour

$$m_-(T) = w(T, \gamma)m_-(0) + [1 - w(T, \gamma)]m_-(T_c)$$

with one-parameter transition function

$$w(T, \gamma) = \tanh[(1 - T/T_c)/\gamma] / \tanh(1/\gamma)$$

- small (large) $\gamma \Leftrightarrow$ narrow (broad) transition region

fits in each
channel

- $0.22 \lesssim \gamma \lesssim 0.35$, mean $\gamma = 0.27(1)$
- $0.85 \lesssim m_-(T_c)/m_+(0) \lesssim 1.1$

Baryons and parity partners

- distinct temperature dependence in hadronic phase
- relevant for heavy-ion phenomenology?

Baryons and parity partners

- distinct temperature dependence in hadronic phase
- relevant for heavy-ion phenomenology?

model studies of the role of chiral symmetry

example: parity doublet model

deTar & Kunihiro 89

- chiral invariant contribution m_0 equal for N and N^*
- mass splitting due to chiral symmetry breaking
- degeneracy emerges as chiral symmetry is restored
- $m_0 \sim 500 - 800 \text{ MeV}$

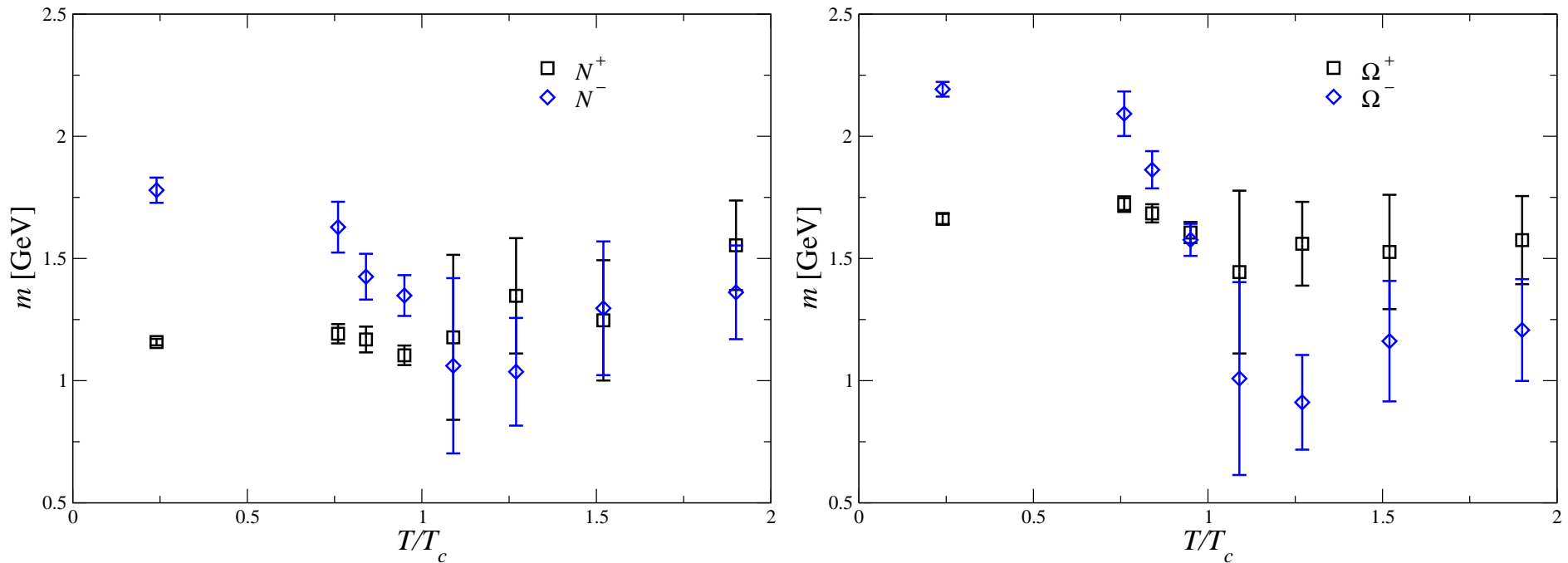
holographic predictions?

QGP: fate of light baryons

consider now the quark-gluon plasma

- no clearly identifiable groundstates: baryons dissolved

example: use conventional exponential fits



no clearly defined groundstates above T_c

QGP: fate of light baryons

- no clearly identifiable groundstates: baryons dissolved
- chiral symmetry restoration \Leftrightarrow parity doubling
- study correlator ratio Datta, Gupta, Mathur et al 2013

$$R(\tau) = \frac{G_+(\tau) - G_-(\tau)}{G_+(\tau) + G_-(\tau)}$$

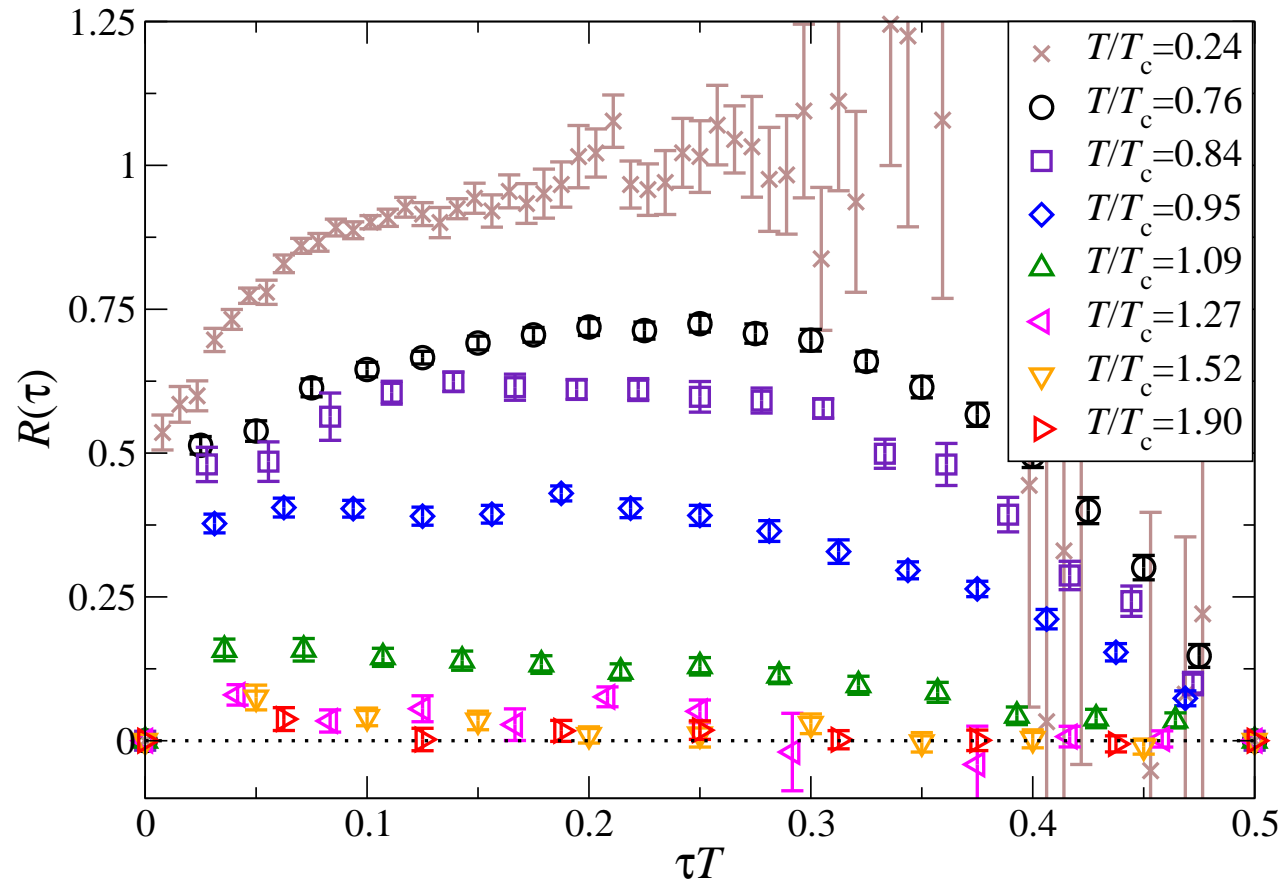
- no parity doubling and $m_- \gg m_+$: $R(\tau) = 1$
- parity doubling: $R(\tau) = 0$

