

Searches for Lepton Number Violation and  
resonances in the  $\mathbf{K}^{\pm} \rightarrow \boldsymbol{\pi}\boldsymbol{\mu}\boldsymbol{\mu}$  decays at the  
**NA48/2** experiment

Dmitry Madigozhin

*Joint Institute for Nuclear Research  
on behalf of the NA48/2 Collaboration*

**New Trends in High-Energy Physics**

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## Outline:

- The NA48/2 experiment
- Theoretical Motivation
- Search for LNV  $K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$  decay – Majorana neutrinos
- Search for resonances in  $K^\pm \rightarrow \pi^\pm \mu^+ \mu^-$  decays

# NA48/2 (2003-2004)

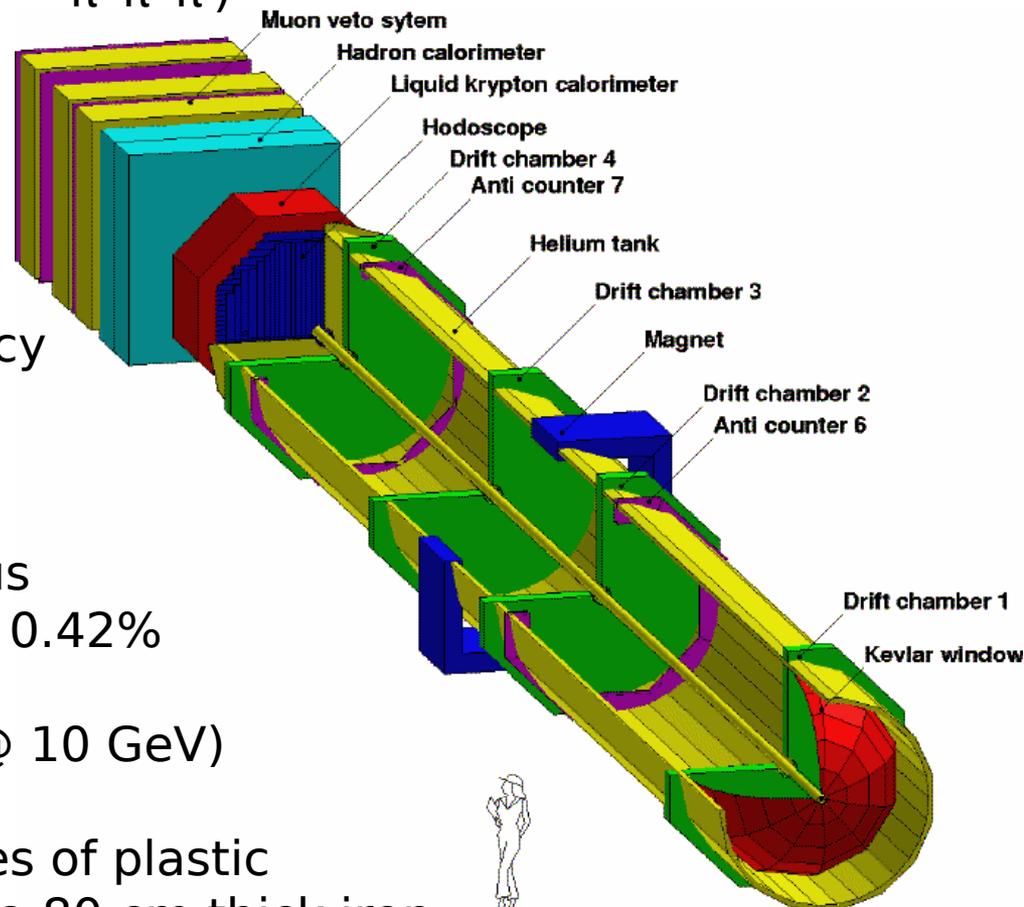
**Narrow momentum band  $K^\pm$  beams:**

**$P = 60 \text{ GeV}/c$ ,  $\delta P/P \sim 4\%$  (rms)**

**Nominal  $K^\pm$  decay rate:  $\sim 100 \text{ kHz}$**

**Among main triggers: 3-track ( $K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$ )**

**Simultaneous  $K^+/K^-$  beams**



## Principal sub-detectors:

### ● **Spectrometer (4 DCHs)**

$\sigma(p)/p = 1.02\% \oplus 0.044\%$   $p(\text{GeV}/c)$

4 views/DCH: redundancy  $\rightarrow$  efficiency

### ● **Scintillator Hodoscope**

Fast trigger, time  $\sigma \sim 150 \text{ ps}$

### ● **LKr EM calorimeter**

High-granularity, quasi-homogeneous

$\sigma E/E = 3.2\%/\sqrt{E(\text{GeV})} \oplus 9\%/E(\text{GeV}) \oplus 0.42\%$

$\sigma(x) = \sigma(y) =$

$4.2\text{mm}/\sqrt{E(\text{GeV})} \oplus 0.6\text{mm}$  (1.5mm @ 10 GeV)

### ● **MUV:**

consisted of three  $2.7 \times 2.7 \text{ m}^2$  planes of plastic scintillator strips, each preceded by a 80 cm thick iron wall.