by construction: $R(1/T - \tau) = -R(\tau)$ and $R(1/2T) = 0$

- integrated ratio
- \Rightarrow quasi-order parameter

$$R = \frac{\sum_n R(\tau_n) / \sigma^2(\tau_n)}{\sum_n 1 / \sigma^2(\tau_n)}$$

Nucleon channel

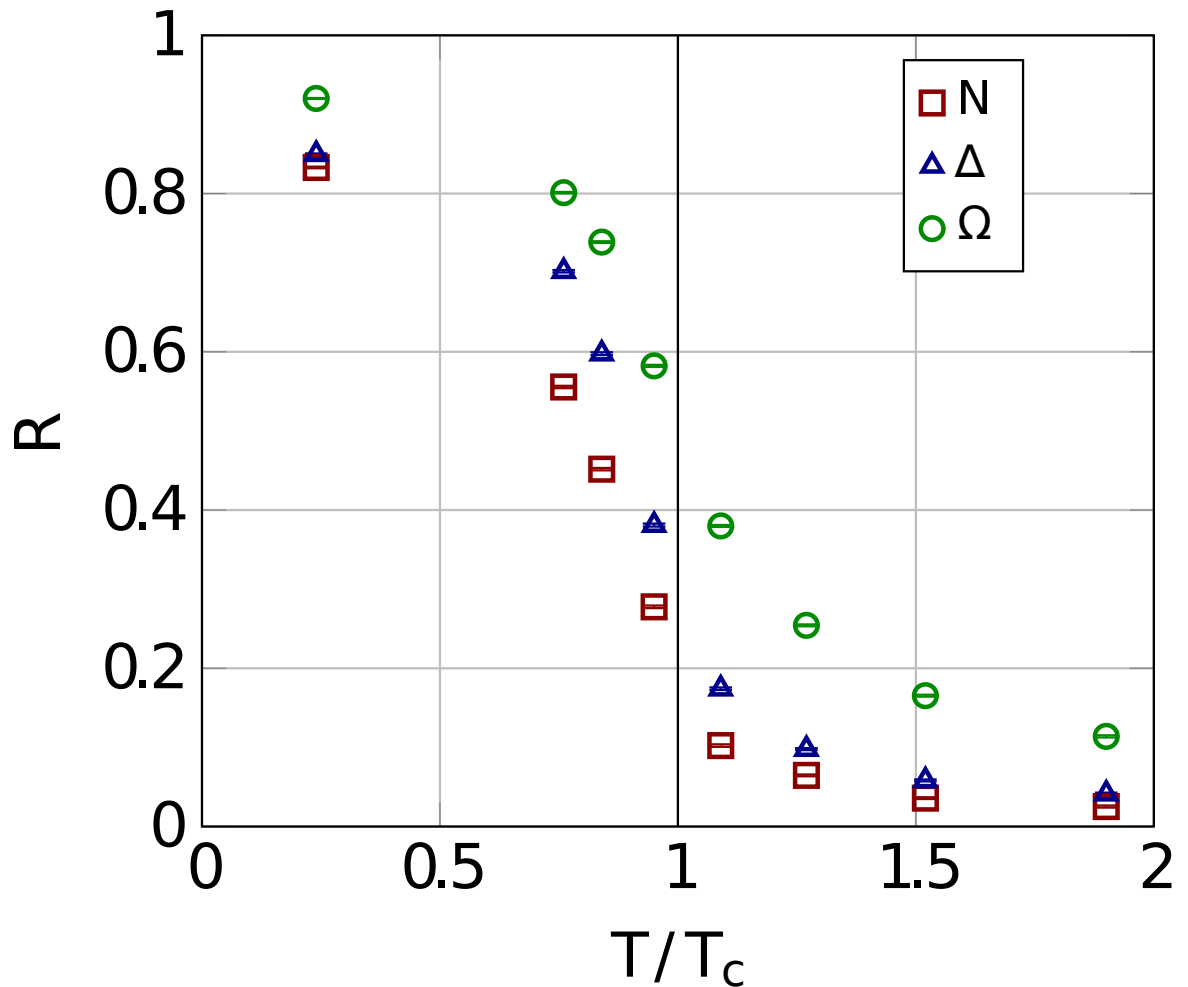


- ratio close to 1 below T_c , decreasing uniformly
- ratio close to 0 above T_c , parity doubling

Quasi-order parameter

- integrated ratio

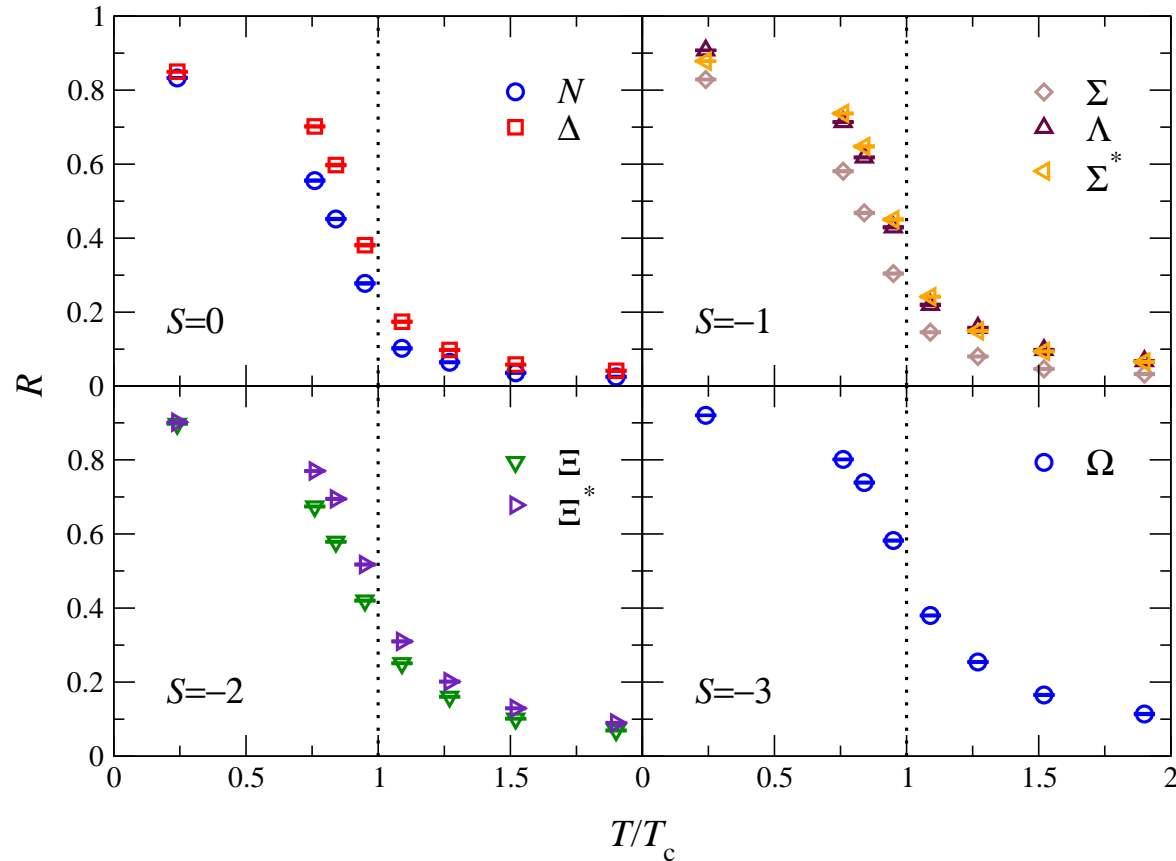
$$R = \frac{\sum_n R(\tau_n) / \sigma^2(\tau_n)}{\sum_n 1 / \sigma^2(\tau_n)}$$



- crossover behaviour, tied with deconfinement transition and hence chiral transition – note: $m_q \neq 0$
- effect of heavier s quark visible

Quasi-order parameter

parity doubling in the QGP: $R \sim 1 \rightarrow 0$



- crossover behaviour, tied with deconfinement transition and hence chiral transition – note: $m_q \neq 0$
- effect of heavier s quark visible

Parity doubling

- clear signal for parity doubling even with finite quark masses
- crossover behaviour, coinciding with transition to QGP
- visible effect of heavier s quark

lattice technical remark:

- Wilson fermions break chiral symmetry at short distances
what about chiral lattice fermions?

Spectral functions

extract same information from spectral functions

$$G_{\pm}(\tau) = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} K(\tau, \omega) \rho_{\pm}(\omega) \quad K(\tau, \omega) = \frac{e^{-\omega\tau}}{1 + e^{-\omega/T}}$$

expectation at very high temperature

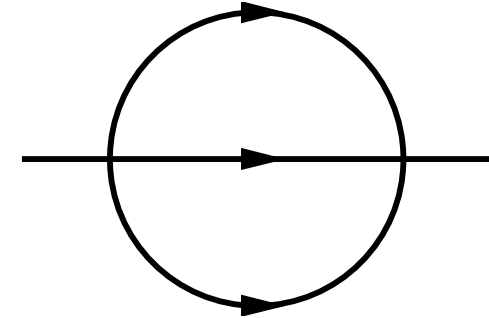
- compute baryon spectral functions at $g^2 \rightarrow 0$
- similar to computation of meson spectral functions

Karsch et al 03, GA & Martínez Resco 05

Free spectral functions

lowest order in perturbation theory

$$G(x) = \langle O(x) \bar{O}(0) \rangle \quad O(x) \sim u u^T C \gamma_5 d(x)$$



two-loop diagram

$$(c = 4, i, m)$$

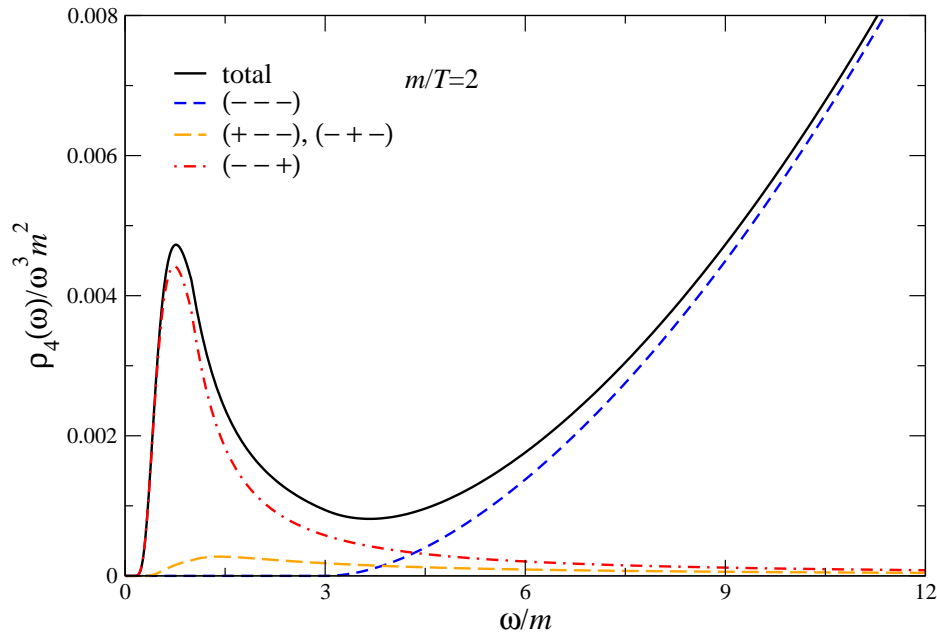
$$\rho_c(\omega) = 3 \int_{\mathbf{k}_{1,2,3}} d\Phi_{123} \sum_{s_j = \pm} 2\pi \delta \left(\omega + \sum_j s_j \omega_{\mathbf{k}_j} \right) [\text{stat.}] f_c(\omega, s_i, \mathbf{k}_i)$$

with

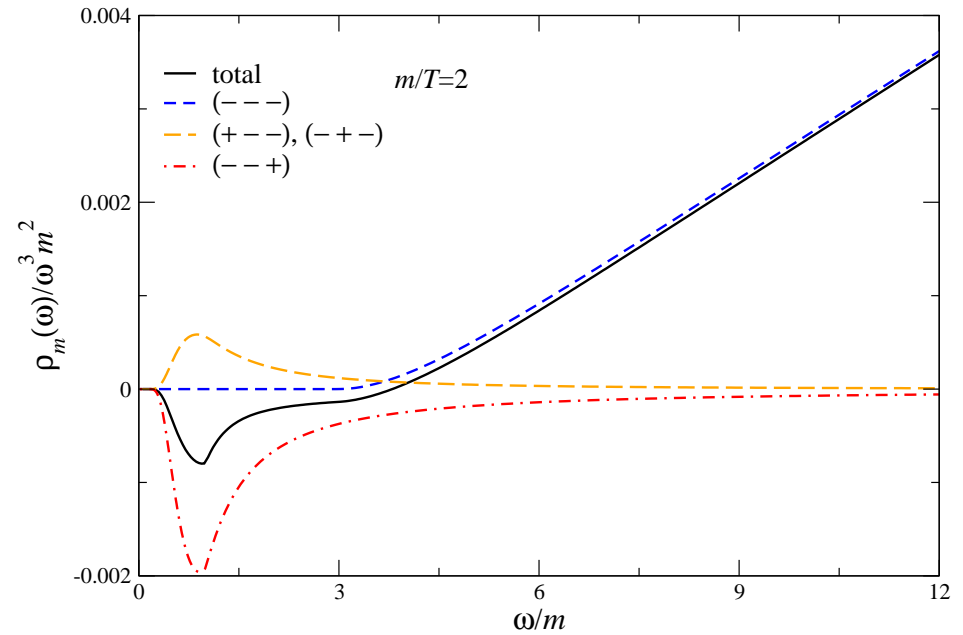
$$d\Phi_{123} = \prod_{j=1}^3 \frac{d^3 k_j}{(2\pi)^3 2\omega_{\mathbf{k}_j}} (2\pi)^3 \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3)$$

$$[\text{stat.}] = n_F(s_1 \omega_{\mathbf{k}_1}) n_F(s_2 \omega_{\mathbf{k}_2}) n_F(s_3 \omega_{\mathbf{k}_3}) \\ + n_F(-s_1 \omega_{\mathbf{k}_1}) n_F(-s_2 \omega_{\mathbf{k}_2}) n_F(-s_3 \omega_{\mathbf{k}_3})$$