*Theoretical Motivation: **neutrino physics development.***

*neutrino oscillations → neutrino masses → right-handed neutrinos.*

*For example:*

## Majorana Neutrinos

Asaka-Shaposhnikov model ( $\nu$ MSSM) [[Asaka and Shaposhnikov, PLB 620 \(2005\) 17](#)]:  
Dark Matter + Baryon Asymmetry of the Universe (BAU) + low mass of SM  $\nu$  can be explained by adding three sterile Majorana neutrinos to the SM:

- One has a mass of O(KeV) – Dark Matter candidate.
- Two others have a mass of O(100 MeV – 1 GeV), tune CPV phases, provide an extra-CKM sources of baryon asymmetry and produce a standard neutrino masses through seesaw.

Active sterile neutrino mixing (U-matrix):

Effective vertices involving the sterile neutrinos  $N_i$ , the  $W^\pm$ , Z bosons and SM leptons, leading to LNV decays:  $BR(K^\pm \rightarrow \mu^\pm N_4) \times BR(N_4 \rightarrow \pi^\mp \mu^\pm) \sim |U_{\mu 4}|^4$

More new particles in some models: **Inflatons**

**Shaposhnikov-Tkachev** model [[Shaposhnikov and Tkachev, PLB 639 \(2006\) 414](#)]:

$\nu$ MSSM + a real scalar field (inflaton  $\chi$ ) with scale-invariant couplings explains Universe homogeneity and isotropy on large scales and structures on smaller scales (believed to be coming from inflation)

- $\chi$ -Higgs mixing with mixing angle  $\theta$
- $\chi$ -Higgs coupling → Universe reheating
- $\chi$  is unstable:  $\tau \sim (10^{-8}-10^{-12})$  s

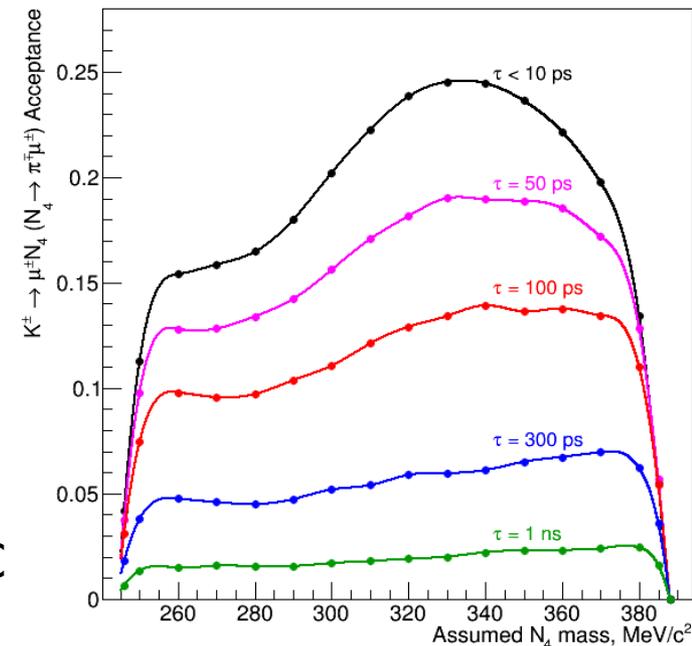
$\chi$  in Kaon decays  
[may be  $m_\chi < 300-400$  MeV/c<sup>2</sup>]

# Same-sign muons sample (LNV)

- Fully reconstructed final states, 3-track vertex topology (50 cm Z resolution, lifetime  $< 10$  ps is negligible)
- Similar topology of the normalisation channel  $K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$ 
  - First-order cancellation of systematic effects (trigger inefficiency, etc)
- Search for the LNV  $K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$  decay
- Main background:  $K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$  with 2  $\pi^\pm \rightarrow \mu^\pm \nu$  decays (one within the Spectrometer)
- UL on LNV  $BR(K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm)$
- UL on resonant  $BR(K^\pm \rightarrow \mu^\pm N_4) \times BR(N_4 \rightarrow \pi^\mp \mu^\pm)$

$\sim 2 \times 10^{11}$   $K^\pm$  decays in the fiducial volume  
(measured from  $K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$ )

Mass and lifetime dependence of the signal acceptance from dedicated MC simulation of the signal