Free spectral functions



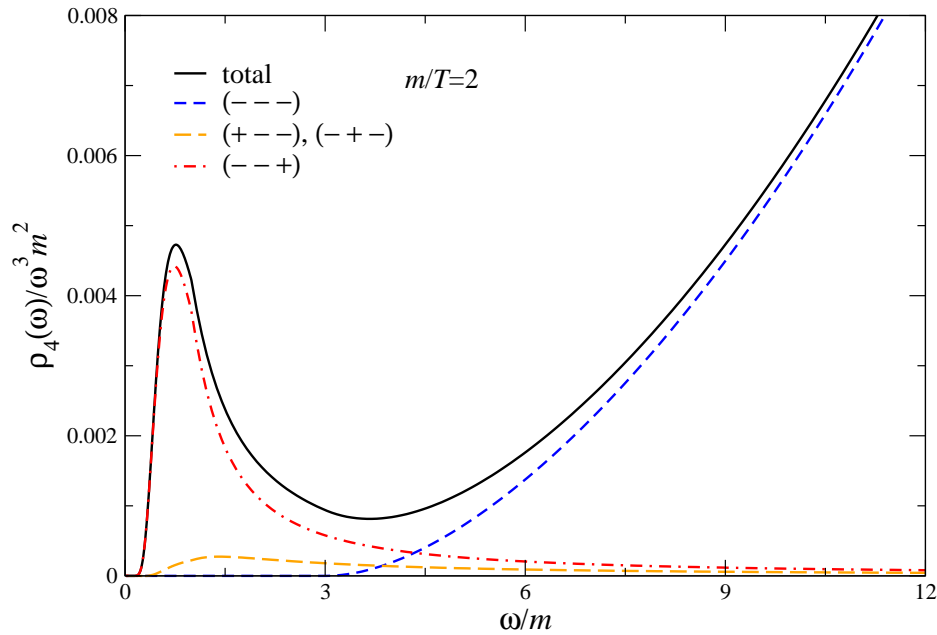
$\rho_4(\omega)$



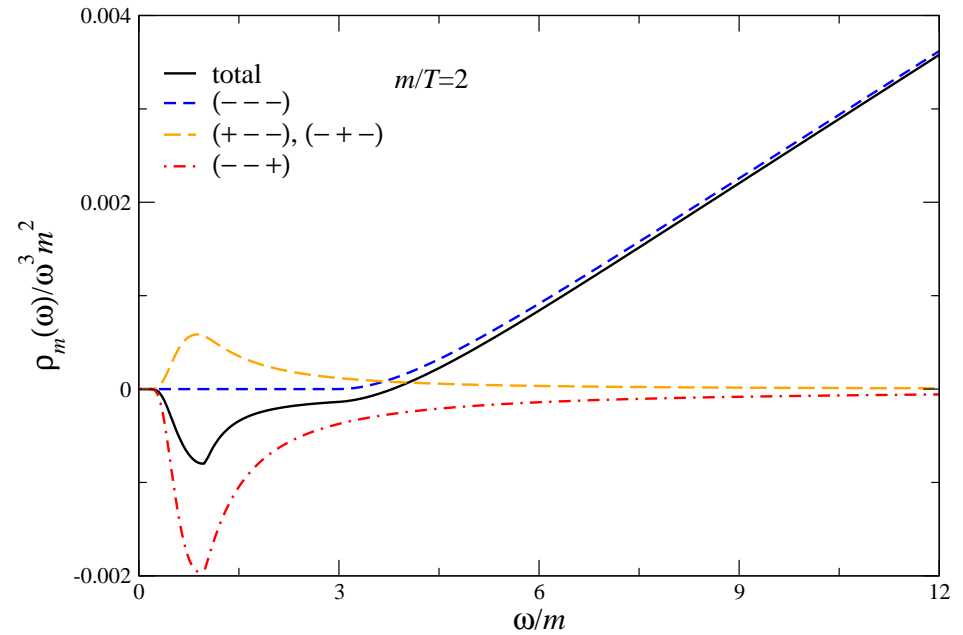
$\rho_m(\omega)$

- decay: $\omega > 3m$ with m quark mass
- at $T > 0$ scattering contributions for all ω
- large ω : thermal contributions suppressed
- $\rho_m(\omega)$ not positive definite

Free spectral functions



$\rho_4(\omega)$



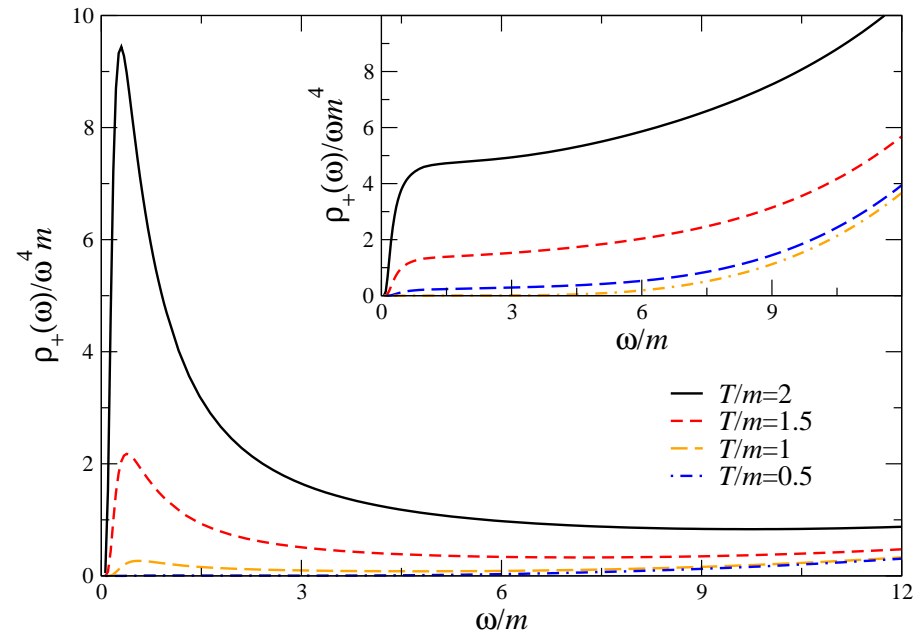
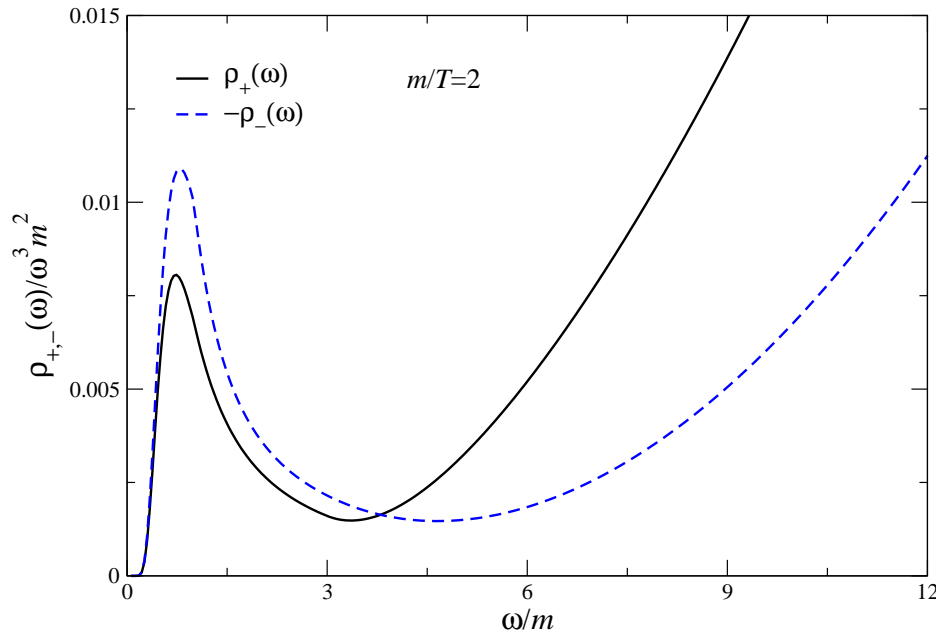
$\rho_m(\omega)$

$$\omega \gg T \gg m$$

$$\rho_4(\omega) = \frac{5\omega^5}{2048\pi^3} \left(1 + \frac{112\pi^4 T^4}{3\omega^4} + \dots \right)$$

$$\rho_m(\omega) = \frac{7m\omega^4}{512\pi^3} \left(1 - 4\pi^2 \frac{T^2}{\omega^2} + \dots \right)$$

Free spectral functions

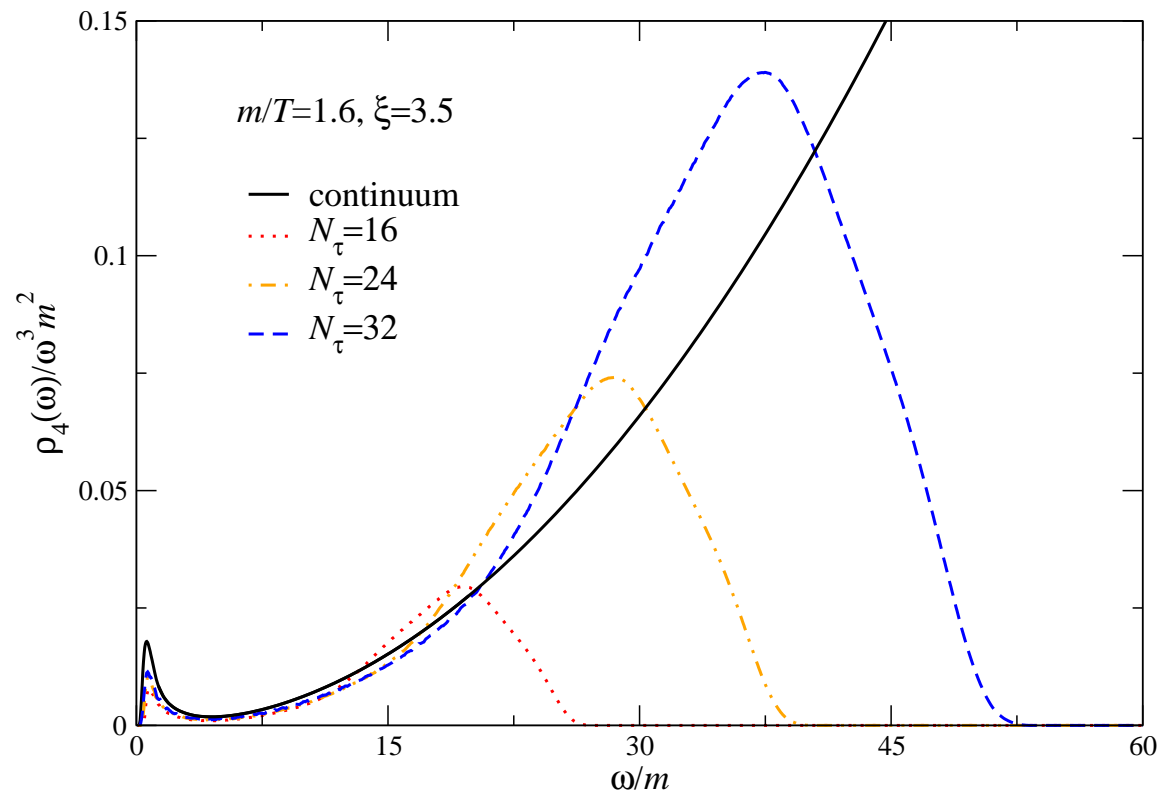


$$\rho_{\pm}(\omega) = \frac{1}{2} [\rho_m(\omega) \pm \rho_4(\omega)] \quad \rho_+(\omega)$$

- thermal enhancement at $\omega \sim T \sim m$
- apparent peak depends on presentation/normalisation
- exponentially suppressed as $\omega \rightarrow 0$
- $\pm \rho_{\pm}(\omega) \geq 0 \quad \rho_-(\omega) = -\rho_+(-\omega)$

Lattice free spectral functions

- lattice dispersion relation, sum over Brillouin zones
- maximal energy $\omega = 3\omega_{\mathbf{k},\max}$
- similar to mesons Karsch et al 03, GA & Martínez Resco 05
- no cusps due to two-loop Brillouin sum