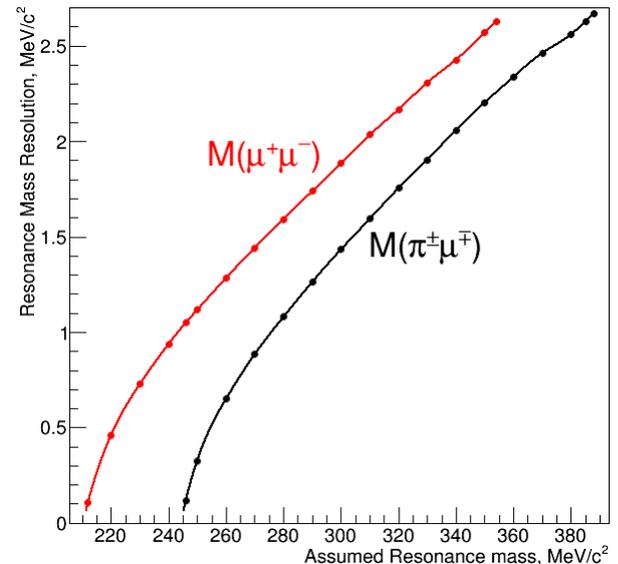
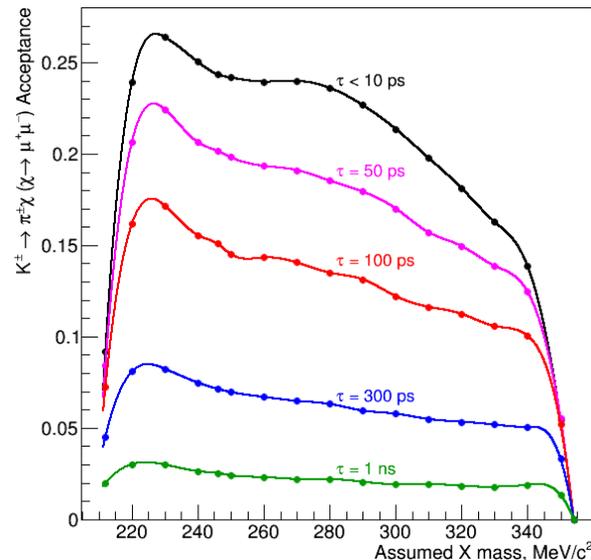
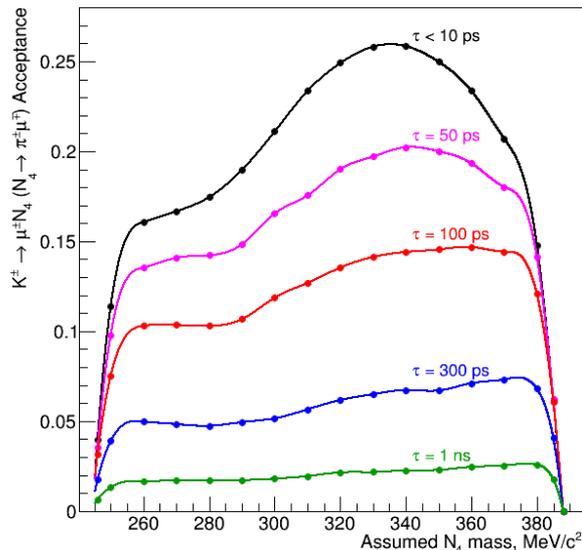




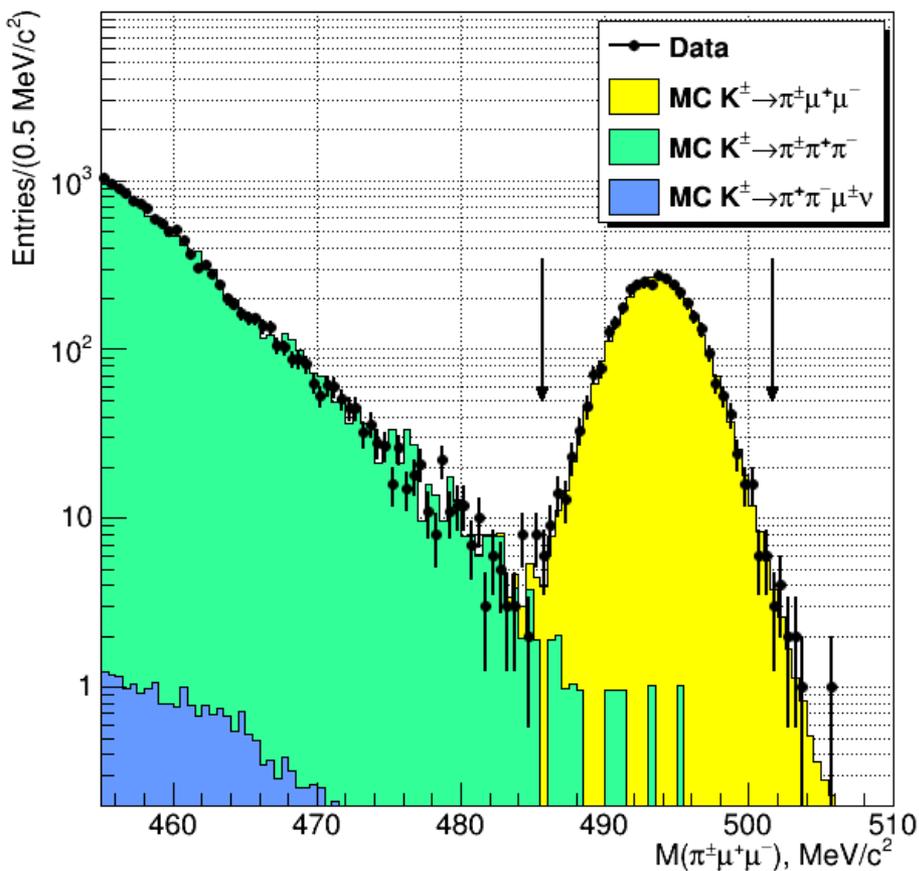
# Opposite-sign muons sample (LNC)