Spectral functions

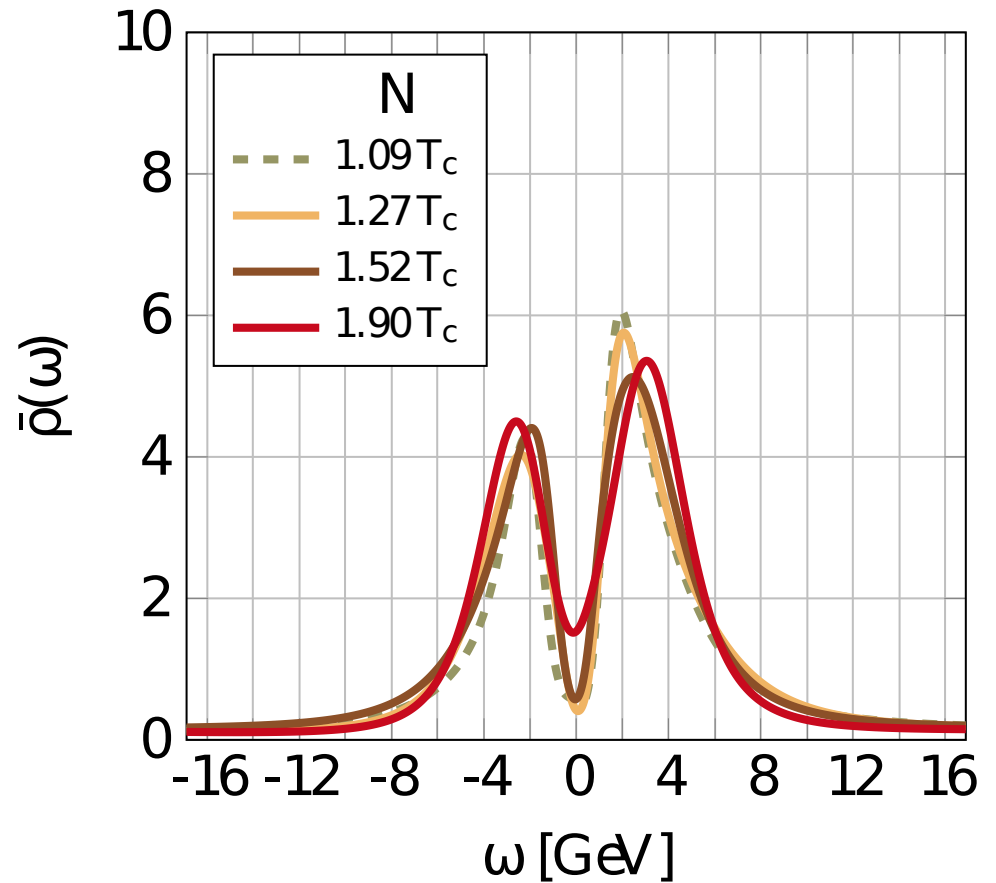
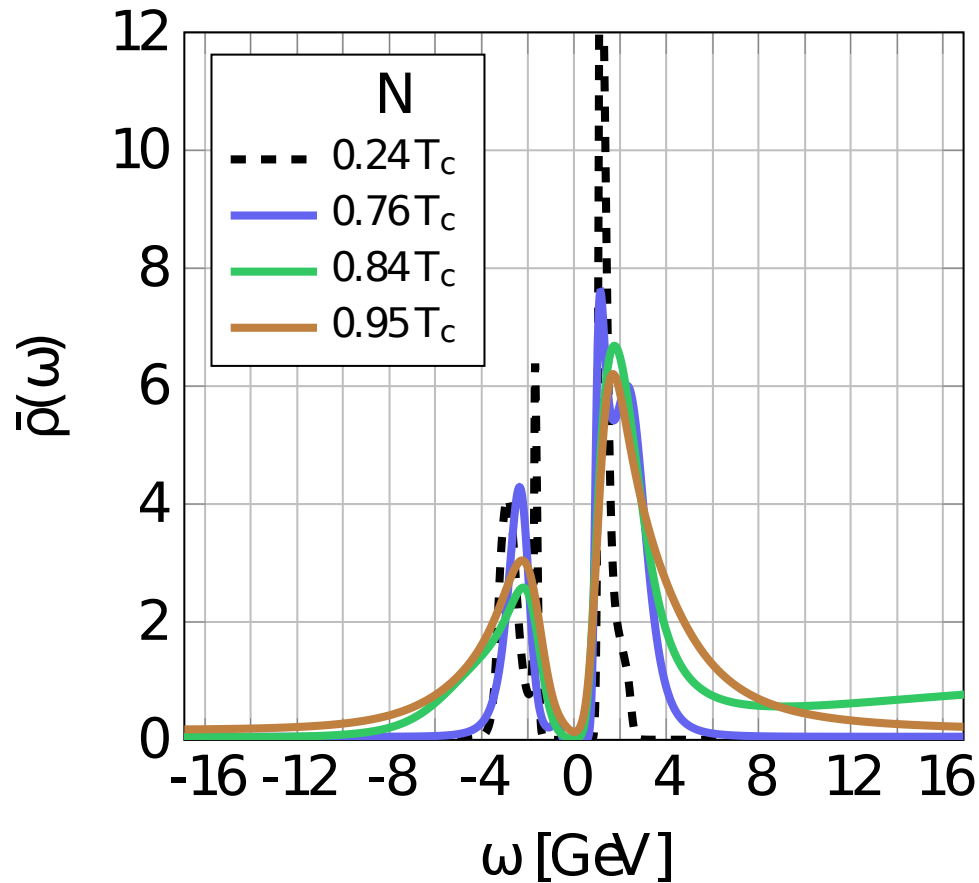
extract same information from spectral functions

$$G_{\pm}(\tau) = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} K(\tau, \omega) \rho_{\pm}(\omega) \quad K(\tau, \omega) = \frac{e^{-\omega\tau}}{1 + e^{-\omega/T}}$$

- *ill-posed* inversion problem
- use Maximum Entropy Method (MEM)
- featureless default model
- construct $\rho_{+}(\omega) \geq 0$ for all ω
- $\rho_{-}(\omega) = -\rho_{+}(-\omega)$

Baryon spectral functions

● nucleon

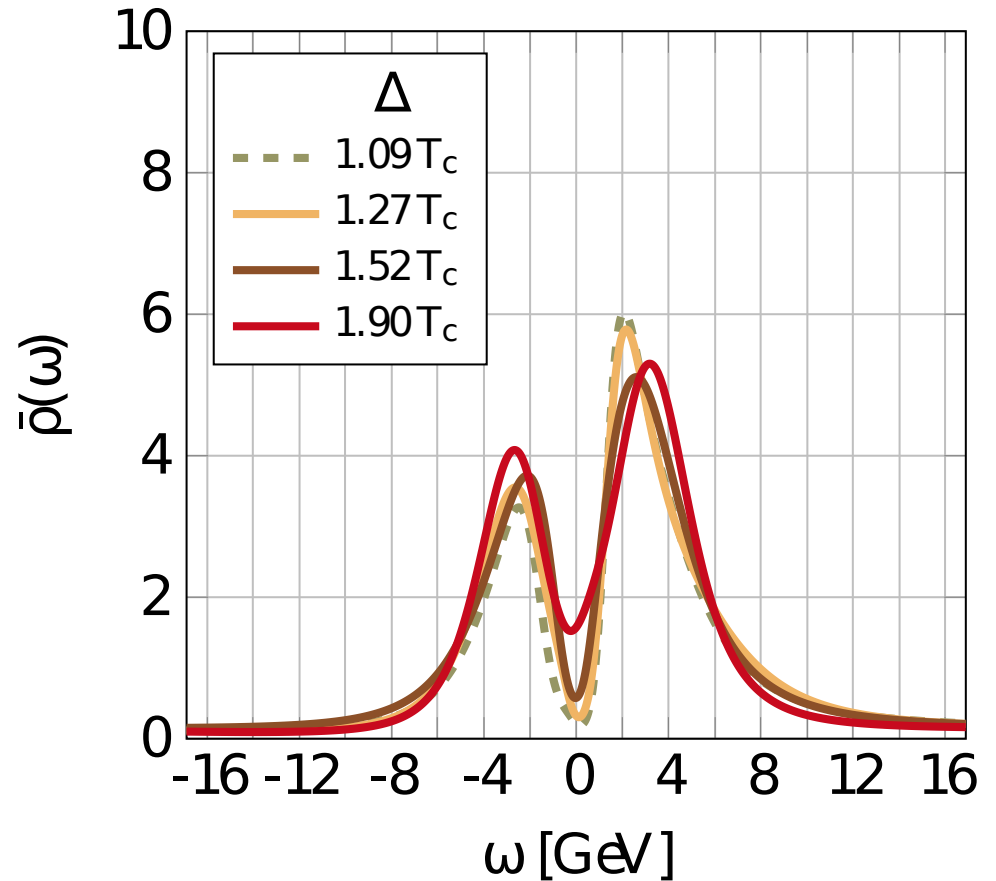
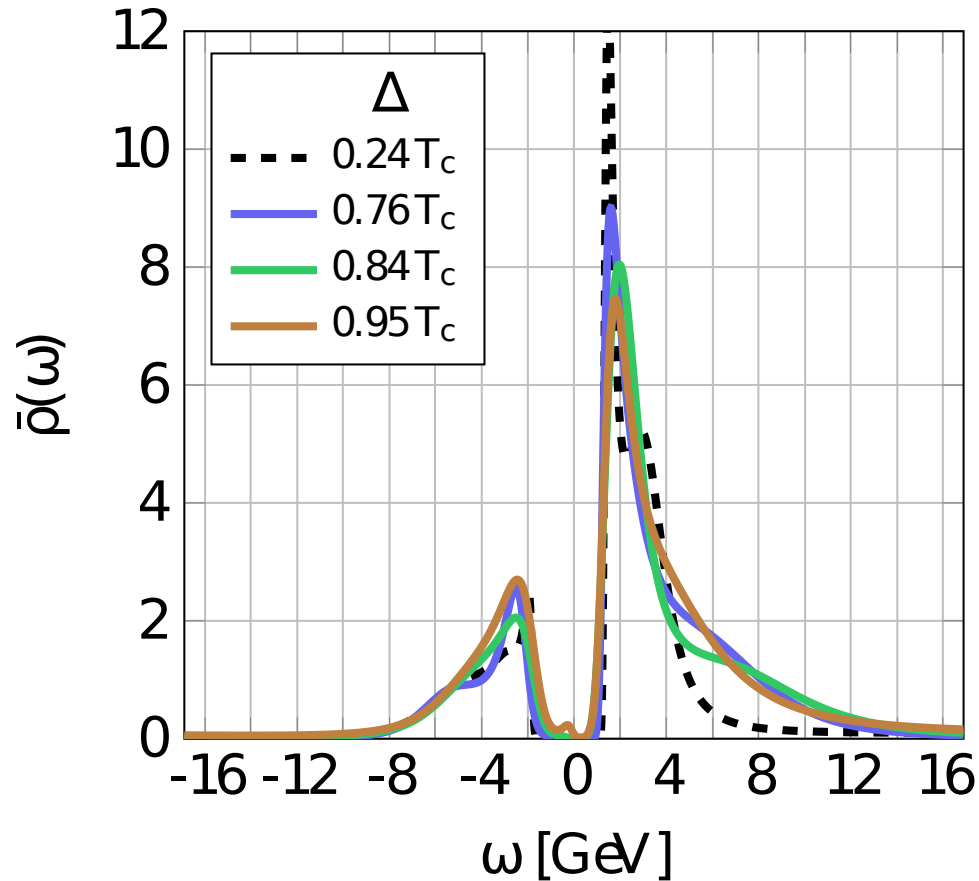