Search for resonances in  $K^\pm \rightarrow \pi^\pm \mu^+ \mu^-$

- Method: exclusive search for the decay chains  
 $K^\pm \rightarrow \mu^\pm N_4 (N_4 \rightarrow \pi^\pm \mu^\mp)$ ,  $K^\pm \rightarrow \pi^\pm X (X \rightarrow \mu^+ \mu^-)$
- Main background:  $K^\pm \rightarrow \pi^\pm \mu^+ \mu^-$  (**irreducible**)  $\rightarrow$  Limited sensitivity
- UL on  $BR(K^\pm \rightarrow \mu^\pm N_4) \times BR(N_4 \rightarrow \pi^\pm \mu^\mp)$
- UL on  $BR(K^\pm \rightarrow \pi^\pm X) \times BR(X \rightarrow \mu^+ \mu^-)$



# Opposite sign muons selection (LNC)



- Event selection:
  - One 3-track vertex
  - 2 opposite-sign muons, 1 pion
  - Total  $P_T$  consistent with zero
  - Signal Region:  
 $|M(\pi^\pm\mu^+\mu^-) - M_K| < 8 \text{ MeV}/c^2$

Search for peaks in  $M(\pi^\pm\mu^\mp)$  and  $M(\mu^+\mu^-)$  invariant masses

3489  $K^\pm \rightarrow \pi^\pm\mu^+\mu^-$  candidates  
in Signal Region

$K^\pm \rightarrow \pi^\pm\pi^+\pi^-$  background:  $(0.36 \pm 0.10)\%$

Improved selection with respect to previous NA48/2  $K^\pm \rightarrow \pi^\pm\mu^+\mu^-$  analysis  
[PLB 697 (2011) 107]

# Two-body mass scans

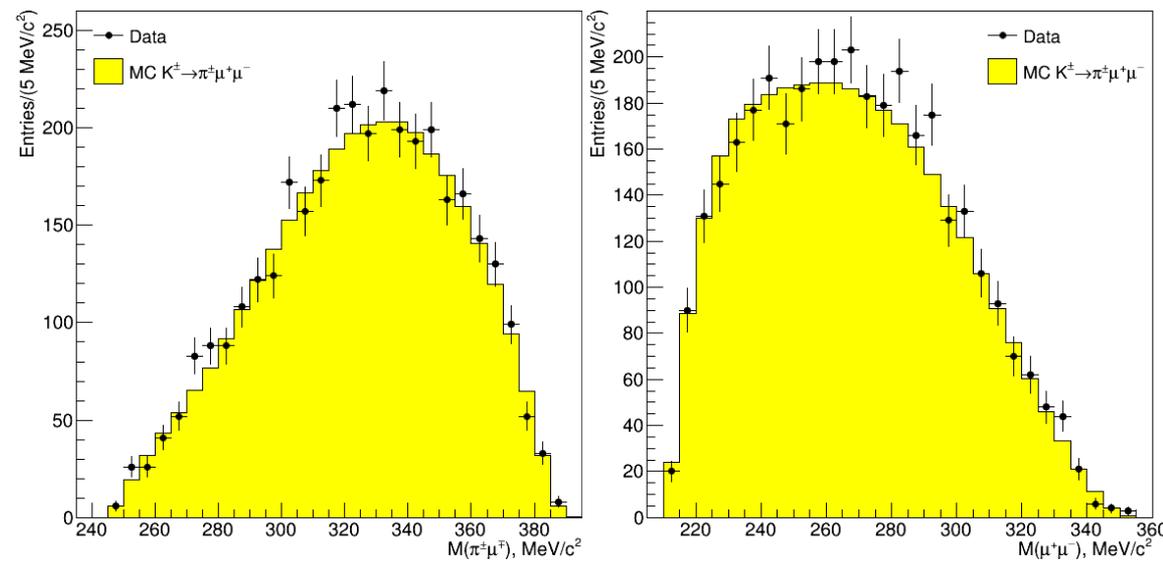
- Based on selected  $K^\pm \rightarrow \pi^\pm \mu \mu$  candidates.  
Variable step =  $0.5\sigma(M_{res})$  and window =  $\pm 2\sigma(M_{res})$
- For each  $M_{res}$ :  
Observed events in data ( $N_{obs}$ ) vs Expected events from MC ( $N_{exp}$ )  $\rightarrow$  UL( $N_{sig}$ )
- Rolke-Lopez statistical treatment used in each mass hypothesis  $M_{res}$  to get UL( $N_{sig}$ )

Search for Lepton Number Violation – Majorana neutrinos

- 284 mass hypotheses  $M_{res}$  tested
- 2 possibilities in building  $M(\pi^\mp \mu^\pm)$  [same-sign muons]: closest invariant mass to  $M^{res}$  considered