● groundstates below T_c

● degeneracy emerging above T_c

Baryon spectral functions

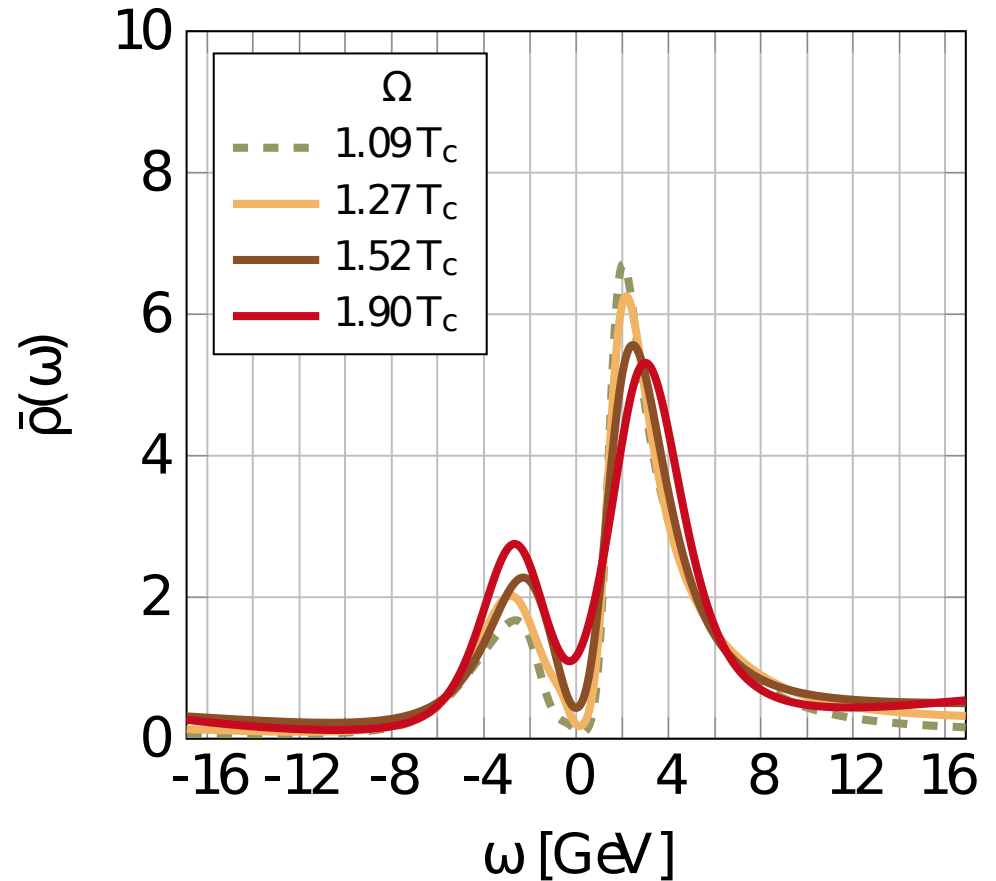
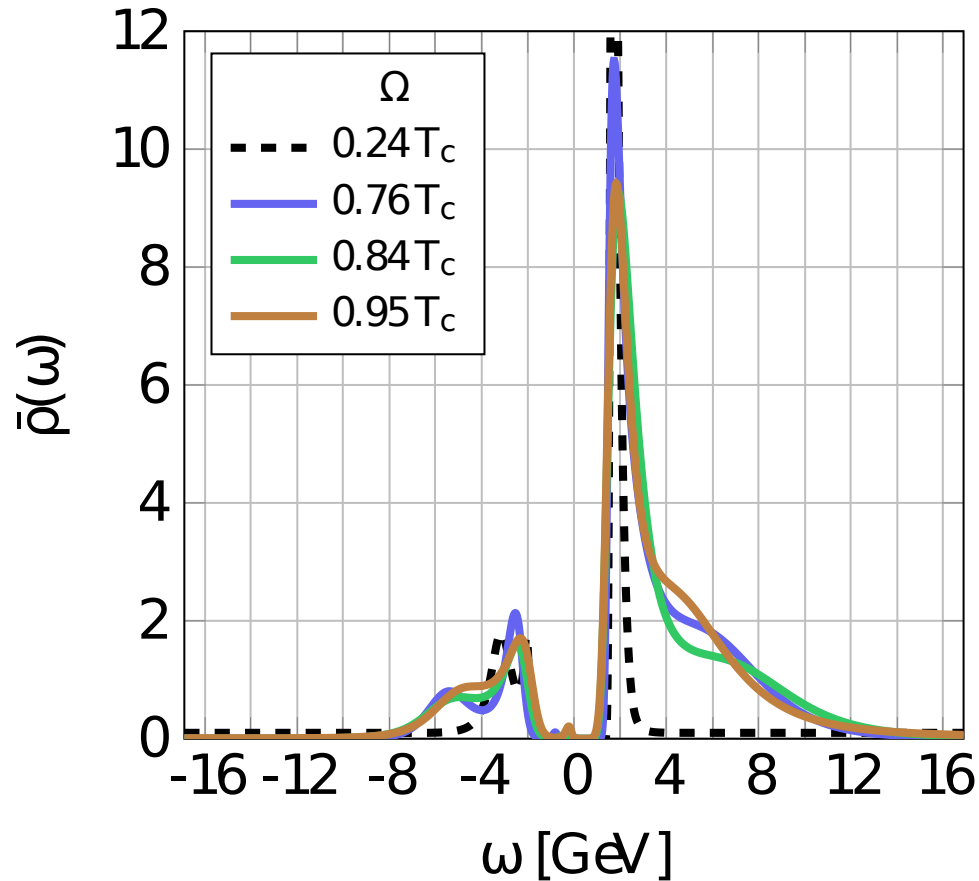
● Δ