Search for resonances in LNC  $K^\pm \rightarrow \pi^\pm \mu^+ \mu^-$  - decays

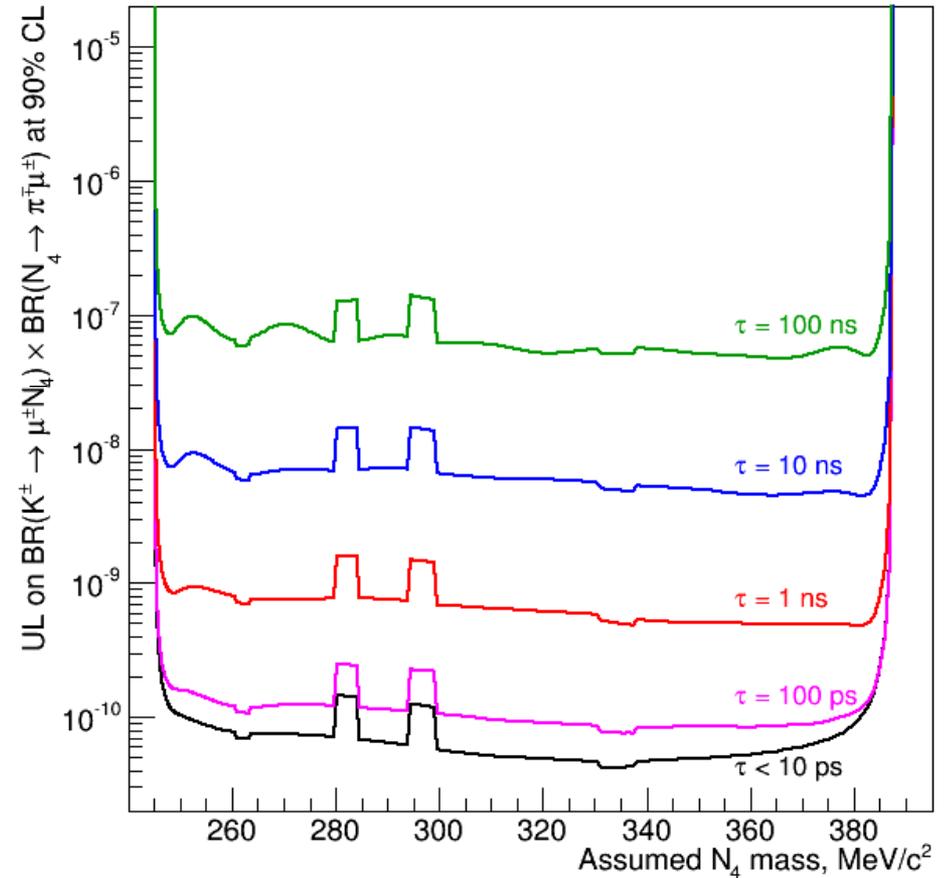
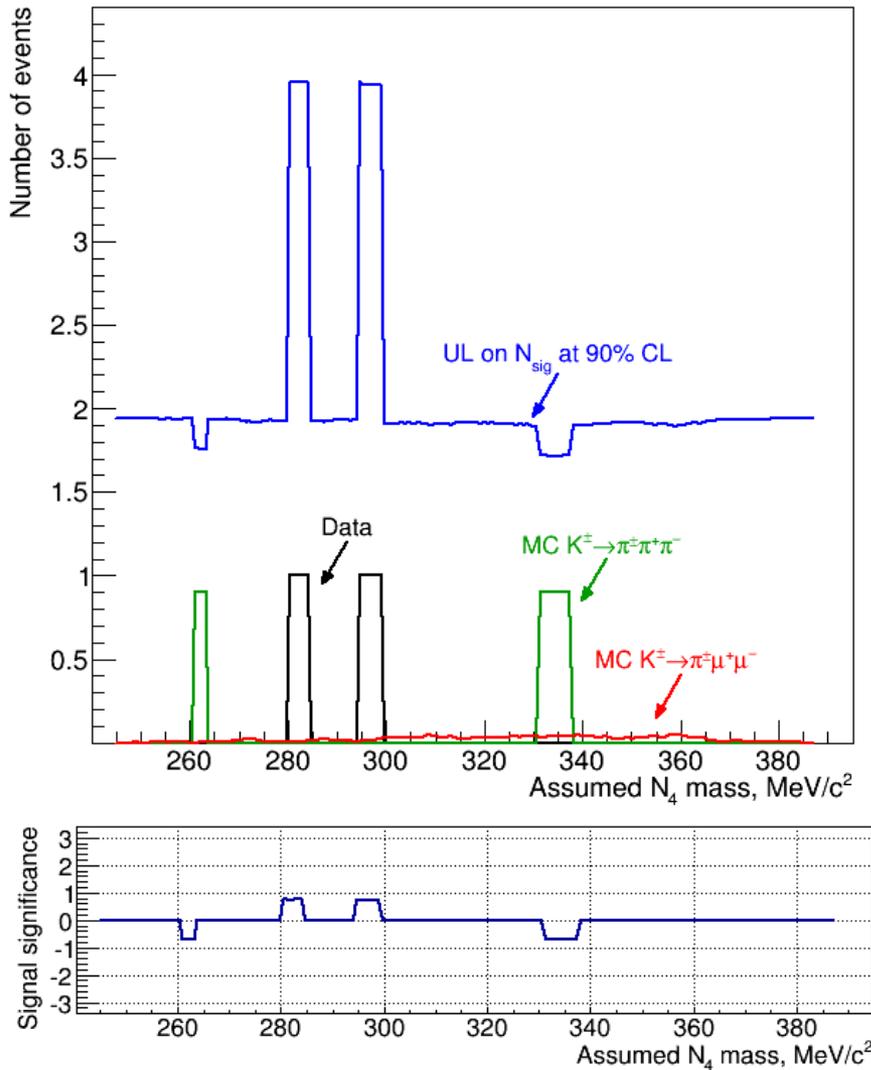
- The distributions of both invariant masses  $M(\pi^\pm \mu^\mp)$  and  $M(\mu^+ \mu^-)$  are probed
- 267 hypotheses for  $M(\pi^\pm \mu^\mp)$
- 280 hypotheses for  $M(\mu^+ \mu^-)$
- $K^\pm \rightarrow \pi^\pm \mu^+ \mu^-$  - MC simulation uses form factors extracted from the selected data sample to obtain best data/MC agreement



# Search for $K^\pm \rightarrow \mu^\pm N_4 (N_4 \rightarrow \pi^\mp \mu^\pm)$ decays

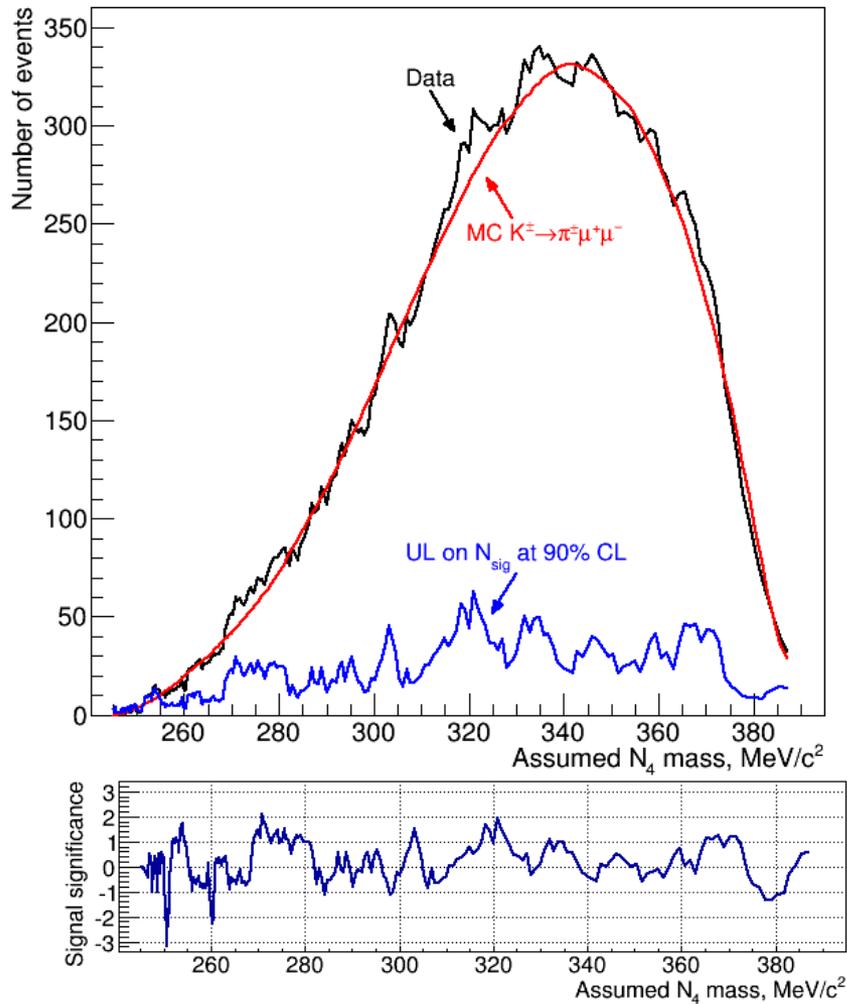
Same-sign muons sample

$$UL(BR(K^\pm \rightarrow \mu^\pm N_4)BR(N_4 \rightarrow \pi^\mp \mu^\pm)) =$$

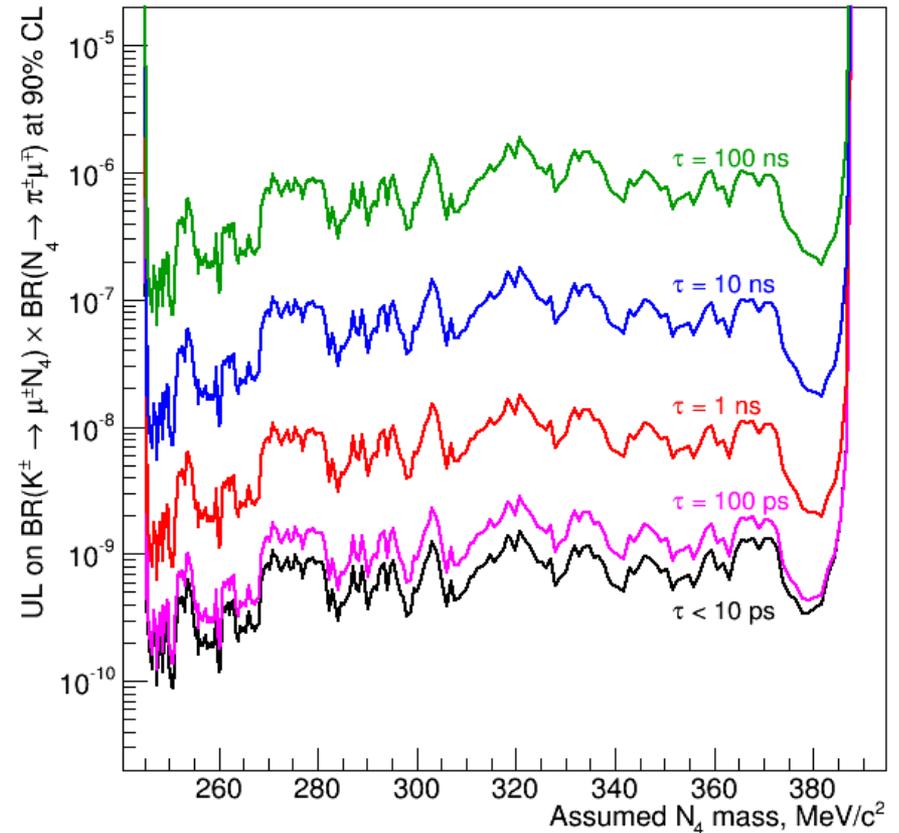


Statistical significance  