- groundstates below T_c
- degeneracy emerging above T_c

Baryon spectral functions

● Ω

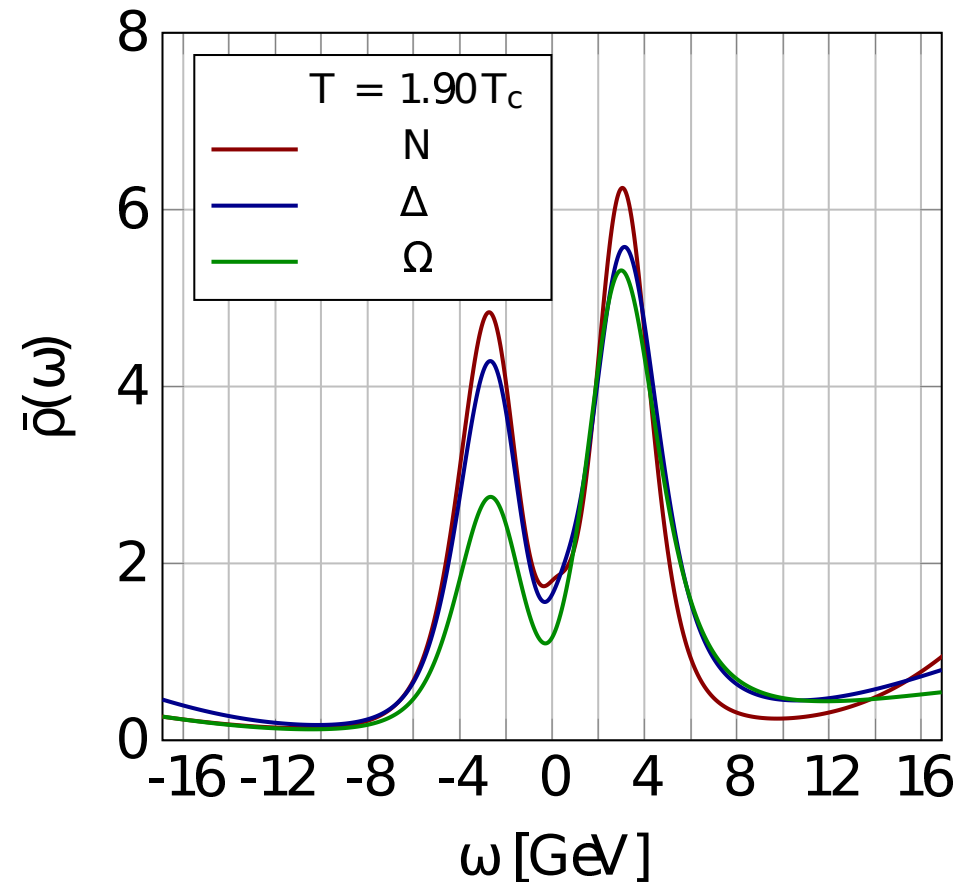
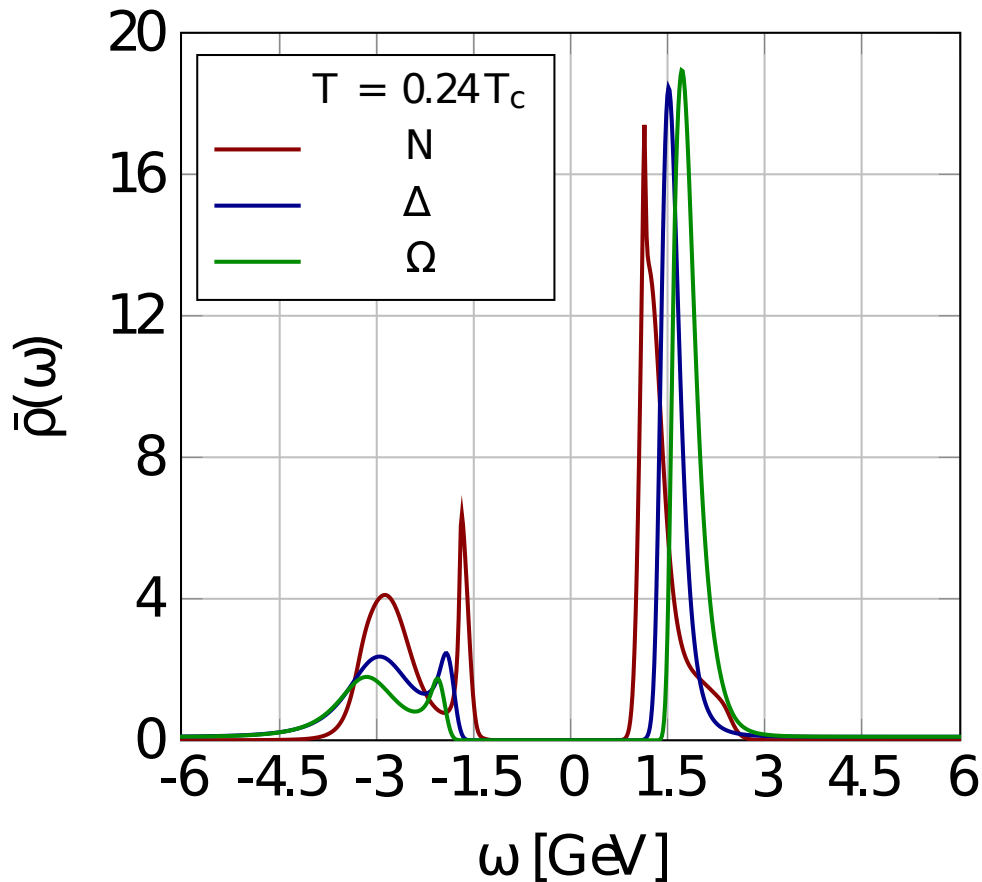


● groundstates below T_c

● degeneracy emerging above T_c , finite m_s

Baryon spectral functions

- all channels: low and high temperature



- groundstates below T_c
- degeneracy emerging above T_c

Baryon spectral functions

- results consistent with correlator analysis
- latter is on firmer ground, due to inversion uncertainties
- effect of heavier s quark visible

Summary: baryons in medium

in hadronic phase

- pos-parity groundstates mostly T independent
- stronger T dependence in neg-parity groundstates
reduction in mass, near degeneracy close to T_c
- relevant for heavy-ion phenomenology?

in quark-gluon plasma

- pos/neg parity channels degenerate: parity doubling
- linked to deconfinement transition and chiral symmetry restoration
- correlator and spectral function analysis consistent
- effect of heavier s quark noticeable

Outlook: baryons in medium

lattice

- Wilson fermions: no chiral symmetry at short distances
- manifestly chiral fermions?

physics

- strangeness dependence
- physical light quarks
- phenomenology

understanding

- models?
- holography?