never exceeds  $+3\sigma$ : no signal  
observed

# Search for $K^\pm \rightarrow \mu^\pm N_4 (N_4 \rightarrow \pi^\pm \mu^\mp)$ decays



$$UL(BR(K^\pm \rightarrow \mu^\pm N_4) BR(N_4 \rightarrow \pi^\pm \mu^\mp)) =$$

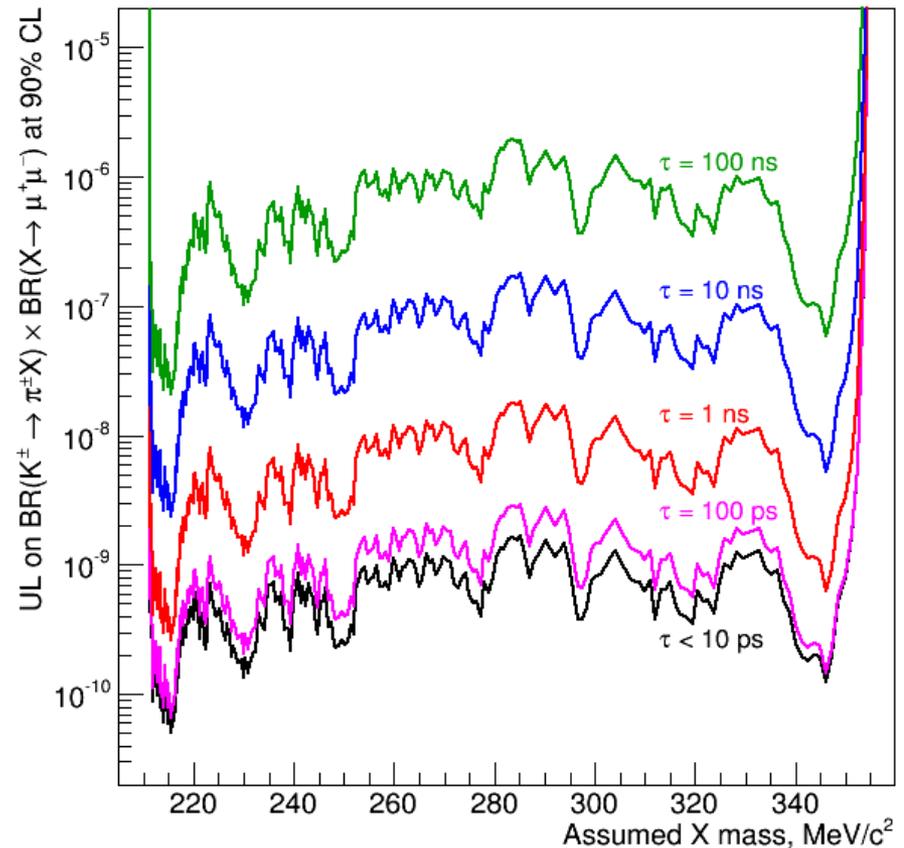
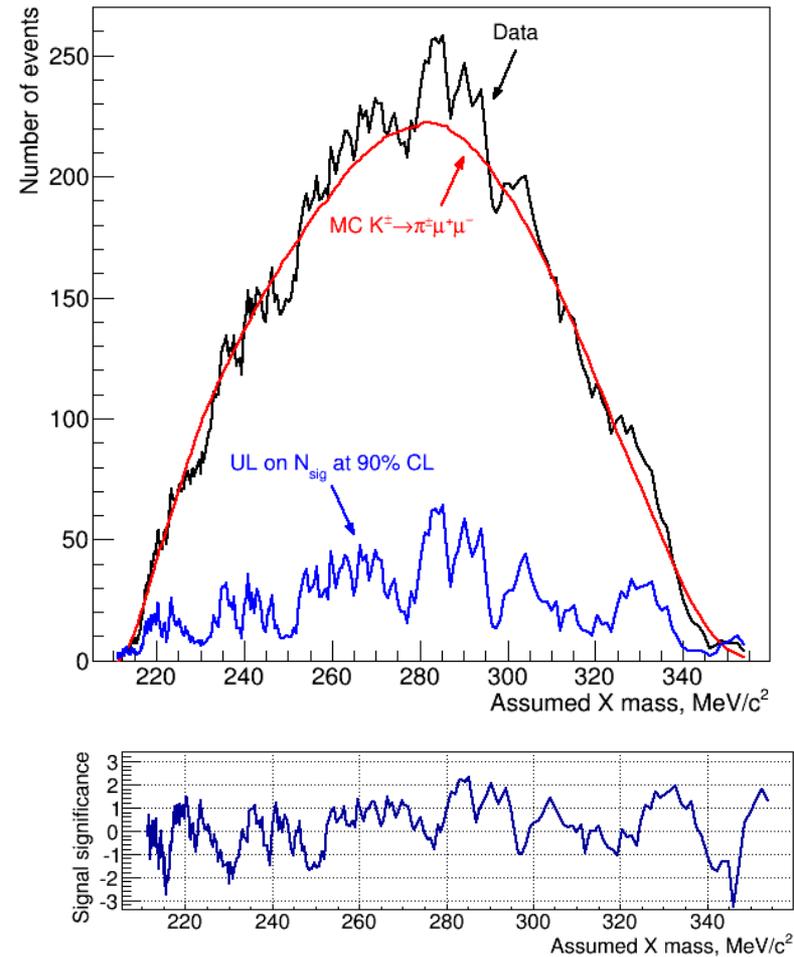


Statistical significance never exceeds  $+3\sigma$ : no signal observed

# Search for $K^\pm \rightarrow \pi^\pm X (X \rightarrow \mu^+ \mu^-)$ decays

Opposite sign muons sample (LNC)

$$UL(BR(K^\pm \rightarrow \pi^\pm X) BR(X \rightarrow \mu^+ \mu^-)) =$$



Statistical significance never exceeds  $+3\sigma$ : no signal observed

# Conclusion

## New NA48/2 results presented:

- Search for LNV  $K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$  **decay:**
  - $BR(K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm) < 8.6 \times 10^{-11}$  @ 90% CL [World Best Limit]
  - Factor of 10 improvement with respect to previous best limit [ $1.1 \times 10^{-9}$ ]
- Search for  $K^\pm \rightarrow \mu^\pm N4$  ( $N4 \rightarrow \pi^\mp \mu^\pm$ ) **decays [Majorana neutrinos]**
  - Limits on BR products of the order of  $10^{-10}$  for neutrino lifetimes  $< 100$  ps
- Search for  $K^\pm \rightarrow \mu^\pm N4$  ( $N4 \rightarrow \pi^\pm \mu^\mp$ ) **decays [LNC heavy neutrinos]**
  - Limits on BR products of the order of  $10^{-9}$  for neutrino lifetimes  $< 100$  ps
- Search for  $K^\pm \rightarrow \pi^\pm X$  ( $X \rightarrow \mu^+ \mu^-$ ) **decays [Inflatons, ...]**
  - Limits on BR products of the order of  $10^{-9}$  for resonance lifetimes  $< 100$  ps

## Prospects for the new NA62 experiment

NA62 will collect the world-largest  $K^+$  decay sample:  $\sim 10^{13}$  decays in 3 years of data taking ( $\sim 50$  times more than NA48/2)

### Kaon and $\pi^0$ LNFV decays

Mode	UL at 90% CL	Experiment	NA62 acceptance*
$K^+ \rightarrow \pi^+ \mu^+ e^-$	$1.3 \times 10^{-11}$	BNL 777/865	$\sim 10\%$
$K^+ \rightarrow \pi^+ \mu^- e^+$	$5.2 \times 10^{-10}$	BNL 865	$\sim 10\%$
$K^+ \rightarrow \pi^- \mu^+ e^+$	$5.0 \times 10^{-10}$	BNL 865	$\sim 10\%$
$K^+ \rightarrow \pi^- e^+ e^+$	$6.4 \times 10^{-10}$	BNL 865	$\sim 5\%$
$K^+ \rightarrow \pi^- \mu^+ \mu^+$	<b><math>8.6 \times 10^{-11}</math></b>	<b>NA48/2</b>	<b><math>\sim 20\%</math></b>
$K^+ \rightarrow \mu^- \nu e^+ e^+$	$2.0 \times 10^{-8}$	Geneva Saclay	$\sim 2\%$
$K^+ \rightarrow e^- \nu \mu^+ \mu^+$	no data		$\sim 10\%$
$\pi^0 \rightarrow \mu^+ e^-$	$3.6 \times 10^{-6}$	KTeV	$\sim 2\%$
$\pi^0 \rightarrow \mu^- e^+$			

\* From fast MC with flat phase-space distribution.

NA62 Sensitivities:  
 $\sim 10^{-12}$  for  $K^+$  decays  
 $\sim 10^{-11}$  for  $\pi^0$  decays